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# Reoperative Brachial Plexus Neurolysis After Previous Anatomically Complete Supraclavicular Decompression for Neurogenic Thoracic Outlet Syndrome: A 10-Year Single-Center Case Series

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**BACKGROUND:** Optimal management of recurrent neurogenic thoracic outlet syndrome (NTOS) remains a considerable challenge.

**OBJECTIVE:** To assess the safety and effectiveness of reoperative brachial plexus neurolysis in patients with recurrent NTOS.

**METHODS:** From 2009 to 2019, 85 patients underwent reoperative supraclavicular brachial plexus neurolysis for recurrent NTOS after a previous anatomically complete supraclavicular decompression. Data from a prospectively maintained database were analyzed retrospectively.

**RESULTS:** The mean patient age at reoperation was  $36.9 \pm 1.3$  (range 15-64) years, 75% were female, and the interval after previous primary operation was  $2.5 \pm 0.2$  years. Intervening injury had precipitated recurrent NTOS in 14 patients (16%), and the mean Disability of the Arm, Shoulder, and Hand (QuickDASH) score before reoperation was  $65.2 \pm 2.6$ , reflecting substantial disability. Operative findings consisted of dense fibrous scar tissue surrounding/encasing the brachial plexus. Compared with the previous primary operations, reoperations had a shorter operative time ( $198 \pm 4$  vs  $161 \pm 5$  minutes,  $P < .01$ ) and hospital stay ( $4.4 \pm 0.2$  vs  $3.6 \pm 0.1$  days,  $P < .01$ ), but there were no significant differences in the frequency of prolonged hospitalization (7.1% vs 4.7%), early reoperation (3.5% vs 1.2%), or 30-day hospital readmission (8.2% vs 7.1%). During a median follow-up of 4.8 years, QuickDASH scores improved by  $23.3 \pm 2.6$  ( $34.2\% \pm 3.6\%$ ;  $P < .01$ ) and patient-rated outcomes were excellent in 24%, good in 42%, fair in 26%, and poor in 8%.

**CONCLUSION:** Reoperative supraclavicular brachial plexus neurolysis is technically challenging but safe and effective treatment for recurrent NTOS, with significant improvements in symptoms and function. Diminishing perineural scar tissue development and avoiding secondary injury would likely decrease the need for reoperations.

**KEY WORDS:** Brachial plexus, Case series, Compression neuropathy, Nerve wrapping, Patient-reported outcomes measures, Reoperation, Thoracic outlet syndrome

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**N**eurogenic thoracic outlet syndrome (NTOS) is an uncommon condition caused by dynamic compression of the

brachial plexus.<sup>1-8</sup> NTOS arises because of predisposing variations in anatomy and neck or upper extremity injury, with diagnosis requiring exclusion of other conditions and specific clinical criteria.<sup>9-12</sup> Physical therapy and pain management can improve symptoms in many patients with NTOS, but surgical treatment is recommended for those with disabling symptoms and failure to improve with conservative measures.<sup>11-14</sup> Surgical decompression can be safely conducted through transaxillary, supraclavicular, or posterior approaches, with excellent early results and sustained outcomes.<sup>15-25</sup>

**ABBREVIATIONS:** ATOS, arterial thoracic outlet syndrome; BP, brachial plexus; EAST, elevated arm stress test; F/U, follow-up; NTOS, neurogenic thoracic outlet syndrome; QuickDASH, 11-item disability of the arm, shoulder, and hand survey instrument; SC, supraclavicular; SVS, Society for Vascular Surgery; TOS, thoracic outlet syndrome; VTOS, venous thoracic outlet syndrome.

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Despite effective surgical treatment for NTOS, approximately 5% to 30% of patients experience minimal improvement or symptom recurrence during long-term follow-up.<sup>26-40</sup> Incomplete operations and perineural scar tissue have been implicated in the development of recurrent NTOS.<sup>26-40</sup> Reoperations involving resection of residual scalene muscles and first rib remnants can be safe and effective, as demonstrated in a recent study from our medical center.<sup>40</sup> However, it is less clear how to optimally address patients who have previously undergone an anatomically complete decompression in which the scalene muscles and first rib have already been removed. The purpose of this study was to assess clinical characteristics and long-term results in a more homogeneous population of patients who underwent reoperative brachial plexus neurolysis after a previous anatomically complete supraclavicular decompression for NTOS.

## METHODS

### Derivation of the Study Population

The study population was derived from patients treated at our institution between June 2009 and April 2019. Patients with arterial or venous TOS were excluded, as were patients with neurogenic symptoms combined with either of the vascular forms of TOS, in accord with Society for Vascular Surgery (SVS) reporting standards.<sup>9-12</sup>

During the study interval, 1561 patients underwent surgical treatment for NTOS, of which 238 (15%) were reoperative procedures (Figure 1). Reoperative procedures conducted after previous operations at other institutions ( $n = 90$ ) were excluded as the subjects of a recently published study.<sup>40</sup> There were 106 supraclavicular reoperations performed after a previous anatomically complete primary operation conducted at our institution, with 21 representing multiple or other reoperations, leaving 85 patients to constitute the study population for this report. All these patients had undergone a previous anatomically complete primary operation consisting of supraclavicular anterior and middle scalenectomy, first rib resection, and complete brachial plexus neurolysis, with or without adjunctive pectoralis minor tenotomy.

Detailed information regarding each patient was obtained from a prospectively maintained database and summarized from office notes, hospital charts, imaging studies, operative findings, and records from treating physicians and therapists. This study was approved by the Institutional Review Board at our medical center, with all patients providing written informed consent to study participation. This case series has been reported in line with the Preferred Reporting of Case Series in Surgery Guidelines.<sup>41</sup>

### Clinical Diagnosis, Disability, and Initial Treatment

Each patient met clinical diagnostic criteria for NTOS as developed by the Consortium for Outcomes Research and Education on Thoracic Outlet Syndrome and the SVS reporting standards.<sup>9-12</sup> Presenting symptoms typically consisted of pain, numbness, and paresthesia affecting the neck and upper extremity, with characteristic physical examination findings of localizing tenderness and reproduction of upper extremity symptoms on palpation over the supraclavicular space and during provocative maneuvers. The level of functional disability was assessed using the 11-item version of the Disabilities of the Arm, Shoulder, and Hand (QuickDASH) survey instrument, which has been

validated for various upper extremity disorders including NTOS.<sup>11,14,40</sup> All patients underwent initial conservative treatment with NTOS-specific physical therapy and pain management approaches.<sup>14,42</sup>

### Surgical Treatment

Reoperative surgical treatment was offered to patients with a sound clinical diagnosis of recurrent NTOS, significant functional disability, and insufficient symptom improvement with physical therapy and pain management.<sup>14,19,24,40</sup> Throughout the study period, this consisted of supraclavicular re-exploration by a single senior surgeon, with complete external neurolysis of all 5 nerve roots and 3 trunks of the brachial plexus.<sup>24</sup> In many patients, adjunctive subcoracoid re-exploration with brachial plexus neurolysis was also performed through a separate deltopectoral groove incision, including pectoralis minor tenotomy if not performed previously.<sup>25</sup> These operations thereby differed from the reoperations performed in our previously published study in which the procedures involved resection of residual scalene muscles and first rib remnants.<sup>40</sup> At the end of the procedure, the supraclavicular brachial plexus was wrapped with the same absorbable polylactide film used in primary operations (off-label use of SurgiWrap Bioresorbable Sheet, MAST Biosurgery USA, Inc) to promote nerve mobility and diminish the potential for perineural adhesions. Postoperative complications, hospital stay, and readmissions were all recorded in the prospective database.

### Follow-up and Outcomes Measures

As previously described, patients resumed physical therapy 3 to 4 weeks after reoperation and were seen for office visits at least every 3 to 4 months after the initial recovery from surgery.<sup>40</sup> At each visit, patients completed the QuickDASH survey and were asked to rate their outcome of treatment on a simple scale using one of the following descriptors: “excellent” (relief of almost all major symptoms with only some mild residual symptoms that do not significantly limit enjoyment of life), “good” (relief of most major symptoms with some mild residual symptoms that significantly limit enjoyment of life), “fair” (partial relief of some symptoms, whereas other major symptoms persist), or “poor” (not enough relief in symptoms to have made the operation worthwhile).

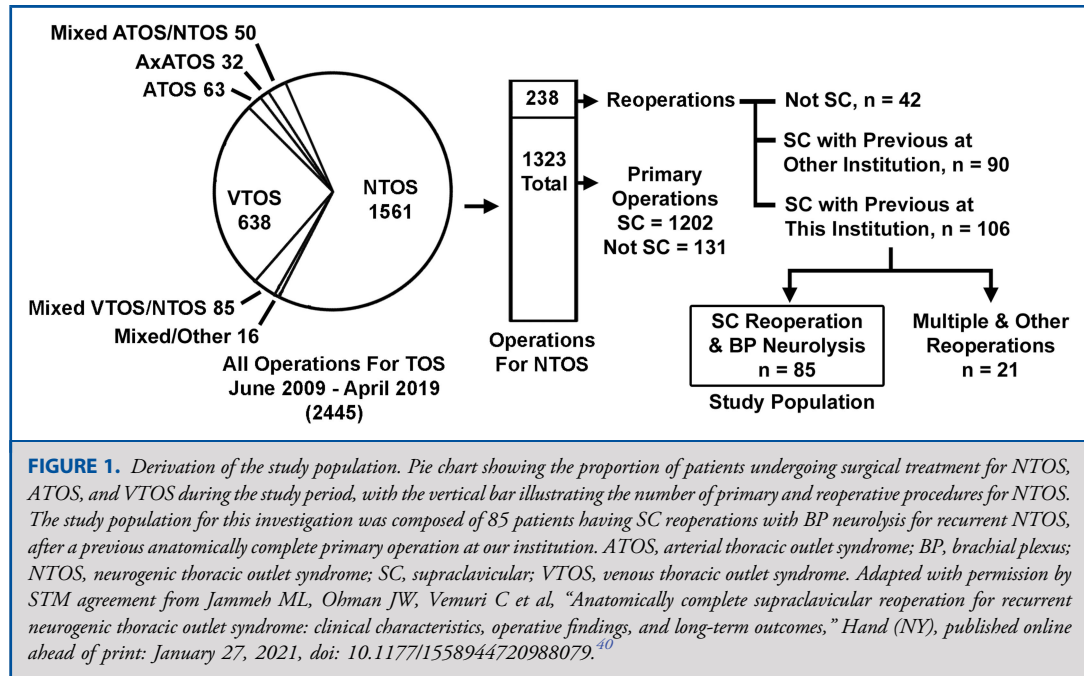
### Statistical Analysis

The principal outcome measure was the percent improvement in the QuickDASH score between initial preoperative evaluation and the longest interval of follow-up. Descriptive data are presented as mean  $\pm$  SE, median and range, or the frequency (percent incidence). For two-group comparisons, Fisher’s exact test or the unpaired student *t*-test with two-tailed distribution was used to determine statistical significance. All statistical tests were performed using Prism version 6.0h (GraphPad Software, Inc), with *P* values  $<.05$  considered significant.

## RESULTS

### Presenting Characteristics

The study population consisted of 64 women (75%) and 21 men (25%) with a mean age of  $36.9 \pm 1.3$  (median 37, range 15-64) years at the time of reoperation (Table 1). The age distribution included 9 patients (11%) younger than age 21 years and 76 (89%) older than age 21 years (Figure 2A). The mean interval



**FIGURE 1.** Derivation of the study population. Pie chart showing the proportion of patients undergoing surgical treatment for NTOS, ATOS, and VTOS during the study period, with the vertical bar illustrating the number of primary and reoperative procedures for NTOS. The study population for this investigation was composed of 85 patients having SC reoperations with BP neurolysis for recurrent NTOS, after a previous anatomically complete primary operation at our institution. ATOS, arterial thoracic outlet syndrome; BP, brachial plexus; NTOS, neurogenic thoracic outlet syndrome; SC, supraclavicular; VTOS, venous thoracic outlet syndrome. Adapted with permission by STM agreement from Jammeh ML, Ohman JW, Venuri C et al, "Anatomically complete supraclavicular reoperation for recurrent neurogenic thoracic outlet syndrome: clinical characteristics, operative findings, and long-term outcomes," *Hand (NY)*, published online ahead of print: January 27, 2021, doi: 10.1177/1558944720988079.<sup>40</sup>

between the previous primary operation and reoperation was  $30.0 \pm 2.6$  months (median 22.2 months, range 4-135 months), with 59% developing recurrent NTOS within 2 years and 89% within 5 years (Figure 2B). Of the 85 patients undergoing reoperation, 67 underwent the previous primary operation during the period encompassed by this study (18 had the previous primary operation before June 2009). During the same time interval, there were 1202 patients who underwent an anatomically complete supraclavicular primary operation. The overall long-term recurrence rate for primary operations was thereby estimated to be 5.6%.

Each patient met the Consortium for Outcomes Research and Education on Thoracic Outlet Syndrome and SVS criteria for a diagnosis of recurrent NTOS.<sup>9-12</sup> The mean duration of the 3-minute Elevated Arm Stress Test was  $73.9 \pm 5.6$  seconds, and the mean QuickDASH score before reoperation was  $65.2 \pm 2.6$ , reflecting a substantial level of disability.<sup>11</sup> Only 25 patients (29%) were working full time at presentation, with 6 (7%) working part time under restrictions and 36 (42%) being disabled or unemployed. There was a history of injury in 29 patients (34%) before the previous primary operation and an intervening injury that had precipitated recurrent NTOS in 14 patients (16.5%), at a mean interval of  $1.5 \pm 0.3$  years after the primary operation (Table 2).

### Surgical Treatment

All patients underwent supraclavicular re-exploration and complete external neurolysis for fibrous perineural scar tissue surrounding/encasing the brachial plexus, typically with adherence to the scalene fat pad, extrapleural fascia, and bed of the

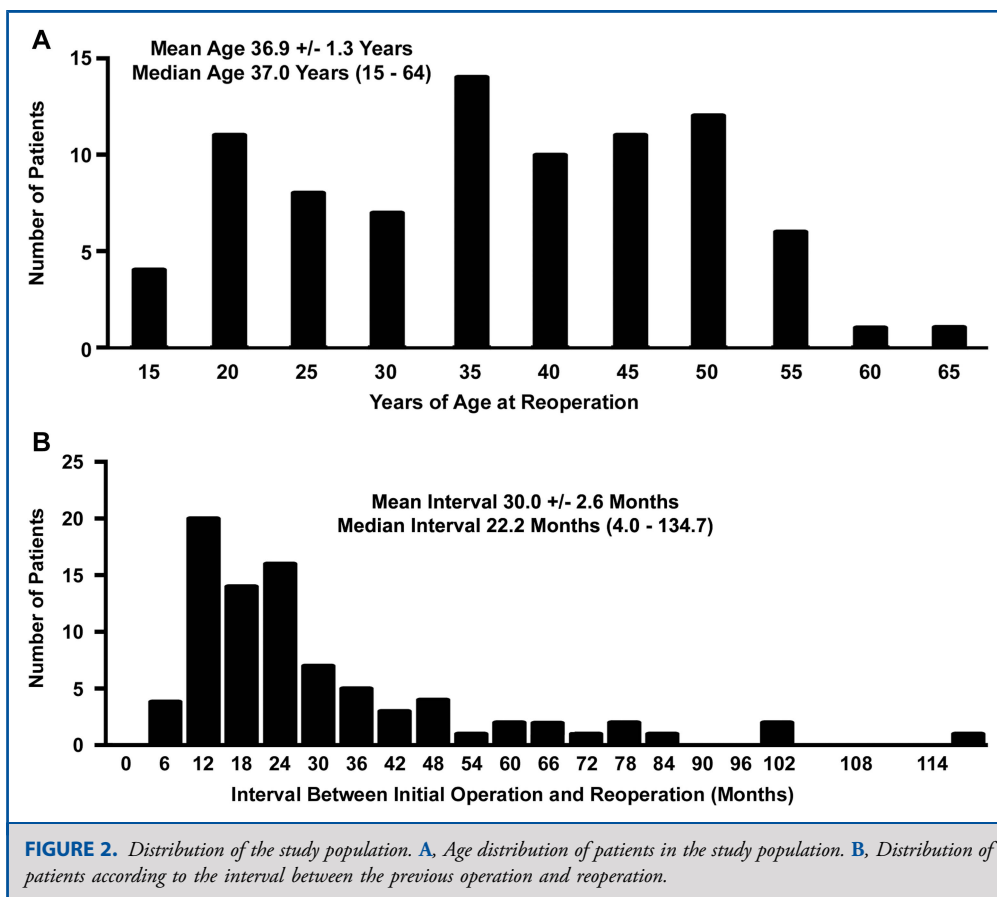
previously resected first rib. No patient was found to have residual scalene muscle or a first rib remnant. Most of the operations were conducted solely with a supraclavicular approach, whereas 24 (28%) included a reoperative subcoracoid exploration with brachial plexus neurolysis and 10 (12%) included a primary pectoralis minor tenotomy based on localizing tenderness at preoperative examination. There were no intraoperative complications in the

**TABLE 1. Presenting Characteristics of the Study Population**

Demographics	
Mean age at primary operation (y)	34.4 ± 1.3
Mean age at reoperation (y)	36.9 ± 1.3
Mean interval to reoperation (mo)	30.0 ± 2.6
Female sex	64 (75.3%)
Right side affected	46 (54.1%)
Local metropolitan referral	21 (24.7%)
Regional referral (<200 miles)	38 (44.7%)
Distant referral (>200 miles)	26 (30.1%)
Examination findings	
3-min EAST (s)	73.9 ± 5.6
QuickDASH score	65.2 ± 2.60
Preoperative work status	
Full time	25 (29.4%)
Part time or restricted	6 (7.1%)
Disabled or unemployed	36 (42.3%)
Student	17 (20.0%)
Retired	1 (1.2%)

EAST, elevated arm stress test; QuickDASH, 11-item version of the disabilities of the arm, shoulder, and hand.

Data shown indicate the number of patients (%) for categorical variables or the mean ± SE for continuous measures.



study population, including nerve injury. The overall duration of supraclavicular reoperation was 161 ± 5 minutes, which compared favorably with the duration of the previous primary operation (198 ± 4 minutes; *P* < .01) (Table 3). The hospital length of stay after reoperations was 3.6 ± 0.1 days, which was also less than that after the previous primary operation (4.4 ± 0.2 days; *P* = .01). There were no significant differences between reoperations and the previous primary operations regarding other measures of perioperative care, including the incidence of prolonged hospital stay, early reoperations, or readmission to the hospital within 30 days of operation (Table 3).

**Follow-up and Outcomes**

The mean duration of clinical follow-up after reoperations was 5.5 ± 0.3 years (Table 4). The mean QuickDASH score at follow-up was 37.6 ± 2.8, and for individual patients, the mean decline in the QuickDASH score was 23.3 ± 2.6 (34.2% ± 3.6%), reflecting a significant improvement compared with preoperative QuickDASH scores (*P* < .01). There were no differences between reoperations and the previous primary operations regarding preoperative QuickDASH scores, postoperative follow-up QuickDASH scores, or the extent of decline in QuickDASH scores although the percent improvement in QuickDASH scores was somewhat less after

reoperations when compared with the previous primary operations (34.2% ± 3.6% vs 45.1% ± 3.1%; *P* = .02).

Patient-rated outcomes corresponded with the outcomes measured by changes in QuickDASH scores, after both primary

**TABLE 2. Prevalence of Previous and Intervening Injuries in the Study Population**

History of previous injury before primary operation	
None	56 (65.9%)
Motor vehicle collision	9 (10.6%)
Work-related	4 (4.7%)
Sports-related	5 (5.9%)
Fall on the arm	11 (12.9%)
All types	29 (34.1%)
History of intervening injury after primary operation	
None	71 (83.5%)
Motor vehicle collision	4 (4.7%)
Work-related	0 (0%)
Sports-related	5 (5.9%)
Fall on the arm	5 (5.9%)
All types	14 (16.5%)

Data shown indicate the number of patients (%).

**TABLE 3. Perioperative Care, Previous Primary Operations vs Reoperations**

Outcome Measures	Previous primary operation	Reoperation	P value
Duration of operation (min)	198 ± 4	161 ± 5	<.01 <sup>a</sup>
Hospital length of stay (d)	4.4 ± 0.2	3.6 ± 0.1	.01 <sup>a</sup>
Prolonged hospital stay (>6 d)	6 (7.1%) <sup>b</sup>	4 (4.7%) <sup>c</sup>	.75 <sup>d</sup>
Early reoperation	3 (3.5%) <sup>e</sup>	1 (1.2%) <sup>f</sup>	.62 <sup>d</sup>
30-day readmission	7 (8.2%) <sup>g</sup>	6 (7.1%) <sup>h</sup>	>.99 <sup>d</sup>

<sup>a</sup>Unpaired *t*-test.<sup>b</sup>Lymph leak (n = 5) and pain control (n = 1).<sup>c</sup>Pain control (n = 2), wound hematoma (n = 1), and prolonged nausea/vomiting (n = 1).<sup>d</sup>Fisher's exact test.<sup>e</sup>Operative control of lymph leak (n = 3).<sup>f</sup>Wound re-exploration for hematoma (n = 1).<sup>g</sup>Pain control (n = 3), dehydration (n = 1), pancreatitis (n = 1), lymph leak (n = 1), and wound infection and pleural effusion (n = 1).<sup>h</sup>Pain control (n = 5) and wound infection (n = 1).

Data shown indicate the mean ± SE for continuous measures or the number and percent of patients for categorical variables.

operations and reoperations (Figure 3A and 3B). The proportion of patients with outcomes rated as excellent was less after reoperations than after the previous primary operations, the proportion with outcomes rated as good was equivalent, and the proportion with outcomes rated as fair was greater after reoperations (Figure 3C). During long-term follow-up after reoperation, 11 patients (12.9%) later underwent a third supraclavicular operation for re-recurrent symptoms, a recurrence rate higher than the 5.6% observed for primary operations ( $P = .02$ ).

## DISCUSSION

### Key Results in the Context of Relevant Literature

Although reported outcomes are excellent for contemporary surgical treatment of NTOS, up to 30% of patients can be expected to have persistent symptoms or to develop later recurrence.<sup>26-40</sup> In a previously published study, we reported excellent outcomes for reoperations that were performed for recurrent NTOS after procedures performed at other institutions, in which the operative findings frequently included residual scalene muscle and first rib remnants.<sup>40</sup> In this study, we assessed the separate and more homogeneous population of patients with recurrent NTOS

who had previously undergone anatomically complete supraclavicular decompression at our institution, in which the reoperative procedure consisted solely of brachial plexus neurolysis.

The initial success of the previous primary operations was reflected by a mean improvement in QuickDASH scores of  $27.6 \pm 2.0$  ( $45.1\% \pm 3.1\%$ ) with 74 of 85 patients (87%) rating their outcomes as good or excellent. We estimated the recurrence rate after previous primary operations to be 5.6%, which compares favorably with other studies.<sup>26-40</sup> We also found that the mean time interval from the previous primary operation to reoperation for recurrence was approximately 2.5 years. These observations reinforce that long-term follow-up and careful assessment of recurrent symptoms are needed in all patients who have undergone surgical treatment for NTOS. It is notable that the incidence of recurrent NTOS is often not described in studies focused on short-term and mid-term results of surgery and those solely using patient-reported outcomes measures and that defining recurrence rates requires follow-up for at least several years.<sup>32,33,38,40</sup> The low recurrence rate for primary operations defined in this study thereby serves as a useful benchmark for future investigations.

This study differs from previous reports because the reoperations described here did not involve structural anatomic factors responsible for recurrent nerve compression, removal of residual

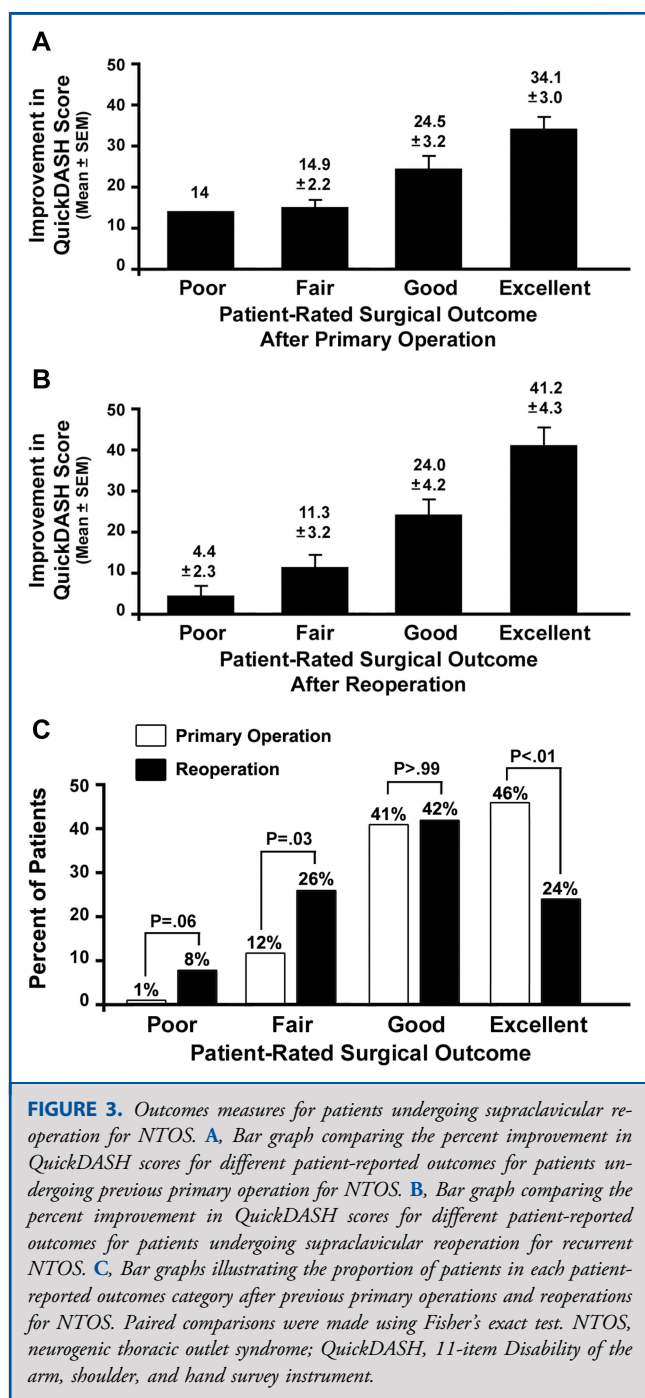
**TABLE 4. Comparison of Outcomes, Previous Primary Operations vs Reoperations**

Outcome Measures	Previous primary operation	Reoperation	P value
Initial QuickDASH score	60.0 ± 2.0	65.2 ± 2.0	.06 <sup>a</sup>
F/U QuickDASH score	33.6 ± 2.1	37.6 ± 2.8	.26 <sup>a</sup>
Initial vs F/U QuickDASH score	$P < .01^a$	$P < .01^a$	
F/U decline in QuickDASH score	27.6 ± 2.0	23.3 ± 2.6	.19 <sup>a</sup>
F/U %decline in QuickDASH score	45.1 ± 3.1	34.2 ± 3.6	.02 <sup>a</sup>

F/U, follow-up; QuickDASH, 11-item disability of the arm, shoulder, and hand survey instrument.

<sup>a</sup>Paired *t*-test.The mean duration of F/U after reoperations was  $5.5 \pm 0.3$  years (median, 4.8 years; range 1.3-11.1 years). Data shown indicate the mean ± SE.





(reattached) scalene muscle, or resection of any retained/residual first rib.<sup>40</sup> Rather, the cause of recurrent NTOS in the patients evaluated in this study was solely attributable to fibrous perineural scar tissue that had developed during follow-up. Reoperative supraclavicular exploration and brachial plexus neurolysis require meticulous careful dissection to avoid nerve and vascular injury, but the perioperative outcomes in this series, including low early complication rates, did

not seem to be influenced by the previous operation. Both the average operative time and hospital stay were also lower after reoperations than primary operations. These findings demonstrate that supraclavicular reoperation is a viable option for patients with disabling recurrent symptoms, even when no specific structural deficit is apparent relative to the previous procedure(s).

This study highlights that prevention of recurrent NTOS is an elusive goal, even after anatomically complete thoracic outlet decompression. We found an intervening secondary injury to be documented in 16% of the patients in this series, but this is likely an underappreciated factor in stimulating perineural fibrosis. In many patients, progressive increases in activity over time may also result in exacerbations of symptoms that may subsequently progress into full recurrence. Early suspicion of recurrence is valuable toward instigating conservative treatment measures that may forestall the need for reoperation.

Another factor that could limit the potential for recurrence is the use of external nerve wrapping as a physical barrier to prevent perineural scar that might otherwise compress, tether, and irritate the brachial plexus. There are precedents for this strategy in the treatment of recurrent ulnar neuropathy and carpal tunnel syndrome and in spine operations, as well as in NTOS, using a spectrum of different biocompatible materials (eg, polymeric films, carbohydrate gels, collagen matrix, amniotic membrane, and autologous vein).<sup>43-46</sup> Throughout the course of this study, it was our regular practice to wrap the supraclavicular brachial plexus with a bioabsorbable polylactide film expected to dissolve within 3 to 4 months. It is possible that after primary operations, patients might have become more susceptible to development and accumulation of perineural scar after absorption of this barrier, especially in the context of increasing activity or secondary injury. Anecdotal use of the human amniotic membrane to wrap the brachial plexus suggests that this material might have advantages as a more durable biological extracellular matrix to suppress perineural fibrosis, but this is yet to be thoroughly evaluated.<sup>47</sup>

Long-term outcomes in this study, as assessed by clinical improvement in symptoms and changes in QuickDASH scores, were satisfactory in nearly 90% of patients. Although the results in this patient population were comparable with those undergoing primary supraclavicular decompression for NTOS, the extent of improvement after reoperations (measured by QuickDASH scores) was not as great as that observed after primary operations. The overall rate of re-recurrence after reoperation for NTOS in our population was 12.9%, which is higher than the 5.6% recurrence rate for patients undergoing primary surgical decompression. This underscores the need for long-term follow-up, ongoing clinical assessment, and prompt consideration for reoperation when appropriate.

### Strengths, Limitations, and Directions for Future Research

The main strengths of this study are that all patients underwent a standardized treatment strategy with favorable and sustained outcomes and that all patients were followed with quantitative

outcomes measures to allow more accurate comparisons between groups and over time. One of the limitations of this study is that we are unable to be certain if, during long-term follow-up after reoperation, some patients might have had recurrent symptoms or secondary operations elsewhere after our last recorded office visit. We feel that this is unlikely given that most of the patients in this series continued long-term follow-up with our center. Another limitation is that we do not have more detailed data on the time course and trajectory of recurrent symptoms after primary operations, which would be a valuable direction for future research. Because the basis for this study was the identified population of patients who had undergone reoperations for recurrent NTOS, we were unable to more comprehensively analyze factors that might have contributed to recurrence after primary operations. We were also unable to assess nonoperative methods of treatment for recurrent symptoms that might have been successful in avoiding the need for surgery. These important questions would have to be addressed more specifically in a prospectively designed study. Finally, it remains important to reiterate that reoperations for NTOS are technically challenging and likely to carry greater risks for nerve and/or vascular injury than primary operations, because of the extensive scarring encountered and distortions in anatomy. These procedures should therefore be undertaken only by surgeons with considerable experience with brachial plexus surgery and operations for NTOS.

## CONCLUSION

For carefully selected patients with recurrent NTOS despite a previous anatomically complete decompression, reoperative supraclavicular brachial plexus neurolysis is technically challenging but safe and effective. Long-term outcomes show that supraclavicular reoperations can achieve significant symptom reduction and functional improvement for approximately 90% of patients with recurrent NTOS. Diminishing the development of perineural scar tissue and avoiding secondary injury during follow-up would likely decrease the need for reoperative interventions.

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## Disclosures

The authors have no personal, financial, or institutional interest in any of the drugs, materials, or devices described in this article.

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## COMMENT

In virtually all articles on treating TOS, there are patients included who are revisions of previously performed TOS procedures. It is usually the authors' opinion that various technical factors that differ from the authors' preferred method of treatment are the cause of the failure. These include failing to resect the rib or enough of it, failure to resect muscle or enough, etc. Although the authors' primary premise is that revision can be safe and effective, by far, the most important premise and finding is that recurrences will occur in the face of complete resection of everything touching the nerves. This has been known and understood by peripheral nerve surgeons and perhaps will now be understood by those performing TOS procedures, which after all, are decompression of peripheral nerves. This should be the primary goal of the procedure regardless of the subspecialty of the surgeon. Decompression of arteries and veins is largely secondary, and much more effort needs to be put into preventing recurrent scarring of the nerves.

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