

# Molecular architecture of 40S translation initiation complexes on the hepatitis C virus IRES

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# Abstract

Hepatitis C virus mRNA contains an internal ribosome entry site (IRES) that mediates end-independent translation initiation, requiring a subset of eukaryotic initiation factors (eIFs). Biochemical studies revealed that direct binding of the IRES to the 40S ribosomal subunit places the initiation codon into the P site, where it base pairs with eIF2-bound Met-tRNAiMet forming a 48S initiation complex. Subsequently, eIF5 and eIF5B mediate subunit joining, yielding an elongation-competent 80S ribosome. Initiation can also proceed without eIF2, in which case Met-tRNAiMet is recruited directly by eIF5B. However, the structures of initiation complexes assembled on the HCV IRES, the transitions between different states, and the accompanying conformational changes have remained unknown. To fill these gaps, we now obtained cryo-EM structures of IRES initiation complexes, at resolutions up to 3.5 A, that cover all major stages from the initial ribosomal association, through eIF2-containing 48S initiation complexes, to eIF5Bcontaining complexes immediately prior to subunit joining. These structures provide insights into the dynamic network of 40S/IRES contacts, highlight the role of IRES domain II, and reveal conformational changes that occur during the transition from eIF2- to eIF5B-containing 48S complexes and prepare them for subunit joining.

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# Introduction

The canonical initiation process begins with formation of the 43S preinitiation complex (PIC) comprising the 40S ribosomal subunit, the eIF2·GTP/Met-tRNA,<sup>Met</sup> ternary complex (eIF2-TC), eIF1, eIF1A, and eIF3 (Jackson et al, [2010](#page-17-0)). The 43S PIC attaches to the capped 5'-terminal region of mRNA and then scans to the initiation codon

in a favorable nucleotide context (containing  $A/G$  and  $G$  at the  $-3$ and +4 positions relative to the AUG, respectively) where it stops and forms the 48S initiation complex (IC) with established codon– anticodon base pairing. Attachment is mediated by eIFs 4A, 4B, and eIF4F, which cooperatively unwind the cap-proximal region allowing attachment and also assist 43S PIC scanning. eIF1, in cooperation with eIF1A, stabilizes an "open" scanning-competent conformation of the 43S PIC and monitors the fidelity of initiation codon selection (Pestova et al, [1998a;](#page-18-0) Pestova & Kolupaeva, [2002](#page-18-0); Passmore et al, [2007;](#page-18-0) Hussain et al, [2014](#page-17-0)). Establishment of codonanticodon base pairing in the 48S IC leads to dissociation of eIF1 and eIF5-induced hydrolysis of eIF2-bound GTP, and thereby switches the 40S subunit to the "closed" conformation (Unbehaun et al, [2004;](#page-18-0) Maag et al, [2005\)](#page-17-0). After that, eIF5B, in its GTP-bound form, displaces residual eIF2GDP (Pisarev et al, [2006](#page-18-0)) and promotes the joining of the 60S subunit (Pestova et al, [2000\)](#page-18-0). Interaction of eIF5B with eIF1A enhances eIF5B's subunit joining activity and the hydrolysis of eIF5B-bound GTP, leading to the coupled release of eIF5B-GDP and eIF1A from the assembled 80S ribosome (Marintchev et al, [2003](#page-17-0); Acker et al, [2006](#page-16-0); Nag et al, [2016](#page-17-0)).

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A number of viral mRNAs contain internal ribosomal entry sites (IRESs), structured RNA regions that mediate cap-independent initiation of translation using only a subset of the eIFs that are required by canonical initiation. All IRES-mediated initiation mechanisms are based on noncanonical interactions of IRESs with canonical components of the translation apparatus (Jackson *et al*, [2010\)](#page-17-0). The  $\sim$  300nt-long hepatitis C virus (HCV) IRES is located in the 5'-terminal region of the viral genome and epitomizes a class of related RNA elements. HCV-like IRESs occur in the genomes of pestiviruses (e.g., classical swine fever virus (CSFV)), some pegiviruses and caliciviruses, and numerous members of Picornaviridae (Arhab et al, [2020,](#page-16-0) [2022\)](#page-16-0). The HCV IRES comprises two major domains (II– III), with domain III divided into several subdomains (Fig [1A\)](#page-2-0). Ribosomal recruitment of HCV and HCV-like IRESs occurs by direct binding of the IRES to the 40S subunit and does not involve scanning, group 4 eIFs, or eIF1 (Pestova et al, [1998b\)](#page-18-0). Domain III binds at the back of the 40S subunit, whereas the long, bent domain II loops out and reaches into the E site. The sites of interaction with the 40S subunit include domains IIIa and IIIc (which bind to eS1, eS26, and

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eS27), the apex of domain IIId (which base pairs to expansion segment (ES) 7 of 18S rRNA), domain IIIe (which interacts with helix (h) 26 of 18S rRNA), and the apex of domain II, which interacts with uS7 and eS25 in the head and uS11 on the platform of the 40S subunit, intercalating into the mRNA-binding channel, and causing tilting of the head and forcing the 40S subunit to adopt the open conformation (Kolupaeva et al, [2000](#page-17-0); Kieft et al, [2001;](#page-17-0) Hashem et al, [2013](#page-17-0); Malygin et al, [2013a,](#page-17-0) [2013b;](#page-17-0) Matsuda & Mauro, [2014;](#page-17-0) Quade et al, [2015](#page-18-0); Yamamoto et al, [2015;](#page-18-0) Angulo et al, [2016;](#page-16-0) Yokoyama et al, [2019\)](#page-18-0).

Although domain II does not contribute to the affinity of the HCV IRES to the 40S subunit (e.g., Kieft et al, [2001;](#page-17-0) Spahn et al, [2001\)](#page-18-0), the open conformation of the 40S subunit promoted by domain II facilitates loading of the region containing the initiation codon into the mRNA-binding channel, accounting for the stimulatory role of domain II during initiation on HCV-like IRESs (Honda et al, [1996;](#page-17-0) Reynolds et al, [1996](#page-18-0); Odreman-Macchioli et al, [2001;](#page-17-0) Filbin & Kieft, [2011\)](#page-17-0). Upon binding to the 40S subunit, the initiation codon is placed in the immediate vicinity of the P site, where it base pairs with the anticodon of Met-t $\text{RNA}_{\text{i}}^{\text{Met}}$  as a part of the eIF2-TC, leading to the formation of the 48S IC (Pestova et al, [1998b](#page-18-0)). After that, eIF5 and eIF5B mediate the subunit joining step to complete the formation of the elongation-competent 80S ribosome (Locker et al, [2007](#page-17-0); Pestova et al, [2008](#page-18-0); Terenin et al, [2008\)](#page-18-0). Notably, in 80S complexes assembled on the HCV IRES, the P-site Met-tRN $A_i^{Met}$ , and eIF5B·GTP, which correspond to the last stage in the initiation process prior to the formation of an elongation-competent ribosome, the tilt of the 40S subunit is reversed, and the apex of domain II is released from its position on the head of the 40S subunit (Yamamoto et al, [2014](#page-18-0)). Remarkably, when levels of active eIF2 are reduced due to stress-induced phosphorylation, Met-t $\text{RNA}_{\text{i}}^{\text{Met}}$  can be recruited by eIF5B instead of the IRES/40S complexes (Pestova et al, [2008](#page-18-0); Terenin et al, [2008\)](#page-18-0). In both eIF2- and eIF5B-mediated pathways, eIF1A enhances 48S complex formation (de Breyne et al, [2008](#page-17-0); Jaafar et al, [2016](#page-17-0)), whereas eIF1 inhibits the process and even induces dissociation of preassembled 48S ICs, but this inhibition can be alleviated by deletion of domain II (Pestova et al, [2008](#page-18-0)).

In addition to 40S subunits, HCV and related IRESs also bind to eIF3 via their apical IIIa and IIIb domains (Sizova et al, [1998;](#page-18-0) Pestova et al, [1998b](#page-18-0); Ji et al, [2004](#page-17-0); Hashem et al, [2013\)](#page-17-0). Strikingly, in 40S/IRES/eIF3 complexes, HCV-like IRESs displace eIF3 from its ribosomal position (Hashem et al, [2013](#page-17-0)), usurping eIF3's key ribosomal contacts involving eS1, eS26, and eS27 (des Georges et al, [2015\)](#page-17-0). Moreover, the ribosome-binding surface of eIF3 is now involved in interaction with the IRES (Hashem et al, [2013](#page-17-0)). In in vitro reconstituted initiation reactions, eIF3 only modestly enhances 48S complex formation on HCV-like IRESs (Pestova et al, [1998b;](#page-18-0) Hashem et al, [2013\)](#page-17-0), which led to the suggestion that in vivo, the role of the eIF3/IRES interaction is likely to relieve the competition between the IRES and eIF3 for a common ribosomal binding site, and to reduce the formation of 43S PICs, thereby favoring translation of viral mRNAs (Hashem et al, [2013](#page-17-0)).

Cryo-EM studies have been indispensable in providing insights into the architecture and interactions of HCV and HCV-like IRES ribosomal complexes, and the mechanism of the IRES function, initially through low-resolution 40S/HCV IRES and 80S/HCV IRES structures (Spahn et al, [2001;](#page-18-0) Boehringer et al, [2005\)](#page-16-0) and continuing with sub-nanometer resolution structures of 40S/eIF3/CSFV IRES and 80S/Met-tRNA<sup>Met</sup>/eIF5B·GMPPNP/HCV IRES functional complexes (Hashem et al, [2013](#page-17-0); Yamamoto et al, [2014](#page-18-0)), and the more recent near-atomic resolution reconstructions of 80S-HCV IRES complexes (Quade et al, [2015](#page-18-0); Yamamoto et al, [2015;](#page-18-0) Yokoyama et al, [2019\)](#page-18-0). However, despite these advances, the structures of 48S ICs assembled on the HCV IRES, and the transitions between different states in the initiation pathways and accompanying conformational changes have remained unknown. To fill these gaps, we present cryo-EM structures of HCV IRES ribosomal complexes up to 3.5 A resolution that cover all major stages of IRES-mediated initiation pathways, from IRES binding to the 40S subunit through eIF2 containing 48S ICs to the final eIF5B-containing 48S ICs immediately prior to the joining of the 60S subunit. Individually, these structures also provide detailed insights into the dynamic network of contacts between the IRES and the 40S subunit, highlight the role of IRES domain II, and importantly, include the first structure of eIF5B bound to the 40S subunit, prior to subunit joining.

# Results

## Overview of cryo-EM analysis of initiation complexes assembled on the wt and the  $\Delta$ dII HCV IRES with eIF2 or eIF5B

To capture discrete states in the eIF2- and the eIF5B-mediated initiation pathways on the HCV IRES and to visualize the role of IRES domain II in these processes, initiation complexes were reconstituted in vitro by incubating the wt or the  $\Delta$ dII mutant IRES (Fig [1A\)](#page-2-0) with individual purified translation components. To follow the eIF2 mediated pathway, assembly mixtures contained the  $wt$  or the  $\Delta dH$ IRES, 40S subunits, eIF2, eIF3, eIF1A, and Met-tRNA<sub>i</sub><sup>Met</sup>, and to follow the eIF5B-mediated pathway, eIF2 was replaced by eIF5B, thus yielding four discrete sample types (Appendix Table S1). Cryo-EM grids of each complex were imaged at 300 kV producing highcontrast micrographs with easily identifiable 40S ribosomal particles (Appendix Fig S1A–E and Table S2). The images were processed using maximum-likelihood classification techniques implemented in Relion 3.1 (Scheres, [2012](#page-18-0), [2016](#page-18-0); Zivanov et al, [2018,](#page-19-0) [2019\)](#page-19-0), yielding 18 structures containing different sets of components at resolutions as high as  $3.5 \text{ Å}$  (Figs [1B](#page-2-0) and EV1A, Appendix Figs S1F and G, and S2, Table S3). Although some flexible regions had a poor local resolution (e.g., eS12 in the 40S head or IRES domain IIIb), most of the ribosomes, all IRES-ribosome contacts, and all initiation factors present had resolutions, between  $3-7$  Å (Appendix Figs  $S2$ and S3A–F), that allowed modeling of these components. None of the structures obtained contained eIF3. During initiation on HCVlike IRESs, eIF3 interacts with the apical region of IRES domain III rather than with the 40S subunit (Hashem et al, [2013\)](#page-17-0). This interaction is sensitive to the process of grid preparation and is more stable when grids have thicker ice, so that imaging complexes that contain eIF3 require the intentional selection of regions with sufficiently thick ice (e.g., Hashem et al, [2013;](#page-17-0) Neupane et al, [2020\)](#page-17-0); however, our study aimed to determine the details of ribosomal interactions with the IRES, initiation factors, and Met-tRNA $_i^{\text{Met}}$  at high resolution, which relies on imaging in regions with thinner ice. Employing an imaging strategy that is unlikely to capture eIF3-containing states

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Figure 1. Overview of HCV IRES-mediated initiation complexes.

A Secondary structure of the HCV IRES, annotated to show individual elements.

B Segmented maps of the indicated ribosomal complexes assembled on the wt or  $\Delta$ dII HCV IRES, showing the 40S subunit (yellow), IRES (red), eIF1A (blue), MettRNA;<sup>Met</sup> (magenta), and initiation factors eIF2 (green) or eIF5B (cyan).

does not affect data interpretation because all complexes studied can be assembled efficiently without eIF3 (Pestova et al, [1998b,](#page-18-0) [2008\)](#page-18-0) and because the structure of the IRES is not affected by the binding of eIF3 (Sizova et al, [1998](#page-18-0)).

The structures obtained comprise the  $40S/IRES_{\Delta dII}$  binary complex in various conformational states (structures  $1_{\text{AdII}}-9_{\text{AdII}}$ ); the  $40S/IRES<sub>wt</sub>$  binary complex in a single conformational state (structure  $10<sub>wt</sub>$ ); the  $40S/eIF1A/IRES<sub>wt</sub>$  ternary complex (structure  $11<sub>wt</sub>$ ); 48S ICs containing 40S subunits in the closed conformation, eIF2, Met-tRNA $_{\rm i}^{\rm Met}$ , eIF1A, and the  $wt$  or the  $\Delta$ dII IRES (structures  $12_{\rm wt}$ and  $12_{\text{AdII}}$ ); 48S complexes containing the wt or the  $\Delta$ dII IRES basepaired with the P-site Met-t $\text{RNA}_{\text{i}}^{\text{Met}}$  but lacking eIF2 and thus likely mimicking the stage after eIF2 dissociation following hydrolysis of GTP (structures  $13<sub>wt</sub>$  and  $13<sub>AdII</sub>$ ); the pre-48S IC containing 40S subunits in the open conformation, eIF5B, eIF1A, and the wt IRES basepaired with P-site Met-t $\text{RNA}_i^\text{Met}$  and IRES dII inserted into the E site (structure 14  $_{\text{wt}}$ ); and 48S ICs containing 40S subunits in the closed conformation, eIF5B, eIF1A, Met-tRNA $i^{\text{Met}}$ , and the  $wt$  or the  $\Delta dH$ IRES (structures  $15<sub>wt</sub>$  and  $15<sub>\Delta dII</sub>$ ; Figs [1B](#page-2-0) and EV1A).

Thus, the structures obtained cover the entire initiation pathway, from the initial binding of the IRES to the 40S subunit to the formation of the eIF5B-containing 48S complex prior to subunit joining.

### Stepwise binding of the IRES to the 40S subunit

All previously published structures of the IRES showed domains IIIa/IIIc/IIId/IIIe/IIIf in an identical conformation on the platform side of the 40S subunit, regardless of the presence or absence of domain II (Spahn et al, [2001](#page-18-0); Quade et al, [2015](#page-18-0); Yamamoto et al, [2015](#page-18-0); Weisser et al, [2017;](#page-18-0) Yokoyama et al, [2019](#page-18-0)). Although domain II is required for efficient translation (e.g, Reynolds et al, [1996](#page-18-0); Filbin & Kieft, [2011\)](#page-17-0) its contribution to IRES binding to the ribosome is minor and binding occurs with similar affinity for both the wt and  $\Delta$ dII IRES (Kieft et al, [2001](#page-17-0); Ji et al, [2004\)](#page-17-0). For both  $wt$  and  $\Delta$ dII IRES, their structures show binding to the 40S subunit platform involves multiple contacts formed by regions of the IRES (domains IIIa, IIIc, IIId, IIIe, and helix (h) S2) and ribosomal proteins eS1, eS27, and eS28, and h26 in ES7 of 18S rRNA (e.g., Quade et al, [2015](#page-18-0); Weisser et al, [2017](#page-18-0); Yamamoto et al, [2015](#page-18-0); Fig EV1B). Deletion or mutation of these domains (IIIa-f) impairs ribosomal binding of the IRES to different extents, reflecting their cumulative importance (Kieft et al, [2001;](#page-17-0) Ji et al, [2004](#page-17-0)). In contrast to all previous structures of the HCV IRES, we observed a small proportion of  $40S/IRES$  binary complexes formed on the  $\Delta dI$ I IRES with conformational differences in the individual positions of domains IIIa/IIIc/ IIId/IIIe/IIIf (structures  $1_{\Delta dII}$ -6 $_{\Delta dII}$ ). Examination of these complexes (structures  $1_{\text{AdII}}-5_{\text{AdII}}$ ) revealed the  $\Delta$ dII IRES undergoing a transition from a minimally associated state (structure  $1_{\Delta dII}$ ) to the canonically bound conformation observed here (structures  $6<sub>AdII</sub>$  and  $7<sub>AdII</sub>$ - $15<sub>wt/AdII</sub>$  and elsewhere (e.g., Spahn *et al*, [2001](#page-18-0); Quade *et al*, [2015;](#page-18-0) Yamamoto et al, [2015](#page-18-0); Weisser et al, [2017](#page-18-0); Yokoyama et al, [2019\)](#page-18-0). By ordering structures  $1_{\Delta dII}$ -6 $_{\Delta dII}$  based on the number of IRES-40S subunit contacts we inferred a putative sequence of binding events between the  $\Delta$ dII IRES and the 40S subunit (Fig [2A](#page-4-0) and Appendix Table S4).

Comparison between the least- and the most-bound states (structures  $1_{\text{AdII}}$  and  $6_{\text{AdII}}$ ) shows that the IRES domains undergo displacement of varying extents during IRES binding (Fig [2B\)](#page-4-0). Across all structures, the most uniform regions of the IRES are the linked domains IIIa and IIIc (Fig [2A and B](#page-4-0)), which are known to contact eS27 via nt. 163 and 233 (Quade et al, [2015](#page-18-0); Yamamoto et al, [2015\)](#page-18-0). Given that we identified no complexes in which domain IIIa/IIIc showed major conformational differences regardless of the conformation of the rest of the IRES, the impairment of IRES activity by nucleotide substitutions in these domains (e.g., Tang et al, [1999](#page-18-0); Kieft et al, [2001\)](#page-17-0), suggests that the observed interactions between domain IIIa/IIIc and eS27 are critical for correct IRES binding to the 40S subunit and hence for function. Other IRES domains are more dynamic. Thus, to transition from the least- to the most-bound states involves the translation of domain IIId by 10.6 Å toward the intersubunit face and domains  $\frac{h}{I_1/IIIe/IIIf}$  by 23.3 A toward the mRNA exit channel, whereas domains IIIa/IIIc move by 5.9  $\AA$  (Fig [2B](#page-4-0)). The relative differences in the displacement of these domains show how the IRES attaches to the ribosome in a zipper-like fashion by building consecutive contacts from the stable domain IIIa/IIIc region to the terminal domains  $\frac{h}{I}$ III<sub>1</sub>/IIIe/IIIf.

The local resolution was sufficient to identify specific 40S/IRES contacts and to follow their dynamics in structures  $1_{\text{AdII}}-6_{\text{AdII}}$ . The IRES fully bound to 80S ribosomes form six interactions with ES7: four Watson-Crick base pairs between ES7 nt.  $U_{1115}$  and  $C_{1116-1118}$ and IRES domains hIII1  $(A_{136})$  and IIId  $(GGG_{266-268})$ , respectively; a reverse Hoogsteen base pair between ES7 nt.  $U_{1114}$  and domain IIIe  $(A_{296})$ ; and a stacking interaction between ES7 nt.  $U_{1114}$  and domain IIIe (G295; Quade et al, [2015](#page-18-0); Yamamoto et al, [2015\)](#page-18-0). Although this network of interactions is present in structures  $6<sub>AdII</sub>$  and  $7<sub>AdII</sub>$ -15<sub>wt/</sub>  $\Delta$ dII, the full complement was not observed during the early-stage association of the IRES with the 40S subunit (structures $1_{\text{AdII}}-5_{\text{AdII}}$ ; Fig  $2B$  and Appendix Table S4). Initially, structure  $1_{\text{AdII}}$  showed only two of the six canonical interactions with ES7, namely the base pairs between domain IIId  $(G_{267-268})$  and ES7 nt.  $C_{1116-1117}$ . The position of domain IIId in structure  $1_{\text{AdII}}$  also allows some interaction between this domain and  $\alpha$ -helix 6 in eS1, a region that contains Lys199, a residue that interacts with domain IIIe in the fully bound IRES (Quade et al, [2015](#page-18-0); Yamamoto et al, [2015\)](#page-18-0). These contacts between ES7 and domain IIId, along with the domain IIIa/IIIc interactions with eS27 are the only bonds between the IRES and the 40S subunit in this complex. Structure  $2_{\text{AdII}}$  maintains all of these contacts, but the repositioning of domain IIId by 4.4 A relative to structure  $1_{\text{Ad}I}$  allows for the formation of the third Watson-Crick base pair, between ES7 ( $C_{1118}$ ) and domain IIId ( $C_{266}$ ). In the following complex (structure  $3_{\Delta dII}$ ), domain IIId moves closer to the 40S platform by 2.8 A;  $hS1/hS2/hIII_1/IIIe/IIIf$  moves by 5.0 A, whereas the position of the stable domain IIIa/IIIc changes by only 1.5 A. This repositioning breaks none of the contacts observed in structure  $2_{\text{AdII}}$  and allows the formation of a stacking interaction between domain IIIe  $G_{295}$  and ES7  $U_{1114}$ . The subsequent complex, structure  $4<sub>AdII</sub>$ , maintains all previous contacts and forms the fifth interaction with ES7, between domain IIIe  $(A_{296})$  and ES7  $(U_{1114})$ . Globally, the IRES domains continue to move closer to their canonically bound positions, with domain IIId shifting by 2.8 A and domains hS1/ hIII1/IIIe/IIIf moving by 4.7 Å compared with structure  $3<sub>AdII</sub>$ . Although structures  $5_{\text{AdII}}$  and  $6_{\text{AdII}}$  have both formed the final canonical contact, a base pair between domain hIII<sub>1</sub> ( $A_{136}$ ) and ES7  $(U_{1115})$ , these complexes differ by the position of hS1 and the degree of accommodation of hS2/IIIf, with structure  $6<sub>AdII</sub>$  resembling the fully accommodated state (e.g., structures  $7_{\Delta dII}$ -15<sub>wt/ $\Delta dII$ </sub>). Structures

<span id="page-4-0"></span>

### Figure 2. Initial events during binding of the HCV IRES to the 40S subunit.

A IRES models from structures 1<sub>AdII</sub>-6<sub>AdII</sub> aligned on the 40S subunit. IRES domains and ribosomal proteins eS1 (blue), eS27 (magenta), and 18S rRNA ES7 (yellow) are indicated (inset).

B Comparison between minimally bound (structure  $1_{\Delta(d)1}$ ) and fully bound (structure  $6_{\Delta(d)1}$ ) IRES complexes showing the displacement of the indicated IRES domains (cyan arrows).

C RMSD (Å) of 18S rRNA for complexes shown directly underneath in (D) relative to the minimally bound state (structure 1<sub>AdII</sub>), color-coded as in the inset key. The angle formed between helix 33 (h33') and helix 28 (h28') in structure  $1_{\Delta{\rm{dli}}}$  and helix 33 (h33'') in other complexes is indicated.

D Segmented maps for the indicated complexes showing the 40S subunit (yellow) and IRES (red) organized in a putative sequence showing the minimally bound state (structure 1<sub>AdII</sub>), canonical IRES binding (structure  $6_{AdII}$ ), and the induction of opening of the head of the 40S subunit (structures 7<sub>AdII</sub>-9<sub>AdII</sub>).

 $5<sub>AdII</sub>$  and  $6<sub>AdII</sub>$  are also the first complexes in which the IRES is in a position to form a hydrogen bond between Asn147 in eS1 and the phosphate backbone of  $GG_{300-301}$ , an interaction that is maintained in all subsequent complexes.

Taken together, this series of structures  $(1_{\text{AdII}}-6_{\text{AdII}})$  indicates that domains IIIa/IIIc, the first elements of the IRES to form canonical contacts with the 40S subunit, act as a pivot to complete the docking of domain IIId and  $\frac{h}{I_1}$ /IIIe/IIIf onto ES7. These structures  $(1_{\text{AdII}}-1_{\text{AdII}})$  $6<sub>AdII</sub>$ ) may potentially represent transient states in the binding of both the  $\Delta$ dII and  $wt$  IRES, which we were able to capture in samples prepared using the  $\Delta$ dII IRES because the altered kinetic landscape of the initiation pathway in the absence of domain II allowed them to accumulate.

An important corollary of IRES binding is the conformational changes that occur in the 40S subunit. Whereas complexes with an incompletely accommodated IRES (structures  $1_{\text{AdII}}-5_{\text{AdII}}$ ) contain 40S subunits in the same closed conformation, ribosomal structures with the full complement of IRES/40S contacts (structure  $6_{\Delta dII}$ - $9_{\Delta dII}$ )

show striking differences in the position of the head, from the closed conformation in structure  $6<sub>AdII</sub>$  (matching the head position in structures  $1_{\text{AdII}}-5_{\text{AdII}}$ ) to the fully open state in structure  $9_{\text{AdII}}$  (Fig [2C and](#page-4-0) [D](#page-4-0)). Although structures  $7<sub>AdII</sub>-9<sub>AdII</sub>$  have a canonically bound IRES with domain III contacting eS1, eS27, and ES7 as in structure  $6<sub>AdII</sub>$ , they show large-scale conformational changes to the head as the 40S subunit transitions from semi-closed (structure  $7_{\text{AdII}}$ ) to fully open (structure  $9_{\Delta dII}$ ) states. Thus, structure  $7_{\Delta dII}$  opens by 3.9°, structure  $8_{\text{AdII}}$  by  $8.1^{\circ}$ , and structure  $9_{\text{AdII}}$  by  $10.0^{\circ}$  compared with the conformation of the 18S rRNA in structures  $1_{\text{AdII}}-6_{\text{AdII}}$ . These global changes to the position of the 40S head are reflected in changes in the P site as the distance between  $U_{1248}$  and  $C_{1701}$ increases from 7.3 Å, to 9.9 Å and finally to 11 Å in structures  $7_{\Delta dII}$ ,  $8_{\Delta dII}$ , and  $9_{\Delta dII}$ , respectively.

Thus, even in the absence of domain II, the establishment of the full complement of IRES/40S contacts results in the transition of the 40S subunit from the closed to the open state, which is required for the accommodation of the initiation codon and surrounding regions in the mRNA-binding channel.

#### Accommodation of the IRES in the mRNA-binding channel

In contrast to 40S/IRES binary complexes assembled on the  $\Delta d$ II IRES, which showed differences in the conformation of the 40S subunit head and IRES position, binary complexes assembled on the wt IRES yielded a uniform structure that was refined to 3.8 A resolution from 119,320 particles (structure  $10<sub>w</sub>$ ; Fig [1B\)](#page-2-0). Both the conformation of the 40S subunit and its contacts with IRES domains IIIa/IIIc/ IIId/IIIe/IIIf in structure  $10<sub>wt</sub>$  are identical to those in structure  $9<sub>AdII</sub>$ (Fig EV2A and B). Structure  $10<sub>wt</sub>$  was assembled using the wt IRES, and so, domain II is present and inserted into the 40S subunit E site, in a conformation identical to that observed in IRES/80S complexes (Quade et al, [2015;](#page-18-0) Yamamoto et al, [2015](#page-18-0)). Superposition of structure  $10<sub>wt</sub>$  and a closed-state 40S subunit shows that in the latter, steric clashes between uS7 and domain II would prohibit insertion of this domain into the E site. Thus, domain II prevents the 40S subunit from returning to the closed state.

For the wt IRES, we also obtained the structure of the 40SIRESeIF1A ternary complex that was refined to 3.8 A resolution from 204,782 particles (structure  $11_{wt}$ ; Fig [1B\)](#page-2-0). The conformation of the 40S subunit and the position of the IRES were identical to those in the  $40S/IRES_{wt}$  binary complex (structure  $10_{wt}$ ; Figs [1B](#page-2-0) and EV2B). The complex clearly shows density for eIF1A located between 18S rRNA h44 and the ribosomal proteins eS30 and uS12, allowing us to model the OB domain and the C-terminal subdomain of eIF1A (residues 22-122). Although structure  $11_{wt}$  lacks tRNA and the 40S subunit is in the open state, the position and conformation of eIF1A on the ribosome are identical to those in canonical 48S complexes (Brito Querido et al, [2020;](#page-17-0) Simonetti et al, [2020\)](#page-18-0).

As expected, binary complexes assembled on the  $\Delta$ dII IRES and containing the 40S subunit in the closed conformation  $(1_{\text{AdII}}-6_{\text{AdII}})$ do not have mRNA in the mRNA-binding channel. However, although structures  $9_{\Delta dII}$ ,  $10_{\rm wt}$ , and  $11_{\rm wt}$  all have 40S subunits in the identical open conformation that is required for loading mRNA into the channel, they differ strongly in the degree of accommodation of the initiation codon and surrounding regions (Fig EV2A and B). In the 40S/IRES $_{\text{AdII}}$  binary complex (structure  $9_{\text{AdII}}$ ) there is clear density for mRNA only in the exit portion of the channel up to the  $(-8)$ 

position (HCV nt 334; Fig [3A\)](#page-6-0). The wt 40S/IRES binary complex (structure  $10<sub>wt</sub>$ ), on the other hand, shows density corresponding to mRNA from the exit portion, through the E site, where it is stabilized by domain II, to  $AU_{342-3}$  in the P site (Fig [3B](#page-6-0)). The (+3) nucleotide,  $G_{344}$ , could not be identified due to disorder in the map. Although there is additional mRNA density in the mRNA channel  $\sim$  8 Å from the (+3) P-site nucleotide, the identity of these nucleotides does not correspond to those that immediately follow the start codon, and this density extends 20 A out from the mRNA channel, suggesting that after the P site, the mRNA is looped out. Thus, the presence of domain II results in accommodation of the additional nine nucleotides from the mRNA exit to the P site (i.e., from  $G_{335}$  to  $U_{343}$ ), while density corresponding to mRNA located downstream from the P site is likely a mixture of different sequence registers of mRNA. Strikingly, the presence of eIF1A in ribosomal complexes (structure  $11_{\text{wt}}$ ) resulted in the accommodation of mRNA along the entire mRNA-binding cleft (Fig [3C\)](#page-6-0). Examination of critical P-site nucleotides for all complexes shows that in each case  $C_{1701}$  of 18S rRNA is in a single conformation, except for the binary complex prepared with the wt IRES (structure  $10<sub>wt</sub>$ ), where it is present in two states as determined by examination of the Coulomb potential around that nucleotide (Fig [3D](#page-6-0)). In the conformation of the second state,  $C_{1701}$  contacts the upstream mRNA base  $U_{343}(+2)$ , possibly contributing to stabilizing the mRNA when it has not been completely accommodated in the mRNA channel at the P site. The highly conserved  $C_{1698}$  (Prince et al, [1982](#page-18-0)) contacts downstream mRNA and may act as a sensor to stimulate  $C_{1701}$  adopting the second conformation in which it can stabilize the incompletely loaded mRNA.

Taken together, the structures of binary complexes assembled on  $\Delta$ dII and wt IRESs, and the eIF1A-containing complex assembled on the wt IRES provide structural insight into the roles of domain II and eIF1A in sequentially loading the mRNA channel. Even though the  $\Delta$ dII IRES induces the open conformation of the 40S subunit that is required for the accommodation of mRNA in the mRNA-binding channel, accommodation in this case is only partial, and the 40S subunit is not stably present in the open conformation. This is consistent, on one hand, with the ability of the  $\Delta$ dII IRES to function in initiation, but also, on the other hand, with it being less active than the wt IRES (e.g., Reynolds et al, [1996\)](#page-18-0). The presence of domain II results in accommodation of the initiation codon and the upstream region in the mRNA-binding channel, thereby enhancing the efficiency of initiation (Reynolds et al, [1996](#page-18-0)), whereas eIF1A promotes mRNA fixation downstream from the P site, accounting for its stimulatory role (Jaafar et al, [2016\)](#page-17-0).

### The structure of eIF2-containing 48S complexes assembled on the HCV IRES

The structures of eIF2-containing 48S ICs assembled on the wt and  $\Delta$ dII IRES were refined from 46,904 and 103,813 particles to resolutions of 3.6 and 3.5 Å, respectively (structures  $12<sub>wt</sub>$  and  $12<sub>AdII</sub>$ ; Figs [1B](#page-2-0) and EV1A, and Appendix Fig  $S1$ ). Both wt and  $\Delta$ dII IRES 48S ICs form identical complexes with respect to the 40S subunit's closed conformation, the positions of Met-tRNA<sub>i</sub><sup>Met</sup>, eIF2, and eIF1A, and the established P-site codon–anticodon base pairing (Figs [1B](#page-2-0), and EV3A and B). The IRES-containing 48S IC is also structurally identical to the canonical 48S complex formed by cap-

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Figure 3. The roles of IRES domain II and eIF1A in loading mRNA into the mRNA channel.

A-D (A and upper panels of B and C) The mRNA channel spanning the entry and exit channels, A, P, and E sites viewed through the ribosome head toward the body for the indicated complexes. The IRES (red), Coulomb potential (blue mesh), and eIF1A (blue) are shown. (Lower panels of B and C) P site showing mRNA interactions for the (B) binary complex (structure 10<sub>wt</sub>) and the (C) eIF1A-containing ternary complex (structure 11<sub>wt</sub>). 18S rRNA (yellow), eIF1A (blue), IRES mRNA (red) with start codon (cyan) are all marked. (B) The position of mRNA modeled in the canonical cap-dependent 48S IC (PDB: 6[ZMW\)](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) is shown in gray. (D) Position of key nucleotides in the P site in structure 10<sub>wt</sub> showing interactions between incompletely loaded mRNA and C<sub>1698</sub> resulting in C<sub>1701</sub> sampling dual conformations near the (+2) position.

dependent initiation (e.g., Simonetti et al, [2020](#page-18-0)) with respect to the global conformation of the 40S subunit and the placement of MettRNA $_{\rm i}^{\rm Met}$ , eIF2 $\alpha$ , and eIF1A. Thus, the E site-associated eIF2 $\alpha$  contacts the highly conserved uS7/Asp194 directly and interacts with Met-tRNA $_{\rm i}^{\rm Met}$  via Thr103, Arg67, and His114 (Fig [4A](#page-7-0)). As with canonical 48S ICs (Hussain et al, [2014](#page-17-0); Simonetti et al, [2020](#page-18-0)), eIF2a forms hydrogen bonds with the  $(-3)$  adenosine via Arg55, a contact that enhances codon selection in the scanning mode of initiation, presumably by stabilizing the arrested 48S IC (Pisarev et al, [2006;](#page-18-0) Thakur et al,  $2020$ ). Contacts between eIF2 $\alpha$  Arg57 and the tRNA acceptor stem loop (ASL) and the 18S rRNA that occur in canonical 48S ICs (Simonetti et al, [2020\)](#page-18-0) are also present (Fig [4A](#page-7-0)). The unsharpened map also contained density corresponding to  $eIF2\gamma$ (Fig [4B\)](#page-7-0) identical to that seen in cap-dependent 48S ICs (Simonetti et al, [2020](#page-18-0)), but it had a low local resolution at the acceptor end of Met-tRNA $_{\rm i}^{\rm Met}$  and was not modeled after map sharpening. The occupancy of the mRNA-binding channel for 48S ICs prepared on both wt and  $\Delta$ dII IRESs (Structures  $12_{wt/\Delta dI}$ ) is identical, with mRNA density present from the exit channel through the E, P, and A sites to the entry region. The observation that  $eIF2\alpha$  interacts via Arg55 with the  $(-3)$  context nucleotide, as in the canonical initiation

process, is consistent with its importance in HCV IRES function (Ma et al, [2018\)](#page-17-0).

The position of domain II differs substantially between the 48S IC and the corresponding 40S/IRES binary and 40S/eIF1A/IRES ternary complexes assembled on the wt IRES (structures  $12_{\rm wt}$ ,  $10_{\rm wt}$ , and  $11_{\text{wt}}$ , respectively). In both the binary and ternary complexes, it is inserted into the E site, a position that is incompatible with the binding of eIF2. Thus, in the 48S IC, domain II is oriented away from the subunit interface, toward the solvent side of the 40S subunit, and shows an attenuation of density so that a model of domain II is not fully enclosed by the map. Focused classification of this region revealed that domain II is flexible and occupies multiple conformations oriented away from the E site (Fig [4C](#page-7-0) and Appendix Table S5). Interestingly, eIF2 $\alpha$  Arg55 contacting the mRNA at A(-3) in 48S ICs is in the same position as  $C_{83}$  in IRES domain II in the 40S/eIF1A/ IRES ternary complex, indicating that this location in the E site is important for stabilizing the mRNA regardless of the conformation of the 40S subunit and of differences in the position of mRNA at other locations along the mRNA-binding channel.

Compared with the open binary or ternary complexes (structures  $10<sub>wt</sub>$  and  $11<sub>wt</sub>$ ), the head of the 40S subunit in 48S ICs formed on

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#### Figure 4. The HCV IRES eIF2-containing 48S initiation complex.

A Contacts between eIF2 $\alpha$  and the HCV IRES, Met-tRNA $_i^{\text{Met}}$ , and the 40S subunit.

B Unsharpened maps for 48S ICs formed on the wt IRES (structure 12<sub>wt</sub>), left, and the AdII IRES (structure 12<sub>AdII</sub>), right. Comparison with canonical cap-dependent initiation complexes (PDB:  $6YAL$  $6YAL$ ) identifies the presence of eIF2 $\gamma$  (cyan).

C IRES domain II occupies multiple positions in 48S ICs formed on the wt IRES.

D Conformation of 18S rRNA in the open ternary complex (structure  $11_{\text{wt}}$ ) and the eIF2-containing 48S IC (structure  $12_{\text{wt}}$ ).

both  $wt$  and  $\Delta$ dII IRESs is in a closed position, having moved by  $11.2^\circ$  relative to the open states (Fig  $4D$ ). This position is in an even more closed conformation than in closed binary complexes (structures  $1_{\text{AdII}}-6_{\text{AdII}}$ , in which the position of the head differed from that in structures  $10<sub>wt</sub>$  and  $11<sub>wt</sub>$  by  $\sim$  9.0° (Fig EV2B). This indicates that the formation of the codon–anticodon interaction, seen in structures  $12<sub>wt/AdII</sub>$ , causes the ribosome to enter a distinct closed conformation matching that is observed for both yeast and mammalian canonical cap-dependent 48S ICs (e.g., Llácer et al, [2015;](#page-17-0) Simonetti et al, [2020](#page-18-0)). This closed state likely serves as a signal that an appropriate start codon has been identified so that subsequent initiation steps can proceed (Ogle et al, [2002\)](#page-18-0).

We also obtained 40S complexes containing platform-bound wt or  $\Delta$ dII IRES and P-site Met-tRNA $_{\rm i}^{\rm Met}$  but lacking all initiation factors (structures  $13<sub>wt</sub>$  and  $13<sub>AdII</sub>$ ; Fig EV1A). These closed complexes, refined from 15,906 and 15,598 particles to 4.6 and 4.4 A, respectively, showed clear density for codon–anticodon base pairing

(Appendix Fig S3G and Table S3). It is unclear whether initiation factors dissociated due to slow eIF5-independent hydrolysis of eIF2 bound GTP (Unbehaun et al, [2004\)](#page-18-0), or through denaturation at the air-water interface and/or due to the shear forces associated with blotting (d'Imprima et al, [2019](#page-17-0); Glaeser, [2021](#page-17-0)). In any case, this complex likely mimics an intermediate state immediately after eIF2 dissociation and prior to the binding of eIF5B.

# The structure of eIF5B-containing 48S complexes assembled on the IRES

Classification of eIF5B-containing ribosomal complexes yielded two structures formed on the *wt* IRES (structures  $14<sub>wt</sub>$  and  $15<sub>wt</sub>$ ) and one structure (15 $_{\text{AdII}}$ ) assembled on the  $\Delta$ dII IRES, which were refined to 3.8, 3.7, and 3.7 A resolution from 60,578, 133,782, and 61,648 particles, respectively (Figs [1B](#page-2-0) and EV1A). All structures contain eIF5B, eIF1A, and the P-site Met-tRNA<sub>i</sub><sup>Met</sup> base-paired with the initiation

codon. Structures  $15<sub>wt</sub>$  and  $15<sub>AdII</sub>$  were in the same closed conformation (Fig EV4A and B, and Appendix Fig S4A), identical to that in 48S ICs assembled on canonical cap-dependent mRNA (Simonetti et al, [2020](#page-18-0)), and were accordingly classified as 48S ICs. Thus, in eIF5B- and eIF2-containing 48S ICs, 18S rRNA nucleotides  $C_{1701}$  and  $U_{1248}$  are separated by  $\sim$  3.5 Å, and the contacts of the P-gate nucleotides  $G_{1639}$  and  $A_{1640}$  in the 18S rRNA and Arg146 in uS9, with the tRNA anticodon arm on the opposite side to the anticodon, are also present in both 48S ICs. Similar to eIF2-containing 48S ICs, in eIF5B-containing ICs assembled on the  $wt$  IRES (structure  $15<sub>wt</sub>$ ), domain II is oriented away from the subunit interface, toward the solvent side of the 40S subunit, and shows an attenuation of density so that the model of domain II is not fully enclosed by the map. Focused classification of this region revealed that domain II is flexible and occupies multiple conformations oriented away from the E site (Appendix Fig S4B and Table S5).

However, in contrast to structures  $15<sub>wt/AdII</sub>$ , the 40S subunit in structure  $14<sub>wt</sub>$  is in an open conformation with domain II inserted into the E site, which led us to designate this structure as pre-48S IC (Fig [1B\)](#page-2-0). In the eIF5B-containing pre-48S IC, the separation between  $C_{1701}$  and U<sub>1248</sub> is increased to  $\sim$  11 Å, and tRNA does not contact the P gate or uS9. In all structures, eIF1A occupies its usual position over h44 and eS30 and uS12, whereas eIF5B resides on the intersubunit face of the 40S subunit as in 80S ribosomal complexes (Yama-moto et al, [2014;](#page-18-0) Huang & Fernández, [2020](#page-17-0); Wang et al, [2020\)](#page-18-0). Both eIF5B-containing pre-48S ICs (structure 14wt) and 48S ICs (structure  $15<sub>wt/AdII</sub>$ ) showed full occupancy of the entire mRNAbinding channel, from the exit portion through the E, P, and A sites to the entry region.

All structures show clear density for eIF5B residues 592–1,218, corresponding to all major domains (Fig EV4C). This is the first high-resolution structure of mammalian eIF5B and also the first structure of the 40S/eIF5B subunit complex prior to subunit joining. Although we used full-length native eIF5B, extensive 3D classification and processing did not reveal any structure corresponding to the N-terminal region, suggesting that it is highly disordered, an observation that is supported by the structure of full-length eIF5B predicted using AlphaFold (Jumper et al, [2021](#page-17-0)). In all structures, the G domain and domains II and III form the central core of eIF5B that connects via domain III and helix 12 to the tRNA acceptor stem-binding domain IV (Fig EV4C). All complexes also contained eIF5B-bound GTP (Appendix Fig S4C), and consistently, showed switch 1, switch 2, and the  $\beta$ 9- $\beta$ 10 loop in domain II in the GTPbound conformation, identical to that in fungal GTP-bound eIF5B (Kuhle & Ficner, [2014;](#page-17-0) Appendix Fig S4D).

We identified multiple contacts between eIF5B domains II and III and 18S rRNA h5, h14, and h15, and an interaction between eIF5B domain II and uS12 (Fig EV4C and Appendix Table S6) and noted that the  $\beta$ 13- $\beta$ 14 loop (Appendix Fig S4E, green) could interfere with the transition of switch 1 from GTP-bound to GDP-bound (Appendix Fig S4E, blue and cyan). However, on the yeast 80S ribosome, the  $\beta$ 13- $\beta$ 14 loop of GTP-bound eIF5B contacts A<sub>415</sub> in 18S rRNA h14 and is positioned away from the path that switch 1 might take as it changes to the GDP-bound conformation (Wang et al, [2020](#page-18-0); Appendix Fig S4E, red). Although there is no contact between the equivalent nucleotide  $(A_{464})$  and  $\beta$ 13- $\beta$ 14 in our complexes, h14 is accessible. These observations suggest that the 60S subunit might stimulate the interaction between  $A_{415}$  and the  $\beta$ 13 $\beta$ 14 loop to reposition this loop away from switch 1 so that a transition from the GTP- to the GDP-bound conformation is possible. In both pre-48S ICs and 48S ICs, the position of domain IV also allows it to contact eIF1A via interactions between His112 in the helical subdomain of eIF1A (Battiste et al, [2000\)](#page-16-0) and the extreme Cterminal region of eIF5B, and between the eIF1A L23  $\beta$ -turn (near Gly54) and Arg1186 in domain IV of eIF5B (Fig [5A and B\)](#page-9-0). These interactions are distinct from the previously reported binding of eIF1A's extreme C-terminal DDIDI sequence to the h12/h13 loop in eIF5B domain IV (Marintchev et al, [2003](#page-17-0); Zheng et al, [2014\)](#page-18-0) and of the eIF1A L45 loop to eIF5B domain III (Nag et al, [2016\)](#page-17-0). Previously reported interactions involved isolated polypeptides and were detected by NMR (Marintchev et al, [2003;](#page-17-0) Nag et al, [2016](#page-17-0)) or X-ray crystallography (Zheng et al, [2014](#page-18-0)), whereas the interactions reported here were observed in functional 48S complexes.

Comparison of the position of the P-site Met-tRNA $_i^{\text{Met}}$  in the eIF2containing 48S IC (Simonetti et al, [2020\)](#page-18-0) and in the 80S ribosome (Yamamoto et al, [2014](#page-18-0); Wang et al, [2020](#page-18-0)) shows that in the latter, tRNA has rotated 14° toward the 40S subunit body and the T-loop has moved by  $\sim$  15 Å to allow placement of the acceptor stem into the P site of the 60S subunit. Comparison of eIF2- and eIF5B- containing 40S ribosomal complexes (structures  $12<sub>wt/AdII</sub>$ ,  $14<sub>wt</sub>$ , and  $15<sub>wt/</sub>$  $_{\Delta dII}$ ) revealed that in eIF5B-containing complexes, Met-tRNA<sub>i</sub><sup>Met</sup> has rotated by  $\sim$  14° and has moved by 15 Å from the head of the 40S subunit to a position that matches the orientation seen in 80S structures (Wang et al, [2020;](#page-18-0) Fig [5A\)](#page-9-0). This repositioning of tRNA was observed for all structures that contained eIF5B, indicating that eIF5B re-orients Met-tRNA<sup>Met</sup> on the 40S subunit prior to subunit joining. However, despite the differences in the orientation of the acceptor arm of the P-site tRNA and the conformation of the 40S subunit, the anticodon loop in eIF2-containing 48S ICs, and eIF5B-containing 48S ICs and pre-48S ICs is positioned identically (Fig. [5A and B\)](#page-9-0). Interestingly, although the overall position of eIF5B-Met-tRNA<sup>Met</sup> in eIF5Bcontaining 48S ICs and in 80S ribosomes is generally similar, upon binding of a 60S subunit, domain IV of eIF5B undergoes a 33° rotation toward the platform side of the 40S subunit, and a translation by 6.4 A parallel to the mRNA channel toward the platform of the 40S subunit, which result in repositioning of the tRNA acceptor stem by 6.4 A toward the ribosome head (i.e., toward ribosomal protein eS25) without changing the position of the ASL and with only minor movement of eIF5B helix 12 (Fig EV4D). Such repositioning of domain IV and the tRNA acceptor stem upon binding of a 60S subunit would avert steric clashes of domain IV with H84 of 28S rRNA and between uL16 and the tRNA acceptor stem ( $\sim A_{74}$ ; Fig EV4E). Similar repositioning of IF2 domain C2 (equivalent to eIF5B domain IV) to avoid analogous steric clashes was observed in bacteria upon joining of 50S subunits to 30S ICs (Hussain et al, [2016](#page-17-0); Kaledhonkar et al, [2019\)](#page-17-0). Adjustment of the orientation of initiator tRNA prior to subunit joining is a critical step in initiation, and the mechanism by which it is mediated, by rotation and translation of domain IV of the universally-conserved initiation factor IF2/eIF5B, likely appeared early in evolution.

# Dynamics of ribosomal contacts of mRNA along the mRNAbinding channel throughout the initiation process

We next compared all complexes containing mRNA in the mRNAbinding channel (structures  $9_{\Delta dII}$ -15<sub>wt/ $\Delta dII$ </sub>) to determine how the

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#### Figure 5. The HCV IRES eIF5B-containing 48S initiation complex.

- A Changes to the position of P site tRNA depending on the presence of either eIF2 or eIF5B. Upon binding of eIF5B, the T-arm, D-arm, and acceptor stem of MettRNA<sub>i</sub><sup>Met</sup> move by 15.6 Å and 14<sup>o</sup> relative to their positions in the eIF2-containing 48S complex.
- B Inset showing the unchanged conformation of the tRNA acceptor stem loop in eIF2- and eIF5B-containing 48S complexes (left), and contacts between eIF5B domain IV and eIF1A (right).
- C–E P site for (C) eIF2-containing 48S IC (structure 12<sub>wt</sub>), (D) eIF5B-containing pre-48S IC (structure 14<sub>wt</sub>), and (E) eIF5B-containing 48S IC (structure 15<sub>wt</sub>) showing 18S rRNA (yellow), Met-tRNA;<sup>Met</sup> (magenta), eIF1A (blue), and IRES mRNA (red) with the start codon (cyan) marked.

conformational state of the 40S subunit and the presence of different translational components correlate with ribosomal interactions of defined mRNA regions along the channel and their consequent

stabilization (Fig [6A and B\)](#page-10-0). The open-state binary 40S/IRES complexes (structures  $9_{\Delta dII}$  and  $10_{\rm wt}$ ) both show clear mRNA density at the exit portion of the mRNA-binding channel up to the  $(-8)$ 

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Figure 6. Ribosomal contacts and stability of mRNA along the mRNA-binding channel at different stages of initiation on the HCV IRES.

- A Occupancy of the mRNA channel for the indicated complexes showing the identity of ribosomal components (yellow), tRNA (magenta), eIF1A (blue), or IRES domain II (red) that interact with mRNA in the mRNA-binding channel. The stability of mRNA in the channel was assessed as either stable (green) or unstable (red) depending on quality of density attributable to each nucleotide.
- B Comparison of density maps and models for specific areas of mRNA in the mRNA-binding channel in the indicated open and closed ribosomal complexes.

position, likely due to stabilizing contacts of eS28 residues Arg31 and Arg66 with the  $(-13)/(-11)$  and  $(-8)$  nucleotides, respectively. In addition, mRNA in the wt complex (structure  $10<sub>wt</sub>$ ) also forms interactions at the  $(-7)$  and  $(-5)$  nucleotides with eS28 Arg69 and uS11 Arg66. Although in the unsharpened map, mRNA is visible from the exit through to the P site, the position of each nucleotide was clearly defined only in the  $(-13)$  to  $(-5)$  nt region where mRNA contacts eS28 and uS11, whereas the downstream region up to position (+2) was more heterogenous and the quality of the sharpened map declined. In the open 40S/IRES/eIF1A ternary complexes (structure  $11<sub>wt</sub>$ ), association with eIF1A resulted in mRNA accommodation in the entire mRNA-binding channel, but it did not influence the mRNA-40S interactions observed in the binary complexes and also did not stabilize the mRNA region from the E to A sites. Establishment of the P-site codon–anticodon interaction in the open eIF5B-containing pre-48S IC (structure  $14<sub>wt</sub>$ ) led to stabilization of the P-site codon, resulting in clear density-forming Watson-Crick base pairs with the tRNA anticodon (Fig [5D](#page-9-0)), but preserved mRNA interactions with eS28 and uS11 and its overall position and conformation observed in the 40S/IRES/eIF1A ternary complex. As in the ternary complex (structure  $11_{\text{wt}}$ ), the presence of eIF1A at high occupancy in pre-48S ICs was not associated with stabilization of the (+4) to (+7) region of mRNA.

The closed-state eIF2- and eIF5B-containing 48S ICs (structure  $12<sub>wt/AdII</sub>$ , and  $15<sub>wt/AdII</sub>$ ) show identical mRNA conformations and stability patterns along the mRNA-binding channel that differ from those observed in the open-state complexes. Due to the head closure, eS28 and uS11 can no longer make contacts with the  $(-13)$ ,  $(-11)$ , and  $(-5)$  nucleotides, and mRNA has moved by  $\sim$  6 Å toward the body so that  $eS26$  His80 contacts the  $(-5)$  nucleotide phosphate backbone. In contrast to the open-state complexes, the new position of mRNA is characterized by the poorly defined region upstream of the  $(-5)$  nucleotide, whereas the  $(-3)$  to  $(-1)$  region is stabilized, forming a stacking triple sandwiched between 18S rRNA G961 and G1207. In all 48S ICs, the P-site codon shows clear density interacting with the tRNA anticodon (Fig [5C and E\)](#page-9-0). In contrast to the pre-48S IC, the presence of eIF1A influences the stability of the (+4) to (+7) nucleotides in 48S ICs. In complexes with high eIF1A occupancy (structures  $12_{\Delta dII}$ ,  $15_{\rm wt}$ ), the (+4) nucleotide forms a stacking triple with eIF1A Trp70 and 18S rRNA  $A_{1825}$ , and the  $(+4)$ to (+7) region is stable. Such stabilization was not observed in complexes with lower eIF1A occupancy (structures  $12<sub>wt</sub>$ ,  $15<sub>AdII</sub>$ ).

Thus, our data indicate that transition from the open to the closed states is accompanied by changes in mRNA interactions and stability patterns along the mRNA-binding channel. Movement of the 40S subunit head in 48S ICs results in the loss of the interaction between the mRNA region upstream of the  $(-5)$  nucleotide with eS28 and uS11 and subsequent destabilization of this region compared with the open-state complexes. On the other hand, the 40S subunit closure leads to stabilization of the  $(-3)$  to  $(-1)$  mRNA region between 18S rRNA bases. Notably, in closed 48S ICs, but not in open ribosomal complexes, the (+4) to (+7) mRNA region can be stabilized by eIF1A.

# Comparison of eIF5B-containing pre-48S initiation complexes with eIF2-containing scanning 43S complexes

In contrast to initiation on the HCV IRES, eIF5B cannot substitute for eIF2 in recruiting Met-tRNA $_i^{\text{Met}}$  to the 40S subunit in the canonical scanning mechanism. Canonical initiation also requires eIF1, which binds to the 40S subunit below the mRNA channel at the P site between h24 and the region connecting h44 to h45 and, in cooperation with eIF1A, stabilizes the open conformation of the 40S subunit (Passmore et al, [2007;](#page-18-0) Llácer et al, [2015](#page-17-0)), thereby promoting ribosomal attachment to mRNA, scanning and initiation codon selection (e.g., Pestova & Kolupaeva, [2002](#page-18-0)). Comparison of the eIF5B-containing pre-48S ICs (structure  $14<sub>wt</sub>$ ) and canonical scanning, eIF2-containing 43S complexes that also contained eIF1 (Brito Querido et al, [2020\)](#page-17-0) revealed that the positions of mRNA and conformations of the anticodon loop of Met-tRNA $_i^{\text{Met}}$  in the P site in both complexes are very similar (Fig [7A\)](#page-12-0), and the anticodon in the scanning 43S complex is also in a position that enables the establishment of codon–anticodon base pairing (Fig [7B,](#page-12-0) center panel). However, whereas the conformations of the anticodon in the P site in both complexes are similar, in the eIF5B-containing complex, the T-arm and the acceptor stem are shifted toward the body of the 40S subunit by bending of the anticodon loop region (Fig [7A](#page-12-0)). Consequently, the contacts between the ASL of Met-tRNA<sup>Met</sup> and 18S rRNA nucleotides  $GA<sub>1639-40</sub>$  and the N-terminal region of uS9 in the head of the 40S subunit, which are present in all eIF2-containing complexes, including mRNA-free 43S complexes, scanning 43S complexes and 48S ICs (e.g., Hussain et al, [2014](#page-17-0); Brito Querido et al, [2020;](#page-17-0) Simonetti et al, [2020](#page-18-0); Fig [7B](#page-12-0), center panel), do not exist in the eIF5B-containing pre-48S ICs and only form after ribosomal closure in 48S ICs (Fig [7B](#page-12-0), left and right panels). Thus, interaction with eIF2 allows tRNA to maintain the contacts with the head in all 40S subunit conformations, from fully open to fully closed, and the position of the anticodon loop that allows it to inspect mRNA in the scanning 43S complexes is determined by the rotation of the head to a position that is intermediate between fully open and fully closed states. In contrast, in the open pre-48S IC, tRNA is stabilized by contacts between eIF5B domain IV and the acceptor stem, codon–anticodon base pairing, and by stacking of the aromatic rings of ASL  $C_{33}$ with C1701 of 18S rRNA (Fig [7B](#page-12-0), left panel). Interestingly, in the scanning 43S complex, the C<sub>33</sub> ribose instead forms a lone pair- $\pi$ interaction with  $U_{1248}$  of 18S rRNA (Fig [7B](#page-12-0), middle panel), whereas in canonical 48S ICs (e.g., Simonetti et al, [2020](#page-18-0)), and in eIF5Bcontaining 48S ICs (structure  $15<sub>wt</sub>$ ),  $C<sub>33</sub>$  is involved in both interactions (Fig [7B,](#page-12-0) right panel). Thus, whereas some aspects of eIF5Bcontaining pre-48S complexes are analogous to those of eIF2 containing scanning 43S complexes, the overall orientation and the specific interactions of tRNA in them differ.

During eIF2-dependent canonical initiation, the open, scanningcompetent conformation of the 40S subunit is determined by the binding of eIF1. We therefore analyzed whether the binding of eIF1 would be compatible with the positions of eIF5B and Met-tRNA<sub>i</sub><sup>Met</sup> in the open pre-48S ICs. The position of eIF1 placed into such complexes suggests that it would clash with tRNA. Thus, the repositioning of tRNA in eIF5B-containing complexes causes the  $AAC_{38-40}$ nucleotides of Met-tRNA<sub>i</sub><sup>Met</sup> to move toward the 40S subunit body by  $\sim$  3.0 Å, so that the binding of eIF1 as in the 43S scanning complex would create a clash between  $A_{36}$  of Met-tRN $A_i^{\text{Met}}$  and the  $\beta$ 1- $\beta$ 2 loop (Fig [7C](#page-12-0)). Accommodation of eIF1 would require either reorganization of this loop or displacement of the P-site tRNA. Examination of human (Fletcher et al, [1999\)](#page-17-0) and yeast (Reibarkh et al, [2008\)](#page-18-0) solution NMR structures of eIF1 did not identify any conformations of the  $\beta$ 1- $\beta$ 2 loop that would allow a clash with the

<span id="page-12-0"></span>

Figure 7. Position of eIF5B-bound P site Met-RNA;<sup>Met</sup> in open ribosomal complexes is not compatible with eIF1-mediated scanning.

A Position of the P site Met-tRNA<sub>i</sub><sup>Met</sup> in the scanning 43S complex (PDB: 6[ZMW;](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) yellow), and the pre-48S IC (structure 14<sub>wt</sub>; magenta). mRNA from the 43S scanning complex (green) and pre-48S IC (red) is shown. eIF1 associated with the scanning 43S complex is in cyan.

B Contacts between tRNA (magenta), the initiation codon (red), and the P site (yellow) for the indicated complexes.

C The  $\beta$ 1- $\beta$ 2 and  $\beta$ 3- $\beta$ 4 loops of eIF1 bound as in the 43S scanning complex (PDB: 6[ZMW\)](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) would clash with the position of P site Met-tRNA<sub>i</sub><sup>Met</sup> in eIF5B-containing pre-48S ICs.

anticodon stem of tRNA to be avoided in the eIF5B-containing pre-48S and 48S ICs. Moreover, the eIF1  $\beta$ 3- $\beta$ 4 loop would also clash with tRNA nucleotides  $GU_{23-24}$ , and a clash between Phe113 and tRNA nucleotide  $G_{25}$  is also possible (Fig 7C). These observations suggest that even if eIF5B were able to bind Met-tRNA $_{\rm i}^{\rm Met}$  with high affinity and recruit it to the 40S subunit efficiently, the structure of the resulting complexes would not be compatible with the binding of eIF1 and hence, with the scanning mechanism of initiation. On the other hand, the stabilizing interaction of the acceptor arm of Met-tRNA $_{\rm i}^{\rm Met}$  with domain IV of eIF5B in the closed 48S complexes following dissociation of eIF1 and eIF2·GDP would lock the complex, preventing leaky scanning from occurring. The incompatibility of Met-tRNA<sub>i</sub><sup>Met</sup> and eIF1 on eIF5B-containing pre-48S complexes also likely explains why eIF1 disrupts 48S complexes prepared using wt but not the  $\Delta$ dII variant of the HCV-like CSFV IRES (Pestova et al, [2008](#page-18-0)). Domain II has the propensity to insert into the E site

(Quade et al, [2015;](#page-18-0) Yamamoto et al, [2015](#page-18-0); this report), stabilizing the open conformation of the 40S subunit, and if eIF1 binds to this complex, then the insertion of its  $\beta$ 1- $\beta$ 2 loop into the mRNA channel creates steric hindrance between mRNA and tRNA in the P site, thereby dislodging the tRNA. We did not identify complexes formed on the  $\Delta$ dII IRES in the open conformation, suggesting that closure is fast in the absence of domain II, so that eIF1 is unable to gain access to such complexes and destabilize them.

# Discussion

Here we present the most comprehensive structural overview of the HCV IRES-mediated initiation pathway to date (Fig [8](#page-13-0)) that shows the sequential conformational and compositional changes that occur during this process. Initially, the IRES binds to the 40S subunit

<span id="page-13-0"></span>

Figure 8. The HCV IRES-mediated initiation pathway.

Ribosomal complexes organized in a putative IRES-mediated initiation pathway. Maps are segmented showing the 40S subunit (yellow), IRES (red), eIF1A (blue), MettRNA;<sup>Met</sup> (magenta), and eIF2 (green) or eIF5B (cyan).

through domains IIIa/IIIc and then pivots onto its platform side where it establishes the complete set of contacts (structures  $1_{\text{AdII}}$ - $6<sub>AdII</sub>$ ). Formation of the full set of canonical contacts between the IRES domains IIIa/IIIc/IIId/IIIe/IIIf and the 40S subunit platform riboproteins eS1, eS27, and 18S rRNA ES7 induces the head of the 40S subunit to open (structures  $8_{\Delta dII}$ - $9_{\Delta dII}$ ). Although head opening can occur in the absence of IRES domain II, such complexes are nevertheless characterized by remarkable heterogeneity in the position of the 40S subunit head. In contrast, 40S/IRES binary complexes assembled on the wt IRES yield a uniform structure, in which the 40S subunit is in the open conformation, and domain II is inserted into the E site (structure  $10<sub>wt</sub>$ ). Importantly, in the absence of domain II, mRNA density was clearly seen only in the exit portion of the channel up to the  $(-8)$  position of mRNA (structure  $9_{\text{AdII}}$ ). In the wt 40S/IRES binary complex, mRNA nucleotides could be identified at the exit channel through the E site, where it is stabilized by domain II, to  $AU_{342-3}$  located in the P site (structure  $10<sub>wt</sub>$ ), and eIF1A promotes further accommodation of the mRNA in the entire mRNAbinding channel (structure  $11_{\text{wt}}$ ). Thus, complexes  $(1_{\text{AdII}}-11_{\text{wt}})$ provide structural insights into the functions of multiple IRES domains, including IIIa/IIIc in establishing the initial ribosome contacts, IIId and  $\frac{hIII_1/IIIe/IIIf}{h}$  in fixing the IRES to the 40S subunit and inducing ribosomal head opening, and II in imposing the open conformation and promoting fixation of mRNA in and upstream of the P site. Our analysis also revealed the role of eIF1A in completing mRNA accommodation, as prior to eIF1A binding the mRNA is

incompletely loaded into the mRNA channel (structure  $10<sub>wt</sub>$ ) and loading is complete only after eIF1A binding (structure  $11_{\rm wt}$ ).

Once the mRNA is loaded, initiation can proceed either along the canonical initiation pathway, in which eIF2 promotes attachment of Met-tRNA<sup>Met</sup> to form the 48S IC (structure  $12<sub>wt/AdII</sub>$ ) and then dissociates after GTP hydrolysis (likely structure  $13<sub>wt/AdII</sub>$ ) followed by binding of eIF5B (structure  $15<sub>wt/AdII</sub>$ ), or by a shortcut route, in which Met-tRNA<sub>i</sub><sup>Met</sup> is loaded directly by eIF5B, first forming the open-state pre-48S IC (structure  $14<sub>wt</sub>$ ) and then transitioning to the closed 48S IC (structure 15wt).

The eIF2-containing 48S IC assembled on the IRES is structurally identical to the canonical 48S IC with respect to the conformation of the 40S subunit and the positions of Met-tRNA<sup>Met</sup>, eIF2, and eIF1A. The position of domain II of the IRES in  $40S/IRES<sub>wt</sub>$  binary complexes is incompatible with the binding of eIF2 and the closed conformation of the 40S subunit, and consequently, in 48S ICs, domain II is oriented away from the subunit interface, toward the solvent side, occupying multiple conformations. In eIF5B-containing pre-48S ICs, the 40S subunit is in the open conformation and domain II is inserted into the E site. Upon 40S subunit closure in eIF5Bcontaining 48S ICs, domain II becomes displaced from the E site and is again oriented away from the subunit interface like in eIF2 containing 48S ICs. Importantly, compared with eIF2-containing 48S ICs, in eIF5B-containing pre-48S ICs and 48S ICs, Met-tRNA,<sup>Met</sup> is rotated by  $\sim$  14° and moved 15 Å from the head of the 40S subunit to a position that matches its orientation in 80S ribosomes. Thus, our data show how eIF5B repositions tRNA already on the 48S complex, preparing it for joining with the 60S subunit.

# Materials and Methods

#### Plasmids

Vectors for expression of His<sub>6</sub>-tagged eIF1A (Pestova et al, [1998a\)](#page-18-0) and Escherichia coli methionyl tRNA synthetase (Lomakin et al, [2006](#page-17-0)) have been described. The plasmid HCV-MSTN-Stop (Hashem et al, [2013](#page-17-0)) containing HCV Type 1 nt 40–375 was used for the transcription of mRNA containing the wt HCV IRES. A derivative for transcription of HCV IRES lacking domain II (containing HCV nt. 125–375) was made by GenScript Corp. (Piscataway, NJ). The HCV plasmids were linearized by BamHI, and mRNAs were transcribed using T7 RNA polymerase (Thermo Scientific).

## Purification of factors, ribosomal subunits, and aminoacylation of tRNA

Native mammalian 40S subunits, eIF2, eIF3, and eIF5B were purified from rabbit reticulocyte lysate (RRL; Green Hectares), as described (Pisarev et al, [2007](#page-18-0)). Recombinant eIF1A and E. coli methionyl tRNA synthetase were expressed and purified from E. coli as described (Pisarev et al, [2007\)](#page-18-0).

For purification of native rabbit total tRNA, 200 ml RRL were centrifuged at 45,000 rpm (RCFmax =  $244,000 \times g$ ) for 4.5 h in a Beckman 50.2 Ti rotor at 4°C in order to pellet polysomes. The supernatant was dialyzed overnight against buffer A (20 mM Tris [pH 7.5], 4 mM MgCl<sub>2</sub>, 250 mM KCl, 2 mM DTT) and applied to a DE52 (Whatman) column equilibrated with buffer A. The tRNA was eluted with buffer B  $(20 \text{ mM Tris [pH 7.5], 3 mM MgCl}_2, 700 \text{ mM NaCl}, 2 \text{ mM DTT})$  and precipitated overnight with 2.5 volumes of ethanol at  $-80^{\circ}$ C. The precipitate was centrifuged at 13,000 rpm (RCFmax =  $20,000 \times g$ ) for 15 min and resuspended in 5 ml buffer C (100 mM Tris [pH 7.5], 5 mM  $MgCl<sub>2</sub>$ ), phenol-chloroform (pH 4.7) extracted and precipitated again with 0.3 M NaOAc and 2.5 volumes of ethanol. To isolate tRNA, the pellet was dissolved and subjected to gel filtration on a Superdex 75 column (Pestova & Hellen, [2001](#page-18-0)). Purified total tRNA was aminoacylated using E. coli methionyl tRNA synthetase (to obtain Met $tRNA<sub>i</sub><sup>Met</sup>$  as described (Pisarev *et al*, [2007](#page-18-0)).

### Assembly of ribosomal complexes

To form 48S initiation complexes, 7 pmol HCV IRES mRNA (wt or Ddomain ΙΙ mutant) were incubated with 3.5 pmol 40S subunits, 10 pmol eIF1A, 4.5 pmol eIF3, total native rabbit tRNA containing 3.5 pmol Met-tRN $A_i^{\text{Met}}$ , and 6 pmol eIF2 or 10 pmol eIF5B in 40  $\mu$ l buffer D (20 mM Tris [pH 7.5], 100 mM KAc, 2.5 mM  $MgCl<sub>2</sub>$ , 2 mM DTT, 0.25 mM spermidine, 1 mM ATP and 0.2 mM GTP) for 10 min at 37°C. The obtained complexes (containing 87.5 nM 40S subunits) were applied directly onto grids without dilution.

### Grid preparation and electron microscopy

Gold foil grids were prepared from Quantifoil gold mesh grids (Passmore & Russo, [2016\)](#page-18-0). Initially, Quantifoil R0.6/1.0300 mesh gold grids (Quantifoil Micro Tools GmbH) were visually inspected to check for uniformity and intactness of the Quantifoil layer and then placed into an Auto 306 Turbo Vacuum Coater (Edwards Vacuum) at a pressure of  $10^3$  Pa, and then, gold wire (Ted Pella, Inc) was evaporated for approximately 8 min to create a 500 Å layer. Deposition thickness was determined using the inbuilt film thickness monitor. To remove the underlying Quantifoil carbon foil layer the grids were then treated with plasma using a Gatan Solarus 950 (Gatan, Inc) operated at 25 W for 4 min with an argon/oxygen gas mixture.

To prepare hydrophilic grids, 30 min prior to sample application, grids were treated with plasma using a Gatan Solarus 950 (Gatan, Inc) operated at 25 W for 25 s with a hydrogen/oxygen gas mixture. These grids were then transferred to the environmental chamber of a Vitrobot Mark IV (Thermo Fisher Scientific) maintained at 4°C and 100% humidity. Here, 3 µl of sample was applied and then blotted for 4 s with blot force 3 before plunging into a cooled (77 K) ethane-propane mixture (Tivol et al, [2008\)](#page-18-0) and then transferred to liquid nitrogen. Selected grids were screened to confirm sample composition and ice thickness using a Tecnai F20 electron microscope (Thermo Fisher Scientific) equipped with a field emission gun (FEG) operating at 200 kV and a K2 Summit direct electron detector (Gatan, Inc).

After screening grids from each sample, data collection was performed on a Tecnai Polara F30 (Thermo Fisher Scientific) equipped with an FEG operating at 300 kV and a K3 direct electron detector (Gatan, Inc). Movies were collected at a nominal magnification of 52,000 $\times$  and defocus range of  $-0.5$  to  $-2.5$  µm in counting mode with a pixel size of 0.95 Å using the automated collection software Leginon (Potter et al, [1999](#page-18-0); Carragher et al, [2000;](#page-17-0) Suloway et al, [2005\)](#page-18-0). Each movie consisted of 40 frames recorded over 4 s with a total dose of 70.9  $e^{-}/\mathring{A}^{2}$ . Due to sample conditions, the 40S ribosomal subunits were observed in a preferred orientation, and so, portions of the data were collected with a 30° stage tilt (Appendix Table S2). For the wt IRES eIF2-containing sample 14,815 micrographs (14,815 at 30° stage tilt) were collected over two sessions, for the wt IRES eIF5B-containing sample 27,263 micrographs (20,509 at 30° stage tilt) were collected over four sessions, for the  $\Delta$ dII IRES eIF2-containing sample 22,735 micrographs (17,695 at 30° stage tilt) were collected over three sessions, and for the  $\Delta$ dII IRES eIF5B-containing sample 13,809 micrographs (13,809 at 30° stage tilt) were collected over two sessions (Appendix Table S2). Optical groups of micrographs with similar beam tilt values were identified using k-means clustering on the image shift values recorded by the microscope during data collection.

### Image processing

Gain references for each session were produced by visually screening  $\sim$  1,000 micrographs to remove images that contained gold foil and then summing them using cisTEM sum\_all\_tifs (Grant et al, [2018\)](#page-17-0). Movies were then aligned using MotionCor2 (Zheng *et al*, [2017\)](#page-19-0) with dose weighting of 1.77  $e^{-}/\AA^{2}/$ frame and local patch correction with  $8 \times 5$  patches. Initial CTF parameters were estimated using CTFFIND4 (Rohou & Grigorieff, [2015](#page-18-0)). Particle locations were identified using Topaz version 0.2.3 (Bepler et al, [2019\)](#page-16-0) by initially downscaling all micrographs by 8× and then using the Topaz general model to identify particles. Particles with a

confidence score below 0 were removed and the remaining positions rescaled for subsequent processing in Relion 3.1 (Scheres, [2012,](#page-18-0) [2016;](#page-18-0) Zivanov et al, [2018,](#page-19-0) [2019\)](#page-19-0).

Initially, we identified 2,183,185 particles for the wt IRES eIF2 containing sample (147 particles per micrograph), 1,133,335 particles for the wt IRES eIF5B-containing sample (42 particles per micrograph), 2,213,826 particles for the DdII IRES eIF2-containing sample (97 particles per micrograph), and 1,459,506 particles for the  $\Delta$ dII IRES eIF5B-containing sample (106 particles per micrograph). Particle locations were extracted from micrographs into downsampled boxes of  $100 \times 100$  pixels (at 3.8 A/pixel) to speed up initial classification. This corresponds to  $400 \times 400$ -pixel boxes (at 0.95 A/pixel) without downsampling. Twenty-five iterations of 2D classification were performed to identify incorrectly-picked particles, contamination, and other particles that were unable to be correctly aligned (e.g., due to poor signal-to-noise). Particles that were selected for removal were subjected to additional 2D classification to confirm that they did not contain clear 40S ribosome particles.

After the initial screening of the 2D classification data, the remaining particles for each sample were 1,201,923 particles for the wt IRES eIF2-containing sample (81 particles per micrograph), 736,700 particles for the wt IRES eIF5B-containing sample (36 particles per micrograph), 1,484,658 particles for the  $\Delta$ dII IRES eIF2containing sample (84 particles per micrograph), and 1,119,610 particles for the DdII IRES eIF5B-containing sample (81 particles per micrograph). For each sample, all particles were refined into a single model, which was used to estimate the defocus values on a perparticle basis, followed by an additional refinement step, and then 3D classification without alignment into 10 classes for 25 iterations. This initial 3D classification was used to identify the major conformational states present in each sample, and further removal of poor-quality particles. For the wt IRES eIF2-containing sample, we identified 580,938 particles in the closed state, 176,793 particles in the open state, and removed 444,192 particles. For the wt IRES eIF5B-containing sample, we identified 378,325 open state, 360,338 closed state, and removed 58,037 particles. For the  $\Delta$ dII IRES eIF5Bcontaining sample, we identified 883,893 particles in the closed state and removed 62,455 particles. For the  $\Delta$ dII IRES eIF2-containing sample, we identified 615,125 particles in the closed state, 198,920 particles in the intermediate-open state, and removed 670,433 particles. All particles that were selected for removal were subjected to additional 2D classification and 3D refinement steps to confirm that they did not contain 40S ribosome complexes.

### General processing pathway

All particles were re-extracted at full-size  $(400 \times 400)$  pixel box, 0.95 A/pixel) and underwent iterations of 3D refinement, followed by anisotropic magnification correction, defocus refinement, and beam tilt estimation. Multiple rounds of 3D classification (25 iterations, without alignment) were used to progressively remove poorquality particles. After each round of CTF refinement, each particle stack underwent 3D refinement and was checked for an increase in resolution and visual improvement of map density. Once CTF refinement no longer improved map quality, particle polishing using all frames was performed and then iterations of CTF refinement as outlined above were completed. Focused classification on consensus

maps was performed to isolate desired conformational or compositional states. Full details of the processing pathway for each complex are included in the expanded information available online.

### Model building and refinement

For all data, where applicable, we were able to unambiguously fit the head and body of the 40S (PDB: [6D9J;](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) Pisareva et al, [2018](#page-18-0)), HCV IRES (PDB: [5FLX](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=); Yamamoto et al, [2015](#page-18-0)), eIF1A (PDB: [4KZZ](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=); Lomakin & Steitz, [2013](#page-17-0)), tRNA (PDB: [5K0Y;](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) Simonetti et al, [2016\)](#page-18-0) eIF2 $\alpha$  subunit (PDB: 6085; Kenner *et al*, [2019](#page-17-0)), and eIF5B (PDB: [4UJD](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=); Yamamoto et al, [2014\)](#page-18-0). Initial model fitting was performed using UCSF Chimera v1.14 (Pettersen et al, [2004\)](#page-18-0) with additional modeling in Coot (Emsley & Cowtan, [2004\)](#page-17-0). For regions of eIF5B that did not have available models (e.g., Switch 1), model building was performed independently and then cross-checked for consistency. All models underwent one round of Phenix geometry minimization and multiple rounds of PHENIX real-space refinement (Adams et al, [2010](#page-16-0); Afonine et al, [2018](#page-16-0)).

# Data availability

Primary models and maps (Appendix Table S3) reported in this study were deposited in the Protein Data Bank (PDB) and Electron Microscopy Data Bank (EMDB) under the following accession codes: structure 1<sub>AdII</sub> (PDB: [7SYG](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/structure/7SYG; EMD-25527, https://www.ebi.ac.uk/emdb/EMD-25527), structure  $2_{\text{AdII}}$  (PDB: [7SYH](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/structure/7SYH; EMD-25528, https://www.ebi.ac.uk/emdb/EMD-25528), structure  $3<sub>AdII</sub>$ (PDB: [7SYI](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/structure/7SYI; EMD-25529, https://www.ebi.ac.uk/emdb/EMD-25529), structure  $4_{\text{AdII}}$  (PDB: [7SYJ](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/structure/7SYJ; EMD-25530, https:// www.ebi.ac.uk/emdb/EMD-25530), structure 5<sub>AdII</sub> (PDB: [7SYK](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/structure/7SYK; EMD-25531, https://www. ebi.ac.uk/emdb/EMD-25531), structure  $6<sub>AdII</sub>$  (PDB: [7SYL](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https:// www.rcsb.org/structure/7SYL; EMD-25532, https://www.ebi.ac. uk/emdb/EMD-25532), structure  $7_{\text{AdII}}$  (PDB: [7SYM](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www. rcsb.org/structure/7SYM; EMD-25533, https://www.ebi.ac.uk/ emdb/EMD-25533), structure  $8_{\Delta dII}$  (PDB: [7SYN,](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) https://www.rcsb. org/structure/7SYN; EMD-25534, https://www.ebi.ac.uk/emdb/ EMD-25534), structure  $9<sub>AdII</sub>$  (PDB: [7SYO](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYO; EMD-25535, https://www.ebi.ac.uk/emdb/EMD-25535), structure 10wt (PDB: [7SYP](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYP; EMD-25536, https://www.ebi.ac.uk/emdb/EMD-25536), structure  $11_{\text{wt}}$  (PDB: [7SYQ](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYQ; EMD-25537, https://www.ebi.ac.uk/emdb/EMD-25537), structure 12wt (PDB: [7SYR](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYR; EMD-25538, https://www.ebi.ac.uk/emdb/EMD-25538), structure  $12_{\text{AdII}}$  (PDB: [7SYS,](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) https://www.rcsb.org/ structure/7SYS; EMD-25539, https://www.ebi.ac.uk/emdb/EMD-25539), structure  $13_{wt}$  (PDB: [7SYT](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYT; EMD-25540, https://www.ebi.ac.uk/emdb/EMD-25540), structure  $13_{\text{AdII}}$  (PDB: [7SYU](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYU; EMD-25541, https://www.ebi.ac.uk/emdb/EMD-25541), structure 14<sub>wt</sub> (PDB: [7SYV](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYU; EMD-25542, https://www.ebi.ac.uk/emdb/EMD-25542), structure 15wt (PDB: [7SYW,](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=) https://www.rcsb.org/

<span id="page-16-0"></span>Zuben P Brown et al The EMBO Journal

structure/7SYW; EMD-25543, https://www.ebi.ac.uk/emdb/EMD-25543), and structure  $15<sub>AdII</sub>$  (PDB: [7SYX](http://www.rcsb.org/pdb/search/structidSearch.do?structureId=), https://www.rcsb.org/ structure/7SYX; EMD-25544, https://www.ebi.ac.uk/emdb/EMD-25544). Additional maps (Appendix Table S5) showing the movement of HCV IRES domain II were deposited in the EMDB under the following accession codes: structure  $16<sub>wt</sub>$  (EMD-25545, https:// www.ebi.ac.uk/emdb/EMD-25545), structure  $17<sub>wt</sub>$  (EMD-25546, https://www.ebi.ac.uk/emdb/EMD-25546), structure 18wt (EMD-25547, https://www.ebi.ac.uk/emdb/EMD-25547), structure 19wt (EMD-25548, https://www.ebi.ac.uk/emdb/EMD-25548), structure  $20<sub>wt</sub>$  (EMD-25549, https://www.ebi.ac.uk/emdb/EMD-25549), structure 21wt (EMD-25550, https://www.ebi.ac.uk/emdb/EMD-25550), structure 22<sub>wt</sub> (EMD-25551, https://www.ebi.ac.uk/emdb/ EMD-25551), structure 23wt (EMD-25552, https://www.ebi.ac.uk/ emdb/EMD-25552), structure 24wt (EMD-25553, https://www.ebi.  $ac.uk/emb/EMD-25553)$ , structure  $25<sub>wt</sub>$  (EMD-25554, https:// www.ebi.ac.uk/emdb/EMD-25554), structure  $26<sub>wt</sub>$  (EMD-25555, https://www.ebi.ac.uk/emdb/EMD-25555), structure 27wt (EMD-25556, https://www.ebi.ac.uk/emdb/EMD-25556), and structure 28wt (EMD-25557, https://www.ebi.ac.uk/emdb/EMD-25557). Maps obtained during data processing (Appendix Table S7)from particle stacks that were compositionally and conformationally identical and were later combined were deposited in the EMDB under the following accession codes: structure 29<sub>wt</sub> (EMD-25588, https://www.ebi. ac.uk/emdb/EMD-25588), structure  $30<sub>wt</sub>$  (EMD-25589, https:// www.ebi.ac.uk/emdb/EMD-25589), structure  $31<sub>AdII</sub>$  (EMD-25590, https://www.ebi.ac.uk/emdb/EMD-25590), and structure  $32_{\text{AdII}}$ (EMD-25591, https://www.ebi.ac.uk/emdb/EMD-25591). Consensus maps (Appendix Table S7) that were used for focused classification were deposited in the EMDB under the following accession codes: structure 33<sub>AdII</sub> (EMD-25592, https://www.ebi.ac.uk/emdb/ EMD-25592), structure  $34<sub>AdII</sub>$  (EMD-25593, https://www.ebi.ac.uk/ emdb/EMD-25593), structure  $35<sub>wt</sub>$  (EMD-25594, https://www.ebi. ac.uk/emdb/EMD-25594), structure  $36<sub>wt</sub>$  (EMD-25595, https:// www.ebi.ac.uk/emdb/EMD-25595), structure 37 $_{\Delta dII}$  (EMD-25596, https://www.ebi.ac.uk/emdb/EMD-25596), structure 38wt (EMD-25597, https://www.ebi.ac.uk/emdb/EMD-25597), structure 39wt (EMD-25598, https://www.ebi.ac.uk/emdb/EMD-25598), and structure  $40<sub>AdII</sub>$  (EMD-25599, https://www.ebi.ac.uk/emdb/EMD-25599). Other high-resolution maps obtained during classification were deposited in the EMDB under the following accession codes: structure 41wt (EMD-25600, https://www.ebi.ac.uk/emdb/EMD-25600), structure 42wt (EMD-25601, https://www.ebi.ac.uk/emdb/EMD-25601), structure  $43<sub>wt</sub>$  (EMD-25602, https://www.ebi.ac.uk/emdb/ EMD-25602), structure 44wt (EMD-25603, https://www.ebi.ac.uk/ emdb/EMD-25603), structure  $45<sub>wt</sub>$  (EMD-25604, https://www.ebi.  $ac.uk/emb/EMD-25604$ , and structure  $46<sub>AdII</sub>$  (EMD-25620, https://www.ebi.ac.uk/emdb/EMD-25620). For each entry, the half maps, unsharpened map, mask used for postprocessing, and the Fourier correlation curve has been deposited as additional files. Primary map entries also have local resolution maps as additional files and consensus map entries have the focused classification masks included. Requests for materials and additional information can be directed to Dr. Tatyana Pestova ([tatyana.pestova@downstate.edu](mailto:tatyana.pestova@downstate.edu)) or Dr. Joachim Frank ([jf2192@cumc.columbia.edu\)](mailto:jf2192@cumc.columbia.edu).

Expanded View for this article is available online.

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#### Disclosure and competing interests statement

The authors declare that they have no conflict of interest.

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