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Genomics and Epigenomics of Medullary Thyroid Carcinoma: From Sporadic Disease to Familial Manifestations

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Abstract

Our understanding of the genomics and epigenomics of medullary thyroid carcinoma (MTC) has advanced since the initial recognition of *RET* as a driver of MTC tumorigenesis in familial MTC. We now have insight into the frequency and prognostic significance of specific *RET* mutations in sporadic MTC. For example, the most common *RET* mutation in sporadic MTC is the *RET* Met918Thr mutation, the same mutation that underlies MEN2B and a poor prognosticator. This mutation is relatively infrequent in medullary thyroid microcarcinomas but is over-represented in advanced-stage disease. *RAS* mutations are detected in 70% of sporadic, *RET* wild-type MTC. Although next-generation and whole-exome sequencing studies have shown that tumors that are wild-type for *RET* and *RAS* mutations essentially lack other recurrent mutations, additional pathways and epigenetic alterations have been implicated in MTC tumorigenesis. Increased insight into the clinical course of patients with familial MTC with specific *RET* mutations has guided treatment recommendations for these patients. Finally, an understanding of the genomics has informed treatment for patients with advanced MTC. In this review, we will examine the genomics and epigenomics of sporadic and familial MTC, along with the prognostic significance of molecular alterations, management of patients with germline *RET* mutations, and treatment strategies for MTC patients.

Keywords

Medullary thyroid carcinoma; *RET*; Sporadic medullary thyroid carcinoma; Familial medullary thyroid carcinoma; Medullary thyroid cancer

Introduction

Medullary thyroid carcinoma (MTC) accounts for approximately 2% of thyroid malignancies and 8% of thyroid cancer-related deaths in the USA [1, 2]. The first reported series of MTC was by Hazard in 1959 who described it as a tumor with a “solid and non-follicular histologic pattern, the presence of amyloid in the stroma, and a high

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incidence of lymph node metastases” [3]. Seven years later, Williams reported that MTC was derived from parafollicular cells and postulated that these cells may be responsible for the production of calcitonin [4]. In 1985, Takahashi and colleagues discovered the *RET* (RE arranged during transfection) oncogene [5], and by 1993–1996, it was known that *RET* mutations are responsible for virtually all cases of MEN2A and MEN2B and that somatic *RET* mutations are present in a significant proportion of sporadic MTC [6-11]. In this review, we will examine the genomics and epigenomics of sporadic and familial MTC, along with the prognostic significance of molecular alterations, management of patients with germline *RET* mutations, and treatment strategies for MTC patients.

Sporadic Medullary Thyroid Carcinoma

Sporadic MTC accounts for up to 75% of cases. The average age at diagnosis is 45–55 years, and there is a slight female predominance [12, 13]. Approximately half of patients with sporadic MTC have lymph node metastases at diagnosis and 15% have distant metastases [13]. Stage is the strongest independent predictor of survival on multivariate analysis [13-15], with 10-year survival rates of approximately 95%, 75%, and 40% for patients with tumors confined to the thyroid, those with regional stage disease, and those with distant metastases, respectively [15].

The most frequent molecular alterations detected in sporadic MTC are activating *RET* mutations. *RET* is a 21-exon gene located on the long arm of chromosome 10 (10q11.2) [16]. It encodes a tyrosine kinase transmembrane receptor and is involved in the normal development of the central and peripheral nervous systems as well as the genitourinary system and, in adults, is predominantly expressed in neural-crest-derived tissues [17-19]. *RET* activates multiple pathways including the mitogen-activated protein kinase (MAPK) and the phosphoinositide 3-kinase (PI3K) pathways (among others), which, in turn, promote cell growth, proliferation, survival, and differentiation [20]. The RET receptor is composed of an N-terminal extracellular domain (with four cadherin-like regions and a cysteine-rich region), a transmembrane domain, and a cytoplasmic domain with two tyrosine kinase domains [21, 22]. *RET* mutations in MTC all result in ligand-independent constitutive activation; however, the mechanism of action differs depending on the mutation. For example, the *RET*Cys634Arg mutation in exon 11 (a mutation in the cysteine-rich region of the extracellular domain) leads to formation of disulfide-bonded RET homodimers and subsequent constitutive activation [23], whereas the *RET*Met918Thr mutation in exon 16 (a mutation in the intracellular tyrosine kinase domain) results in autophosphorylation of the tyrosine kinase domain [24, 25]. Additionally, different *RET* mutations have different transforming activity. For example, the *RET*Met918Thr mutation has been shown to have higher transforming activity than other *RET* mutations [25].

RET mutations have been reported in approximately half of sporadic MTC [10, 26-31]. For example, in a recent large cohort of sporadic MTC analyzed by next-generation sequencing, 101 of 181 (56%) cases analyzed were found to have a *RET* mutation [27]. The most common *RET* mutation in sporadic MTC is the *RET*Met918Thr mutation (accounting for up to 80% of *RET* mutations in sporadic MTC) [10, 26-29, 31, 32]. The second-most affected codon is codon 634, with mutations at codon 634 accounting for

approximately 15% of *RET* mutations [28]. Other *RET* mutations that have been reported include additional mutations in the cysteine-rich region of the extracellular domain such as mutations at codons 611 and 618 (both in exon 10) and 620 and 630 (both in exon 11) and additional mutations affecting the intracellular tyrosine kinase domains such as mutations at codons 768 and 791 (both in exon 13) and codon 883 (in exon 15) [27, 28]. Deletions and complex *RET* alterations occur in a small percentage of sporadic MTC [27, 33, 34]. The prevalence of *RET* mutations depends on the size of the tumor [28, 31]. In a study interrogating for the *RET*Met918Thr mutation, it was found that the rate of this mutation was significantly less in medullary thyroid microcarcinomas, with this mutation detected in 11% of tumors under 1 cm in size compared with 59% of tumors over 3 cm [31]. Moreover, the *RET*Met918Thr mutation has a high frequency (present in over 70% of cases) in advanced disease (defined in the referenced study as patients with histologically unresectable locally advanced or metastatic MTC that is clinically symptomatic or shows radiographic disease progression) [33]. In the vast majority of sporadic MTC, a single *RET* mutation is detected; however, about 7% of advanced MTC demonstrate double *RET* mutations [33]. Interestingly, it has also been shown that *RET* mutations are not uniform throughout individual tumors or consistent across metastases in sporadic MTC [34, 35]. Romei and colleagues found that in 20% of sporadic MTC, there was a different *RET* mutation profile when comparing the primary tumor and its corresponding metastases, and in 8% of tumors, *RET* intratumor heterogeneity was observed [34]. Based on these findings, it seems that *RET*Met918Thr mutation may not be an early event in MTC tumorigenesis, but instead a mutation that arises during clonal evolution of the tumor, occurring within an established primary tumor or within a metastatic clone. Finally, about a quarter of sporadic MTC have chromosome 10 aneuploidy (trisomy and tetrasomy) in a variable percentage of cells that results in *RET* copy number alterations, with a higher prevalence of *RET* copy number alterations detected in *RET*-mutant MTC [36]. Ciampi and colleagues hypothesized that chromosome 10 aneuploidy might confer a higher rate of genomic instability thus facilitating the onset of the somatic *RET* mutations [36].

Tumors that are wild type for *RET* have a significant rate of *RAS* mutations [26, 27, 32, 37, 38]. *RAS* mutations are detected in approximately 70% of *RET* wild-type tumors, with *HRAS* mutations most common, *KRAS* mutations occurring at a lower frequency, and rare *NRAS* mutations [26, 27, 32]. For example, Ciampi and colleagues evaluated 181 sporadic MTC and found that *RAS* alterations were present in 24%, with 17% harboring *HRAS* mutations, 7% with *KRAS* mutations, and < 1% with an *NRAS* mutation [27]. Although *RET* and *RAS* mutations are nearly always mutually exclusive, rare tumors have been reported to have both a *RET* and a *RAS* mutation [27, 32]. Mutations in *RAS* genes are generally in hotspots, that is, codon 12 and 13 (exon 2) mutations and codon 63 (exon 3) mutations; however, exon 4 (non-hotspot) mutations also occur [26]. In studies utilizing next-generation sequencing, under 20% of sporadic MTC are wild-type for both *RET* and *RAS* [27, 37].

RET status has been shown to be prognostically significant in sporadic MTC. The presence of a *RET* mutation has been shown to correlate with increased stage at diagnosis, residual/recurrent disease, and survival [28, 33, 39, 40]. In a study by Elisei and colleagues evaluating 100 sporadic MTC patients with a 10.2-year mean follow-up, somatic *RET*

that MTC progression may depend on epigenetic factors that are independent of *RET* and *RAS* pathways. *TERT* promoter mutations are not present in MTC; however, *TERT* copy number gain and *TERT* promoter methylation have been reported in MTC, with *TERT* promoter methylation (which results in *TERT* expression and telomerase activation) reported to correlate with decreased disease-free and overall survival in MTC [48]. Overexpression of microRNA (miRNA) has been implicated in tumorigenesis via the down regulation of tumor suppressor genes [49]. Abraham and colleagues evaluated the miRNA profile of a cohort of sporadic and hereditary MTC and found that miRs-183 and 375 were overexpressed in sporadic versus hereditary MTC. Moreover, they found that overexpression of miRs-183 and 375 in MTC predicted lateral lymph node metastases and was associated with residual disease, distant metastases, and mortality [50]. Additionally, Aubert and colleagues reported that tumor expression levels of miR-21 and miR-183 were significantly higher in patients with sporadic MTC associated with lymph node metastases. Finally, Coelin and colleagues evaluated global DNA methylation levels in peripheral blood leukocytes in patients with sporadic and hereditary MTC and found that the global methylation level was higher in patients with sporadic versus hereditary disease (no association was identified between methylation and mutational profile, age at diagnosis, tumor size, or metastatic disease) [51]. Although the explanation for this finding is not known, the authors speculated that a potential explanation might be that while hereditary MTC is triggered by a germline driver mutation, the development of sporadic MTC may depend more on environmental factors which affect the methylation status.

Familial (Inherited) Medullary Thyroid Carcinoma

As noted, up to 75% of MTC arise spontaneously, but notoriously, this tumor type is linked with familial disease that accounts for the remaining incidence. Unlike many cancers that have loss of function mutations, these tumors harbor gain of function mutations in the *RET* proto-oncogene [52]. Thus, both sporadic (de novo) and inherited forms of MTC share the same driver, although there is a shifted timeframe to earlier occurrence with familial MTC. Additionally, familial MTC is often multifocal and arises in a background of C cell hyperplasia that can be highlighted with immunohistochemistry for calcitonin (Fig. 1). The inherited forms of MTC, which follow an autosomal dominant pattern of inheritance, are multiple endocrine neoplasia (MEN) types 2A and 2B and familial medullary thyroid carcinoma (FMTC), which is considered a subtype of MEN2A [53]. The more recently accepted classifications of the clinically distinct types of MEN2 syndrome are MEN2A and MEN2B. Within MEN2A, there are four variants: (1) MEN2A, classical type, represented by the presence of MTC and pheochromocytoma and/or hyperparathyroidism, or both; (2) MEN2A with cutaneous lichen amyloidosis; (3) MEN2A with Hirschsprung disease; and (4) familial medullary thyroid carcinoma-only where families or individuals present MTC-only. MEN2B accounts for 5–10% of cases of MEN2. Approximately 95% of patients with MEN2B harbor the aggressive *RET*M918T mutation and under 5% have an A883F mutation [54]. For patients with MEN2B, approximately 75% have de novo *RET* mutations, while 25% of cases occur in families with previous or current manifestations of MEN2B [54]. MEN2A comprises the remainder of MEN2 cases, with classical MEN2A the most common MEN2A variant. Of patients with classical MEN2A, 95% have *RET* germline

mutations that occur in codons 609, 611, 618, or 620 of exon 10 or codon 634 of exon 11 [54]. See Fig. 2 for the main mutations associated with MEN2A, MEN2B, and FMTC.

MTC is the hallmark of MEN types 2A, 2B, and FMTC, with essentially all patients developing MTC if untreated [55]. In addition to MTC, patients with MEN2A may develop pheochromocytomas, hyperparathyroidism, cutaneous lichen amyloidosis, Hirschsprung disease, and/or thickened corneal nerves [55, 56]. Those with MEN2B additionally develop pheochromocytoma, mucosal neuromas, ocular features (subluxation of lens, keratoconus), Marfanoid body habitus, constipation, and ganglioneuromatosis of the gastrointestinal tract [56]. MTC is the only manifestation of FMTC. See Table 1 for a summary of clinical characteristics of syndromes. Although there are about 90 known pathogenic variants associated with MEN2, they tend to cluster in hotspots in the extracellular domain and intracellular kinase domains, the location of which determines disease manifestation and aggression of clinical course. The American Thyroid Association (ATA) risk stratifies germline mutations [54]. Mutations are categorized as highest, high, and moderate (see Table 2), with aggressiveness based on the development of MTC at an early age, frequently in association with metastatic disease.

In inherited forms of MTC, the location of the mutation will determine not only the timeline of disease manifestation, but also suggest the appropriate intervention; earliest in those with MEN2B, who may manifest symptoms by 5 years of age but with evidence of C cell hyperplasia (nodular or diffuse) or medullary thyroid microcarcinoma even earlier [57]. As the vast majority of patients with MEN2B harbor the aggressive *RET*M918T mutation, if unrecognized, they typically die by the age of 21. They may be known to be at risk from birth, allowing for early surgical intervention within the first year or first months of life with total thyroidectomy and central lymph node dissection [54]. Most of these patients will have a minimum of C cell hyperplasia even before the age of 5. MEN2A patients present with MTC between 25 and 35 years of age, with lymph node metastases present in over 70%. If MEN2A patients are known, they will often start having serum calcitonin screens at birth, with total thyroidectomy before the age of 5 based on serum calcitonin levels or once serum calcitonin levels become elevated. Intervention may be done earlier by parental request, as these patients will inevitably develop MTC [54]. The age of onset in MEN2A is determined by the mutation present, with those with the exon 11 *RET* C634 mutation demonstrating an earlier onset than all other mutations (largely in exon 10). About 50% of these patients develop pheochromocytoma by the 5th decade with increasing incidence from there [58]. Hyperparathyroidism develops in approximately 30% of patients with variants involving C634. Given the clinical variability that depends on specific mutation present, it is recommended that all patients with a diagnosis of MTC have genetic testing performed. This is particularly important in the case of FMTC in which the only manifestation is MTC that presents at a mean of 45–55 years of age, that is, at an age similar to that seen with spontaneously occurring disease [57]. Regarding MEN2, a recent review proposed a “5P” strategy for MEN2 management involving prevention, prediction, personalization, psychological support, and participation in order to improve clinical outcomes [56]. This is particularly helpful as this constellation of diseases of which MTC is at the forefront have variable onset and manifestations, particularly from a young age, which will require adaptation by patients and their families. Moreover, early detection in familial cases of MTC

presents a host of early interventional opportunities with a keen awareness of concomitant or eventually to manifest disease processes that will not be eliminated by early thyroidectomy. Moreover, given the phenotypic spectrum with different germline *RET* mutations, the personalization aspect is of particular importance. Treatment strategies for MTC (described below) are similar whether the tumor is sporadic or familial. Obviously, the greatest chance for cure for MTC is the earliest possible intervention, which will be mutation-based. If total thyroidectomy with central neck dissection demonstrates C cell hyperplasia only or even medullary thyroid microcarcinoma with no evidence of nodal disease, a poor outcome has been avoided. Finally, although *RET* mutations underlie virtually all inherited forms of MTC, the identification of pathogenic variants in *MET* (p.Arg417Gln; 7q31.2) involving the extracellular Serna domain of the *MET* gene in two siblings with inherited MTC and wild-type *RET* has expanded the germline correlates of this disease [59].

Treatment of Medullary Thyroid Carcinoma

An understanding of the genomics has informed treatment strategies for MTC patients, with treatment modalities for advanced MTC becoming remarkably refined. However, first, it is important to recognize that not all patients with metastatic disease receive systemic therapy. When possible, metastases are surgically resected. Moreover, surveillance is appropriate for patients with elevated tumor markers only or a small metastatic burden. As indicated in the revised American Thyroid Association (ATA) guidelines on the management of MTC, “considering that metastatic MTC is incurable, the management goals are to provide loco-regional disease control, palliate symptoms of hormonal excess (such as diarrhea or Cushing’s syndrome), palliate symptomatic metastases (such as pain or bone fracture), and control metastases that threaten life (such as bronchial obstruction or spinal cord compression)” [54]. Thus, at this time, systemic treatment is typically reserved for patients with significant tumor burden and symptomatic or progressive metastatic disease according to RECIST (growth rate of MTC can be estimated from sequential imaging studies using response evaluation criteria in solid tumors [RECIST] that document incremental increases in tumor size over time) [54, 60].

Because cytotoxic chemotherapeutic regimens had low response rates [54], there was a need for targeted therapies for MTC. In 2011 and 2012 (on the basis of the phase III clinical trials that are described below), the Food and Drug Administration (FDA) approved two multi-kinase inhibitors (MKI) vandetanib and cabozantinib for the treatment of patients with advanced progressive MTC. Vandetanib is an oral inhibitor of RET kinase, vascular endothelial growth factor receptor, and epidermal growth factor receptor signaling. The prospective, randomized, double-blind, phase III trial conducted to compare the efficacy of vandetanib to placebo included 331 MTC patients and demonstrated a significantly improved progression-free survival (PFS) for the vandetanib arm (30.5 months, predicted median PFS) versus the placebo arm (19.3-month median PFS) [61]. Moreover, objective responses were observed in 45% of vandetanib-treated patients, with a predicted median duration of response of 22 months. *RET* mutation status was also evaluated in this trial. A *RET* mutation was present in 52%, no *RET* mutation was present in 3%, and the *RET* mutation status was unknown in 45% (there was a high number of patients with unknown *RET* mutation status because of inadequate DNA for analysis). The small number of *RET*-

negative patients meant that subgroup analyses of PFS and objective response rate by *RET* mutation status were inconclusive. However, in patients with sporadic MTC, a subgroup analysis of PFS by *M918T* mutation suggested that *M918T* mutation-positive patients had a higher response rate to vandetanib compared with *M918T* mutation-negative patients [61]. Cabozantinib is a tyrosine kinase inhibitor of hepatocyte growth factor receptor (MET), vascular endothelial growth factor receptor 2, and RET. A double-blind, phase III trial was conducted to compare cabozantinib with placebo in 330 patients with documented radiographic progression of metastatic MTC [62]. The study demonstrated an estimated median PFS of 11.2 months for cabozantinib versus 4.0 months for placebo. The response rate was 28% for cabozantinib, with a median estimated duration of response of 14.6 months. Prolonged PFS with cabozantinib was observed regardless of *RET* mutation status [62]; however, the results of a subsequent exploratory analysis of the phase 3 trial data that evaluated the influence of *RET* and *RAS* mutations on cabozantinib clinical activity suggested that cabozantinib provides the greatest clinical benefit in patients with MTC who have *RET*M918T or *RAS* mutations [63]. Despite these findings, there are currently no recommendations for treatment with vandetanib or cabozantinib based on *RET/RAS* status.

Although MKI therapy was a major advance, these drugs have overall modest response rates and significant toxicities. Moreover, with time, virtually all patients cease to respond to these drugs. As a result, there is interest in identifying other drugs to treat advanced MTC. Selpercatinib (formerly known as LOXO-292) is a selective RET inhibitor that inhibits activating *RET* mutations, *RET* fusions, and *RET*-acquired resistance mutations. Selpercatinib has been shown to demonstrate potent and selective anti-RET activity preclinically and was shown to be efficacious in a patient with *RET*M918T-mutant MTC metastatic to the liver and an acquired *RET*V804M gatekeeper resistance mutation [64]. A recent phase 1–2 trial evaluated selpercatinib in a cohort of 531 patients, including patients with *RET*-mutant MTC with or without a history of previous MKI therapy [65]. In 88 patients with *RET*-mutant MTC who had not previously received these therapies, the response rate was 73%, and 1-year progression-free survival was 92% (95% CI, 82 to 97). For the 55 patients with *RET*-mutant MTC who had previously received a MKI, 69% demonstrated a complete or partial response and the 1-year progression-free survival was 82%. Only 2% of patients discontinued treatment due to drug-related adverse events. The most common adverse event (not necessarily resulting in discontinuation) was hypertension. BLU-667 is a second selective RET inhibitor that in vitro has demonstrated at least tenfold increased potency over approved MKI against oncogenic *RET* variants and resistance mutants [66]. Clinical trials for selpercatinib and BLU-667 are accruing patients (ClinicalTrials.gov, identifiers [NCT03157128](https://clinicaltrials.gov/ct2/show/study/NCT03157128), and [NCT03037385](https://clinicaltrials.gov/ct2/show/study/NCT03037385), respectively); thus, more data will be available in the future. However, the results thus far are encouraging, suggesting that tumors that have ceased to respond to vandetanib and/or cabozantinib may show durable responses to targeted RET inhibitors even in the context of acquired resistance mutations. Beyond RET inhibition, based on evidence of mTOR pathway activation in MTC, there has been interest in targeting the mTOR pathway. Small phase 2 trials evaluating the efficacy of everolimus, an mTOR inhibitor, in patients with progressive metastatic or inoperable MTC reported limited results [67, 68]. However, it may be that a dual targeting strategy utilizing a RET kinase inhibitor and an mTOR inhibitor might be more effective. For example,

one MTC patient with progressive disease who received everolimus in combination with vandetanib showed a 25% tumor reduction [42]. Further clinical trials are needed to evaluate this treatment strategy. Finally, immune checkpoint inhibitors have not played a large role in MTC treatment thus far, although combination trials are underway to investigate stimulating this response [69].

Conclusion

It has been almost two decades since *RET* mutations were described in patients with MEN2A and MEN2B. Since that time, our understanding of the genomics and epigenomics of familial and sporadic MTC has deepened. An evolution in our ability to subtype disease and predict behavior and response to treatment has paralleled advances in molecular diagnostics. Further, a revolution in the development of small molecule pharmaceuticals that target specific gene products and gene-driven pathways without disruptions of broader, less specific physiologic functions has provided an opportunity for a more effective, more durable, and less morbid approach to treatment of patients with advanced MTC.

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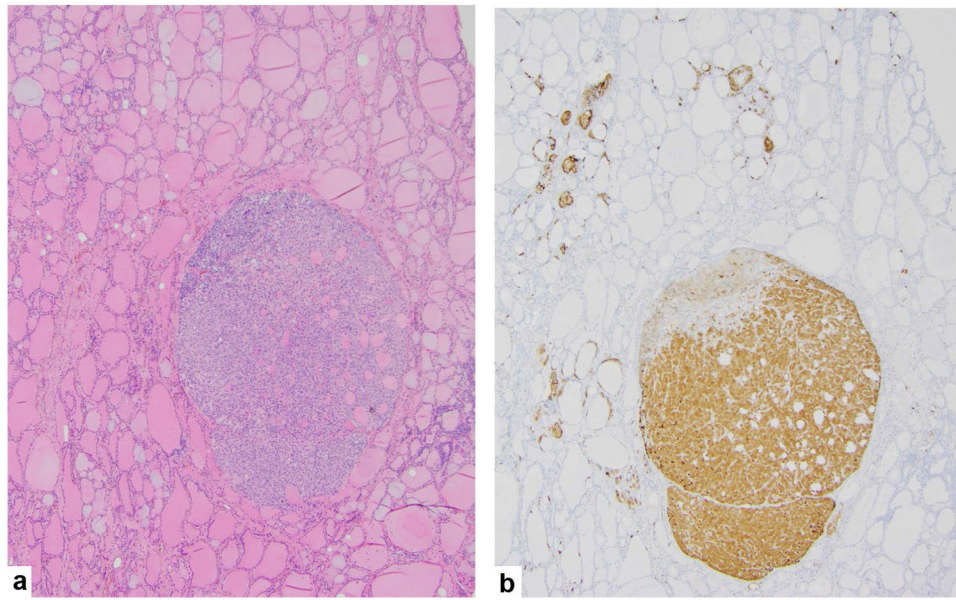


Fig. 1.
A familial medullary thyroid carcinoma **a** arising in the setting of C cell hyperplasia
highlighted with a calcitonin immunohistochemical stain **b**

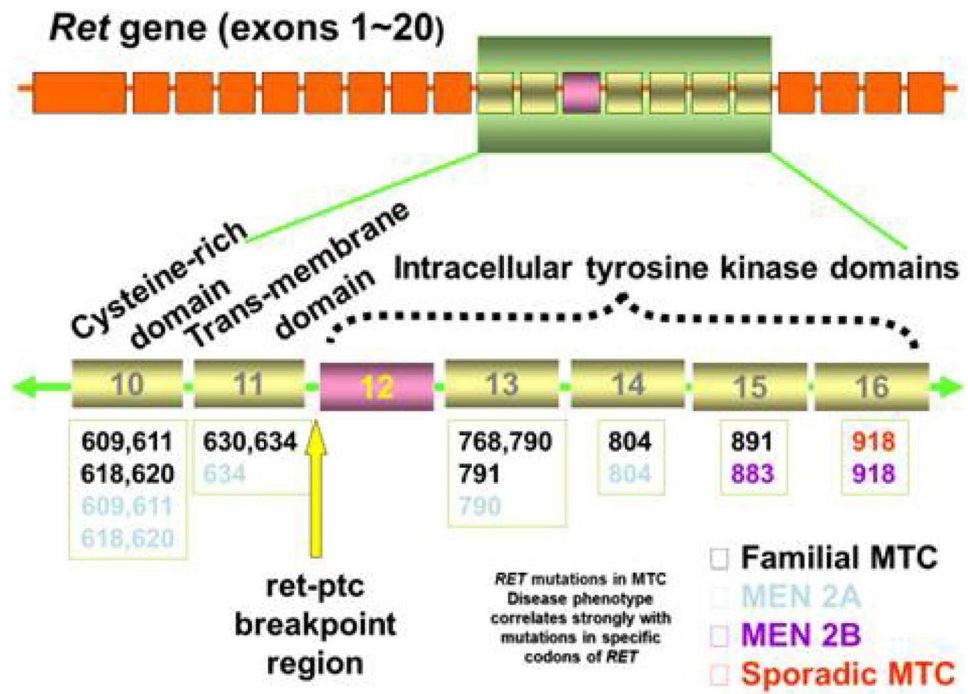


Fig. 2.
The most common *RET* mutations associated with familial medullary thyroid carcinoma

Clinical characteristics of familial syndromes associated with medullary thyroid carcinoma (MTC)

Table 1

	MEN-2A	MEN-2B	FMTC
% of inherited MTC	~ 65%	~ 5-10%	~ 25%
Presenting age (years)	25-35	10-20	Variable
Endocrine-related manifestations	MTC (95-100%) Pheo (50%) HPT (20-30%)	MTC (100%) Pheo (50%)	MTC (100%)
Other manifestations	CLA (~ 30% [codon 634-mutated]); Hirschsprung disease (< 1%)	Mucosal/intestinal neuroma (100%); Marfanoid habitus (100%)	None
% of MTC with metastasis	14% LN 3% D	38% LN 20% D	Variable

Pheo pheochromocytoma, *HPT* hyperparathyroidism, *CLA* cutaneous lichen amyloidosis, *LN* lymph node metastasis, *D* distant metastasis

Table 2American Thyroid Association (ATA) risk stratification for common *RET* mutations

2009 ATA risk level	2015 revised ATA risk level	Pathogenic variants
D	Highest	p.M918T
C	High	p.A883F p.C634F/G/R/S/W/Y
B	Moderate	Other pathogenic variants
A		

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