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## **Plasma metabolomic profiles for colorectal cancer precursors in women**

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### **Abstract**

How metabolome changes influence the early process of colorectal cancer (CRC) development remains unknown. We conducted a 1:2 matched nested case-control study to examine the associations of pre-diagnostic plasma metabolome (profiled using LC-MS) with risk of CRC

Ethics approval

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Author contributions

MS and DH were responsible for the conception and design of the study. DH performed statistical analysis and drafted the manuscript. OAZ, JL, ADJ, KW, ZH, HS, CBC, LL, AHE, SO, JAM, and ATC were involved in the acquisition, analysis, and interpretations of data. All authors reviewed and approved the final manuscript.

Conflicts of interest

The authors have nothing to disclose.

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. Informed consent

Informed consent was obtained from all individual participants included in the study.

precursors, including conventional adenomas (n=586 vs. 1141) and serrated polyps (n=509 vs. 993), in the Nurses' Health Study (NHS) and NHSII. Conditional logistic regression was used to estimate odds ratios (OR) and 95% confidence intervals (CI). We used the permutation-based Westfall and Young approach to account for multiple testing. Subgroup analyses were performed for advanced conventional adenomas (defined as at least one adenoma of  $10 \text{ mm}$  or with highgrade dysplasia, or tubulovillous or villous histology) and high-risk serrated polyps that were located in the proximal colon or with size of  $10$  mm. After multiple testing correction, among 207 metabolites, higher levels of C36:3 phosphatidylcholine (PC) plasmalogen were associated with lower risk of conventional adenomas, with the OR (95% CI) comparing the 90th to the 10th percentile of 0.62 (0.48–0.81); C54:8 triglyceride (TAG) was associated with higher risk of serrated polyps (OR=1.79, 95% CI: 1.31–2.43), and phenylacetylglutamine (PAG) was associated with lower risk (OR=0.57, 95% CI:0.43–0.77). PAG was also inversely associated with advanced adenomas (OR=0.57, 95% CI: 0.36–0.89) and high-risk serrated polyps (OR=0.54, 95% CI: 0.32– 0.89), although the multiple testing-corrected p value was >0.05. Our findings suggest potential roles of lipid metabolism and phenylacetylglutamine, a microbial metabolite, in the early stage of colorectal carcinogenesis, particularly for the serrated pathway.

#### **Keywords**

colorectal adenomas; polyp; metabolism; colorectal cancer

#### **Introduction**

Colorectal cancer (CRC) is a heterogeneous disease with diverse molecular background and clinicopathological manifestations. Approximately 60–80% of CRC cases develop through the conventional adenoma-carcinoma sequence characterized by oncogene (e.g.  $KRAS$ ) activation and tumor suppressor (e.g. APC, SMAD4, and TP53) inactivation [1], while another 20–30% arise from the serrated pathway that is associated with BRAF mutation, CpG island methylator phenotype, and microsatellite instability [2]. In contrast to conventional adenomas, serrated polyps have a predilection for the proximal colon and show predominately a sessile or flat morphology. It has been proposed that serrated polyps contribute disproportionately to the development of "interval cancers" that occur prior to next screening or surveillance interval after an initially negative colonoscopy, thus posing a challenge for clinical practice and CRC prevention [3].

Dysregulated metabolism is a hallmark of cancer and has therefore been the focus of many cancer studies [4]. Metabolomics is a useful tool to systematically probe changes in metabolism and identify novel biomarkers and targets for better prevention. To date, substantial efforts have been made to characterize the metabolomic changes associated with CRC [5]. However, most of the studies assessed metabolomics after CRC diagnosis [6–12] and are prone to reverse causation, because cancer itself can induce substantial changes in systemic metabolism. Moreover, to our knowledge, no study has yet examined pre-diagnostic metabolomic profile for CRC precursors, leading to a knowledge gap about the role of metabolites in the early stage of colorectal carcinogenesis and potential utility for early CRC detection.

To address this paucity, we conducted a 1:2 matched case-control study nested within two large prospective US cohorts to identify the metabolites associated with conventional adenomas and serrated polyps.

#### **Methods**

#### **Study population**

Participants were derived from the Nurses' Health Study (NHS) and Nurses' Health Study II (NHSII), two ongoing prospective cohorts of US female registered nurses. The NHS began in 1976, recruiting 121,700 female nurses aged 30–55 years, and the NHSII began in 1989, recruiting 116,429 female nurses aged 25–42 years. In both cohorts, all participants completed a questionnaire at baseline and were mailed follow-up questionnaires biennially to update their lifestyle and medical information, with cumulative follow-up rates greater than 90% [13].Blood samples were collected from 32,826 women in the NHS during 1989– 1990 and 29,611 women in the NHSII during 1996–1999. Upon arrival via overnight shipping on ice packs, the samples were centrifuged, aliquoted, and stored in liquid nitrogen freezers immediately [14]. All archived blood samples in original vials were thawed once to create a subaliquot for metabolomics profiling. Demographic, dietary, and lifestyle profiles of women who provided blood samples were generally similar to those who did not [15].

Among participants with available metabolomic data from previous cross-sectional/nested case-control studies of various outcomes (see details in the Supplementary Figure 1), we excluded those who had a history of cancer (except non-melanoma skin cancer), colorectal polyps, or inflammatory bowel disease at the time of blood draw, or had no lower gastrointestinal endoscopy after blood donation. After exclusions, we identified 586 cases with conventional adenomas and 509 with serrated polyps that were detected after blood draw until the end of follow-up (June 1, 2012 for the NHS and June 1, 2011 for the NHSII). We randomly selected up to 2 controls for each case matched on age (within 1 year), time period of endoscopy (in 2-year intervals), fasting status (>8 hours or ≤8 hours), study cohort (NHS or NHSII), and race (Caucasian or non-Caucasian) from eligible participants who had undergone at least one endoscopy after blood draw and were free of any polyps at the time of diagnosis of the matched cases. A total of 1141 and 993 controls were included in the analysis for conventional adenomas and serrated polyps, respectively. The study protocol was approved by the institutional review boards of the Brigham and Women's Hospital and Harvard T.H. Chan School of Public Health, and those of participating registries as required.

#### **Metabolomics profiling**

Plasma metabolomics data were generated at the Broad Institute using liquid chromatography tandem mass spectrometry (LC-MS), as described previously [16]. Briefly, a C8-positive platform, which connected a Shimadzu Nexera X2 U-HPLC (Shimadzu Corp.) to an Exactive Plus orbitrap mass spectrometer (Thermo Fisher Scientific), was used to measure polar and non-polar lipids. A hydrophilic interaction liquid chromatography (HILIC-positive) platform composed of a Shimadzu Nexera X2 U-HPLC (Shimadzu Corp) coupled to a Q Exactive hybrid quadrupole orbitrap mass spectrometer (Thermo Fisher Scientific) was used to measure water-soluble metabolites. Raw data were processed

using TraceFinder 3.3 software (Thermo Fisher Scientific) and Progenesis QI (Nonlinear Dynamics; Newcastle upon Tyne, UK). Metabolite identities were confirmed using authentic reference standards or reference samples. For metabolites with missingness <25%, missing data were imputed using half of the minimum measured value per metabolite to avoid excluding metabolites with true missingness patterns (e.g., xenobiotics). We excluded metabolites not passing our pilot study investigating the effect of 24-h processing delay and within-person stability over  $1-2$  years [17]. Finally, a total of 207 known metabolites, that is, those with assigned identification numbers in the Human Metabolome Database (HMDB), were included in the current study (Supplementary Tables 1 and 2).

#### **Covariate assessment**

We used covariate data from the questionnaires administered closest in time to blood draw, including body mass index (BMI), smoking status, alcohol consumption, physical activity, family history of CRC, Alternate Healthy Eating Index (AHEI), regular use of aspirin, menopausal status, and postmenopausal hormone use. BMI was calculated as body weight in kilograms divided by height in meters squared. Physical activity was assessed by the product sum of the metabolic equivalent (MET) values of each specific recreational activity and hours spent on that activity per week [18]. Positive family history of CRC was defined as report of a CRC diagnosis in a parent or sibling. Regular aspirin use was defined as use of at least two standard-equivalent tablets per week [19]. Alcohol consumption and AHEI were derived from validated food frequency questionnaires. AHEI is a dietary score measuring the adherence to a dietary pattern characterized by foods and nutrients strongly associated with risk of chronic disease [20].

#### **Ascertainment of colorectal polyps**

Ascertainment of colorectal polyps has been described in detail previously [21]. Briefly, on each biennial questionnaire, participants were asked whether they had undergone a colonoscopy or sigmoidoscopy and whether any colorectal polyp had been diagnosed in the past two years. When a participant reported a polyp diagnosis, written consent was to obtain to review her endoscopic and pathologic records. Study physicians, who were unaware of exposure information, confirmed the diagnosis and extracted data on histology, size, number, and anatomic location of polyps. Conventional adenomas included tubular, tubulovillous and villous adenomas, and adenomas with high-grade dysplasia. Advanced adenomas were defined as at least one adenoma of 10 mm in diameter or with advanced histology (i.e., tubulovillous, villous or high-grade dysplasia feature). Serrated polyps comprised hyperplastic polyps, traditional serrated adenoma, and sessile serrated adenoma/polyp with or without cytological dysplasia. Given that large (≥10 mm) or proximal serrated polyps have been associated with higher risk for CRC [22, 23], we defined high-risk serrated polyps as those located in the proximal colon or with size of  $10$  mm.

#### **Statistical analysis**

To account for any batch effect and improve normality, metabolite measurements were natural-log transformed and standardized using z-scores (standard deviations from the mean) within each original sub-study.

Conditional logistic regression was used to estimate odds ratios (OR) and 95% confidence intervals (CI) of conventional adenomas and serrated polyps comparing the  $90<sup>th</sup>$  to the 10<sup>th</sup> percentile of metabolite levels. All models were adjusted for age at blood draw, number of prior endoscopies, and time in years since the most recent endoscopy. Because further adjustment for family history of CRC, height, pack-years of smoking, AHEI score, BMI, physical activity, alcohol consumption, regular aspirin use, menopausal status, and postmenopausal hormone therapy did not essentially change the results (data not shown), we presented the results without these adjustments to preserve power.

We used a permutation test (N=5000) to control the family-wise error rate (i.e., multiple testing) and the step-down correction method of Westfall and Young to account for the correlation structure of metabolites [24]. Both the unadjusted and multiple comparisonadjusted p-values were reported, and we focused on the individual metabolites with an adjusted p-value of  $0.05$ .

Metabolite set enrichment analysis [25] was conducted to identify classes of molecularly or biologically similar metabolites that were associated with conventional adenomas or serrated polyps, with false discovery rate (FDR) <0.05 considered statistically significant.

We also performed separate analyses for clinically significant polyp subgroups, including advanced conventional adenomas and high-risk serrated polyps. Moreover, we analyzed the association of metabolites with polyp risk according to the median time interval since blood draw (11 years) and calculated the p for heterogeneity using the case-only analyses [21]. A stratified analysis was performed according to fasting status (>8 hours or  $\,8$  hours). The p for interaction was calculated using a likelihood ratio test comparing the models with and without the product term between fasting status and each metabolite (continuous). We also conducted a sensitivity analysis among participants who were selected as controls in the source case-control studies.

All analyses were performed using R 3.2.5 (R Foundation for Statistical Computing, Vienna, Austria) and SAS version 9.4 (SAS Institute Inc, Cary, NC).

#### **Results**

In Table 1, compared to women without any polyps, those with conventional adenomas or serrated polyps were more likely to have a higher BMI, a family history of CRC, and a lower AHEI, and were less likely to be physically active.

Figure 1 shows the associations of 207 metabolites with conventional adenomas and serrated polyps. A total of 20 and 21 metabolites were nominally associated with conventional adenomas and serrated polyps, respectively. The ORs and 95% CI for all the metabolites are shown in Supplementary Tables 1 and 2.

After multiple testing correction, we found an inverse association between C36:3 phosphatidylcholine (PC) plasmalogen and conventional adenomas, with the OR (95% CI) comparing the 90<sup>th</sup> to the 10<sup>th</sup> percentile of 0.62 (0.48–0.81) (Table 2). In addition, C54:8 triglyceride (TAG) was associated with higher risk of serrated polyps with the OR (95% CI)

comparing the 90<sup>th</sup> to the 10<sup>th</sup> percentile of 1.79 (1.31–2.43), and phenylacetylglutamine (PAG) was associated with lower risk (OR=0.57, 95% CI:0.43–0.77) (Table 3). PAG also showed a nominal inverse association with advanced adenomas (OR=0.57, 95% CI: 0.36– 0.89) and high-risk serrated polyps (OR=0.54, 95% CI: 0.32–0.89), although the multiple testing-corrected P value was >0.05 (Tables 2 and 3).

The sensitivity analysis among control participants of the original case-control studies showed similar results for C36:3 PC plasmalogen with conventional adenomas, and C54:8 TAG and PAG with serrated polyps (Supplementary Table 3).

In the stratified analysis by the median time interval since blood draw, no statistically significant heterogeneity was observed for C36:3 PC plasmalogen, C54:8 TAG, or PAG (Supplementary Tables 4 and 5). The observed associations for C36:3 PC plasmalogen, C54:8 TAG, and PAG were also similar regardless of fasting status (Supplementary Tables 6 and 7).

As shown in Figure 2, seven metabolite classes were associated with conventional adenomas at an FDR <0.05 (four positively: TAGs, lysophosphatidylcholines, diglycerides [DAGs], and ceramides; three negatively: PC plasmalogens, phosphatidylethanolamines [PEs], and PE plasmalogens); five metabolite classes were associated with serrated polyps (two positively: TAGs and DAGs; three negatively: nucleosides, nucleotides, and analogues, PC plasmalogens, PE plasmalogens).

#### **Discussion**

To our knowledge, the current study represents the first prospective analysis of metabolomics for CRC precursors. We identified C36:3 PC plasmalogen in relation to a lower risk of conventional adenomas, and C54:8 TAG and PAG in relation to higher and lower risks of serrated polyps, respectively. Furthermore, PAG showed a suggestive inverse association with advanced adenomas and high-risk serrated polyps. These findings open up new opportunities for assessing novel metabolite pathways involved in the early stage of CRC and the potential of these metabolites for improved polyp prediction.

As a colonic microbial metabolite, PAG results from glutamine conjugation of phenylacetic acid and is almost exclusively derived from bacterial phenylalanine metabolism [26]. Previous metabolomic studies have reported that circulating PAG levels are positively correlated with the gut microbiome diversity, as measured by the Shannon's diversity index [27, 28]. A lower microbiome diversity in the human intestines has been linked to increased risk of adenoma and CRC [29–31]. Furthermore, laboratory evidence shows that the human commensal Clostridium sporogenes can convert dietary phenylalanine to phenylpyruvic acid, which is metabolized in the liver to produce PAG [32]. Potential benefits have been observed from bacterial protein fermentation by Clostridium sporogenes. The bacteria could metabolize dietary tryptophan to produce indolepropionic acid, which was able to fortify the intestinal barrier via Pregnane X Receptor and Toll-like Receptor 4 pathways [33]. In the current study, we identified a novel association between PAG and serrated polyps, supporting the importance of gut metabolites in the early stage of CRC pathogenesis.

Future studies are warranted to explore the molecular mechanisms underlying the protective association between microbial PAG and serrated polyps.

Dyslipidemia has been recognized as an important risk factor of colorectal neoplasm [34]. Several studies have reported a positive association between circulating TAGs and risk of colorectal adenomas and CRC, although the findings remain inconsistent [35]. In the current study, we found that several TAGs were nominally associated with higher risks of conventional adenoma and serrated polyps, and the association between C54:8 TAG and serrated polyps reached the statistical significance after correction for multiple testing. Notably, the association between C54:8 TAG and serrated polyps was essentially unchanged after adjusting for various covariates including BMI, suggesting that C54:8 TAG may influence the serrated pathway independent of adiposity. Biologically, hypertriglyceridemia may contribute to colorectal carcinogenesis through insulin resistance, oxidative stress, and inflammation [36]. In addition, hypertriglyceridemia may increase the synthesis and secretion of bile acids [37], which may provide abundant substrate for formation of secondary bile acids and thereby promote carcinogenesis in the colorectum [38].

We also identified several PC plasmalogens in relation to lower risk of conventional adenomas and serrated polyps. Particularly, the association between C36:3 PC plasmalogen and conventional adenomas remained statistically significant after multiple testing correction. PC plasmalogens contain a vinyl-ether linked alkyl chain at the sn-1 position of the glycerol backbone. The sn-2 position is typically occupied by an ester-linked polyunsaturated fatty acid (e.g. arachidonic acid or docosahexanoic acid), and the polar head, in this case phosphocholine, is bound to the  $sn-3$  position [39]. Blood-borne plasmalogens are synthesized by the liver and then incorporated into nascent lipoproteins [40]. Because the vinyl-ether bond at the *sn-1* position can readily react with reactive oxygen species, PC plasmalogens are believed to protect mammalian cells against oxidative and inflammatory damages [39]. In addition, higher levels of PC species have been associated with healthy aging [41] and lower risk diabetes [42], supporting their role as a marker for higher antioxidant capacity. Despite these data, the precise mechanisms underlying the association between C36:3 PC plasmalogen and conventional adenomas require further investigation.

Our study has several strengths, including the prospective design, large sample size, detailed covariate data for confounding control, and untargeted metabolomics approach covering a wide range of metabolites. Moreover, we ascertained polyp diagnosis through medical record review and collected detailed histopathologic information of both conventional adenomas and serrated polyps. Several limitations should also be noted. First, the current study is observational and cannot rule out the possibility of unmeasured or residual confounding. Second, as is typical of previous studies, metabolomic profiling was conducted only once and may not reflect long-term exposures. However, we have shown that the included metabolites have a high within person stability over 1–2 years (e.g., intra-class correlation was 0.81 for C36:3 PC plasmalogen, 0.98 for C54:8 TAG, and 0.97 for PAG) [17]. Third, despite the large sample size, the statistical power remained low to identify metabolites with modest effects. Fourth, participants in our study were all women and

predominately Whites, limiting the generalizability of our findings. Further confirmation in men and other racial/ethnic groups is needed.

In conclusion, we identified an association between C36:3 PC plasmalogen and conventional adenomas, as well as associations of C54:8 TAG and PAG with serrated polyps, suggesting the role of lipid metabolism and gut microbial metabolites in the development of CRC precursors. Future studies are warranted to validate our findings and elucidate the underlying biological mechanisms.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Availability of data and materials**

The NHS and NHSII datasets can be made available upon reasonable request for scientific collaborations. Further information including the procedures to obtain and access data from the Nurses' Health Studies is described at<https://www.nurseshealthstudy.org/researchers> (contact: nhsaccess@channing.harvard.edu).

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#### **Figure 1.**

The associations of 207 metabolites with conventional adenoma (Left) and serrated polyp (Right). Each point represents a metabolite with its log10-transformed original P value. The colors coding of all metabolites indicates 22 metabolic classes. The dash green line indicates the statistically significant P value threshold before multiple-hypothesis testing  $(P < 0.05)$ .



#### **Figure 2.**

Enriched metabolite groups associated with risk of conventional adenoma (Left) and serrated polyp (Right). A significant association is marked with an asterisk (FDR < 0.05). Shades of red represent positive associations while shades of blue indicate negative associations.

**MSEA Enrichment Score** 

 $-2$  -1 0 1 2

#### **Table 1**

### Baseline characteristics of women in the NHS and NHSII<sup>a</sup>



Abbreviations: NHS, the Nurses' Health Study; MET, metabolic equivalent task; AHEI, Alternative Healthy Eating Index.

 ${}^{a}$ All variables are standardized by age at blood draw except age. Mean (SD) is presented for continuous variables and percentage for categorical variables, unless otherwise specified.

 $b<sub>T</sub>$  The average calculated using the two adjacent questionnaires most proximate to blood draw.

 ${}^{\text{C}}$ Physical activity is represented by the product sum of the METS of each specific recreational activity and hours spent on that activity per week.

d A standard tablet contains 325 mg aspirin and regular users were defined as those who used at least two tablets per week.

# **Table 2**

Odds ratio (OR) and 95% confidence interval (CI) of any and advanced conventional adenomas comparing the 90th to the 10th percentile in plasma levels Odds ratio (OR) and 95% confidence interval (CI) of any and advanced conventional adenomas comparing the 90th to the 10th percentile in plasma levels of metabolites of metabolites





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matched on age ±1 year, time period of endoscopy (in 2-year intervals), fasting status (>8 hours) andy cohort (NHS or NHSII), and race (Caucasian or non-Caucasian). Here we presented the matched on age ±1 year, time period of endoscopy (in 2-year intervals), fasting status (>8 hours or ≤8 hours), study cohort (NHS or NHSII), and race (Caucasian or non-Caucasian). Here we presented the  $a$  conditional logistic regression models adjusted for age (continuous), mumber of prior endoscopies (continuous), and time in years since the most recent endoscopy (continuous). Cases and controls were Conditional logistic regression models adjusted for age (continuous), number of prior endoscopies (continuous), and time in years since the most recent endoscopy (continuous). Cases and controls were results of metabolites with nominal  $P < 0.05$  for either lesion.  $P < 0.05$  for either lesion. results of metabolites with nominal

 $b_0$  permutation test (N=5000) was used to control the family-wise error rate (i.e., account for multiple testing) while accounting for the correlation structure of metabolites using the stepdown min P A permutation test (N=5000) was used to control the family-wise error rate (i.e., account for multiple testing) while accounting for the correlation structure of metabolites using the stepdown min P approach by Westfall and Young. approach by Westfall and Young.

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# **Table 3**

Odds ratio (OR) and 95% confidence interval (CI) of any and high-risk serrated polyps comparing the 90th to the 10th percentile in plasma levels of Odds ratio (OR) and 95% confidence interval (CI) of any and high-risk serrated polyps comparing the 90th to the 10th percentile in plasma levels of metabolites





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 $^4$ Conditional logistic regression models adjusted for age (continuous), number of prior endoscopies (continuous), and time in years since the most recent endoscopy (continuous). Cases and controls were matched on age  $\$ matched on age ±1 year, time period of endoscopy (in 2-year intervals), fasting status (>8 hours or ≤8 hours), study cohort (NHS or NHSII), and race (Caucasian or non-Caucasian). Here we presented the Conditional logistic regression models adjusted for age (continuous), number of prior endoscopies (continuous), and time in years since the most recent endoscopy (continuous). Cases and controls were results of metabolites with nominal  $P < 0.05$  for either lesion. P < 0.05 for either lesion. results of metabolites with nominal

 $b_A$  permutation test (N=5000) was used to control the family-wise error rate (i.e., account for multiple testing) while accounting for the correlation structure of metabolites using the stepdown min P A permutation test (N=5000) was used to control the family-wise error rate (i.e., account for multiple testing) while accounting for the correlation structure of metabolites using the stepdown min P approach by Westfall and Young. approach by Westfall and Young.

 $*$  $\overline{\phantom{0}}$ Representative ID.