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A Role for Deficits in GABAergic Neurosteroids and their Metabolites with NMDA Receptor Antagonist Activity in the Pathophysiology of PTSD

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Abstract

Trauma-focused psychotherapies show general efficacy in posttraumatic stress disorder (PTSD), but outcomes vary substantially among individuals with PTSD and many patients do not achieve clinically meaningful symptom improvement. Several factors may contribute to poor treatment response, including genetic or environmental (e.g., stress) effects on neurobiological factors involved in learning and memory processes critical to PTSD recovery. In this review, we discuss the relationship between deficient GABAergic neurosteroid metabolites of progesterone, allopregnanolone (Allo) and pregnanolone (PA) and PTSD symptoms in men and women or PTSD-like behavioral abnormalities observed in male rodent models of PTSD. We also review the role and molecular underpinnings of learning and memory processes relevant to PTSD recovery, including extinction, extinction retention, reconsolidation of reactivated aversive memories, and episodic non-aversive memory. We then discuss preclinical and clinical research that supports a role in these learning and memory processes for GABAergic neurosteroids and sulfated metabolites of Allo and PA that allosterically antagonize N-methyl-D-aspartate (NMDA) receptor function. Studies supporting the possible therapeutic impact of appropriately timed, acutely administered Allo or Allo analogues to facilitate extinction retention and/or block reconsolidation

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AMR was a paid consultant to Praxis Precision Medicines, Inc., in 2020. SLP and KDB declare no potential conflicts of interest. GP is a paid consultant to PureTech Health and has two pending patent applications, one on *N*-palmitoylethanolamine (PEA) and peroxisome proliferator-activated receptor alpha (PPAR-a) agonists, and one on allopregnanolone analogs in the treatment of neuropsychiatric disorders.

of aversive memories are also reviewed. Finally, we discuss important future directions for research in this area. Examining the varied and composite effects in PTSD of the several metabolites of progesterone, as well as neuroactive derivatives of other parent steroids produced in the brain and the periphery will likely enable a broadening of targets for treatment development. Defining the contributions of these neuroactive steroids to common PTSD-comorbid psychiatric and medical conditions, as well as subpopulation-specific underlying dysfunctional physiological processes such as hypothalamic-pituitary-adrenal axis and immune system dysregulation, may also enable development of more effective multi-system precision medicines to prevent and treat the broader, polymorbid sequelae of extreme and chronic stress.

Keywords

Neurosteroids; Extinction Retention; Reconsolidation Blockade; Allopregnanolone; Memory; Post-Traumatic Stress Disorder

Introduction

Posttraumatic stress disorder (PTSD) is a major public health concern, affecting 8.3% of the general U.S. population overall (11.0% of women and 5.4% of men)¹ and higher percentages of individuals exposed to particularly high-risk types of trauma, such as combat, sexual assault and compound community trauma. Trauma-focused psychotherapies, such as Cognitive Processing Therapy (CPT) and Prolonged Exposure (PE) show general efficacy in the treatment of PTSD, but outcomes vary substantially among individuals and across PTSD subpopulations and many clients do not achieve clinically meaningful reductions in symptoms by the end of treatment^{2–4}. For example, among published studies of CPT for PTSD, initial PTSD symptom severity is on average, severe and similar across studies, but the percent reduction in symptoms from pre- to post-treatment ranges from 15% to 86%, with a general pattern reflecting substantially less improvement in male and female veterans and active duty military personnel compared to civilian non-veterans^{5–17}.

Several factors may contribute to poor responses to these often highly effective PTSD psychotherapies, including *genetic, environmental, and stress effects on neurobiological factors* involved in learning and memory processes¹⁸ critical to: a) reprocessing of trauma memories and modification of maladaptive thoughts about the trauma^{19–21}, b) extinction of threat-conditioned behavioral and neurophysiological defense responses^{22–27}, and c) consolidation of resulting reconfigured brain circuits^{28,29}. Recent work by Etkin et al.²¹ demonstrated that a subgroup of PTSD patients who responded poorly to PE therapy exhibited *both* poor delayed recall on a verbal memory word-list learning task *and* aberrant functional connectivity within the ventral attention network (VAN) during functional magnetic resonance imaging (fMRI). Further, reduced VAN connectivity was associated with prolonged below-baseline alpha-range desynchronization in response to single pulse transcranial magnetic stimulation (spTMS) — a phenomenon thought to be related to aberrant gamma-amino-butyric acid (GABA) system function²¹. This work thus suggests that resistance to the beneficial effects of trauma-focused psychotherapy may be associated with dysfunction in more than one relevant neural domain (i.e., coordinated neuronal

connectivity patterns as well as learning and memory)—and/or in a neurobiological factor or factors, such as GABAergic neuroactive steroids, that have impact across multiple neural domains critical to PTSD recovery.

Association Between Deficits in GABAergic Neurosteroids and PTSD

Allopregnanolone (Allo) and pregnanolone (PA) are GABAergic neurosteroids produced from progesterone (Fig. 1) in the brain, adrenal gland, ovaries, and testes that equipotently facilitate inhibitory effects of GABA acting at GABAA receptors-increasing chloride influx in response to GABA binding by seven to ten times^{30,31}. As recently reviewed³², preclinical and clinical research from this investigative team's laboratories implicate deficits in the synthesis of these GABAergic stereoisomers in the pathophysiology and recovery from PTSD. For example, our group initially demonstrated markedly reduced cerebrospinal fluid (CSF) levels of Allo+PA (measured together by gas chromatography-mass spectrometry or GC-MS) in follicular phase women with PTSD³³. The women with PTSD also exhibited a decrease in the ratio of Allo+PA to the Allo precursor 5a-dihydroprogesterone (5a-DHP), suggesting the presence of a block in Allo synthesis at the enzyme 3a-hydroxysteroid dehydrogenase (3a-HSD) (Fig. 1). Pineles et al.³⁴ confirmed a PTSD-related reduction in the ratio of Allo+PA (measured separately by GC-MS) to 5a-DHP in the plasma of women with PTSD tested both in the early follicular (eFol) and mid-luteal (mLut) phases of the menstrual cycle. Furthermore, the women with PTSD failed to significantly increase the ratio of Allo+PA to 5α -DHP in response to a moderately stressful laboratory fear-conditioning task, whereas healthy trauma-exposed control subjects responded to the stress of the task with a robust and significant increase in this ratio. A PTSD-related block in the synthesis of Allo from its steroid precursors was also confirmed by our group in the CSF of men with PTSD, although the block in men appeared to be at the enzyme 5a-reductase instead of 3a-HSD³⁵ (Fig. 1)—a finding consistent with previous work by Gillespie et al.³⁶, demonstrating a risk polymorphism in the 5α -reductase II gene in men with PTSD, but not women. Moreover, in both men and women with PTSD, CSF Allo+PA levels correlate negatively and strongly with PTSD reexperiencing and depressive symptoms, accounting for about 50% of the variance in severity—while the ratio of Allo+PA to dehydroepiandrosterone [DHEA: an adrenally-produced neuroactive steroid that allosterically antagonizes GABAA receptors and facilitates N-Methyl-D-Aspartate (NMDA) receptor function] correlated even more strongly, accounting for over 60% of the variance in the severity of these symptoms. This suggests that an imbalance in inhibitory to excitatory neurotransmission in the central nervous system (CNS) contributes to PTSD severity. Consistent with these studies in CSF and plasma, Cruz et al³⁷. recently reported reduced Allo levels in post-mortem medial orbitofrontal cortical brain samples from males with PTSD; androsterone (another potent GABAergic neurosteroid produced from androstenedione by the serial activity of 5α -reductase and 3α -HSD; see Fig. 1) was reduced when controlling for age, post-mortem interval, and smoking.

Given the reproducible cross-species PTSD phenotype associated with deficits in GABAergic neurosteroid synthesis deficits and the reciprocal relationship between GABAergic neurosteroid levels and GABA levels observed in occipital cortex³⁸ and across the menstrual cycle in healthy women, Arditte Hall et al.³⁹ also measured plasma GABA

levels in samples from the study of women with PTSD by Pineles et al.²⁹ using a slight modification of the high pressure liquid chromatography/mass spectrometry (LC-MS/MS) method of Schür et al.⁴⁰ to ensure adequate assay sensitivity and specificity. The results indicated that plasma GABA levels were not modulated by experimentally induced stress or menstrual cycle phase. In addition, although plasma GABA levels did not differ significantly by PTSD diagnosis in this relatively small study (ps > 0.18), GABA levels were positively associated with total PTSD symptom severity (p=.06), and with PTSD dysphoria (p=0.03) and avoidance (p=0.06) cluster symptom severity as defined by Simms et al.⁴¹ These data suggest that GABA levels may increase but compensate inadequately for reduced Allo+PA levels among more symptomatic individuals with PTSD. These findings also align with the work of Schür et al.⁴⁰ in male military personnel showing a positive association between post-deployment plasma GABA levels and symptoms of PTSD and depression at 6 months, but not 1 month after deployment. In contrast to these studies measuring plasma GABA at time points remote from trauma exposure, Vaiva et al. ^{42,43} found that low GABA levels (measured by comparable GC-MS methodology) immediately after a motor vehicle accident were associated with having a PTSD diagnosis 6 weeks and 1 year later.

Relationship Between GABAergic Neurosteroid Levels and Extinction Retention Deficits in PTSD

Laboratory-based fear conditioning and extinction models have been used in preclinical and clinical research to model PTSD development and maintenance. In recent years, extinction retention (i.e., the ability to recall extinction learning when tested at a later date) has gained increasing attention as a construct potentially contributing to PTSD chronicity and the suboptimal impact of trauma-focused treatments for a significant subpopulation of PTSD patients. Studies have often (although not always) shown comparable acquisition and extinction of conditioned fear in male PTSD and control subjects, but consistent extinction retention deficits in those with PTSD²⁸. Studies in women show more complicated menstrual cycle and PTSD effects on extinction retention. For example, healthy women show optimum extinction retention when 17β -estradiol levels are highest (menstrual phase not assessed)⁴⁴ or during the mLut phase of the menstrual cycle when 17β -estradiol as well as progesterone and Allo+PA levels are high²⁹. However, trauma-exposed, medically healthy women with and without PTSD show comparable extinction retention during the eFol phase when plasma progesterone and Allo+PA levels are relatively low, while women with PTSD show marked extinction retention deficits during the mLut phase compared to the eFol phase and trauma-exposed women without PTSD in the mLut phase²⁹.

Furthermore, during the mLut phase, women with PTSD showed a strong positive association between resting plasma Allo+PA levels and extinction retention⁴⁵. During the eFol phase, the ratio of resting plasma Allo+PA to DHEA, rather than Allo+PA levels alone, correlated strongly and positively with extinction retention, but only when extinction retention was compared to late extinction⁴⁵. During the eFol phase, DHEA released by the adrenal gland in response to maximally activating adrenocorticotropin hormone (ACTH) doses⁴⁶ reaches levels that are comparable to eFol phase Allo+PA levels —and thus may compete effectively with Allo+PA at brain GABA_A receptors to influence

extinction retention. During the mLut phase, plasma DHEA levels are much lower than plasma Allo+PA levels. It is therefore notable that 17 β -estradiol, which is higher during the mLut than eFol phase of the menstrual cycle, upregulates expression of 3 α -HSD in the hippocampus of healthy female rodents⁴⁷—an effect possibly mediated by estrogen receptor (ER) β , given that activation of ER β but not ER α is associated with improvements in extinction and extinction retention⁴⁸. In women with PTSD, 17 β -estradiol signaling thus may be inadequate or at least unable to overcome the impact of other possible causes of deficits in 3 α -HSD enzyme function during the mLut phase.

These studies in humans demonstrating a role for GABAergic neurosteroids in the pathophysiology of PTSD align with research in male rodent models of PTSD⁴⁹⁻⁵³. In male rodents, experimentally induced Allo deficits in brain and blood are associated with behavioral manifestations of anxiety, depression, and aggression, as well as enhanced contextual fear conditioning, slow extinction, and poor extinction retention-a phenotype akin to PTSD in humans. In turn, administration of ganaxolone, a synthetic 3β-analogue of Allo with similar effects at GABA_A receptors⁵⁴ or drugs such as selective serotonin reuptake inhibitors (SSRIs) that enhance Allo synthesis^{50,55} reverse these PTSD-like behaviors. For example, Pinna and Rasmusson⁵¹ demonstrated the capacity of a single dose of ganaxolone to block the reconsolidation of contextual fear and/or enhance extinction and extinction retention when administered immediately after the first re-exposure to a fear-conditioned context of male mice with social isolation (SI)-induced reductions in brain Allo; ganaxolone had no apparent effect in group-housed male mice with normal brain Allo levels. In contrast, vehicle-treated mice with SI-induced brain Allo deficiency extinguished very slowly compared to group-housed mice and showed spontaneous recovery of fear a week after extinction training ended. In a subsequent study using the same conditioning and single injection treatment paradigm⁵³, the endocannabinoid congener N-palmitoylethanolamine (PEA), which activates the peroxisome proliferator-activated receptor alpha (PPARa) to induce biosynthesis of Allo, had effects like those of ganaxolone. Furthermore, the beneficial effects of PEA in socially isolated mice were blocked by prior administration of finasteride, which competitively antagonizes 5α -reductase type I at submicromolar concentrations (Ki=300 nM) and inactivates 5a-reductase II at sub-nanomolar concentrations⁵⁶, thus blocking Allo synthesis.

Mechanisms by which GABAergic Neurosteroids and their Metabolites May Influence Extinction Retention and Aversive Memory Reconsolidation in PTSD

During trauma-focused therapies for PTSD such as PE and CPT, activation of a threat-related memory renders the memory "labile" and engages two competing processes: *extinction* and *reconsolidation*^{57,58}. *Extinction* involves both: a) prefrontal cortical inhibition of fear-conditioned, amygdala-mediated sympathetic nervous system, cardiovascular, hypothalamic-pituitary-adrenal (HPA) axis, monoaminergic and behavioral defense responses⁵⁹, and b) acquisition and consolidation of new learning (e.g., the conditioned threat stimulus or CS⁺ no longer signals threat, at least in the new timespace context)^{60,61}. At the molecular level, extinction involves both synaptic long-term

potentiation (LTP) and long-term depression (LTD)⁶². Extinction thus improves function, but is not permanent, as amygdala-mediated defense responses may be 'renewed' in a new context, 'reinstated' upon re-exposure to the unconditioned threat stimulus (US), or 'spontaneously recovered' with the passage of time⁵⁸. *Reconsolidation blockade* also may contribute to PTSD recovery (reviewed in Elsey et al.⁶³). β-blockers (e.g., propranolol^{64,65}), protein kinase A (PKA) inhibitors⁶⁶, and protein synthesis inhibitors (not feasible in humans)⁶⁷ block reconsolidation (if given within an hour of *brief* threat memory reactivation) by blocking phosphorylation of serine 845 residues on glutamate receptor 1 (Glu-R1) α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors, thus limiting their synaptic incorporation-a prerequisite for memory consolidation and reconsolidation^{58,68}. Some but not all human studies have demonstrated propranololinduced blockade of episodic and aversive memory reconsolidation⁶³, as well as PTSD symptom improvement in paradigms combining reconsolidation blockade and extinction⁶⁹. Furthermore, Soeter and Kindt^{70–72} showed that fear responses could not be reinstated or renewed after reconsolidation blockade, and that subsequent reacquisition of fear was no faster than during the initial fear conditioning experience, consistent with a lack of "savings" of the CS⁺-US association.

As noted above, individuals with PTSD are at high risk for deficiencies in Allo+PA—and deficits in Allo or Allo+PA production have been associated with poor extinction retention in male rodents and women with PTSD. Synthesis of these GABAergic neurosteroids is initiated de novo by ACTH in the adrenal gland, luteinizing hormone (LH) in the ovaries and testes, and NMDA receptor activation (with facilitation by co-activation of G-protein coupled receptors) in neurons (reviewed in Rasmusson and Pineles³²)--and appears to peak within an hour of synthesis initiation, as demonstrated in response to swim stress⁷⁶, maximum load exercise⁷⁷, and activation of hippocampal neurons⁷⁸. Izumi et al.⁷⁸ showed in turn that activation of neuronal synthesis of these GABAergic neurosteroids is essential to the production of LTD and/or LTP interference. While LTD contributes directly to extinction⁶², LTP interference is thought to promote extinction retention by protecting recently modulated neuronal synapses (as may occur during extinction training) from further modification during the subsequent one- to six-hour period of memory consolidation⁷⁹. Slowly rising intraneuronal levels of Allo or PA may contribute to LTD or LTP interference via: 1) facilitation of GABAA receptor-mediated inhibition of p38 mitogen-activated protein kinase (MAPK)/extracellular-signal regulated kinase (ERK) pathway production of PKA^{80,81}, resulting in disruption of Glu-R1 serine 845 phosphorylation and synaptic incorporation of AMPA receptors, and 2) slowly rising levels of the sulfated metabolites of Allo and PA (i.e., Allo-S and PA-S) that antagonize NMDA receptor activation^{82,83}. Simultaneous enhancement of the function of extrasynaptic GABA_A receptors upregulated or persisting in amygdala after aversive conditioning⁸⁴ by increasing Allo and PA levels during re-exposure to conditioned aversive stimuli likely restrains downstream activation of brainstem monoamine inputs to prefrontal cortex (PFC) (that, if to high, can disrupt working memory and PFC inhibition of the amygdala⁶⁰). PFC-amygdala connectivity thus may be promoted as a substrate for consolidation-as critical for the subsequent expression of extinction.

In contrast to the potential benefit of administering Allo or an Allo analog *after extinction training* to maintain extinction training-induced changes in synaptic strength while proteindependent synaptic consolidation occurs in the service of extinction retention, Allo given shortly after a single brief CS⁺ re-exposure, but before extinction training, may be expected to *block CS⁺-US reconsolidation*—and possibly more effectively than propranolol or PKA inhibitors. Like β -blockers, Allo facilitation of GABA_A receptor function may disrupt Glu-R1 serine 845 phosphorylation by inhibiting the PKA/cyclic AMP response element binding protein (CREB) signaling pathway. In addition, Allo would be expected to inhibit amygdala activation of the locus coeruleus and reduce norepinephrine (NE) activation of noradrenergic β -receptors, while Allo-S may directly interfere with NMDA receptor activation. While propranolol also may block NE activation of the PKA/CREB signaling pathway and prevent re-incorporation of AMPA receptors into synapses previously strengthened by aversive conditioning, it may also reduce neurosteroidogenesis^{32,85} and interfere with the learning or consolidation of learned safety signals.

The Timing of GABAergic Neurosteroid Administration May be Critical to Treatment Efficacy

As can be inferred from the discussion above, co-activation of NMDA and G-protein coupled monoamine receptors and downstream PKA/CREB signaling upon re-exposure to a fear-conditioned memory³² may be prevented by the prior administration of Allo. Therefore, chronic Allo dosing or acute dosing of Allo before activation of trauma memories may be countertherapeutic. This possibility is supported by numerous studies in rodents. Johansson et al.⁸⁶ demonstrated markedly negative effects on spatial learning and/or recall in adult male Wistar rats by a (relatively low) 2 mg/kg intravenous (IV) dose of Allo administered at 8 minutes, but not 20 minutes, *before each of 9 training sessions* in a Morris water maze. Notably, brain levels of Allo were 1.5 to 2.5 times higher in relevant brain regions at the 8- versus 20-minute training condition and paralleled those in plasma. Matthews et al.⁸⁷ demonstrated a dose dependent, negative effect in adult male Long-Evans hooded rats of a single intraperitoneal (i.p.) injection of Allo (12.5 mg/kg, 17 mg/kg, or 20 mg/kg) 20 minutes before a test of spatial memory *retrieval* in a Morris water maze after 16 days of training to criterion in the absence of drug treatment. Similar results were obtained in adolescent male Sprague-Dawley rats⁸⁸.

Increases in endogenous GABAergic neurosteroid levels before reactivation of fear memory circuits can potentially be induced by the intake of substances, activities, or experiences that activate the HPA axis, including some psychiatric medications such as clozapine and olanzepine⁸⁹, smoking⁹⁰, acute exercise⁷⁷ and other physiological or psychological stressors such as pain. Preliminary work in our laboratory shows that even placement of an IV induces a rise in plasma Allo+PA levels that peaks at about 30 minutes and returns to the resting baseline by 60 minutes. We speculate that raising GABAergic neurosteroid levels under these circumstances may acutely minimize PTSD reexperiencing or hyperarousal symptoms, but at the expense of trauma memory circuit activation, which is critical to both extinction and reconsolidation blockade. In contrast, SSRIs have been shown to increase Allo levels in male rodents at doses < 1/10 of the effective dose 50 (ED₅₀) for serotonin reuptake

blockade in association with increases in the expression of 5a-reductase I (effects on 5areductase II not tested)⁵⁵ or perhaps function of 3α -HSD⁹¹ (however, see Trauger et al.⁹²). Similarly, PEA administration has been shown to upregulate several neurosteroidogenic enzymes⁸⁵ downregulated in the hippocampus of socially isolated mice, including PPARa, steroidogenic acute regulatory protein (StAR), cholesterol side-chain cleavage enzyme (cytochrome P450 11A1 [CYP11A1]), and 5a-reductase I⁵². This would be expected to magnify the normally timed, de novo synthesis of Allo and PA when brain neurons and the adrenal cortex are activated by re-exposure to trauma reminders, and potentially facilitate extinction and extinction retention. Consistent with this formulation, Schneier et al.93 reported a relatively hastened and increased magnitude of PTSD improvement and remission among 37 World Trade Center attack trauma survivors treated with paroxetine compared to placebo in combination with PE for 10 weeks; differences between these treatment groups waned in a subsample of 26 participants who continued treatment to 22 weeks. In contrast, a study of 207 mostly male Veterans showed no benefit of sertraline compared to placebo combined with PE and a lower overall effect size, consistent with the generally smaller effect sizes seen in pharmacotherapy and trauma-focused treatment studies in Veterans ⁹⁴. Future treatment studies thus should consider both the timing of GABAergic neurosteroid-based treatment administration and underlying fluctuations in endogenous GABAergic neurosteroid levels relative to learning and memory processes relevant to PTSD recovery-keeping in mind that diverse trauma-exposed populations may vary in their capacity for GABAergic neurosteroid synthesis due to genomic factors as well as environmental exposures.

GABAergic Neurosteroids and Non-Aversive Memory

The impact of neurosteroid metabolites of progesterone on *non-aversive* learning and memory should also be considered in relation to trauma-focused PTSD therapies. Ideally, non-aversive learning proceeds in tandem with extinction learning to allow future 'informed contextualization' and recalibration of the intensity of defensive responses to previously conditioned threat cues. Optimization of GABAergic neurosteroid levels during therapeutic encounters is likely necessary to modulate 'arousal dependent' activation and connectivity among the sensory cortices, frontal lobe, hippocampus, and amygdala – to enable collation of conditioned contextual threat cues and current sensory experiences for construction of the new context and revised cognitive evaluations of risk.

Unfortunately, studies directly evaluating a potential role for GABAergic neurosteroids in non-aversive learning and memory tasks have been few. Rabinowitz et al.⁹⁵ used a relatively non-aversive, novel object recognition task to examine effects of Allo on non-spatial, hippocampus-dependent memory. In that study, Allo was administered at doses of 3.2 mg/kg, 10 mg/kg, or 17 mg/kg, i.p., fifteen minutes *before* exposing male mice to two sample objects. Twenty-four hours later, mice treated with Allo vs. vehicle demonstrated dose-dependent reductions in the normal preference to explore a novel object presented with one of the familiar sample objects—consistent with impaired encoding and/or consolidation of memory for the objects presented the day before. Allo administered systemically or by microinjection into the dorsal hippocampus immediately *after* exposure to the sample objects had similar effects on novel object recognition⁹⁵.

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Rabinowitz et al.⁹⁵ also tested hippocampus-specific effects of Allo on non-aversive memory. Allo (10 mg/kg, i.p.) versus vehicle was given 10 minutes before *pre-exposure* of male mice to a novel conditioning chamber for 5 minutes. Twenty-four hours later, vehicle was administered 10 minutes before fear conditioning, during which a 30 second, 90 decibel (db) tone co-terminating with a 1-second, 0.5 milliAmp (mA) footshock was presented 3 times over 5 minutes. The next day, mice treated with Allo versus vehicle before pre-exposure to the conditioning chamber showed a reduction in contextual fear but no difference in expression of fear to the tone. The authors claimed that Allo diminished context learning during the non-aversive, pre-exposure of the mice to the conditioning chamber⁹⁵. However, the findings may be better explained by effects of Allo on 'latent inhibition'. Allo may have exerted a mild anxiolytic effect in mice pre-exposed to the 'novel' conditioning chamber and perhaps facilitated memory consolidation as well. Thus, an association of the chamber with safety *strengthened by Allo administration* may have competed with formation of a fear association engendered by subsequent fear-conditioning in the same context. It will be important for future work to discriminate these possibilities.

Only one human study of Allo effects on non-aversive memory has been conducted. In healthy women tested during the follicular phase of the menstrual cycle, there was no effect of IV Allo compared to placebo on episodic memory tested via 10-minute recall of a 12-item word list⁹⁶. Tests of IV Allo effects on semantic and working memory also were negative. Unfortunately, the timing of the IV-line placement relative to the 45-minute battery of memory tests conducted before and after drug administration was not reported, preventing consideration of potentially confounding effects of such a stressor on endogenous Allo levels and memory performance.

Individuals with PTSD have been shown to have deficits in non-aversive episodic memory⁹⁷ and source memory for trauma-related stimuli⁹⁸. Indeed, Golier et al.⁹⁸ observed a positive correlation in combat veterans between PTSD symptom severity and memory for general and personally relevant combat trauma-related words, but not neutral words. This is consistent with the observation that chronic stress-related neurobiological changes reduce the signal-to-noise ratio of sensory inputs tightly associated with unconditioned threat and increase defensive responding to adventitious contextual stimuli⁹⁹, thereby facilitating threat generalization and degrading source memory.

Lesion studies suggest that the frontal lobe¹⁰⁰, and perhaps more specifically, the prefrontal cortex¹⁰¹ is important for source memory. The parietal cortex also appears to be involved in encoding and remembering the source of episodic memories, while posterior visual areas contribute to source memory accuracy⁹⁷. As reviewed by Letzkus et al.¹⁰², 'GABA-mediated disinhibition' operationalized by the inhibitory effects of GABA on post-synaptic inhibitory interneurons is thought to be involved in the rapid activation and coordination of neuronal activity across these brain regions that contribute to state-dependent learning and coordination of defensive responses to threat or approach responses to the arousing prospects of reward. GABA-mediated disinhibition is also involved in the rapid activation and synchronization of more discrete neuronal subpopulations involved in non-aversive learning. It thus may be important to understand the potential capacity of GABAergic neurosteroids to modulate 'GABA-mediated disinhibition', as well as define the location and

subtype of target GABA receptors within neuronal assemblies that mediate this phenomenon —if we are to most efficaciously use GABAergic neurosteroid based interventions to modulate aversive and non-aversive learning and memory in the service of PTSD recovery.

Future Directions

Recent work in very large human samples by Shaley and collaborators from the International Consortium to Predict PTSD¹⁰³ demonstrated a remarkably high predictive relationship between PTSD symptom severity rated within a month of trauma exposure and chronic PTSD assessed 4–15 months later. Further research will be necessary to determine if this important observation is related to the presence of deficits in GABAergic neurosteroid synthesis, which now have been associated with PTSD and depressive symptom severity, as well as learning and memory processes relevant to recovery from PTSD, in multiple pre-clinical and clinical studies. Work on the role of neuroactive steroids in the brain and psychiatric disorders such as PTSD is likely to continue for some time given our growing awareness of the variety and varied effects of the isomers and metabolites of progesterone derivatives, as well as derivatives of other parent steroids produced in the brain and periphery¹⁰⁴. As an exciting potential example, given the effects of ketamine on PTSD and depressive symptoms¹⁰⁵, it might be helpful to learn the extent to which the endogenous NMDA receptor antagonists, Allo-S and PA-S, either alone or in addition to Allo and PA, influence neuropsychiatric symptom expression, reconsolidation blockade, or the production of LTP interference with effects on aversive and non-aversive memory formation and consolidation. There is also much yet to learn about the mechanisms by which these neuroactive steroids may contribute to PTSD risk, severity, and recovery. In addition, there appear to be contributions of these neuroactive steroids to the etiology of common PTSD-comorbid psychiatric conditions (e.g., major depression, post-partum depression, premenstrual dysphoric disorder, and possibly alcohol, nicotine, and cannabinoid dependence) as well as PTSD-comorbid medical conditions (e.g., chronic pain, psychogenic seizures, traumatic brain injury, neurodegenerative disorders, pre-term birth, sexual dysfunction, and autoimmune disorders)^{106,107}. Research on the regulatory effects of neuroactive steroids on more fundamental physiological processes dysregulated in these conditions also will be important. For example, Allo provides local inhibition and long-loop negative feedback to the HPA axis^{108,109}. As previously reviewed^{106,107}, the hyperreactivity and persistent increases in ACTH and cortisol observed in multiple studies of women with PTSD and co-morbid depression may be secondary to a primary deficiency in GABAergic neurosteroid synthesis. On the other hand, childhood abuse-related methylation of an FK506 binding protein 5 (FKBP-5) risk polymorphism and related downregulation of glucocorticoid receptor sensitivity could contribute to deficient upregulation of 3a-HSD and a secondary deficiency in the production of Allo and PA. Understanding the sources of these converging PTSD-related glucocorticoid system endophenotypes, as well as others (e.g., that characterized by low cortisol and upregulated glucocorticoid receptor sensitivity¹¹⁰) could potentially guide development and targeting of subpopulation-specific precision therapies for PTSD. However, we must also point out the importance of measuring cortisol by GC/MS instead of immunoassay based on the replicated observations by Yehuda et al.^{111,112} showing that cortisol deficits related to PTSD risk and severity (when

measured by immunoassay) were instead deficits in the 5α -reduced metabolite of cortisol synthesized by 5α -reductase (as determined by GC/MS). This work thus appears to align with the relationship between PTSD severity and possible 5α -reductase dysregulation as discussed earlier in this review. Finally, recent research has defined a role for Allo in the regulation of inflammation^{113,114}, which may provide additional insight into possible PTSD subpopulation-specific pathophysiologic processes that impede recovery but that might yield to novel targeted treatments.

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Data Availability:

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

List of Abbreviations

3a-HSD	3a-Hydroxysteroid Dehydrogenase
5a-DHP	5a-Dihydroprogesterone
ACTH	Adrenocorticotropin Hormone
Allo	Allopregnanolone
Allo-S	Allopregnanolone Sulfate
AMPA	α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid
CNS	Central Nervous System
СРТ	Cognitive Processing Therapy
CREB	Cyclic AMP Response Element Binding Protein
CS+	Conditioned Threat Stimulus
CSF	Cerebrospinal Fluid
CYP11A1	Cytochrome P450 11A1
dB	Decibel
DHEA	Dehydroepiandrosterone
ED ₅₀	Effective Dose 50
eFol	Early Follicular
ER	Estrogen Receptor
ERK	Extracellular Signal-Regulated Kinase

FKBP-5	FK506 Binding Protein 5
fMRI	Functional Magnetic Resonance Imaging
GABA	Gamma-Aminobutyric Acid
GC-MS	Gas Chromatography-Mass Spectrometry
Glu-R1	Glutamate Receptor 1
HPA	Hypothalamic-Pituitary-Adrenal
IV	Intravenous
LC-MS/MS	Liquid Chromatography-Mass Spectrometry/ Mass Spectrometry
LH	Luteinizing Hormone
LTD	Long-Term Depression
LTP	Long-Term Potentiation
mA	Milliamp
МАРК	Mitogen-Activated Protein Kinase
mLut	Mid-Luteal
NE	Norepinephrine
NMDA	N-Methyl-D-Aspartate
PA	Pregnanolone
PA-S	Pregnanolone Sulfated
PE	Prolonged Exposure
PEA	Palmitoylethanolamine
PFC	Prefrontal Cortex
РКА	Protein Kinase A
PPAR	Peroxisome Proliferator-Activated Receptor
PTSD	Posttraumatic Stress Disorder
SI	Social Isolation
spTMS	Single Pulse Transcranial Magnetic Stimulation
SSRIs	Selective Serotonin Reuptake Inhibitors
StAR	Steroidogenic Acute Regulatory Protein
US	Unconditioned Threat Stimulus

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VAN

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Figure 1. Neurosteroid Synthesis Pathways

The enzyme pathways involved in neurosteroidogenesis are detailed above. Note that not all pathways are active in all neurosteroidogenic cells¹⁰⁷. Abbreviations include P450 scc: P450 side chain cleavage enzyme; 3a-HSD: 3a-hydroxysteroid dehydrogenase; 3b-HSD: 3b-hydroxysteroid dehydrogenase; 17KSR: 17 ketosteroid reductase.