

Correlation of Clinicopathological Profile, Prognostic Factors, and Survival Outcomes with Baseline Alfa-Fetoprotein Levels in Patients With Hepatocellular Carcinoma: A Biomarker that is Bruised but Not Broken



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Background and aims: The role of Alfa-fetoprotein (AFP) in the management of hepatocellular carcinoma (HCC) is still debated, with differences in recommendations between international guidelines. We analyzed the relationship of the clinicopathological profile, prognostic features, and survival outcomes with baseline serum AFP levels in patients with HCC. **Methods:** Retrospective analysis of a prospectively accrued dataset of consecutive HCC patients was done. **Results:** 508 treatment naive patients were included in the analysis. AFP at presentation was normal (<10 ng/ml) in 18% patients. Patients with very high AFP (>400 ng/ml) had poor hepatic reserves (higher mean serum bilirubin, AST, ALT, INR, and lower mean albumin) and advanced disease at presentation (higher incidence of extrahepatic metastasis, and less proportion of patients with well-differentiated tumors). AFP >400 ng/ml was an independent predictor for presence of portal vein tumor thrombosis (PVTT) (OR, 4.08; 95% CI, 2.34–7.12; $P < 0.001$), higher tumor size (OR, 2.19; 95% CI, 1.36–3.54, $P = 0.001$) and advanced BCLC stage (OR, 4.19; 95% CI, 2.51–7.03; $P < 0.001$). Two-third of patients with small HCC (MTD <3 cm) and more than half with early-stage HCC (BCLC stage 0/A) had elevated AFP levels. No significant relationship was seen between overall survival (OS) and baseline AFP in patients who underwent surgery, but median OS in patients subjected to nonsurgical therapies was 19.4, 10.5 and 5.7 months in patients having AFP <10 ng/ml, 10–400 ng/ml and >400 ng/ml respectively ($P = 0.003$). AFP >400 ng/ml was an independent predictor of survival in patients receiving any form of therapy (HR = 2.23; 95% CI = 1.19–4.18, $P = 0.012$). **Conclusion:** AFP as a biomarker still has a significant role to play in the management of HCC patients and is here to stay till the search for an ideal biomarker in HCC is over. (J CLIN EXP HEPATOL 2022;12:841–852)

Hepatocellular carcinoma (HCC) is the commonest primary liver cancer, the sixth most common cancer overall, and the fourth most common

cause of cancer-related mortality worldwide.¹ Alfa-fetoprotein (AFP) is an alpha₁-globulin normally present in high concentration in fetal serum; serum AFP levels are extremely low in adults.² AFP is the most widely used and studied tumor biomarker in HCC patients. AFP measurements are routinely used for the diagnosis, surveillance, and prognostication in HCC patients.

Existing western and Asian guidelines do not recommend the use of AFP for diagnosis of HCC due to its low sensitivity and specificity^{3–6} and its optimal diagnostic threshold for HCC is still controversial.⁷ In addition, AFP levels can be raised due to other malignancies (gastric, gonadal) and benign causes like pregnancy and hepatitis B virus (HBV) and hepatitis C virus (HCV) related chronic liver disease.^{8–12} While its use as a surveillance tool is not mandatory as per western guidelines, most Asian societies and recent evidence suggest its use in addition to abdominal ultrasound.^{3–6,13–16}

Studies on the use of AFP as a prognostic marker following treatment for HCC have shown variable results,

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Abbreviations: AFP: Alfa-fetoprotein; BCLC: Barcelona clinic liver cancer; BSC: Best supportive care; EHM: Extrahepatic metastasis; HBV: Hepatitis B virus; HBHC: HBV or HCV related; HCC: Hepatocellular carcinoma; HCV: Hepatitis C virus; MTD: Maximum tumor diameter; MDT: Multi-disciplinary team; NAFLD: Nonalcoholic fatty liver disease; NBNC: Non B Non C related; OS: Overall survival; PVTT: Portal vein tumor thrombosis; RFA: Radiofrequency ablation; SBRT: Stereotactic body radiation therapy; TACE: Transarterial chemo-embolization; TARE: Transarterial radio-embolization

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with some studies showing baseline elevated AFP levels to be a robust predictor of poor overall survival (OS) and recurrence-free survival,^{17–25} while others did not find it to be a good prognostic indicator.^{26–28} AFP is not included in most current staging systems²⁹ and decision algorithms of HCC treatment except in patients eligible for liver transplantation where AFP more than 1000 ng/mL is associated with a high rate of recurrence and poor prognosis.^{30–32}

Available data on the utility of AFP have used the different cut off levels to evaluate mostly retrospective data and have largely focused on patients after hepatectomy for survival outcomes.³³ There is a scarcity of data focusing on clinical and prognostic implications of AFP from India where HBV related chronic liver disease is the commonest cause of HCC; but nonviral etiologies specifically nonalcoholic fatty liver disease (NAFLD) are on a rising trend similar to Asia, which can impact baseline AFP levels.³⁴ We planned a study to analyze the relationship of the clinicopathological profile, prognostic factors, and survival outcomes with serum AFP levels at the time of diagnosis in treatment naïve patients with HCC.

METHODS

We performed a retrospective analysis of a prospectively collected dataset of 553 consecutive HCC patients registered in the hepatobiliary unit at the Tata Memorial Hospital, a referral cancer center in India, between June 2017 and September 2019. These patients were recruited on another ongoing HCC study approved by the institutional ethics committee (project number 1875). Patients who had received any form of treatment prior to presenting at our center were excluded from analysis (n = 45).

The patient's demographic and clinical details were noted, along with investigation results. HCC was diagnosed as per EASL and AASLD guidelines.^{3,4} All patients with a histological confirmation of diagnosis (n = 138) [biopsy, n = 94 or post-resection histopathological examination (HPE), n = 44], had a grading as well/moderate/poor/undifferentiated tumors where possible by an expert gastrointestinal onco-pathologist at our center.

Serum AFP levels were measured at baseline for all patients by the Chemiluminescent Microparticle Immunoassay method. Patients were divided into three groups as per AFP levels - normal (<10 ng/ml), elevated (10–400 ng/ml), and very high (>400 ng/ml). Although many studies used a 20 ng/ml cutoff for AFP, we used a lower cutoff of <10 ng/ml. AFP levels decline to <10 ng/ml within 300 days of birth,³⁵ and thus, 10 ng/ml may be the best cutoff for the normal range in adults; 400 ng/ml was taken as the upper cutoff value, as values beyond this are considered indicative of HCC in most studies. Size of largest tumor nodule was depicted as maximum tumor diameter (MTD) and divided into <3 cm, 3–5 cm, and >5 cm.

As per etiology, patients were divided into two broad groups- HBV or HCV related (HBHC) or Non-B Non-C related (NBNC) HCC. Patients with occult Hepatitis B (IgG Anti HBc + with detectable HBV DNA) without any other risk factor were included in HBHC related HCC group. Diagnosis of alcohol-related HCC was made based on the history of significant alcohol intake (≥ 40 –60 gm per day for >10 years). Patients without a history of alcohol intake, negative for viral markers and other etiologies, with the presence or history of two of the metabolic risk factors were diagnosed as having a NAFLD associated HCC. Patients were diagnosed as having cirrhosis related to Budd-Chiari syndrome in an appropriate clinical setting and presence of radiological findings and exclusion of other causes. Etiology was labeled as cryptogenic when no cause could be identified after adequate evaluation.

Barcelona clinic liver cancer (BCLC)³⁶ stage was noted. The treatment plan was made by a multidisciplinary team (MDT). Nonsurgical therapies included ablative therapies (radiofrequency ablation- RFA), loco-regional therapies (transarterial chemo-embolization- TACE, transarterial radio-embolization- TARE, stereotactic body radiation therapy - SBRT), and systemic therapies (Sorafenib/Lenvatinib as first-line therapy followed by Regorafenib or immunotherapy). Patients who did not receive any therapy or were planned for best supportive care (BSC) were excluded from survival analysis (n = 295). Patients were followed until mid-June 2020, or until the time of death.

Statistical Analysis

Data were collected and analyzed using the statistical package for the social sciences, version 23 (IBM Corp., Armonk, New York, USA). Mean and SD for continuous variables, and relative frequency for categorical variables, were used as indices of centrality and dispersion of the distribution. For categorical variables, the Chi-square and z test for proportions were used. Mann-Whitney U test was used to test the difference between two continuous categories, and the Kruskal-Wallis rank test to test the difference among the three groups. Dunn posthoc analysis was done to evaluate pairwise comparison among three groups.

The logistic regression analysis model was used to estimate the univariate and multivariate effects of the AFP levels regarding various prognostic variables (tumor size, PVTT, pathological grade, extrahepatic metastasis [EHM], and BCLC stage). Cut off of 40 years for young age, size above 5 cm, and AFP levels >400 ng/ml were used in regression analysis. Overall survival was estimated by the Kaplan-Meier method and compared by the log-rank test. The Cox proportional hazards model was used to estimate the univariate and multivariate effects of the different factors associated with overall survival in patients who received any form of cancer-directed treatment. P-value was considered significant if less than 0.05.

Table 1 Comparison of Baseline Demographic, Laboratory, and Clinicopathological Parameters between AFP Groups.

Parameter	AFP<10 n = 92	AFP 10–400 n = 146	AFP>400 n = 270	P value	Pairwise comparisons P value		
					(a) vs (b)	(a) vs (c)	(b) vs (c)
Age	57.9 ± 13.5	58.3 ± 11.9	53.7 ± 12.6	<0.001 ¹	0.571 ²	0.025 ²	<0.001 ²
Gender				0.702 ³			
Male	82 (89.1%)	125 (85.6%)	237 (87.8%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Female	10 (10.9%)	21 (14.4%)	33 (12.2%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Etiology				0.129 ³			
HBHC	49 (53.3%)	85 (58.2%)	174 (64.4%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
NBNC	43 (46.7%)	61 (41.8%)	96 (35.6%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Bilirubin	1.7 ± 2.2	1.8 ± 1.4	2.3 ± 3.0	<0.001 ¹	0.006 ²	<0.001 ²	0.410 ²
Albumin	3.6 ± 0.6	3.4 ± 0.6	3.4 ± 0.6	0.024 ¹	0.023 ²	0.075 ²	0.409 ²
AST	82.7 ± 139.6	105.2 ± 78.7	155.7 ± 145.5	<0.001 ¹	<0.001 ²	<0.001 ²	0.002 ²
ALT	53.1 ± 54.2	67.7 ± 50.3	70.8 ± 53.8	<0.001 ¹	<0.001 ²	<0.001 ²	0.394 ²
INR	1.13 ± 0.22	1.17 ± 0.22	1.22 ± 0.31	0.018 ¹	0.459 ²	0.016 ²	0.475 ²
Extrahepatic metastasis (yes)	13 (14.1%)	27 (18.5%)	69 (25.6%)	0.041 ³	>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
PVTT (yes)	21 (22.8%)	56 (38.4%)	157 (58.1%)	<0.001 ³	<0.05 ⁴	<0.05 ⁴	<0.05 ⁴
MTD (cm)	7.6 ± 3.5	8.3 ± 3.6	9.1 ± 3.4	<0.001 ¹	0.339 ²	0.001 ²	0.05 ²
BCLC stage				<0.001 ³			
0	0	2 (1.4%)	1 (0.4%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
A	16 (17.4%)	11 (7.5%)	5 (1.9%)		>0.05 ⁴	<0.05 ⁴	<0.05 ⁴
B	41 (44.6%)	58 (39.7%)	63 (23.3%)		>0.05 ⁴	<0.05 ⁴	<0.05 ⁴
C	25 (27.2%)	58 (39.7%)	149 (55.2%)		>0.05 ⁴	<0.05 ⁴	<0.05 ⁴
D	10 (10.9%)	17 (11.6%)	52 (19.3%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Child Pugh stage				0.038 ³			
A	41 (44.6%)	60 (41.1%)	98 (36.3%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
B	17 (18.5%)	50 (34.2%)	75 (27.8%)		<0.05 ⁴	>0.05 ⁴	>0.05 ⁴
C	8 (8.7%)	12 (8.2%)	37 (13.7%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Noncirrhotic	26 (28.3%)	24 (16.4%)	60 (22.2%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Bilobar disease	38 (41.3%)	60 (41.1%)	136 (50.4%)	0.116 ³	>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Pathological grade (n=138)				<0.041 ³			
Well	17 (44.7%)	9 (22%)	8 (13.6%)		<0.05 ⁴	<0.05 ⁴	>0.05 ⁴
Moderate	14 (36.8%)	20 (48.8%)	30 (50.8%)		>0.05 ⁴	>0.05 ⁴	>0.05
Poor	6 (15.8%)	10 (24.4%)	19 (32.2%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
Undifferentiated	1 (2.6%)	2 (4.9%)	2 (3.4%)		>0.05 ⁴	>0.05 ⁴	>0.05 ⁴
MTD (cm)				<0.005 ³			
<3	10 (10.9%)	10 (6.8%)	10 (3.1%)		>0.05 ⁴	<0.05 ⁴	>0.05 ⁴
3–5	16 (17.4%)	20 (13.7%)	22 (8.1%)		>0.05 ⁴	<0.05 ⁴	>0.05 ⁴
>5	66 (71.7%)	116 (79.5%)	238 (88.1%)		>0.05 ⁴	<0.05 ⁴	>0.05 ⁴

All values: Means ± Standard Deviation as continuous; Frequencies and percentage (%) as categorical. AFP (ng/ml), Albumin (G/dl), AST/ALT (iu/L), Bilirubin (mg/dl), MTD (cm).

1. Kruskal–Wallis test 2. Dunn posthoc method 3. Chi-square 4. z test to compare column proportions with adjusted P values (Bonferroni method).

RESULTS

Baseline Demography, Tumor Characteristics, and AFP Levels

A total of 508 patients were analyzed. AFP levels at presentation were normal (<10 ng/ml) in 92 patients (18%), between 10 and 400 ng/ml in 146 patients (29%) and >400 ng/ml in 270 (53%). HBV-related HCC was the commonest etiological subgroup overall (240 patients, 47%). [Supplementary Table 1](#) depicts etiological breakup across AFP groups, as well as the total percentage of each etiology in the whole cohort. There was no significant difference in the proportion of patients with HBV and HCV infection across AFP groups. Comparison between three AFP groups, as well as pairwise comparison of demography, laboratory parameters, and tumor characteristics, are depicted in [Table 1](#).

On the evaluation of prognostic variables, there was an increase in the percentage of patients with PVTT ($P < 0.001$), EHM ($P = 0.041$), and larger MTD (< 0.001)

from lower to higher AFP groups. There was a statistically significant difference between three AFP groups in terms of BCLC stage at presentation ($P < 0.001$) with a trend toward an increase in BCLC C and BCLC D stage patients with AFP >400 ng/ml. 66.7% of patients with MTD <3 cm had above normal AFP levels.

Association Between AFP Levels and PVTT

46% of patients had macroscopic PVTT at presentation, diagnosed on imaging. On multivariate logistic regression, AFP levels >400 ng/ml (OR, 4.08; 95% CI, 2.34–7.12; $P < 0.001$) was an independent predictor of PVTT in HCC patients ([Table 2](#)). The OR for PVTT increased significantly with increasing AFP levels above normal.

Association Between AFP Levels and MTD

On multivariate logistic regression analysis, age ≤ 40 and AFP >400 ng/ml were independent predictors of MTD in patients of HCC ([Table 3](#)).

Table 2 Univariate and Multivariable Logistic Regression Analysis of the Association of AFP Levels With Portal Vein Tumor Thrombosis (PVTT).

Variable	PVTT		P value	OR (95% CI) (univariate)	OR (95% CI, P value) (multivariate)
	Absent n = 274	Present n = 234			
Gender			0.047 ¹		
Female	42 (15.3%)	22 (9.4%)		Ref	
Male	232 (84.7%)	212 (90.6%)		1.74 (1.01–3.01)	1.69 (0.95–3.01, $P = 0.07$)
Age			0.034 ¹		
≤ 40	32 (11.7%)	43 (18.4%)		1.70 (1.03–2.79)	1.30 (0.76–2.21, $P = 0.335$)
>40	242 (88.3%)	191 (81.6%)		Ref	
Etiology			0.043 ¹		
NBNC	119 (43.4%)	81 (34.6%)		Ref	
HBHC	155 (56.6%)	153 (65.4%)		1.45 (1.01–2.08)	1.31 (0.89–1.92, $P = 0.174$)
AFP (ng/ml)					
(mean \pm SD)	36314.2 \pm 128780.1	106346.9 \pm 300565.7	<0.001 ²		
AFP (ng/ml)					
<10	71 (25.9%)	21 (9%)		Ref	
10–400	90 (32.8%)	56 (23.9%)		2.10 (1.16–3.79, $P = 0.014$)	2.00 (1.09–3.66, $P = 0.024$)
>400	113 (41.2%)	157 (67.1%)		4.69 (2.73–8.09, $P < 0.001$)	4.08 (2.34–7.12, $p < 0.001$)
AFP (ng/ml)			<0.001 ¹		
≤ 400	161 (58.8%)	77 (32.9%)		Ref	
>400	113 (41.2%)	157 (67.1%)		2.91 (2.02–4.18)	4.08 (2.34–7.12, $p < 0.001$)
MTD (cm)			<0.001 ¹		
≤ 5	68 (24.8%)	20 (8.5%)		Ref	
>5	206 (75.2%)	204 (91.5%)		3.53 (2.07–6.02)	2.89 (1.66–4.88, $P \text{ value} < 0.001$)

All values: Means \pm Standard Deviation as continuous; Frequencies and percentage (%) as categorical.

1. Chi-square test 2. Mann–Whitney U test.

MTD (Maximum tumor diameter); AFP (Alfa-fetoprotein); HBHC (Hepatitis B and hepatitis C); NBNC (Nonhepatitis B and non-hepatitis C).

Table 3 Univariate and Multivariable Logistic Regression Analysis of the Association of AFP Levels With Maximum Tumor Diameter in cm.

Variable	Size		P value	OR (95% CI) (univariate)	OR (95% CI, P value) (multivariate)
	<5 cm n = 88	>5 cm n = 420			
Gender			0.832 ¹		NA
Male	72 (81.8%)	372 (88.6%)		Ref	
Female	16 (18.2%)	48 (11.4%)		1.72 (0.93–3.20)	
Age			0.006 ¹		
≤40	4 (4.5%)	71 (16.9%)		4.27 (1.52–12.03)	3.99 (1.41–11.29, P = 0.009)
>40	84 (95.5%)	349 (83.1%)		Ref	
Etiology			0.932 ¹		NA
HBHC	53 (60.2%)	255 (60.7%)		Ref	
NBNC	35 (39.8%)	165 (39.3%)		1.02 (0.64–1.63)	
AFP (ng/ml) Mean ± SD	58660.60 ± 240108.55	70650.01 ± 224775.15	<0.001 ²		NA
AFP(ng/ml)			0.001 ¹		
<10	26 (29.5%)	66 (15.7%)		Ref	
10–400	30 (34.1%)	116 (27.6%)		1.84 (0.59–5.64)	1.51 (0.82–2.79, P = 0.185)
>400	32 (36.4%)	238 (56.7%)		2.06 (0.73–5.76)	2.79 (1.55–5.05, P = 0.001)
AFP(ng/ml)			0.001 ¹		
≤400	56 (63.6%)	182 (43.3%)		Ref	
>400	32 (36.4%)	238 (56.7%)		2.29 (1.42–3.68)	2.19 (1.36–3.54, P = 0.001)

All values: Means ± Standard Deviation as continuous; Frequencies and percentage (%) as categorical.

1. Chi-square test 2. Mann–Whitney U test.

MTD (Maximum tumor diameter); AFP (Alfa-fetoprotein); HBHC (Hepatitis B and hepatitis C); NBNC (Nonhepatitis B and nonhepatitis C).

Association Between AFP Levels and Pathological Grades

There was no statistically significant difference between well/moderately differentiated tumors compared with poorly/undifferentiated tumors in terms of age, gender, etiology of HCC, AFP levels, and size of the tumor (Table 4).

Association Between AFP Levels and BCLC Stage

Significantly higher proportion of patients with BCLC C/D stage at presentation had very high AFP (64.6%, P ≤ 0.001) and MTD >5 cm (P = 0.001) as compared to BCLC 0/A/B stage. On multivariate logistic regression analysis, AFP levels >400 ng/ml (OR, 4.19; 95% CI, 2.51–7.03; P < 0.001) were an independent predictor of BCLC stage at presentation (Table 5).

Association Between AFP Levels and EHM

21.5% of patients had evidence of EHM at presentation. Mean serum AFP levels were significantly higher in patients with EHM, but on multivariate logistic regression analysis, only age ≤40 years and MTD >5 cm were independent predictors of EHM (Table 6).

AFP and Survival Depending Upon Treatment Received

Analysis of the association between AFP levels and overall survival in patients who underwent surgery (n = 44) did not show any statistically significant relationship between postoperative OS across AFP groups (P = 0.140). Analysis of association between AFP levels and OS in patients who underwent nonsurgical therapies (ablative therapies, loco-regional and systemic chemotherapy) [n = 169] showed a significant difference in median OS across AFP groups (P = 0.003). Median survival in months was 19.4, 10.5, and 5.7 in patients having AFP <10 ng/ml, AFP 10–400 ng/ml, and AFP >400 ng/ml, respectively (Figure 1). Supplementary Table 2 depicts the BCLC stage, AFP levels, and etiological breakup in patients who underwent surgery.

Analysis of predictors of OS in patients who received either surgical or nonsurgical therapies using cox proportional hazard model on multivariate regression analysis showed AFP levels >400 ng/ml [HR = 2.23 (95% CI = 1.19–4.18, P = 0.012)], presence of macroscopic PVT [HR = 2.65 (95% CI = 1.23–5.68, P = 0.012)] and EHM [HR = 2.42 (95% CI = 1.35–4.35, P = 0.003)] to be independent predictors of survival in these patients (Table 7).

Table 4 Univariate and Multivariable Logistic Regression Analysis of the Association of AFP Levels With Pathological Grade of Tumor.

Variable	Pathological grade		P value	OR (95% CI) [univariate]	OR (95% CI, P value) [multivariate]
	Well/moderate n = 98	Poor/undifferentiated n = 40			
Gender			0.967 ¹		NA
Female	10 (12.8%)	5 (14.3%)		Ref	
Male	68 (87.2%)	30 (85.7%)		0.98 (0.32–2.98)	
Age			0.80 ¹		NA
≤40	16 (20.5%)	6 (17.1%)		Ref	
>40	62 (79.5%)	29 (82.9%)		0.88 (0.34–2.30)	
Etiology			0.11 ¹		NA
NBNC	49 (50%)	14 (35%)		Ref	
HBHC	49 (50%)	26 (65%)		1.86 (0.87–3.98)	
AFP (ng/ml) Mean ± SD	50088.98 ± 213031.23	68513.40 ± 169304.82	0.072 ²		NA
AFP(ng/ml)			0.289 ¹		
<10	31 (31.6%)	7 (17.5%)		Ref	
10–400	29 (29.6%)	12 (30%)		1.84 (0.63–5.29)	NA
>400	38 (38.8%)	21 (52.5%)		2.44 (0.92–6.51)	NA
AFP(ng/ml)			0.141 ¹		NA
≤400	60 (61.2%)	19 (47.5%)		Ref	
>400	38 (38.8%)	21 (52.5%)		1.75 (0.83–3.66)	
MTD (cm)			0.571 ¹		NA
≤5	16 (16.3%)	5 (12.5%)		Ref	
>5	82 (83.7%)	35 (87.5%)		1.37 (0.46–4.02)	

All values: Mean ± Standard Deviation as continuous; Frequencies and percentage (%) as categorical.

1. Chi-square test 2. Mann–Whitney *U* test.

MTD (Maximum tumor diameter); AFP (Alfa-fetoprotein); HBHC (Hepatitis B and hepatitis C); NBNC (Nonhepatitis B and nonhepatitis C).

DISCUSSION

Almost 60 years after its discovery, the role of AFP in the management of HCC is still debated with differing recommendations by various international guidelines. Although used widely, the utility of AFP in clinical practice is limited by the reported low sensitivity at cut-off values maintaining sufficiently high specificity; the cut-off value of 200 ng/mL drops the sensitivity to 22% and levels >400 ng/mL in a high-risk patient are diagnostic of HCC with a specificity of >95 percent.³⁷ Still none of the new tumor markers outperform the AFP so as to be widely adopted in clinical practice.³⁸

Diagnostic Role of AFP

82% of HCC patients in our study had values of AFP above normal. The higher sensitivity reported in our analysis is greater than several previous reports and systematic reviews.^{39–43} Even patients with nonviral etiology (n = 200/508) for HCC had an almost similar proportion of AFP normal tumors (22%) in our analysis despite previous

contrasting reports of the effect of viral etiology on AFP levels.^{17,44} Similar results have also been reported from China⁴⁵ and Europe.³⁹ An AFP cut off of >400 ng/mL, which is considered diagnostic as per previous reports,³⁷ would include more than half of our patients. This is a larger proportion than several of previously published reports,^{39,43,46} but one study¹⁷ reported similar findings. The higher proportion of patients with elevated AFP above normal in our analysis can be attributed to variability in cut off threshold for normal AFP in different studies,⁴⁶ and advanced disease at presentation due to predominance of HBV related HCC (49% had HBV related HCC and 60% were in BCLC C/D stage at presentation). Patients with HBV-related HCC are younger⁴⁷ and present at an advanced stage because they are usually asymptomatic initially with preserved liver functions.¹⁸ Although the false-negative rate of AFP is significantly lesser in our analysis, it is still not sufficient to recommend it for a diagnostic role in view of previously reported poor specificity at this level.⁴³ The optimal threshold of AFP is yet unclear.

Table 5 Univariate and Multivariable Logistic Regression Analysis of the Association of AFP Levels With Barcelona Clinic Liver Cancer (BCLC) Stage.

Variable	BCLC stage		P value	OR (95% CI) (univariate)	OR (95% CI, P value) (multivariate)
	0/A/B n = 197	C/D n = 311			
Gender			0.38 ¹		
Male	169 (85.8%)	275 (88.4%)		1.27 (0.74–2.15)	
Female	28 (14.2%)	36 (11.6%)		Ref	
Age			0.19 ¹		
≤40	24 (12.2%)	51 (16.4%)		1.41 (0.84–2.38)	
>40	173 (87.8%)	260 (83.6%)		Ref	
Etiology			0.166 ¹		
HBHC	112 (56.8%)	196 (63%)		1.29 (0.89–1.86)	
NBNC	85 (43.2%)	115 (37%)		Ref	
AFP (ng/ml) (mean ± SD)	19253.82 ± 75818.01	99813.94 ± 279898.01	<0.001 ²		
AFP(ng/ml)			<0.001 ¹		
<10	57 (28.9%)	35 (11.2%)		Ref	
10–400	71 (36%)	75 (24.1%)		1.72 (1.01–2.93, P = 0.045)	1.62 (0.94–2.80, P value = 0.083)
>400	69 (35%)	201 (64.6%)		4.74 (2.87–7.84, p=<0.001)	4.19 (2.51–7.03, P value=<0.001)
AFP(ng/ml)			<0.001 ¹		
<400	128 (65%)	110 (35.4%)		Ref	
>400	69 (35%)	201 (64.6%)		3.39 (2.33–4.93)	4.19 (2.51–7.03, P value=<0.001)
MTD (cm)			<0.001 ¹		
≤5	58 (29.4%)	30 (9.6%)		Ref	
>5	139 (70.6%)	281 (90.4%)		3.91 (2.40–6.35)	3.35 (2.02–5.55, P value=<0.001)

All values: Mean ± Standard Deviation as continuous; Frequencies and percentage (%) as categorical.

1. Chi-square test 2. Mann–Whitney U test.

MTD (Maximum tumor diameter); AFP (Alfa-fetoprotein); HBHC (Hepatitis B and hepatitis C); NBNC (Nonhepatitis B and nonhepatitis C).

Patients with AFP >400 ng/ml had poor hepatic reserves (higher mean serum bilirubin, AST, ALT, INR, and lower mean albumin) and advanced disease at presentation (higher incidence of PVTT, EHM, advanced BCLC stage, and significantly less proportion of patients with well-differentiated tumors) in our analysis supporting above observations. It has been suggested that AFP elevation might not only be just an epiphenomenon of malignant transformation but may also actively participate in tumor proliferation.⁴⁵

Role of AFP in Surveillance

Early detection of HCC is the goal of surveillance therapies to improve survival in HCC patients.^{19,43} Major western guidelines do not recommend AFP for HCC surveillance^{3,4} based on the fact that almost 80% of small HCCs do not show increased levels of AFP, and the sensitivity decreases to 25% in tumors smaller than 3 cm.³⁷ Supporting the role of AFP in

screening for HCC is beyond the scope of our analysis, but we still had some noteworthy findings. Our subgroup analysis showed that two-thirds of patients with small HCC (MTD <3 cm) and more than half with early-stage HCC (BCLC stage 0/A) had AFP levels above the normal range. This is consistent with a multicenter case-control study, which showed a sensitivity of 66% at an AFP cutoff of 10.9 ng/mL in diagnosis of BCLC stage 0/A patients of HCC.⁴⁸ A meta-analysis of 32 studies, including 13,367 patients, reported that ultrasound alone has a low sensitivity to detect early-stage HCC in patients with cirrhosis, and addition of AFP to ultrasound significantly increases sensitivity of early HCC detection in clinical practice.¹⁵ These findings support the recommendations of Asian guidelines for inclusion of AFP in surveillance protocols along with ultrasound abdomen.^{5,6,13,14} Use of combination biomarkers like des-gamma-carboxy prothrombin (DCP), an abnormal prothrombin molecule derived from an acquired defect in the

Table 6 Univariate and Multivariable Logistic Regression Analysis of the Association of AFP Levels With Presence and Absence of ExtraHepatic Disease at Presentation.

Variable	Extrahepatic metastasis		P value	OR (95% CI) (Univariate)	OR (95% CI, P value) (multivariate)
	Absent n = 399	Present n = 109			
Gender			0.573 ¹		
Male	347 (87%)	97 (89%)		1.21 (0.62–2.36)	NA
Female	52 (13%)	12 (11%)		Ref	
Age			0.003 ¹		
≤40	49 (12.3%)	26 (23.8%)		2.24 (1.31–3.81)	1.87 (1.09–3.22, P value = 0.023)
>40	350 (87.7%)	83 (76.2%)		Ref	
Etiology			0.278 ¹		NA
HBHC	237 (59.4%)	71 (65.1%)		1.28 (0.82–1.99)	
NBNC	162 (40.6%)	38 (34.9%)		Ref	
AFP (ng/ml) (mean ± SD)	55420.64 ± 203280.01	116718.39 +	0.001 ²		
AFP (ng/ml)			0.041 ¹		
<10	79 (19.8%)	13 (11.9%)		Ref	
10–400	119 (29.8%)	27 (24.8%)		1.38 (0.67–2.83, P = 0.382)	1.26 (0.60–2.64, P = 0.536)
>400	201 (50.4%)	69 (63.3%)		2.09 (1.09–3.98, P = 0.026)	1.69 (0.87–3.29, P = 0.119)
AFP (ng/ml)			0.17 ¹		
≤400	198 (49.6%)	40 (36.7%)		Ref	
>400	201 (50.4%)	69 (63.3%)		1.69 (1.09–2.63)	
MTD (cm)			<0.001 ¹		
≤5	84 (21.1%)	4 (3.7%)		Ref	
>5	315 (78.9%)	105 (96.3%)		7.00 (2.51–19.55)	5.95 (2.11–16.74, P value = 0.001)

All values: Mean ± Standard Deviation as continuous; Frequencies and percentage (%) as categorical.

1. Chi-square test 2. Mann–Whitney U test.

MTD (Maximum tumor diameter); AFP (Alfa-fetoprotein); HBHC (Hepatitis B and hepatitis C); NBNC (Nonhepatitis B and nonhepatitis C).

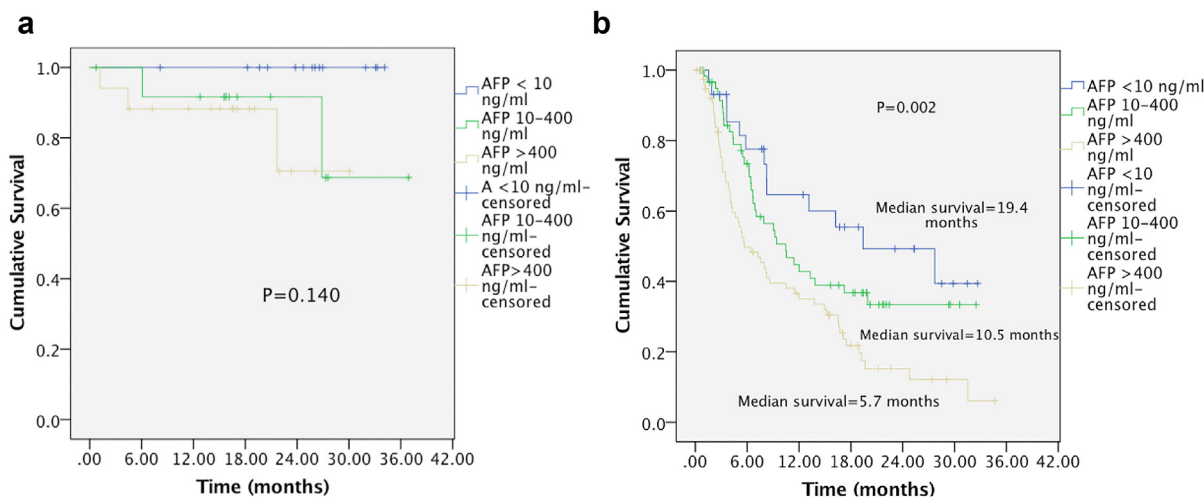


Figure 1 Survival curves based on Kaplan–Meier analysis according to AFP level. (a) Patients who had undergone surgery (b) Patients who underwent nonsurgical therapies (Ablative, locoregional, or systemic chemotherapy).

post-translational carboxylation of the prothrombin precursor in malignant cells, and AFP-L3, an isoform of AFP characterized by the presence of a 1 – 6-linked residue on the AFP carbohydrate side chain along with AFP had shown superior detection of HCC in Asian cohorts but their use in routine clinical practice is limited to research settings and requires further validation. GALAD score encompassing patients’ gender (G), age (A), AFP-L3 (L), AFP (A), and DCP (D) has shown to improve detection of early-stage HCC in a German cohort.⁴⁹

AFP and Age

Patients with AFP levels >400 ng/ml were significantly younger than patients with AFP levels of 10–400 ng/ml. Younger patients with HCC generally present at a more advanced stage and more often have chronic HBV infection.^{17,18} This was also supported by our analysis as young age (≤40 years) was an independent predictor of tumor size and EHM.

AFP and Prognosis

The impact of PVTT, tumor size, BCLC stage, EHM, and pathological grade on prognosis and overall survival is well known in HCC patients.²⁰ We found that AFP >400 ng/ml is an independent predictor for the presence of PVTT, higher MTD, and more advanced BCLC stage at presentation. The odds ratio for PVTT increased significantly across each group of AFP levels, signifying the importance of even mildly raised AFP levels in pointing to its possibility. Our analysis included patients with only macroscopic PVTT, however high AFP levels could also predict the possibility of microscopic PVTT before surgery as reported in a retrospective analysis of postoperative pathological data on 170 HCC patients.⁵⁰

These findings are consistent with previous reports of association of raised AFP to tumor size,^{18,39} BCLC stage,⁴⁵

Table 7 Univariate and Multivariate Analysis of Predictors of Overall Survival in HCC Patients Who Were Planned for Any Form of Treatment.

Variable	Comparison	HR (95% CI) (univariate)	HR (95% CI) (multivariate)
Gender	Female vs Male	2.09 (1.02–4.29, P = 0.045)	1.95 (0.94–4.08, P = 0.075)
Age (years)	≤40 vs > 40	1.04 (0.63–1.71, P = 0.863)	NA
MTD (cm)	≤5 vs > 5	2.89 (1.55–5.40, P = 0.001)	1.65 (0.86–3.19, P = 0.134)
Etiology	NBNC vs HBHC	1.12 (0.83–1.79, P = 0.315)	NA
Extrahepatic metastasis	Absent vs Present	3.26 (2.08–5.09, P = 0.001)	2.42 (1.35–4.35, P = 0.003)
BCLC stage	BCLC O/A/B vs BCLC C/D	4.43 (2.98–6.59, P < 0.001)	1.16 (0.48–2.79, P = 0.725)
AFP levels (ng/ml)	<10	–	–
	10–400	2.18 (1.15–4.12, P = 0.016)	1.85 (0.96–3.57, P = 0.068)
	>400	3.50 (1.91–6.38, P < 0.001)	2.23 (1.19–4.18, P = 0.012)
PVTT	Absent vs present	3.89 (2.65–5.70, P = <0.001)	2.65 (1.23–5.68, P = 0.012)

HR (Hazard ratio); CI (Confidence interval); NA (Not applicable in view of P > 0.05 on the univariate analysis); HBHC (Hepatitis B Hepatitis C related); NBNC (Nonhepatitis B hepatitis C related); AFP (Alfa-fetoprotein); PVTT (Portal vein tumor thrombosis); MTD (Maximum tumor diameter); BCLC (Barcelona clinic liver cancer).

and the presence of PVTT;¹⁸ however, there are limited data evaluating these prognostic variables independent of multiple confounding parameters as we have done. Higher AFP levels at presentation were not independent predictors for EHM and degree of tumor differentiation in our analysis. Previous studies evaluating the association of degree of tumor differentiation with AFP levels have shown conflicting results, with some supporting²⁵ and other reporting no association.¹⁷ Thus, our analysis supports the role of AFP at baseline as a significant prognostic factor in HCC patients.

AFP and Survival

Baseline AFP had no impact on postoperative OS in patients who underwent surgery in our analysis. The prognostic role of preoperative AFP is still a matter of debate with conflicting reports.^{19,22-28,39} Small number of patients who underwent surgery in our study prevents us from drawing any conclusions on this issue. However, there was a significant difference in median OS of patients across AFP groups who received nonsurgical treatment. These findings were also seen in another retrospective analysis;²⁵ however, the cut off of AFP negative and positive tumors was not clear in this study. Also, whether patients who were planned for only BSC were included in the nonsurgical group was also unclear. We excluded patients planned for BSC from our survival analysis because we wanted to study the impact of baseline AFP levels in patients who received some HCC specific treatment because of the ongoing debate on the need to include AFP in treatment algorithms.³³

Our analysis showed that AFP >400 ng/ml was an independent predictor of survival in patients who received either surgical or nonsurgical therapies for HCC. This effect of AFP was consistent even after adjusting for other clinical and prognostic variables related to HCC (Table 7). This is consistent with a large systematic review of 72 studies evaluating prognostic indicators in HCC;²⁰ however, the AFP cut-off values varied in different studies.

Our study has a number of strengths. We analyzed the prospectively collected data reducing the chances of information bias. Our study depicts the most updated trend of the changing etiological profile of HCC in India (supplementary Table 1) in a large sample size.³⁴ We have shown the relationship of AFP levels with multiple prognostic variables in a comprehensive way using multivariable logistic regression analysis. This is also the largest study, and to our knowledge, the only detailed analysis from the Indian subcontinent focusing on the clinical utility of AFP as a marker for diagnosis, screening, and prognosis in HCC patients.

Our study does have some limitations. Firstly, as many patients in the nonsurgical group received a combination of treatment modalities, we could not evaluate the impact of AFP levels on prognosis for individual treatment components. However, our analysis replicates the real-life sce-

nario, where, usually, HCC patients who are not surgical candidates receive a combination of treatments depending upon the response to the initial treatment strategy. Secondly, the sample size of patients who underwent hepatectomy was too small (n = 44) for us to draw any meaningful conclusions in our analysis; however, multiple previous reports have shown similar results as mentioned before. Also, post-treatment AFP levels and their role in recurrence was not studied. Finally, being a tertiary care cancer center, referral bias could not be ruled out.

In our study, 82% of HCC patients had elevated AFP, with 53% having levels >400 ng/ml. Two-thirds of patients with small HCC (MTD <3 cm) and more than half with early-stage HCC (BCLC stage 0/A) had elevated AFP levels. Raised AFP levels were associated with worse liver function, more advanced disease at presentation, and aggressive tumor characteristics irrespective of etiology. Higher AFP levels were associated with poor overall survival in patients receiving nonsurgical treatment modalities and were an independent predictors of OS in patients planned for any tumor directed therapy. Thus, AFP as a biomarker still has a role to play in HCC patients with no other replacement in sight. Our study proves its utility in both diagnosis and prognostication, which can add value in planning treatment and follow up. Addition of AFP to treatment algorithms should therefore be considered. The role of adjuvant therapies in patients with high AFP levels requires further studies.

CREDIT AUTHORSHIP CONTRIBUTION STATEMENT

Vaneet Jearth and Dr Prachi S Patil contributed to **study design, concept, and drafting of manuscript**. **Acquisition of data** was done by Dr Vaneet Jearth, Dr Prachi S Patil, Dr Shaesta Mehta, Dr Vidya Rao, Dr Sridhar Sundaram and Dr Vishal Seth. **Statistical analysis** was done by Dr Vaneet Jearth. **Critical revision for important intellectual content** was done by Dr Vaneet Jearth, Dr Prachi S Patil, Dr Mahesh Goel, Dr Munita Bal, and Dr Shraddha Patkar.

CONFLICTS OF INTEREST

The authors have none to declare.

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SUPPLEMENTARY DATA

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