



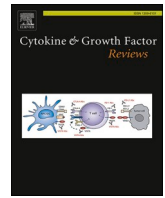
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## Cytokine and Growth Factor Reviews

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## Clinical progress in MSC-based therapies for the management of severe COVID-19

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## ABSTRACT

Considering the high impact that severe Coronavirus disease 2019 (COVID-19) cases still pose on public health and their complex pharmacological management, the search for new therapeutic alternatives is essential. Mesenchymal stromal cells (MSCs) could be promising candidates as they present important immunomodulatory and anti-inflammatory properties that can combat the acute severe respiratory distress syndrome (ARDS) and the cytokine storm occurring in COVID-19, two processes that are mainly driven by an immunological misbalance. In this review, we provide a comprehensive overview of the intricate inflammatory process derived from the immune dysregulation that occurs in COVID-19, discussing the potential that the cytokines and growth factors that constitute the MSC-derived secretome present to treat the disease. Moreover, we revise the latest clinical progress made in the field, discussing the most important findings of the clinical trials conducted to date, which follow 2 different approaches: MSC-based cell therapy or the administration of the secretome by itself, as a cell-free therapy.

**Abbreviations:** COVID-19, Coronavirus Disease of 2019; MSCs, mesenchymal stromal cells; ARDS, acute respiratory distress syndrome; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; NIH, national institutes of health; EVs, extracellular vesicles; FDA, food and drug administration; IL-1 $\beta$ , interleukin-1 $\beta$ ; IL-2, interleukin-2; IL-10, interleukin-10; IFN- $\gamma$ , interferon- $\gamma$ ; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; IP-10, inducible protein 10; GM-CSF, granulocyte macrophage-colony stimulating factor; MCP-1, monocyte chemoattractant protein-1; CRP, C-reactive protein; LDH, lactate dehydrogenase; AST, aspartate aminotransferase; ALT, alanine aminotransferase; IL-6, interleukin-6; IL-1, interleukin-1; TNF, tumor necrosis factor; ACE2, angiotensin converting enzyme 2; S, protein spike; AT2, type 2 alveolar cells of the lungs; FGF, fibroblast growth factor; TGF, transforming growth factor; VEGF, vascular endothelial growth factor; NK, natural; DCs, dendritic cells; BALF, bronchoalveolar lavage fluid; IL-7, interleukin 7; G-CSF, granulocyte colony-stimulating factor; NETs, Neutrophil Extracellular Traps; RF, respiratory failure; ROS, reactive oxygen species; CD, cluster of differentiation; TLR, toll-like receptors; LPS, lipopolysaccharide; IDO, indoleamine 2,3-dioxygenase; NO, nitric oxide; STAT3, signal transducer activators of transcription-3; IL1Ra, Interleukin-1 receptor antagonist; TSG-6, TNF- $\alpha$ -stimulating gene 6; MAPK, mitogen-activated protein kinase; NF- $\kappa$ B, nuclear factor-kappa B; IL-1 $\alpha$ , interleukin 1- $\alpha$ ; IL-1 $\beta$ , interleukin 1- $\beta$ ; IL-7, interleukin-7; IL-8, interleukin 8; IL-9, interleukin; miRNA, microRNA; PGE2, prostaglandin E2; TGF- $\beta$ 1, transforming growth factor- $\beta$ 1; HGF, hepatocyte growth factor; KGF, keratinocyte growth factor; Fas-L, Fas ligands; PD-1, programmed cell death protein 1; PD-L1, programmed death ligand-1; cAMP, cyclic adenosine monophosphate; IL-2R, IL-2 receptor; FOXP3 +, forkhead box P3 +; Treg, regulatory T cell; Gal-1, galectin-1; Gal-3, galectin-3; Gal-9, galectin-9; HLA, human leukocyte antigen; MHC, major histocompatibility complex; IL-4, interleukin-4; GvHD, acute graft-versus-host disease; UC-MSCs, umbilical cord derived MSCs; ICU, intensive care unit; PLX-PAD, placental expanded; MIS-C, multisystem inflammatory syndrome in children; BM-MSCs, bone marrow derived MSCs; AT-MSCs, Adipose Tissue derived Mesenchymal Stromal Cells; Allo-MSCs, Allogeneic Mesenchymal Stromal Cells; WJ-MSCs, Wharton's Jelly-derived Mesenchymal Stromal Cells; HB-AT-MSCs, Hope Biosciences autologous adipose-derived mesenchymal stromal cells; DW-MSCs, Daewoong Pharmaceutical's Mesenchymal Stromal Cells; DSCs, Placenta-derived decidua stromal cells; PL-MSCs, Placental-derived Mesenchymal Stromal Cells, MB-MSCs, Menstrual blood-derived Mesenchymal Stromal Cells; IV, intravenous; Ld, Low dose; Hd, High dose; IMV, Invasive mechanical ventilation; adm, administration; bw, Body weight; Allo, allogeneic; INH, inhalation; NVs, nanovesicles.

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## 1. Introduction

As of June 2022, there have been over 526 million confirmed cases of Coronavirus disease 2019 (COVID-19) worldwide, with more than 6,2 million deaths [1]. Fortunately, the development of effective prevention measures is limiting the effects of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection, slowly changing the course of the pandemic. The first line of prevention is vaccination. Vaccines are having a significant effect on case numbers and hospitalizations [1]. For those patients who are not eligible to vaccination – immunocompromised individuals who might have an inadequate immune response to COVID-19 vaccination, or patients that cannot be fully vaccinated due to a history of severe adverse reaction to a COVID-19 vaccine or any of its components – pre-exposure prophylaxis drugs can be used. These consists of Anti-SARS-CoV-2 monoclonal antibodies such as tixagevimab or cilgavimab [2]. In this line, other option is post-exposure prophylaxis, in which recently exposed people take a short course of medication to prevent infection. It is also based in monoclonal antibodies such as the combinations of bamlanivimab plus etesevimab and casirivimab plus imdevimab. However, currently, organisms such as the National Institutes of Health (NIH) recommend against the use of such post-exposure prophylaxis, since the current predominant variant Omicron and its subvariants are not susceptible to these agents [2].

These prevention measures – especially vaccines – have significantly reduced the severity of the disease in high-income countries. However, uncertainties exist regarding the duration of the protection and their efficacy towards emerging SARS-CoV-2 variants. Moreover, the limited global access to vaccines, together with the individuals that are not eligible to them, leads to the vulnerability of a great part of the population [1,3]. This vast group of individuals still presents a high risk of suffering from critical COVID-19.

To date, multiple therapeutic options are available for the management of the disease. To establish the treatment line, it is important to consider that there are two main processes that drive the pathogenesis of COVID-19. The early stage is characterized by the replication of SARS-CoV-2, whereas later in the clinical course, the disease seems to be driven by a dysregulated immune / inflammatory response to the virus, which results in tissue damage. Therefore, whereas therapies targeting SARS-CoV-2 would have the greatest effect in the early COVID-19, immunosuppressive / anti-inflammatory therapies come in handy in the later stages of the disease [2].

Regarding nonhospitalized adults with mild to moderate COVID-19 who are at high risk of disease progression, the preferred therapies are the antivirals ritonavir-boosted nirmatrelvir (paxlovid) and remdesivir. Alternatively, bebtelovimab and molnupiravir are recommended. In the case of patients who have been discharged from hospital but still are in need for supplemental oxygen, the use of dexamethasone is indicated, and its combination with remdesivir can be considered [2]. The clinical management of hospitalized adult patients will depend on the severity of the disease and the oxygen requirements. For individuals that do not require supplemental oxygen but who are at risk of disease progression, the use of remdesivir is recommended. When oxygen supply is needed, options include the use of remdesivir, dexamethasone or their combination. For patients with rapidly increasing oxygen needs and systemic inflammation, the addition of drugs such as tozilizumab, baricitinib or sarilumab can be considered [2].

However, it has to be considered that – especially in the most severe cases – the efficacy of these treatments might be limited. Moreover, many of these drugs present weak safety profiles with important side effects. Therefore, the search for new therapeutic alternatives is still essential. This fact is supported by the high number of pharmaceutical companies and laboratories conducting clinical trials to test drugs – both, new compounds and drugs already approved for other applications – for the treatment of COVID-19.

As above mentioned, patients suffering from severe COVID-19, present a dysregulated immune response with the subsequent inflammatory

status. In this line, mesenchymal stromal cells (MSCs) emerge as a promising new avenue for the clinical management of COVID-19 [4,5]. MSCs are non-hematopoietic precursor cells present in all mammalian supportive stromal tissues that present a regenerative and immunomodulatory function, which is mainly driven by the great range of bioactive factors they release – directly or by means of extracellular vesicles (EVs) –. These factors are able to regulate the exacerbated immune responses, limiting inflammation and promoting tissue regeneration [4,6]. Recently, the United States Food and Drug Administration (FDA) authorized the compassionate use of MSC in the most severe cases of COVID-19, which present a poor prognosis [5]. In this review, the potential beneficial effects that MSCs could present to fight the inflammatory processes occurring in the most severe COVID-19 cases will be analyzed in depth. Moreover, the latest clinical progress made in the field will be revised, discussing the most important findings of the clinical trials conducted to date with MSCs or their derived products.

## 2. COVID-19: clinics and underlying mechanisms

Attending to its severity, COVID-19 cases can be classified as non-severe – including asymptomatic and mild affections –, moderate, severe or critical. The mildest cases are characterized by symptoms including fever, cough, sore throat, malaise, headache, muscle pain, nausea, vomiting, diarrhea, anosmia and ageusia. In moderate cases, patients suffer from shortness of breath, dyspnea and abnormal chest imaging. These symptoms are aggravated in severe COVID-19 cases, which are defined by oxygen saturation < 90%, signs of pneumonia and signs of severe respiratory distress. Finally, critical COVID-19 is characterized by acute respiratory distress syndrome (ARDS), which is clinically defined by the acute onset of hypoxemic respiratory failure (RF) that cannot be explained by the presence of heart failure or fluid overload. In the patient, ARDS leads to pulmonary edema, arterial hypoxia and dysfunction in the air exchange function [7]. Additional criteria that defines the critical cases are sepsis, septic shock and conditions that require mechanical ventilation or vasopressor therapy [2]. Critical patients can express increased levels of cytokines, which is known as a cytokine storm. In this regard, multiple studies have shown that COVID-19 patients present increased levels of interleukin-1 $\beta$  (IL-1 $\beta$ ), interleukin-2 (IL-2), interferon- $\gamma$  (IFN- $\gamma$ ), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), inducible protein 10 (IP-10), granulocyte macrophage-colony stimulating factor (GM-CSF) or monocyte chemoattractant protein-1 (MCP-1). The levels of these cytokines are correlated with the severity of the disease [8–10]. In addition, it should be noted that the presence of the virus in the lungs also increases the risk of secondary infections [11].

For diagnosis and severity evaluation, the most widely performed clinical tests are imaging techniques and the assessment of different biomarkers. Regarding the former, chest X rays and computed tomography are frequently employed to determine the presence of abnormalities, being the most common of them bilateral multifocal opacities [12]. Common laboratory biomarkers are also valuable to determine the clinical course of COVID-19. The systematic inflammatory response observed in COVID-19, together with the use of hepatotoxic drugs can increase the serum levels of aspartate aminotransferase (AST) and alanine aminotransferase (ALT), which indicate an abnormal liver function and hepatocyte damage [13]. The production of C-reactive protein (CRP) – synthesized by hepatocytes in response to infection or tissue inflammation – is stimulated by interleukin-6 (IL-6), interleukin-1 (IL-1) and TNF [14]. Therefore, the elevation of these cytokines in COVID-19 patients increase the CRP levels, making it one of the most effective and sensitive biomarkers to predict the disease severity and progression [15,16]. The presence of increased D-dimer has been also associated with poor prognosis in COVID-19 patients during hospitalization. Indeed, several metaanalyses showed that high levels of D-dimer have a dreadful impact on the mortality [17,18]. Similarly, in response to infection of SARS-CoV-2, IL-1 $\beta$ , IL-6 and IFN- $\gamma$  stimulate the production of ferritin [19]. Elevated ferritin levels are therefore indicators

of severity of the disease [20–22]. Moreover, high levels of lactate dehydrogenase (LDH) have been associated to severe COVID-19 cases and it can be employed as a negative predictor of survival [23,24]. In this line, an increase in troponin indicates cardiac injury in COVID-19 patients and has been associated to the risk of mortality [25].

### 2.1. The SARS-CoV-2 derived inflammatory process

To initiate the host cell infection process SARS-CoV-2 binds to the cell surface angiotensin converting enzyme 2 (ACE2) receptor through protein spike (S) [26]. The ACE2 receptor is expressed primarily in the lower respiratory tract, mainly in type 2 alveolar cells of the lungs (AT2). Therefore, the respiratory system is the most affected in the disease. Despite in a lower extent, multiple other systems can also be affected by SARS-CoV-2, since ACE2 receptors – which under physiological conditions contribute to the regulation of blood pressure – are also expressed in the heart, kidneys, stomach, bladder, esophagus, intestine and oral cavity [27,28].

As above mentioned, the respiratory system is the most affected by SARS-CoV-2. Lung tissue inflammation and functional injury are characteristic in severe and critical COVID-19 patients. It is also important to mention that as a result of such an intense inflammatory reaction, pulmonary fibrosis appears frequently, with the subsequent increase of factors such as fibroblast growth factor (FGF) and transforming growth factor  $\beta$ 1 (TGF- $\beta$ 1) [29–32].

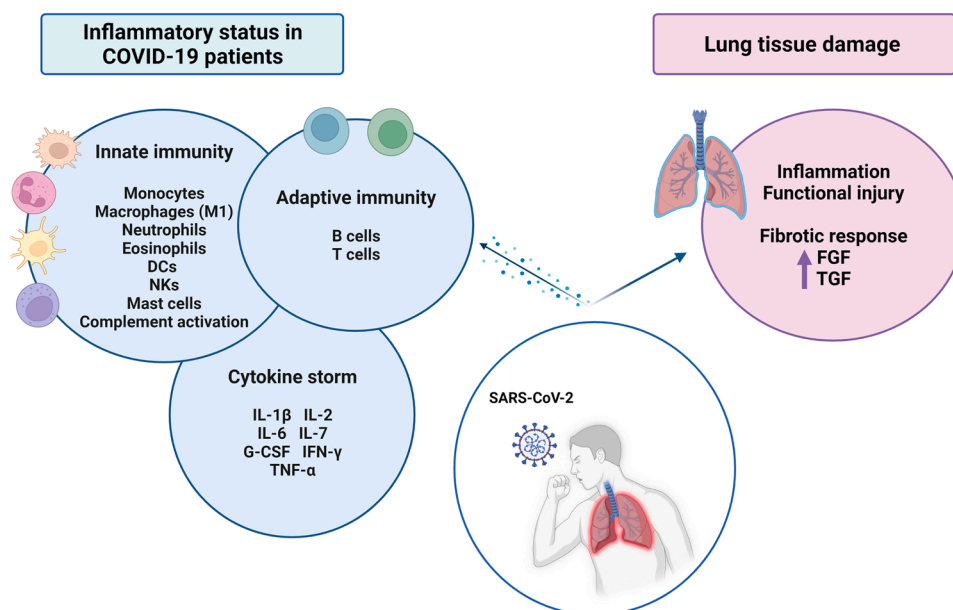
The inflammatory process occurring in COVID-19 patients is driven by the immune system activation as a response to the viral infection (Fig. 1) [33]. As the first line of defense, innate immune cells including monocytes, macrophages, neutrophils, eosinophils, dendritic cells (DCs), natural killer cells (NKs) and mast cells increase their numbers with the aim to limit the viral replication and activate the adaptive immunity [34]. High levels of neutrophils, activated mast cells, NKs and proinflammatory M1 macrophages in the bronchoalveolar lavage fluid (BALF) of COVID-19 patients have been associated to the recruitment of other immune cells and correlate to the disease severity [35–40]. In these patients, it has also been described that neutrophil activation can lead to the secretion of a DNA complex, forming the so-called Neutrophil Extracellular Traps (NETs). Those NETs can trap different pathogens including fungi, bacteria and viruses, thus protecting the host from the infection [41,42]. The activation of immune cells such as macrophages triggers the release of pro-inflammatory cytokines – process known as

cytokine storm – which importantly contributes to the establishment of the inflammatory state. Among them, as IL-1 $\beta$ , IL-2, IL-6, interleukin 7 (IL-7), granulocyte colony-stimulating factor (G-CSF), IFN- $\gamma$  or TNF- $\alpha$  are remarkable. Importantly, these cytokines reach the bloodstream causing damage to multiple organs [43]. Overall, this exacerbated immune/inflammatory response increases the production of reactive oxygen species (ROS). ROS disrupt redox homeostasis and provoke oxidative stress and inflammation, damaging lung tissue and leading to ARDS [44]. Moreover, an intense activation of the complement system has also been observed in severe cases of the disease [45].

The innate response activates the adaptive immune system, which is essential for regulating and clearing the viral infection. B cells, CD4<sup>+</sup> and CD8<sup>+</sup> T cells of adaptive immune system in COVID-19 patients contribute to the inflammatory process and pathogenesis of SARS-CoV-2 infection. CD8<sup>+</sup> T cells attack and kill virus-infected cells and CD4<sup>+</sup> T cells are responsible for cytokine production to promote immune cell recruitment, thus reinforcing the inflammatory loop. Autopsies of COVID-19 patients reveal an accumulation of monocytes and T cells in the lungs, accompanied by low levels of hyperactive T cells in the peripheral blood [46]. These observations suggest that T cells are attracted away from the blood into the most damaged sites to control the infection.

### 3. MSCs: towards reducing the immune over-activation and inflammatory status

As above mentioned, MSCs are able to regulate innate and adaptive immune responses. This immunomodulatory capacity makes them excellent candidates for the treatment of not only COVID-19, but many other immune-mediated inflammatory diseases. To understand the therapeutic mechanism of these cells, it is necessary to mention that MSCs change from a pro-inflammatory to an anti-inflammatory phenotype depending on their local microenvironment. This phenotype change is mediated by the activation of toll-like receptors (TLR) expressed on the surface of MSCs, which recognize cytokines present in the surrounding medium [44]. MSCs mainly express TLR3 and TLR4; however, the degree of expression of these receptors depends on the source of MSCs [47]. Inflammatory cytokines such as IFN- $\gamma$  and TNF- $\alpha$  activate TLR3, which induces a shift towards an anti-inflammatory phenotype – also known as MSC2 phenotype –. On the contrary, some factors such as lipopolysaccharide (LPS) activate TLR4 and induce a



**Fig. 1.** Inflammatory status and pathogenesis of severe COVID-19. SARS-Cov-2 infection can evoke an immune response overactivation, with the subsequent inflammatory status, in which diverse cytokines and growth factors play an important role. List of abbreviations: SARS-CoV-2 – Severe Acute Respiratory Syndrome Coronavirus 2; FGF – Fibroblast Growth Factor; TGF – Transforming Growth Factor; DCs – Dendritic Cells; NKs – Natural Killer Cells; IL-1 $\beta$  – Interleukin-1 $\beta$ ; IL-2 – Interleukin-2; IL-6 – Interleukin-6; IL-7 – Interleukin-7; G-CSF – Granulocyte Colony-Stimulating Factor; IFN- $\gamma$  – Interferon- $\gamma$ ; TNF- $\alpha$  – Tumor Necrosis Factor- $\alpha$ .

proinflammatory – or MSC1 – phenotype [44].

The immunomodulatory activity of MSCs is mediated by different mechanisms (Fig. 2). Among them, their paracrine function is considered to be the major responsible for this effect [48]. MSCs are able to release a plethora of bioactive molecules – including interleukins, enzymes and growth factors – that can be directly released (soluble factors) or secreted through EVs. These factors and EVs compose the so-called MSC-derived secretome. It is interesting to note that as well as carrying immunomodulatory factors, EVs can induce immunomodulation by other mechanisms. In this regard, apoptotic bodies – a type of EVs ranging from 50 to 4000 nm and formed by apoptotic MSC membrane blebbing – have recently been considered to play a role in immunoregulation. Upon apoptotic body efferocytosis – process by which macrophages engulf the particles – the M2 anti-inflammatory phenotype of macrophages is activated [49]. Thus, efferocytosis has been related to an increased production of immunomodulatory factors such as IL-10 and indoleamine 2,3-dioxygenase (IDO) by macrophages, and to a reduction of their secretion of TNF- $\alpha$  and nitric oxide (NO) [6,49].

Another mechanism for MSC-immunomodulation is the direct cell-cell contact. MSCs present different surface ligands that can interact with immune cells, modulating their response [50].

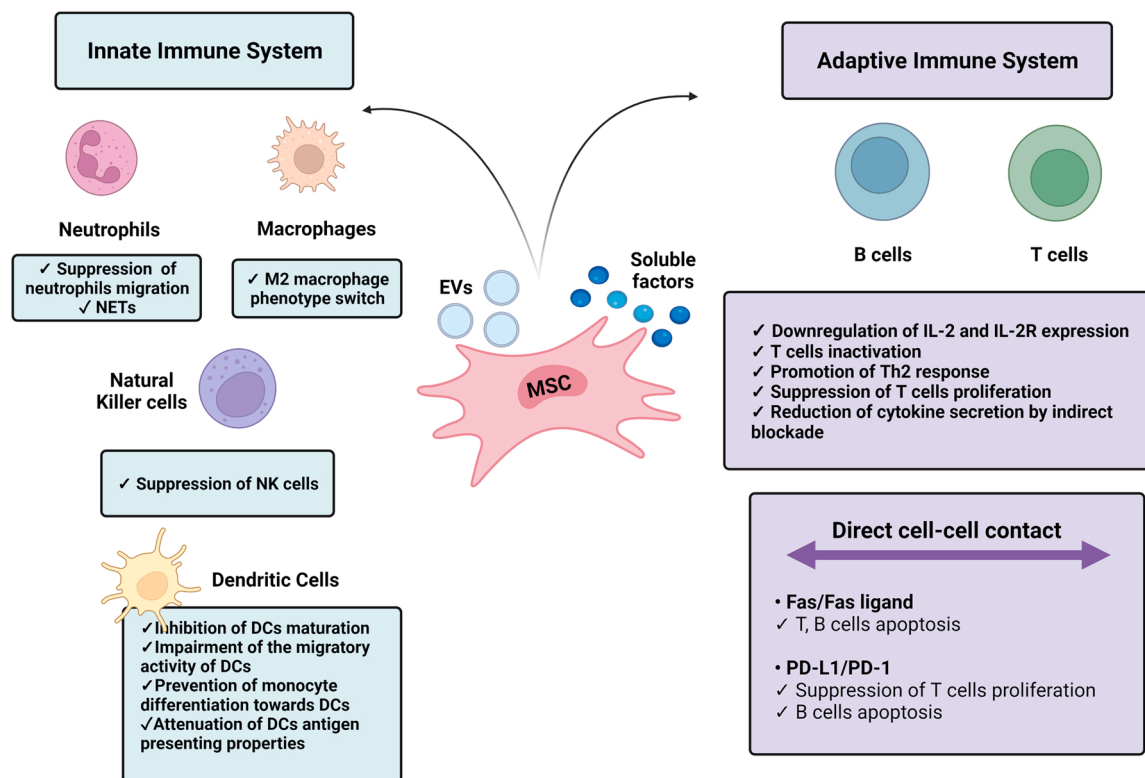
### 3.1. Immunomodulatory effects of MSCs on the innate immune system

MSCs regulate cells within the innate immune system, which is key for alleviating the inflammatory process that occurs in severe and critical COVID-19 patients. Importantly, different factors released by MSCs regulate the response of macrophages. For instance, prostaglandin E2 (PGE2) induces a M2 anti-inflammatory macrophage phenotype switch due to the activation of signal transducer activators of transcription-3 (STAT3) [51]. STAT3 also promotes IL-10 production, an anti-inflammatory cytokine that favors immunoregulation [52]. Likewise, IDO is another soluble factor released by MSCs. This enzyme is

responsible for the metabolism of tryptophan and contributes to the polarization of macrophages towards an M2 phenotype [53]. Interleukin-1 receptor antagonist (IL-1Ra) produced by MSCs inhibits IL-1 binding to its receptor. Therefore, it blocks the inflammatory effects of this cytokine – present in the cytokine storm evoked in COVID-19 –, including the promotion of the inflammatory M1 macrophage phenotype [54]. Lastly, TNF- $\alpha$ -stimulating gene 6 (TSG-6) produced by MSCs also limits the macrophage secretion of factors involved in the SARS-CoV-2-derived cytokine storm, such as TNF- $\alpha$ , while also promotes the macrophage anti-inflammatory M2 phenotype [55]. TSG-6 is also capable of inhibiting neutrophil migration [56].

Additionally, MSCs secrete different factors that control the response of DCs. TSG-6 suppresses DCs maturation by the inactivation of mitogen-activated protein kinase (MAPK) and nuclear factor-kappa B (NF- $\kappa$ B). In this regard, IL1-Ra secreted by MSCs has been proven to attenuate the antigen presenting properties of DCs [57]. Furthermore, MSC-derived EVs can contain specific microRNA (miRNA) that also contribute to the inhibition of DCs maturation [52]. Human leukocyte antigen-G (HLA-G), a non-classical major histocompatibility complex (MHC) class I molecule [58], which is expressed as 7 different isoforms, from which HLA-G1 to G4 are membrane bound and HLA-G5 to G7 are soluble forms. These molecules are able to prevent the differentiation of monocytes to DCs by blocking the secretion of cytokines such as IFN- $\gamma$ , TNF- $\alpha$ , interleukin 1- $\alpha$  (IL1- $\alpha$ ), interleukin 1- $\beta$  (IL1- $\beta$ ), IL-6, IL-7, interleukin 8 (IL-8), interleukin (IL-9) or GM-CSF [59]. It has also been described that HLA-G can induce the production of tolerant DCs. These molecules can also interact with other immune cells including NK cells, by binding to their inhibitory receptors [60].

Other factors produced by MSCs that are involved in NK regulation include IDO and PGE2, which synergistically act with TGF- $\beta$ 1 and hepatocyte growth factor (HGF) to inhibit the secretion of the inflammatory cytokine IL-2, which plays a role in NK activation [52].



**Fig. 2.** Therapeutic activity of mesenchymal stromal cells (MSCs). The MSC-mediated immunomodulation is mainly driven by direct cell-cell contacts as well as by soluble factors and extracellular vesicles (EVs) they release. List of abbreviations: NETs – Neutrophil Extracellular Traps; NK – Natural Killer; DCs – Dendritic Cells; IL-2 – Interleukin 2; IL-2R – Interleukin-2 receptor; PD-1 – Programmed cell death protein 1; PD-L1 – Programmed Death Ligand-1.

### 3.2. Immunomodulatory effects of MSCs on the adaptive immune system

MSC-mediated immunomodulation has also a direct impact on the cells of the adaptive immune system. As previously mentioned, this is a key feature, since adaptive cell overactivation in severe and critical COVID-19 patients promotes the release of multiple pro-inflammatory mediators that aggravate the ongoing cytokine storm. By means of direct cell-cell contacts, MSCs are able to modulate both, T and B cell responses. For instance, Fas ligands (Fas-L) present on MSCs bind to Fas receptors on T cells, leading to their apoptosis [61]. This activity occurs by the downstream activation of the Fas-associated domain and caspases [62,63]. In another example, programmed death ligand-1 (PD-L1) present in MSCs bind to PD-1 receptors on T cells causing the suppression of their proliferation, as well as their cytotoxic degranulation [62]. Similarly, Fas-L and PDL-1 interactions with B cells promote their apoptosis [52].

Apart from direct cell-cell contacts, multiple factors in the MSC-derived secretome are able to regulate T and B cells. One of these factors is PGE2, which acts through several pathways of action [64]. PGE2 promotes the production of cyclic adenosine monophosphate (cAMP), which exerts a regulatory effect on T cells. cAMP downregulates IL-2 and its receptor (IL-2R) expression, which are involved in T cell activation. Moreover, PGE2 inhibits T cell responses by negatively regulating the phosphatidylinositol hydrolysis and the diacylglycerol and inositol phosphate production [61]. This prostaglandin is also involved in T cell polarization. Indeed, it promotes the CD4<sup>+</sup>, CD25<sup>+</sup>, forkhead box P3<sup>+</sup> (FOXP3<sup>+</sup>) regulatory T cell (Treg) responses, thus leading to the suppression of hyperactivated T cells [65].

IDO is one of the main effectors in the immunomodulation mediated by MSCs since it reduces tryptophan levels and produces several toxic kynurenine metabolites [66]. The depletion of tryptophan causes inhibition of the proliferation of T cells, as well as their anergy, while the generated toxic metabolites exert a cytotoxic action on effector T cells and favor the differentiation of Tregs [67].

NO produced by MSCs also contributes to suppressing the T cell responses by inhibiting their proliferation and production of inflammatory cytokines [61,67]. Another relevant factors that mediate MSC-driven immunomodulation are galectins. Galectin 1 (Gal-1) and galectin 3 (Gal-3) have been demonstrated to suppress the proliferation of T cells.

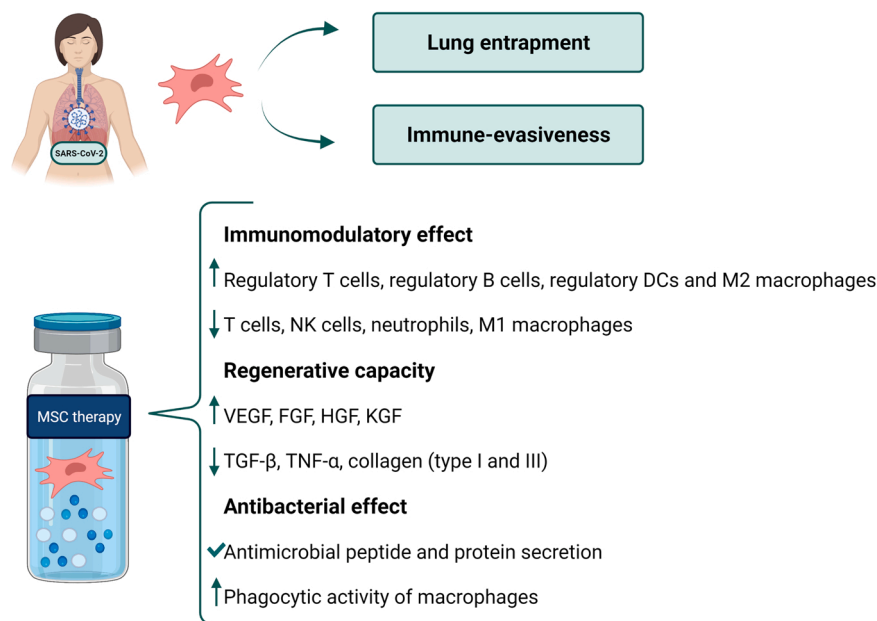
Moreover, galectin 9 (Gal-9) is significantly induced by inflammatory stimuli and demonstrated anti-proliferative effects on T and B cells [68, 69]. Additionally, one important molecule involved in this process is HLA-G, which inhibits the proliferation of hyperactive T cells in the presence of IDO and interleukin 10 (IL-10) [52].

### 4. Key effects and advantages of MSCs for the COVID-19 treatment

As described in the previous section, MSCs are able to exert an immunomodulatory effect, regulating the immune misbalance responsible for the inflammatory process that occurs in the COVID-19 patients.

In addition to this immunomodulation, MSCs present other effects that can contribute to the resolution of COVID-19 (Fig. 3). One of them is their regenerative capacity. The pathogenic inflammatory process results in an intense tissue damage, characterized by the compromised integrity of the lung alveolar capillary membrane. This contributes to pulmonary edema, lung tissue degeneration and fibrosis. This damage can be alleviated by the regenerative activity that MSCs present. These cells are able to release a plethora of regenerative factors in response to damage signals that promote tissue repair [52]. Among them, growth factors such as vascular endothelial growth factor (VEGF), FGF, HGF or keratinocyte growth factor (KGF) are remarkable [70]. Furthermore, MSCs are able to reduce the levels of pro-fibrotic factors in the lung microenvironment, which contributes to the prevention of pulmonary fibrosis. Interestingly, MSC-derived exosomes play a role in this effect, since they have been demonstrated to reduce the levels of TGF- $\beta$ , TNF- $\alpha$ , type I collagen, type III collagen, hydroxyproline and serum ceruloplasmin in lung tissues. This leads to the reduction of endothelial cell apoptosis and myofibroblast growth, and to the promotion of alveolar epithelial cell regeneration. As well as having a regenerative effect on lung tissue, MSCs exert this effect in other damaged organs including kidneys and the intestine by through mucosal repair and epithelial regeneration [70].

Furthermore, MSCs have antibacterial properties, which contribute to limit the risk of secondary infections that often occur in COVID-19 patients. They can mediate this effect through the secretion of antimicrobial peptides and proteins. Moreover, MSCs can also promote the elimination of bacteria by stimulating the phagocytic activity of



**Fig. 3.** Therapeutic potential of MSCs to combat COVID-19. List of abbreviations: MSC – Mesenchymal Stromal Cells; SARS-CoV-2 – Severe Acute Respiratory Syndrome Coronavirus 2; DCs – Dendritic Cells; NK – Natural Killer; VEGF – Vascular Endothelial Growth Factor; FGF – Fibroblast Growth Factor; HGF – Hepatocyte Growth Factor; KGF – Keratinocyte Growth Factor; TGF- $\beta$  – Transforming Growth Factor- $\beta$ ; TNF- $\alpha$  – Tumor Necrosis Factor- $\alpha$ .

macrophages [71–73].

In the particular case of COVID-19 treatment, the therapeutic activity of MSCs is potentiated by some key advantages that are inherent to the therapy (Fig. 3). One of them is the immune-evasiveness of these cells, which significantly enhances their permanence. MSCs lack MHC class II receptors unless they are strongly stimulated by inflammatory cytokines such as IFN- $\gamma$ . In addition, they lack expression of costimulatory molecules such as CD40, CD80, CD83, CD86 and CD154 [74]. Moreover, after intravenous (IV) administration, the majority of MSCs get trapped in the microvasculature of the lung, which represents an important advantage in COVID-19 patients [75]. It has been observed that when accumulated in the lungs, MSC-derived secretion of immunomodulatory factors – including interleukin IDO, PGE2, IL-10, IL-4, TGF- $\alpha$  or NO – contributes to the resolution of inflammation [71, 72, 75].

## 5. Clinical progress in the use of MSCs for the treatment of COVID-19

Over the last decades, advances in preclinical studies with MSCs have led to a significant increase in the number of clinical trials performed with these cells for the treatment of multiple disorders. Clinical trials conducted so far have reported the safety of the approach [72,76]. This has led to the pioneering approval of the first MSC-based cell therapies. To date, there are 10 MSC-based approved products over the globe for different applications. In particular, there are approved 2 for the treatment of graft-versus-host disease (GvHD), 2 for Crohn's fistulas, 1 for subcutaneous tissues defects, 1 for amyotrophic lateral sclerosis, 1 for knee articular cartilage defects, 1 for spinal cord injury, 1 for critical limb ischemia and 1 for acute myocardial infarction [76–81]. In Europe, the only approved product is Alofisel, used for the treatment of complex perianal fistulas derived from Chron's disease. Other examples include Temcell HS in Japan and Prochymal, (Remestemcel-L) in Canada, both for the treatment of GvHD [79, 80, 82].

Currently several clinical trials are taking place to study if MSCs represent a real therapeutic approach to treat patients suffering from severe or critical COVID-19 (Fig. 4). Since the beginning of the pandemic, the results of various clinical studies and case reports investigating the therapeutic potential of MSCs and their derived products to fight the SARS-CoV2 infection have already been published. It is important to note that these studies have gathered more than 1600

patients. The overall results of these studies have confirmed that the use of MSCs is safe, as no major adverse effects directly related to them have been reported [11, 83–93]. In general, published clinical trials and case reports follow similar approaches, administering MSCs as primary or adjunctive therapy in COVID-19 patients. Moreover, some studies have left the cell therapy aside to administer only isolated components of the MSC-derived secretome. In the following lines, we revise the clinical trials conducted to date for both approaches.

### 5.1. Clinical trials based on MSC cell therapy

As of June 2022, there are 97 clinical trials employing MSCs to combat COVID-19 registered on the international database clinicaltrials.gov, and 9 more clinical trials registered on clinicaltrialsregister.eu. The trials completed to date are shown in Table 1. In most cases,  $1 \times 10^6$  cells / kg of body weight (bw) were administered; however, doses can vary significantly from study to study, as can be seen in Table 1. Regarding the administration route, MSCs were delivered IV in all cases, and the frequency of administration varies with MSCs infused once, twice, three or four times depending on the study and patient clinical condition. Some of the most remarkable findings in the published literature are presented in the following lines.

Leng et al. conducted a clinical trial in 7 severe COVID-19 patients, who were administered IV  $1 \times 10^6$  umbilical cord MSCs (UC-MSCs)/kg bw and were followed for 14 days. In all the MSC-treated patients, all symptoms resolved within 2–4 days after treatment and no short-term adverse effects were observed. In particular, chest computed tomography images showed that pneumonia infiltration decreased significantly, while the levels of CRP decreased. Moreover, MSCs restored the peripheral blood number of T and NK cells, decreased the levels of TNF- $\alpha$  and increased IL-10 and VEGF. Overall, this led to a reduced inflammation and promoted lung tissue regeneration [80,92]. This study also demonstrated that MSCs do not express ACE2 receptors, which means that they are immune to SARS-CoV-2, thus reinforcing that they may be a feasible and convenient therapeutic agent to treat COVID-19 [80].

Other interesting study was the performed by Sadeghi et al., in which 10 patients with COVID-19-induced ARDS were administered IV 1–2 times a dose of  $1 \times 10^6$  placenta-derived MSCs/kg bw. An 80% of the patients recovered and left the intensive care unit (ICU) within a median of 6 days. They concluded that MSC-therapy is safe and capable of increasing oxygenation, clearing pulmonary infiltrates and reducing the



Fig. 4. Ongoing clinical trials focused on MSC-based therapies for the treatment of COVID-19.

**Table 1**  
Clinical trials employing MSCs for the treatment of COVID-19.

Principal investigator or sponsor	Patient condition	Number of participants	Study type	Cell source	MSC dosing	Ref.
<b>Cell-based therapies</b>						
Leng et al.,	Pneumonia	10	Serie of cases	UC-MSCs	$1 \times 10^6$ UC-MSCs/kg,	[111]
Sánchez-Guijo et al.,	Pneumonia under IMV	13	Retrospective revision	AT-MSCs	$0,98 \times 10^6$ Allo AT-MSCs/kg, (days 1, 3, 5)	[83]
Sadeghi et al.,	ARDS	10	phase 1, 2	DSCs	$1 \times 10^6$ cells/kg,	[84]
Adas et al.,	Pneumonia and/or multiple organ failure	30	phase 1, 2	WJ-MSCs	$3 \times 10^6$ cells/kg (days 0, 3, 6)	[85, 103]
Karyana et al.,	–	9	Phase 1	MSCs	Ld: $5 \times 10^7$ Allo-MSCs, Hd: $1 \times 10^8$ Allo-MSCs,	[104]
Hadisoebroto Dilogo et al.,	–	40	phase 1	UC-MSCs	$1 \times 10^6$ UC-MSCs/kg BW	[86, 105]
Lanzoni et al.,	ARDS	24	phase 1, 2a	UC-MSCs	$100 \times 10^6$ UC-MSCs, (days 0, 3)	[87, 106]
Saleh et al.,	–	5	phase 1	WJ-MSCs	$150 \times 10^6$ WJ-MSCs/injection (days 0, 3, 6)	[88, 107]
Meng et al.,	–	18	phase 1	UC-MSCs	$4 \times 10^7$ UC-MSCs (days 0,3, 6)	[89]
Shi et al.,	Lung damage	100	phase 2	UC-MSCs	$4 \times 10^7$ (days 0, 3, 6)	[108, 109]
Hashemian et al.	ARDS	11	phase 1	UC-MSCs and PL-MSCs	$200 \times 10^6$ cells/infusion of UC-MSCs (6 cases) or placental MSCs (5 cases) (3 iv every other day)	[110]
Shu et al.,	–	41	–	UC-MSCs	$2 \times 10^6$ cells/kg	[103]
Xiaowei Xu et al.,	–	44	phase 1	MB-MSCs	$9 \times 10^7$ Allo MB-MSCs (days 1, 3, 5)	[93]
Central Hospital, Nancy, France	ARDS	30	phase 2	WJ-MSCs	WJ-MSCs, day 0: $1 \times 10^6$ MSCs/kg, day 3: $0,5 \times 10^6$ MSCs/kg, day 5: $0,5 \times 10^6$ MSCs/kg	[111]
Kanuni Sultan Suleyman Training and Research Hospital	Pneumonia	21	–	MSCs	$2 \times 10^6$ /kg (days 0,2, 4)	[112]
SBÜ Dr. Sadi Konuk Eğitim ve Araştırma Hastanesi	Pneumonia	30	–	MSCs	MSCs transplantation (3 infusions with 30 days intervals)	[113]
Hope Biosciences Stem Cell Research Foundation	–	56	phase 2	HB-AT-MSCs	HB-AT-MSCs (5 iv infusions)	[114]
Hope Biosciences Stem Cell Research Foundation	–	55	phase 2	HB-AT-MSCs	Group 1: $200 \times 10^6$ cells/dose, Group 2: $100 \times 10^6$ cells/dose, Group 3: $50 \times 10^6$ cells/dose, (weeks 0,2,6,10 and 14),	[115]
Institute of Biophysics and Cell Engineering of National Academy of Sciences of Belarus	Pneumonia (viral, interstitial)	32	phase 1, 2	Allo pooled olfactory mucosa-MSCs	–	[116]
Hope Biosciences Stem Cell Research Foundation	–	53	phase 2	HB-AT-MSC	HB-AT-MSCs $100 \times 10^6$ cells/dose (days 0,3,7 and 10)	[117]
Rohto Pharmaceutical Co., Ltd.	–	6	phase 1	AT-MSCs (ADR-001)	$1 \times 10^8$ AT-MSCs/week (4 adm)	[118]
Ina-Respond	–	9	phase 1	DW-MSCs	Ld group: $5 \times 10^7$ cells, Hd group: $1 \times 10^8$ cells.	[119]
UNICEF	Cytokine release syndrome, critical illness, ARDS	600	–	BM-MSCs	$2 \times 10^6$ cells/kg. Administration alone or in combination with other novel therapies.	[120]
Assistance Publique - Hôpitaux de Paris	ARDS	47	phase 1, 2	UC-MSCs	$1 \times 10^6$ cells/kg, (days 1,3, 5)	[121]
Ottawa Hospital Research Institute	ARDS	15	phase1, 2	UC-MSCs	Panel 1: $25 \times 10^6$ cells/unit dose, panel 2: $50 \times 10^6$ cells/unit dose, panel 3: $90 \times 10^6$ cells/unit dose. (3 consecutive days)	[122]
CHRU NANCY	ARDS	30	phase 2	WJ-MSCs	$2 \times 10^6$ cells/kg	[123]
<b>Secretome-based therapies</b>						
Sengupta et al.,	Severe pneumonia	24	Cohort study	ExoFlo™ (derivated exosomes of BM-MSCs)	15 mL ExoFlo™ (unspecified exosome number)	IV [96]
State-Financed Health Facility "Samara Regional Medical Center Dinasty"	Pneumonia	30	Phase 1, 2	Exosomes	EXO1 inh: 3 mL exosomes ( $0,5-2 \times 10^{10}$ ); EXO 2 inh: 3 mL exosomes ( $0,5-2 \times 10^{10}$ ). (2 Inh/day, 10 days)	INH [97]
Indonesia University	–	40	Phase 3	Secretome-MSCs	–	IV [98]
Thomas Advanced Medical LLC	–	40	Phase 1	UC-MSCs secretome (PrimePro™)	PrimePro™ (unspecified exosome number)	IV [99]
Direct Biologics, LLC	ARDS and/or viral pneumonia	120	Phase 2	BM-MSCs derived EVs (DB-001, ExoFlo)	Dose 1: 10 mL ExoFlo (800 billion EVs), Dose 2: 15 mL ExoFlo (1,2 trillion EVs).	IV [95]

(continued on next page)



Table 1 (continued)

Principal investigator or sponsor	Patient condition	Number of participants	Study type	Cell source	MSC dosing	Ref.
Ruijin Hospital	–	24	Phase 1	Allo AT-MSCs-derived exosomes (MSCs-Exo)	MSCs-Exo ( $2 \times 10^8$ NVs/3 mL) (5 times, at days 1,2,3,4 and 5)	INH [100]
Avicenna Research Institute	Cytokine storm	29	Phase 1, 2	Allo human MB-MSCs secretome	Allo MB-MSCs secretome (days 1,2,3,4 and 5)	IV [101]

List of abbreviations: COVID-19 – Coronavirus disease 2019, ARDS – Acute Respiratory Distress Syndrome, SARS-CoV-2 – Severe Acute Respiratory Syndrome Coronavirus 2, UC-MSCs – Umbilical Cord-derived Mesenchymal Stromal Cells, AT-MSCs – Adipose Tissue-derived Mesenchymal Stromal Cells, Allo-MSCs – Allogeneic Mesenchymal Stromal Cells, WJ-MSCs – Wharton's Jelly derived Mesenchymal Stromal Cells, HB-AT-MSCs - Hope Biosciences autologous adipose derived mesenchymal stromal cells, DW-MSCs – Daewoong Pharmaceutical's Mesenchymal Stromal Cells, DSCs – Placenta derived decidual stromal cells, PL-MSCs – Placental derived Mesenchymal Stromal Cells, MB-MSCs – Menstrual blood derived Mesenchymal Stromal Cells, BM-MSCs – Bone Marrow derived Mesenchymal Stromal Cells, IV – intravenous, Ld – Low dose, Hd – High dose, IMV – Invasive mechanical ventilation, adm – administration, bw – Body weight, EVs – Extracellular Vesicles, INH – inhalation, adm – administration, NVs – nanovesicles.

peripheral blood inflammatory cytokine levels [82]. The study conducted by Lanzoni et al. supports these results by showing that the IV UC-MSCs administration importantly decreased the plasma levels of a set of inflammatory cytokines involved in cytokine storm including IFN- $\gamma$ , IL-6 and TNF- $\alpha$ . It is important to highlight that UC-MSCs treatment was associated with a significant improvement of patient survival (91% vs 42% for the control group that received vehicle solution infusions) [85,93].

Moving on to studies with a greater number of patients, Shi et al., conducted a phase 2 clinical trial to evaluate the efficacy and safety of UC-MSCs to treat severe COVID-19 patients with lung damage, based on a previous phase 1 trial they had previously performed [87]. They studied 100 COVID-19 patients with lung damage who received 3 IV infusions of  $4 \times 10^7$  UC-MSCs on days 0, 3 and 6. Compared to placebo, UC-MSCs administration exerted a significant improvement in the lung lesion volume at day 28, the end-point of the study. Overall, their results conclude that MSC-based cell treatment is safe and effective [88]. In addition, the long-term safety and effectiveness of the treatment were studied in a 1-year follow-up, collecting data at 3-month intervals. Results demonstrated that at month 3 UC-MSC-treated patients still presented an improvement in whole lung lesions and the incidence of symptoms was lower [89].

Recently, two commercial companies have published press releases to display preliminary results of MSC-based therapy in severe COVID-19 patients. One of them is Pluristem, whose study is a retrospective case report of 8 critically ill patients on invasive mechanical ventilation, suffering from ARDS due to COVID-19. Patients were treated with PLacental eXpanded (PLX)-PAD, which contains placenta-derived MSC-like cells that have regenerative and immunomodulatory properties. PLX-PAD cells are termed MSC-like cells since they present typical MSC membrane markers but their capacity to differentiate *in vitro* into cells of the mesodermal lineage is limited. The intervention was an intramuscular injection of PLX-PAD ( $300 \times 10^6$  cells) in 1 or 2 administrations ( $300 \times 10^6$  cells each) at an interval of 8 or 11 days according to medical discretion. Improvement in several variables such as CRP, positive end-expiratory pressure and PaO<sub>2</sub>/FiO<sub>2</sub> was observed following (PLX)-PAD treatment [94].

The other company is Mesoblast, who conducted a study on 2 pediatric patients with multisystem inflammatory syndrome in children (MIS-C). MIS-C is a serious postinfectious immune dysregulation associated to COVID-19, which can lead to hemodynamic instability, severe and life-threatening cardiovascular dysfunction, shock and multi-systemic organ failure. Mesoblast proposed the use of Remestemcel-L, which, as mentioned before, has previously been approved to treat GvHD. Remestemcel-L is based on culture-expanded allogeneic bone marrow MSCs (BM-MSCs) of unrelated adult donors. Both children received 2 IV infusions of Remestemcel-L ( $2 \times 10^6$  cells/kg bw) separated by 48 h. Remestemcel-L contributed to improvements in myocardial and endothelial function and reduced cardiac and systemic inflammation [98,99]. Overall, clinical trials have demonstrated that

treating severe COVID-19 with MSCs is safe, effective and well-tolerated, constituting a promising therapy.

## 5.2. Clinical trials based on the MSC-derived secretome

Clinical trials following a cell-free approach based on the MSC-derived secretome have also been performed. Sengupta et al. conducted a prospective, open-label, non-randomized cohort study in 24 COVID-19 patients suffering from severe pneumonia. Patients were IV administered exosomes derived from BM-MSCs (ExoFlo™). Results demonstrated the safety of the approach, since no adverse effects related to the therapy were observed. In addition, there was an improvement in the condition of these patients, allowing to restore the oxygenation levels. In particular, 71% of the patients recovered after ExoFlo treatment and were discharged from the hospital at an average of 5–6 days after infusion; whereas 14% remained critically ill but stable. They observed mean reductions of CRP, ferritin and D-dimer were 77%, 43% and 42% respectively on day 5 post-treatment. Unfortunately, the remaining 16% of patients died, being the survival rate of the study of 83% [95].

Currently, there are other clinical trials ongoing applying the MSC-derived secretome to treat severe COVID-19. These studies have been registered in clinicaltrials.gov, but their results have not been published yet. All the registered clinical trials based on the MSC-derived secretome have been gathered in Table 1 [95–101].

In this cell-free approach, an alternative administration route is being explored: the inhalation route. The inhalation route is possible because of the small size of EVs and soluble factors produced by MSCs and represents an interesting alternative to the IV injection since it presents certain advantages. It is less invasive and enables a direct pulmonary delivery promoting a fast therapeutic effect [102].

## 6. Conclusions

Despite vaccination is undoubtedly one of the best options to fight against COVID-19, the limited global accessibility together with the yet unknown duration of the acquired protection, make inevitable the continuous transmission of the virus. The therapeutic tools approved to date to fight SARS-CoV-2, are often insufficient to treat the complications occurring in severe and critical COVID-19 cases. Furthermore, many of these treatments present weak safety profiles with important adverse effects [2].

Thus, the need to develop alternative therapies to combat severe and critical COVID-19 cases is still essential. MSCs could be excellent candidates because of their unique immunomodulatory properties. Thanks to this activity, MSCs are able to regulate the immune response, diminish the cytokine storm and thus, limit the inflammatory status these patients suffer. Moreover, MSCs also contribute to tissue regeneration, counteracting the fibrotic damage caused by the virus in different organs. Clinical trials published to date provide very important preliminary information as they support the safety and efficacy of MSCs-based

therapies – both, cell and secretome based treatments –. Overall, MSC-based therapies have been shown to improve respiratory function and to increase oxygenation levels, establishing them as suitable candidates for treating COVID-19 patients with a serious prognosis [11, 93, 94, 97, 98].

The large number of clinical trials that are currently exploring the use of MSCs and their derived products for the treatment of COVID-19 demonstrate the feasibility of this approach [11, 80–90]. The knowledge that will be generated from them will surely shed light on the clinical translation of this therapy. Indeed, the FDA has pioneered the approval for the compassionate use of MSC in the most severe cases of SARS-Cov2 infection, which represents an important step forward towards making MSC-derived therapy a reality in the clinical practice, not only for the treatment of COVID-19, but also for the multiple immune-mediated diseases that nowadays lack of an effective therapy [5].

### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Conflicts of Interest

The authors declare no conflict of interest.

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