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# Broad neutralization against SARS-CoV-2 variants induced by ancestral and B.1.351 AS03-Adjuvanted recombinant Plant-Derived Virus-Like particle vaccines



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### ABSTRACT

Since 2019, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection resulting in the coronavirus disease 2019 (COVID-19) has afflicted hundreds of millions of people in a worldwide pandemic. Several safe and effective COVID-19 vaccines are now available. However, the rapid emergence of variants and risk of viral escape from vaccine-induced immunity emphasize the need to develop broadly protective vaccines. A recombinant plant-derived virus-like particle vaccine for the ancestral COVID-19 (CoVLP) recently authorized by Canadian Health Authorities and a modified CoVLP.B1351 targeting the B.1.351 variant (both formulated with the adjuvant ASO3) were assessed in homologous and heterologous prime-boost regimen in mice. Both strategies induced strong and broadly cross-reactive neutralizing antibody (NAb) responses against several Variants of Concern (VOCs), including B.1.351/ Beta, B.1.1.7/Alpha, P.1/Gamma, B.1.617.2/Delta and B.1.1.529/Omicron strains. The neutralizing antibody (NAb) response was robust with both primary vaccination strategies and tended to be higher for almost all VOCs following the heterologous prime-boost regimen.

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## 1. Introduction

Since the declaration of a pandemic situation caused by the SARS-CoV-2 by the World Health Organisation (WHO), over 410 million cases have been reported and >5.8 million people have died from COVID-19 (WHO Coronavirus Disease (COVID-19) Dashboard, <u>https://covid19.who.int/</u>, 2021). The rapid development and approval of vaccines with efficacy up to 95% led to hope in mid-2021 that the worst of the pandemic was over [1–4]. However, the total number of COVID-19 cases is still growing rapidly worldwide with almost 300 000 reported deaths in just the last month,

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mostly attributable to highly transmissible SARS-CoV-2 Variants of Concern (VOCs). The most worrisome variants are those with mutations in the Spike (S) protein that not only enhance transmissibility but also increase virulence and evasion of vaccine-induced immunity [5-10] or resistance to neutralization by monoclonal antibodies [8,9,11]. The S protein plays a crucial role in SARS-CoV-2 infection through the interaction of its receptor binding domain (RBD) with the angiotensin-converting enzyme 2 (ACE2) receptor on host respiratory epithelial cells [12-14]. All of the currently approved vaccines target the S protein of the ancestral strain of SARS-CoV-2 identified in Wuhan and a growing number of reports demonstrate that their efficacy against mainly the B.1.351 and the B.1.617.2 variants is reduced [15-18]. Medicago has developed a SARS-CoV-2 vaccine using a platform technology based on transient expression of recombinant proteins in non-transgenic Nicotiana benthamiana plants and a disarmed Agrobacterium tumefaciens as a transfer vector to move targeted DNA constructs into the plant cells [19]. The S protein trimers displayed on the surface of the plant-derived coronavirus-like particles (CoVLP) are in a stabilized, prefusion conformation that resemble native structures on wild-type SARS-CoV-2 virions. Plant-based VLP vaccines are an





Abbreviations: ACC, Animal Care Committee; ACE2, Angiotensin-Converting Enzyme; CoVLP, Coronavirus-Like Particle; CI, Confidence Interval; CT, Cytoplasmic Tail; GMT, Geometric Mean; IM, Intramuscular/Intramuscularly; MRD, Minimum Required Dilution; NAb, Neutralizing Antibody; PBS, Phosphate Buffered Saline; PNA, Pseudovirus Neutralizing Assay; RBD, Receptor Binding Domain; S, Spike; TM, Transmembrane Domain; VOC, Variant of Concern; WHO, World Health Organisation.

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emerging production platform that has many potential advantages such as proper eukaryotic protein modification and assembly, low risk of contamination with adventitious agents, scalability, and rapid production speed [20]. Currently, several plant-based VLP vaccine candidates against pathogens such as Hepatitis B virus [21], Rabies virus [22], Influenza virus [23] and Norwalk virus [24] are under clinical development. At the time of writing, only two plant-based VLP vaccine candidates against SARS-CoV-2 have reached the clinical stage; Medicago's CoVLP has completed its primary vaccine efficacy analyses in Phase 3 (NCT04636697) and has recently been authorized by Canadian Health Authorities [25] and Kentucky Bioprocessing-201 is in Phase 1/2 (NCT04473690). Herein, we present the preclinical evaluation of a CoVLP candidate targeting the B.1.351 variant compared with the original CoVLP targeting the ancestral SARS-CoV-2 strain, both of which were formulated with AS03, an Adjuvant System containing DL- $\alpha$ -tocopherol and squalene in an oil-in-water emulsion. Both homologous and heterologous primary immunization strategies induced strong neutralizing antibody (NAb) responses with broad cross-reactivity against the B.1.1.7 (Alpha), B.1.351 (Beta), P.1 (Gamma), B.1.617.2 (Delta), and B.1.1.529 (Omicron) VOCs. SARS-CoV-2 variant strains were selected based on the WHO designation for VOCs and degree of global public health concern.

### 2. Materials and methods

2.1. AS03-Adjuvanted CoVLP vaccine and CoVLP.B1351 vaccine candidate

The full-length S glycoprotein of SARS-CoV-2 from the GISAID database (https://www.gisaid.org/), strain hCoV-19/USA/ (nucleotides sequence 21,563 to 25,384 from CA2/2020 EPI\_ISL\_406036) corresponding to the ancestral Wuhan strain for CoVLP or hCoV-19/Belgium/AZDelta05413-2105R/2021 (nucleotides sequence 21,521 to 25,342 from EPI\_ISL\_961189) for CoVLP.B1351 were expressed in Nicotiana benthamiana plants as previously described [19]. The S protein was modified at the S1/ S2 cleavage site (CoVLP: R667G, R668S and R670S substitutions; CoVLP.B1351: R682G, R683S and R685S substitutions; relative to native S protein from original B strain from EPI\_ISL\_406036) to increase stability and to stabilize the protein prefusion conformation (CoVLP: and K971P and V972P substitutions; CoVLP.B1351: K986P and V987P; relative to native S protein from original B strain from EPI\_ISL\_406036). The signal peptide was replaced with a plant gene signal peptide and the transmembrane domain (TM) and cytoplasmic tail (CT) of S protein were also replaced with TM/CT from Influenza H5 A/Indonesia/5/2005 to increase VLP assembly and budding. The self-assembled VLPs bearing S protein trimers were isolated from the plant matrix and subsequently purified using a process similar to that described for Medicago's plant-derived influenza VLP vaccine candidates [26].

The AS03 Adjuvant System, an oil-in-water emulsion containing 11.86 mg DL- $\alpha$ -tocopherol, 10.69 mg squalene and 4.86 mg Polysorbate 80 per adult human dose, was supplied by GSK, (Rixensart, Belgium) and was used as recommended by the manufacturer. The control article was phosphate buffered saline (PBS) solution with Polysorbate 80. On each dosing day, CoVLP and CoVLP.B1351 were diluted with PBS to achieve the appropriate concentration and then mixed in a 1:1 (volume:volume) ratio with adjuvant prior to administration.

# 2.2. Animals, immunizations and In-Life/Post-Mortem observations

Female specific pathogen free BALB/c mice (8 weeks old) were supplied from Charles River (St-Constant, Québec, Canada) and

the study was conducted at ITR Laboratories Canada Inc (Baie d'Urfe, Quebec, Canada). The study protocol was approved by ITR's internal Animal Care Committee (ACC) and all animals used were cared for in accordance with the principles outlined in the current "Guide to the Care and Use of Experimental Animals" published by the Canadian Council on Animal Care, the NIH's "Guide for the Care and Use of Laboratory Animals" and the Animal Research Reporting In Vivo Experiments guidance. In summary, animals were maintained under standard laboratory conditions (lighting: 12 / 12 h, temperature: 21 ± 3 °C, relative humidity: 50 ± 20%) with certified rodents pellet feed and drinking water ad libitum. The mice (8/group, except for no vaccine control; 5/group) were immunized intramuscularly (IM) with 3.75  $\,\mu g$  AS03-adjuvanted CoVLP or CoVLP.B1351 or the PBS control on Days 0 and 21 (final volume 0.1 mL; 0.05 mL per injection site). The administered dose was calculated based on the total protein content (measured by the BCA method) and adjusted for the purity of the CoVLP content. The purity is based on the relative abundance of the S protein measured by reduced SDS-PAGE and densitometry analyses. The purity of CoVLP and CoVLP.B1351 were 81% and 80% respectively. The AS03adjuvanted vaccines were administered as either a homologous (CoVLP-CoVLP or CoVLP.B1351-CoVLP.B1351) or heterologous (CoVLP-CoVLP.B1351) prime-boost during primary vaccination (Fig. 1). Mortality, clinical signs, body weight, food consumption and injection site observations were evaluated throughout the study. Macroscopic observations were performed at euthanasia on Day 35. Blood was collected on Days 0 (pre-immune), 21 and 35 to measure serum NAb levels.

### 2.3. Pseudovirus neutralization assay (PNA)

The PNA was performed by Nexelis (Laval, Quebec, Canada) using a pseudovirus based on SARS-CoV-2 ancestral Wuhan strain (reference MN908947) as previously described [27]. Analyses were performed in duplicate and included appropriate controls. The assay was qualified for the ancestral pseudovirus strain. Crossreactivity was evaluated using modified pseudovirions expressing SARS-CoV-2 S glycoproteins from representative B.1.351 (L18F. D80A, D215G, del242-244, R246I, K417N, N501Y, E484K, D614G, A701V, plus  $\Delta$ 19aa C-terminal for the PP processing), B.1.1.7 (del69-70, del144, N501Y, A570D, D614G, P681H, T716I, S982A, D1118H, plus  $\Delta$ 19aa C-terminal for the PP processing), P.1 (L18F, T20N, P26S, D138Y, R190S, K417T, E484K, N501Y, D614G, H655Y, T1027I, V1176F, plus  $\Delta$ 19aa C-terminal for the PP processing), B.1.617.2 (T19R, G142D, Del156, Del157, R158G, L452R, T478K, D614G, P681R, D950N) and B.1.1.529 (A67V, Δ69-70, T95I, G142D/Δ143-145, Δ211/L212I, ins214EPE, G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493K, G496S, Q498R, N501Y, Y505H, T547K, D614G, H655Y, N679K, P681H, N764K, D796Y, N856K, Q954H, N969K, L981F) strain sequences. In brief, serum samples were heat-inactivated at 56 ± 2 °C for 30 min and diluted in duplicates in cell growth media at a starting dilution of 1/25 or 1/250, followed by a serial dilution (2-fold dilutions, 5 times). A previously pre-determined concentration of pseudovirus was then added to diluted sera samples and pre-incubated for 1 h at 37  $^\circ C$  with CO2. The mixture was then added to pre-seeded confluent Vero E6 cells expressing the ACE2 receptor (ATCC CRL-1586) and incubated for 18-24 h at 37 °C with 5% CO<sub>2</sub>. Following incubation and removal of media. ONE-Glo EX Luciferase Assay Substrate (Promega, Madison, WI) was added to cells and incubated for 3 min at room temperature with shaking. Luminescence was measured using a SpectraMax i3x microplate reader (Molecular Devices, San Jose, CA). A titration curve was generated based on a 4-parameter logistic regression (4PL) using Microsoft Excel. The NAb titer was defined as the reciprocal of the sample dilution for which the luminescence was equal to a



**Fig. 1. Experimental Design** Female BALB/c mice were intramuscularly vaccinated twice (Days 0 and 21) with 0.1 mL of control (PBS), CoVLP or CoVLP.B1351 adjuvanted with AS03 (final CoVLP dose of 3.75 μg) as homologous or heterologous prime-boost regimen. n, number of mice within the group. Syringe indicates immunization day. Red drop indicates blood collection day.

pre-determined cut-point value corresponding to 50 % neutralization. Responders were considered positive if the NAb titer was  $\geq$  25. NAb results presented in the current study were obtained using pseudotyped SARS-CoV-2 virus. Note that results obtained with PNA generally correlates with live virus based microneutralization assay [28].

### 2.4. Statistical analyses

The descriptive statistics and statistical comparisons were performed using GraphPad Prism software (Version 8.4.2; GraphPad Prism Software, La Jolla, CA, USA). The geometric mean titers (GMT) of NAb titers with 95 % confidence intervals (CI) and percentage of positive responders were calculated for each group of mice. A titer value of 12.5 was attributed to titers lower than the minimum required dilution (MRD) (i.e., 1/25). Statistical comparisons to evaluate differences between groups were performed using either a one-way ANOVA followed by a Tukey post hoc test, or a two-way ANOVA followed by a Bonferroni post hoc test on log<sub>10</sub>-transformed antibody titers. Wilcoxon matched-pairs signed rank was used to assess differences between the various SARS-CoV-2 pseudovirus strains. The threshold for statistical significance was set to p < 0.05.

## 3. Results

# 3.1. Neutralizing and Cross-Reactive antibodies induced by ASO3-Adjuvanted CoVLP and CoVLP.B1351 following homologous Prime-Boost primary vaccination strategies

In this study, mice were immunized following either a homologous or heterologous prime-boost regimen with AS03-adjuvanted CoVLP and/or CoVLP.B1351 (Fig. 1). A single dose of either AS03-adjuvanted CoVLP or CoVLP.B1351 induced a significant NAb response against the homologous strain (CoVLP versus ancestral strain: GMT 661 [95% CI: 454–963]; CoVLP.B1351 versus the B.1.351 strain: GMT 1 066 [95% CI: 852–1 333](Fig. 2A). All animals in the CoVLP and CoVLP.1351 groups respectively mounted a response above the MRD after the first dose. Cross-reactivity was also observed (Fig. 2B) following a single dose of either CoVLP or CoVLP.B1351 when tested against heterologous strains. These responses were ~ 2x lower than against its homologous viral strain (both p < 0.01). NAb responses induced by either AS03-adjuvanted CoVLP or CoVLP.B1351 were increased approximately 10-fold after

the boost (both p < 0.0001) with GMT against the homologous strain of 7 183 [95% CI: 4859–10 618] and 10 037 [95% CI: 5816–17 324] for CoVLP and CoVLP.1351 respectively. Cross-reactive responses after two doses with either CoVLP or CoVLP. B1351 were increased to similar levels against the opposite strain (Fig. 2C): CoVLP-CoVLP against the B.1.351 strain 6 363 [95% CI: 4 093–9 893] and CoVLP.1351-CoVLP.B1351 against the ancestral strain 6 066 [95% CI: 4 628–7 952] (both p > 0.05).

### 3.2. High levels of Cross-Reactive response against other VOCs

Both homologous prime-boost strategies (CoVLP-CoVLP or CoVLP.B1351- CoVLP.B1351) induced high levels of cross-reactive NAbs against several other VOCs including B.1.1.7 and P.1. (Fig. 2C). A significant decrease in NAbs was observed against the B.1.617.2 and B.1.1.529 variants (Fig. 2C). The degree of crossreactive neutralization induced by AS03-adjuvanted CoVLP-CoVLP was similar to that elicited by AS03-adjuvanted CoVLP-B1351-CoVLP.B1351 (p > 0.05) (Fig. 3) except for the P.1 and B.1.1.529 strains, for which the latter strategy generated significantly higher titers (P.1 : GMT 22 380 [95% CI: 14 529-34 473] versus 9 929 [95% CI: 5 843-16 872], B.1.1.529: GMT 3 218 [95% CI: 1 547–6 693] versus 470 [95% CI: 218–1 016]: *p* < 0.05) (Fig. 3D and 3F). As previously shown in Fig. 2C, the degree of crossneutralization for the AS03-adjuvanted CoVLP-CoVLP homologous prime-boost regimen varied across the tested VOCs as follows: P. 1 > B.1.1.7 > B.1.351 > B.1.617.2 > B.1.1.529 and for the CoVLP. B1351-CoVLP.B1351 regimen (Fig. 2C): P.1 > ancestral/B.1.1.7 > B. 1.1.529 > B.1.617.2.

# 3.3. Heterologous Prime-Boost vaccination also induced a strong and Cross-Reactive antibody response to VOCs

The heterologous, AS03-adjuvanted CoVLP-CoVLP.B1351 vaccination also successfully induced high titers of NAbs against both strains included in the regimen as well as the other VOCs tested (Fig. 3), with the exception of the B.1.1.529 variant, for which NAb levels were 12–15 folds lower compared to the ancestral and the B.1.351 strains. Compared to the AS03-adjuvanted CoVLP-CoVLP group, the heterologous prime-boost group induced a significantly greater cross-reactive response for the B.1.351 (Fig. 3B) and P.1 (Fig. 3D) VOCs (p < 0.05). Compared to the coVLP.B1351-CoVLP.B1351 homologous regimen, only the response against the ancestral strain was higher in the heterologous prime heterologous regimen.



**B.** Cross-Reactive Comparisons after the First Dose

# A. Neutralizing Antibody Response





**Fig. 2. Serum Neutralizing Antibody Response and Cross-Reactivity Comparisons of AS03-Adjuvanted CoVLP or CoVLP.B1351 Following Homologous Prime-Boost Regimen.** BALB/c mice (n = 8) were immunized IM on Days 0 and 21 with 3.75 µg of CoVLP or CoVLP.B1351 formulated with AS03 adjuvant. NAb titers were measured against SARS-CoV-2 pseudoparticles in serum samples using a cell-based PNA targeting the ancestral or B.1.351 strains. Half of the minimum required dilution (MRD) of the method was assigned to non-responders (i.e. 12.5). (A) GMT with 95 % CI measured 21 days after the 1st immunization (Day 21) and 14 days after the 2nd immunization (Day 35). Statistical comparisons were performed using a two-way ANOVA followed by a Bonferroni post hoc test on  $log_{10}$ -transformed NAb titers. (B-C) Results from individual mouse serum samples (n = 8 per antigen) are represented as dots on each figure with lines connecting ancestral of B.1.351 to the B.1.351, B.1.1.7, P.1, B.1.617.2 or B.1.1.529 neutralization titers. Statistical comparisons were performed using Wilcoxon matched pairs signed rank test. *p*-values are indicated on the graphs. ns: Not significant (p > 0.05).

gous prime-boost group (Fig. 3A; p < 0.05). Again, a significantly lower response against the B.1.1.529 strain was observed (Fig. 3F; p < 0.05). The amplitude of the cross-reactive neutralizing antibody response after heterologous prime-boost vaccination varied across the strains tested (Fig. 4): P.1 > B.1.351 > ancestral/B.1.1. 7 > B.1.617.2 > B.1.1.529.

# 3.4. Safety of CoVLP and CoVLP.B1351 vaccines in animals

Overall, no safety concerns were raised following homologous prime-boost strategies or the heterologous strategy. The postimmunization variations observed for body weight and food consumption were transient and/or within the normal variations (Figures S1 and S2). An unexpected increase in food consumption was observed in the CoVLP + AS03 group between Days 7–14 that was likely attributable to eating-like behavior (i.e. stashing of food pellets at the bottom of the cage) in a small number of the animals in this group. Transient signs of discomfort (Table S1) and inflammation at the dosing sites (edema and erythema) were reported in all treated groups following the prime (Figure S3). All observations generally subsided within 10–14 days and were no longer seen prior to the second administration. After



CoVLP









# B. B.1.351









Fig. 3. Cross-Reactive Neutralization against the ancestral, Beta (B.1.351) Alpha (B.1.1.7), Gamma (P.1), Delta (B.1.617.2), and Omicron (B.1.1.529) strains Following Homologous or Heterologous Prime-Boost Regimen. BALB/c mice (n = 8) were immunized IM on Days 0 and 21 with 3.75 µg of CoVLP.B1351 or CoVLP (both formulated with AS03 adjuvant). NAb titers were measured against SARS-CoV-2 pseudoparticles in serum samples using a cell-based PNA targeting the ancestral, B.1.351, B.1.1.7, P.1, B.1.617.2 or B.1.1.529 strains, Half of the minimum required dilution (MRD) of the method was assigned to non-responders (i.e. 12.5). GMT with 95 % CI obtained 14 days after the boost (Day 35) for the (A) ancestral, (B) B.1.351, (C) B.1.1.7, (D) P.1, (E) B.1.617.2 or (F) B.1.1.529 strains. Statistical comparisons between the CoVLP-treated groups were performed using a One-way ANOVA followed by a Tukey post hoc test (Day 35) on log<sub>10</sub>-transformed NAb titers. Significant differences are indicated with *p*-values on the graphs.

the second administration, no signs of reactogenicity or discomfort were reported at the injection site. No macroscopic anomalies were reported following euthanasia and collection of organs and tissues.

### 4. Discussion and conclusions

The first anti-COVID-19 vaccines were approved for emergency use within a year of the start of the pandemic with reported

# [Prime] CoVLP + AS03 & [Boost] CoVLP.B1351 + AS03



Fig. 4. Cross-Reactivity Comparisons against Alpha (B.1.1.7), Gamma (P.1), Delta (B.1.617.2), and Omicron (B.1.1.529) strains Following Heterologous Prime-Boost Regimen. BALB/c mice (n = 8) were immunized IM on Days 0 with 3.75 µg CoVLP and 21 with 3.75 µg of CoVLP.B1351 (both formulated with ASO3 adjuvant). NAb titers were measured against SARS-CoV-2 pseudoparticles in serum samples using a cell-based PNA targeting the ancestral, B.1.351, B.1.1.7, P.1, B.1.617.2 or B.1.1.529 strains. Half of the minimum required dilution (MRD) of the method was assigned to non-responders (i.e. 12.5). Results from individual mouse sera (n = 8 per antigen) are represented as dots on each figure with lines connecting the ancestral or B.1.351 variant to the B.1.351, B.1.1.7, P.1, B.1.617.2 or B.1.1.529 neutralization titers. Statistical comparisons were performed using Wilcoxon matched pairs signed rank test. *p*-values are indicated on the graphs. ns: Not significant (*p* > 0.05).

efficacies ranging from 50 to 95% [29]. Simultaneously however, multiple viral variants have emerged in different geographic regions with varied transmissibility, virulence and resistance to vaccine-induced immunity [30–35]. Many parts of the world have experienced rapid replacement of the ancestral Wuhan-like strain with one or a sequence of these variants of concern "VOCs". The different waves of variants has complicated diagnostic efforts in some cases [8,9,36] and generally frustrated efforts to control the spread and impact of the pandemic [37]. Among the most important VOCs that have emerged over the last year include the B.1.1.7, B.1.351, P.1/B.1.1.248, B.1.617.2 and B.1.1.529 strains [38–41].

The rapid worldwide spread of some VOCs can be attributed to enhanced transmissibility. For example, transmission of the B.1.617.2 variant is at least 40% greater than the ancestral or B.1.1.7 strains [42,43] and it is estimated that the transmission rate of the recent B.1.1.529 variant is at least 4-times higher than the ancestral strain [44]. Several lines of evidence including animal models and epidemiological observations suggest that this increased transmissibility is related to Spike protein mutations [45,46] such as D614G [47,48], P681R [49] or K417N/E484K/ N501Y [50]. These mutations have significant functional effects related to transmissibility such as accelerated cell-to-cell spread [49] and the generation of higher virus loads in the upper airways when compared to the B.1.1.7 strain [42]. Although the relationship between different mutations and disease severity is not yet fully understood [51], recent evidence suggests that the B.1.1.7 variant is associated with a higher risk of emergency care consultation and hospital admission for unvaccinated individuals compared with B.1.1.7 variants [35]. It is very clear however that some of these mutations can confer significant resistance to antibody neutralization in vitro, particularly those present in the B.1.351 [52-54], B.1.617.2 [55], and B.1.1.529 [56] strains raising important concerns about the countermeasures available to overcome the COVID-19 pandemic [57]. Indeed, reduced neutralizing efficacy of antibodies from several of the deployed vaccines has already been demonstrated against the P.1/B.1.1.248, B.1.351, B.1.617.2, and B.1.1.529 variants in both clinical trials and realworld evidence studies [5,11,18,58,59]. In several randomized controlled trials with different vaccines, efficacy against the B.1.351 variant was observed to fall by 33–84% [15–17,60]

Although most of the deployed vaccines continue to have good efficacy against severe disease induced by most of the VOCs identified to date [15,61] and there is evidence of convergent evolution [62,63], it is unlikely that SARS-CoV-2 has fully exhausted its genetic repertoire. These observations highlight the need both to evaluate the ability of vaccines already deployed or in advanced development to neutralize the VOCs and to develop next generation vaccines with broader cross-reactivity. In this study, the cross-reactive neutralizing antibody responses elicited by AS03adjuvanted CoVLP (targeting the ancestral SARS-CoV-2 strain) were generally promising. Despite slight (1-2x) reductions in neutralizing activity for the B.1.351 (-1.1x) and B.1.617.2 (-2.0x) variants compared to the ancestral strain, two doses of CoVLP with AS03 still elicited high levels of serum cross-neutralizing antibodies against the VOCs tested. These observations are similar to those reported with other SARS-CoV-2 vaccines targeting the ancestral S protein [58,64] although the decrement in neutralization for VOCs was less pronounced for CoVLP, particularly for the B.1.351 strain. Of particular note, similar trends were observed in recently reported results on neutralization of VOCs with human serum samples collected in Medicago's ongoing clinical development program of AS03-adjuvanted CoVLP administered at 3.75 µg [65]. The B.1.1.529 variant is now well known to escape neutralization by many monoclonal antibodies and vaccine-induced humoral responses that are active against other SARS-CoV-2 variants

[43,56,59]. Hence it is not a surprise that an important decrease in the neutralizing potential of antibodies against the B.1.1.529 variant strain was observed in the current study. Similar reductions in neutralizing antibody titers have been reported in both clinical trials [43,56,59] and studies in non-human primates immunized with ancestral SARS-CoV-2 vaccines [66,67]. In the current study, the decrease in neutralizing activity was less pronounced following the administration of the candidate B.1.351 vaccine compared to the vaccine based on the ancestral strain, possibly due to the closer phylogenic relationship between B.1.351 and the Omicron variants [68].

Despite these promising data, it is possible that one or more VOCs will eventually emerge that is/are no longer effectively neutralized by vaccine-induced immunity. It is in this context that Medicago and others have chosen to develop next-generation vaccine candidates targeting the B.1.351 strain since this strain is one of the most antigenically distant VOC to emerge to date. The B.1.351 variant has consistently proved to be difficult to neutralize in vitro [69,70] and has caused large decrements in vaccine efficacy in several randomized controlled trials [15–17,61]. In the current study, animals that received two doses of either AS03-adjuvanted CoVLP or CoVLP.B1351 mounted neutralizing antibody responses that were comparable for both homologous and heterologous strains while reports for other candidate B.1.351 vaccines in mice have shown either strong homologous (ie: B.1.351-specific) responses only [71] or the requirement for three doses to achieve high levels of NAbs [64]. The pattern of the NAb response was consistent across multiple VOCs in the current study with the CoVLP. B1351 candidate generally eliciting higher titers than the ancestral CoVLP and this difference reached significance for the P.1 (2.3x)and the B.1.1.529 (6.8x) strains. Although the level of crossneutralization in the animals that received CoVLP.1351 was lower for the B.1.1.7 (-1.6x) and B.1.617.2 (-4.0x) variants compared to the homologous response, such differences are expected given the genetic and antigen 'distance' between these VOCs [43]. Furthermore, while these relative decreases were observed, the absolute titers of cross-reactive antibodies induced by two doses of CoVLP.B1351 with AS03 against the VOCs tested was still substantial. These findings are consistent with observations of others [64,71,72] and suggest that vaccines targeting the original Wuhan-like strain may be eventually become suboptimal in the next stages of the pandemic, opening the door to less conventional vaccination approaches including heterologous prime-boost strategies.

Concern over the ability of any single S protein antigen to elicit a broad enough response to neutralize all of the known and possibly future VOCs prompted us to evaluate the possible benefits of a heterologous prime-boost strategy with the Wuhan-like CoVLP as the prime and CoVLP.B1351 as the boost; both adjuvanted with AS03. Heterologous vaccination strategies that use two distinct platforms and/or deliver two slightly different antigens have shown considerable promises for a wide range of viral pathogens that rapidly mutate such as HIV [73], hepatitis C virus [74] or influenza to both broaden the immune response and focus the response on conserved epitopes [75]. This approach was largely confirmed in the current study since the neutralizing antibody titers were consistently higher in the animals that had received the AS03adjuvanted CoVLP-CoVLP.B1351 regimen, reaching statistical significance over the AS03-adjuvanted CoVLP-CoVLP regimen for B.1.351 and P.1 strains and over the ASO3-adjuvanted CoVLP. B1351-CoVLP.B1351 regimen for the ancestral strain. It is not currently known if these differences between high and very high neutralizing antibody responses will have any clinical significance. However, induction of very high initial titers is likely desirable

since it is well-documented that antibody titers wane substantially with time after both natural disease and vaccination [76]. These observations are similar to the results recently released by others [72,77] [Pfizer, Novavax] but distinct from those reported by Moderna [71] in that no evidence of original antigenic sin was noted [78]. Since these animals only received two doses, it is currently unknown how humoral response against VOCs would be influenced by a third (booster) dose but others have reported very high and cross-protective neutralizing antibody responses both in animals [64,71] and human trials [65,79,80] after this additional dose.

Finally, it is worth noting that these observations focus entirely on vaccine-induced antibody responses and particularly on the induction of antibodies capable of neutralizing SARS-CoV-2 variants in vitro. Although many consider NAb levels to be a good candidate for a correlate of protection [81], this is a fairly limited evaluation of vaccine-induced immunity and it is very likely that non-neutralizing but functional antibodies and cellular responses also contribute to vaccine-induced protection [82]. Data from a large non-human primate study [83] as well as ongoing clinical trials [27,65,84] demonstrate that AS03-adjuvanted CoVLP stimulates multiple arms of the adaptive response to SARS-CoV-2. Results from Medicago's ongoing pivotal Phase 3 efficacy study [25] (NCT04636697), performed in different regions of the world where several VOCs have been circulating, demonstrated a good protection of the CoVLP vaccine (targeting the ancestral strain) against several VOCs such as B.1.617.2 and P.1. These results are in line with the non-clinical cross-neutralization data presented in this study. Based on these Phase 3 results, it is unclear what immediate benefit might be gained by switching to a heterologous primeboost strategy for primary vaccination. However, both the magnitude and the breadth of response need to be considered as SARS-CoV-2 continues to mutate under increasing immune pressure including the most recent example of the B.1.1.529 variant. The data presented herein suggest two doses of AS03-adjuvanted CoVLP or CoVLP.B1351 can induce a strong immune response against a broad range of VOCs. Moreover, recently published preclinical data also highlight the added value of a third dose [64.71]. These observations provide further support for the growing body of data suggesting that the use of heterologous antigens, whether B.1.351 of some new VOC yet to emerge, in either primary or third-dose booster strategies may have advantages over traditional homologous antigen vaccination approaches and further clinical trials will be needed to confirm the efficacy of such vaccination strategies.

### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

CD, SPR, GA, MAD, BJW and ST are either employees of Medicago Inc or receive salary support from Medicago Inc.

CG is an employee of the GSK group of companies and reports ownership of GSK shares.

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## **Author Contributions**

All authors contributed significantly to the submitted work. CD, SPR, GA, CG, BJW and ST contributed to design and execution of the study as well as analyses and presentation of the data. All authors contributed to critical review of the data and the writing of the manuscript. All Medicago authors had full access to the data.

### Appendix A. Supplementary material

Supplementary data to this article can be found online at https://doi.org/10.1016/j.vaccine.2022.05.046.

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