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Dissemination and Implementation Science in Cardiothoracic Surgery: A Review and Case Study

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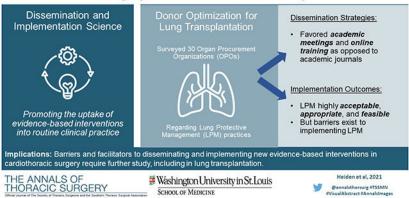
Abstract

Dissemination and implementation (D&I) science is the practice of taking evidence-based interventions (EBI) and sustainably incorporating them in routine clinical practice. As a relatively young field, D&I techniques are underutilized in cardiothoracic surgery. This review offers an overview of D&I science from the context of the cardiothoracic surgeon. First, we provide a general introduction to D&I science and basic terminology that is used in the field. Second, to illustrate D&I techniques in a real-world example, we discuss a case study for implementing lung protective management (LPM) strategies for lung donor optimization nationally. Finally, we discuss challenges to successful implementation that are unique to cardiothoracic surgery and give several examples of EBIs that have been poorly implemented into surgical practice. We also provide examples of successful D&I interventions – including de-implementation strategies – from other surgical subspecialties. We hope that this review offers additional tools for cardiothoracic surgeons to explore when introducing EBIs into routine practice.

Graphical Abstract

Corresponding Author: Varun Puri, MD, MSCI, 660 S. Euclid Ave, Campus Box 8234, St Louis, MO 63110, varunpuri@wustl.edu. **Conflict of Interest:** Pending patent (DK) entitled "Compositions and methods for detecting CCR2 receptors" (application number 15/611,577)





Keywords

Dissemination and implementation science

Cardiothoracic surgeons have long prided themselves on providing strong, evidence-based care. Central to providing such care is (1) identifying novel evidence-based interventions (EBIs) through various avenues of research and (2) translating these EBIs into routine, widespread practice. It is widely accepted that only half of EBIs become incorporated into real-world practice, taking an average of 17 years to do so^{1–3}. Cardiothoracic surgery –surgery in general – is not immune from the challenges with implementing new practices. For example, randomized controlled trials are infrequent in surgery and lead to concerns about generalizability; new techniques can have variable learning curves that challenge implementation in real-world practice⁴; new technology can be prohibitively expensive in certain practice environments. The old paradigm of "translational research" through which researchers simply deposit manuscripts into journals and expect widespread adoption is woefully inadequate and ineffective by modern standards^{5–7}.

Dissemination and implementation (D&I) science is an emerging field that seeks to address several of the challenges associated with enacting new EBIs. In this review, we give a brief introduction to D&I research and terminology. We then present a brief case study of a national implementation intervention to promote lung protective management (LPM) algorithms for lung transplant donor optimization as a demonstration of how D&I techniques can be integrated into cardiothoracic surgical research. We conclude with a discussion about further opportunities to integrate D&I into the field.

What is D&I Science?

Formally, D&I research is the "scientific study of methods to promote the systematic uptake of research findings and other evidence-based practices into routine practice, and, hence, to improve the quality and effectiveness of health services and care"⁸. At its core, D&I research aims to correct the slow uptake of evidence-based research into routine practice and help ensure that EBIs are implemented as intended. D&I science is often conceptualized as the

end phase of translational research, where scientific discoveries are applied and tested in real-world settings^{5,9}. It takes treatments from the context of rigorous clinical trials to the more relevant context of everyday practice¹⁰. Even more simply, D&I takes a new "thing" (i.e., procedure, treatment guideline, etc.) and attempts to maximize the number of providers who know about the "thing" (dissemination) and the number of providers using the "thing" (implementation)¹¹.

How is information disseminated?

Dissemination research is the focused examination of approaches for spreading evidencebased interventions to the target audience via determined channels using planned strategies ^{12–14}. In other words, it is the study of how new information or practices are spread through a field. Effective dissemination of new EBIs across an entire field is challenging. While it may be unclear who holds the obligation to disseminate, 56% of academic researchers "strongly agree" that it is the researcher's obligation to disseminate their own research¹⁵. Despite this, a minority of researchers believe that they do a "good" job disseminating their research findings¹⁶. One reason for this is that passive dissemination (like depositing a manuscript in an academic journal) does not work well in isolation⁷. For example, while academic journals and conferences are the most common avenues through which academic researchers disseminate their knowledge, local and state health practitioners rely primarily on seminars, workshops, and professional associations for dissemination of new evidence¹⁷. Similarly, while researchers tend to rank academic journals and reports to funders as their most common dissemination strategies, face-to-face meetings with stakeholders are believed to be the most likely dissemination strategies to influence practice and policy¹⁵. Therefore, effectively disseminating new research findings from academia to general practice require more innovative strategies.

Recent technological advances have addressed several barriers to dissemination. For example, practitioners can find information on modern techniques far easier with online as opposed to print academic journals. However, contextual issues – like private practice providers who do not have subscriptions to these journals – remain pertinent. Several production groups have also tried to improve high-quality dissemination efforts (i.e., national treatment guidelines, Cochrane reviews, UpToDate, etc.). These resources, while excellent, still face significant dissemination challenges¹⁸. Finally, platforms like academic Twitter have allowed for rapid dissemination of new research. However, limitations of these platforms – such as an overwhelming volume of material and unsolicited or editorialized content – are important to recognize and harbor inherent risk. "Design for Dissemination" (D4D) strategies may help to lessen the current dissemination gaps that exist in surgery⁷.

How are interventions implemented?

Implementation research focuses on the process of putting to use or integrating evidencebased interventions within a routine practice setting¹⁴. In other words, it is the examination of how new practices are actually enacted. Cardiothoracic surgery has several examples of EBIs that have been slowly adopted into routine care. One of the most notable examples is lung cancer screening. The National Lung Screening Trial (NLST), originally published

in 2011, demonstrated that annual low-dose computed tomography (CT) screening reduces lung cancer deaths in individuals with high-risk smoking histories¹⁹. Nearly a decade later, less than 15% of screening-eligible adults in the United States receive appropriate lung cancer screening²⁰.

Implementation science emphasizes effectiveness over efficacy²¹. Traditional biomedical research has focused on *efficacy*; the benefit of a drug or intervention in a well-controlled clinical environment. *Effectiveness*, on the other hand, focuses on the benefit of that drug or intervention in the real-world, including ways to promote sustainable adoption across several practice environments. It is important to distinguish these terms when implementing new practices as failure of effectiveness is different from failure of efficacy. For example, a new cancer drug may prolong survival in a randomized-controlled trial (i.e., efficacy); however, if that drug is not implemented correctly to the population of patients that it is designed to treat (for instance, because of low prescription rates or incorrect prescribing practices), then the apparent efficacy of that drug will be much lower on a population level. The failure of the drug, in that case, has nothing to do with the drug's efficacy but rather an inability to implement that drug into routine, real-world practice (i.e., effectiveness).

Improving dissemination and implementation

Understanding and addressing the patient-, provider-, and context-related factors that lead to gaps between idealized versus real-world clinical practice are important tenets of D&I research. To address this gap, D&I investigators test implementation strategies which can target these factors²². To further determine whether these strategies are successful, researchers focus on a set of outcomes (so-called implementation outcomes) which differ from the typical patient health outcomes observed in clinical research. For example, *feasibility*, or the perception of how easy it will be to implement an EBI, may impact whether an intervention is adopted by a clinician. If the intervention, although beneficial for patients, is too complicated or time consuming – and thus not feasible – it is unlikely that a clinician will use it. Another common implementation outcome is *fidelity*, or the extent to which a clinician implements an intervention as intended²³. If an intervention is not implemented with fidelity - for instance, if a surgeon leaves out a critical step in a procedure - it may not be as effective. Conversely, adaptation, or the extent to which interventions are modified to fit the context in which they are implemented, is also considered an important implementation outcome. A surgeon may adapt a procedure to address the specific needs of a patient, thus enhancing the effectiveness of the procedure for that patient. The overall implementation success depends on how well each of these outcomes is addressed²³.

D&I investigators use a mixture of theories, models, and frameworks to guide research¹⁴. While a full description of these theories and frameworks is outside the scope of this review, Tabak and colleagues provide a comprehensive evaluation elsewhere²⁴. The purpose of these frameworks is to provide analytic structure to D&I questions, allowing for better identification of contextual factors that may influence successful implementation endeavors, specify relevant outcomes, and identify processes for adequately implementing new EBIs in practice. One such framework is the Conceptual Model of Implementation Research developed by Proctor and colleagues²⁵. This framework highlights various

implementation outcomes that researchers should measure during D&I studies (Figure 1)²³. The framework details a full set of implementation outcomes (like feasibility, acceptability, and sustainability) in addition to traditional service outcomes (like readmissions, survival, and recurrence). Adding implementation outcomes to early clinical testing may aid in rapid dissemination of the new technology after appropriate validation²⁶.

D&I science leverages a mixture of common research approaches, including quantitative, qualitative, and mixed method designs²⁷. Several novel, randomized designs have also emerged to suit the demands of D&I research such as hybrid designs, which measure both implementation outcomes and clinical outcomes. Such hybrid designs may be particularly useful for cardiothoracic surgeons to consider early during EBI development because they allow the researchers to test efficacy while also planning for the wide dissemination and implementation of an intervention.

Cardiothoracic surgeons with an interest in D&I may find it a practical time to become involved in such research since several organizations are eagerly supporting D&I endeavors. The National Institutes of Health (NIH), including the National Cancer Institute (NCI) and the National Heart, Lung, and Blood Institute (NHLBI), offer many funding opportunities for "rigorous, cutting-edge dissemination and implementation research," including R01 opportunities²⁸. Other opportunities are available through organizations like the Patient-Centered Outcomes Research Institute (PCORI) and the Veterans Health Administration (VHA). Cardiothoracic surgeons should be aware of this trend among funding agencies as future funding may require D&I techniques.

Case study: lung protective management algorithms for lung transplant donation

To further exemplify D&I techniques in cardiothoracic surgery, we performed a study examining the potential for implementing an innovative LPM algorithm in lung transplant donors. Lung transplantation is a viable treatment option for patients with end-stage lung disease. Despite significant advances in transplant outcomes over the last several decades, there remains a chronic shortage of available donor organs resulting in long wait times and significant waitlist mortality²⁹. Only 20% of all potential donor lungs are utilized for lung transplantation (the lung utilization rate); this is well below both liver and kidney utilization rates which exceed 50% and 90%, respectively²⁹. Therefore, optimizing donor lung utilization rates is critical.

Previous work by our group has demonstrated that LPM in lung donors results in significantly higher lung utilization rates³⁰. Since 2008, our local organ procurement organization (OPO, Mid-America Transplant) has utilized a simple yet effective LPM algorithm for potential lung donors (see Chang and colleagues for full protocol details)³⁰. Since adoption of this algorithm, lung utilization rates by our OPO have nearly doubled from 19.8% to 33.9%³⁰. Implementation of LPM protocols on a national scale would therefore drastically increase the number of available organs for lung transplant.

We conducted this study to better understand both dissemination and implementation practices by OPOs and other procurement stakeholders across the US. We also attempted to identify barriers and facilitators to effective implementation within this context. Fifty-seven OPOs manage all donor and transplant activities in their respective regions in the US. Using a sequential exploratory mixed methods approach, we initially conducted key informant interviews with 15 clinicians working in OPOs to identify potential barriers and facilitators of adopting the LPM algorithm, and further conducted two on-site visits to better assess barriers to LPM implementation. Then, we distributed surveys to each OPO site, focusing on two stakeholder groups: medical directors and transplant coordinators. The survey employed Proctor's Conceptual Model of Implementation Research to assess several implementation outcomes following a video-training session on LPM strategies, including awareness, acceptability, appropriateness, and feasibility²⁵. We used items from the Acceptability of Intervention Measure (AIM), Intervention Appropriateness Measure (IAM), and Feasibility of Intervention Measure (FIM) to measure each outcome³¹.

Surveys were completed by 40 respondents representing 30 of the 57 (52.6%) OPOs nationally. Respondent characteristics and current donor management practices are shown in Table 1. A majority of responding OPOs managed between 101-200 brain dead donors annually for an average donor management duration of 24-48 hours. The average estimated lung utilization rate among these OPOs was 30.0%. Current donor management practices varied across OPOs with 74.3% performing routine chest x-ray (within 3 hours of offer, and repeating every 12 hours), 94.6% performing bronchoscopy on all donors, and 27.8% performing percussive ventilation techniques. Antibiotic management was routinely performed (89.2%) whereas bronchodilator administration was performed less frequently (48.7%).

Preferred information dissemination strategies among OPOs are shown in Table 2. The most common method to learn about advances in lung donor management was through academic meetings (100% of respondents). Only 62.5% of respondents endorsed learning about advances in donor management from academic journals. In terms of preferences for the most effective dissemination techniques, 40.6% preferred online training platforms and 28.1% preferred conferences. Only 1 respondent (3.1%) listed academic journals as the preferred dissemination method.

The methods for developing current lung donor protocols were also assessed. In general, staff experience (58.3%) and expectations from surgeons (66.7%) were the most common factors influencing the current lung donor protocol. Factors most commonly influencing the creation of current lung donor protocols were published literature (62.1%), external collaboration (37.8%), prior protocols (32.4%), and personal experience (29.7%).

Following a brief video-based training session, we assessed several implementation outcomes and barriers to implementation for the LPM algorithm. In general, the LPM algorithm was viewed as highly acceptable, appropriate, and feasible by the OPOs (Table 3). Awareness of the LPM algorithm was also high among OPOs with only 18.8% being "unfamiliar" (Table 4). "Definitely" and "likely" needing additional training were reported by 9.4% and 43.8% of respondents, respectively, and 34.4% of respondents anticipated

a need for additional resources. Commonly perceived barriers to implementation of the LPM algorithm were staff specific training (57.1%), requirement of ongoing support or supervision (25.0%), additional personnel (14.3%), and additional material resources (14.3%). Staff specific training as a perceived barrier was commonly shared by stakeholders at OPOs during interviews. In explaining how challenging it may be to provide training, one person shared: "We have a large team that works, you know, different shifts. So getting everyone together in one room especially in our large service area isn't really feasible." Some stakeholders thought that these barriers could be overcome if ongoing support and supervision was made available to OPO staff. A stakeholder explained:

"And so we're looking at ways that we might be able to create that same type of environment where you're working alongside with your colleagues and seeing what each other are doing to help improve you know, you want more stability and oxygenation, so that's kind of evolving. But I think something like that would be ideal."

The findings of our study demonstrate how D&I might be integrated into cardiothoracic surgery. As hypothesized, OPOs prefer mechanisms of information dissemination that are different from the traditional research pipeline (like seminars, online modules, conferences, etc.). For current lung transplant researchers, this suggests that more active forms of dissemination are needed for implementation of new practices. More importantly, implementation should not be merely perceived as introduction of a new finding into practice, but rather to implement in a way that remains sustainable over time, as highlighted by the need for continued support and supervision by OPOs in implementing the LPM. Understanding preferred and effective methods for information dissemination among all involved stakeholders is important for high-level, cutting-edge care.

Other Examples of D&I in surgery

Various surgical subspecialties have begun to explore the field of D&I science with some success, although mostly outside of cardiothoracic surgery. One example is the rapid implementation of World Health Organization (WHO) surgical safety checklist³². In 2009, Haynes and colleagues demonstrated a significant reduction in mortality and peri-operative complications after introduction of the checklist to a diverse set of practice environments³³. Within several years of this study, safety checklist use expanded rapidly to more than 3,900 hospitals in 122 countries³⁴. Such successful and rapid implementation of new health practices like the WHO checklist are rare. Therefore, better understanding of examples like this is imperative to D&I investigators so they can harness such techniques in future implementation endeavors. However, implementation of the WHO checklist also displays the complexities of D&I research. For example, some have questioned if surgical safety checklists are beneficial across all contexts³⁵. Others have noted the ongoing challenges of successfully implementing the checklist in low resource settings, even despite its relative simplicity³⁶. These issues highlight the range of factors that can challenge successful implementation.

Other areas of surgical research would benefit from more extensive implementation. For example, handwashing is a simple and effective tool to prevent nosocomial infections.

Despite this, hand hygiene is a routinely disregarded practice across several areas of medicine^{37,38}. Similarly, new persistent opioid abuse is significant after major and minor surgical procedures³⁹. Despite renewed efforts to decrease the amount of opiates prescribed after surgery, over-prescribing practices remain high^{40,41}. Addressing these gaps in evidence-based practice are important.

Areas for D&I research in cardiothoracic surgery

A number of areas in cardiothoracic surgery may benefit from D&I techniques. In thoracic surgery, there are several areas where implementation of EBIs has been suboptimal. For example, video-assisted thoracic surgery (VATS) has existed for several decades and the approach has been shown to reduce both operative morbidity and mortality compared to open thoracotomy 42 . Despite this, recent studies have highlighted that open resections are still extraordinarily common nationwide⁴³. Capitalizing on established implementation strategies from other fields may allow for better adoption of VATS, particularly at non-academic, low-volume centers⁴⁴. Another example in thoracic surgery is the poor implementation of lung volume reduction surgery for patients with emphysema. Subgroup analyses of the National Emphysema Treatment Trial demonstrated that patients with upper lobe predominant emphysema and low exercise capacity benefit from apical lung volume reduction⁴⁵. Despite subsequent analyses confirming this observation^{46,47}, lung volume reduction surgery remains vastly underutilized⁴⁸. A final example of poor implementation in thoracic surgery is the underwhelming adoption of lung cancer screening programs. As discussed previously, nearly a decade after the NLST, less than 15% of screeningeligible adults in the United States receive appropriate lung cancer screening²⁰. Better implementation of lung cancer screening programs will be needed in light of recent recommendations from the United States Preventative Services Taskforce to expand screening eligibility⁴⁹.

There are additional areas for better implementation in lung transplantation, apart from our case study. For example, prone ventilation of the donor has been found to significantly improve donor oxygenation and augment lung utilization rates⁵⁰. Disseminating and implementing this technique across all donors could therefore address the chronic shortage of donor organs. D&I techniques could help to address the feasibility and practicality of this relatively benign intervention, focusing on certain practice contexts (i.e., rural hospitals with smaller ICUs and fewer staff) where prone ventilation may be less common and more challenging.

Cardiac surgery also has several examples of meager implementation. For example, the gold-standard conduit for CABG is the internal mammary artery (IMA) due to superior long-term patency rates. While the advantages of IMA selection were well-established by the mid 1980s⁵¹, widespread use of the IMA lagged by at least a decade^{52,53}. The adoption of IMA bypass grafting is an example of slow implementation that modern D&I investigators seek to prevent. Another example is the use of off-pump CABG. While this technique may be of benefit in select patients, building evidence suggests that de-implementation of this procedure may be warranted due to lower graft patency rates⁵⁴.

Opportunities to advance D&I science are possible in other settings. For example, *sustainability* is the "extent to which an evidence-based intervention can deliver its intended benefits over an extended period of time after external support from the donor agency is terminated"^{14,55}. In thoracic surgery, the sustainability of collecting patient-reported outcomes after pulmonary resection has been a challenge for several groups – particularly after external research funding expires⁵⁶. At academic hospitals, sustaining various service-specific practices (like Enhanced Recover After Surgery protocols) can be challenging as providers teams – particularly residents and fellows – change frequently⁵⁷.

Another possibility is *de-implementation*, or stopping or abandoning practices that have not proved to be effective and are possibly harmful, which has emerged as a new line of research within D&I^{14,58}. For example, de-implementation has been studied outside of cardiothoracic surgery in the context of the Choosing Wisely® campaign, particularly in low-value breast cancer surgeries^{59–61}. The Choosing Wisely® campaign is an initiative of the American Board of Internal Medicine that invites (or challenges) various professional organizations to critically evaluate low-value practices in their field⁶². The campaign is meant to de-implement mis- or over-used tests and procedures that result in little patient benefit or potentially even harm⁶³. Several procedures for breast cancer like re-excision of close (but negative) margins and completion axillary lymph node dissection (ALND) are unnecessary but still commonly practiced. Smith and colleagues identified several barriers and facilitators to de-implementation of these procedures among breast cancer surgeons⁵⁹. Factors like patient autonomy and "social influence" from other medical providers (like medical oncologists) dictated continued use of these low-value procedures. Understanding the factors that influence surgeon behavior are critical components of successful implementation research^{38,59}.

The Society of Thoracic Surgery (STS) also contributed to the Choosing Wisely[®] campaign. After considering seventeen potential candidates, five interventions were deemed to be unnecessary by the STS (Table 5). Interestingly, all of these "low-value" interventions were lab or imaging tests, not procedures⁶². Despite initial enthusiasm and widespread buy-in, it remains unclear how successfully these interventions are being de-implemented on a national scale⁶⁴. Cardiothoracic surgery should consider other de-implementable practices in the field, including low-value procedures. For example, de-implementation of off-pump coronary artery bypass grafting (CABG) has been recommended by various groups but remains common in practice⁵⁴. Performing surveillance imaging too frequently after lung cancer resection may be another common practice to de-implement⁶⁵. In considering de-implementation, surgeons should take into account three tenets: (1) does the intervention lack evidence or cause harm; (2) are there more effective or efficient interventions available; and (3) has the issue of concern (i.e., condition being treated) dissipated⁶⁶. Interventions that violate any of these tenets may warrant further scrutiny for potential de-implementation.

Limitations of D&I Science

It is worth noting that while D&I is considered a relatively "young" and new field, it is not entirely novel. In particular, D&I has roots in and draws upon several less scientific and less elaborate mechanisms of dissemination and implementation that have been in

place for decades in several fields, including cardiothoracic surgery⁶⁷. For example, various collaboratives (like the Virginia Cardiac Services Quality Initiative, the Michigan Society of Thoracic and Cardiovascular Surgeons, etc.) have long contributed to disseminating EBIs in cardiothoracic surgery, but often with a less systematic approach and a more focused clinical area. D&I science builds on this legacy by providing objective methods to promote and sustain beneficial interventions, focusing particularly on barriers and facilitators to early implementation⁶⁸.

It is similarly important to recognize that D&I is not a panacea for solving all social and clinical challenges in medicine. For example, while D&I researchers strive to identify barriers to successful implementation, only a subset of these barriers may be modifiable. Similarly, facilitators to successful implementation, while critical to understand, are heavily context dependent. Akin to any scientific endeavor, the success of D&I researchers in producing meaningful change is subject to uncertainty. Nonetheless, even partial success may be an achievable and appropriate outcome. For example, instead of implementing certain complex techniques across all practice domains (i.e., in small or rural hospitals), it may be more feasible and appropriate to focus initial implementation efforts where more resources exist or where there is the greatest need. D&I techniques can help to explore such subtleties.

Conclusion

Cardiothoracic surgeons have traditionally been at the forefront of evidence-based surgical practice. Over the last several decades, the volume of evidence that supports various practice changes has been growing immensely. Consequently, it is becoming increasingly difficult to take EBIs from the "bench" to "bedside," especially on a national or international scale. This is evident in the fact that several interventions with poor evidence are still practiced⁶⁹ and other interventions with strong evidence are not practiced^{43,46}. Barriers and facilitators to disseminating and implementing new evidence-based interventions in cardiothoracic surgery are understudied. Both dissemination and implementation techniques can help to lessen this gap between idealized and real-world practice and allow cardiothoracic researchers to "reach" a larger pool of surgeons.

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| Intervention and Strategy | | | | | Outcomes | |
|---|---------------|--|---|---|--|--|
| WHAT Evidence-bas interventio Ex: Lung protective managemen (LPM) algorith for lung dono | n ht hm | HOW Implementation strategy Ex: Conduct local needs assessment; distribute educational materials; etc. | ¢ | Implementation Outcomes Acceptability Adoption Appropriateness Costs Feasibility Fidelity Penetration Sustainability | Service Outcomes Efficiency Safety Effectiveness Equity Patient- centeredness Timeliness | <u>Client</u> <u>Outcomes</u> Satisfaction Function Symptomatology |

| Implementation Outcome | Definition |
|------------------------|---|
| Acceptability | Degree to which a proposed intervention is agreeable, palatable, or satisfactory among stakeholders |
| Adoption | Decision by stakeholders to initiate or embrace an intervention |
| Appropriateness | Perceived relevance and fit of an intervention to a particular context (i.e., practice group) |
| Costs | Incremental costs incurred by various stakeholders when implementing an intervention |
| Feasibility | Actual fit, suitability, or practicability of an intervention in a specific setting |
| Fidelity | How closely the implemented intervention follows the original protocol |
| Penetration | Degree to which an intervention spreads, reaches, or saturates a given system or setting |
| Sustainability | Extent to which an intervention can maintain its intended benefit over an extended period of time |

Figure 1:

Conceptual Model of Implementation Research Framework. Framework adapted from Proctor and colleagues^{23,25}. Definitions also adapted from Brownson and colleagues¹⁴.

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Table 1:

Survey respondent characteristics and current donor management practices

| Survey Question | Responses |
|---|-------------|
| Responses | |
| Respondents (number of individuals) | 40 |
| OPOs (number of OPOs represented) | 30 |
| Years at organization (%) | |
| <5 | 4 (10.0) |
| 5-9 | 14 (35.0) |
| 10 | 22 (55.0) |
| Estimated number of brain dead donors (%) | |
| 0-100 | 9 (23.7) |
| 101-200 | 19 (50.0) |
| 201-300 | 4 (10.5) |
| >300 | 6 (15.8) |
| Estimated lung utilization rate (median, IQR) | 30.0 (15.0) |
| Where are brain dead donors managed? (%) | |
| Hospitals | 27 (71.1) |
| Hospitals and/or SDCFs | 11 (29.0) |
| Who manages brain dead donors? (%) | |
| Coordinators | 35 (92.1) |
| Other | 3 (7.9) |
| Average duration of lung donor management (%) | |
| 24-48 hours | 26 (68.4) |
| 49-72 hours | 12 (31.6) |
| Mode of ventilation (%) | |
| Pressure Regulated Volume Control (PRVC) | 15 (40.5) |
| Airway Pressure Release Ventilation (APRV) | 4 (10.8) |
| Assist Control Ventilation (ACV) | 6 (16.2) |
| Combination of modes or other | 12 (32.4) |
| Donor management (%) | |
| Perform CXR w/in 3 hrs of offer, repeating every 12 hrs | 26 (74.3) |
| Perform early bronchoscopy on all donors | 35 (94.6) |
| Perform intrapulmonary percussive ventilation | 10 (27.8) |
| Perform ABG every 4 hours or with ventilatory changes | 36 (97.3) |
| Perform lung recruitment for PaO2/FiO2 < 300 | 36 (97.3) |
| Antibiotic management (%) | |
| Administered routinely | 33 (89.2) |

| Survey Question | Responses |
|---|-----------|
| Administered as needed | 4 (10.8) |
| Not administered | 0 (0.0) |
| Bronchodilator management (%) Administered routinely | 18 (48.7) |
| Administered as needed | 17 (46.0) |
| Not administered | 2 (5.4) |

ABG=arterial blood gas, CXR=chest x-ray, FiO2= fraction of inspired oxygen, PaO2=partial pressure of oxygen, SDCF=specialized donor care facility

Table 2:

Dissemination practices and strategies

| Survey question | Response |
|--|------------|
| How do you learn about advances in lung donor management? $(\%)^a$ | |
| Scientific journals | 20 (62.5) |
| Conferences | 32 (100.0) |
| Email broadcasting | 11 (34.3) |
| From my organization | 9 (28.1) |
| In your opinion, what is the best way to disseminate new evidence-based practices? (%) | |
| An educative/illustrative video | 3 (9.4) |
| Conferences | 9 (28.1) |
| Research briefs | 3 (9.4) |
| Newsletter | 1 (3.1) |
| Online training platform | 13 (40.6) |
| Other | 3 (9.4) |
| How was current lung donor protocol developed? (%) ^{<i>a</i>} | |
| Review of published evidence on lung donor management | 23 (62.1) |
| Personal clinical experiences | 11 (29.7) |
| External collaboration | 14 (37.8) |
| From already existing protocols | 12 (32.4) |
| Experimental trial (Randomized control trial, etc.) | 5 (13.5) |
| Medical director expertise | 4 (10.8) |
| What factors influence current lung donor protocol? $(\%)^{a}$ | |
| Experience of Staff | 21 (58.3) |
| Availability and location of transplant centers | 11 (30.6) |
| Expectations of transplant hospitals and surgeon | 24 (66.7) |
| Lung transplant rate | 15 (41.7) |

^aRespondents could choose multiple responses

Table 3:

Acceptability, appropriateness, and feasibility of LPM algorithm among OPOs

| Survey Question | Yes | Maybe | Not Sure | No | |
|------------------------------------|-----------|----------|----------|---------|--|
| Does the LPM algorithm (%) | | | | | |
| Meet your approval ^a | 21 (65.6) | 7 (21.9) | 3 (9.4) | 1 (3.1) | |
| Seem appealing ^{<i>a</i>} | 23 (71.9) | 7 (21.9) | 2 (6.3) | 0 (0.0) | |
| Seem fitting ^b | 26 (81.3) | 3 (9.4) | 2 (6.3) | 1 (3.1) | |
| Seem easy to use $^{\mathcal{C}}$ | 26 (81.3) | 4 (12.5) | 1 (3.1) | 1 (3.1) | |
| Seem implementable ^C | 28 (87.5) | 2 (6.3) | 2 (6.3) | 0 (0.0) | |
| Seem realistic $^{\mathcal{C}}$ | 28 (87.5) | 2 (6.3) | 2 (6.3) | 0 (0.0) | |

Adapted from Weiner and colleagues 31.

^aAcceptability of Intervention Measure (AIM)

b Intervention Appropriateness Measure (IAM)

^CFeasibility of Intervention Measure (FIM)

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Table 4:

Awareness and barriers to implementation of LPM algorithm among OPOs

| Survey Question | Responses |
|---|-----------|
| How familiar were you with LPM? ("Awareness") (%) | |
| Used it | 7 (21.9) |
| Read about it | 10 (31.3) |
| Heard about it | 9 (28.1) |
| Not familiar at all | 6 (18.8) |
| Would your staff need additional training to implement LPM? (%) | |
| Definitely | 3 (9.4) |
| Likely | 14 (43.8) |
| Not at all | 15 (46.9) |
| Does your organization have the necessary resources to implement LPM? (%) | |
| Have the necessary resources | 20 (62.5) |
| May need additional resources | 11 (34.4) |
| Unknown | 1 (3.1) |
| What are the most significant barriers to LPM implementation? (%) | |
| Staff specific training | 16 (57.1) |
| Too time-consuming | 2 (7.1) |
| Requires ongoing support and supervision | 7 (25.0) |
| Requires additional personnel | 4 (14.3) |
| Requires additional material resources | 4 (14.3) |
| Not flexible enough for specific patient | 1 (3.6) |
| Do not have enough information to determine | 6 (21.4) |

Table 5:

Choosing Wisely[®] campaign in cardiothoracic surgery

| Cardiac | |
|---------------------------------|--|
| Carotid disease | Avoid routine evaluation for carotid artery disease prior to cardiac surgery in the absence of symptoms or other high-risk factors |
| Echocardiogram | Avoid routine echocardiogram prior to discharge following valve replacement surgery |
| Pulmonary function tests (PFTs) | Avoid routine PFTs in patients undergoing cardiac surgery in the absence of respiratory symptoms |
| Thoracic | |
| Stress tests | Avoid routine pre-operative stress testing in patients with good functional status and no cardiac history |
| Brain imaging | Avoid routine brain imaging in patients with suspected or biopsy-proven clinical stage I NSCLC without neurological symptoms |

Adapted from Wood and colleagues⁶⁹.