

The adaptive evolution of *Euryale ferox* to the aquatic environment through paleo-hexaploidization

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SUMMARY

Occupation of living space is one of the main driving forces of adaptive evolution, especially for aquatic plants whose leaves float on the water surface and thus have limited living space. *Euryale ferox*, from the angiosperm basal family Nymphaeaceae, develops large, rapidly expanding leaves to compete for space on the water surface. Microscopic observation found that the cell proliferation of leaves is almost completed underwater, while the cell expansion occurs rapidly after they grow above water. To explore the mechanism underlying the specific development of leaves, we performed sequences assembly and analyzed the genome and transcriptome dynamics of *E. ferox*. Through reconstruction of the three sub-genomes generated from the paleo-hexaploidization event in *E. ferox*, we revealed that one sub-genome was phylogenetically closer to *Victoria cruziana*, which also exhibits gigantic floating leaves. Further analysis revealed that while all three sub-genomes promoted the evolution of the specific leaf development in *E. ferox*, the genes from the sub-genome closer to *V. cruziana* contributed more to this adaptive evolution. Moreover, we found that genes involved in cell proliferation and expansion, photosynthesis, and energy transportation were over-retained and showed strong expression association with the leaf development stages, such as the expression divergence of SWEET orthologs as energy uploaders and unloaders in the sink and source leaf organs of *E. ferox*. These findings provide novel insights into the genome evolution through polyploidization, as well as the adaptive evolution regarding the leaf development accomplished through biased gene retention and expression sub-functionalization of multi-copy genes in *E. ferox*.

Keywords: adaptive evolution, paleo-hexaploidization, aquatic plant, sub-genome, *Euryale ferox*.

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INTRODUCTION

Evolution is one of the most important topics in biological research, and the chief unifying theory linking research on the molecular, cellular and whole organism levels. Evolution is the major factor that determines the phenotype of organisms, and these phenotypes cannot be fully understood without the context of the ecological environment in which the organisms live, especially for plant species (Bradshaw, 1965). Most plants grow in certain habitats and

are unable to move during their lifespan (Blanquart et al., 2013). Therefore, adaption to their habitats is vital for the survival and reproduction of plants. Adaptive evolution is established mainly through the force of natural selection applied by environmental conditions, resulting in flora with unique morphology and physiology within different ecosystems, which then leads to biodiversity on Earth (Cox et al., 2010). Therefore, plants provide a unique opportunity to study the mechanistic basis of adaptive

evolution to diverse environmental conditions (Anderson et al., 2011).

Leaves show rich shape diversity in the plant kingdom. In addition to multiple roles, including roles as a major component of plant architecture and an interface for light capture, gas exchange and thermoregulation, the leaf is the major organ of adaptive evolution that is subject to natural selection (Chitwood and Sinha, 2016). Different ecological environments gave rise to different morphologies or developmental strategies in leaves. Aquatic plants live in water environments and face rather more complicated physico-chemical conditions than terrestrial plants, including low carbon dioxide and oxygen, rapid light attenuation, and wave exposure (Titus and Urban, 2009). Through long-term adaptation, aquatic plants evolved specific leaf shapes and thereby can be grouped into four types: floating-leaved; submerged; free-floating; and emergent plants (Chambers et al., 2008). These aquatic plants show a striking variability of leaf morphology, such as the leaf shape and size. The adaptive plasticity of leaves enables aquatic plants to effectively regulate water availability, lighting area, gas exchange and nutrient absorption, and ensure the smooth progress of growth and development (Nakayama et al., 2013; Kim et al., 2018). The specific morphology of leaves in aquatic plants provides a resource for the study of the adaptive evolution of plants to specific environments.

Euryale ferox Salisb. ($2n = 58$), also known as gorgon fruit or prickly waterlily, is an annual floating-leaved macrophyte aquatic herb that belongs to the genus *Euryale* from the angiosperm basal plant family Nymphaeaceae (Bradshaw, 1965; Chen, 2007). *Euryale ferox* develops gigantic prickly floating leaves that can grow up to 2 m in diameter within a short time, and thus can quickly occupy a large area of water surface. The adult leaf of *E. ferox* has a specific underwater development stage. During this stage, the leaf wraps up like a fist, and grows larger and tighter over time. Then, as the leaf raises out of the water, it rapidly expands up to about 2 m in diameter. The wrapped leaf underwater cannot photosynthesize, and the energy required for its growth should be transported from the leaves floating on the surface of water. In this way, *E. ferox* adult leaves can grow efficiently underwater and quickly occupy living space for photosynthesis after reaching the surface of the water. However, the mechanism of adaptive evolution underlying the rapid growth of leaves underwater and their fast expansion when raising out of the water in *E. ferox* is unclear.

All plant species have evolved from ancient polyploidization (paleo-polyploidization) events (Salse, 2012; Soltis et al., 2014; Murat et al., 2017). Polyploidization, also referred to as whole-genome duplication, generates multiple sets of sub-genomes in a new genome. These sub-genomes are subject to sequence fractionation (gene loss), and return to diploid status (re-diploidization) through genomic

reshuffling following paleo-polyploidization. The repeat cycles of polyploidization, fractionation and re-diploidization facilitate the extensive evolution of genetic material at the whole-genome level, and are involved in the adaptive evolution of plants to diverse environments (Ohno, 1970; Otto and Whitton, 2000; Cui et al., 2006; Soltis et al., 2009; Wood et al., 2009; Jiao et al., 2011; Cheng et al., 2018; Parks et al., 2018), the functional innovation of plants (Hancock, 2005; Van de Peer et al., 2009; Schranz and Mohammadin, 2012; Vekemans et al., 2012; Hughes et al., 2014; Renny-Byfield and Wendel, 2014; Salman-Minkov et al., 2016), and the flourishing of the bulk of species (Schranz and Mohammadin, 2012). Although sub-genomes are fractionated and re-arranged with each other in the re-diploidized genome, fragments from different sub-genomes are usually distinguishable. Based on the genomic block (GB) system (Schranz et al., 2006), diploid ancestors of paleo-polyploids and the evolution following polyploidizations have been revealed in many plants including *Brassica* (Cheng et al., 2013) and *Poaceae* (Murat et al., 2010), which has contributed to gene function and trait dissection studies in corresponding species. As reported previously, *E. ferox* experienced a paleo-hexaploidization event (Yang et al., 2020). Further studies on the evolution of the paleo-hexaploidization event and the sub-genomes in *E. ferox* will contribute to our understanding of polyploidization in the basal Nymphaeaceae plant family.

In this study, we inferred the ancestral diploid genome before the paleo-hexaploidization of *E. ferox*, and investigated its evolution on the sub-genome level during and after the ancient hexaploidization event, as well as the featured gene function innovations that were associated with its specific leaf development, through genome assembly and transcriptome profiling in *E. ferox*. The findings provided new insights into the adaptive evolution of plants through the merging of ancestral genomes, the subsequent biased gene retention, the expression divergence and neo-functionalization of multi-copy genes.

RESULTS

Euryale ferox experienced a paleo-hexaploidization event with no sub-genome dominance

In order to investigate the genomic basis underlying the adaptive evolution of *E. ferox* to the aquatic environment, we assembled its genome using the combined methods of Nanopore and Hi-C sequencing (Figure S1; Table S1; see Experimental Procedures). The 29 pairs of chromosomes were identified by *in situ* hybridization in the *E. ferox* (Figure S2). K-mer counting estimated that the genome size of *E. ferox* was ~716 Mb (Figure S3), and the final assembled genome for *E. ferox* was 748.26 Mb in size, with a contig N50 of 2.47 Mb, containing 37 870 genes (Figure S4; Tables 1, S2–S4). The genomic synteny analysis between

Table 1 The assembly statistics of the *Euryale ferox* genome

Type	Contig		Scaffold	
	Size (Mb)	Number	Size (Mb)	Number
Maximum	13.54	1	35.44	1
N50	2.47	83	24.26	15
N90	0.16	537	0.18	165
Total length	837.60	1462	837.64	1098
Chromosomes	/		713.55 (87.15%)	29
Genes			/	48 719
TEs			315.18 (38.49%)	/

TE, transposable element.

the current assembled genome of the domesticated *E. ferox* and the previously reported one of the wild prickly *E. ferox* (Yang et al., 2020) showed overall good consistence, with 16 intra-chromosome structural variations that were supported by the Hi-C map in our genome (Figures S5–S7).

It was found that *E. ferox* had experienced a whole-genome triplication (WGT) event compared with *Nymphaea colorata* (Figure S8), which was consistent with the findings of a previous study (Yang et al., 2020). Using the SynOrths tool (Cheng et al., 2012), we identified 29 163 syntenic gene pairs corresponding to 29 163 and 13 519 non-redundant genes in the genomes of *E. ferox* and *N. colorata*, respectively. These 29 163 genes were composed of 13 414 pairs of paralogous genes in *E. ferox*, indicating an extra polyploidization event in *E. ferox*. K_s values between these paralogs suggested that the polyploidization event occurred ~17 million years ago ($K_s = 0.17$) in *E. ferox* (Yang et al., 2020). For the 13 519 genes in *N. colorata*, 3866 genes retained one copy, 5181 retained two copies and 4117 retained all three copies in *E. ferox*. The retention rate was 2.02 copies per gene on average, which was much higher than that of the other species (*Brassica rapa* = 1.59, *Lupinus albus* = 1.45) that experienced WGT events in a comparable historical period ($K_s = 0.12$ in *L. albus*, $K_s = 0.29$ in *B. rapa*; Wang et al., 2011; Xu et al., 2020), suggesting that there was much less gene fractionation following WGT in *E. ferox* than in other species. Furthermore, based on these syntenic gene pairs, 151 genomic fragments in *E. ferox* were determined, which showed synteny to the genome of *N. colorata* (see Experimental Procedures). For each of the genomic fragments in *N. colorata*, there were three copies of syntenic fragments in the genome of *E. ferox*, indicating a WGT event in *E. ferox* after its divergence from *N. colorata*.

The ancestral diploid genome of *E. ferox* before WGT was inferred to have 12 chromosomes. Using the genome of *N. colorata* as a reference, we sorted the three copies of syntenic fragments in the genome of *E. ferox*. Along each of the 14 chromosomes of *N. colorata*, the number of break points in the syntenic fragments of *E. ferox* were counted. If a break point was present in more than one syntenic

fragment, then the break point was most likely inherited from the ancestral genome as multi-copies in the *E. ferox* genome through the WGT event. We found that there were 22 such break points, which divided the 14 chromosomes of *N. colorata* into 36 GBs (Figures 1a and S9a; Tables S5 and S6). Furthermore, we counted the number of GB associations in the syntenic fragments of *E. ferox*. Similarly, if an association of two GBs existed in more than one copy in these syntenic fragments, then we considered it as inherited from the ancestral genome. A total of 24 such GB associations were identified (Figure 1a; Table S7). These 24 GB associations fused the 36 GBs into 12 groups. With this information, we finally inferred that the diploid ancestor of *E. ferox* before its hexaploidization had 12 chromosomes (AKE, ancestral karyotype of *E. ferox*; Figures 1b and S9b).

There is no sub-genome dominance among the three sub-genomes in *E. ferox*. Using the ancestral genome as the reference, we sorted the 151 genomic fragments of *E. ferox*. For each of the 12 AKECs (AKE chromosome), we reconstructed three copies using these genomic fragments of *E. ferox*, corresponding to the three sub-genomes that merged in the WGT event. We then found that there was no significant bias of the number of genes retained among the three copies of each of the reconstructed AKECs (Figure S10; Table S8), and there was also no significant difference among the three copies in unit of the 36 GBs (Table S9). Instead, the variations of gene density in local genomic regions were caused by the loss of large genomic fragments (Figure S11; Table S10). Meanwhile, there was no significant bias in gene expression dominance toward one copy of the reconstructed AKECs (Figure S12; Table S11). These data together indicated no genome-wide sub-genome dominance in the paleo-hexaploidized genome of *E. ferox*.

One sub-genome in *Euryale ferox* is phylogenetically closer to *Victoria cruziana*

Euryale ferox experienced a specific hexaploidization process in the Nymphaeaceae. The leaves of Nymphaeaceae species are varied in size, with *E. ferox* and *V. cruziana* evolving larger leaves, and *N. colorata* and others developing small leaves. A previous study showed that *V. cruziana* and *N. colorata* had relatively close relationships to *E. ferox* compared with the other two Nymphaeaceae species (*Cabomba caroliniana* and *Nymphaea lutea*; Zhang et al., 2020). To further investigate the phylogenetic relationships among *V. cruziana*, *N. colorata* and the three sub-genomes in *E. ferox*, we determined syntenic orthologous genes between the *E. ferox* sub-genomes and each of *V. cruziana* and *N. colorata*. For each of the 12 AKECs of *E. ferox*, we found that there was always a copy of the reconstructed AKEC that showed the closest relationship to *N. colorata* (significantly smaller K_s values) compared with the other two copies of the AKEC (Figure 2a;

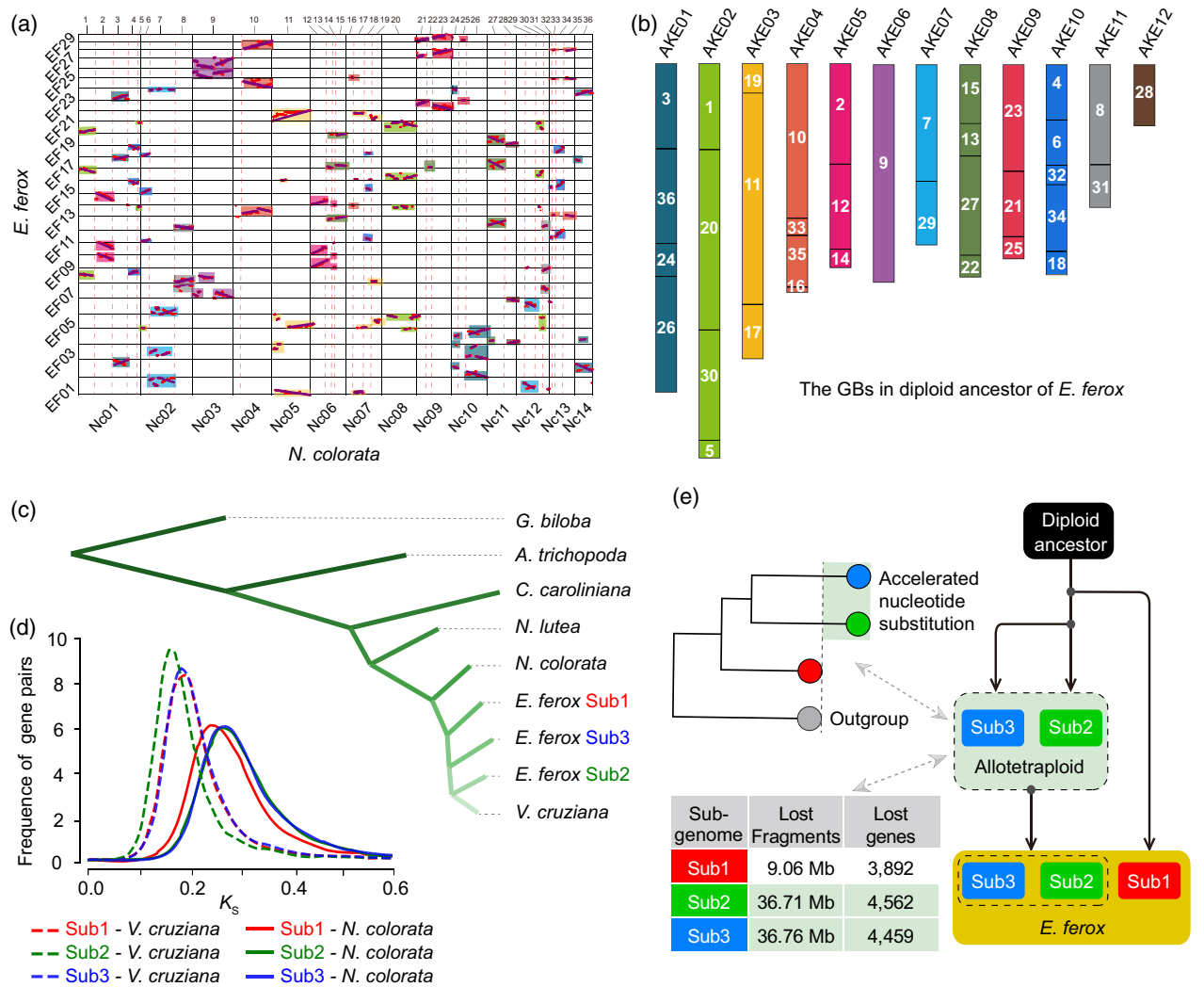


Figure 1. The dissection of the ancestral genome evolution of *Euryale ferox*. (a) Dot-plotting of syntenic genes between the genomes of *E. ferox* and *Nymphaea colorata*. The dotted red lines indicate the break points in syntenic fragments of *E. ferox* genome compared with that of *N. colorata*; the rectangles of the same color indicate that these fragments are associated with each other in the diploid ancestral genome of *E. ferox*. (b) The karyotype of the diploid ancestral genome of *E. ferox*. The numbers on the bars are the IDs of corresponding genomic blocks (GBs). (c) Phylogenetic tree of four Nymphaeaceae species and the three *E. ferox* sub-genomes, as well as two species, *Ginkgo biloba* and *Amborella trichopoda*, that were used as outgroups. (d) Frequency distribution of K_s values between orthologous genes of pairwise genomes/sub-genomes. (e) Pattern diagram of the two-step process for the hexaploidization of *E. ferox*.

Table S12). This pattern was also true when the K_s values were compared for each of the GBs (Table S13). Furthermore, there was also a copy of each AKEC that showed the closest relationship to *V. cruziana* compared with that of the other two copies of the AKEC (Figure 2b; Table S14), and the same was true at the GB level (Table S15). These data together suggested that one sub-genome was evolutionarily closer to *N. colorata*, while another sub-genome was closer to *V. cruziana*. Based on these results, we grouped the one copy of 12 AKECs that showed a closer relationship to *N. colorata* into sub-genome 1 (Sub1, 9280 genes), the other copy of 12 AKECs that showed a closer

relationship to *V. cruziana* into sub-genome 2 (Sub2, 8601 genes), and the last copy of 12 AKECs into sub-genome 3 (Sub3, 8717 genes; Table S17).

We further looked into the phylogenetic relationships among the three sub-genomes of *E. ferox*, *N. colorata* and *V. cruziana* to investigate why Sub1 and Sub2 are closer to *N. colorata* and *V. cruziana*, respectively. First, 12 phylogenetic trees were built based on the orthologous gene groups of 12 individual AKECs, with *N. lutea* as outgroup (Figure S13). Intriguingly, all of the 12 trees supported that *N. colorata* clearly diverged from the diploid ancestor shared by *V. cruziana* and sub-genomes of *E. ferox*.

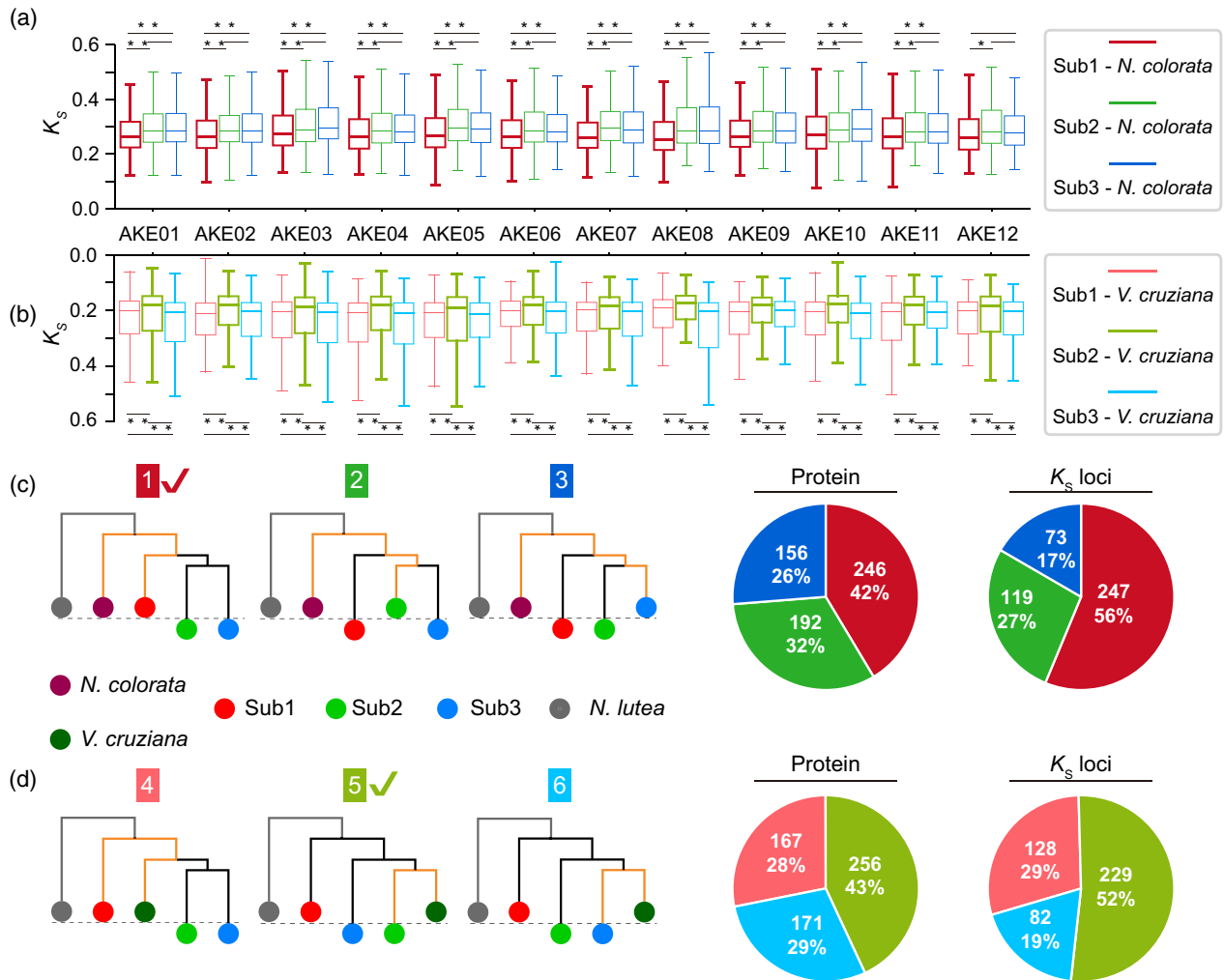


Figure 2. The separation of the three sub-genomes in *Euryale ferox*.

Boxplots of K_s values on syntenic genes between *Nymphaea colorata* and *E. ferox* (a), as well as between *N. colorata* and *E. ferox* (b) for each ancestral karyotype of *E. ferox* (AKE) chromosome (AKEC). Variance tests were performed with * denoting $P < 0.05$ and ** denoting $P < 0.01$. The six candidate topologies of the phylogenetic tree, with the three topologies in (c) showing the phylogenetic tree on syntenic genes between the three sub-genomes of *E. ferox* and the genome of *N. colorata*, as well as the pie diagrams for the corresponding tree (the same color) on the left. The three trees in (d) show the phylogenetic tree on orthologous genes between the three sub-genomes of *E. ferox* and the genome of *Victoria cruziana*, as well as the pie diagrams for the corresponding tree.

Meanwhile, 10 trees indicated the divergence of *V. cruziana* and Sub2 occurred later than Sub2 and Sub3. Furthermore, the same result was obtained with the phylogenetic tree built by 222 single-copy gene families among the three sub-genomes of *E. ferox* and the four Nymphaeaceae species (*N. colorata*, *V. cruziana*, *C. caroliniana* and *N. lutea*), as well as two outgroup species *Ginkgo biloba* and *Amborella trichopoda* (Figure 1c).

Aside from the phylogenetic relationships observed in these trees, we noticed that *N. colorata* was always closer with the Sub1 than with the Sub2 and Sub3 through evaluating the distances of phylogenetic branches. To further validate the differences in phylogenetic distances, we utilized an individual-gene-based tree method, in which the phylogenetic trees were constructed for each syntenic orthologous group using the protein sequence.

Considering the potential interference of other homologous genes (showing sequence similarity with the syntenic orthologous/paralogous group) on the phylogenetic relationships of polyploid species observed in a previous study (Meng et al., 2020), we filtered out those genes having considerable homologs in these analyzed genomes, and obtained 439 syntenic orthologous gene groups for further analysis (see Experimental Procedures). As shown in Figure 2(c,d), there were six candidates for the phylogenetic trees. The proportions of individual trees supporting each candidate were counted and shown in Figure 2(c,d). Among them, trees 1 and 5 had the largest proportions (42% and 56%), which strongly suggest that Sub2 and Sub3 experienced an acceleration of nucleotide substitution compared with Sub1, while *V. cruziana* is closer to Sub2 than the other two sub-genomes. We further

repeated this analysis using the K_S loci of gene sequences rather than the protein sequences, and consistent results were obtained (Table S16). These results together suggested that the hexaploidization occurred through a two-step process (Figure 1e). In the first step, after the divergence of Sub2 and *V. cruziana*, Sub2 and Sub3 merged and formed an intermediate allotetraploid. The tetraploid then experienced sequence fractionation and relaxed selection at an accelerated rate of nucleotide substitution as reported in a previous study (Zhang et al., 2021). In the second step, the third sub-genome Sub1 merged with the intermediate tetraploid of Sub2 and Sub3. The three sub-genomes then experienced a further round of sequence fractionation and an accelerated rate of nucleotide substitution, and re-diploidized into the genome of *E. ferox*. The two-step polyploidization of the *E. ferox* genome was further supported by the size of the lost fragments and genes in the three sub-genomes, with Sub2 and Sub3 losing significantly more genomic fragments (36.71 and 36.76 Mb sequences, respectively) and genes (4562 and 4459 genes, respectively) than Sub1 (9.06 Mb sequences and 3892 genes; Figure S11; Tables S10 and S17).

Differences in cell proliferation between early adult and adult leaves of *Euryale ferox*

Three types of leaf shapes (small peltate, medium round and large round) develop in three continuous growing

phases (juvenile, early adult and adult, respectively) in *E. ferox* (Figure 3a). The growing period of small peltate leaves is ~7 days to a maximum size of ~6 cm; medium round leaves grow for ~18 days and grow to a maximum size of ~50 cm; and large round leaves develop to a maximum size of ~200 cm within ~20 days (Figure S14). The early adult and adult leaves are the main leaf form of *E. ferox*, sharing the same round shape and comparable growing time, while showing significant differences in the size of their finalized leaves. More interestingly, compared with the successive growth of early adult leaves from a small leaf bud to a round leaf on the surface of the water (Figure S14a,b), the growth period of adult leaves is composed of two stages: the underwater and above-water development stages (Figure S14c). For the underwater stage, the leaf develops from a small ball-like organ, then grows larger into a tightly wrapped-up leaf ball, which continuously grows to ~25 cm in size. In the next above-water stage, the leaf ball raises from the water, rapidly unfolds into a flattened leaf and expands to ~100 cm in size within 1 day, and finally develops to a maximum size of ~200 cm within 10 days (Figures 3b and 4d). It is clear that the development of adult leaves in *E. ferox* adopts a distinctive strategy compared with that of the early adult leaves.

In order to explore the differences during leaf development between early adult and adult leaves of *E. ferox* at the cellular level, we observed their leaf tissues using

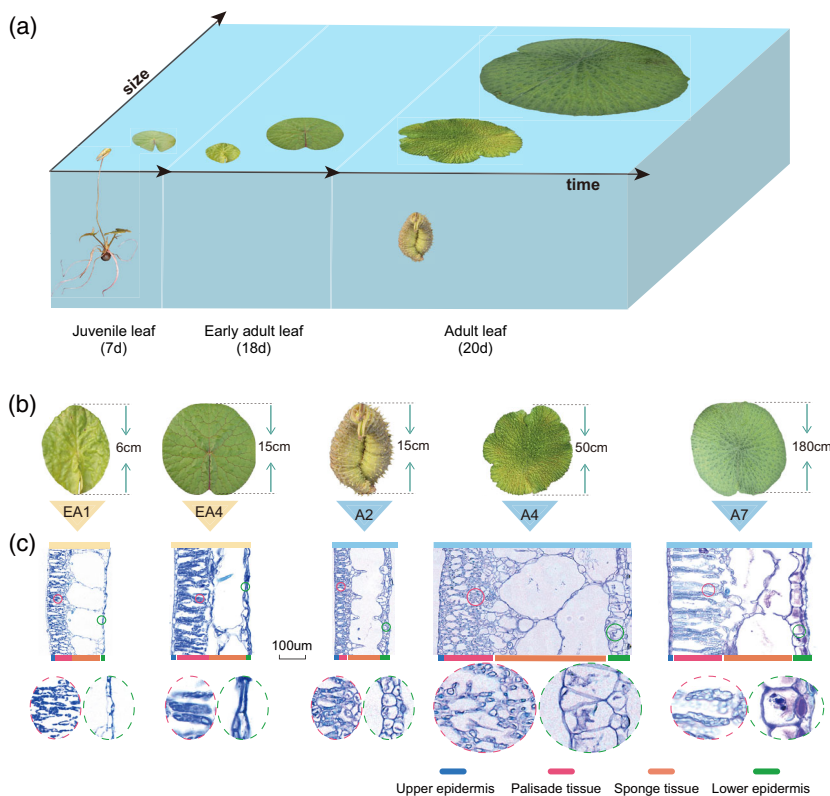


Figure 3. The main development process and cytological observations of *Euryale ferox* leaves.

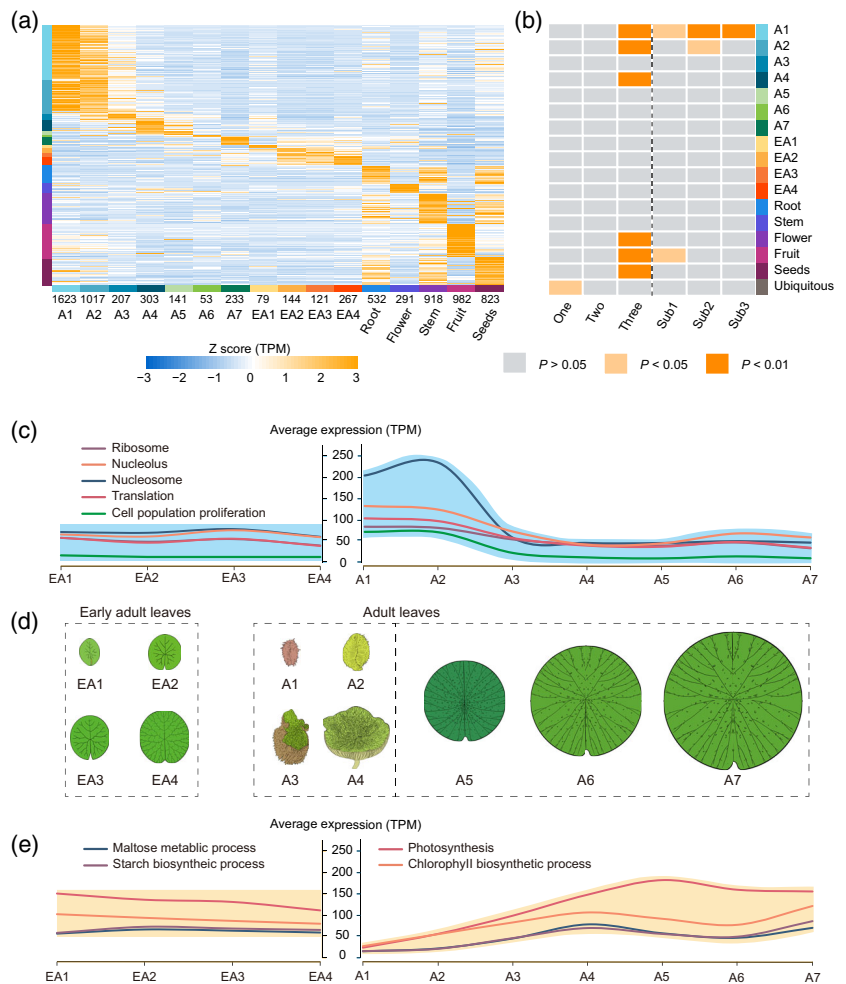
(a) Different development stages of *E. ferox* leaves. (b) The *E. ferox* early adult leaves (EA1 and EA4) and adult leaves (A2, A4, and A7). (c) The paraffin sections (PS) of *E. ferox* early adult leaves (EA1 and EA4) and adult leaves (A2, A4 and A7). Differently colored bars denote the different parts of the leaf; photos inside the ellipse are the enlarged threefold views of the palisade and lower epidermis.

Figure 4. Expression atlases of *Euryale ferox* leaves in different developmental stages and other organs.

(a) Expression profiles of sample-specific genes in *E. ferox*. Genes are listed along the y-axis; samples are listed along the x-axis. The numbers at the bottom of the x-axis indicate the number of sample-specific genes in each sample. Bars on the left of the heatmap show the sample-specific genes, which correspond to the samples in the same colors on the bottom of the x-axis.

(b) The heatmap shows the enrichment levels of sample-specific genes and ubiquitous genes (y-axis) in different sets of genes as listed in the x-axis; one, two and three represent the copy number of polyploidized genes; Sub1, Sub2 and Sub3 represent the sub-genomes to which the genes belong.

(c) The average expression level of differentially expressed genes (DEGs) related to photosynthesis between early adult and adult leaves. (d) The morphology of adult and early adult leaves of *E. ferox*. EA1–EA4 are early adult leaves; A1–A3 are adult leaves underwater; A4 is the adult leaf just rising from the water; and A5–A7 are leaves above-water. (e) The average expression level of DEGs related to cell proliferation between early adult and adult leaves.



paraffin sectioning (PS). The early adult leaf was sampled at four time-series points (EA1–EA4), while the adult leaf was sampled at seven time-series points, with three points (A1–A3) for the underwater stage, one point for when the leaf had just risen from the water (A4), and three points (A5–A7) for the above-water stage (Figure 4d). For the early adult leaf, it was found that the cell proliferation rate decreased along with leaf development, while the cell expansion rate and airspace were increased. The activity of cell proliferation and expansion in the early adult leaf was maintained throughout the whole development process (Figures 3c and S15). In comparison, the cell proliferation activity was extremely high during the underwater stage of the adult leaf. As shown in Figures 3(c) and S15, stacking and squeezing cells occurred in palisade and spongy tissues at stage A2, and reached the peak when the leaf rose from the water. At A4–A7, along with the unfolding of the leaf, the cells of palisade and spongy tissues were rapidly expanded. Generally, cell proliferation was completed at the underwater stage and cell expansion occurred at the

above-water stage. In addition, the airspace of the aerenchyma grew larger along with the development of the leaf.

The internal structure of leaf cells was further investigated using transmission electron microscopy (TEM; Figure S16). For the early adult leaf, the palisade tissue cells in EA1 were arranged tightly and neatly. After the leaf was mature at EA4, the cells became larger and loosely arranged. The cytoplasm contained chloroplasts, mitochondria, starch granules and other organelles from EA1 to EA4. For the adult leaf, the palisade tissue cells in A2 were also arranged tightly and neatly, but the cytoplasm did not contain chloroplasts and starch granules. At A3, as the cells proliferated, the palisade tissue cells became smaller and more numerous, and the nucleus was larger and located at the center of the cell. The cytoplasm contained many chloroplasts, mitochondria and other organelles. After the leaf reached maturity (A6 and A7), the cell volume became larger, the nucleus was smaller, and the number of various organelles was reduced; only chloroplasts were present and starch grains were squeezed to

the edge of the cell. There were 5–10 chloroplasts in each palisade tissue cell, which were distributed around the cell with an elliptical shape. These results observed by PS and TEM were consistent at different developmental stages of the corresponding leaf in *E. ferox*.

Unbalanced contribution of the three sub-genomes to the evolution of leaf development

It was observed that the leaf development process in *E. ferox* was similar to that of *V. cruziana*. Both species develop large leaves. It would be interesting to investigate whether there are differences in the contribution of sub-genomes to the evolution of leaf morphogenesis, as *E. ferox* Sub1 is phylogenetically closer to *N. colorata* and Sub2 is closer to *V. cruziana*. We performed mRNA-seq on all seven time-points (A1–A7) covering the whole development process of the adult leaf, and the four time-points (EA1–EA4) for the early adult leaf, as well as on the root, stem, flower, fruit and seed organs. To better annotate the gene functions, we identified the specifically expressed genes in each of these developmental time-points (A1–A7, EA1–EA4) or in other organs of *E. ferox*, and marked them with the function of corresponding sample identity using a method reported previously (Julca et al., 2021). The specificity measure (SPM) was calculated to identify sample-specific or ubiquitously expressed genes (see Experimental Procedures). The results showed that A1 and A2 had more specifically expressed genes, followed by the fruit (Figure 4a). We then used the sample identity to annotate each of these specifically expressed genes; for example, if a gene was specifically expressed in flowers, we annotated it as a flower-specific gene.

Using the gene annotation results, we found that A1, A2, A4, as well as the flowers, fruit and seeds were significantly enriched in genes that retained all three copies from the WGT event (Figure 4b; Table S19). Conversely, the ubiquitous genes were mainly enriched in genes that only retained single copies. The results indicated that the multi-copy genes retained from the WGT event were important to the evolution of these organs (the adult leaf, flower, fruit and seed) in *E. ferox*. Moreover, we found that sub-genomes Sub2 and Sub3 had more genes that were enriched in A1-specific genes, and sub-genome Sub2 had more genes that were enriched in A2-specific genes (Figure 4b). In detail, we identified 298 A1-specific genes that were located in Sub2 or Sub3 rather than in Sub1. These 298 genes were enriched in cytokinesis-related functions, such as cytokinesis, microtubule binding and the regulation of meristem growth (Table S20), as well as in the function of protein serine/threonine kinase (12 *PID* genes). These results together indicated that both the WGT event and the sub-genome that were closer to *V. cruziana* were important to the evolution of leaf development in *E. ferox*, further suggesting that the specific process of leaf

development may have initially formed before the divergence of Sub2/3 and *V. cruziana*, and then contributed to the evolution of large leaf in both *E. ferox* and *V. cruziana*.

Adaptive pathways underlie the specific evolution of *Euryale ferox* leaf development

We further explored the gene pathways involved in the adult leaf development of *E. ferox* by comparing the mRNA-seq data of A1–A7 with those of EA1–EA4. A total of 27 069 differentially expressed genes (DEGs) were identified across A1–A7. The tool Mfuzz (Futschik and Carlisle, 2005) was then used to cluster these DEGs based on their expression patterns. The results showed that these genes were separated into nine clusters (Figure S17a). Among them, cluster 9 showed a pattern of higher gene expression in the underwater stage (A1–A3) than in the above-water stage (A5–A7), and these genes were enriched in functions including those related to phytohormones, cell population proliferation, nucleolus, nucleosome and ribosome (Figures 4c and S17b). Meanwhile, cluster 9 retained more multi-copy genes—the average number of gene copies was 2.3, and genes in cluster 9 were under stronger selection pressure (cluster 9: $K_a/K_s \approx 0.20$; other clusters: $K_a/K_s \approx 0.22$ – 0.26 ; Table S21). In contrast to cluster 9, cluster 8 showed a pattern of higher gene expression in the above-water stage than in the underwater stage, and the genes of cluster 8 were enriched in the functions of photosynthesis, substance and energy metabolism, and light harvesting (Figures 4e and S17b).

We further investigated the differences in gene expression between the adult and early adult leaves. Genes with Gene Ontology (GO) functions that were enriched in the DEGs of adult leaves were considered. For the 1567 DEGs that were involved in cell proliferation and were strongly upregulated in the underwater development stage of adult leaves, 1079 of them showed lower expression levels in the early adult leaf. Furthermore, most of these DEGs showed stable expression (74.54% showed no significant expression changes across EA1–EA4) in the early adult leaf (Figure 4c; Table S22). The gene expression pattern was consistent with the rapid cell division observed by PS and TEM during the underwater development of the adult leaf. Meanwhile, for the material- and energy metabolism-related genes that were strongly upregulated in the above-water stage of adult leaf, most of them also showed stable expression (89.70% showed no significant expression changes across EA1–EA4) in the early adult leaf (Figure 4e; Table S22). These results together indicated that the development model of adult leaf underwent specific adaptive evolution was different from that of the development of the early adult leaf in *E. ferox*.

Phytohormone gene pathways. Phytohormones are important signal substances that regulate the development,

physiological, growth and reproduction of plants (Santner and Estelle, 2009; Durbak et al., 2012). In *E. ferox*, it was found that genes involved in leaf development showed significant enrichment in phytohormone signaling pathways.

For the auxin pathway, we found that the genes encoding the auxin response factors (*ARFs*) were highly expressed in the underwater stage of *E. ferox*. *ARFs* are key transcription factors that regulate the expression of auxin response genes (Tiwari et al., 2003; Chandler, 2016). In previous studies, 23 *ARFs* were identified in *Arabidopsis* (Guilfoyle et al., 1998). Through the HMM profile and BLASTP searching (see Experimental Procedures), we found a total of 13 and 52 *ARF* genes in *N. colorata* and *E. ferox*, respectively (Table S23). The *ARF* gene family was significantly expanded ($P = 2.87 \times 10^{-27}$) in *E. ferox* compared with *N. colorata*. More importantly, the expression levels of 34 out of the 52 *ARF* genes were found to be upregulated in the underwater development stage of adult leaves (Table S24). In addition, other phytohormone-related genes including the gibberellin insensitive dwarf (*GID*) in the gibberellin pathway, histidine kinase (*HK*) in the cytokinin pathway, and *TCH4* in the brassinosteroid pathway were also found expanded and highly expressed

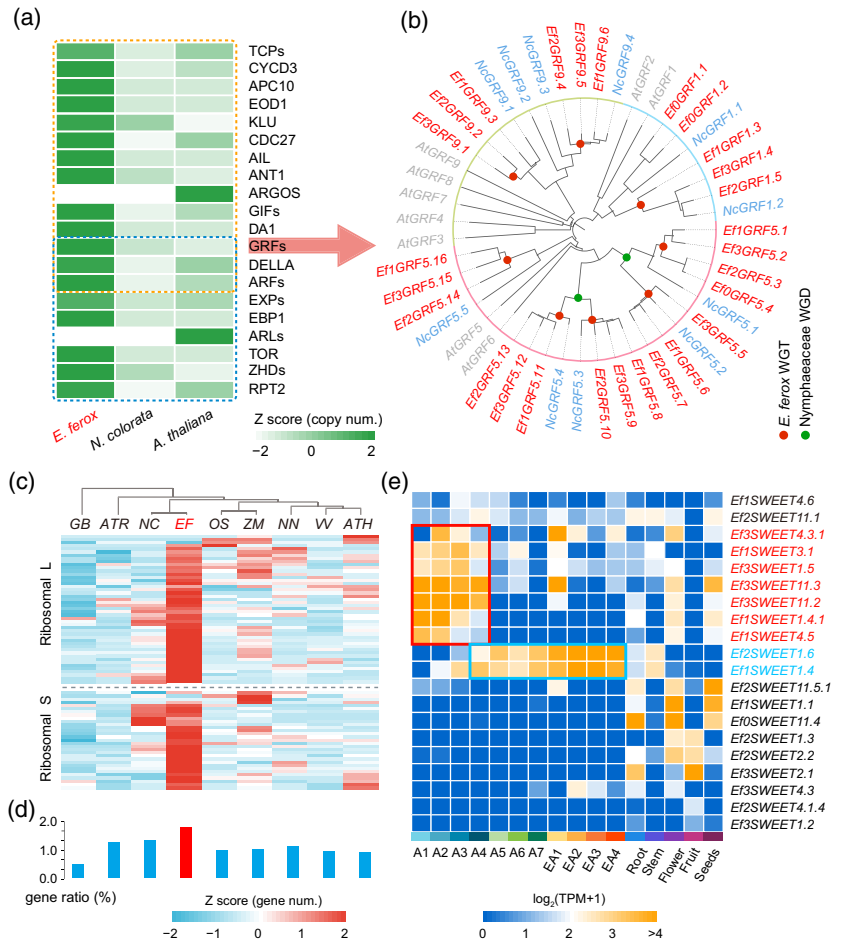
in the underwater stage of *E. ferox* (Figures S18–S20; Tables S25 and S26). The expression pattern of these expanded genes was consistent with the rapid cell proliferation and expansion that occurred during the underwater development of adult leaves.

Cell division- and expansion-related genes. Twenty gene families were reported in *Arabidopsis* that were involved in leaf expansion through the regulation of cell expansion or cell division, including growth regulation factor (*GRF*) and cyclin D3 (*CYCD3*) genes (Gonzalez et al., 2012; Figure 5a). These 20 gene families have 83 and 232 orthologous genes in *N. colorata* and *E. ferox*, respectively (Figure S21; Tables S23 and S24), showing significant expansion in *E. ferox* ($P = 4.02 \times 10^{-60}$). These genes also showed higher retention ratios (2.52 copies per gene on average) compared with the genome-wide background (2.02 copies).

The *GRF* gene family plays an important regulatory role in the growth and development of leaves, and they regulate leaf size through the increase or decrease of cell numbers and size (Kim et al., 2003; Horiguchi et al., 2005). The nine *Arabidopsis GRFs* have 11 and 27 orthologous genes

Figure 5. Gene families associated with the specific development of leaves in *Euryale ferox*.

- (a) The expansion of gene families related to cell expansion and division in *E. ferox*, compared with that in *Nymphaea colorata* and *Arabidopsis*.
- (b) Phylogenetic tree of *GRF* genes in *E. ferox*, *N. colorata* and *Arabidopsis*. Red denotes *E. ferox* genes, green denotes *N. colorata* genes, and gray denotes *Arabidopsis* genes.
- (c) Comparisons of the number of ribosomal protein (RP) gene families in *Ginkgo biloba* (*Gb*), *Amborella trichopoda* (*Atr*), *N. colorata* (*Nc*), *E. ferox* (*Ef*), *Oryza sativa* (*Os*), *Zea mays* (*Zm*), *Nelumbo nucifera* (*Nn*), *Vitis vinifera* (*Vv*) and *Arabidopsis* (*Ath*).
- (d) Ratio of the number of RP genes to the number of total genes in the corresponding genome.
- (e) Expression atlases of *SWEET* genes in the leaves of different developmental stages and other organs of *E. ferox*.



in the genomes of *N. colorata* and *E. ferox*, respectively. Among the 27 *GRFs* in *E. ferox*, 24 were triplicated (through WGT) from eight of the 11 *N. colorata* *GRFs*. As shown in Figure 5(b), the phylogenetic tree indicated that there were 15 orthologous genes of *AtGRF5* in *E. ferox* that were expanded by WGT. Twenty-three out of the 27 *GRFs* were found to be highly expressed in the underwater stage of adult leaves in *E. ferox*, while only three (*Ef2GRF5.14*, *Ef3GRF5.15* and *Ef1GRF5.16*) of these 23 genes were continuously and stably expressed in the early adult leaf. These results together suggest that *GRF* genes play important roles in the rapid growth of *E. ferox* adult leaves during the underwater stage.

The *CYCD3* genes are prime candidates for integrating cell division in leaves and lateral organ development (Dewitte et al., 2007). There are three members of *CYCD3* (*CYCD3;1*, *CYCD3;2* and *CYCD3;3*) in Arabidopsis. The *AtCYCD3* gene had three and six orthologs in *N. colorata* and *E. ferox*, respectively (Figure S22). The six *EfCYCD3s* consisted of three copies of two (*NC9G0092580* and *NC3G0223880*) out of the three *NCCYCD3* genes. All six *EfCYCD3s* were found to be specifically expressed to higher levels in the underwater development stage of the adult leaf, which was similar to that of most *GRFs*, indicating the important function of *EfCYCD3* in the rapid cell division during the early development of *E. ferox* adult leaves.

The remaining families of 20 gene families showed a similar pattern of retaining more gene copies following fractionation after the WGT event, which supported the fact that WGT played an important role in the evolution of rapid cell proliferation and thus the formation of large leaves in *E. ferox*. However, there were two exceptions in these gene families. One is *ARGOS*, which functions in cell proliferation, and the other is *ARGOS-LIKE* (*ARL*), which is involved in the growth of large cells (Hu et al., 2003, 2006). *ARGOS* and *ARL* had no orthologous genes in either *N. colorata* or *E. ferox*, which suggested that the two gene families may not exist or were lost in the angiosperm base family Nymphaeaceae.

Ribosome protein genes. The genes for most ribosomal proteins (RPs) appear to be evolutionarily conserved among species (Zheng et al., 2016), and RPs that play important roles in ribosome biogenesis and translation have also been found to be involved in leaf development (Van Lijsebettens et al., 1994; Ramakrishnan and White, 1998; Naora and Naora, 1999; Ito et al., 2000; Maguire and Zimmermann, 2001; Yao et al., 2008; Horiguchi et al., 2011; Wang et al., 2013). A total of 665 *RP* genes from 81 gene families were identified in the genome of *E. ferox* by the PFAM database (El-Gebali et al., 2019). Among them, 32 of 81 *RP* gene families were small subunit proteins, while the other 49 were large subunit proteins (Figure S23; Table S25). In comparison to the 665 *RPs* in *E. ferox*, there were fewer *RPs* in Arabidopsis (275), *N.*

colorata (412), *G. biloba* (193), *Oryza sativa* (270), *Zea mays* (329), *Nelumbo nucifera* (267), *Vitis vinifera* (233) and *A. trichopoda* (213), indicating that *RPs* were largely expanded in *E. ferox* (Figure 5c,d). Moreover, the calculated value of K_a/K_s (0.12) was significantly lower than that of the genome-wide level (0.25), indicating that *RPs* were under purifying selection in *E. ferox*. Furthermore, transcriptome analysis showed that ~70% of *RPs* (466 out of 665) were highly expressed in the underwater development of adult leaves, implying that transcription was strongly activated in this stage (Figure S23). However, in early adult leaves, most of the *RP* genes (94%) were expressed at lower level, which further indicated the important function of *RPs* in the rapid growth of adult leaves during underwater development (Table S25).

Energy transportation genes. Considering that the ball-like wrapped leaf in the underwater stage cannot produce energy through photosynthesis itself, it was hypothesized that the above-water adult leaf and the wrapped underwater leaf might serve as the source and sink tissues, respectively, and the transportation of carbon assimilates from the photosynthesizing leaf above-water is vital to the rapid growth of the underwater leaf. We observed that photosynthesis-related genes including light-harvesting chlorophyll a/b-binding (LHC), phosphoribulokinase (PRK), glyceraldehyde-3-phosphate dehydrogenase (GAPDH) and phosphoglycerate kinase (PGK; Allen et al., 1981; Hariharan et al., 1998; Pfannschmidt, 2003; Klimmek et al., 2006; Gurrieri et al., 2021) were expanded in the genome of *E. ferox* and were highly expressed in above-water rather than the underwater stage, suggesting the importance of these genes in the enhanced photosynthesis and energy supply in *E. ferox* (Figures S24 and S25; Table S26).

More importantly, it was found that the energy transportation might be facilitated by the neo-functionalization of the multi-copy *SWEET* genes. The *SWEET* proteins play important roles in the transportation of sucrose, glucose and fructose in plants (Baker et al., 2012; Chen et al., 2012). The *SWEETs* had 17 members in Arabidopsis. There were 12 and 31 members of *SWEET* in *N. colorata* and *E. ferox*, respectively. Interestingly, among the seven *EfSWEET1s* in *E. ferox*, two (*Ef2SWEET1.6* and *Ef1SWEET1.4*) were highly expressed in the above-water stage of the adult leaf, which may function in the phloem loading of energy substances, while seven genes (*Ef3SWEET4.3.1*, *Ef1SWEET3.1*, *Ef3SWEET1.5*, *Ef3SWEET11.3*, *Ef3SWEET11.2*, *Ef1SWEET1.4.1* and *Ef1SWEET4.5*) were highly expressed in the underwater stage of the adult leaf (Figures 5e and S26), which may function in the unloading of bulk energy substances into the sink organ underwater after their transportation from the source organ above-water.

DISCUSSION

The basal Nymphaeaceae family is phylogenetically and evolutionarily important for angiosperm species. There are many aquatic plants in Nymphaeaceae. Aquatic plants have evolved a variety of survival modes and diversified leaf shapes to adapt to the aquatic environment. The Nymphaeaceae species *E. ferox* has evolved large, rapidly growing and expanding leaves to occupy the water surface as light-harvesting space, making *E. ferox* a representative species for studying the adaptive evolution of Nymphaeaceae aquatic plants to the aquatic environment. In order to investigate the mechanism underlying its specific leaf development, we surveyed the whole development process of *E. ferox* leaves, then also dissected the *E. ferox* WGT event and the evolution of multi-copy genes after its divergence from *N. colorata* through genome assembly and transcriptome profiling. We revealed the evolutionary origins of the three sub-genomes from the WGT event, as well as the biased retention of genes after WGT and the adaptive evolution of important gene pathways that were associated with the evolution of the specific leaf development strategy of *E. ferox*.

The systematic study of features of the paleo-hexaploidization event in an aquatic plant genome from the basal Nymphaeaceae family adds greatly to our understanding of the effects of paleo-polyploidization on the genome evolution and function innovation of plant species. Through the genome assembly and the comparative genomic analysis of *E. ferox*, the WGT event of *E. ferox* was extensively dissected. Deducing the diploid ancestor species has always been a challenge in polyploid study. The GB system is a good framework to perform comparative genomic analysis, which has been found to be helpful in the determination of diploid ancestors for Brassicaceae and other plant systems (Wang et al., 2011; Xu et al., 2020). In the current study, through the genomic synteny analysis between *N. colorata* and *E. ferox*, we identified the syntenic fragments between the two genomes. Following this step, the genomic fragments were used as the basic units in the analysis of the genome rearrangement of *E. ferox* after its WGT event. These basic units were then determined as GBs for the comparative genomic analysis in Nymphaeaceae. With the construction of the Nymphaeaceae GB system, the diploid ancestral karyotype before the WGT event of *E. ferox* was inferred, and it had 12 chromosomes (Table 2). The GB system of Nymphaeaceae and the ancestral karyotype of *E. ferox* are valuable resources for comparative genomic research and evolutionary studies in the plant family Nymphaeaceae.

The three *E. ferox* sub-genomes generated through the WGT event show different histories of divergence. Based on the diploid ancestor, we reconstructed three copies of chromosomes for each of the 12 AKECs. However, it is

Table 2 The *Euryale ferox* AKECs and the information for 36 GBs using the *Nymphaea colorata* genome as the reference

AKEC	GB	<i>Nymphaea colorata</i> reference		
		Chromosome	Start gene	End gene
AKE01	3	NC01	NC1G0135590	NC1G0065420
	36	NC14	NC14G0010460	NC14G0175320
	24	NC10	NC10G0038650	NC10G0233820
	26	NC10	NC10G0266740	NC10G0042960
AKE02	1	NC01	NC1G0091250	NC1G0101960
	20	NC08	NC8G0271420	NC8G0299260
	30	NC12	NC12G0062040	NC12G0188260
AKE03	5	NC01	NC1G0178350	NC1G0177200
	19	NC07	NC7G0031640	NC7G0308530
	11	NC05	NC5G0051240	NC5G0158220
AKE04	17	NC07	NC7G0277000	NC7G0279380
	10	NC04	NC4G0199180	NC4G0236840
	33	NC13	NC13G0195510	NC13G0293560
AKE05	35	NC13	NC13G0307330	NC13G0242890
	16	NC07	NC7G0293160	NC7G0291830
	2	NC01	NC1G0101990	NC1G0103200
AKE06	12	NC06	NC6G0257870	NC6G0156440
	14	NC06	NC6G0155490	NC6G0257980
	9	NC03	NC3G0204700	NC3G0229120
AKE07	7	NC02	NC2G0006350	NC2G0126710
	29	NC12	NC12G0093270	NC12G0094900
	15	NC06	NC6G0154420	NC6G0265400
AKE08	13	NC06	NC6G0156920	NC6G0154510
	27	NC11	NC11G0117150	NC11G0017360
	22	NC09	NC9G0234650	NC12G0095810
AKE09	23	NC09	NC9G0276790	NC9G0174200
	21	NC09	NC9G0111540	NC10G0248070
	25	NC10	NC10G0232680	NC10G0248740
AKE10	4	NC01	NC1G0065430	NC1G0178020
	6	NC02	NC2G0041910	NC2G0005680
	32	NC13	NC13G0196600	NC13G0195510
AKE11	34	NC13	NC13G0140990	NC13G0029800
	18	NC07	NC7G0062930	NC7G0031650
	8	NC02	NC2G0126810	NC2G0057050
AKE12	31	NC12	NC12G0188810	NC12G0183750
	28	NC11	NC11G0058340	NC11G0138570

AKEC, ancestral karyotype of *Euryale ferox* (AKE) chromosome; GB, genomic block.

difficult to group the three copies of each of the 12 AKECs into three sets of sub-genomes. Previously, the differences in gene density among the different copies of AKECs were used to separate multi-copy AKECs into sub-genomes (Wang et al., 2011; Xu et al., 2020). However, gene density showed no significant difference for the three copies of AKECs in *E. ferox*. Therefore, we used an alternative method based on the evolutionary distance between sub-genomes and the closely-related species of *E. ferox*. Fortunately, we found that *N. colorata* and *V. cruziana*, which were closely related to *E. ferox*, had relatively closer relationships to sub-genomes Sub1 and Sub2, respectively. Based on this finding, we successfully separated the three copies of AKECs and grouped them into three sub-

genomes. The reconstruction of the three sub-genomes in *E. ferox* then facilitated further sub-genome-related evolutionary and comparative analyses.

Sub-genome dominance refers to the unequal evolution of sub-genomes generated by allo-polyploidization (Ohno, 1970; Otto and Whitton, 2000). This phenomenon has been found in many paleo-allopolyploid genomes (Wang et al., 2011; Sankoff and Zheng, 2012; Xu et al., 2020), but not in pumpkin, pear or *Camelina sativa* (Douglas et al., 2015; Sun et al., 2017; Li et al., 2019). Based on the three sub-genomes reconstructed, we were able to perform sub-genome comparison in *E. ferox* and found that there was no genome-wide dominance phenomenon. No significant differences were observed in gene fractionation, gene expression or transposable element (TE) distribution among the three sub-genomes (Figures S11 and S27). Furthermore, the three sub-genomes retained more copies of duplicated genes compared with that of the other ancient polyploidized plants whose WGT events occurred in a comparable time period (Wang et al., 2011; Xu et al., 2020). The much lower gene fractionation may be due to the lack of sub-genome dominance. The *E. ferox* genome that showed no sub-genome dominance provided an important control for the study of sub-genome dominance.

With the findings of this study, we hypothesized that the allo-hexaploidization of *E. ferox* occurred through a two-step process (Figure 2e). The two-step polyploidization was supported by the fact that Sub2 and Sub3 lost more genomic fragments (in two steps) than Sub1 (only one step). Considering that we have not observed the overall sub-genome dominance among the three sub-genomes, the two-step hexaploidization may not be necessary for the formation of sub-genome dominance (Cheng et al., 2014). Furthermore, as the *V. cruziana* shows similar leaf development to that of *E. ferox*, while it is different in *N. colorata*, it seems that the specific leaf development might have initially formed after the divergence of *N. colorata* and the ancestor of *V. cruziana*, but before the divergence of sub-genomes Sub2 and Sub3. Sub2 and Sub3, which are closer to *N. cruziana* than Sub1, may have developed a similar leaf development strategy to that of *N. cruziana*. This possibility is supported by the findings that Sub2 and Sub3 have contributed more to genes involved in the development of the adult leaf during its underwater stage.

Euryale ferox has evolved a specific development strategy for leaves to rapidly occupy the water surface. In order to reveal the mechanism underlying the rapid growth and expansion of *E. ferox* leaves, we surveyed the whole development cycle of the leaf through cytological observation. The whole development cycle of the early adult leaf of *E. ferox* is relatively moderate, and the leaf grows up to ~50 cm in diameter in about 18 days. However, the adult

leaf expands rapidly after it raises from the water and reaches a full size of up to ~200 cm in diameter within 10 days. The rapid expansion of the leaf on the surface of water resembles a sheet of shriveled paper being smoothed out, suggesting that the proliferation of leaf cells has been completed before the expansion of the leaf when the leaf raises out of the water. This is supported by the observation of the number of leaf cells at the different development stages of *E. ferox* leaves.

Transcription profiling analysis on different leaf development stages and the comparison between early adult and adult leaves in *E. ferox*, as well as the gene copy number analysis, found that genes related to pathways in phytohormones, cell division and expansion, ribosome proteins, and photosynthesis and energy transportation were likely coordinated to contribute to the adaptive evolution of *E. ferox* leaves to the aquatic environment. For example, we found that the gene families related to cell division and cell expansion, such as EXP, GRF, CYCD3 and TCP, were largely expanded in *E. ferox* and were significantly upregulated in the underwater development of the adult leaf (Table S23), suggesting their important roles in this process. Moreover, in the vegetative development stage of plants, young leaves and roots are major sink organs (Wardlaw, 1990). During the underwater development of the *E. ferox* adult leaf, it wraps up like a ball and does not carry out photosynthesis, which makes it a typical sink organ. In comparison, the whole growth process of the early adult leaf is above the water, and it plays a role as a source organ to provide energy for the development of the adult leaf underwater. Previous studies found that the plant SWEET gene family was mainly involved in the sugar transport between organelles, cells and organs, especially in the sugar transport from source to sink (Chen et al., 2012). SWEET transporters not only mediate sugar loading, but also are major sugar unloaders, importing energy substances from source tissues to sinks (Chen, 2014; Lin et al., 2014; Yang et al., 2018; Ho et al., 2019). SWEET genes are largely expanded in *E. ferox* (Figure S26). Interestingly, two out of seven copies of the gene *EfSWEET1* and five other SWEET orthologs were significantly upregulated in the underwater sink organ—the ball-like adult leaf (Figure 5e)—while the other two copies of *EfSWEET1* were highly expressed in the source organs—the above-water adult leaf and the early adult leaf. These findings suggest that the multi-copies of *EfSWEET1* and the other SWEET genes have contributed to the formation of the specific development strategy of *E. ferox* leaves through expression and function divergence. The example of *EfSWEET1* genes supports that the hexaploidization of *E. ferox* provided the multi-copy gene materials for the function innovation and adaptive evolution of the specific leaf development that allowed *E. ferox* to be competitive in the water environment.

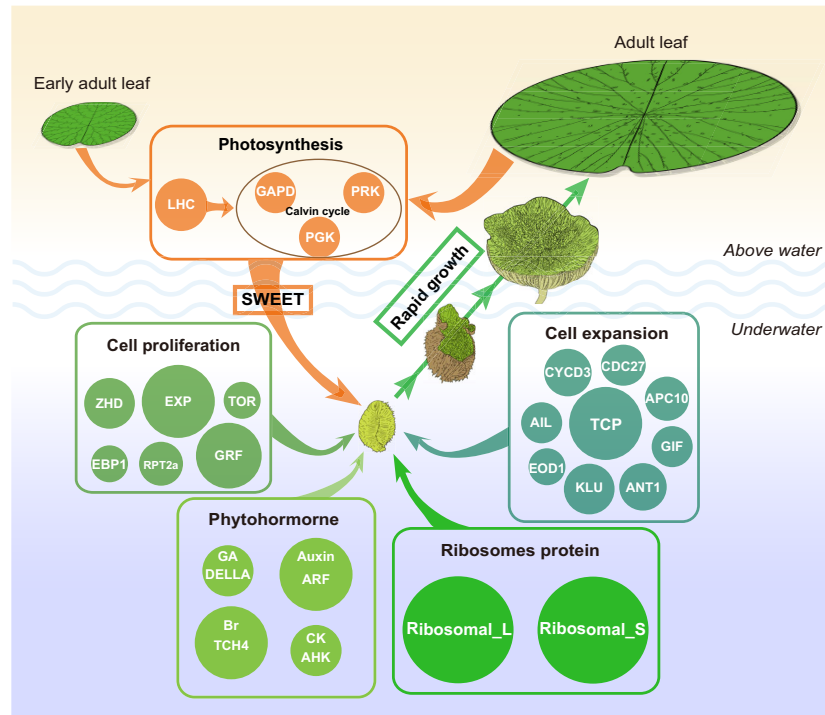


Figure 6. The model of coordinated gene pathways underlying the adaptive evolution of specific strategy in *Euryale ferox* leaf development. There are five main gene pathways involved in the leaf development of *E. ferox*. (i) Photosynthesis. Early adult leaves and adult leaves above water provide the energy for the development of adult leaves underwater through *SWEET* genes. (ii) Cell proliferation. This process occurs and is completed at the underwater development stage of adult leaves, which lays the foundation for rapid expansion of leaves after they rise above the water. (iii) Cell expansion. The high expression of cell expansion-related genes occurs at the underwater stage, and is completed at the above-water stage. (iv) Phytohormones and (v) ribosome proteins (RPs). These genes are related to different phytohormones (Auxin, GA, Br and CK) and RPs that are involved in leaf development and occur at the underwater stage of adult leaves. These genes were over-retained through paleo-hexaploidization and became involved in the regulation of *E. ferox* leaf development. Different sizes of circles represent the copy number of genes. LHC, light-harvesting chlorophyll a/b-binding; PRK, phosphoribulokinase; GAPDH, glyceraldehyde-3-phosphate dehydrogenase; PGK, phosphoglycerate kinase; APC10, anaphase promoting complex10; GIF, grf-interacting factor; CDC27, cell division cycle protein 27; TCP, teosinte branched1/cycloidea/pcf; GRF, growth-regulating factor; ANT, aintegumenta; AIL, aintegumenta-like1; CYCD3, cyclin d3; EOD1, enhancer of da1-1; ARF, auxin response factor; KLU, kluh; EXP, expansin; EBP1, eRBb-3 epidermal growth factor receptor binding protein; RPT2a, regulatory particle aaa-atpase 2a; TOR, target of rapamycin; ZHD, zinc finger homeodomain; BR, brassinosteroids; GA, gibberellins; HK, histidine kinase; CK, cytokinin.

The dissection of the ancestral genome evolution and the transcription features of the specific leaf development in *E. ferox* revealed the genomic basis and the coordination of multiple gene pathways (Figure 6) underlying the rapid growth and expansion of the large adult leaves of *E. ferox*. These findings are valuable to the study of adaptive evolution for not only aquatic plants, but also for other organisms living in specific environments.

EXPERIMENTAL PROCEDURES

Anatomical structure analysis

Tissue samples from leaves of different developmental stages were cut into small pieces (2–3 mm). A phosphate buffer solution (pH 7.2) containing glutaraldehyde (2.5%) was used to immobilize the tissue structures. The slices were initially deparaffinized in xylene and then dehydrated through an ethanol series. Then, the slices were stained with 0.1% (w/v) toluidine blue O (TBO). The section observations and image acquisition were conducted via light microscope, and the number of cells was measured by Image J (Schindelin et al., 2015).

TEM experiment

Leaf samples were immobilized in 0.1 M phosphate buffer (pH 7.0) containing 2% glutaraldehyde at 4°C for 4 h. After 30 min rinses in 0.1 M phosphate buffer (pH 7.0), samples were fixed overnight at 4°C in 1% osmium tetroxide, washed three times in 0.1 M phosphate buffer (pH 7.0), and rinsed three times with ddH₂O water. Samples were dehydrated in a gradient ethanol series (50%, 70% and 90% once each, then three times in 100%) at 4°C, followed by a three times rinse in 100% 1,2-epoxypropane. Sections 0.07 μm thick were obtained using a Leica EM UC6 ultramicrotome (Vienna, Austria). Sections were observed with a HITACHI 7800 TEM (Hitachi, Japan).

Fluorescence *in situ* hybridization

The fluorescence *in situ* hybridization method for the mitotic metaphase of *E. ferox* was conducted as described by a previous study (Tang et al., 2014). The telomere-specific probe was (TTAGGGTTTAGGGTTAGGG).

Genome sequencing and assembly

We produced 139.02 Gb (~160 ×) of Nanopore reads data (Table S1), used Canu v1.8 (Koren et al., 2017) to correct the clean

data, and then used SMARTdenovo to assemble the reads based on the corrected data. Nanopore reads were used to perform three rounds of genome polishing with Racon (Li and Durbin, 2009), and Illumina data were used to perform three rounds of polishing with Pilon v1.22 (Walker et al., 2014). The clean Hi-C reads, accounting for 80 × coverage of the *E. ferox* genome, were truncated at the putative Hi-C junctions, and the resulting trimmed reads were aligned to the assembly results with BWA -v 0.7.17-r1188 (Li and Durbin, 2009). Only uniquely aligned paired-end reads with mapping quality > 20 were retained for further analysis. Invalid read pairs were filtered by HiC-Pro v2.8.1 (Servant et al., 2015). The 58.99% of unique mapped read pairs were valid interaction pairs, and were used to correct, cluster, order and orientate the scaffolds onto chromosomes with LACHESIS (parameters: CLUSTER_MIN_RE_SITES 101, CLUSTER_MAX_LINK_DENSITY 2, ORDER_MIN_N_RES_IN_TRUN 60, ORDER_MIN_N_RES_IN_SHREDS 58; Burton et al., 2013). Placement and orientation errors were manually adjusted using Juicebox (Robinson et al., 2018). A total of 858.55 Mb of sequences was mounted on 29 chromosomes, accounting for 97.75% of the genome assembly (Figure S9). Among the localized chromosomes, the total sequence length capable of determining the sequence direction was 748.21 Mb, accounting for 87.15% of the total length of the anchored chromosome sequences (Table S2).

Evaluation of the chromosome structures

To examine the quality of the assembled *E. ferox* chromosome karyotypes, genomic synteny analysis was performed between the *E. ferox* genome obtained here and the previously published *E. ferox* (prickly waterlily) genome (Figure S5; Yang et al., 2020). To validate the potential structural variation, our *E. ferox* genome was adjusted according to the karyotype of the previous *E. ferox* genome and then checked whether the Hi-C heat map was reasonable.

Repetitive element prediction

Two softwares, LTR_FINDER v1.07 (Xu and Wang, 2007) and RepeatScout v1.0.5 (Price et al., 2005), based on the principles of structure prediction and *de novo* prediction, respectively, were used to build a library of repeated sequences for the *E. ferox* genome. The library was classified by PASTEClassifier (Hoede et al., 2014) and was further merged with the Repbase (Jurka et al., 2005) database to obtain the final library. The RepeatMasker v 4.0.6 (Tarailo-Graovac and Chen, 2009; parameter: -nolow -no_is -norna -engine wublast) was used to mask the repeated sequences of the genome based on the library.

Gene prediction and annotation

Three different strategies including *ab initio* prediction, homologous prediction and transcriptome prediction were used for the gene prediction. Specifically, we used Genscan (Burge and Karlin, 1997), Augustus v2.4 (Stanke and Waack, 2003), GlimmerHMM v3.0.4 (Majoros et al., 2004), GeneID v1.4 (Cochrane et al., 2016) and SNAP (Korf, 2004) to perform *ab initio* prediction. The protein sequences of five species including *V. vinifera* (Jaillon et al., 2007), *Z. mays* (Schnable et al., 2009), *Arabidopsis* (Kaul et al., 2000), *N. nucifera* (Gui et al., 2018) and *O. sativa* (Ouyang et al., 2007) were used for homology-based prediction through GeMoMa v1.3.1 (Keilwagen et al., 2016, 2018). HISAT2 v2.1.0 (Kim et al., 2015) and Stringtie v1.2.3 (Pertea et al., 2015) were used to assemble reference-based transcripts, and the protein coding regions were predicted by TransDecoder v2.0 and GeneMarkS-T v5.1 (Tang et al., 2015). PASA v2.0.2 (Campbell et al., 2006) was used to predict unigenes from the transcripts assembled without

reference based on transcriptome data using the Trinity v 2.9.0 (Haas et al., 2013). Finally, EVM v1.1.1 (Haas et al., 2008) was used to integrate the results from the above three methods, and PASA was used to modify the prediction results (Figure S10; Table S3).

Determination of syntenic gene pairs and fragments

The tool SynOrths (Cheng et al., 2012) with default parameters was used to perform syntenic gene identification. Syntenic gene pairs distributed continuously along chromosomes in the two genomes were considered as ancestral fragments inherited by the two species. Based on this principle, we identified large-scale genomic fragments under synteny between the *N. colorata* and *E. ferox* by linking adjacent syntenic gene pairs. Due to the potential local structural variation and the errors of genome assembly in either or both genomes, local syntenic gene pairs may not be distributed immediately adjacent to other syntenic genes in one or both genomes. Therefore, if two pairs of syntenic genes were interrupted by less than 50 genes or had a distance less than 300 kb, they were grouped into one pair of syntenic fragments. Based on syntenic gene pairs between *E. ferox* and *N. colorata*, 168 syntenic fragments were identified in *E. ferox*. These syntenic fragments corresponded to 91.83% and 79.66% of *E. ferox* and *N. colorata* genomes, respectively.

Definition of GBs in the Nymphaeaceae

Two types of genomic breakages separated the *E. ferox* genome into 168 fragments. The first type was caused by the different genomic order between the *N. colorata* and the *E. ferox* ancestral diploid genome before the WGT, i.e. the loss of synteny between these two species, which was caused by the genomic reshuffling, occurred pre the WGT event of *E. ferox*. Therefore, this type of breakage should exist as two or three copies that were triplicated and inherited through the WGT into the three sub-genomes and interrupted by randomly- and independently-occurring fusion events in no more than one sub-genome. The second type of breakage occurred in the *E. ferox* genome after the WGT; because such breakages occurred randomly and independently in the three sub-genomes, they should exist as one copy in one of the sub-genomes. A total of 22 break points were identified as the first type of breakage (Table S6). These 22 break points and 13 separations of 11 chromosomes divided the *N. colorata* genome into 36 GBs (Figures 2a and S13a).

Deciphering the ancestral diploid genome of *Euryale ferox*

The syntenic fragments between the *N. colorata* genome and *E. ferox* genome were compared, and genomic fusions that existed in *E. ferox* but not in the *N. colorata* genome were screened. Similar to the genomic breakages, if two or three sub-genomes had the same association of GBs, we considered that the GB association had existed in the ancestral diploid genome of *E. ferox*. In other words, the associated GBs exist on the same chromosome of the ancestral diploid genome (AKEC). The fusion of GBs occurred randomly and independently in the three sub-genomes after the WGT, so the probability was low for a novel fusion of GB association to have occurred in more than one sub-genome. A total of 24 such GB associations were found (Table S7), which assigned the 36 GBs in the *E. ferox* genome into 12 groups corresponding to the 12 AKECs of the *E. ferox* ancestral diploid genome (Figure 1b).

Reconstruction of three sub-genomes chromosomes in *Euryale ferox*

Each sub-genome of *E. ferox* contained 36 GBs, which were able to be further assembled into 12 AKECs. Therefore, according to

the deduced 12 AKECs of the diploid ancestor of *E. ferox*, the three sets of AKECs for three sub-genomes were reconstructed based on two main principles: (i) the block inside a chromosome should not have overlapping and redundant fragments; (ii) each block should be rearranged as little as possible according to the position of the chromosome.

Reconstruction of three sub-genomes in *Euryale ferox*

The K_s value between orthologous gene pairs of *E. ferox*, *N. colorata* and *V. cruziana* were used to represent the divergence time. The K_s values were grouped separately for each set of *E. ferox* AKECs, and the F -test was then performed with the K_s values of the three copies of each AKEC. For each copy of the 12 AKECs, there was always one copy of the AKECs that had a significantly close relationship with *N. colorata*, and one copy that had a significantly close relationship with *V. cruziana* (Figure 3a,b). Then, the copy of AKECs that were close to *N. colorata* were grouped as sub-genome Sub1, the copy of AKECs that were close to *V. cruziana* were grouped as sub-genome Sub2, and the remaining chromosomes were grouped as sub-genome Sub3.

Determination of single-copy gene families

To avoid false-positive results from alternative splicing, transcript-based proteins need to be de-redundant. We used cd-hit v4.6 (-c 0.9 -aS 0.9 -d 0; Fu et al., 2012) to remove the potential redundant transcript-based proteins of *V. cruziana*, *C. caroliniana*, *Nymphaea advena*, *N. lutea* and *Nymphaea thermarum* (data from previous study; Zhang et al., 2020). OrthoMCL (Li et al., 2003; <https://github.com/apetkau/orthomcl-pipeline>) was used to cluster the orthologous groups of the *E. ferox* sub-genomes, *N. colorata* genome, *G. biloba* genome, *A. trichopoda* genome, *V. cruziana* transcriptome, *C. caroliniana* transcriptome, *N. advena* transcriptome, *N. lutea* transcriptome and *N. thermarum* transcriptome. We then selected orthologous groups with one gene per species/sub-genome as single-copy gene families. Finally, a total of 222 single-copy gene families were identified and then used to construct a phylogenetic tree.

K_a , K_s , K_a/K_s analysis and phylogenetic trees construction

The K_a , K_s and K_a/K_s values of gene pairs were calculated by paraAt v2.0 (Zhang et al., 2012; parameters '-f axt -m mafft') and KaKs_Calculator v2.0 (Wang et al., 2010; parameters '-m NG'). For the phylogenetic tree construction of Nymphaeaceae species, we aligned the 222 single-copy gene family sequences with mafft v7.458 (Katoh et al., 2005; default parameters), trimmed them with trimAl v1.4.rev15 (Capella-Gutierrez et al., 2009; parameters '-automated1'), connected the trimmed sequences of each species, and then used RAxML v8.2.12 (Stamatakis, 2014; parameters '-f a -N 100 -m PROTGAMMAJTT') to construct the phylogenetic tree. Furthermore, the phylogenetic tree of each AKEC was built by orthologous gene groups among sub-genomes of *E. ferox*, *N. lutea*, *N. colorata* and *V. cruziana*, using the same methods as for the tree of Nymphaeaceae species.

Moreover, paralogous genes among three sub-genomes of *E. ferox* and their corresponding syntenic orthologous genes in *N. lutea*, *N. colorata* and *V. cruziana* were combined to form the orthologous groups. Orthologous groups were filtered by the number of their homologous (non-syteny) genes (Blastp: E-value $> 1 \times 10^{-20}$) in the *E. ferox* genome. Only orthologous groups that have no more than three homologs were kept for further analysis. After the strict filtering, 439 orthologous groups were obtained. For each orthologous group, the protein and K_s loci of gene sequence were used to build a phylogenetic tree following the aforementioned method. In total, 439 individual phylogenetic trees

were built. For each tree, we determined the sub-genome, the paralogous gene from which was closest to *N. colorata* or *V. cruziana*, according to the branch length of the tree. Finally, the number of each trees were counted and summarized.

Comparison of dominant expression between paralogous gene pairs

The transcriptome data of six tissues (root, stem, leaf, flower, fruit and seed) were used to identify the dominantly expressed paralogous genes in *E. ferox*. The DEGs among the three sub-genomes were calculated by DESeq2 v1.26.0 (Anders and Huber, 2010; $|\log_2\text{FoldChange}| > 1$, $P_{\text{adj}} < 0.05$). The dominantly expressed genes were counted based on the number in the three copies of each AKEC. Whether the number of dominantly expressed gene differed in three copies of AKECs were identified by χ^2 test (P -value < 0.05).

TE distribution in neighboring regions of *Euryale ferox* genes

We used a 100-bp sliding window with a 10-bp step moving across the 5' and 3' flanking regions of genes to calculate the TE density. In each 100-bp window, we calculated the density of the TE sequence and then averaged the density across each sub-genome gene of the *E. ferox* genome. The averaged values were plotted as the TE density in the flanking region of these sub-genomes of *E. ferox*.

Identification of gene families

The protein sequences of gene families in *Arabidopsis* were compared with *E. ferox* genes and *N. colorata* genes by Blastp with E-value $> 1 \times 10^{-5}$. Then, the gene family candidate protein sequences were used to build the phylogenetic tree with Phylogeny of MEGAX (parameters 'Method: Neighbor, Test: Bootstrap, No. of Bootstrap Replications: 100, Model: Poisson model, Rates among Sites: Uniform Rates, Gaps Data Treatment: Pairwise deletion. '; Kumar et al., 2018). The homologous genes with *Arabidopsis* genes that were on the same branch were retained. The RP gene family were identified by the hmmscan in HMMER v3.2.1 (Potter et al., 2018) with PFAM database (El-Gebali et al., 2019). The phylogenetic trees were visualized by figtree (<https://github.com/rambaut/figtree>).

RNA-seq data analysis

The transcriptome data were mapped to the *E. ferox* genome using HISAT2 v2.1.0 (Kim et al., 2015; default parameters). The number of reads on each gene were counted by featureCounts v1.6.0 (Liao et al., 2014; parameters '-p -F GTF'). The gene expression levels in all the samples were calculated using transcripts per kilobase of exon model per million mapped reads (TPM).

Analyses of time-series gene expression profiles

Only genes with the sum of TPM values ≥ 1 across the time-series data were retained for the following analyses. DEGs identified between at least two periods were considered as genes with fluctuating expression. These genes were clustered according to their time-series gene expression patterns using the R package Mfuzz v2.46.0 (parameter 'min.mem = 0.4'; Futschik and Carlisle, 2005).

Identifying tissue-specific genes

Tissue-specific genes based on expression data were detected by calculating the SPM, using a similar method described in a previous study (Julca et al., 2021). For each gene, we calculated the

average TPM value in each sample. Then, the SPM value of a gene in a sample was computed by dividing the average TPM in the sample by the sum of the average TPM values of all samples. The SPM value ranged from 0 (a gene was not expressed in a tissue) to 1 (a gene was fully tissue-specific). We sorted all SPM values from largest to smallest, and selected the top 5% as tissue-specific genes.

Enrichment analysis of gene sets

The KEGG and GO annotations of *E. ferox* were identified by the KEGG database (Kanehisa and Goto, 2000) and GO database (Dimmer et al., 2012), respectively. Function enrichment analysis for target genes was performed by the R package clusterProfiler (Yu et al., 2012). For the tissue-specific gene enrichment, *E. ferox* genes were divided into three gene sets according to two classification methods: the first was based on which sub-genome the genes located (Sub1, Sub2, Sub3); the second was based on the copy number of genes (one, two, three) in three sub-genomes. The tissue-specific gene enrichment analysis was conducted using a right-sided hypergeometric test followed by the Benjamini–Hochberg (BH) correction. The enriched clusters with a *P*-value < 0.05 were considered statistically significant.

Analyses of expression profiles of cell proliferation and photosynthesis-related genes

The genes related to cell proliferation and photosynthesis were identified by GO annotation. The genes annotated as 'GO:0006412: translation', 'GO:0005840: ribosome', 'GO:0005730: nucleolus', 'GO:000786: nucleosome' and 'GO:0008283: cell proliferation' were selected as proliferation-related genes. The genes annotated as 'GO:0000023: maltose metabolic process', 'GO:0019252: starch biosynthetic process', 'GO:0015979: photosynthesis' and 'GO:0015995: chlorophyll biosynthetic process' were selected as photosynthesis-related genes. The average expression of all genes in each GO term was then calculated for different periods.

CONFLICT OF INTERESTS

The authors declare that they have no competing interests.

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AUTHOR CONTRIBUTIONS

LL, XC and FC conceived the project; LZ, KZ, PW, FC and YF performed the data analysis; YY, FS, AL and YZ prepared plant materials; PW, XX, SZ and KF conceived and designed the experimental design; PW, LZ and FC wrote the article.

DATA AVAILABILITY STATEMENT

Nanopore whole-genome sequencing data, Illumina data, Hi-C data and transcriptome data have been deposited to the NCBI Sequence Read Archive (SRA) as BioProject PRJNA769254 and PRJNA769560. The genome assembly sequences and gene annotations have been deposited in the Genome Warehouse in the BIG Data Center under accession number GWHBFHH00000000.

SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article.

Figure S1. Hi-C-based clustering of the *E. ferox* chromosomes.

Figure S2. Visualization of metaphase chromosomes of *E. ferox* after fluorescence *in situ* hybridization.

Figure S3. *E. ferox* genome size and heterozygosity estimation results.

Figure S4. Characterization of the *E. ferox* genome with circos plotting.

Figure S5. Chromosomal synteny comparison between two *E. ferox* genomes, the green circles mark the break points of chromosome structural variants.

Figure S6. Hi-C-heatmap of our *E. ferox* genome before and after adjustment according to the prick waterlily genome.

Figure S7. Hi-C-heatmap of our *E. ferox* genome before and after adjustment according to the prick waterlily genome.

Figure S8. Frequency distribution of K_s values between homologs genes.

Figure S9. Genome evolution trajectory of *E. ferox*.

Figure S10. Sub-genome features observed in the paleo-allopolyploid genome of *E. ferox*.

Figure S11. *E. ferox* diploid ancestor karyotype and three sub-genomes.

Figure S12. Differential expression of paralogous gene pairs.

Figure S13. Phylogenetic trees of 12 AKECs.

Figure S14. *E. ferox* early adult leaves of different stage.

Figure S15. (a,b) The paraffin section of *E. ferox* early adult leaves (EA1–EA4) and adult leaves cross-section (A2–A7). (c,d) The cell number of *E. ferox* different leaf tissues at different development stage.

Figure S16. The ultrastructure of *E. ferox* palisade tissue cell early adult leaves (EA1–EA4) and adult leaves (A2–A7).

Figure S17. Clustering and GO enrichment analyses of differentially expressed genes at adult leaves.

Figure S18. Expression of HK gene family of *E. ferox*.

Figure S19. Expression of GID, DELLA and PIF3 gene families of *E. ferox*.

Figure S20. Expression of TCH4 gene family of *E. ferox*.

Figure S21. Expression of cell expansion and cell proliferation relation of gene family of *E. ferox*.

Figure S22. The CYCD3 gene family.

Figure S23. Gene families for ribosomal protein.

Figure S24. Expression of LHC gene family of *E. ferox*.

Figure S25. Expression of PRK, PGK and GAPDH gene families of *E. ferox*.

Figure S26. Phylogenetic tree of SWEET gene homologous in *E. ferox*, *N. colorata* and *A. thaliana*.

Figure S27. The difference in average TE density in the vicinity regions of paralogous genes from the three sub-genomes of *E. ferox*.

Table S1. The statistics of Nanopore reads

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Table S3. The statistics of genes in each prediction process

Table S4. The statistics of repeat sequence

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Table S13. Testing for significant differences in KS values between *N. colorata* and *E. ferox* sub-genomes in each genomic block

Table S14. Testing for significant differences in KS values between *V. cruziana* and *E. ferox* sub-genomes

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Table S16. The number distribution of the six topologies in the 12 ancestral chromosomes

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Table S24. Expression of gene families associated with cell division expansion in *E. ferox*

Table S25. Expression of gene families associated with phytohormone, ribosomal protein and photosynthesis in *E. ferox*

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