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Full Length Article

Infectious Disease

## Predictors of Covid-19 Vaccination Response After In-Vivo T-Cell–Depleted Stem Cell Transplantation



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### A B S T R A C T

Covid-19 vaccination is recommended in allogeneic transplant recipients, but many questions remain regarding its efficacy. Here we studied serologic responses in 145 patients who had undergone allogeneic transplantation using in vivo T-cell depletion. Median age was 57 (range 21–79) at transplantation and 61 (range 24–80) at vaccination. Sixty-nine percent were Caucasian. One third each received transplants from HLA-identical related (MRD), adult unrelated (MUD), or haploidentical-cord blood donors. Graft-versus-host disease (GVHD) prophylaxis involved in-vivo T-cell depletion using alemtuzumab for MRD or MUD transplants and anti-thymocyte globulin for haplo-cord transplants. Patients were vaccinated between January 2021 and January 2022, an average of 31 months (range 3–111 months) after transplantation. Sixty-one percent received the BNT162b2 (bioNtech/Pfizer) vaccine, 34% received mRNA-1273 (Moderna), and 5% received JNJ-78436735 (Johnson & Johnson). After the initial vaccinations (2 doses for BNT162b2 and mRNA-1273, 1 dose for JNJ-78436735), 124 of the 145 (85%) patients had a detectable SARS-CoV-2 spike protein (S) antibody, and 21 (15%) did not respond. Ninety-nine (68%) had high-level responses ( $\geq 100$  binding antibody units [BAU]/mL) and 25 (17%) had a low-level response ( $< 100$  BAU/mL). In multivariable analysis, lymphocyte count less than  $1 \times 10^9$ /mL, having chronic GVHD, and being vaccinated in the first year after transplantation emerged as independent predictors for poor response. Neither donor source nor prior exposure to rituximab was predictive of antibody response. SARS-CoV-2 vaccination induced generally high response rates in recipients of allogeneic transplants including recipients of umbilical cord blood transplants and after in-vivo T cell depletion. Responses are less robust in those vaccinated in the first year after transplantation, those with low lymphocyte counts, and those with chronic GVHD.

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New York City was the epicenter of a severe outbreak of coronavirus 19 (COVID-19) caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) starting in March 2020 [1]. The initial death rates among patients with hematological malignancies were high [2–4]. Although vaccination was rapidly developed, immunosuppressed patients were excluded from the initial studies and questions regarding efficacy and tolerance in these populations are only now being addressed. Responses in patients with hematological malignancies are particularly poor among patients with chronic lymphocytic leukemia and myeloma, and further weakened on exposure to daratumumab and to B cell–depleting

agents [5]. Similarly, CART recipients with profound B cell suppression have poor responses to vaccination [6], as do solid organ transplant patients on lifelong immunosuppression [7]. The issue is somewhat different for stem cell transplant recipients who, after a period of profound immunosuppression, gradually regain immunocompetence.

Recent reports on COVID-19 vaccination in allogeneic transplant recipients have shown encouraging results [8–30]. But nearly all published data pertain to patients undergoing stem cell transplantation from adult donors. Conditioning regimens or graft versus host disease (GVHD) prophylaxis are usually not detailed. At New York Presbyterian/Weill Cornell Medical Center, we use alemtuzumab for GVHD prophylaxis in HLA-identical related and unrelated donor transplants [31–36]. Alemtuzumab is a pan-lymphocyte antibody that eliminates both T and B cells. Its use is associated with reduced

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acute and chronic GVHD [37,38], but an increased risk of cytomegalovirus reactivation and possibly other viral infections [39–43]. To our knowledge, the impact of alemtuzumab on COVID-19 vaccination response has not been studied. For those lacking HLA-identical donors, we have used haplo-cord transplants in which definitive hematopoiesis is established by the cord blood graft [44–49]. Engraftment is rapid, with GVHD and relapse-free survival superior to either haplo-transplantation with post-transplantation cyclophosphamide and double umbilical cord blood transplantation [47–50]. Others have shown increased graft versus leukemia effects after cord blood transplantation [51,52], but concerns have been raised about delayed immune reconstitution after cord blood transplantation and over the use of anti-thymocyte globulin, which further delays T-cell reconstitution but which is required for the haplo-cord procedure [53–55]. Here we report serologic responses to COVID-19 vaccination in our patient population and identify predictors of response.

## METHODS

We evaluated all subjects who had undergone allogeneic transplant at New York Presbyterian Hospital/ Weill Cornell Medical College between 2012 and December 2020. A total of 804 allogeneic transplantations were performed. Among the transplantation survivors, we identified 145 patients who had received an initial COVID-19 vaccine series (2 doses of mRNA vaccine or 1 dose of J&J vaccine) between January and December 2021 and who had a serologic assessment of SARS-CoV-2 spike protein (S) antibodies after their vaccination. Patients with a clinical history of COVID-19 infection before transplantation or before vaccination were excluded.

The SARS-CoV-2 S protein antibody response to vaccination was assessed by enzyme-linked immunosorbent assay using methodology previously described (Roche Elecsys) [56]. It is a sensitive marker of response to vaccination or infection and correlates well with virus neutralization assays [57,58]. Additionally, we determined whether any patients had serum antibodies to the SARS-CoV-2 nucleocapsid (N) protein, a marker for prior infection that is not elicited by vaccination.

In our laboratory, SARS-CoV-2 S antibody is defined as positive if > 0.8 Binding antibody units (BAU)/mL are detected, and the highest reported value is >250 BAU/mL [58,59]. Based on recently reported estimates of optimally protective levels of antibodies, we further classified those with <100 BAU/mL as “low responders” and those with  $\geq 100$  BAU/mL as “high responders” [60,61]. Further dilution of samples with levels >250 BAU/mL was not attempted. SARS-CoV-2 N antibody is defined as positive for > 1.0 BAU/mL.

## Statistics

Patients' characteristics and clinical endpoints were summarized as median with range for a continuous variable and frequency with proportion for a categorical variable. The primary outcome was antibody response after initial vaccination, and it was categorized as a 3-level ordinal endpoint (high/low/negative). The bivariate associations with this endpoint were tested by Kruskal-Wallis rank sum test for a continuous variable and chi-squared test or Fisher's exact test for a categorical variable. To assess the association between clinical characteristics and primary outcome, an ordinal logistic regression was used.

## RESULTS

Patient characteristics are summarized in Table 1. Median age was 57 (range 21–79) at the time of transplantation and 61 (range 24–80) at the time of vaccination. Sixty-nine percent were Caucasian. One third of transplant recipients underwent transplant from HLA-identical related, adult unrelated donors or haplo-cord donors. Forty-three (29%) received conditioning with fludarabine and melphalan [62], and another 56% received fludarabine and melphalan with low dose total body irradiation (usually 400 cG) [63]. Thirteen (9%) received myeloablative regimens, and 4 received other non-myeloablative regimens. One hundred ten (76%) transplants were for patients with myeloid malignancies (acute myelogenous leukemia, myelodysplastic syndrome, and myeloproliferative disorders). There were also 14 (9%) patients with acute lymphoblastic leukemia, 17 (12%) with lymphoma, 3 (2%) with aplastic anemia,

and 1 with sickle cell disease. At the time of initial vaccination, 2% had acute GVHD, and 15% had chronic GVHD, most of whom were receiving treatment. In addition, 18% of patients were receiving treatment directed at their underlying disease (e.g., low-dose decitabine, FLT3 inhibitor, IDH1, or IDH2 inhibitor) to prevent recurrence or treat minimal residual disease/early relapse.

Patients in this cohort were vaccinated between January 2021 and January 2022. Sixty-one percent received the BNT162b2 (bioNtech/Pfizer, New York, NY) vaccine, 34% received mRNA-1273 (Moderna, Cambridge, MA), and 5% received JNJ-78436735 (Johnson & Johnson, New Brunswick, NJ). Initial measurement of serologic response was done after the second dose of vaccine. Eighty-six patients (59%) also had received a third dose either as a late boost or as part of the initial vaccination set. Three patients had received a fourth dose.

The median time elapsed from transplant was 31 months (range 3–111) at the time of initial vaccination and the median lymphocyte count was  $0.80 \times 10^6$ /mL (range 0.04–4.96). One hundred four patients had SARS-Cov-2 N antibody tests before vaccination, of whom 20 (19%) had detectable antibody, suggesting that they may have had previous asymptomatic infection, although passive acquisition through plasma or immunoglobulin infusion cannot be excluded in some.

## Response and Predictors of Response after initial vaccination

After the initial vaccination series (2 doses for BNT162b2 and mRNA-1273, 1 dose for JNJ-78436735) 124 of the 145 (85%) patients had a detectable SARS-Cov-2 S antibody. Ninety-nine (68%) had high-level responses, and 25 (17%) had low-level responses. Twenty-one (15%) did not respond.

In univariable analysis (Table 1) increased time elapsed since transplantation and a higher absolute lymphocyte count at time of vaccination were associated with serologic response. Among 14 patients vaccinated in the first 3 to 6 months after transplantation, 2 (14%) had a high-level response, 6 (43%) had a low-level response, and 6 (43%) did not respond. Among 14 patients vaccinated between 6 months and 1 year after transplantation, 3 (20%) had a high-level response, 6 (43%) had a low-level response, and 5 (37%) did not respond. Among 117 patients vaccinated more than 1 year after transplantation, 90 (81%) had a high-level response, 13 (11%) had a low-level response, and 10 (8%) did not respond (Figure 1). Similarly, response was much lower among patients with lower lymphocyte counts. Among 56 patients with a lymphocyte count less than  $1.0 \times 10^9$ /mL, 25 (45%) had a high-level response, 17 (30%) had a low-level response, and 14 (25%) did not respond. Among 89 patients with a lymphocyte count greater than  $1.0 \times 10^9$ /mL, 73 (82%) had a high-level response, 9 (10%) had a low-level response, and 7 (8%) did not respond. Other predictors were having GVHD, being treated for GVHD, or receiving any post-transplantation disease-directed treatment. Having received pre-transplantation rituximab also was associated with decreased response in univariate analysis ( $P = .002$ ), as was older age at the time of vaccination ( $P = .039$ ). Donor type had no effect on response to vaccination.

In multivariate analysis lymphocyte count equal to or greater than  $1 \times 10^6$ /mL (odds ratio 4.01 [1.63–9.92],  $P = .0024$ ), not having chronic GVHD (odds ratio 5.6 [2.13–14.2],  $P = .0005$ ) and being vaccinated more than 1 year after transplantation (odds ratio 3.85 [1.43–10.0],  $P = .0077$ ) emerged as independent predictors for response to vaccination (Table 2 and Figures 1 to 3). Age or pretransplantation exposure to rituximab were no longer significant.

**Table 1**  
Patient Characteristics

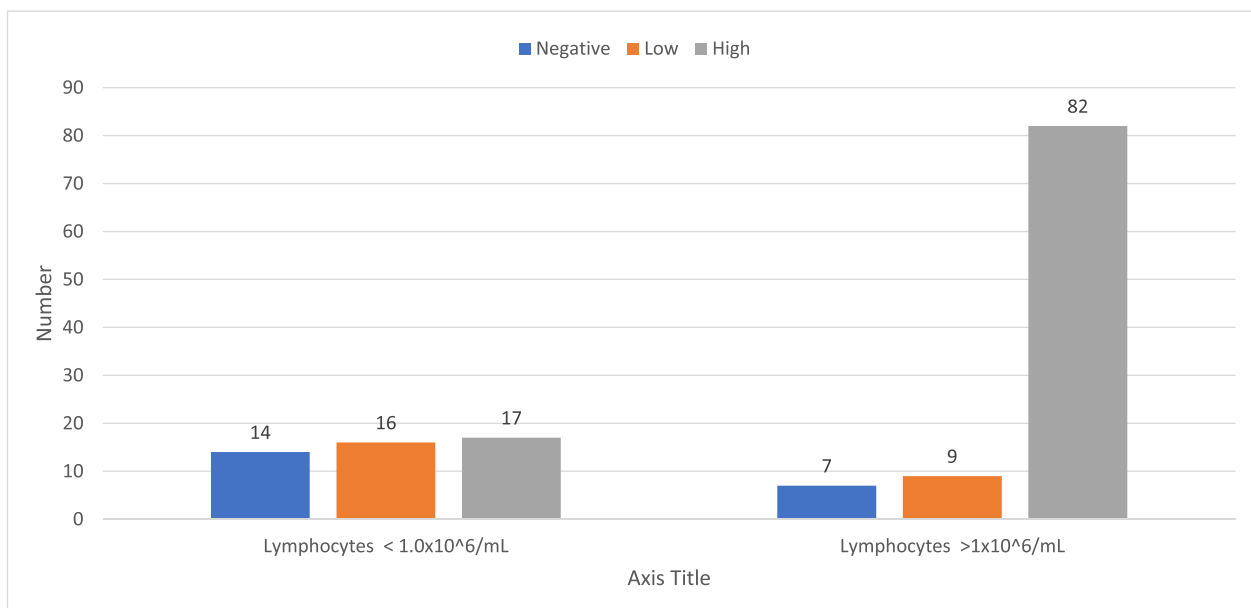
	SARS-Cov-2 S antibody Response				P Value
	Overall	High	Low	Negative	
N	145	99	25	21	
Age at vaccination, median (range)	61 (24, 80)	59 (24, 79)	61 (24, 75)	66 (24, 80)	.039
Gender					.29
Female	69 (48%)	51 (52%)	11 (44%)	7 (33%)	
Male	76 (52%)	48 (48%)	14 (56%)	14 (67%)	
Race					.052
Caucasian, Non-Hispanic	100 (69%)	62 (63%)	21 (84%)	17 (81%)	
Hispanic or non-Caucasian	45 (31%)	37 (37%)	4 (16%)	4 (19%)	
Underlying disease					>.99
ALL	14 (9.7%)	9 (9.1%)	3 (12%)	2 (9.5%)	
AML	69 (48%)	49 (49%)	11 (44%)	9 (43%)	
Lymphoma	17 (12%)	11 (11%)	3 (12%)	3 (14%)	
MDS and MPD	41 (28%)	26 (26%)	8 (32%)	7 (33%)	
Severe aplastic anemia	3 (2.1%)	3 (3.0%)	0 (0%)	0 (0%)	
Sickle cell disease	1 (0.7%)	1 (1.0%)	0 (0%)	0 (0%)	
Type of Transplant					.13
MRD	47 (32%)	35 (35%)	8 (32%)	4 (19%)	
MUD	53 (37%)	30 (30%)	13 (52%)	10 (48%)	
Haplo/Cord	45 (31%)	34 (34%)	4 (16%)	7 (33%)	
Median Days from First Vaccine Dose to initial SARS-CoV-2 S antibody, median (range)	123 (20, 400)	129 (20, 400)	114 (61, 283)	128 (65, 254)	.75
Conditioning regimen					.06
Myeloablative	13 (9.0%)	9 (9.1%)	2 (8.0%)	2 (9.5%)	
FluMel	42 (29%)	36 (36%)	2 (8.0%)	4 (19%)	
FluMeITBI	86 (59%)	51 (52%)	20 (80%)	15 (71%)	
Non-myeloablative	4 (2.8%)	3 (3.0%)	1 (4.0%)	0 (0%)	
SARS-CoV-2 N antibody					.38
Negative	84 (82%)	53 (80%)	16 (76%)	15 (94%)	
Positive	19 (18%)	13 (20%)	5 (24%)	1 (6.2%)	
Missing	42	33	4	5	
Lymphocytes, median (range)	1.40 (0.04, 4.96)	1.73 (0.10, 4.96)	0.70 (0.04, 2.13)	0.70 (0.10, 3.20)	<.001
Lymphocytes					<.001
< 1 × 10 <sup>6</sup> /mL	47 (32%)	17 (17%)	16 (64%)	14 (67%)	
≥ 1 × 10 <sup>6</sup> /mL	98 (68%)	82 (83%)	9 (36%)	7 (33%)	
Months from transplant to first vaccine, median (range)	31 (3, 111)	38 (3, 110)	13 (4, 71)	11 (3, 111)	<.001
Type of vaccine					.61
JNJ-78436735	8 (5.5%)	6 (6.1%)	1 (4.0%)	1 (4.8%)	
MRNA-1273	48 (33%)	36 (36%)	8 (32%)	4 (19%)	
BNT162b2	89 (61%)	57 (58%)	16 (64%)	16 (76%)	
Chemotherapy					.11
No	130 (90%)	91 (92%)	23 (92%)	16 (76%)	
Yes	15 (10%)	8 (8.1%)	2 (8.0%)	5 (24%)	
Maintenance treatment					.066
No	129 (90%)	92 (94%)	21 (84%)	16 (80%)	
Yes	14 (9.8%)	6 (6.1%)	4 (16%)	4 (20%)	
Acute GVHD					.54
No	143 (99%)	98 (99%)	24 (96%)	21 (100%)	
Yes	2 (1.4%)	1 (1.0%)	1 (4.0%)	0 (0%)	
Chronic GVHD					<.001
No	123 (85%)	94 (95%)	18 (72%)	11 (52%)	
Yes	22 (15%)	5 (5.1%)	7 (28%)	10 (48%)	
GVHD Treatment					<.001
No	126 (87%)	93 (94%)	20 (80%)	13 (62%)	
Yes	17 (12%)	5 (5.1%)	5 (20%)	7 (33%)	
Covid after vaccination					.055
No	135 (93%)	95 (96%)	21 (84%)	19 (90%)	

(continued)

**Table 1** (Continued)

	SARS-Cov-2 S antibody Response				P Value
	Overall	High	Low	Negative	
Yes	10 (6.9%)	4 (4.0%)	4 (16%)	2 (9.5%)	
Remission					.048
No	17 (12%)	9 (9.1%)	2 (8.3%)	6 (29%)	
Yes	127 (88%)	90 (91%)	22 (92%)	15 (71%)	
Pretransplantation rituximab					.002
No	87 (60%)	69 (70%)	9 (36%)	9 (43%)	
Yes	58 (40%)	30 (30%)	16 (64%)	12 (57%)	
Time from transplantation to first vaccine					<.001
> 12 months	117 (81%)	94 (95%)	13 (52%)	10 (48%)	
6-12 months	14 (9%)	3 (20%)	6 (43%)	5 (37%)	
3-6 months	14 (9%)	2 (14%)	6 (43%)	6 (43%)	

TBI indicates total body irradiation; ALL, acute lymphoblastic leukemia; AML, acute myelogenous leukemia; MDS, myelodysplastic syndrome.



**Figure 1.** Lymphocyte count and initial vaccine response.

**Response to third vaccination dose**

Among 25 patients with low SARS-Cov-2 S antibody titers after initial vaccination, 19 received a third vaccine. SARS-Cov-2 S antibody titers were retested in 13 such patients and were high in 10 and remained low in 3. Among 21 nonresponders to initial vaccination, 18 received a third vaccine dose. Twelve

developed positive response to the third vaccine (6 high-level and 6 low-level).

Only 6 patients (4%) remain without serologic response after completing both initial- and third-dose vaccines (Table 3). All had low lymphocyte counts, 5 were vaccinated in the first half year after transplantation, and one at 8 months. Six had

**Table 2**  
Predictors of SARS-Cov-2 S Antibody Response—Univariable and Multivariable Ordinal Logistic Regression Analysis

Predictors	Univariable analysis OR (95% CI)	P Value	Multivariable analysis OR (95% CI)	P Value
Lymphocytes				
<1	—	<.0001	—	.0024
≥1	8.08 (3.82-17.7)		4.01 (1.63-9.92)	
CGVHD				
Yes	—	<.0001	—	.0005
No	10 (4-25)		5.6 (2.13-14.2)	
Time from transplantation to vaccination				
0-12 months	—	<.0001	—	.0077
> 12 months	12.5 (5.3-25.0)		3.85 (1.43-10.0)	

OR indicates odds ratio; CI, confidence interval.

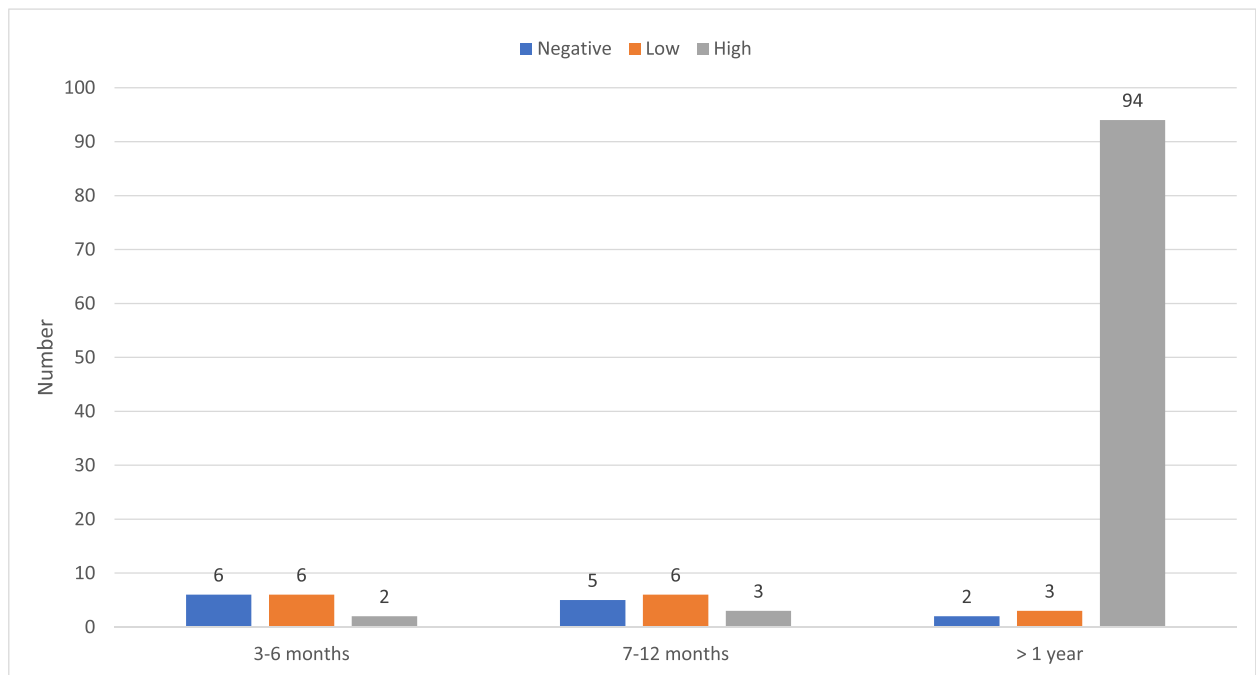


Figure 2. Time after transplantation and initial vaccine response.

chronic GVHD and were receiving treatment. Only 1 patient, a 79-year-old, almost 10 years after transplantation had no obvious reason other than age and low lymphocyte count for her failure to respond.

**Subsequent Risk for infection (during delta and omicron)**

We had the opportunity to follow the patients through the delta and omicron waves in New York City. One patient contracted the delta variant within a few days after the third dose of vaccine. Symptoms were mild and resolved without

treatment in a few days. Since mid-December 2021, during the highly transmissible omicron wave, 8 patients were diagnosed with COVID-19 after vaccination. Seven were prior responders to vaccines (3 low responders, and 4 high responders). One was a nonresponder. None of the Covid-19 cases were severe—only upper respiratory tract infections were documented. Three patients did not receive any treatment, three patients were treated with Sotrovimab, and 2 were treated with 3 days of Remdesivir. Only 1 patient required hospitalization for hypoxia.

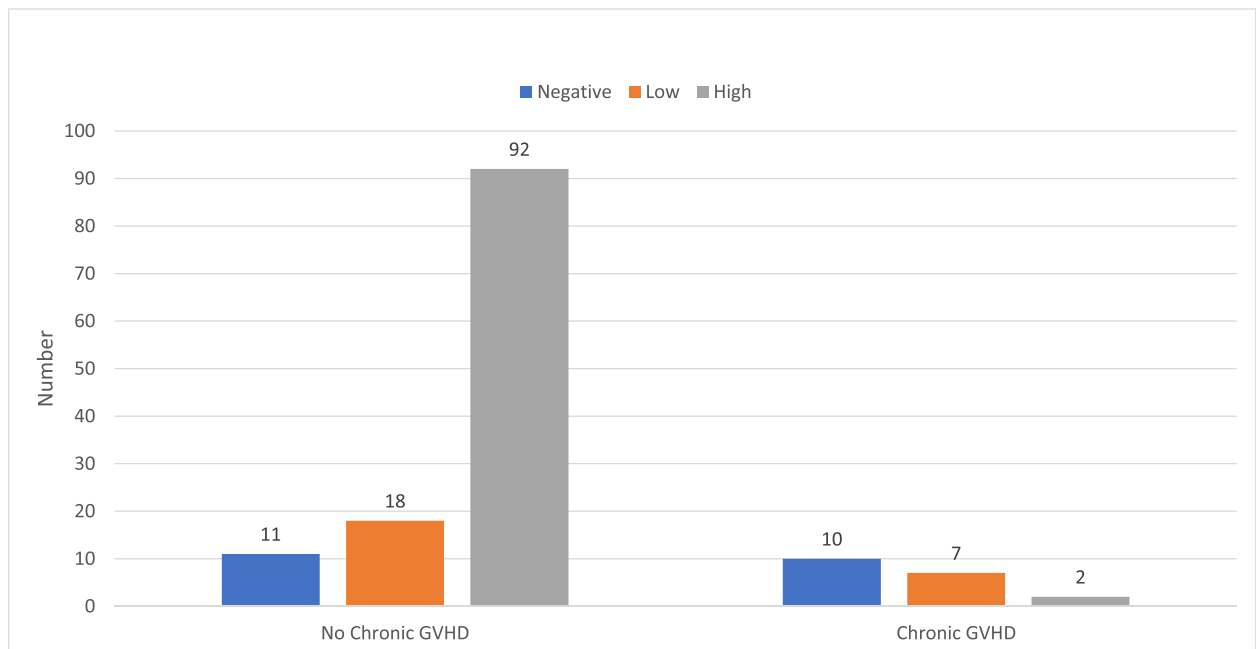


Figure 3. Chronic GVHD and initial vaccine response.

**Table 3**  
Nonresponders After Initial Vaccine and Third Vaccine Dose

Gender + F:H	Age at vaccination	General disease	Type of Donor	Conditioning regimen (READ ONLY)	Lymphocytes	Month Tx Vaccine	Type	CGVHD	Comments
Female	78	AML	URD	Flu/Mel	0.70	111	BNT162b2	No	In remission, no GVHD
Male	51	AML	URD	FluMe/TBI	0.20	5	BNT162b2	Yes	Chronic GVHD on Jakafi Tacro Belumosudil
Female	67	AML	HaploCord	FluMe/TBI	0.11	5	BNT162b2	Yes	Mild GVHD budesonide/beclomethasone – on maintenance decitabine
Female	63	MDS	MRD	FluMe/TBI	0.10	8	BNT162b2	Yes	Chronic GVHD ruxolitinib and low-dose steroids
Male	77	MF	URD	FluMe/TBI	0.12	5	mRNA-1273	Yes	Chronic GVHD on Jakafi Tacro Belumosudil
Female	67	MF	URD	FluMe/TBI	0.14	4	mRNA-1273	Yes	Second transplant - on eltrombopag for poor engraftment

## DISCUSSION

COVID-19 has a high rate of hospitalization and death in unvaccinated patients with hematological malignancies. Vaccination is recommended by all major organizations including ASH/ASTCT and the European Group for Blood and Marrow Transplantation, but data on their efficacy is only recently emerging [64,65]. Here we report outcomes of COVID-19 vaccination among adult patients receiving in vivo T-cell-depleted transplant and/or umbilical cord blood grafts—such transplants have low rates of GVHD but delayed immune reconstitution. Response rates to vaccination were encouraging, with 85% of patients having a serologic response after initial vaccination series and 68% having a high-level response. Responses were not dependent on graft source—CBU recipients had similar responses to adult donor transplant recipients. In univariable analysis, poor or absent response was associated with (1) time after transplantation, (2) low lymphocyte count, (3) having GVHD, (4) receiving treatment for GVHD, (5) receiving disease-directed treatment (e.g., low-dose decitabine, FLT3 inhibitors), (6) older age at time of vaccination, and (7) prior exposure to rituximab. In multivariable analysis, lymphocyte count less than  $1 \times 10^6/\text{mL}$ , chronic GVHD, and vaccination in the first year after transplantation were independent predictors of poor response.

These predictors are similar to those reported by others. Responses after allogeneic transplantation have previously been shown to be less robust compared to healthy volunteers [6]. As summarized in Table 4, responses are consistently worse in those vaccinated within the first 6 months to 1 year after transplantation [8,9,14,16,18,22–25,30,66]. Low lymphocyte counts [8,23,66], low B cell [22,24,25,28] or natural killer cell counts, low CD4 counts [28], low CD/CD8 ratio [24], or low immunoglobulin levels [12,28,29] are all associated with poor response. Worse responses are found in those with GVHD [9,10,23,30], those receiving immunosuppressants (particularly ibrutinib and ruxolitinib) [8,11,12,17,21,22,26,30,66], and those under active treatment for their underlying disease [8,66]. Other less frequently mentioned or examined predictors include recent exposure to rituximab [10,23], reduced-intensity conditioning [26], receiving anti-thymocyte globulin in conditioning [16,18], older recipient age [10,16,18,22], and male gender [15,24]. Having had a prior episode of COVID-19 may be a predictor of better response [14]. Many previous reports have limitations related to their mostly retrospective nature and often limited patient numbers. Nearly all reports to date are from adult donor grafts with mostly HLA-identical donors. But the overall picture that emerges is that vaccine responsiveness depends on a functioning immune system and absence of GVHD or its treatment.

Rituximab has been shown to have an adverse effect on vaccine responsiveness in lymphoma and chronic lymphocytic leukemia patients [5], and recent exposure to rituximab has also been found in some studies to be detrimental in the transplantation setting [10,23]. We and others have shown that pre-transplantation treatment with rituximab is protective of Epstein-Barr virus (EBV)—post-transplantation lymphoproliferative disorder, and since early 2018 we have given 1 dose of rituximab before transplantation to prevent EBV reactivation in our haplo-cord recipients [67,68]. In late 2019, several months before the COVID pandemic affected New York, we extended the prophylactic administration of rituximab to related and unrelated donor transplant recipients. As such, all the most recent transplants and recipients of vaccination in the first year after transplantation were also recipients of pre-transplantation rituximab, and we could not attribute any

**Table 4**  
Recent Reports on COVID Vaccination in Allo-Transplant

Author	No.	Age	Donor Type				Conditioning		% CGVHD	Time from Transplantation to Vaccination (mo)	Vaccine Type			RESP	Predictors of Poor Vaccine Response
			MRD	URD	Haplo	UCB	MAC	RIC			BNT 162b2	mRNA 1273	Other		
Beerlage [8]	182	56 (21-80)	36	56	9	0			51	39 (3-410)	48	52		92%	Less than 1 year after transplantation, immunosuppressive therapy, lymphopenia, ongoing antitumor therapy
Bergman [9]	87	74%<65									52			84%	Less than 1 year after transplantation, chronic GVHD
Canti [10]	40	60	8	27	5	0	8	32	22%	31 (5-51)	40			86%	Rituxan, GVHD, older age
Chevalier [66]	112	57 (20-75)	26	51	35	0	83	26	51%	20 (3-206)	112			55%	Less than 2 years after transplantation, lymphopenia, immunosuppressive therapy, or chemotherapy
Chiarucci [11]	12													50%	Cyclosporine
Dhakal [12]	71	64 (25-70)												68%	Hypogammaglobulinemia, prednisone
Einarsdottir Blood Adv [13]	50	54 (29-78)	15	34	1	0	25	25		92 (7-340)				76%	No predictors identified
Huang [14]	110	57	32	57	21	0	36	74	26%	20 (3-420)	94	16			Less than 1 year after transplantation, pre-vaccination COVID, <b>NOT</b> chronic GVHD
Lindemann [15]	117	59 (21-77)		NS					68%	30 (5-391_	111				Male gender
Maillard [16]	687	59 (IQR 46-66)	30	51	20	0	213	474	38%	27 (IQR 14-56)	660			78%	Less than 1 year after transplantation, immunosuppressive treatment, B-CD19 count <100/mm <sup>3</sup> , lymphocyte count <1000/mm <sup>3</sup>
Majcherek [17]	64	52 (20-68)	7	52	5	0			21%	23 (3-1112)	63			87%	Treatment with calcineurin inhibitors
Mamez [18]	63	54 (18-78)	13	28	22	1				14(3-150)	17	63			Age, time since transplantation, and ATG
Maneikis [19]	122						48	76			122				Less than 6 months after transplantation, receiving ATG, age over 60
Matkowska-Kojan [20]	65	21 (18-31)								126(36-324)	65			96%	None
Morsink [21]	70	60 (24-76)	10	51	9	0	49	21		28 (1-50)	8	54		90%	Ruxolitinib, ibrutinib for GVHD
Pabst [22]	167	60								40 (3-303)	133	7		81%	Age, number of immunosuppressants ( $\geq 2$ ), B cell counts, type of vaccine (mRNA better), and interval from vaccination
Pinara [23]	311	57 (18-80)	127	102	76	6	133	178	26%	98 (4-646)	47	261	3	79%	Less than 1 year after transplantation, lymphocytes less than $<1.0 \times 10^6$ /mL, active GVHD vaccine, B-cell NHL
Ram [24]	66	65	17	46	3		40	26	62%	32 (3-263)	65			75%	Less time after transplantation, lower CD19 counts, male gender; NOT: immune suppression or GVHD
Redjoul [25]	88	60 (26-77)	26	46	16					23 (3-213)	88			78%	Lymphocytes $<1.0 \times 10^6$ /mL, Immunosuppressive therapy
Sherman [27]	20	66									14	6		82%	

(continued)



Table 4 (Continued)

Author	No.	Age	Donor Type				Conditioning			% CGVHD	Time from Transplantation to Vaccination (mo)	Vaccine Type			RESP	Predictors of Poor Vaccine Response
			MRD	URD	Haplo	UCB	MAC	RIC	BNT 162b2			mRNA 1273	Other			
Shem-tov [26]	152	58 (22-82)	62	84	6			21	131	44%	38 (IQR24-75)	152			78%	Immunosuppressive therapy, reduced-intensity conditioning
Tamari [28]	149	66									37 (2-172)				87%	Less time after transplantation, Low CD4 counts, Low CD19 counts, Low IgG level
Watanabe [29]	25	55 (23-71)						9	16		53 (5-137)	25			76%	Low lymphocytes, steroids, Low IgG
Yeshurun [30]	106	65 (23-80)	39	58		3	69	37	75%	41 (4-439)	106			85%	Time BMT to vaccine <4.5 years, ACGVHD, immunosuppression	

independent effect of pretransplantation rituximab on the efficacy of vaccination.

The general effects of a third vaccination dose in stem cell transplant recipients have been studied by only a few groups. Maillard et al. [16] found that 41% of those without a previous response mounted a detectable response after boost and response improved in 85% of those with a low response [69]. Redjoul et al. [69] offered a third vaccine within 4 weeks after the second dose to patients who had not sufficiently responded. Among 42 participants, the third dose increased the levels of SARS-CoV-2 antibodies. But only 20 (48%) reached the protective threshold. Le Bourgeois et al. [62] found that high level of antibodies were achieved in 81% of recipients of a third dose compared with 50% in recipients of 2 doses. Still, about 11% remained negative after 3 doses. Our data are similar with about two thirds of failures to initial vaccination responding to a third dose.

Our patients have been followed throughout the recent delta and omicron waves and several have contracted Covid during that time period. None have had more than a mild infection. Numbers are small, and additional mild cases might have been missed, but it is unlikely that we would have remained unaware of life-threatening cases. This suggests that the vaccine was generally effective.

In allogenic transplant patients, mRNA vaccinations have been usually well tolerated, but skin reactions, exacerbations of GVHD [24], cytopenias [24], and even graft rejection [24] have been occasionally reported. Other risks include myocarditis (particularly in young patients) and vaccine-induced thrombosis (mostly with J&J and Astra Zeneca Vaccines) [9,24,65]. Our retrospective analysis did not focus on side effects. The baseline incidence and severity of chronic GVHD is low in our patients, and we are aware of only one case of worsening GVHD after vaccination—in a patient who received donor lymphocyte infusion.

Our study has many limitations including its retrospective nature, the unplanned testing schedule with variable intervals between vaccination and testing, the absence of exact titer determination at levels above 250, the absence of lymphocyte subset analysis, and the lack of T-cell assays of response. Many of these limitations are inherent to clinical research during the pandemic and some relate to questions of assessment of vaccine response. Serologic responses are not the only measure of vaccine response and T-cell responses measured by Elispot can show discordant results [15,24,70]. Einarsdottir et al. [13] found deficient T-cell responses in patients with low-level serologic response. But Clémenceau et al. [71] regularly found T-cell responsiveness in the absence of serologic response. Others have shown that vaccine-induced SARS-Cov-2 S antibody levels in transplant patients and other patients with hematological malignancies are lower than those of healthy volunteers [5,6,23]. Vice-versa, emerging data suggest that thresholds levels of S-antibodies correlate well with protection [60,72]. The excellent clinical outcome of our patients, especially their rapid recovery after omicron or delta support the clinical relevance of S-antibody levels.

How and when to vaccinate transplant recipients is an increasingly complex issue. Most reported experience is with the mRNA vaccines (BNT 162b2 and mRNA-1273). A consensus is emerging that these vaccines are superior, with likely some advantage for mRNA-1273 over BNT 162b2—mostly because of superior protection against the delta variant [61]. The Centers for Disease Control and Prevention recommends administration of 3 doses followed by a booster, and this is supported by the observed increase in antibody titers [64].

Because response rates and levels are lower in the early months after transplantation, the European Group for Blood and Marrow Transplantation recommends adapting the timing of vaccination to the SARS-CoV-2 infection rate in the surrounding community: “If transmission in the surrounding community is well controlled, it would be logical to wait until six months after transplantation to initiate vaccination. If the transmission rate in the surrounding community is high, vaccination could be initiated at the earliest three months after HCT” [65].

In conclusion, mRNA vaccines provide reliable protection when given more than a year after transplantation regardless of donor source or type of GVHD prophylaxis. Those in the first year after transplantation remain at risk with inferior efficacy of vaccines as do those who are immunosuppressed by GVHD, and those with low lymphocyte counts. Specifically, our patients had response rates of close to 60% in the first six months after transplant, but only 20% were high level responses. Such figures justify early vaccination during high viral prevalence times but may warrant delay in vaccination during times of low prevalence. At the current time we recommend prophylactic administration of monoclonal antibodies (currently Evusheld [tixagevimab/cilgavimab]), as well as continued vigilance and early treatment. We usually delay vaccination until at least 6 months after transplantation. This recommendation can change depending on the local prevalence of COVID and the infectivity of variants. We also follow updated recommendations from the Centers for Disease Control and Prevention, which now include 3 doses followed by a booster dose for patients with a compromised immune system. Lastly, waning of response to COVID vaccine is emerging as an increasingly important issue and could not be addressed here [73].

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