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## Autoantibodies against IFN $\alpha$ in patients with systemic lupus erythematosus and susceptibility for infection: a retrospective case-control study

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IFN $\alpha$  and anti-IFN $\alpha$  autoantibodies have been implicated in susceptibility both for systemic lupus erythematosus (SLE) and viral infection. We aimed to analyze the SLE disease phenotype and risk for infection associated with anti-IFN- $\alpha$  IgG autoantibodies in SLE patients. In this multidisciplinary retrospective single referral center study, all consecutive patients with SLE admitted between January 1st and November 30th 2020 were considered. All subjects fulfilled the ACR/EULAR 2019 criteria for SLE. Anti-IFN $\alpha$  IgG autoantibodies were quantified at admission by ELISA. Demographic, medical history, laboratory, treatment, and outcome data were extracted from electronic medical records using a standardized data collection form. 180 patients [female 87.2%, median age of 44.4 (34–54.2) years] were included. The median disease duration was 10 years [4–20] with a median SLEDAI score of 2 [0–4] at study time. Fifty-four (30%) patients had a past-history of lupus nephritis. One hundred and forty-four (80%) had received long-term glucocorticoids and 99 (55%) immunosuppressive drugs. Overall, 127 infections—mostly bacterial and viral—were reported in 95 (52.8%) patients. Twenty SLE patients (11.1%) had positive anti-IFN $\alpha$  IgG autoantibodies with a titer ranging from 10 to 103 UA/mL. Age, sex, SLE phenotype and treatment did not significantly differ between SLE patients with or without anti-IFN $\alpha$ . Infection rate was similar in both groups except for tuberculosis which was more frequent in patients with anti-IFN $\alpha$  (20% vs. 3.1%,  $p = 0.01$ ). The prevalence of autoantibodies against IFN $\alpha$  is high in SLE and associated with a higher frequency of tuberculosis.

### Abbreviations

ACR	American college of rheumatology
CNS	Central nervous system
COVID	Coronavirus infectious disease
DRG	Diagnosis related groups
EULAR	European alliance of associations for rheumatology
F	Female

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GFR	Glomerular filtration rate
HCQ	Hydroxychloroquine
ICU	Intensive care unit
ICD	International classification of disease
IFN	Interferon
ITP	Immune thrombocytopenia
LN	Lymph node
M	Male
PMSI	Programme de médicalisation des systèmes d'information
S	Steroids
SLE	Systemic lupus erythematosus
SLEDAI	Systemic lupus erythematosus disease activity index
TB	Tuberculosis
VZV	Varicella-zoster virus

Type I interferon (mainly IFN- $\alpha$ ) has been considered for decades as a pivotal cytokine in SLE<sup>1</sup>. IFN- $\alpha$ , produced mainly by plasmacytoid dendritic cells<sup>2,3</sup>, is known to be associated with disease activity, especially in lupus nephritis<sup>4</sup> and anti-type I interferon receptor therapy has been shown to be efficient in SLE patients<sup>5</sup>. Recent reports also brought back to light the presence of IFN- $\alpha$  autoantibodies (anti-IFN- $\alpha$ ) in up to 40% of SLE patients<sup>6</sup>. Interestingly, anti-IFN- $\alpha$  have been shown to alter the interferon signature and may thus be protective in SLE<sup>7,8</sup>. Anti-IFN $\alpha$  may also predispose to severe COVID-19 by blocking the action of this crucial antiviral cytokine<sup>9</sup>. Thereby, anti-IFN- $\alpha$  in SLE might on one hand help to control the disease and increase the risk of infection on the other hand<sup>10</sup>.

In order to give insight into the complex relationship between infection risk, SLE and anti-IFN $\alpha$ , we analyzed both infection history—including COVID-19—and SLE disease phenotype according to anti-IFN- $\alpha$  status.

## Material and methods

**Population study.** All consecutive adult SLE patients admitted between January 1<sup>st</sup> and November 30<sup>th</sup> 2020, at Bichat Hospital in 5 distinct Departments of Medicine (Dermatology, Intensive Care Unit, Internal Medicine, Nephrology, and Rheumatology) were selected. Bichat Hospital, Paris, France is a referral national center for rare Autoimmune Diseases. All subjects fulfilled the ACR/EULAR 2019 criteria for Systemic Lupus Erythematosus<sup>11</sup>.

International Classification of Disease code (ICD-10) for SLE (M32) was used for screening. Data were extracted from the French Diagnosis Related Groups (DRG) based information system (PMSI) databases. Demographic, medical history, biological workup, treatment and follow-up data were retrieved from computerized medical files. Exclusion criteria included inability to confirm SLE after review of medical records and inability to retrieve serum specimens for anti-IFN- $\alpha$  analysis. COVID 19 was defined by a positive SARS-CoV-2 RT-PCR testing on a respiratory sample (nasopharyngeal swab or invasive respiratory sample) or a positive serology N-protein specific IgG without prior vaccination. Other prior infections were based on declared history confirmed by medical records.

**Anti-IFN $\alpha$  IgG autoantibodies.** The quantification of anti-IFN- $\alpha$  IgG autoantibodies was performed on serum samples draw at admission in the setting of care between January 1<sup>st</sup> and November 30<sup>th</sup> 2020. Serum samples were frozen at  $-20\text{ }^{\circ}\text{C}$  immediately after collection. Anti-IFN- $\alpha$  IgG were determined by ELISA according to the method described by Bastard et al.<sup>9</sup>. Plates were coated overnight at  $4\text{ }^{\circ}\text{C}$  with  $1\mu\text{g/mL}$  recombinant human interferon- $\alpha$  (rhIFN- $\alpha$ 2, Miltenyi Biotec) and incubated with 1:50 dilutions of serum samples from the patients. Horseradish peroxidase conjugate Fc specific anti-human IgG (Sigma) was added, the optical density was measured after addition of the substrate (TMB). Arbitrary units were calculated based on a standard curve obtained with the serum of a patient with known high titer of anti-IFN $\alpha$  autoantibodies. The positivity threshold determined in healthy controls was 10 UA/mL.

**Ethical statement.** Our study is a human non-interventional retrospective study where 1-study involved products with a marketing authorization that are prescribed in the usual manner and used in accordance with French agencies authorizations, 2-epidemiological methods were used to analyze the data, and 3-information used in the study were collected for clinical care. According to the Public Health French Law (French Research Standard MR-004), approval from institutional review board and written consent are not required for human non-interventional retrospective study. For ethical consideration, patients were however informed that data that was collected in medical records might be used for research study in accordance to privacy rule. The study protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki.

**Statistical analysis.** Continuous variables are expressed as median [IQR]. Categorical variables are expressed as frequencies and percentages. Data were compared between SLE patients with or without anti-IFN- $\alpha$  by using Fisher's exact test for nominal variables,  $\chi^2$  test for continuous variables with normal distribution and Kruskal-Wallis test for continuous non normal variables. We preplanned 4 analyses of COVID-19, viral infections, zoster, and tuberculosis frequency across groups. A Bonferroni correction taking into account these 4 comparisons was used. Statistical analyses were performed using R software.

## Results

**Characteristics of patients.** From January 1st until November 30th 2020, 247 unique SLE patients who had at least an admission in our national referral center (Bichat Hospital, Paris, France) were eligible for the study according to ICD-10 identification. After careful review of individual medical records, the diagnosis of SLE according to ACR/EULAR 2019 criteria was not retained in 67 patients and 180 SLE patients (median age of 44.4 [34–54.2] years, 157 (87.2%) female) were eventually included. Admissions were unplanned (urgent) or planned in 42 (23.3%) and 138 (76.7%) cases, respectively. Unplanned admissions were due to lupus flares ( $n=26/42$ , 61.9%) or infection ( $n=7/42$ , 16.7%) in most cases. Planned admissions were related to SLE follow-up or treatment in 129 ( $n=129/138$ , 93.5%) cases (Fig. S1).

The median SLEDAI disease activity score at admission was 2 [0–4]. Fifty-four (30%) patients had a past history of lupus nephritis. One hundred and forty-four (80%) had received long-term glucocorticoids and 99 (55%) immunosuppressive drugs at some point during follow-up. Steroids were currently prescribed in 62% at a median daily dose of 5 [0–9] mg at study time.

Overall, 127 prior infectious episodes—mainly bacterial ( $n=68/127$ , 53.5%) and viral ( $n=40/127$ , 31.5%)—were reported in 95 ( $n=95/180$ , 52.8%) patients. Viral infection was mostly due to herpes zoster ( $n=13/40$ , 32.5%) and Sars-Cov2 ( $n=15/40$ , 37.5%). Nine ( $n=9/180$ , 5%) patients had tuberculosis (Table 1).

**Anti-IFN $\alpha$  IgG autoantibodies.** Twenty SLE patients (11.1%) had positive anti-IFN $\alpha$  IgG autoantibodies with a titer ranging from 10 to 103 UA/mL (median = 15). Anti-IFN $\alpha$  autoantibodies were tested overtime in 54 (30%) patients. When identified at baseline ( $n=7$ ), anti-IFN $\alpha$  were confirmed during a follow-up in all but 2 cases. When absent at first screening ( $n=47$ ), anti-IFN $\alpha$  remained negative in all but 1 case. Age, sex, SLE features or treatment and history of infection including viral infection rates or types did not differ between SLE patients with or without anti-IFN $\alpha$ , except for tuberculosis disease which was more frequent in patients with anti-IFN $\alpha$  (20% vs 3.1%,  $p=0.01$ ) (Table 1). In all but 2 cases, TB had occurred a median of 48 [12–168] months before SLE diagnosis in untreated—no immunosuppressive drugs—patients (Table 2).

## Discussion

Our study shows that, in a large cohort of carefully characterized SLE patients, 11% are positive for anti-IFN $\alpha$  IgG autoantibodies. This finding is consistent with previous reports on prevalence of anti-IFN $\alpha$  antibodies in SLE<sup>7,12</sup>. Our study confirms the high prevalence of anti-IFN $\alpha$  in SLE as compared to the general population where the prevalence is estimated less than 1%<sup>9</sup>.

We found no significant correlation between anti-IFN $\alpha$  autoantibodies and SLE disease activity. Published results are conflicting since anti-IFN $\alpha$  antibodies have been associated with either increased<sup>7</sup> or decreased<sup>8</sup> lupus activity. The fact that almost all SLE patients in our cohort had low disease activity status may have impeded the proper analysis of anti-IFN $\alpha$  impact on SLE activity based on SLEDAI score (Fig. S2). In vitro anti-IFN $\alpha$  autoantibodies inhibit IFN $\alpha$  signaling in SLE<sup>7</sup> and may influence the disease phenotype. In our study, auto-immune cytopenia—mostly immune thrombocytopenia (ITP)—tended to be less frequent in SLE patients immunized against IFN $\alpha$ . Interestingly, IFN $\alpha$  and downstream interferon response genes are known to contribute to the pathogenesis of ITP<sup>13</sup>.

In the general population, neutralizing anti-IFN $\alpha$  IgG autoantibodies are detected in about 10% of patients with severe COVID-19<sup>9</sup>. Anti-IFN $\alpha$  testing may help to identify individuals at high risk of life-threatening infection. Despite a high prevalence of anti-IFN $\alpha$  IgG autoantibodies in SLE and a poor prognosis of severe COVID-19 among patients with SLE<sup>14,15</sup>, no patients displayed severe COVID-19 in our series.

Anti-IFN $\alpha$  autoantibodies were associated with a higher frequency of TB in our SLE patients. Although SLE patients are known to be at higher risk of TB<sup>16</sup>, the interconnection of TB, SLE and anti-IFN $\alpha$  autoantibodies is not easy to decipher. First, since TB preceded SLE in most cases, the implication of SLE treatment is unlikely. Second, TB involved the lungs in 2/3 of cases as reported in the general population<sup>17</sup>. Third, IFN $\alpha$ , in contrast to IFN- $\gamma$ <sup>18</sup>, is not known to play a key role in susceptibility for TB. Of note, the breach of tolerance observed in SLE triggers a broad range of autoantibodies including anti-IFN $\alpha$  and IFN- $\gamma$ <sup>7,12</sup> autoantibodies. In human SLE, large case–control studies have shown that autoantibodies—especially antinuclear antibodies—are present in serum months to years before clinical disease onset<sup>19</sup>. No specific study has addressed the timing of anti-IFN $\alpha$  in the course of SLE. In our study, serial testing over a short period showed that in most SLE patients the anti-IFN $\alpha$  positive or negative status remained stable. Such issues could be addressed prospectively by using serial anti-IFN $\alpha$  testing both in SLE and TB patients.

Our study presents several limitations. First, the study is a retrospective single center study. Second, it has limited power due to the rather limited prevalence of anti-IFN $\alpha$  autoantibodies in SLE even though it is much higher than in the general population. Third, repeated anti-IFN $\alpha$  testing was performed in only a third of patients. Fourth, the neutralizing activity of the autoantibodies on type I IFN was not tested in vitro.

## Conclusion

The prevalence of autoantibodies against IFN $\alpha$  is high in SLE and unexpectedly associated with a high frequency of past tuberculosis.

	All n = 180	Anti-IFN $\alpha$ positive n = 20	Anti-IFN $\alpha$ negative n = 160	p
Female Sex, n (%)	157 (87.2)	17 (85)	140 (87.5)	0.73
Age, years	44.4 [34–54.2]	41.5 [30.25–55]	44.8 [35–54]	0.32
<b>SLE phenotype</b>				
Duration of SLE disease, years	10 [4–20]	8.5 [2–20.25]	10 [4–20]	0.58
SLEDAI score	2 [0–4]	2 [0–4.5]	2 [0–4]	0.24
Arthritis, n (%)	127 (71)	13 (65)	114 (71)	0.61
Auto-immune cytopenia, n (%)	37 (21)	1 (5)	36 (22.5)	0.08
Class III/IV nephritis, n (%)	54 (30)	7 (35)	47 (29)	0.61
Serosal, n (%)	49 (27)	5 (25)	44 (28)	1
Neuropsychiatric, n (%)	13 (7.2)	0 (0)	13 (8.1)	0.37
Mucocutaneous, n (%)	125 (69)	14 (70)	111 (69)	1
anti-SSA, n (%)	80 (45)	8 (40)	72 (46)	0.64
anti-SSB, n (%)	22 (12)	1 (5)	21 (13.4)	0.48
anti-RNP, n (%)	51 (29)	8 (40)	43 (27)	0.30
anti-Sm, n (%)	42 (24)	7 (35)	35 (22)	0.26
anti-PL, n (%)	42 (23)	4 (20)	38 (24)	1
Low C3, n (%)	41 (30)	5 (31)	36 (30)	1
Gammaglobulins, g/L	13.8 [10.2–16.8]	11.7 [9.9–17.6]	13.9 [10.75–16.25]	0.9
Lymphocytes count	1.5 [1.1–2]	1.68 [1.1–2]	1.5 [1.1–2]	0.77
GFR < 60 mL/mn/1.73 m <sup>2</sup> , n(%)	12 (7)	2 (10)	10 (6.2)	0.63
Proteinuria/Creatininuria, mg/mmol	30 [20–100]	30 [20–60]	30 [10–100]	0.81
<b>Infections</b>				
Bacterium, n (%)	57 (31.7)	4 (20)	53 (33.1)	0.81
Virus	40 (22.2)	6 (30)	34 (21.2)	0.41
VZV	13 (7)	1 (5)	12 (7.5)	1
SarsCov2	15 (8.3)	3 (15)	12 (7.5)	0.22
Admission	7	2	5	–
ICU	0	0	0	–
Asymptomatic	3	1	2	–
Second infection	3	1	2	–
Other\$	12 (6.7)	2 (10)	10 (6.2)	0.63
Mycobacterium tuberculosis	9 (5)	4 (20)	5 (3.1)	0.01
Parasite/Fungus, n (%)	10 (5.6)	0 (0)	10 (6.2)	0.61
Pneumocystis carinii	2 (1.1)	0 (0)	2 (1.3)	–
Aspergillosis	0 (0)	0 (0)	0 (0)	–
Other†	8 (4.5)	0 (0)	8 (5)	–
Number of infection				0.72
1	69 (38.3)	9 (45)	60 (37.5)	
2	21 (11.7)	3 (15)	18 (11.2)	
> 2	5 (2.8)	0 (0)	5 (3.1)	
<b>Treatment history</b>				
Steroids, n (%)	144 (80)	16 (80)	128 (80)	1
Steroids daily dose*, mg/d	5 [0–9]	5 [0–9.25]	5 [0–9]	0.77
Hydroxychloroquine, n (%)	148 (82)	18 (90)	130 (81)	0.54
HCQ daily dose, mg/d	400 [200–400]	400 [200–400]	400 [375–400]	1
[HCQ] ng/mL	936 [555–1276]	1326 [832–1787]	906 [510–1261]	0.07
Immunosuppressive drugs, n (%)	99 (55)	13 (65)	86 (54)	0.48
Biologics, n (%)	43 (24)	5 (25)	38 (24)	1

**Table 1.** Characteristic of SLE patients. *GFR* glomerular filtration rate, *HCQ* hydroxychloroquine, *VZV* varicella-zoster virus, *ICU* intensive care unit, *HCQ* hydroxychloroquine. Immunosuppressive drugs included cyclophosphamide, azathioprine, mycophenolate mofetil, and methotrexate. Biologics included belimumab and rituximab. \$Other virus include respiratory viruses (n = 2), herpes simplex virus (n = 2), dengue virus (n = 2), hepatitis C virus (n = 2), hepatitis B virus (n = 2), human papillomavirus (n = 1), and chikungunya virus (n = 1). †Other parasite/fungus include plasmodium falciparum (n = 6), sarcoptes scabiei (n = 1) and cryptosporidium (n = 1). \*Current.

	Anti-IFN $\alpha$	Age at diagnosis		Gender	SLE Treatment at TB	TB involvement			Other infection
	titer*	TB	SLE			Lung	LN	CNS	
1	<3	20	42	F	0		1		
2	65	49	53	F	0	1			
3	<3	35	41	F	0		1		Zona
4	<3	37	35	F	S, HCQ			1	
5	18	24	24	F	0	1			
6	83	27	27	F	0	1			
7	10	21	45	F	0	1	1		
8	<3	64	63	F	S, HCQ	1			
9	<3	52	53	M	0	1	1		

**Table 2.** SLE patients, anti-IFN $\alpha$  autoantibodies and tuberculosis. S steroids, HCQ hydroxychloroquine, LN lymph node, CNS central nervous system, F, female, M male, TB tuberculosis, SLE systemic lupus erythematosus. \*Positivity threshold  $\geq 10$  UA/mL.

## Data availability

All data generated or analysed during this study are included in this published article.

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## Author contributions

All authors were involved in drafting the article or revising it critically for important intellectual content, and all authors approved the final version to be published. K.S. had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. Study conception and design: MB, PNR, KS. Acquisition of data: M.B., P.N.R., C.F., E.D., V.D., P.D., D.D., T.G., F.F., P.M., J.F.T., T.P., K.S. Analysis and interpretation of data: M.B., P.N.K., A.M., C.F., K.S.

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## Competing interests

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## Additional information

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