



Down-regulated expression of *miR-99a* is associated with lymph node metastasis and predicts poor outcome in stage IB cervical squamous cell carcinoma: a case-control study

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Background: Lymph node metastasis (LNM) accounts for the most important route of metastasis for cervical cancer. Yet, the status of LNM is different in patients with similar clinico-pathological variables. It has been revealed that microRNAs are widely involved in the occurrence and development of various malignancies, and the tumor-suppressive or promoting effects of *microRNA-99* (*miR-99*) family have been previously reported. This study sought to investigate the predictive value of *miR-99a* for lymphogenous spread and its effect on the survival of patients with early-stage cervical squamous cell cancer (CSCC).

Methods: Patients with stage IB squamous cervical cancer who were treated surgically between October 2015 and November 2018 were enrolled. A total of 21 formalin-fixed paraffin-embedded tissues of pathologically confirmed positive lymph nodes were retrieved, and an additional 21 tissues of negative lymph nodes from patients well-matched on baseline characteristics were collected as the control group. TaqMan real-time quantitative polymerase chain reaction was used to examine the expression levels of *miR-99a* in the samples. Differential expression levels of *miR-99a* were compared between the 2 groups using independent sample t-test. Furthermore, the associations between *miR-99a* expression level and clinico-pathological parameters of these 42 patients was evaluated by Chi-square test or Fisher's exact-probability method, and their effects on survival were assessed using Kaplan-Meier product-limit method.

Results: There were no significant differences in baseline clinico-pathological parameters between the 2 groups ($P > 0.05$). The expression levels of *miR-99a* in the node-positive group and control group were 1.61 ± 3.09 and 16.77 ± 30.40 , respectively ($P = 0.029$). Downregulated expression of *miR-99a* was closely related to depth of invasion (DOI) and lymph-vascular space invasion ($P < 0.05$). Univariate analysis revealed that downregulated *miR-99a* and deeper DOI were associated with worse 5-year disease-free survival, while multivariate analysis showed that only the expression level of *miR-99a* was an independent factor for disease-free survival (HR = 0.120; 95% CI: 0.015–0.979; $P = 0.048$). Patients with downregulated *miR-99a* tended to have more unfavorable overall survival, but the difference did not reach statistical significance.

Conclusions: *MiR-99a* plays an inhibitory role in the pathogenesis of lymph node metastasis and may serve as a novel prognostic biomarker for patients with CSCC.

Keywords: Cervical carcinoma; lymph nodes; microRNA; prognosis; predictor

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Introduction

Cervical carcinoma is the 4th most common female malignant tumor worldwide (1). It is estimated that each year, over 500,000 new cases are diagnosed, and 250,000 patients die from the malignancy (2,3). In developing countries, it is the most common malignancy among female reproductive tumors (4). Human papillomavirus infection has been identified as the major pathogenic driver of cervical cancer, and is believed to inactivate the tumor suppressor genes tumor protein *p53* and *retinoblastoma* (5). However, the molecular mechanisms involved in the development and progress of cervical cancer, including the activation of oncogenes, inactivation of onco-suppressor genes, regulation of the cell cycle or cellular signal transduction network, and the effect of the tumor micro-environment, have not yet been fully illustrated.

Lymph node metastasis (LNM) represents one of the most important routes of metastasis for cervical cancer. The incidence of pelvic LNM in patients with clinical stage IB and stage IIB has been reported to be about 11.5% and 39.2%, respectively (6). The clinicopathological factors associated with the LNM of cervical cancer include tumor volume, depth of stromal invasion (DOI), parametrial invasion, lymph-vascular space invasion (LVSI), and tumor stage (7). However, patients with similar risk factors might have distinct LNM statuses.

Previously, various molecular biomarkers have been revealed to be associated with LNM of cervical cancer, including *fatty acid-binding protein 5 (FABP5)*, *heat shock protein B1 (HSPB1)*, *receptor of activated protein kinase C1 (RACK1)*, *chemokine receptor 4 (CXCR4)*, *CXCR7*, *apoptotic protease activating factor-1 (APAF-1)*, *matrix metalloproteinase-7 (MMP-7)*, and *MMP-9* (8-12). Yet, reliable biomarkers for early detection of lymph nodes metastasis in early-staged cervical cancer is still lacking, and further research in this aspect is warranted.

Micro-ribonucleic acids (miRNAs) are a class of endogenous non-coding single stranded small RNAs that can bind to target messenger RNAs (mRNAs) by complementation with the 3'untranslated region (UTR) sequence, induce the degradation of target mRNAs, or

inhibit their post-transcriptional translation, and thus play a key role in the proliferation, differentiation, migration, invasion, apoptosis, and other biological processes of tumor cells (13). It has also been revealed that miRNAs may also affect the proliferation and invasion of cervical cancer cells by regulating proto-oncogenes and/or tumor suppressor genes (14). The abnormal expression of miRNAs might provide additional prognostic information for individualized treatment, and they are also expected to become potential therapeutic targets for cervical cancer.

The *miR-99* family consists of *miR-99a*, *miR-99b*, and *miR-100*. In previous articles, most of the *miR-99* family members were disclosed to act as oncogene or onco-suppressive gene in variety of cancers, and *miR-99a/b* was found to be negatively associated with the aggressiveness of cervical cancer cells (15-18). Therefore, this study was conducted to evaluate the correlation between the expression level of *miR-99a* and the presence of LNM in stage IB cervical squamous cell cancer (CSCC). The predictive value of *miR-99a* in the prognosis of this subgroup of patients was also explored. We present the following article in accordance with the REMARK reporting checklist (available at <https://atm.amegroups.com/article/view/10.21037/atm-22-2483/rc>).

Methods

Patients and samples

In this retrospective case-control study, formalin-fixed and paraffin-embedded (FFPE) tissue blocks of patients with stage IB CSCC who were treated surgically between October 2015 to November 2018 in National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, were obtained. Patients who had received systemic therapy or radiotherapy preoperatively were excluded from the study. An expert gynecological pathologist reviewed all the histological sections to histologically confirm the diagnosis of CSSC and the status of the surgically removed lymph nodes. A total of 21 eligible patients with surgically confirmed positive pelvic lymph nodes were

identified, and each patient was matched to 1 node-negative case on the baseline characteristics, which included age, stage, DOI, tumor differentiation, and the presence of LVSI. Thus, a total of 21 well-matched node-negative patients treated during the same period formed the control group. Staging was classified according to the 2009 International Federation of Gynecology and Obstetrics (FIGO) staging system for cervical cancer. Tumor differentiation was classified according to the World Health Organization (WHO) Classification of Tumors. The depth of tumor invasion was classified as $\leq 1/2$ or $>1/2$ cervical stromal invasion. LVSI was defined as the presence of viable tumor cells in the endothelial-lined channels, that is, either lymphatics or capillaries, outside the tumor mass. Patients' clinical features, histopathological data, and follow-up outcomes were retrieved from archived medical records and selective telephone follow-up. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and its protocol was approved by the Institutional Review Board of National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College (Registration No. NCC 2014G-25). Written informed consent was obtained from all of the patients.

MicroRNA analysis

MiR-99a extraction

In this study, total RNA was extracted from the FFPE tissues using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion, Austin, TX, USA) in accordance with the manufacturer's protocol. Briefly, the FFPE tissue blocks were sectioned at 20 μm using a microtome. Next, 1 mL of 100% xylene was added to the sample that was then heated at 50 $^{\circ}\text{C}$ to melt the paraffin. The xylene was removed, and 100% ethanol was added to the sample and mixed in a vortex mixer. After deparaffinization, 400 μL of digestion buffer and 4 μL of protease were added to each sample, and the samples were then incubated in a water bath for 3 h at 50 $^{\circ}\text{C}$. Next, 480 μL of isolation additive was added to each sample and mixed in a vortex mixer. Then, 1.1 mL of 100% ethanol was added to each sample. After which, 700 μL of the sample/ethanol mixture was pipetted onto the filter cartridge and centrifuged at 10,000 \times g for 30 sec to pass the mixture through the filter. The steps were repeated 3 times until all the sample mixture passed through the filter. Wash 1 (700 μL) was added to the filter cartridge and centrifuged for 30 sec at 10,000 \times g to pass the mixture

through the filter. Wash 2/3 (500 μL) was then added to the filter cartridge and centrifuged for 30 sec at 10,000 \times g. DNase mix (60 μL) was added to the center of each filter cartridge and incubated for 30 min at room temperature. Preheated Elution Solution (30 μL) was applied to the center of the filter and centrifuged at a maximum speed to pass the mixture through the filter. The concentration of RNA solution was determined using the NanoDrop 1000 Spectrophotometer, and the yielded nucleic acid was stored at -80°C .

Quantitative RT-PCR

The reverse-transcribed complementary deoxyribonucleic acid (cDNA) was synthesized using the MicroRNA Reverse Transcription Kit (Applied Biosystems) in accordance with the manufacturer's instructions. The levels of miR-99a were determined using a TaqMan MicroRNA Assay Kit (Applied Biosystems; Thermo Fisher Scientific Inc.) on an ABI 7900HT Instrument (Applied Biosystems, CA, USA). The thermal cycle setting was as follows: 95 $^{\circ}\text{C}$ for 5 min, and then 95 $^{\circ}\text{C}$ for 15 sec, 60 $^{\circ}\text{C}$ for 45 sec for 40 cycles. Small-nuclear RNA *U6* was used as the internal control. Each RNA sample was evaluated in triplicate. The expression of miRNAs was quantified as $2^{-\Delta\Delta\text{Ct}}$ values, where Ct = cycle threshold, $\Delta\text{Ct} = (\text{Ct target miRNAs} - \text{Ct } U6)$.

Statistical analysis

The statistical analysis was performed using SPSS 20.0 (SPSS Inc., Chicago, IL, USA) and GraphPad Prism v5.0 (Graphpad Software Inc.). The clinicopathological and demographic variables were analyzed by descriptive statistics. The continuous data are described as mean \pm standard deviation, and the categorical data are presented as the frequency and percentage. The normality of the data was tested using the Shapiro-Wilk test. An independent sample *t*-test was used for the statistical analysis of the *miR-99a* expression levels between the node-positive and node-negative groups. The associations between the expression levels of *miR-99a* and clinicopathological variables were analyzed using the Chi-square test or Fisher's exact-probability method. Disease-free survival (DFS) and overall survival (OS) was defined from the time of diagnosis to the time of first evidence of relapse or death from any cause. The survival curves were determined using the Kaplan-Meier product-limit method. An analysis of the differences between the survival curves was performed using the log-rank test. Factors with statistical significance upon

Table 1 Clinicopathological characteristics of patients with positive or negative pelvic lymph nodes metastasis

Characteristics	Histological status of pelvic lymph nodes		χ^2	P value
	Positive (N=21)	Negative (N=21)		
Age (years)				
<50	11 (52.4)	9 (42.9)	0.382	0.537
≥50	10 (47.6)	12 (57.1)		
Tumor size (cm)				
≤2	6 (42.9)	9 (28.6)	0.933	0.334
2–4	15 (57.1)	12 (71.4)		
DOI				
Inner 1/2	9 (42.9)	10 (47.6)	0.096	0.757
Outer 1/2	12 (57.1)	11 (52.4)		
Differentiation				
Well	4 (19.0)	3 (14.3)	0.195	0.907
Moderate	8 (38.1)	8 (38.1)		
Poor	9 (42.9)	10 (47.6)		
LVSI				
Negative	12 (57.1)	10 (47.6)	0.382	0.537
Positive	9 (42.9)	11 (52.4)		

DOI, depth of stromal invasion; LVSI, lymph-vascular space invasion.

uni-variate analysis were included into the multivariate Cox regression models to identify independent prognostic variables. All the tests were 2-sided, and differences were considered statistically significant when the P value was <0.05.

Results

Demographic characteristics and histo-pathological features

The median ages of patients with positive and negative pelvic nodes included in this study was 51 years (range: 32–63 years) and 54 years (range: 38–64 years), respectively. As *Table 1* shows, negative-node patients were well-matched to positive-node patients for the major baseline co-variants, including age, tumor differentiation, presence of LVSI, and DOI (P>0.05).

The downregulated expression level of miR-99a is associated with the LNM of early stage CSCC

Total RNA was extracted from the archived FFPE tissues of

surgically harvested pelvic lymph nodes, and the expression levels of *miR-99a* were determined by real-time polymerase chain reaction (RT-PCR). As *Figure 1* shows, the expression level of *miR-99a* of the node-positive group was significantly downregulated compared to that of the node-negative group (1.61±3.09 vs. 16.77±30.40), and the difference was statistically significant (P=0.0285).

The downregulated expression level of miR-99a was correlated with more aggressive clinicopathological features

We also divided the 42 patients into 2 groups based on the median value of the expression level of *miR-99a* (0.659). The patients with a *miR-99a* expression level >0.659 were classified as the high *miR-99a* expression group, and the rest were classified as the low *miR-99a* expression group. The associations between *miR-99a* expression level and patients' clinicopathological parameters are summarized in *Table 2*. We found that low *miR-99a* expression was associated with deeper stromal invasion (P=0.03) and the presence of LVSI (P=0.013), but no significant associations were found

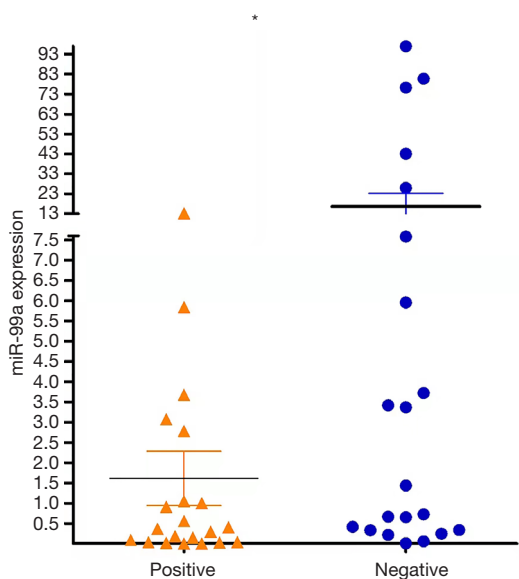


Figure 1 *miR-99a* expression in the 2 groups (P=0.0285). *P<0.05.

In relation to the intrinsic molecular profiles associated with LNM, the abnormal expression of the *FABP5*, *HSPB1*, *RACK1*, *CXCR4*, *CXCR7*, *APAF-1*, *MMP-7*, and *MMP-9* genes or proteins were found to be involved in metastasis formation in the lymph nodes (8-12). However, these factors might not be able to predict LNM precisely.

Recent studies revealed that miRNAs might play a key role during tumorigenesis and progression by regulating the expression of proto-oncogenes or tumor suppressor genes. A meta-analysis showed that low *miR-375* expression is associated with a significantly poorer prognosis regardless of population and cancer type (21). In non-small cell lung cancer, increased *miR-222* expression indicates more advanced disease and a worse prognosis, while downregulated *miR-126* expression is an independent factor for poor prognosis in gastric cancer (22,23).

In cervical cancer, upregulated *miR-155* expression has been shown to be an independent unfavorable prognostic

Table 2 The association between clinicopathological characteristics and the expression level of *miR-99a*

Characteristics	Expression level of <i>miR-99a</i>		χ^2	P value
	Low (N=21)	High (N=21)		
Age (years)				
<50	7 (33.3)	13 (61.9)	3.436	0.064
≥50	14 (66.7)	8 (38.1)		
Tumor size (cm)				
≤2	6 (42.9)	9 (28.6)	0.933	0.334
2–4	15 (57.1)	12 (71.4)		
DOI				
Inner 1/2	6 (28.6)	13 (61.9)	4.709	0.030
Outer 1/2	15 (71.4)	8 (38.1)		
Differentiation				
Well	11 (4.8)	6 (28.6)	4.887	0.087
Moderate	8 (38.1)	8 (38.1)		
Poor	12 (57.1)	7 (33.3)		
LVSI				
Negative	7 (33.3)	15 (71.4)	6.109	0.013
positive	14 (66.7)	6 (28.6)		

DOI, depth of stromal invasion; LVSI, lymph-vascular space invasion.

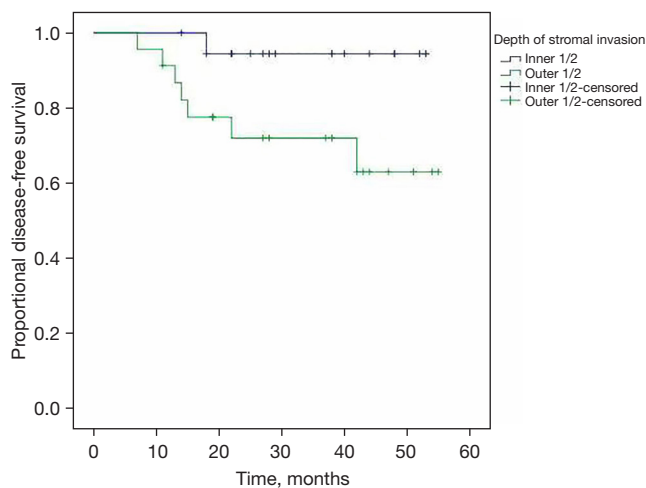


Figure 2 Depth of stromal invasion was associated with DFS in the univariate analysis. DFS, disease-free survival.

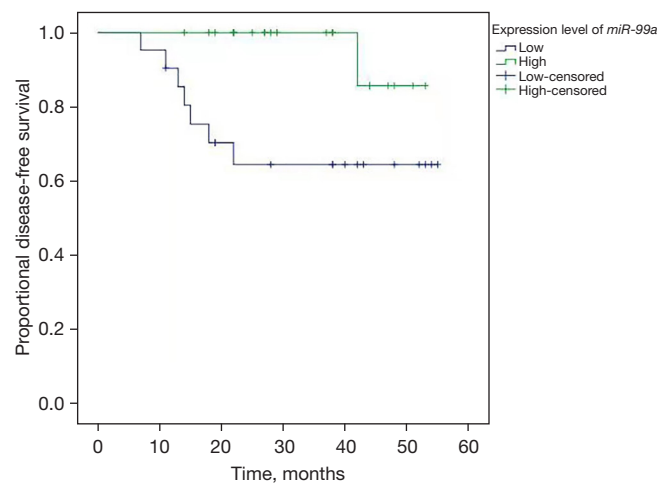


Figure 3 Expression level of *miR-99a* was associated with DFS in the univariate analysis. DFS, disease-free survival.

Table 3 Multivariate analysis of prognostic parameters by Cox proportional hazard regression analysis

Variables	B	SE	Wald	df	Sig.	Exp(B)	95.0% CI for Exp(B)	
							Lower	Upper
<i>miR-99a</i>	-2.119	1.070	3.921	1	0.048	0.120	0.015	0.979
DOI	1.891	1.070	3.125	1	0.077	6.629	0.814	53.980

B, beta coefficient; SE, standard error; df, degree of freedom; CI, confidence interval; DOI, depth of stromal invasion.

between *miR-99a* expression level and age ($P=0.064$) or tumor differentiation ($P=0.087$).

Correlation between the expression level of *miR-99a* and prognosis

After a median follow-up period of 38 months (range: 11–59 months), a total of 8 patients relapsed, among whom 7 were in the low *miR-99a* expression group, and 1 was in the high *miR-99a* expression group. As Figures 2,3 and Table 3 show, the univariate analysis revealed that patients with a lower expression of *miR-99a* or deeper stromal invasion had a more unfavorable 5-year DFS than their counterparts (with P values of 0.018 and 0.041, respectively). However, tumor differentiation, age, and the presence of LVSI were not associated with DFS. Further, the multivariate Cox proportional hazard regression analysis revealed that *miR-99a* expression level was the only independent prognostic factor for DFS (see Table 3). A total of 3 patients had succumbed to the disease at the time of the last follow-up. The univariate analysis revealed that

none of the above-mentioned variables were significantly correlated with OS. However, patients with high *miR-99a* expression levels tended to have more favorable OS, as all the 3 patients who succumbed to the disease were in the low-expression group. However, the difference did not reach statistical significance ($P=0.077$).

Discussion

Cervical cancer represents the most common female genital tract malignancy in developing countries, and LNM accounts for one of the most important routes of metastasis. Additionally, the presence and the most distant level of metastatic lymph nodes have been reported to be closely related to prognosis (19,20). Thus, FIGO revised their staging system of cervical cancer in 2018, and patients with positive pelvic or paraaortic nodes are now classified as stage IIIC.

The clinicopathological characteristics associated with LNM include deeper stromal invasion, parametrial invasion, the presence of LVSI, and advanced tumor stage (7).

factor (24). Zhang *et al.* found that *miR-21* promotes the proliferation, migration, and infiltration of HeLa and SiHA cell lines by targeting the 3'-UTR of *Tissue inhibitors of metalloproteases-3 (TIMP3)* (25). *MiR-206* may inhibit the invasion and metastasis of cervical cancer cells by downregulating the expression of *glucose 6-phosphate dehydrogenase (G6PD)* (26). Additionally, *miR-29a* and *miR-138* were also revealed to be potential biomarkers for the diagnosis and prognosis of cervical cancer (27,28).

The current study confirmed that *miR-99a* might act as a tumor-suppressive gene during the development and progress of cervical cancer. The *miR-99* family consists of *miR-99a*, *miR-99b*, and *miR-100*. In previous research, the tumor-suppressive or promoting effects of *miR-99* family have been disclosed in a variety of cancers, and the underlying molecular mechanism involves several signaling pathways including *mammalian target of rapamycin (mTOR)*, *Wnt*, *vascular endothelial growth factor*, and *tumor necrosis factor pathways*. We found that the expression level of *miR-99a* was significantly lower in the 21 node-positive patients than the clinicopathologically well-matched node-negative controls (1.61 ± 3.09 vs. 16.77 ± 30.40), which suggests that the downregulated expression of *miR-99a* might facilitate the lymphatic route spread of malignant cervical cancer cells. Additionally, downregulated *miR-99a* expression was also associated with deeper cervical stromal invasion and more lymph-vascular space invasion. Thus, the downregulation of *miR-99a* might also promote cell proliferation and enhance the ability of cervical cancer cells to invade.

These findings were consistent with a previous report conducted by Wang *et al.*, who found that by regulating the *mTOR* at both the mRNA and protein levels, *miR-99a/b* inhibits the proliferation and migration of cervical cancer cells, and the expression level of patients with LNM was significantly lower than that of those without LNM (18). Similarly, Xin *et al.* revealed that by negatively regulating the expression of *Tribbles homolog 2 (TRIB2)*, a selective *mitogen-activated protein kinase (MAPK)* pathway modulator, *miR-99* acts as a tumor suppressor gene in HeLa cells (29).

Our further multivariate analysis revealed that the downregulated expression level of *miR-99a* was the only independent prognostic factors for poor DFS for cervical cancer. Additionally, patients with a lower expression of *miR-99a* tended to have worse OS. This observation is also in line with previous investigations. Gao *et al.* conducted a bioinformatics analysis on the Gene Expression Omnibus database and The Cancer Genome Atlas database and found that the downregulated expression of *miR-99a*

was correlated with a decreased 5-year survival rate (30). Further, a protein interaction network visualization analysis showed that the target genes of *miR-99a* may directly or indirectly participate in the tumor-genesis of cervical cancer through the regulation of *Janus kinase/signal transducer and activator of transcription*, *MAPK*, nuclear factor kappa-light-chain-enhancer of activated B cells, and other signal transduction pathways and cell cycles. These data suggest that *miR-99a* might be able to be used as a potential biomarker for prognosis and individualized treatment planning in cervical cancer. However, due to the favorable treatment outcomes of early stage CSCC and the relatively small sample size of the current study, we were not able to establish a statistically significant OS advantage for *miR-99a* high-expression patients, and a larger-scale study needs to be conducted.

Our study had some potential limitations. Firstly, owing to the retrospective nature of this study, intrinsic selection bias could not be avoided, and further prospective studies are required to confirm the correlation between *miR-99* level and patients' outcomes. Secondly, due to the relatively small sample size, the results yielded in the current study are not definitive, and further study with larger validation cohort is needed to further validate the significance of results reported in our study.

In conclusion, the current study showed that downregulated *miR-99a* expression was associated with a higher rate of LNM and predicted worse survival. *MiR-99a* plays an inhibitory role in the invasion and metastasis of cervical squamous cancer cells, and the underlying molecular biological mechanism needs to be further studied.

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Footnote

Reporting Checklist: The authors have completed the REMARK reporting checklist. Available at <https://atm.amegroups.com/article/view/10.21037/atm-22-2483/rc>

Data Sharing Statement: Available at <https://atm.amegroups.com/article/view/10.21037/atm-22-2483/dss>

Conflicts of Interest: All authors have completed the

ICMJE uniform disclosure form (available at <https://atm.amegroupp.com/article/view/10.21037/atm-22-2483/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and its protocol was approved by the Institutional Review Board of National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College (Registration No. NCC 2014G-25). Written informed consent was obtained from all of the patients.

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