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Simultaneous engagement of tumor and stroma targeting antibodies by engineered NK-92 cells expressing CD64 controls prostate cancer growth

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Abstract

Metastatic castration resistant (mCRPC) prostate cancer has been largely resistant to immunotherapy. Natural killer (NK) cells are cytotoxic lymphocytes that detect and kill transformed cells without prior sensitization, and their infiltration into prostate tumors corresponds with an increased overall survival among patients with mCRPC. We sought to harness this knowledge to develop an approach to NK-cell based immunotherapy for mCRPC. We engineered an NK cell line (NK-92MI) to express CD64, the sole human high-affinity IgG Fc γ receptor (Fc γ R1), and bound these cells with antibodies to provide interchangeable tumor-targeting elements. NK-92MI^{CD64} cells were evaluated for cell-activation mechanisms and antibody-dependent cell-mediated cytotoxicity (ADCC). A combination of monoclonal antibodies was used to target the prostate tumor antigen tumor-associated calcium signal transducer 2 (TROP2) and the cancer-associated fibroblast marker fibroblast activation protein alpha (FAP). We found that CD64, which is normally expressed by myeloid cells and associates with the adaptor molecule Fc γ R, can be expressed by NK-92MI cells and mediate ADCC through an association with CD3 ζ . Cytotoxicity from the combination approach was two-fold higher than that by NK-92MI^{CD64} cells with either mAb alone bound to them, and seven-fold higher than NK-92MI^{CD64} cells alone at an effector–target cell ratio of 20:1. The cytotoxic effect was lost when using isotype control

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antibodies, indicating a selective targeting mechanism. The combination approach demonstrated efficacy *in vivo* as well and significantly reduced tumor growth compared with the saline control. This combination therapy presents a potential approach for treating mCRPC and could improve immunotherapy response.

Keywords

Prostate cancer; natural killer cell; immunotherapy; combination therapy; tumor microenvironment

Introduction

Metastatic castration resistant prostate cancer (mCRPC) is uniformly lethal with 5-year survival rates remaining at 30%. Innovative treatments for patients with the disease are urgently needed and efforts are being focused on developing immunotherapies [1]. The FDA-approved autologous cell-based vaccine Sipuleucel-T, which stimulates T-cell response against the prostate tumor antigen prostatic acid phosphatase, improved median overall survival (OS) by 4.1 months, indicating that prostate cancer was a good candidate for cancer immunotherapy [2]. Current immunotherapy clinical trials for mCRPC have focused on evaluating checkpoint inhibitors, however, they have had modest outcomes and none have been approved [3–5]. The lack of immunotherapeutic efficacy in mCRPC is due, in part, to the immunosuppressive tumor microenvironment (TME) that promotes the survival of the cancer cells and progression of the disease [6]. Cancer-associated fibroblasts are the major cell type in the TME that regulate growth and metastasis of epithelial cancers, including prostate cancer [7]. Cancer-associated fibroblast paracrine signaling and mechanical stress contribute to tumor survival, inflammation, immunosuppression, and therapeutic resistance, and drive tumor invasion [8, 9]. Fibroblast activation protein alpha (FAP) is a transmembrane serine protease highly expressed by cancer-associated fibroblasts that contributes to extracellular matrix remodeling and pro-tumor signal transduction [10]. Immunotherapies that target malignant cells alone may not be enough to elicit an immune response capable of overcoming pro-tumorigenic functions of the TME. In contrast, an immunotherapeutic approach that targets both the tumor stroma and malignant cells has been shown effective in multiple cancer models [11, 12].

Natural killer (NK) cells are innate, cytotoxic lymphocytes that detect and kill stressed, virus-infected, and transformed cells without prior sensitization [13]. Infiltration of NK cells in prostate tumors corresponds with an increased OS among patients with mCRPC [14], which suggests the potential to exploit NK cells for the treatment of prostate cancer. A key antitumor effector function of human NK cells is antibody-dependent cell-mediated cytotoxicity (ADCC), which is mediated through the IgG Fc γ receptor CD16A (Fc γ RIIIA) [15]. Several clinically successful antitumor monoclonal antibodies (mAbs) mediate ADCC [16]; however, attributes of CD16A, such as a low-to-moderate affinity for IgG and rapid downregulation by a disintegrin and metalloproteinase-17 (ADAM17) may limit the efficacy of mAb therapies [17].

CD64 (Fc γ R1), the sole functional high affinity Fc γ R in humans, binds to IgG isotypes IgG1 and IgG3, like CD16A; however, CD64 binds monomeric IgG with an affinity ~30-fold higher than CD16A [18]. CD64 is expressed by myeloid cells including monocytes, macrophages and neutrophils, but not lymphocytes, including NK cells [19]. Unlike CD16A, CD64 expression is not downregulated by ADAM17 upon activation [20]. CD16A non-covalently associates with the signaling adaptor FcR γ and CD3 ζ as either homodimers or heterodimers [21, 22], whereas CD64 associates with FcR γ , because myeloid cells lack expression of CD3 ζ [23]. These immunoreceptor tyrosine-based activation motif (ITAM)-containing signaling adaptors trigger downstream signaling cascades for cell activation.

In this study, we stably expressed human CD64 in the NK-92MI human NK cell line that lacks expression of endogenous Fc γ Rs and synthesizes IL2 to promote cell proliferation [24]. These cells are referred to herein as NK-92MI^{CD64} cells. Our analyses revealed that CD64 surface levels can be modulated by CD3 ζ expression. Furthermore, we observed that CD64 induces ADCC and that this was facilitated through CD3 ζ signaling. The antitumor activity of these genetically modified NK cells was tested against the TROP2-expressing prostate cancer cell line, DU145, and the FAP-expressing prostate stromal cell line, hPrCSC-44. Effector-cell function was enhanced with the addition of TROP2- or FAP-targeted antibodies. Therapeutic efficacy of the combination therapy was also demonstrated in a prostate tumor stroma xenograft model. Overall, these data suggest the therapeutic potential of the engineered NK cells in combination with antibodies targeting both the tumor stroma and malignant cells, a strategy that could provide urgently needed immunotherapies for patients with mCRPC.

Methods

Cell Culture

DU145 (#HTB-81) and HEK293T (#CRL-11268) cell lines were purchased from ATCC in 2019 and maintained in DMEM (Gibco, catalog no. 11965092) supplemented with 10% FBS (Gibco, catalog no. 16140071). hPrCSC-44 cells were obtained from Dr. W. Nathaniel Brennen (Johns Hopkins Medicine, Baltimore, MD) and maintained in RoosterNourish-MS media (RoosterBio, catalog no. KT-001). Human NK-92MI (#CRL-2408) cells were purchased from ATCC in 2018 and maintained in MEM- α media without nucleosides (Gibco catalogue no. 12561056, Gibco) supplemented with 12.5% Fetal Bovine Serum (Gibco, catalog no. 16140071), 12.5% Horse Serum (Gibco, catalog no. 26050088), and 0.1 nM 2-mercaptoethanol (Sigma-Aldrich, catalog no. M6250). Jurkat (#TIB-152) cells were obtained from ATCC in 2009 and maintained in RPMI-1640 (Gibco, catalog no. 11875093). Gryphon Ampho cells (293T cells stably expressing gag, pol and env genes) were purchased from Allele Biotechnology in 2019 and were maintained DMEM (Gibco, catalog no. 11965092) supplemented with 10% FBS (Gibco, catalog no. 16140071). All cell experiments were performed within 4 months of thawing cell lines from frozen cell stocks. Cell lines were authenticated using short-tandem repeat analysis and routinely monitored for mycoplasma contamination prior to our studies.

Generation of CD64, FcR γ -chain, and CD3 ζ -chain expression constructs

Fresh peripheral blood of healthy blood donors was purchased from the Innovative Blood Resources (St. Paul, MN). Total RNA was isolated from two human peripheral blood leukocytes using TRIzol total RNA isolation reagent (ThermoFisher Scientific, catalog no. 10296010). Peripheral blood cDNA synthesized with the SuperScript First-Strand Synthesis System for RT-PCR kit (ThermoFisher Scientific, catalog no. 11904018) was used in RT-PCR for expression construct generation. *Bam* HI-flanked full-length *CD64* cDNA (1,169 bps) was amplified using the forward primer 5'-CTC TAG ACT GCC GGA TCC GGA GAC AAC ATG TGG TTC TTG ACA ACT CT-3' and the reverse primer 5'-TCG AAT TTA AAT GGA TCC CTA CGT GGC CCC CTG GGG CTC CTT-3' (underlined nucleotides indicate *Bam* HI restriction sites). The RT-PCR reaction was performed with 2 μ l of cDNA, 200 nM of each primer, 200 μ M of dNTPs, 2.0 mM of MgSO₄, and 1 U of Platinum Taq DNA Polymerase High Fidelity (ThermoFisher Scientific, catalog no. 11304011) in a 25 μ l reaction volume. The ABI Veriti 96-well Thermal Cycler was used for the RT-PCR reaction starting with 94 °C for 3 min, 35 cycles of denaturing at 94 °C for 30 s, annealing at 56 °C for 45 s, extension at 68 °C for 1 min and 30 s with a final extension at 72 °C for 7 min. In-Fusion HD Cloning Kit (Takara Bio USA, catalog no. 638917) was used to insert the *CD64* cDNA into the bi-cistronic retroviral expression vector pBMN-I-GFP (Addgene Plasmid# 1736; deposited by Dr. Garry Nolan) at the *Bam* HI restriction enzyme site. Pseudo-retrovirus was generated as previously described [25]. Briefly, approximately 24 hours before transfection, AmphoPhoenix cells were seeded in a 60-mm cell culture dish. AmphoPhoenix cells were incubated overnight at 37 °C, 5% CO₂ to reach about 85% confluence. For transfection of AmphoPhoenix cells, the complexes of 5 μ g CD64-pBMN-I-GFP plasmid DNA and 10 μ l Lipofectamine 2000 Transfection Reagent (ThermoFisher Scientific, catalog no. 11668019) were prepared according to the vendor's instructions. The DNA-Lipofectamine 2000 complexes were added to AmphoPhoenix cells in the dish and subsequently mixed with culture medium by rocking back and forth gently. The medium containing DNA-Lipofectamine 2000 complexes was removed from the culture dish and 5 ml of fresh culture medium was added after 12–16 hours of incubation. The cell culture medium containing pseudo-retrovirus particles was harvested after additional 48-hour incubation. The harvested cell culture supernatant was centrifuged at 2500 RPM (1282 x g) for 3 min at 4 °C to remove cell debris and filtered through 0.45 μ m filter before cell transduction.

For NK-92MI cell transduction, 1 ml of filtered cell culture supernatant containing virus particles was mixed with 4 ml of NK-92MI cell culture medium and 5 μ g/ml polybrene (Sigma-Aldrich, catalog no. TR-1003) to form an infection cocktail. To infect NK-92MI cells, 5 \times 10⁵ NK-92MI cells were mixed with 5 ml of the infection cocktail and seeded into one well of a 6-well plate. The same volume of fresh medium was added one day after infection. Two days after infection, the transduced NK92-MI cells were stained with APC-conjugated anti-CD64 (BioLegend, catalog no. 305014) and examined by flow cytometric analysis to determine CD64 expression. The NK-92MI cells were sorted by flow cytometry for a homogeneous CD64 expression population.

*Kpn*I/*Bam*HI-flanked full-length CD64 cDNA was amplified using the forward primer 5'-TAA CGG GGT ACC GGA GAC AAC ATG TGG TTC TTG ACA ACT-3' (underlined nucleotides indicate the *Kpn*I restriction site) and the reverse primer 5'-CCG GGA TCC CTA CGT GGC CCC CTG GGG CTC CTT-3' (underlined nucleotides indicate the *Bam*HI restriction site). *Bam*HI/*Eco*R I-flanked full-length FcR γ chain cDNA (285 bps) was amplified with the sense (5'-CGC GGA TCC CAG CCC AAG ATG ATT CCA GCA GTG GTC-3') (underlined nucleotides indicate the *Bam*HI restriction site) and anti-sense primer (5'-CCG GAA TTC CTA AAG CTA CTG TGG TGG TTT CTC ATG-3' (the underlined nucleotides indicate the *Eco*R I restriction site). The human FcR γ cDNA was cloned into the pcDNA3.1 expression vector (ThermoFisher Scientific, catalog no. V79020). Similarly, *Kpn*I/*Eco*R I-flanked full-length CD3 ζ chain cDNA (546 bps) was amplified with the sense primer (5'-CGG GGT ACC TCT GAG GGA AAG GAC AAG ATG A-3') (underlined nucleotides indicate the *Kpn*I restriction site) and anti-sense primer (5'-CCG GAA TTC GGT GAA ATC CCC TGC CTG TTA-3' (underlined nucleotides indicate the *Eco*R I restriction site). The human CD3 ζ cDNA was cloned into the pcDNA3.1 expression vector. Expression constructs were transfected into HEK-293T cells for transient expression analysis using the TransIT-293 transfection reagent (Mirus Bio, catalog no. MIR 2704). Successful transfection was confirmed via flow cytometric analysis.

Immunoprecipitation (IP) and Western Blotting

Cells were lysed in sodium dodecyl sulfate (SDS) lysis buffer (1% SDS, 50 mM Tris-Cl, 10 mM Ethylenediaminetetraacetic acid (EDTA), protease inhibitor cocktail (MilliporeSigma, catalog no. P8340) and phosphatase inhibitor cocktail 2 (MilliporeSigma, catalog no. P5726)) for Western blot, or digitonin IP lysis buffer (1% digitonin, 20 mM Tris-Cl, 135 mM NaCl, protease and phosphatase cocktail inhibitors) for IP. SDS lysates were sonicated and centrifuged at 12,000 $\times g$ to pellet insoluble material. Digitonin lysates were rocked gently for 30 min at 4°C and centrifuged at 10,000 $\times g$ to remove insoluble cell debris.

Protein concentrations were quantified using a Bicinchoninic acid assay (Pierce Biotechnology, catalog no. 23225). 50 μg of protein (1X Laemmli sample buffer (BIO-RAD, catalog no. 161-0747), 0.1M DTT) was resolved by SDS-PAGE and transferred to a nitrocellulose membrane. Blots were blocked in Intercept TBS blocking buffer (LI-COR, catalog no. 927-60001) and incubated with primary antibodies and Quick Western IRDye® 680RD detection reagent (LI-COR, catalog no. 926-69100) overnight at 4°C. Blots were visualized using an Odyssey infrared imager (LI-COR). Primary antibodies used were anti-CD64 (Abcam, catalog no. ab134073), anti-FcR γ subunit (EMD Millipore, catalog no. 06-727), anti-CD3 ζ (Santa Cruz Biotechnology, catalog no. sc-1239), and anti- β -actin (Sigma-Aldrich, catalog no. A5316).

For IP, lysates were incubated for 1 hr with human serum IgG (Sigma-Aldrich, catalog no. I2511-10MG) at 4°C to block non-specific interactions between CD64 and IP antibodies. CD64 or isotype matched control antibodies (Bethyl Laboratories, catalog no. catalog no. P120-101) were immobilized to Pierce Direct IP Kit immunoprecipitation beads (Pierce Biotechnology, catalog no. 26148) following the manufacturer's directions. Protein was

eluted at 100°C using SDS elution buffer containing 0.1M DTT, and samples were resolved by SDS-PAGE for Western blot as described above.

CRISPR/Cas9 knock-out of CD3 ζ

Single guide RNAs (sgRNA) were designed using Synthego's Knockout Guide Design tool (<https://design.synthego.com/#>). Alt-R CRISPR-Cas9 sgRNAs were purchased from IDT (Integrated DNA Technologies) and contain both tracrRNA and crRNA sequences; CD247 (CD3 ζ) guide RNA sequence: AGCAGAGUUUGGGAUCCAGC. Cas9 recombinant protein was purchased from IDT (catalog no. 1081059). CRISPR/Cas9 ribonucleoprotein (RNP) complexes were assembled by mixing 100 pmol of guide RNA and 30 pmol of Cas9. Five million NK-92MI cells were resuspended in primary cell P3 buffer (Lonza, catalog no. PBP3-00675) with the Cas9 RNP complexes and nucleofected using the Amaxa 4D Nucleofector program CA-137. Knockout of CD3 ζ was confirmed via Western blot (anti-CD3 ζ , Santa Cruz Biotechnology, catalog no. sc-1239), flow cytometry, and Inference of CRISPR Edits (ICE) analysis. For ICE analysis, DNA was isolated from NK-92MI cells using Qiagen's DNEasy kit (QIAGEN, catalog no. 69506) and PCR with the SsoAdvanced Universal SYBR Green Supermix (BIO-RAD, catalog no. 1725270) was used to amplify a 467 bp region that contained the gRNA cut site for CD3 ζ (forward primer 5'-CCTTGTTCTGAGAGTGAAG-3', reverse primer 5'-CCAAATAAATCATGACACGGAG-3'). PCR products were submitted to UMN Genomics for Sanger sequencing with the sequencing primer 5'-GATGTGTTCTCGTCACCTT-3'. Sequence results were then uploaded to Synthego's ICE analysis tool to confirm gene ablation (<https://ice.synthego.com/#>).

Antibody Expression

An in-house murine naïve single-chain variable fragment (scFv) antibody phage display library with a diversity of 1×10^9 was used to identify clones against recombinant human FAP (R&D Systems, catalog no. 3715-SE-010). Anti-TROP2 was generated as previously described [26]. The heavy and light chain variable domains of the anti-FAP (B12) and anti-TROP2 scFv sequences were cloned separately into the pFUSEss human IgG1 expression vectors pFUSEss-CHIg-hG1 (InvivoGen, catalog no. pfuse5s-hchg1) and pFUSE2ss-CLIg-hk (InvivoGen, catalog no. pfuse2ss-hclk). Anti-FAP light chain primers (forward primer 5'-AAAACGAATTCGGATATTGTGATCAGTCTCCATCCTCC-3', reverse primer 5'-AAAACGTACGGCGTTTGATTTCCAGCTTGGTGC-3') and heavy chain primers (forward primer 5'-AAAACGAATTCGGAAGTGATGCGAGTCTGGG-3', reverse primer 5'-AAAACGTAGCCGAGGAGACTGTGAGAGTGGTGC-3'). Anti-TROP2 light chain primers (forward primer 5'-GCCGCGAATTCGGACATCCAGCTGACCCAAAGCCACAAGTTCATG-3', reverse primer 5'-CCGCGCTACGACGCTTCAGCTCCAGCTTGGTACCCGCGC-3') and heavy chain primers (forward primer 5'-GCCGCGAATTCGCAAGTGCAACTGGGTGAAAGCGGTCCGG-3', reverse primer 5'-GCCGCGCTAGCGCTGCTAACGGTCACGGTGGTACCTTGGCC-3'). The vectors were co-transfected into HEK293T cells using the calcium carbonate method. The cell supernatant was collected after 72 hours and purified using a 5 mL HiTrap Protein A HP column (GE Healthcare) following the manufacturer's guidelines. Eluted antibody

was collected, and the purity was analyzed by reduced and nonreduced SDS-PAGE and visualized using GelCode Blue Safe Protein Stain (ThermoFisher Scientific, catalog no. PI24596). Protein concentration was measured based on absorbance at 280 nm using a NanoDrop One UV-Vis Spectrophotometer (Thermo-Fisher).

Cell Staining and IFN γ Quantification

To measure antibody capture by CD64, NK-92MI^{CD64} cells were incubated with the generated anti-TROP2 or anti-FAP (B12) (5 μ g/mL) in serum free MEM- α media (SFM) without nucleosides (Gibco, catalog no. 12561056) supplemented with 0.1 nM 2-mercaptoethanol (Sigma-Aldrich, catalog no. M6250) for 2 hours at 37°C and 5% CO₂. Effector cells were washed once with SFM, and stained with APC-conjugated donkey anti-human IgG secondary mAb (1:200, catalog no. 709-136-14, Jackson ImmunoResearch) for 30 minutes on ice. Cells were fixed with 1% paraformaldehyde, resuspended in FACS buffer, and analyzed on a BD LSR II flow cytometer. For IFN γ quantification, NK-92MI^{CD64} cells were preincubated in SFM for 2 hours at 37°C and 5% CO₂. Effector cells were combined with DU145 or hPrSCS-44 target cells at an E:T ratio of 1:1 (1.5x10⁵ effector and 1.5x10⁵ target cells, respectively) and incubated with or without anti-TROP2 or B12 (5 μ g/mL) in SFM for 2 hours at 37°C and 5% CO₂. After incubation, the cells were centrifuged and cell supernatants were collected for IFN γ determination using the human IFN γ flex set (cat. #560111, BD Biosciences) and a BD LSR II flow cytometer. Analysis was performed using FlowJo software.

Flow cytometric analysis was performed on FACSCelesta and BD LSR II instruments using BD FACSDiva Software (BD Biosciences) or the Beckman Coulter Cytoflex flow cytometer. CD64 expression was determined using the human anti-CD64 clone 10.1 (BioLegend, catalog no. 305014). To analyze CD3 ζ expression, cells were fixed and permeabilized using the Tonbo Foxp3/Transcription Factor Staining Buffer Kit (Tonbo, TNB-0607-KIT) and stained with anti-CD247 (BioLegend, catalog no. 644106). For controls, fluorescence minus one was used as well as appropriate isotype-matched antibodies. An FSC-A/SSC-A plot was used to set an electronic gate on cell populations, and an FSC-A/FSC-H plot was used to set an electronic gate on single cells. To distinguish live vs. dead cells, 7AAD was used as per the manufacturer's instructions (Biolegend, catalog no. 420403). Analysis was performed using FlowJo software.

Cytotoxicity Assays

ADCC was determined using the DELFIA EuTDA cytotoxicity assay (cat. #AD0116, Perkin-Elmer) according to the manufacturer's instructions and performed as previously described [20]. Cytotoxicity for each sample is represented as % specific release and was calculated using the following formula:

$$\% \text{Specific Release} = \frac{(\text{Experimental Release} - \text{Spontaneous Release})}{(\text{Maximum Release} - \text{Spontaneous Release})} * 100$$

Conventional ADCC: CD3z KO and NK-92MI^{CD64} cells were preincubated in SFM for 2 hours at 37°C and 5% CO₂. For the monotherapy, effector (CD3z KO or NK-92MI^{CD64})

and target cells (DU145 or hPrCSC-44) were combined at the indicated E:T ratios in SFM with or without anti-TROP2 or B12 (5 ug/mL). For the combination therapy, effector cells (NK-92MI^{CD64}) were combined with a mixture of target cells (1:1; 4x10³ hPrCSC-44: 4x10³ DU145 cells/well) at the indicated E:T ratios in SFM with or without anti-TROP2 and B12 (2.5 ug/mL each).

Bound mAb ADCC: NK-92MI^{CD64} cells were preincubated with or without anti-TROP2 or B12 (5 ug/mL) in SFM for 2 hours at 37°C and 5% CO₂ then washed once with SFM. For the monotherapy, effector and target cells (DU145 or hPrCSC-44) were combined at the indicated E:T ratios. The experiment was repeated with NK-92MI^{CD64} cells preincubated with or without a human IgG isotype control (5 ug/mL) in SFM for 2 hours at 37°C and 5% CO₂. For the combination therapy, effector cells (1:1; anti-TROP2: B12) were combined with a mixture of target cells (1:1; 4x10³ hPrCSC-44: 4x10³DU145 cells/well) at the indicated E:T ratios.

Animal Studies

Animal studies were approved by the University of Minnesota Institutional Animal Care and Use Committee (IACUC). Three-to-four-week-old NOD/*scid*/IL-2 common γ chain $-/-$ (NSG) mice were purchased from Jackson Labs (cat. #005557). Tumors were implanted by subcutaneous injection of a mixture of 2x10⁶ hPrCSC-44 and 1x10⁶ DU145 cells suspended in 100 μ L of 1:1 PBS and Matrigel (Corning, catalog no. 356234) into the rear flanks of mice. NK-92MI^{CD64} cells were irradiated (10 gray) before use as a treatment. Randomized mice (n=4/arm) with tumor volumes 100 – 200 mm³ were assigned to the following treatment arms: saline; NK-92MI^{CD64} cells alone; i.p. injection of anti-TROP2 and B12 (6 mg/kg of each mAb) 24 hours before adoptive NK-92MI^{CD64} cell therapy or adoptive NK-92MI^{CD64} cell therapy with bound anti-TROP2 and B12 (1:1; anti-TROP2: B12). Treatment (1x10⁷ cells/mouse) was administered peritumorally once a week for four weeks. Tumor volumes were measured two to three times a week until tumors reached 1500 mm³. For IHC, mice (n=3/arm) were treated with the aforementioned arms. For the ADCC combination, mice were injected i.p. with anti-TROP2 and B12 (6 mg/kg of each mAb) every 2 days. After 7 days, the tumors were excised and fixed for IHC analysis.

Immunohistochemistry (IHC)

IHC was performed on formalin-fixed paraffin-embedded tissue sections using (1:400 dilution) anti-human cleaved caspase 3 (cCasp3) (Catalog no. 9661L, Cell Signaling). Unstained sections (4 μ m thick) were deparaffinized and rehydrated using standard methods. Antigen retrieval and staining were performed as previously described [27]. Slides were incubated in secondary biotinylated goat anti-rabbit IgG (10 μ L/mL; Vector, BA-1000) diluted in 10% blocking solution (Peroxidase, Biocare Medical, catalog no. PX968)/90% TBST. Detection of the antibody complexes was by the avidin–biotin immunoperoxidase method. Images of cCasp3-stained tumor tissue were collected using an Axiolab 5 microscope (ZEISS). Images (n=3/mouse) were collected for each treatment group (n=3 mice/group). Image J was used to remove background color and the total image was measured for mean grey value.

Statistical Analysis

All statistical analyses were performed using Prism GraphPad software. Comparison between two groups was done using one-way ANOVA and followed by Tukey multiple comparisons test. Statistical significance of tumor growth was determined using a two-way ANOVA and corrected for multiple comparisons using Sidak hypothesis testing. Survival curves were constructed using the Kaplan–Meier method and statistical analysis of survival was performed using a log-rank (Mantel-Cox) test. IHC staining was quantified as mean intensity. Mean intensity = 255 – mean grey value. Results are depicted as mean ± SEM. The symbols used to represent the P values were as follows; ns, $P > 0.05$; *, $P = 0.05$; **, $P = 0.01$; ***, $P = 0.001$; ****, $P = 0.0001$.

Results

NK-92MI^{CD64} cells utilize CD3 ζ to induce ADCC

NK-92MI cells are derived from NK-92 cells, which do not express endogenous Fc γ Rs but can mediate ADCC through recombinant CD16A [28]. We transduced NK-92MI cells to express recombinant human CD64 at high levels (Fig. 1A). CD64 is normally expressed by myeloid cells in which its signaling is mediated by its association with the signaling adapter FcR γ [29]. We investigated whether recombinant CD64 can associate with CD3 ζ in NK-92MI cells. As shown in Fig. 1B, CD3 ζ was observed to co-immunoprecipitate with CD64, indicating their non-covalent association.

It is well established that CD16A expression on the cell surface requires the co-expression of either FcR γ or CD3 ζ [21, 30, 31]. In contrast, CD64 surface expression is not dependent on FcR γ , but its level of expression at the cell surface can be increased with co-expression of FcR γ [23]. We examined the effects of FcR γ and CD3 ζ co-expression on the cell surface levels of CD64. This was performed in HEK-293T cells, which lack endogenous CD64, FcR γ , and CD3 ζ . HEK-293T cells transfected with CD64 cDNA alone expressed low levels of CD64, whereas its expression was markedly increased with the co-transfection of either FcR γ or CD3 ζ (Fig. 1C). Taken together, we demonstrate that CD64 can be expressed in NK cells and that it can associate with the signaling adaptor CD3 ζ when available. Moreover, alignment of the amino acid sequences of the transmembrane regions of CD16A and CD64 revealed a high degree of homology and the conservation of several residues that are critical for CD16A association with CD3 ζ (Fig. 1D).

To assess whether CD3 ζ affects CD64 function, we used CRISPR/Cas9 genome editing to knockout CD3 ζ from NK-92MI cells. NK-92MI cells were transfected with a single CD3 ζ CRISPR guide RNA plus Cas9 RNP or Cas9 alone as a control. The genotype of CRISPR edited NK-92MI cells was determined via Sanger sequencing. Short electropherograms of the sequence area near the sgRNA binding site were used to determine the number of indels at the site of excision and subsequently scored for knockout using ICE analysis (Fig. 2A). This revealed that 97% of the sequences in the CRISPR/cas9 sgRNA transfected sequences contained a frameshift mutation. CD3 ζ knockout in NK-92MI cells was confirmed by Western blotting and flow cytometry, which both demonstrated a dramatic reduction in CD3 ζ expression (Fig. 2B and 2C).

Next, we evaluated the cytotoxic capacity of NK-92MI^{CD64} cells lacking CD3 ζ using a conventional ADCC assay. For these studies, CD3 ζ knockout and Cas9-transfected control NK-92MI cells were transduced with equal amounts of viral supernatant to express CD64 and sorted to match cell surface expression levels of CD64 (Fig. 2D). A bicistronic retroviral vector was used for transduction that expresses GFP in a proportional manner, and its levels were equivalent for the NK-92MI^{CD64/CD3 ζ KO} and NK-92MI^{CD64} transductants (Fig. 2D). Each transductant was then incubated with the TROP2-positive prostate cancer cell line DU145 in the presence or absence of an anti-TROP2 mAb. As shown in Fig. 2E, high levels of DU145 cell cytotoxicity occurred by NK-92MI^{CD64} cells in the presence but not absence of anti-TROP2. However, NK-92MI^{CD64/CD3 ζ KO} cells demonstrated highly diminished levels of cytotoxicity in the presence of anti-TROP2 (Fig. 2E), indicating that CD3 ζ had a key role in CD64 induction of ADCC. These findings show that CD64 in NK-92MI cells can utilize CD3 ζ as a signaling adaptor. It was interesting to us that ADCC by NK-92MI^{CD64/CD3 ζ KO} cells was so highly ablated, as we presumed that FcR γ in these cells would mediate signaling as well. However, we were unable to detect FcR γ expression by Western blotting, suggesting that its levels are very low in NK-92MI cells (Fig. 2F).

ADCC efficiency of NK-92MI^{CD64} cells against prostate cancer and stromal cells

Having elucidated the functional activity of CD64 in NK-92MI cells and its activation mechanism, we evaluated the potential of the engineered cell therapy and ADCC-inducing mAbs as a strategy for treating mCRPC. The effector function of NK-92MI^{CD64} cells was first tested against DU145, a human prostate cancer cell line that expresses TROP2, and hPrCSC-44, a human prostate cancer-associated fibroblast cell line that expresses FAP. Previously developed therapeutic mAbs against TROP2 [26] and FAP [32, 33] were used in this study. The FAP-specific mAb is known as B12. The anti-TROP2 and B12 were shown to induce ADCC by the NK-92MI^{CD64} cells. Killing levels were measured using the Delfia EuTDA cell cytotoxicity assay with data represented as percent specific release (or % killing). A concentration-dependent effect of anti-TROP2 and B12 on ADCC was observed, with highest concentration the most potent (Fig. 3A). A cytotoxicity assay with different effector-target (E:T) ratios at the highest concentration of mAbs was performed (Fig. 3B). NK-92MI^{CD64} cells had significantly higher DU145-cell killing efficiencies in the presence of anti-TROP2 than in its absence at different E:T ratios (83.28% versus 8.67% at 20:1; 66.6% versus 3.88% at 10:1; and 44.83% versus 7.37% at 5:1 E:T). Similarly, NK-92MI^{CD64} cells demonstrated significant hPrCSC-44-cell killing efficiencies in the presence of B12 mAb compared with its absence at different E:T ratios (77.6% versus 8.44% at 20:1; and 52.4% versus 9.35% at 10:1). Next, as a negative control, cytotoxicity by NK-92MI^{CD64} cells was measured using the highest concentration of anti-TROP2 in the presence of hPrCSC-44 cells, which do not express TROP2, or B12 mAb in the presence of DU145 cells, which do not express FAP (Fig. 3C). No ADCC was observed under these conditions. Finally, IFN γ production was measured by flow cytometry to evaluate the function of NK-92MI^{CD64} cells after incubation with or without anti-TROP2 or B12 mAb (Fig. 3D). IFN γ levels increased by 20-fold in the presence of target cells and anti-TROP2 and by 4-fold in the presence of B12 mAb, respectively. These data suggest that NK-92MI^{CD64}-cell effector functions against cancer and associated stromal cells can be enhanced in the presence of anti-TROP2 or B12 mAb *in vitro*.

Soluble therapeutic mAb can be captured by CD64 for target cell killing

Because CD64 binds IgG with high affinity and can stably bind soluble antibody [34], we evaluated the killing capacity of NK-92MI^{CD64} cells. The capture of anti-TROP2 and B12 mAb by CD64 on NK-92MI^{CD64} cells in SFM was detected by flow cytometry using an APC-conjugated secondary mAb specific for human IgG1 (Fig. 4A). More than 95% of the cells incubated with either anti-TROP2 or B12 mAb were positively stained compared with NK-92MI^{CD64} cells stained with secondary mAb alone, indicating that CD64 on NK-92MI^{CD64} can stably bind both mAbs. We next determined the mAb-directed killing effect using the Delfia EuTDA cell cytotoxicity assay (Fig. 4B). NK-92MI^{CD64} cells with bound anti-TROP2 (anti-TROP2-NK-92MI^{CD64}) or B12 mAb (B12-NK-92MI^{CD64}) were incubated with their respective target cells at the indicated E:T ratios. Compared with the NK-92MI^{CD64} cells alone, the anti-TROP2-NK-92MI^{CD64} cells had significantly higher cytotoxicity at different E:T ratios (86.94% versus 8.67% at 20:1; and 64.63% versus 3.88% at 10:1). B12-NK-92MI^{CD64} cell cytotoxicity was also significantly higher than the NK-92MI^{CD64} cells alone (67.53% versus 8.44% at 20:1; and 36.97% versus 9.35% at 10:1). NK-92MI^{CD64} bound with human IgG1 isotype control had basal levels of cytotoxicity that were not significantly different from the untreated NK-92MI^{CD64} cells for both cell lines (Fig. 4C). In conclusion, anti-TROP2 or B12 mAb stably bound to CD64 on cells can effectively direct the NK-92MI^{CD64} cells to prostate cancer and stromal target cells, resulting in antigen-selective cytotoxicity.

Combination of two mAbs with NK-92MI^{CD64} cell therapy approach enhances ADCC

With the demonstrated success of the conventional ADCC and bound mAb NK-92MI^{CD64} cell monotherapies, we evaluated the efficacy of anti-TROP2 and B12 mAb as a mixable therapeutic approach. Previous studies have shown a therapeutic effect from combination therapies that target both the tumor stroma and malignant cells compared with either monotherapy alone [12, 35, 36]. To fabricate the prostate TME in an *in vitro* model, we combined DU145 and hPrCSC-44 target cells at a 1:1 ratio. First, the anti-TROP2 and B12 mAb combination was tested for conventional ADCC induction with the NK-92MI^{CD64} cells (Fig. 5A). We measured potency of target cell killing following co-culture with the NK-92MI^{CD64} cells in the presence of both mAbs compared with NK-92MI^{CD64} cells alone at each E:T ratio. The combination therapy demonstrated enhanced cytotoxicity and % killing in the presence of both mAbs was significantly higher compared to co-culture with NK-92MI^{CD64} cells alone at different E:T ratios (74.83% versus 10.23% at 20:1; 59.3% versus 6.53% at 10:1; and 38.5% versus 4.03% at 5:1). Next, we tested the bound mAb combination therapy (Fig. 5B). The anti-TROP2-NK-92MI^{CD64} and B12-NK-92MI^{CD64} cell combination therapy exhibited significantly higher cell killing compared with NK-92MI^{CD64} cells alone (75.36% versus 10.23% at 20:1; and 40.00% versus 6.53% at 10:1). These data suggest that treatment with NK-92MI^{CD64} cells in combination with anti-TROP2 and B12 mAb by the conventional or bound ADCC mechanism could be effective at targeting prostate tumors.

Adoptive NK-92MI^{CD64} cell transfer with therapeutic mAbs controls tumor growth and prolongs survival

Therapeutic efficacy of the NK-92MI^{CD64} cells in combination with anti-TROP2 and B12 mAb was evaluated in a prostate cancer xenograft mouse model (Fig. 6A). NSG mice bearing subcutaneous DU145 and hPrCSC-44 xenografts were randomly assigned to the treatment arms: saline; adoptive NK-92MI^{CD64} cell transfer; intraperitoneal (i.p.) injection of anti-TROP2 and B12 mAb 24 hours before adoptive NK-92MI^{CD64} cell transfer; and adoptive anti-TROP2-NK-92MI^{CD64} and B12-NK-92MI^{CD64} cell transfer. Treatment was administered peritumorally once per week for four weeks and tumor volumes were measured up to 1500 mm³. Robust antitumor activity in the animals treated with either combination therapy was observed (Fig. 6B). At day 26 post-treatment, mice receiving the ADCC or bound mAb combination therapies had significantly reduced tumor growth by 72.1% (P=0.0013) and 75.5% (P=0.0016) compared with the saline control. Furthermore, treatment with either combination therapy significantly improved overall survival (Fig. 6C). Median survival was 26 days for the saline control versus 40 days for the conventional ADCC combination (P=0.0069) and 48 days for the bound mAb combination (P=0.0069). Tumor-bearing mice treated once in each of the previously mentioned arms had tumors excised after 7 days. IHC staining with anti-human cleaved caspase 3 (cCasp3) was used to measure apoptosis in the tumor tissue (Fig. 6D). Tumors treated with either of the combination therapies had significantly higher mean intensity indicating increased cCasp3 compared to the saline control (Fig. 6E). In conclusion, adoptive NK-92MI^{CD64} cell transfer with antibodies targeting the tumor stroma and malignant cells is effective in a prostate tumor model.

Discussion

NK cells utilize the low affinity Fc receptor CD16A to kill antibody-opsonized tumor cells via ADCC. In humans, CD16A has two known allelic variants, CD16A-176V and CD16A-176F, with CD16A-176V having a higher affinity for IgG (~2–3 fold). In clinical trials with tumor-targeting mAbs, patients homozygous for CD16A-176V have improved outcomes compared with homozygous CD16A-176F or heterozygous patients [37], suggesting that increasing the binding affinity of CD16A for IgG mAbs may improve the efficacy of antibody therapy. One common approach to achieve higher affinity interactions is to modify the Fc region of the therapeutic mAb; however, this requires modification and optimization of each individual antibody. Another approach that has been explored is to increase the Ab binding affinity of CD16A. We have previously generated a recombinant Fc γ R consisting of the extracellular region of CD64 and the transmembrane and cytoplasmic regions of CD16A to retain its signaling process for NK-cell activation [20]. Here, we show that intact CD64 can be expressed in an NK cell line and induce potent ADCC. We further demonstrate that like CD16A, CD64 can utilize the CD3 ζ signaling adaptor for ADCC. It is thus conceivable that CD64 could be expressed in T cells as well to induce ADCC, which has been done for CD16A [38]. Of note, the alpha chains of CD16A and CD64 differ and mediate distinct signaling mechanisms [39, 40], and therefore it will be interesting to determine in future studies how these regions may differentially signal in NK cells.

Our combination approach introduces what we believe to be a new strategy for treating mCRPC, which, so far, has been largely resistant to immunotherapy. The engineered NK-92MI^{CD64} cells showed enhanced effector function against prostate cancer and stromal cell lines in the presence of TROP2- and FAP-targeted antibodies, suggesting the therapeutic potential of NK cell therapies for prostate cancer. Dual targeting of stromal and cancer cells by the combination approach demonstrated potent cytotoxicity *in vitro* compared with NK cells alone when tested in our prostate tumor stroma model. Using the bound mAb method, CD64 captured the soluble therapeutic mAbs and effectively directed cell killing. Finally, treatment with the engineered NK-92MI^{CD64} cells in combination with the therapeutic mAbs led to tumor control and prolonged survival of mice in a subcutaneous xenograft model, further indicating the clinical potential of the combination approach.

A patient's response to immunotherapy depends on a number of factors including tumor heterogeneity, previous treatment history, T-cell infiltration, mutation burden, TME-driven immunosuppression, tissue hypoxia, and density of the stromal network [1]. Although prostate cancer has a low tumor mutation burden and is considered to be immunologically "cold" [41, 42], several preclinical studies show that pharmacological targeting of the TME improves immunotherapy efficacy, suggesting that prostate cancer could be sensitized to immunotherapy [43–45]. Targeting both the tumor stroma and prostate cancer cells could be a key strategy to overcome the limitations of current therapeutics given the central role of the TME in survival and progression of the disease. Recent studies demonstrating the high genomic heterogeneity found in prostate cancer patients indicate the importance of identifying patient-specific molecular signatures to guide immunotherapy strategies [46, 47]. The localization and upregulated expression of stromal biomarkers and tumor antigens, including FAP and TROP2, facilitate the development of targeted therapies. FAP is highly expressed by cancer-associated fibroblasts in mCRPC across genomic subtypes and metastatic lesion sites [27] and TROP2 is overexpressed in several epithelial tumors including mCRPC [48].

Several preclinical studies have reported a therapeutic effect from targeting FAP and various tumor antigens using combinations of vaccines, antibody-drug conjugates, and chemotherapies [11, 36, 49]. Few studies, however, have used a combination of antigen-targeting cell therapies. Adoptive transfer of a combination of FAP-specific and EphA2-specific CAR T cells enhances antitumor activity and prolongs survival in a lung cancer mouse model [12]. In addition, a phase I clinical trial for Nectin4- and FAP-targeted CAR T cells in solid tumors is currently ongoing ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT03932565) Identifier: [NCT03932565](https://clinicaltrials.gov/ct2/show/study/NCT03932565)). Our approach is the first to our knowledge that uses engineered NK cells and a combination of antibodies to simultaneously target solid tumor cells and stromal cells. With this strategy, patients can be pre-treated with therapeutic antibodies before administration of the engineered cells. Additionally, CD64 can also be used as a docking platform where therapeutic antibodies are bound before treatment to direct NK cells to varied tumor antigens. Antibody attachment to CD64 thus has the advantage of dynamic tumor antigen targeting, whereas the FDA-approved CARs are restricted to a specific tumor antigen.

The NK-92 cell platform is a well-characterized human NK cell line that has received US FDA approval for testing in cancer patients. Genetically modified NK-92 cells expressing

the CD16A-176V variant (referred to as haNK) are currently being investigated in clinical trials ([ClinicalTrials.gov](https://clinicaltrials.gov) Identifier: [NCT03387085](https://clinicaltrials.gov/ct2/show/study/NCT03387085), [NCT03387111](https://clinicaltrials.gov/ct2/show/study/NCT03387111), [NCT03586869](https://clinicaltrials.gov/ct2/show/study/NCT03586869)). Advantages of NK-92 cells include unlimited clonal expansion for achieving clinical doses. However, it will be interesting to engineer other NK-cell platforms with CD64, including peripheral blood NK cells, cord blood NK cells, or induced pluripotent stem cell (iPSC)-derived NK cells that do not require irradiation prior to infusion.

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Synopsis:

The data in this study suggest targeting the tumor stroma and malignant cells with engineered natural killer cells and therapeutic antibodies could overcome immunotherapy resistance in prostate cancer and result in a next-generation targeted therapy approach.

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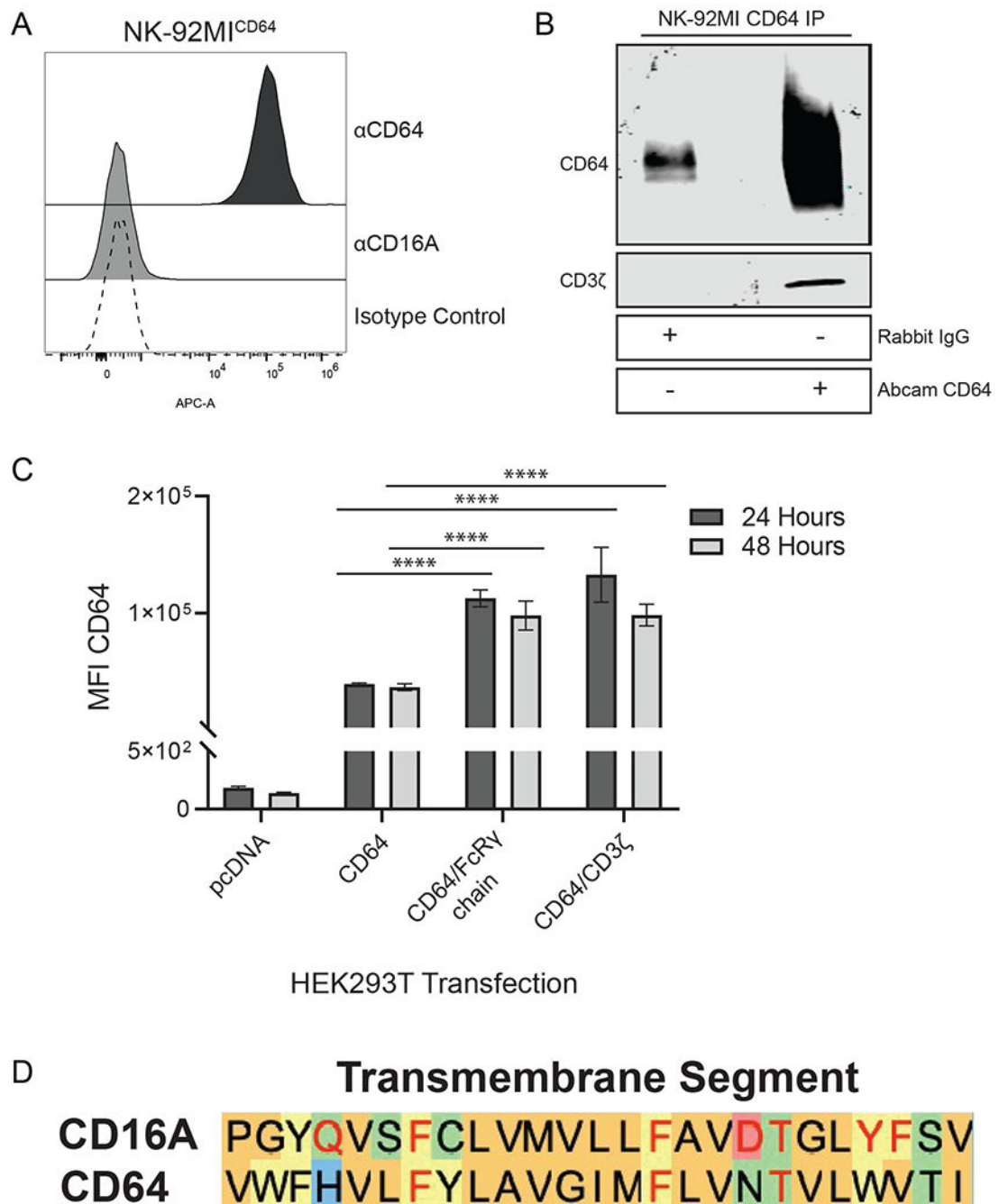


Figure 1. CD64 in transduced NK-92MI cells associates with the signaling adaptor CD3ζ.

A. CD64-transduced NK-92MI cells were stained with anti-CD64, anti-CD16, or isotype matched control antibodies and analyzed via flow cytometry. Histogram is a representative of data from 3 independent experiments. **B.** Lysates from NK-92MI^{CD64} cells were immunoprecipitated with either a rabbit isotype matched control antibody or anti-CD64 and immunoblotted for CD64 and CD3ζ. Blot is a representative of 3 independent experiments. **C.** HEK293T cells were transiently transfected with pcDNA3.1 vector, CD64 expression construct, CD64 plus FcRγ expression constructs, or CD64 plus CD3ζ

expression constructs. Cell surface expression of CD64 was determined by flow cytometry. Data are represented as mean \pm SEM; $n = 3$ independent experiments; ****, $P = 0.0001$ by ANOVA and Tukey's post hoc for multiple comparisons. **D.** Amino acid alignment of the predicted human CD16A and human CD64 transmembrane regions. The amino acid sequences of the Fc receptor transmembrane regions are based on a previous study [50]. The color of the shaded letters represents groupings based on side chain properties. The red letters in the CD16A sequence represent amino acids critical for CD3 ζ association [51]. The red letters in the CD64 sequence represent identical amino acids.

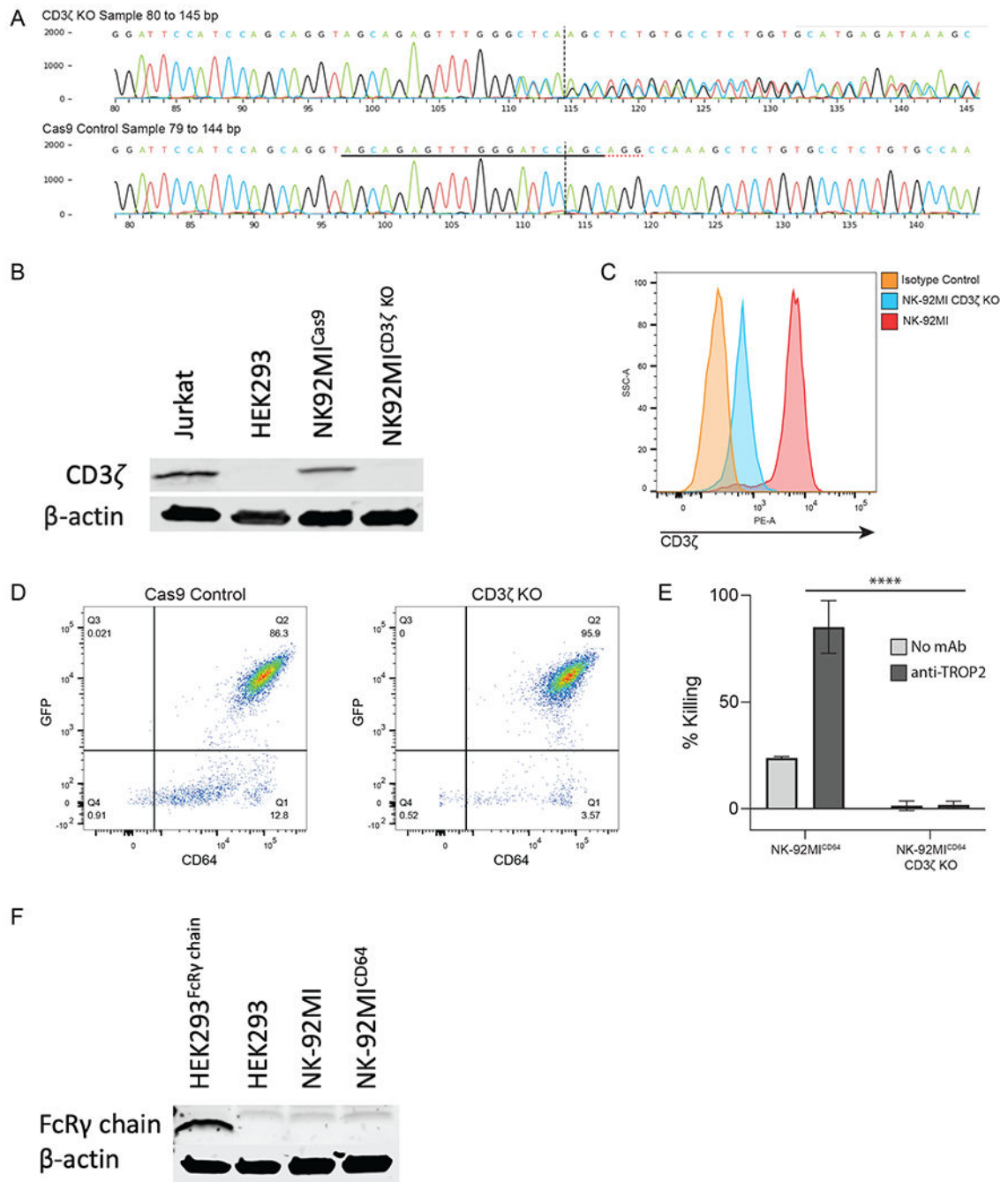


Figure 2. Generation of a CD3 ζ KO NK-92MI cell line.

A. Sequencing electropherogram of NK-92MI^{CD3 ζ KO} cells. The black underline indicates the sgRNA sequence, the red dotted underline indicates the protospacer adjacent motif sequence, and the vertical dotted line shows the cut site of Cas9. **B.** Western blot analysis of CD3 ζ chain in NK-92MI control cells or NK-92MI^{CD3 ζ KO} cells. Lysates from Jurkat and HEK293 cells were used as a positive and negative control, respectively. Equal protein loading was confirmed via β -actin. Blot is representative of 3 independent experiments. **C.** Flow cytometric analysis of CD3 ζ chain expression in NK-92MI or

NK-92MI^{CD3 ζ KO} cells, $n = 1$. **D.** Flow cytometric analyses of transduced NK-92MI control cells or NK-92MI^{CD3 ζ KO} cells showing equivalent expression of CD64 and GFP, $n = 1$. **E.** NK-92MI^{CD64} or NK-92MI^{CD3 ζ KO} cells were combined with DU145 target cells for ADCC. (Data are represented as mean \pm SEM; $n = 3$ independent experiments; ****, $P < 0.0001$ by Student's t-test). **F.** Western blot analysis of FcR γ chain in NK-92MI and NK-92MI^{CD64} cells. Lysates from HEK293 cells expressing FcR γ chain and HEK293 cells were used as a positive and negative control, respectively. Equal protein loading was confirmed via β -actin. Blot is representative of 3 independent experiments.

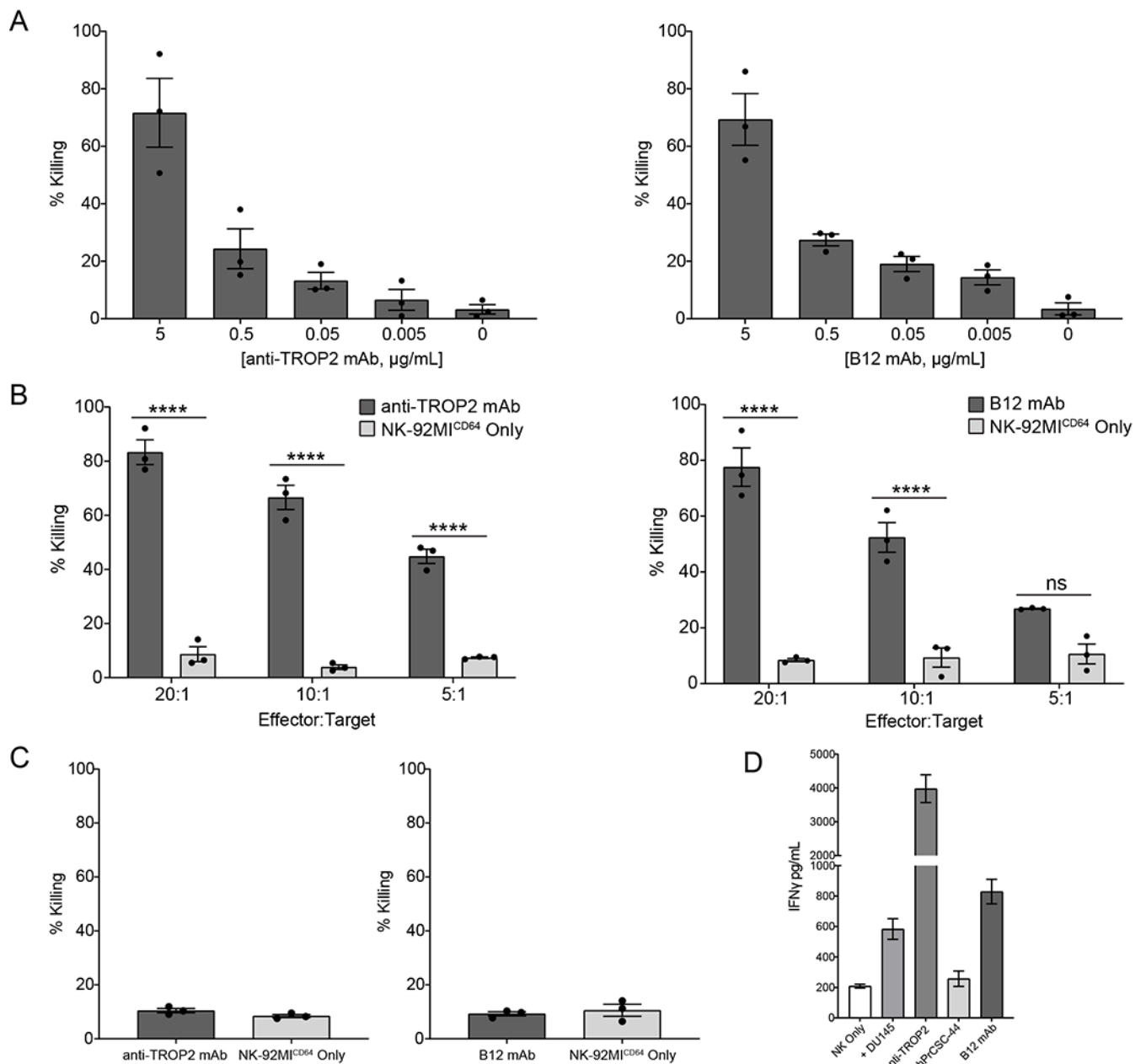


Figure 3. NK-92MI^{CD64} cells are functional against prostate tumor cell lines.

A. NK-92MI^{CD64} cells were combined with DU145 or hPrCSC-44 target cells at an E:T ratio of 20:1 (1.6×10^5 : 8×10^3 cells/well). Anti-TROP2 and B12 mAb were titrated in to the DU145 and hPrCSC-44 target cell cultures, respectively, and incubated for 2 hours. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n=3$ independent experiments. **B.** NK-92MI^{CD64} cells were combined with DU145 or hPrCSC-44 cells at the indicated E:T ratios ($1 = 8 \times 10^3$ cells/well) with anti-TROP2 or B12 mAb ($5 \mu\text{g/mL}$), respectively, and incubated for 2 hours. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n=3$ independent experiments; ****, $P < 0.0001$ by ANOVA and Tukey's post hoc for multiple comparisons. **C.** NK-92MI^{CD64} cells were combined with DU145 or hPrCSC-44 target cells

at an E:T ratio of 20:1 (1.6×10^5 : 8×10^3 cells/well) in the presence or absence of the opposing antibody (5 $\mu\text{g/mL}$), so B12 mAb and anti-TROP2, respectively, and incubated for 2 hours. This represented a target-null cell assay. There was no difference in cell killing. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n = 3$ independent experiments.

D. NK-92MI^{CD64} cells were incubated alone, or at an E:T ratio of 1:1 (1.5×10^5 cells) in the presence or absence of anti-TROP2 or B12 mAb (5 $\mu\text{g/mL}$) for 2 hours. Cell culture supernatant was collected and IFN γ levels were quantified using a bead-based assay. Data are represented as mean \pm SEM of $n = 3$ technical replicates; $n = 2$ independent experiments.

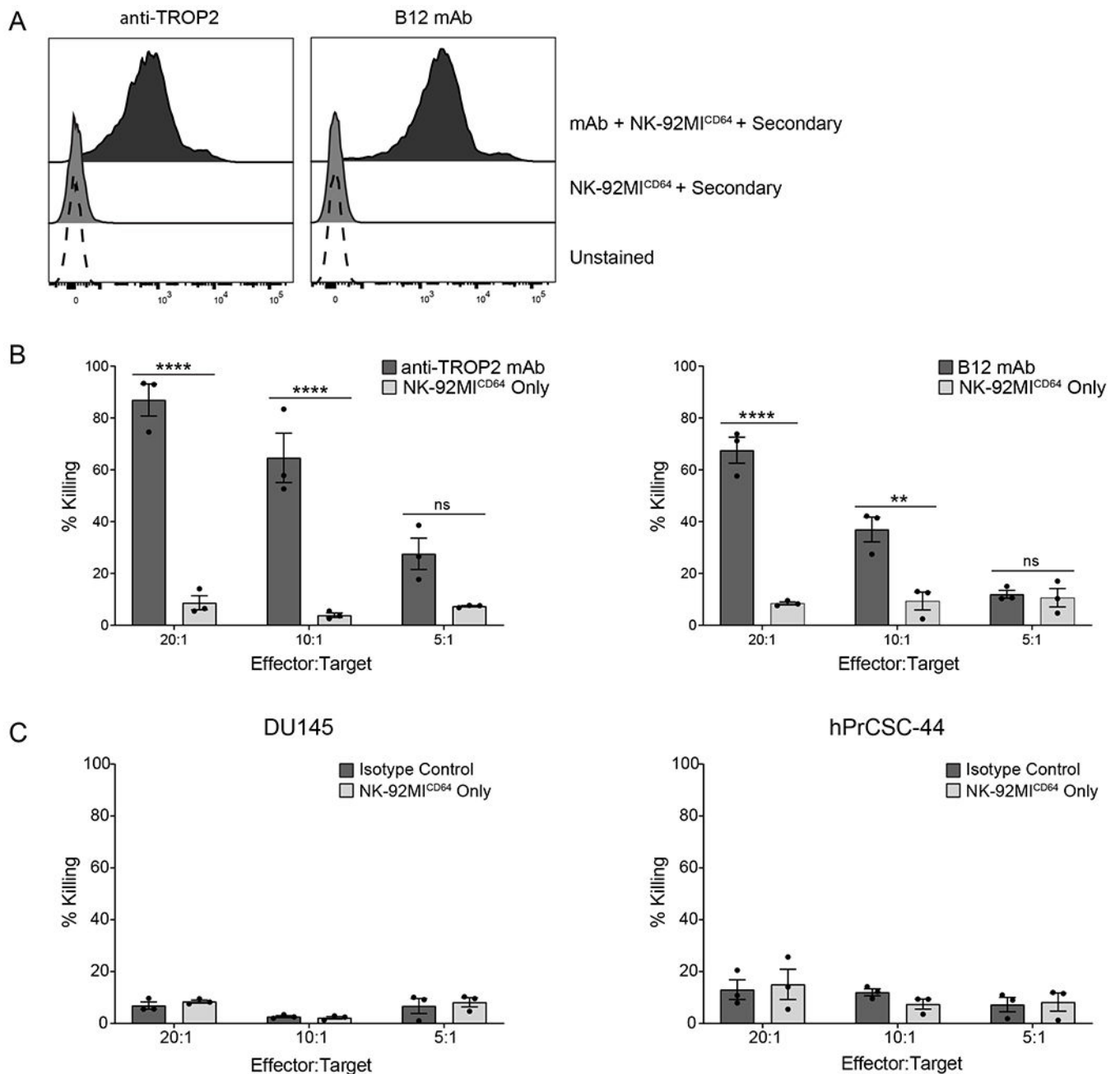


Figure 4. CD64 can capture soluble therapeutic mAb to induce cell killing.

A. NK-92MI^{CD64} cells preincubated with or without anti-TROP2 or B12 mAb (5 μ g/mL) in SFM were stained with an APC-conjugated anti-human IgG secondary fab and analyzed by flow cytometry. Over 95% of NK-92MI^{CD64} cells incubated with therapeutic mAb were positively stained compared with unstained NK-92MI^{CD64} cells. Histogram is a representative of data from 2 independent experiments. **B.** mAb-directed killing effect was measured using the Delfia EuTDA cell cytotoxicity assay. Anti-TROP2-NK-92MI^{CD64} cell killing of DU145 cells was significantly higher than NK-92MI^{CD64} cells alone at the indicated E:T ratios (1 = 8×10^3 cells/well) after 2 hours incubation. The experiment was

repeated with B12-NK-92MI^{CD64} cells cultured with hPrCSC-44 target cell and yielded similar results. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n = 3$ independent experiments; **, $P < 0.01$; ****, $P < 0.0001$ by ANOVA and Tukey's post hoc for multiple comparisons. **C.** The experiment was repeated but NK-92MI^{CD64} cells were preincubated with or without an isotype control mAb. Effector cells were combined with DU145 or hPrCSC-44 target cells at the indicated E:T ratios ($1 = 8 \times 10^3$ cells/well) and incubated for 2 hours. There was no difference in cell killing between the groups. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n = 3$ independent experiments.

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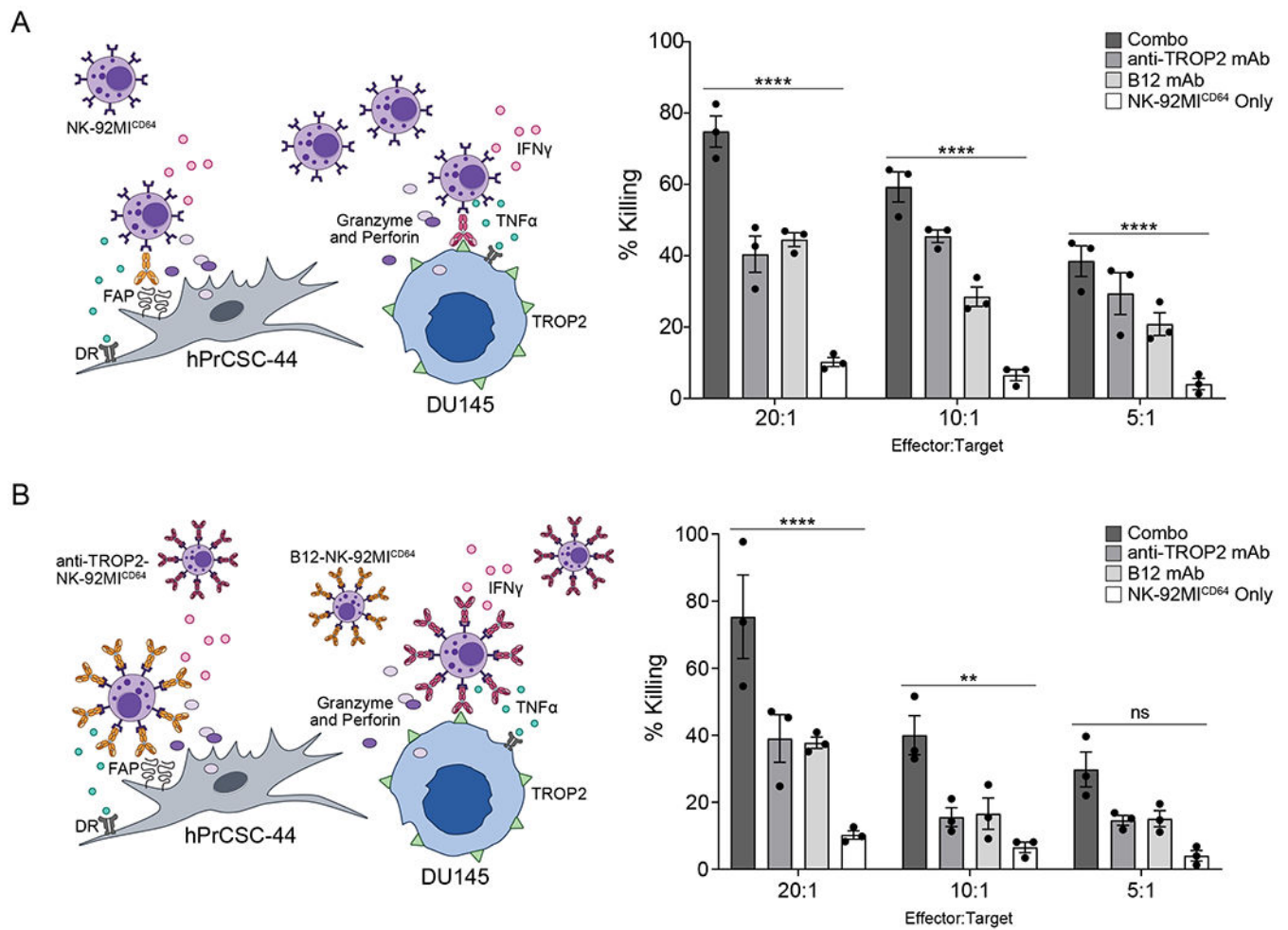


Figure 5. NK-92MI^{CD64} cell therapy in combination with TROP2- and FAP-targeted antibodies demonstrates additive antitumor effect *in vitro*.

A. anti-TROP2 and B12 mAb combination mediates ADCC. NK-92MI^{CD64} cells were combined with target cells (1:1; hPrCSC-44: DU145; 8×10^3 cells total/well) at the indicated E:T ratios with or without the antibody combination (2.5 $\mu\text{g}/\text{mL}$ each) or with the antibodies individually (2.5 $\mu\text{g}/\text{mL}$) and incubated for 2 hours. Cell killing was significantly higher with both antibodies compared with NK-92MI^{CD64} cells alone. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n=3$ independent experiments; ****, $P < 0.0001$ ANOVA and Tukey's post hoc for multiple comparisons. **B.** anti-TROP2-NK-92MI^{CD64} and B12-NK-92MI^{CD64} combination enhances cell killing. NK-92MI^{CD64} cells were preincubated with anti-TROP2 or B12 mAb (5 $\mu\text{g}/\text{mL}$) separately and effector cells (1:1; anti-TROP2: B12 mAb) were combined with target cells (1:1; hPrCSC-44: DU145; 8×10^3 cells total/well) at the indicated E:T ratios and incubated for 2 hours. Cell killing was significantly higher with the combination therapy compared with NK-92MI^{CD64} cells alone. Data are represented as mean \pm SEM of $n=3$ technical replicates; $n=3$ independent experiments; **, $P < 0.01$; ****, $P < 0.0001$ by ANOVA and Tukey's post hoc for multiple comparisons.

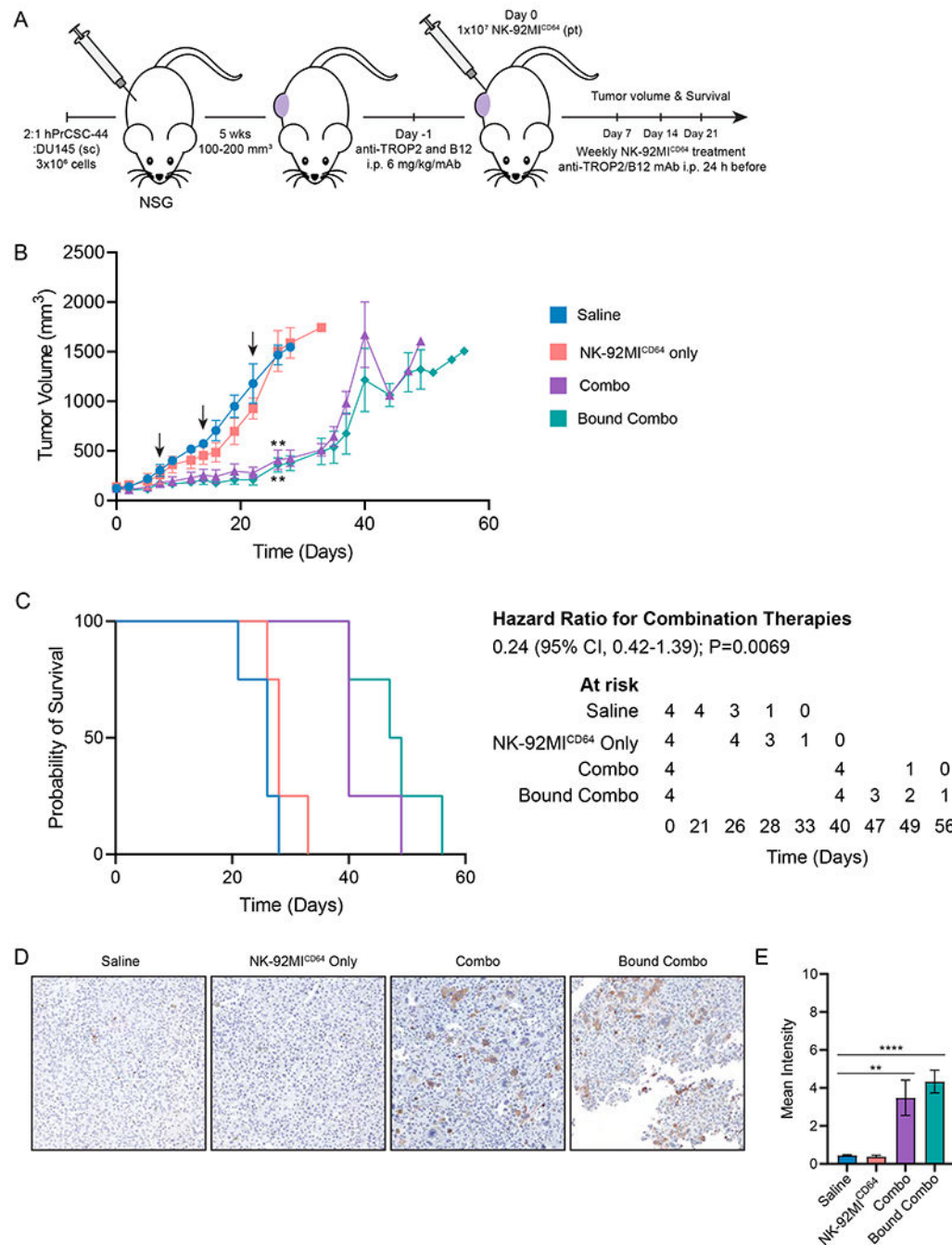


Figure 6. Adoptive NK-92MI^{CD64} cell therapy with combination tumor-targeted antibodies reduces tumor growth and prolongs survival in mice.

A. Schematic of experimental design. A 2:1 mixture of hPrCSC-44: DU145 cells (3×10^6) were implanted subcutaneously in the flank of NSG mice. Tumors were allowed to grow until tumor volumes measured 100–200 mm³ and mice ($n=4/\text{arm}$) were randomly assigned to the following treatment arms: Saline; NK-92MI^{CD64} cells alone; i.p. injection of anti-TROP2 and B12 mAb 24 hours before adoptive NK-92MI^{CD64} cell transfer or; adoptive NK-92MI^{CD64} cell transfer with bound anti-TROP2 and B12 mAb. Treatment

was administered peritumorally once per week for four weeks. **B.** Tumor volumes were measured two-to-three times per week until tumors reached 1500 mm³. Conventional ADCC and bound mAb combination therapies demonstrated significant tumor control compared with the saline arm at 26 days. Black arrows signify treatment administration. n=4/group; n=1 independent experiment; **, P = 0.01, ANOVA mixed methods test. **C.** Kaplan-Meier survival curve for mice treated with NK-92MI^{CD64} cells in combination with therapeutic antibodies compared with control mice. NK-92MI^{CD64} cells in combination with anti-TROP2 and B12 mAb treated mice survived significantly longer than the controls. n=4/group; n=1 independent experiment; ** P=0.0069 by log-rank Mantel-Cox test). **D.** *Ex vivo* evaluation of combination adoptive NK-92MI^{CD64} cell therapy. Representative images of IHC staining with a secondary anti-human cleaved caspase 3 (cCasp3). Mice bearing hPrCSC-22:DU145 subcutaneous xenografts (n = 3/arm) were treated with one dose and tumors were excised and fixed 7 days after treatment. Tumor tissue from mice treated with the combination or bound antibody therapy showed stronger staining for cCasp3 compared to the saline control. **E.** Quantification of IHC staining for cCasp3 in tumor tissue. Data is represented as mean intensity ± SEM of n = 3 images/mouse/group; n=1 independent experiment; **, P = 0.01; ****, P = 0.0001 by ANOVA and Tukey's post hoc for multiple comparisons.