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Analysis of early neonatal case fatality rate among newborns with congenital hydrocephalus, a 2000–2014 multi-country registry-based study

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CONFLICT OF INTERESTS

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Abstract

Background: Congenital hydrocephalus (CH) comprises a heterogeneous group of birth anomalies with a wide-ranging prevalence across geographic regions and registry type. The aim of the present study was to analyze the early neonatal case fatality rate (CFR) and total birth prevalence of newborns diagnosed with CH.

Methods: Data were provided by 25 registries from four continents participating in the International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR) on births ascertained between 2000 and 2014. Two CH rates were calculated using a Poisson distribution: early neonatal CFR (death within 7 days) per 100 liveborn CH cases (CFR) and total birth prevalence rate (BPR) per 10,000 births (including live births and stillbirths) (BPR). Heterogeneity between registries was calculated using a meta-analysis approach with random effects. Temporal trends in CFR and BPR within registries were evaluated through Poisson regression modeling.

Results: A total of 13,112 CH cases among 19,293,280 total births were analyzed. The early neonatal CFR was 5.9 per 100 liveborn cases, 95% confidence interval (CI): 5.4–6.8. The CFR among syndromic cases was 2.7 times (95% CI: 2.2–3.3) higher than among non-syndromic cases (10.4% [95% CI: 9.3–11.7] and 4.4% [95% CI: 3.7–5.2], respectively). The total BPR was 6.8 per 10,000 births (95% CI: 6.7–6.9). Stratified by elective termination of pregnancy for fetal anomalies (ETOPFA), region and system, higher CFR were observed alongside higher BPR rates. The early neonatal CFR and total BPR did not show temporal variation, with the exception of a CFR decrease in one registry.

Conclusions: Findings of early neonatal CFR and total BPR were highly heterogeneous among registries participating in ICBDSR. Most registries with higher CFR also had higher BPR. Differences were attributable to type of registry (hospital-based vs. population-based), ETOPFA (allowed yes or no) and geographical regions. These findings contribute to the understanding of regional differences of CH occurrence and early neonatal deaths.

Keywords

birth defects; case fatality rate; congenital hydrocephalus; early neonatal deaths; ETOPFA; population surveillance; prevalence; trends

1 | INTRODUCTION

Congenital hydrocephalus (CH) is defined as an abnormal dilatation of the cerebral ventricles and comprises a heterogeneous group of conditions present at birth (Isaacs et al., 2018). The distension of the brain ventricular system is related to the insufficient cerebrospinal fluid passage from its production point at the ventricular choroid plexuses to its absorption point at the arachnoid villi (Rekate, 2018). Congenital hydrocephalus includes any prenatally and postnatally diagnosed primary hydrocephalus (Morota, 2019). Based on a recent systematic review and a meta-analysis of reported population-based epidemiological studies, CH shows a wide-ranging prevalence according to geographic regions and birth defects registry types (Isaacs et al., 2018). The estimated global prevalence of CH was 8.5 per 10,000 live births. A higher CH prevalence was found in Africa, Asia, and South America when compared to other continents (Dewan et al., 2019; Huang et al., 2018). Likewise, a higher CH prevalence was observed among low- or middle-income countries from Africa or South America compared to high-income countries from Europe or North

America (12.3 vs. 7.9 per 10,000 births, respectively) (Dewan et al., 2019; Isaacs et al., 2018). According to a World Health Organization (WHO) report, as overall under-five mortality decreases in almost all countries, the contribution from neonatal death (first 28 completed days of life) emerges as an increasingly prominent component of the overall under-five mortality (Zupan & Åhman, 2006). Furthermore, the vast majority of newborn deaths occur during the neonatal period, especially during the first week (early neonatal death). A 25% case fatality rate (CFR) has been reported for newborns with CH during the early neonatal period (Garne et al., 2010; Rogers & Morris, 1971; Scala et al., 2017). However, adequate data on regional differences and temporal variation in CH occurrence and neonatal deaths are scarce.

2 | OBJECTIVE

The primary aim of this study was to determine the CH CFR during the early neonatal period (before postnatal day 7) using data from the International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR). A secondary aim was to calculate the CH birth prevalence rate (BPR) per 10,000 births (live births and stillbirths). Rates were calculated by surveillance population coverage type (hospital-based or population-based), geographical regions, policies of elective termination of pregnancy for fetal anomalies (ETOPFA), and temporal variation over the surveillance period.

3 | METHODS

3.1 | Study design and settings

This is an observational descriptive study of deaths among newborns with CH based on data from 25 birth defects surveillance registries participating in the ICBDSR. Using previously defined procedures and phenotype definitions for CH (Bakker et al., 2019; ICBDSR, 2021; Nembhard et al., 2020; Politis et al., 2020), the current study focuses on the timeframe between 2000 and 2014 when most of the 25 participating registries shared complete information. Registries' participation by year is shown in Table 1.

Established in 1974, the ICBDSR is a voluntary nonprofit organization affiliated with WHO (ICBDSR, 2021). Its aim is to prevent birth defects and reduce the burden of their consequences by assembling birth defect surveillance and research programs around the world. Currently, 42 birth defects surveillance registries from 36 countries are members of the ICBDSR, and contribute aggregated data on children and fetuses affected with at least 1 of 39 different birth defects to the ICBDSR for surveillance purposes (a list of all registries, specific birth defects, and their surveillance attributes can be found at [www.icbdsr.org\)](http://www.icbdsr.org).

Using ICBDSR case definition criteria, CH was defined as a congenital malformation characterized by dilatation of the cerebral ventricles not associated with primary brain atrophy, with or without head enlargement, diagnosed at birth. The ICBDSR definition corresponds to the International Classification of Diseases, 10th Revision (ICD-10) Code "Q03" and International Classification of Diseases, ninth Revision/British Pediatric Association (ICD-9/BPA) Code "742.3". The following cases were excluded: concurrent encephalocele or spina bifida, macrocephaly without dilatation of ventricular system,

skull of macerated fetus, hydranencephaly, holoprosencephaly, and postnatally acquired hydrocephalus (ICBDSR, 2021). Congenital hydrocephalus cases were classified as nonsyndromic or syndromic according to their clinical presentation. Non-syndromic CH were those with only CH and no other co-occurring major birth defects. Because few registries provided the number of cases with recognized syndromes or multiple congenital anomalies (MCA), we grouped those in a category Syndromic/MCA CH.

3.2 | Statistical analysis

In the present study, early neonatal CFR per 100 liveborn CH cases (CFR) was defined as the total number of liveborn CH cases who died before postnatal day 7 divided by the total number of live births with CH (Bakker et al., 2019; ICBDSR, 2021). Total CH BPR per 10,000 births was calculated as the total number of CH cases (live births + stillbirths + ETOPFA for congenital hydro-cephaly) divided by the total number of births (live births + stillbirths) within a specified time period. We estimated the BPR and 95% confidence intervals (CI) using a Poisson approximation of binomial distribution. Heterogeneity between registries was calculated with the \hat{P} quantity (a value of 0% indicates no observed heterogeneity, and larger values indicate higher heterogeneity) (Higgins, Thompson, Deeks, & Altman, 2003), using a meta-analysis approach with random effects. Forest plots were used to show the heterogeneity (Bradburn, Deeks, & Altman, 1998).

A random-effects Poisson regression model including ETOPFA, registry type, and geographic region was used to account for BPR and CFR variability between registries:

 $ln(n) = \alpha + b_1 ETOPFA + b_2 System + b_3 Region_i + ln(expasure)$ (1)

For the CFR calculation, n was the number of cases of CH death before day 7 and the offset variable (exposure) was the total number of live birth cases. For the BPR calculation, n was the total number of cases of CH (live births $+$ stillbirths $+$ ETOPFA for congenital hydro-cephaly) and the offset variable (exposure) was the total number of births (live births + stillbirths) within a specified time period. The coefficients from each independent dummy variable are b_i . ETOPFA is a dummy variable representing ETOPFA allowed (yes or no) in each program. System is a dummy variable indicating the registry type: population-based system versus hospital-based (reference category). Region_i are three dummy variables for each region (Asia, North America, and South America), with Europe as the reference category. Separate Poisson regression models for each registry were used to evaluate the temporal trends in CFR and BPR. Data analysis were performed with software Stata 15 © StataCorp.

Each registry follows local procedures for ethics approval. For this study, no additional ethics committee approval was required since only aggregated data were used.

4 | RESULTS

A total of 13,112 CH cases (10,472 live births, 796 stillbirths, and 1,844 ETOPFA) among 19,293,280 total births was analyzed. Congenital hydrocephalus cases and total births for

participating registries by region, time period, and registry type are shown in Table 1. Stratification of CH cases by pregnancy outcomes, early neonatal deaths, and phenotypic characteristics for participating registries are presented in Table 2.

Among 10,472 CH live births, 595 died during the early neonatal period. The overall CH CFR was 5.9% (95% CI 5.4–6.3) according random-effects Poisson regression model (Table 3). The CFR was higher in surveillance registries without ETOPFA permissive policy, South America region, and in hospital-based registries (Table 3). A high CFR heterogeneity (overall $\hat{P} = 95.7\%, p < .001$) among registries was observed (Figure 1).

The CH BPR per 10,000 births was 6.8 (95% CI 6.7–6.9) with random-effects Poisson regression model. The CH BPR was higher in surveillance registries where ETOPFA is not allowed, South America region, and hospital-based registries (Table 3). A high heterogeneity of the CH BPR per 10,000 births (overall $\hat{P} = 99.4\%$, $p < .001$) among surveillance registries was observed (Figure 2).

Pooled data by registry characteristics (ETOPFA policy, region, and registry type) showed higher CFR alongside BPR rates (Table 3). When using Poisson regression with random effects to account for variation between registries, including the effects of ETOPFA, region and system, registries from Asia and South America had statistically significant higher BPR and borderline statistically significant higher CFR than Europe. Lower CFR ($p = .037$) and BPR $(p = .360)$ were found in registries from areas where ETOPFA was allowed (Table A1).

Considering temporal variation within each registry, a decreasing trend in CFR and BPR was observed for two registries (Slovak Republic and Iran at borderline statistical significance). A third registry (USA Texas) showed a decrease in CFR but a slight increase in BPR (Table A2).

Only 10 surveillance registries provided an adequate number of cases to distinguish nonsyndromic versus syndromic CH CFR. The CFR for non-syndromic CH was 4.4% (95% CI: 3.7–5.2) and 10.4% (95% CI: 9.3–11.7) for syndromic CH. The ratio of CFRs was 2.6 times higher (95% CI: 1.6–3.7) for syndromic than for non-syndromic CH liveborn cases. The ratio showed a low degree of inconsistency across programs (overall $\hat{P} = 23.1\%$, $p = .231$).

5 | DISCUSSION

This study assessed CH early neonatal CFR and total BPR across 25 registries located in 18 countries using a standardized protocol for data collection and case inclusion. Findings from this multi-country, multi-registry study have indicated that CH early neonatal CFR and total BPR are highly heterogenous between registries. Most registries with high early neonatal CFR also showed higher total birth prevalence rate. Registries from Asia and South America regions, hospital-based registries, and registries where ETOPFA is not allowed showed the highest CH early neonatal CFR and total BPR.

In our study, the early neonatal CFR among newborns with CH (5.7%) was lower than (24.4%) reported by EUROCAT in Europe (Garne et al., 2010), although in this study the sample size was small. Registry-based differences in CH early neonatal deaths may indicate

differences in regional characteristics. Regional factors which could impact CFR include the following: health system characteristics (e.g., the timing of CH detection [prenatally, at birth, or early neonatal], length of follow up-after birth, or differences in case), different ETOPFA policies, and populational level differences (e.g., genetic, environmental, cultural or socio-economic features) (Dewan et al., 2019). There may also be differences in etiology by region, that is, CH may contain a wide variety of diagnoses such as aqueductal stenosis, intraventricular hemorrhage, and obstructive/communicating hydrocephalus and may include patients with brain tumors (Drake, 2005).

The differences we observed by region and type of registry also were correlated with whether ETOPFA was allowed. ETOFA was legal in the countries encompassing 11 of 12 European, 0 of 5 South American, 4 of 6 North American and the 2 Asian registries. It was also legal in the areas encompassing 14 of 15 population-based and 3 of 10 hospital-based registries. Thus, one of many factors involved in CFR or BPR levels could be that severe cases likely are not terminated during pregnancy in countries where ETOPFA is not allowed, leading to a higher BPR and CFR in live births, compared to countries where ETOPFA is allowed (Best et al., 2020; Liu et al., 2002; Nembhard et al., 2020). However, since ETOPFA was included in the BPR calculation in our study, it cannot explain BPR changes, at least solely. Moreover, a country's ETOPFA policy is not likely the sole determinant of rate of neonatal death. Using perinatal deaths as a sole health indicator has limited utility since there are other contributing factors, such as access to prenatal screening, the availability of induced abortion, and the intensive care of very ill infants (Garne, 2001).

Certain strategies can be considered as efforts to reduce rates of neonatal death from CH, including prenatal screening and health care access, reinforcement of primary care in health systems and primary prevention health policies. Garne et al. (2010) reported a high (34%) infant mortality rate, mainly during the first postnatal week, of CH cases with associated malformations or chromosome anomalies and emphasized the importance of obtaining detailed clinical description when diagnosing hydrocephalus (Garne et al., 2010). Similar to other major birth defects, a proportion of mortality of infants with CH could be reduced through timely secondary prevention actions and medical care (Bakker et al., 2019). Therefore, it is highly encouraged to provide care and services for persons with birth defects and disabilities through a holistic multidisciplinary and multi-sectorial approach (Zarante et al., 2019), providing universal coverage, and home- and community-based follow-up strategies to maximize health and well-being.

The CH BPR on live births was higher in Asian and South American registries (12/10,000 and 11/10,000, respectively), intermediate in North American registries (6/10,000), and lower in European registries of ICBDSR (5/10,000), in accordance with previously reported data by authors for Europe (5/10,000), North America (8/10,000), and Asia (20/10,000) (Dewan et al., 2019; Garne et al., 2010; Huang et al., 2018; Jeng, Gupta, Wrensch, Zhao, & Wu, 2011; Liu et al., 2018). This geographic heterogeneity could be in part due to differences in demographic characteristics among study populations (Mahmoud, Dinar, Abdulla, Babikir, & Sulieman, 2014).

Risk factors that have been reported to be associated with CH include certain maternal factors, such as maternal age, and maternal chronic diseases (e.g., hypertension, diabetes, obesity), certain environmental factors, (e.g., altitude, paternal occupation, low socioeconomic status), and prenatal medication use (e.g., antidepressants, antibiotics, analgesics) (Kalyvas et al., 2016; Munch, Rasmussen, Wohlfahrt, Juhler, & Melbye, 2014; Walsh et al., 2017). Some of these risk factors combined with lack of prenatal screening and limited ETOPFA could explain the higher CH birth rates observed in regions like Iran and South America (Garne, 2001). In our study, the lowest CH rates were detected in Europe, likely reflecting increased access to prenatal screening and ETOPFA.

Another potential explanation for higher BPRs is due to higher consanguinity in certain regions, as we observed for the registry from the north region of Iran where high levels of consanguinity were reported in the literature (Alijahan, Mirzarahimi, Ahmadi Hadi, & Hazrati, 2013; Daliri et al., 2019; Saadat, Ansari-Lari, & Farhud, 2004). Congenital hydrocephalus has been described in almost 100 recognized syndromes, in whose etiology consanguinity plays a role (Alijahan et al., 2013; Rittler, Liascovich, López-Camelo, & Castilla, 2001; Shaheen et al., 2017). However, the Iranian TRoCA registry, located in the Northwest in Tabriz, is the only registry where a meaningful declining temporal trend for the early neonatal CFR and total BPR was observed, which coincided with ETOPFA legalization in 2005 (Hedayat, Shooshtarizadeh, & Raza, 2006).

5.1 | Limitations

We were not able to assess the degree to which differences in case ascertainment may have impacted the observed differences in early neonatal deaths. In addition, we were not able to evaluate certain individual-level characteristics, such as sociodemographic data, pregnancy exposures, and maternal age. We could not evaluate categories of birth defects related to each CH case in order to evaluate association of co-occurring anomalies. ETOPFA policy differences between regions could introduce some artifact on the rate estimates. A possible underestimation of rates may exist due to registry system characteristics, for example, some population registries rely on the successful linkage of cases between birth defect registries and vital statistics that could result in some missed deaths.

5.2 | Strengths

This study included a large sample size, allowing for an assessment of CH prevalence and early neonatal deaths within a multi-country context. We were able to examine all birth outcomes that included live births, stillbirths, and ETOPFA (when allowed). ICBDSR registries use well-defined case definition given standardized protocols to determine case status by trained registry personnel. These standard quality control protocols enhanced data quality, allowed for pooling findings, and improved comparability between registries. Finally, most ICBDSR registries have been in operation for many years, allowing us to study trends over a 15-year period.

6 | CONCLUSIONS

We report a combined CH early neonatal CFR of 5.9 per 100 liveborn cases and a total BPR of 6.8 per 10,000 births, during 2000–2014 for registries participating in ICBDSR; however, rates were highly heterogeneous among registries. No rates showed meaningful temporal variation, with the exception of a CFR decrease in one registry. Most registries with a higher early neonatal CFR also had higher total BPR. Differences between registries are attributable in part to geographic region, type of registry (hospital-based vs. population-based systems), and ETOPFA policy (allowed or not). Our findings contribute to understanding of regional differences of CH occurrence and neonatal deaths.

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DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

APPENDIX A

TABLE A1

Random effects Poisson regression models to estimate the effects of ETOPFA policy, registry type and region on birth prevalence rate and case fatality rate of congenital hydrocephalus newborn, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000–2014

Abbreviation: CI, confidence interval.

 a Birth prevalence rate per 10,000 births (BPR/10,000) was calculated as the total number of CH cases (live births + stillbirths + ETOPFA for congenital hydrocephalus when allowed) divided by the total number of births (live births + stillbirths) in a specified period.

 b Congenital hydrocephalus case fatality rate (lethality) per 100 liveborn cases (CFR) was calculated as the total number of liveborn congenital hydrocephalus cases who died before postnatal day 7 (early neonatal death) divided by the total number of live births with congenital hydrocephalus.

 ${}_{\rm }^{C}$ PRR: prevalence rate ratio was used as indicator of the effect of ETOPFA, registry type, and region over the rates of BPR and CFR.

d ETOPFA policy: registry where elective termination of pregnancy for fetal anomalies (ETOPFA) is allowed (not allowed is the reference category).

 e Registry type: population-based registry or hospital-based registry (reference category).

 $f_{\text{Location of the registry, Europe is the reference category.}$

TABLE A2

Poisson regression models to estimate the temporal variations in the birth prevalence rates and case fatality rates for congenital hydrocephalus by participating registries, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000–2014

^alterative process of regression models did not converge. Regression model: ln(*n*) = $a + b_1$ year + b_2 year² + ln(offset). For birth prevalence rate (BPR), *n* is the total number of cases with congenital hydrocephalus and the offset variable is the total number of births; for case fatality rate (CFR), n is the number of cases of congenital hydrocephalus death before day 7 and the offset variable is the number of congenital hydrocephalus live births. Year and year² were dummy variables representing each year during which each registry provided data to ICBDSR.

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FIGURE 1.

Forest plot of congenital hydrocephalus case fatality rate by (a) elective termination of pregnancy for fetal anomalies (ETOPFA), (b) region and (c) registry type, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000–2014. ES (95% CI), case fatality rate per 100 liveborn cases. ETOPFA NO, elective termination of pregnancy for fetal anomaly policy not allowed in the country where the registry is located. ETOPFA YES, elective termination of pregnancy for fetal anomaly policy allowed in the country where the registry is located

FIGURE 2.

Forest plot of congenital hydrocephalus birth prevalence rate per 10,000 births by (a) elective termination of pregnancy for fetal anomalies (ETOPFA), (b) region and (c) registry type, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000–2014. ES (95% CI), case fatality rate per 100 liveborn cases. ETOPFA NO, elective termination of pregnancy for fetal anomaly policy not allowed in the country where the registry is located. ETOPFA YES, elective termination of pregnancy for fetal anomaly policy allowed in the country where the registry is located

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Congenital hydrocephalus cases and total births for participating registries by region, time period, and registry type, International Clearinghouse for Birth Congenital hydrocephalus cases and total births for participating registries by region, time period, and registry type, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR) Defects Surveillance and Research (ICBDSR)

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Abbreviations: AS, Asia; CH, congenital hydrocephalus; ETOPFA policy, elective termination of pregnancy for fetal anomalies allowed in the country where the registry is located; EU, Europe; H,

Hospital-based system; NA, North America; SA, South America; P, Population-based system.

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Bach registry has different case ascertainment period, however, we have defined as before prenatal day 7 for all registries for this study. More information could be found at Bakker et al., 2019. Each registry has different case ascertainment period, however, we have defined as before prenatal day 7 for all registries for this study. More information could be found at Bakker et al., 2019.

 $b_{\mbox{Total births: total number of births (live births + stillbirths).}}$ Total births: total number of births (live births + stillbirths).

 $\emph{``Total CH cases: total number of CH cases (live births + still births + ETOPFA).}$ Total CH cases: total number of CH cases (live births + stillbirths + ETOPFA).

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TABLE 2

Number of congenital hydrocephalus cases by pregnancy outcomes (live births, stillbirth, ETOPFA), early neonatal deaths, and phenotypic characteristics Number of congenital hydrocephalus cases by pregnancy outcomes (live births, stillbirth, ETOPFA), early neonatal deaths, and phenotypic characteristics for participating registries, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000-2014 for participating registries, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000–2014

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Abbreviations: ETOPFA, elective terminations of pregnancy for fetal anomalies; MCA, multiple congenital anomalies.

TABLE 3

Case fatality rates and birth prevalence rates for congenital hydrocephalus by registry characteristics, International Clearinghouse for Birth Defects Case fatality rates and birth prevalence rates for congenital hydrocephalus by registry characteristics, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR), 2000-2014 Surveillance and Research (ICBDSR), 2000–2014

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rveillance and Research.

 Congenital hydrocephalus case fatality rate per 100 liveborn cases (CFR) was calculated as the total number of liveborn congenital hydrocephalus cases who died before postnatal day 7 (early neonatal a Congenital hydrocephalus case fatality rate per 100 livebom cases (CFR) was calculated as the total number of liveborn congenital hydrocephalus cases who died before postnatal day 7 (early neonatal death) divided by the total number of live births with congenital hydrocephalus. death) divided by the total number of live births with congenital hydrocephalus.

 Birth prevalence rate per 10,000 births (BPR/10,000) was calculated as the total number of CH cases (live births + stillbirths + ETOPFA for congenital hydrocephalus when allowed) divided by the total b it th prevalence rate per 10,000 births (BPR/10,000) was calculated as the total number of CH cases (live births + stillbirths + ETOPFA for congenital hydrocephalus when allowed) divided by the total number of births (live births + stillbirths) in a specified period. number of births (live births + stillbirths) in a specified period.

ETOPFA policy, elective termination of pregnancy for fetal anomalies allowed in the country where the registry is located. ETOPFA policy, elective termination of pregnancy for fetal anomalies allowed in the country where the registry is located.