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Autophagy in corneal health and disease: A concise review

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Abstract

Autophagy is a well-conserved self-eating mechanism of cell survival during periods of nutrient deprivation, stress and injury. Autophagy is implicated in many pathophysiological conditions across all organ systems. The cornea is an avascular transparent tissue that is prone to damage by trauma, injury and infection. Following insult, the cornea undergoes a complex wound healing process, which is regulated by multiple factors including autophagy. The involvement of autophagy in keratoconus and HSV-1 infection has been demonstrated, underlining the importance of this mechanism in corneal disorders. However, the role of autophagy in corneal wound repair, fibrosis and angiogenesis is still unclear. Recently, we characterized the expression of autophagyrelated genes in cornea and are studying their role in the modulation of corneal conditions including fibrosis and dystrophies. Preliminary results presented within this review article support further investigation of the dynamic modulation of autophagy-related genes in corneal health and disease. This article provides an overview of how autophagy modulates corneal function.

Keywords

Autophagy; Cornea; Stroma; Corneal fibrosis; Keratoconus; Angiogenesis; Autophagy dysregulation; Atg

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1. Introduction

Autophagy (also known as autophagocytosis) is a well-orchestrated, self-regulated intracellular degradative mechanism of cytoplasmic proteins and cellular organelles through the lysosomal machinery. Autophagy ("auto" -self and "phagein" -to eat), a self-eating cellular machinery, was initially identified in the 1960s. Recent studies have found autophagy crucial for normal homeostasis and healthy functioning of cells. The primary homeostatic roles of autophagy include expelling and recycling of obsolete organelles and unessential, harmful and misfolded proteins [1]. Autophagy involves bulk as well as selective degradation of cytoplasmic proteins and cellular organelles into peptides and amino acids for favorable use by the cell [2].

Dysregulated autophagy has been implicated in many biological and pathological conditions with either increased or decreased autophagic events. For example, autophagy pathways are stimulated in cells during nutrient deprivation and stress conditions including growth factor deprivation, glucose deprivation, reduced oxygen availability and compromised normal homeostasis (Fig. 1) [3,4]. It is important to note that cultivated cells in which autophagy had been increased by nutrient deprivation can reestablish normal cellular volume, proliferative capacity and basal levels of autophagy following nutrient replenishment. Basal autophagy is imperative for cell recovery in the face of adversity [4]. Moreover, lysosomal storage disorders result from inhibited autophagy (accumulation of intracellular proteins) whereas stimulated autophagy is important for the growth of some neoplastic tumors (maintenance of cancer cell viability) [5,6]. Autophagy is also important as a defense against intracellular pathogens [7]. In this regard, autophagy leads to the production of autophagosomes by engulfing invading intracellular pathogens (xenophagy) and fusing with lysosomes to facilitate their destruction and eventual elimination. Nevertheless, some viruses and bacteria are able to resist degradation by lysosomes and employ autophagosomes and/or lysosomes as sites for replication [7].

Properly regulated autophagy is critical for the health and survival of mammalian cells [1,5]. Novel therapeutic approaches are being developed that serve to either control autophagy or to restore homeostasis in the face of dysregulated autophagy, as occurs in various disease states, including those that affect the mammalian cornea. Therefore, improved understanding regarding how autophagy regulates external stressors is needed before therapeutic approaches to autophagy modulation in ocular disease can be investigated and harnessed.

In addition to the oxidative and endoplasmic reticulum (ER) stress, many signaling molecules including mTOR1, 5′ adenosine monophosphate-activated protein kinase (AMPK) and nuclear factor kappa-light-chain-enhancer of activated B cells (NF_rB) have been found to be involved in the regulation of autophagy [8,9]. The role of these signaling pathways and their interactions in the normal and diseased corneas is still largely unknown at this time.

Though the role of autophagy in ocular health has been documented by few studies [1,2,8,10–14]; little has been published regarding its role in corneal function specifically. The cornea provides two thirds of the refractive power to the eye, and corneal diseases are a major cause of blindness worldwide [15]. Injury (physical, chemical, infectious or iatrogenic) to the corneal epithelium and underlying stroma typically triggers a highly orchestrated wound healing process and results in regeneration of normal corneal structure and function. After an insult, a battery of cytokines released from the corneal epithelium and lacrimal glands arrive at the site of corneal damage and activate transparent quiescent stromal keratocytes to become fibroblasts. Under the influence of transforming growth factor β (TGFβ), fibroblasts transdifferentiate into metabolically active, opaque, light-scattering corneal myofibroblasts to facilitate wound repair by synthesizing and secreting large amounts of extracellular matrix components (ECM), collagens, and α-smooth muscle actin (αSMA) stress fibers. The excessive and prolonged production of ECM components by myofibroblasts leads to the development of fibrosis and neovascularization in the cornea, and resultant compromised vision [16–18].

Recent studies have shown the potential therapeutic applications of autophagy in controlling specific ophthalmic conditions [8–10,19–21]. For example, rapamycin, a well-known autophagy inducer and mTOR inhibitor, has been successfully used for the treatment of age-related macular degeneration (AMD), Fuchs dystrophy and keratoconus [8–10,19,20]. However, further studies are required to elucidate the role of pharmacological manipulation of autophagy dynamics in a wider variety of ocular diseases. Results of only a few studies have uncovered the importance of autophagy and its dynamics in diseases of the cornea, thus the discovery of novel regulators and pharmacological agents that target autophagy machinery might have greater clinical applicability [8–10,21].

In light of the fact that autophagy plays an important role in ocular diseases, a literature review was conducted using the keywords: "autophagy" and "cornea" from the PubMed Central and Google Scholar. This article presents an overview of the role of autophagy in corneal health maintenance and disease modulation.

2. Autophagy

2.1. Autophagy mechanisms

Autophagy is classified into three distinct categories: macro-autophagy, micro-autophagy and chaperone-mediated autophagy (Fig. 2). Macro-autophagy is a process whereby cellular material is either non-selectively or selectively enclosed into double-membraned vesicles (autophagosomes) that fuse with lysosomes creating autolysosomes (Fig. 3). Formation of autophagosomes is the result of a highly conserved process involving at least 31 autophagy-related proteins (Atg). The generation of autophagosomes entails three major steps including, 1) vesicle nucleation (formation), 2) vesicle elongation (expansion) and 3) vesicle completion (fusion). Dedicated forms of macro-autophagy include mitophagy (mitochondria), xenophagy (microbe) and lipophagy (lipid droplet) [1,2,22]. For the purposes of this paper, macro-autophagy will be referred to as autophagy. Micro-autophagy results in the sequestration of cellular structures by way of direct invagination of the lysosomal membrane. Both macro- and micro-autophagy are able to facilitate the transport

of large structures, such as organelles. Chaperone-mediated autophagy involves specifically targeted proteins that are complexed with a chaperone protein. The lysosomal membrane receptor lysosomal-associated membrane protein 2A (LAMP2A) recognizes the chaperone protein complex, and the targeted protein is unfolded and translocated across the lysosomal membrane. The need for protein unfolding precludes the transport of large structures via chaperone-mediated autophagy [1,2,23–25].

2.2. Selective autophagy

Initially, autophagy was described as a non-selective degradative mechanism considering the fact that it allowed elimination of large cytoplasmic protein cargoes with no specific target protein or organelles. Subsequent studies revealed the presence of specific cellular receptors for autophagy. The selective autophagosomal degradation of mitochondria and other specific organelles, proteins or pathogens led scientists to coin a new term "selective autophagy". Succeeding research classified selective autophagy into two broad classes: ubiquitin-dependent and ubiquitin-independent selective autophagy based on the interactions of autophagy receptors with cellular targets. The process was considered ubiquitin-dependent autophagy if an autophagy receptor interacted with ubiquitinated cargo via their ubiquitin binding domain. Conversely, the process in which autophagy receptors interacted directly with a cargo of different proteins or organelles was referred as ubiquitinindependent autophagy.

2.3. Autophagy assays

Autophagy assays measure either flux or steady state [26]. It is important to understand the differences between these two states. Autophagy flux encompasses the entire functional autophagy pathway from phagophore formation to degradation within the autolysosome [26]. The use of various inducers or inhibitors of lysosomal fusion aid in measures of autophagy flux. Key proteins in this process are sequestosome 1 (SQSTM1/p62), microtubule-associated protein 1A/1B-light chain 3 (LC3), lysosomal-associated membrane protein 1 (LAMP1), Beclin 1 (BECN1), vacuolar adenosine triphosphatase (V-ATPase) and many others [26]. Assays that measure steady state assess the form, level and location of pathway proteins at a single point in time of the autophagy pathway (Fig. 4) [26]. Steady state assays do not provide information about autophagy pathway conclusion [26,27]. Note that others have described autophagy flux as "complete autophagy" and autophagy steady state as "incomplete autophagy". Terminology continues to evolve [26].

Knowing the difference between autophagy flux and steady state is critical to understanding the role of autophagy in both health and disease and in developing either therapeutic targets or methods to stage or monitor disease progression. Klionsky et al. provide a thorough review of specific assays that are beneficial for determining steady state and flux, including commonly encountered challenges [26]. To monitor the steady state of autophagy, the following assays were recommended by those authors: 1) electron microscopy, 2) LC3A/B western blotting and ubiquitin-like protein conjugation systems, 3) fluorescence microscopy, 4) mTOR1 activation and Atg1 kinase activity and 5) transcriptional regulation (assessing levels of LC3, Rab proteins and Atg messenger ribonucleic acid [mRNA] by northern blot or quantitative real-time polymerase chain reaction [qRT-PCR]). To monitor flux states,

the following assays were recommended: 1) autophagy protein degradation, 2) turnover of LC3-II (LC3B), 3) green fluorescent protein (GFP)-Atg8/LC3 lysosomal delivery and proteolysis, 4) p62/SQSTM1 western blot, 5) autophagy sequestration assays, 6) turnover of autophagy compartments, 7) autophagosome-lysosome colocalization and dequenching assay, 8) sequestration and processing assays in plants, 9) tandem red fluorescent protein (RFP)-GFP fluorescence microscopy, 10) tissue fractionation and 11) analysis in vivo (for complete details, see Ref. [26]).

All Atgs are not specific to autophagy alone and might be implicated in other cellular pathways in health or disease. Further guidance for the use and interpretation of assays tracking autophagy can be found here [26]. These authors suggest that the term 'autophagy dysregulation' be used to describe any generalized autophagy aberration. The term encompasses both alterations in autophagy flux and steady state.

3. Cornea

The cornea is an avascular and transparent tissue that is primarily comprised of three layers, the epithelium, stroma and endothelium. The epithelium is the outermost component of the cornea made of non-keratinized, stratified squamous epithelial cells. Corneal epithelial cells have an average lifespan of 7–10 days and repeatedly turnover through a process of involution, apoptosis and desquamation [16]. The apex of the outermost epithelial cells has microvilli and microplicae covered by a glycocalyx. The villi increase the overall surface area to promote adherence of the tear film. Cells of the epithelium maintain tight junctional complexes, and the deepest cellular layer (basal layer) adheres to the basement membrane via hemidesmosomes, thus securing the epithelium to underlying layers. Epithelial stem cells are located at the limbal region of the cornea [16,28]. The stroma is the thickest layer of the cornea (85%) and provides structural integrity. The stroma is comprised of type I collagen complexed with type V collagen fibers arranged in parallel to create fibrils. The highly organized fibrils reduce forward light scatter and provide transparency. Keratocytes are the major cell type of the stroma and maintain the extracellular matrix (ECM). These cells produce collagen components, glycosaminoglycans and matrix metalloproteases (MMPs) [16]. The deepest layer is the endothelium, which is a single layer of squamous cells. Adjacent endothelial cells are connected by lateral interdigitations. Endothelial cell Na/K-ATPase pumps maintain corneal clarity by ensuring that the cornea is in a state of persistent deturgescence. Similar to the epithelium, endothelial cells are attached to Descemet membrane by hemidesmosomes [28].

Interestingly, the avascular nature of the cornea results from an active inhibitory process, thus maintaining its transparency, which is essential for normal visual function. The tears and aqueous humor provide nutrients to the cornea. In the face of injury, adventitious microvascular incursions from the limbal region and from end branches of the ophthalmic and facial arteries may invade the cornea (referred to as neovascularization) [17,28]. A number of antiangiogenic factors are normally present in the cornea. Examples include soluble vascular endothelial growth factor (sVEGF) receptors −1, −2, −3, pigment epithelium-derived factor, angiostatin, endostatin and thrombospondin-1 [17,29]. The combined effects of these antiangiogenic factors include inhibiting growth factor availability

and blocking vascular endothelial cell proliferation and/or migration. Excessive and prolonged neovascularization of the cornea due to severe injury can result in impaired vision. Corneal blood vessels might regress at a very slow rate or persist indefinitely [17,29,30].

4. Ocular implications of dysregulated autophagy

4.1. The corneal epithelium

The corneal epithelium represents that part of the visual axis that is also an interface with the external environment and must therefore be responsible for dynamic responses required to protect the ocular surface from various stimuli and insults. Corneal exposure to atmospheric oxygen and other environmental stressors leads to the production of free radicals including reactive oxygen species (ROS), resulting in corneal epithelial damage or disrupted corneal physiology and function [31]. Biomarkers of oxidative stress, such as malondialdehyde (MDA; for lipid peroxidation) and 3-nitrotyrosine (NT; for peroxynitrite formation) are elevated in the corneal epithelium in a variety of corneal diseases [31]. Oxidative damage also results in autophagy induction and defective lysosomal clearance, which we demonstrated in human corneal epithelial (HCE) cells [8]. These findings suggest that autophagy is an inherent response of HCE cells similar to other mammalian cell types subjected to oxidative damage and that prolonged oxidative insult results in autophagy dysregulation. Oxidatively stressed HCE cells also showed mTOR-mediated regulation of autophagy [8], which might pave the way to the use of autophagy-modulating drugs (e.g. rapamycin, trehalose and other functional analogues) for the therapeutic management of oxidative stress-associated corneal diseases [32]. Concerning the epithelial stem cells that are located at the peripheral region of the cornea, Park et al. investigated two stages of autophagy, vesicular biogenesis (early-stage) and turnover (end-stage). The authors found that preservation of end-stage autophagy aided in maintenance of limbal epithelial proliferation [33], which might prove critical for corneal wound healing.

4.1.1. Disease perspective: keratoconus—Keratoconus (KC) is a corneal degenerative condition characterized by restricted corneal thinning and corneal protrusion causing irregular astigmatism and eventual visual loss. KC is the most common primary ectasia and appears in the second decade of life [34]. The condition has no sex or ethnicity predilection and can be bilateral or asymmetric. The etiology is not fully understood [35]. When compared to controls, corneal buttons from KC patients have degraded ECM (with subsequent thinning of the corneal stroma), reduced expression of antioxidant enzymes and increased levels of free radicals due to oxidative damage. This suggests that oxidative stress plays a role in the pathogenesis of KC [31,36–38]. In consideration of the fact that induction of autophagy has been proposed as a cellular response to oxidative stress [39], we evaluated expression of autophagosomal (LC3-II) and lysosomal markers (LAMP1) in cone and periphery regions of KC patient epithelium of different clinical grades based on the Amsler-Krumeich classification [8]. Atg expressions were significantly reduced in the cone region of KC Grades II– and III-affected corneas (more severe disease) compared to the peripheral region [8]. This observation implies that there is insufficient autophagy activity in the cone (diseased) region compared to the matched peripheral (non-

diseased) region of the same eyes with increasing disease severity. Altered expression of Atg levels is also observed in non-ocular progressive disorders including Alzheimer's disease, amyotrophic lateral sclerosis and familial Parkinson's disease [40]. Iqbal et al. investigated the expression of LC3 in healthy corneal tissue and in corneal tissue affected by keratoconus. Immunohistochemistry (IHC) demonstrated that the expression of LC3 was increased in keratoconus (epithelium, stroma and endothelium) and that LC3 increased in parallel with disease severity. The highest level of expression was seen in advanced keratoconus. The authors suggested that the level of expression of LC3 might be used as a marker for staging disease and monitoring progression [21]. The study design did not ascertain whether the presence of autophagy was desirable/undesirable for disease management. Another study found reduced fold change expression of Atgs involved with synthesis of autophagosomes in the ectatic cone regions compared to peripheral regions [41]. Additionally, KC corneas showed increased levels of lysosomal enzymes such as cathepsins B, G and V/L, which might indicate abnormal lysosomal function and defective autophagic flux [37]. It has been reported that damage to the lysosomal membranes due to oxidative stress results in excessive release of proteolytic enzymes, which might trigger corneal thinning characteristic to KC [37]. A high level of autofluorescence was seen in KC corneal epithelium, which might be the result of failure of autophagy activity leading to an accumulation of non-degradable cellular materials or organelle deposits in the lysosomal compartment [21]. KC epithelium showed dysregulation of Atgs that might be associated with the abnormal apoptosis in KC cornea because of autophagy mediated cell death [42]. Taken together, these results suggest that an oxidative stress-induced defect in the autophagy-lysosomal pathway might be involved in the progression and pathogenesis of KC.

4.1.2. Disease perspective: dry eye disease—Dry eye disease (DED) is a common condition affecting 5.5–33.7% of the population worldwide [43] and is typically characterized by ocular surface discomfort, increased tear osmolarity, tear-film instability and inflammation [44]. Studies have demonstrated that inflammation plays a key role in DED [44], leading to stimulated expression of pro-inflammatory factors such as interleukins 6, 8, 17 [45–47] and MMPs [48]. However, chronic inflammatory conditions and the associated innate immune responses work in tandem with autophagy dysregulation to cause cellular dysfunction [49,50]. Therefore, with improved understanding of the role of autophagy regarding the regulation of corneal inflammation, treatments targeting autophagy and inflammation together might find clinical applications. To that end, autophagy activators (e.g. trehalose) and inhibitors (e.g. chloroquine) might be useful for modulation of the inflammatory process in corneal diseases. Using in vitro dry eye models, we observed that HCE cells under desiccation stress secreted inflammatory factors and had increased expression levels of LC3 and LAMP1, which indicates that the upregulation of autophagy is an inherent response [51]. We observed that chloroquine was able to rescue the phenotype of HCE cells from desiccation stress-induced inflammation without altering autophagy flux [51]. This suggests that the mechanisms underlying such rescue are independent of classical autophagy pathways, necessitating additional studies to unravel regulatory signaling networks. In support of this observation, topical trehalose treatment has been shown to alleviate symptoms of DED [52].

4.1.3. Disease perspective: ocular infections

Bacteria.: Invading microorganisms are recognized by the cells of the immune system using pattern recognition receptors (PRRs). Once recognized, the cells initiate microbial autophagy, which might occur in any of the stages of microbial adhesion, endocytosis or intracellular escape [53]. There are two types of antimicrobial autophagy. The first type is LC3-associated phagocytosis (LAP) whereby bacteria are engulfed in a phagosome [53]. The second is xenophagy whereby double-membraned autophagosomes directly engulf intracellular microbes [54]. Reports of studies regarding antimicrobial autophagy in the context of ocular health are sparse. However, it is evident from literature in other fields (reviewed here [55]) that acquisition of common infections might be associated with autophagy dysregulation.

Virus.: In the cornea, a common infection is that of herpes simplex viruses 1 and 2 (HSV-1; HSV-2). HSV-1 enters epithelial cells (primary target for infection) in a pH-dependent manner by way of the receptors nectin-1, herpes viral entry mediator (HVEM) and paired immunoglobulin-like 2 receptor alpha (PILR- α) [56]. HSV-1 enters the stroma through 3- O sulfated heparin sulfate (3-OS HS) receptors on corneal fibroblasts, causing viral stromal keratitis [57]. In one study, HSV-1 was suppressed in corneal cells by autophagy stimulation [58], while in another, active HSV-1 infection suppressed autophagy by interacting directly with Atg BECN1 [2]. The authors concluded that HSV-1 infection caused a more rapid stimulation of the immune response and activation of inflammasome (NLRP3), but it is not clear how activation of inflammasome occurs through autophagy-dependent mechanisms [2]. Yakoub and Shukla demonstrated a distinction between basal autophagy and induced autophagy. Basal autophagy supported HSV-2 infection, while induced autophagy negated HSV-2 infection [59]. In another study, infection with both HSV-1 and HSV-2 affected both autophagy and apoptosis in a coordinated manner, with autophagy appearing to play a cytoprotective role in HSV-infected cells through inhibition of apoptosis. This phenomenon was shown by enhanced autophagosome formation, triggered cytoplasmic acidification, increased LC3B lipidation and elevated ratio of apoptotic cells. Bafilomycin A1 (autophagy inhibitor) triggered a significant increase in the apoptotic responses of HSV-1- and HSV-2 infected cells [14].

Protozoa.: Toxoplasma gondii also enters endothelial cells by endocytosis. Toxoplasma infections occur in immunocompromised and immune-incompetent patients and generally result in posterior uveitis [1]. Yu et al. found that CCAAT/enhancer-binding protein β (C/EBP β) mediates the killing of T. gondii by inducing autophagy in nonhematopoietic cells. Increased T. gondii killing induced by C/EBP β overexpression was blocked by the mTOR activator phosphatidic acid and was increased by the inhibitor AZD8055 [60]. Engagement of CD40 on a mouse endothelial cell line also resulted in killing of T. gondii. CD40 stimulation increased expression of the autophagy proteins BECN1 and LC3-II, enhanced autophagy flux and led to recruitment of LC3 around the parasite [61].

4.2. The corneal stroma

The corneal stroma is the thickest layer of the cornea, characterized by orderly arranged collagen fibrils that provide refractive power and quiescent keratocytes that help maintain

this unique layer. Loss of homeostasis in keratocytes or their malfunction results in loss of corneal clarity and visual impairment. To date, there have been few reports focusing on the specific role of autophagy in the corneal stroma [21,62,63]. Corneal fibrosis occurs within the stroma and is a complex repair process that serves to maintain tissue integrity in the face of injury. Dynamic and intricate interactions between cells, growth factors, components of the ECM and cytokines are involved (a complete review of the subject is beyond the scope of this paper, see Ref. [16]). Injury to the epithelium and stroma cause the underlying collagen fibrils to become disorganized and initiate the following cascade of events: inflammation, myofibroblast differentiation, ECM deposition and fibrosis. Cytokines (tumor necrosis factor alpha [TNFα], interleukin 1 [IL-1]) released by the injured tissue cause keratocytes to proliferate into fibroblasts and migrate to the wound. TGFβ 1 and 2, produced by the injured epithelial cells, transdifferentiates fibroblasts into myofibroblasts. Under optimal conditions (physiological healing), there is no scar formation and myofibroblasts undergo apoptosis. With pathological healing (chronic or severe), excessive ECM deposition results and a corneal scar forms, resulting in loss of vision [17,30].

Schnyder corneal dystrophy (SCCD), a rare hereditary form of corneal dystrophy, occurs in the stroma and is the result of an abnormal accumulation of cholesterol and phospholipids [62]. Multilamellar bodies (MLB) are concentric cytoplasmic membranes that develop through an autophagy-dependent mechanism and are associated with SCCD [62]. Autophagy biomarkers, LC3/Atg8 and p62/SQSTM1, were used to demonstrate the presence of autophagy by IHC. Serum starvation or rapamycin treatment upregulated autophagy, while 3-methyladenine was used to inhibit autophagy. The researchers concluded that 3-methyladenine-mediated inhibition of autophagy was beneficial and resulted in decreased multilamellar body formation [62]. Granular corneal dystrophy type 2 is a genetic condition that results in progressive accumulation of granular and lattice deposits with age [63]. Using a transgenic mouse model for granular corneal dystrophy type 2, autophagy biomarker LC3 was identified in affected subepithelial stroma by IHC. Autophagosomes were also identified by transmission electron microscopy (TEM) in proximity to the affected cornea in homozygous mice. No definitive conclusion was ascertained as to whether the presence of autophagy was beneficial or detrimental in granular corneal dystrophy type 2 diseased cornea in that study [63]. Similar to research in other corneal tissue layers, autophagy dysregulation appears to be present with stromal disease. In the latter study, it is possible that increased autophagosomes are beneficial (similarly to in SCCD) and might result in reduced stromal granular deposition. We predict that autophagy might play a role in reducing ECM deposition in the development of corneal fibrosis.

4.2.1. Disease perspective: corneal wound healing and fibrosis—Although the role of autophagy in corneal fibrosis has not been well-defined, specific ECM constituents have been previously shown to modulate autophagy-signaling pathways [27]. Constituents of the ECM that have been shown to influence autophagy include decorin, collagen VI, laminin alpha-2, endostatin, endorepellin and krigle V [27]. All the aforementioned proteins are considered activators of autophagy other than laminin alpha −2, which is an inhibitor. Moreover, these constituents exert their influence independently of nutrient availability [27]. Using rapamycin to induce autophagy in a rat model of peritendinous fibrosis (assessed

by LC3 and p62 biomarkers) alleviated the severity of peritendinous fibrosis in vivo [64]. This observation suggests that there might be autophagy dysregulation, either decreased autophagy flux or increased accumulation of autophagosomes, in the development of corneal fibrosis. Pulmonary fibrosis investigations have determined that the loss of autophagyrelated protein Atg7 in endothelial cells leads to loss of endothelium, upregulation of TGFβ (pro-fibrotic gene) signaling and impaired autophagy flux with significant changes in endothelial cell structure [65]. TGFβ plays a major role in myofibroblast transdifferentiation and has been implicated in numerous fibrotic ocular diseases. Ding et al. reported that autophagy processes regulate TGFβ expression and suppress renal fibrosis induced by a unilateral ureteral obstruction mouse model [66]. Further investigation of autophagy's role in corneal fibrosis is warranted.

In our pilot experiments with primary human donor corneal stromal fibroblast (HSF) cultures, we determined that certain autophagy protein levels were elevated after the cells had been wounded by scratch (Fig. 5). These results showed that both LC3A/B and LAMP1 levels were elevated in the wounded cells compared to control cells. We also determined that at this time point (6 h post-wound), p62 levels were not appreciably altered. Results of this experiment provide proof of the concept that autophagy alterations occur during corneal wound healing and should therefore be further investigated for clinical application.

4.2.2. Disease perspective: corneal angiogenesis—Although the cornea is normally avascular, neovascularization might be initiated following an injury. There are three primary types of neovascularization: superficial vascularization, vascular pannus and deep stromal vascularization. Neovascularization is characterized by the incursion of vessels that have relatively poor structural integrity that might result in increased leakage of blood components into the local tissue milieu [67]. For example, VEGF is a major cytokine that stimulates endothelial cells and promotes neovascularization. Investigations have shown that inhibition of VEGF can inhibit vessel formation and represents a new therapeutic target [68]. Inhibitory antibodies targeting VEGF-A signaling pathways, such as bevacizumab and ranibizumab, are currently used in clinical settings [17]. VEGF has also been shown to increase the expression of the Atgs BECN1 and LC3 and to inhibit inflammation through activation of autophagy in a spinal cord injury model [69]. Chemerin is a recently identified adipokine associated with metabolic patients that has been shown to act as a proangiogenic factor. Shen et al. reported that in vitro, chemerin treated endothelial cells increased mitochondrial ROS, LC3-II, BECN1, Atg7 and Atg12-Atg5 complex suggesting an upregulation of autophagy flux. In a follow up study, the knockdown of chemerin receptor 23 resulted in inhibition of autophagy [70]. Taken together, these studies provide a functional link between VEGF and autophagy modulation suggesting that the pharmacological manipulation of autophagy could be useful in the management of corneal repair when neovascularization is problematic.

4.3. The corneal endothelium

Integrity loss of the endothelium in association with epithelial and stromal injury might result in corneal perforation (iris prolapse) or failed deturgescence (corneal edema) [28]. Dysfunctional autophagy has been found to play a role in corneal dystrophies (described previously and more later) [10,12,13]. In a study investigating brain microvascular endothelial cells and their role in blood-brain barrier (BBB) integrity during cerebral ischemia-reperfusion injury, rapamycin and lithium carbonate (mTOR-dependent and mTOR-independent autophagy inducers, respectively) and 3-methyladenine (autophagy inhibitor) were used to modulate autophagy. Investigators determined that enhanced autophagy exerted a beneficial effect on BBB integrity during ischemic-reperfusion injury [71]. There are a number of substances that have been found to activate autophagy and might be considered as therapeutic agents. These include curcumin (induces autophagy to protect vascular endothelial cell survival from oxidative stress damage), decorin (promotes endothelial cell autophagy and inhibits angiogenesis), endostatin (induces autophagy in endothelial cells by modulating BECN1 and β-catenin levels) and resveratrol (induces autophagy through the cAMP signaling pathway to dampen vascular endothelial inflammation) [72–75]. By inhibiting superoxide anion generation, inducing NO production, activating survival kinases and maintaining mitochondrial function and cell viability, vitamin D has also been shown to prevent endothelial cell death through alterations in the crosstalk between apoptosis and autophagy [76].

4.3.1. Disease perspective: corneal dystrophy

Fuchs endothelial corneal dystrophy.: Fuchs endothelial corneal dystrophy (FECD) is a progressive disease resulting in bilateral loss of corneal endothelial cells (CEnCs). It is characterized by decreased CEnC density, ECM excrescences (guttae) and thickening of Descemet membrane [12,13]. Meng et al. used two α2 collagen VIII (Col8a2) knock-in mouse models and human FECD tissues to show upregulation of autophagy by demonstrating increased DRAM1 compared to controls [13]. Using lithium treatment of cultured CEnCs and a mouse model of FECD, increased autophagy was further demonstrated in this condition through the employment of autophagy biomarkers p62, Tmem74, Tm9sf1 and Tmem166 by real time PCR and of Atg5–12 conjugate by western blotting [12]. Recent studies have shown that mitochondrial dysfunction (diminished mitochondrial antioxidant abilities, fewer mitochondria, damaged mitochondrial DNA and fragmented mitochondria) plays a role in FECD. Dysfunctional mitochondria are a source of ROS and therefore increased oxidative damage to the cell [77,78]. The disease causes activation of ER stress and the unfolded protein response (UPR) that stimulate autophagy [13,79]. Using the autophagy biomarker LC3, Benischke et al. concluded that upregulation of mitochondrial autophagy (mitophagy) resulted in decreased mitochondrial mass and depletion of functional mitochondria in FECD [79]. Furthermore, inhibition of autophagy flux led to increased mitochondrial mass in FECD. TEM showed an increased number of autophagolysosomes with engulfed damaged mitochondria [80]. Based on these results, treatments to decrease autophagy flux should be investigated to help FECD patients.

Granular corneal dystrophy type 2.: Granular corneal dystrophy (GCD; Avellino corneal dystrophy) is slowly progressive and results in degeneration of the corneal endothelium. There are two types; type 1 and type 2. GCD type 2 (GCD2) is an autosomal dominant disease due to a mutation (R124H) in the TGFβ1 gene (chromosome 5q31) and results in corneal stromal opacities [2,81]. Transforming growth factor β-induced protein (TGFβIp) accumulates in corneal dystrophies and the affected corneal fibroblasts

exhibit morphologic changes, increased sensitivity to oxidative stress, fragmented and dysfunctional mitochondria and autophagy dysregulation [10,82]. Choi et al. found that mutant-TGFβIp co-localized with LC3-enriched cytosolic vesicles and that LC3-II (indicates active autophagy) and SQSTM1/p62 levels were significantly increased in GCD2 corneal fibroblasts, all indicating an upregulation of autophagy. Bafilomycin A was used to inhibit autophagy by blocking fusion of autophagosomes and lysosomes, and rapamycin was used to stimulate autophagy [10]. Nie et al. found that lithium chloride treatment of corneal fibroblasts in vitro reduced the expression of TGFβIp and increased autophagy and autophagy flux in mutant-TGFβIp-overexpressing cells. Results of that study indicated that lithium chloride might be considered as a therapeutic agent for GCD [83]. In addition, enhanced autophagy clearance of mutant-TGFβ1 protein was seen in GCD corneal fibroblast cells with a treatment of melatonin and rapamycin [84].

5. Autophagy paradigms for application in corneal diseases

To investigate the presence of autophagy in normal corneal stroma, our laboratory focused on autophagy biomarkers that have previously been usefully employed in other organs (Table 1), in addition to some already identified in the cornea. The proteins include LC3/ Atg8, BECN1/Atg6, Atg5, DRAM1, SQSTM1/p62 and PRKN2 (Table 2). PCR performed by using cDNA from HCE, HSF and human corneal endothelium (HCN) primary culture cells showed that all Atgs were present in all cell types tested (Fig. 6). In addition, we demonstrated that altered levels of LC3 and LAMP1 were present in the epithelium of keratoconus subjects compared to healthy corneas (Fig. 7), substantiating the role of autophagy in corneal disease. We also showed that HCE cells could respond to oxidative stress and the autophagy inhibitor chloroquine by modulating autophagy markers LC3 and LAMP1 as well as lysosomal content (Fig. 8). In addition, we observed that the process of wound healing in HSF cells was associated with alterations to LC3B and LAMP1 (Fig. 5), suggesting that autophagy could be an important pathway influencing corneal wound healing in various wound repair conditions and/or stages. It is further likely that both stromal and endothelial cells will also respond in a similar fashion when encountering stressful stimuli. We predict that the modulation of autophagy by pharmacological agents (such as rapamycin, chloroquine and trehalose) may be possible in corneal disease but must be contextualized to the specific disease process present. This approach also provides the opportunity for possibly co-regulating multiple pathways, such as inflammation and autophagy, using dual-action modulators or formulations containing synergistic drugs.

In light of the fact that one of the most rudimentary roles of autophagy is to remove obsolete or dysfunctional organelles and proteins, autophagy dysregulation might play a role in the net accumulation of ECM that results in corneal haze. It is well recognized that lysosomal storage disorders, characterized by progressive accumulation of intracellular undigested material, are considered primary autophagy disorders. Impaired autophagy flux represents the underlying commonality among different lysosomal storage disorders [14]. Our laboratory aims to investigate in detail whether the development of corneal haze and other corneal diseases might also be considered a primary autophagy disorder.

Other roles of autophagy that are not well understood in the context of the cornea are those of providing nutrients via recycling, which would be especially important for this avascular tissue and in responding to invading pathogens. There might be unidentified autophagy mechanisms that provide signaling or cell behavior modulatory functions, which could be useful for the administration of therapeutic drugs to prevent or treat pathologic conditions. Understanding how autophagy reacts to various infectious pathogens might aid our clinical treatment arsenal.

Identification of Atgs and characterization of their specific alterations during response to injury and consequent healing processes in each one of the primary corneal layers might provide novel therapeutic targets to prevent loss of visual function. Wound healing in the corneal stroma is a multi-phasic process involving a number of cell signaling mechanisms and the production of various signaling factors and ECM components, which require the cells to transform in a spatio-temporal manner for optimal outcome. Therefore, we hypothesize that by activating both the induction of autophagy as well as autophagy flux, aberrant function of keratocytes might be prevented. Further, in cases where oxidative stress plays a role in disease pathology, increased autophagy activity will likely lessen damage to both stromal and epithelial cells resulting from ROS. Pursuant to this, in cases where inflammation is the primary culprit, modulating autophagy might have the dual effect of returning cells to homeostasis as well as regulating the feedback activation of chronic inflammatory signaling. Further investigation is therefore underway.

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Abbreviations:

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Fig. 1. Schematic showing the mechanism of action of autophagy after cell injury.

1) Following stress (injury to the corneal epithelium and underlying stroma), nutrient deprivation or hypoxia, cytokines (such as TNFα, IL-1, TGFβ) are released and initiate a cascade of signaling pathways. It is unknown how these factors specifically affect autophagy in the cornea. An altered ratio of AMP to ATP results in upregulation of AMPK. Increased AMPK inhibits mTOR1. In health, mTOR1 represses autophagy because there are sufficient nutrients for the cell to survive. AMPK-inhibition of mTOR1 activates ULK1 (mammalian homologue of Atg1). 2) ULK1 stimulates BECN1 to complex with Vsp34-Atg14 to regulate and initiate formation of the nascent autophagosome (phagophore) at the ER in response to stress signaling pathways. 3) SQSTM1, PRKN2 and other unknown factors selectively target obsolete organelles, misfolded proteins and fragmented/damaged organelles for degradation. 4) Atg7 and Atg10 facilitates Atg5 to conjugate with Atg12. The Atg12-Atg5 complex stimulates LC3-I (cytosolic form) conjugation to PE to form an LC3-II-PE complex that

binds the surface of the expanding phagophore. Lipids are continually supplied until the autophagosomal membrane is a double-membraned enclosed structure. 5) The newly formed autophagosome and lysosome traffic toward one another for fusion. In general, Rab GTPases have been found to be involved with intracellular vesicular trafficking. Transport typically involves three steps including 1) budding of a vesicle from the donor membrane, 2) selective identification of the vesicle to the acceptor membrane and 3) docking and fusion with the selected membrane. Rab proteins have not been investigated concerning autophagy in the cornea. 6) A number of factors have been identified to be involved in autophagosome-lysosome fusion; however, many have not been identified in the cornea. Known factors include BECN1/Vsp34 complex, UVRAG, SNARE, ESCRT-III, Rab 7, HSP70 and TECPR1. 7) After fusion, the autolysosome undergoes a series of changes including acidification of the encircled environment and release of degradative enzymes. DRAM1 has been implicated and promotes the afore mentioned processes. 8) Ultimately, the degraded protein products are predominantly recycled into peptides and amino acids for use by the cell or the cell might undergo autophagy-related cell death. The latter is a somewhat similar process to apoptosis; however, autophagy-related cell death is not well understood and might be implicated in disease development.

(AMP: adenosine monophosphate; AMPK: 5′ AMP-activated protein kinase; Atg: autophagy-related protein; ATP: adenosine triphosphate; BECN1: Beclin 1; DRAM1: DNA damage regulated autophagy modulator 1; ER: endoplasmic reticulum; ESCRT: endosomal sorting complex required for transport; HSP70: heat shock protein 70; IL-1: interleukin 1; LC3: microtubule associated protein 1 light chain 3 alpha; mTORC1: mammalian target of rapamycin complex 1; PE: phosphatidylethanolamine; PRKN2: E3 ubiquitin-protein ligase parkin; Rab: ras-related GTP-binding protein; SNARE: soluble Nethylmaleimide-sensitive fusion attachment protein receptors; SQSTM1: sequestosome 1; TECPR1: tectonin beta-propeller repeat containing 1; TGFβ: transforming growth factor beta; TNFα: tumor necrosis factor alpha; ULK1: unc-51-like kinase 1; UVRAG: ultraviolet irradiation resistance-associated gene; Vsp34: vesicular protein sorting 34).

Fig. 2. The three major degradative autophagy pathways.

In macro-autophagy (A), cytosolic components are engulfed into a double-membraned phagophore. Completion of the double-membrane with sequestered cargo is called an autophagosome. The autophagosome then fuses with the lysosome to create an autophagolysosome. Lysosomal degradative granules then break down the cargo within the autolysosome. Eventually, the degraded protein products are released into the cytosol for use by the cell. Microautophagy (B) is a process by which the lysosome directly engulfs cytosolic components by way of lysosomal membrane invagination, similar to endocytosis. A chaperone binds to proteins within the cytosol that have been targeted for degradation (C), and the lysosomal-associated membrane protein-2A (LAMP-2A) recognizes and binds the protein complex. The protein is then unfolded and translocated into the lysosome. (LAMP-2A: lysosomal associated membrane protein-2A).

Fig. 3. Basic steps of autolysosome formation.

Within the cytoplasm, a double-membraned phagophore begins to form and encircles obsolete organelles and misfolded proteins for autophagy degradation. Once the doublemembrane structure is complete, it is called an autophagosome. The autophagosome fuses with the lysosome (autophagolysosome) and degradative lysosomal granules break down the cargo (autolysosome). This complete degradative process is called autophagy flux (A). Autophagy dysregulation results in impaired autophagy flux. This might result in accumulation of autophagosomes due to lack of lysosomal fusion (B), slowed autophagy flux or impaired function downstream to autophagolysosomal formation.

Fig. 4. Schematic of normal autophagy function (center) and the various points of failure that might result in autophagy dysfunction.

A) Failure of initiation cell signaling pathways to start phagofore formation. This might also occur due to lack of substrate from the endoplasmic reticulum. B) Failure of sequestration of cargo into the forming autophagosome. This might occur due to failed targeting of selected substrates or failed signaling and trafficking of selected substrates. C) Failure of complete double-membrane synthesis (elongation) of the developing autophagosome. This might result in either accumulation of partially formed autophagosomes or failed synthesis of the initial forming autophagosome. D) Failure of lysosome and autophagosome vesicular trafficking, which results in accumulation of autophagosomes. E) Failure to fuse the lysosome and autophagosome, which results in accumulation of autophagosomes. F) Failure to degrade autolysosomal sequestered cargo, which results in accumulation of autolysosomes.

(ER: endoplasmic reticulum).

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Fig. 5. Expression of autophagy-related proteins in wounded HSF cells.

A) Western blot analysis shows expression of LC3A/B, p62 and LAMP1 in normal (control) and wounded (scratch) HSF cells. B) Densitometric analysis of LC3B, p62 and LAMP1 proteins. GAPDH served as the loading control and was used for densitometric analyses. C) Ratio of LC3B and p62. Data are expressed as mean \pm SD, n = 3, statistical significance was denoted by $*(p < 0.05)$ and ns (not significant as compared to control).

(GAPDH: glyceraldehyde 3-phosphate dehydrogenase; HSF: human stromal fibroblast. LAMP1: lysosomal-associated membrane protein 1; LC3: microtubule associated protein 1 light chain 3 alpha).

Fig. 6. Gel electrophoresis results of autophagy-related proteins in corneal tissues.

LC3 (A), BECN1 (B), Atg5 (C), DRAM1 (D), SQSTM1 (E) and PRKN2 (F) were present in human corneal epithelium (HCE), human stromal fibroblast (HSF) and human corneal endothelium (HCN). β-Actin served as the control.

(Atg5: autophagy-related protein 5; BECN1: Beclin 1; DRAM1: DNA damage regulated autophagy modulator 1; HCE: human corneal epithelium; HCN: human corneal endothelium; HSF: human stromal fibroblast; L: ladder; LC3: microtubule associated protein 1 light chain 3 alpha; PRKN2: E3 ubiquitin-protein ligase parkin; SQSTM1: sequestosome 1).

Fig. 7. Expression of autophagy-related proteins in KC epithelium.

A) Western blot analysis of LC3A/B and LAMP1 expression in different clinical grades of KC epithelium compared to healthy cornea collected prior to PRK (control). GAPDH served as the loading control. B) Immunofluorescence of LC3A/B and LAMP1 in KC epithelium compared to healthy donor corneal epithelium (arrows).

(GAPDH: glyceraldehyde 3-phosphate dehydrogenase; KC-G: keratoconus-grade; LAMP1: lysosomal-associated membrane protein 1; LC3: microtubule associated protein 1 light chain 3 alpha; PRK: photorefractive keratectomy).

Fig. 8. Expression of autophagy-related proteins in oxidatively stressed HCE cells.

A) Western blot analysis shows expression of LC3A/B and LAMP1 in HCE cells under normoxic (N) and hyperoxic (H) conditions with chloroquine treatment (CQ) or sham treatment control (UT). GAPDH served as the loading control. B) Immunofluorescence of lysosomal content in oxidatively stressed HCE cells. Panels A–D show lysotracker staining (arrows) of normoxic cells (a), normoxic cells treated with chloroquine (b), hyperoxic cells (c) and hyperoxic cells treated with chloroquine (d).

(CQ: chloroquine; GAPDH: glyceraldehyde 3-phosphate dehydrogenase; H: hyperoxia; HCE: human corneal epithelium; LAMP1: lysosomal-associated membrane protein 1; LC3: microtubule associated protein 1 light chain 3 alpha; N: normoxia).

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Autophagy-related genes that have been identified and implicated in various disease processes within the body.
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The currently known roles/functions of each autophagy-related gene are listed, as well as the known pathological disorder. The currently known roles/functions of each autophagy-related gene are listed, as well as the known pathological disorder.

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Table 2

Primers used for PCR analysis.

(Atg5: autophagy-related protein 5; BECN1: Beclin 1; DRAM1: DNA damage regulated autophagy modulator 1; LC3: microtubule associated protein 1 light chain 3 alpha; PRKN2: E3 ubiquitin-protein ligase parkin; SQSTM1: sequestosome 1).