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How neurons die in Alzheimer's disease: implications for neuroinflammation

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Abstract

Despite the long-standing observation of vast neuronal loss in Alzheimer's disease (AD) our understanding of how and when neurons are eliminated is incomplete. While previous investigation has focused on apoptosis, several novel forms of cell death (i.e. necroptosis, parthanatos, ferroptosis, cuproptosis) have emerged that require further investigation. This review aims to collect evidence for different modes of neuronal cell death in AD and to also discuss how these different forms of cell death may impact the neuroinflammatory environment that prevails in the AD brain. Improved understanding of how neurons die may help to delineate disease pathogenesis, provide insights toward treatment, and aid in the development of improved animal models of AD.

Keywords

Alzheimer's disease; cell death; neuroinflammation; apoptosis; necrosis; necroptosis; pyroptosis; ferroptosis; parthanatos; microglia; phagocytosis; amyloid beta; neurodegenerative disease; multiple sclerosis; neuroimmunology; disease-associated microglia

Introduction: The Shrinking Brain

Alzheimer's disease (AD) is the most common form of dementia affecting 1 in 9 individuals over the age of 65 in the United States [1]. It is characterized by extracellular deposition of amyloid-β (Aβ) plaques and intraneuronal, tau-containing neurofibrillary tangles (NFT), both of which are thought to provoke neuroinflammation, neuronal dysfunction, and

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COMPETING INTERESTS

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progressive neuronal loss. Despite the significant healthcare, economic, and social burden that AD imposes, there remains no effective treatment to slow disease progression.

Pathological examination of patients with AD suggests accelerated loss of brain mass and neuronal loss even in mild AD [2]. Despite this longstanding observation, the specific forms of cell death that contribute to neurodegeneration in AD are not fully defined. Answering the question of how and when neurons die is significant as it will help to uncover therapeutic targets to counter neurodegeneration. Several reasons likely underlie the lack of knowledge in this area. For one, neuronal death in AD patients and in models of disease is a gradual process; thus, histopathological assessment can only provide a snapshot of unfolding cell death. Additionally, knowledge of how cells die, and how to recognize different modes of cell death, is rapidly evolving as evidenced by the recent identification of multiple novel cell death pathways including necroptosis [3], ferroptosis [4], parthanatos [5], and cuproptosis [6]. Whether these recently identified forms of cell death contribute to AD is an active area of research. The goals of this review are to describe, in brief, the involvement of known forms of neuronal death, especially non-apoptotic forms, in AD and to further discuss their contributions to neuroinflammation.

How Alzheimer's Disease Kills Neurons

Apoptosis

Apoptosis was the first form of regulated cell death to be described [7] and is the mode of cell death that has been most extensively explored in AD. Neuronal apoptosis in AD has been extensively studied and reviewed elsewhere $[8-10]$ and, therefore, is not the focus in this review. Notably, however, given how potent Aβ has been reported to be in driving neuronal apoptosis in cell culture studies [11–15] and following intracerebral injections [16], it is somewhat surprising that more widespread neuronal apoptosis is not observed in post-mortem tissue samples of AD patients [17]. This may be due to increased resistance to apoptosis by mature neurons. Neurons are post-mitotic and, except for a few special circumstances, are not able to be replaced once lost. Homeostatic, mature neurons are largely resistant to apoptosis due to upregulation of anti-apoptotic Bcl-2 family proteins and downregulation of both the pro-apoptotic protein Bax and several pro-apoptotic BH3-only proteins [18]. Given the resistance of neurons to apoptotic cell death, consummate apoptosis may be a relatively infrequent event but, nonetheless, may contribute to neuronal loss in AD over time. Alternatively, several other forms of cell death may account for the widespread neuronal loss in AD.

Necrosis and Necroptosis

Necrosis is a form of cell death that results from overwhelming external injury (e.g. heat, pathogens, ischemia, etc.). It is characterized by organelle swelling (oncosis), rupture of the plasma membrane, and the release of pro-inflammatory, intracellular contents. This description of a passive necrosis can be contrasted with a regulated form of necrotic cell death known as necroptosis. Necroptosis is carried out by the effector proteins RIPK1 and RIPK3, and the executioner protein MLKL, which once activated oligomerizes and forms MLKL pores in the plasma membrane leading to cell lysis (Figure 1) [19]. In the absence

of Caspase-8 activity, which directs cells towards apoptotic cell death, necroptosis can be activated by death receptors (TNFR, Fas, TRAIL-R) and pattern recognition receptors (PRRs) (e.g. TLR3 and TLR4), among other triggers [20].

Early investigations using electron microscopy provided evidence that primary cortical neurons underwent necrotic, and not apoptotic, death when exposed to Aβ peptides. However, given what we know today it should be mentioned that this early study would not have been able to differentiate between various forms of lytic cell death [21]. Intriguingly, Tanaka and colleagues showed more recent evidence that neuronal necrosis plays a role in dementia and that it peaks at the stage of mild cognitive impairment (MCI) before the manifestation of clinical AD. They found elevated levels of high mobility group box-1 (HMGB1), a damage-associated molecular pattern (DAMP) released from necrotic cells, in the cerebrospinal fluid of MCI patients. This group also reported that YES-associated protein (YAP) was sequestered in intra-neuronal Aβ aggregates in MCI and AD tissue samples, and they hypothesize that loss of YAP activity leads to Hippo-dependent necrosis. This hypothesis was further supported by the observation that overexpression of YAP in 5xFAD mice rescued cognitive impairments and endoplasmic reticulum ballooning in neurons [22].

Multiple lines of evidence also support a role for necroptosis in AD. Yang and colleagues demonstrated reduced cell death in Aβ oligomer-treated immortalized hippocampal (HT22) cells upon treatment with necrostatin-1 (Nec-1), a pharmacological inhibitor of RIPK1, and subsequent necroptosis. Notably, they also showed a reduction in cortical plaque numbers in the APP/PS1 model of AD after i.v. administration of Nec-1 [23]. Studies from AD patients similarly point toward a role for necroptosis. For instance, Caccamo and colleagues observed increased RIPK1 and MLKL protein in post-mortem tissue of AD patients. They also showed increased colocalization of RIPK3 and MLKL, as evidence of necrosome formation, and additionally, necrosome formation was inversely correlated with brain weight and mini-mental status examination (MMSE) scores [24]. Subsequent studies have demonstrated similar phenotypes. For instance, increased expression of the active, phosphorylated forms of RIPK3 and MLKL were found in hippocampal tissue of AD patients, and pRIPK3 and pMLKL-positive neuronal expression was inversely associated with the density of total neurons in the hippocampus [25]. Furthermore, necrosome components have also been shown to localize to neurons exhibiting granulovacuolar degeneration (GVD) and shown to be associated with NFT pathology in hippocampal tissue from AD patients [26]. These studies point towards a prominent role for necroptosis in AD and suggest that necroptosis inhibition may offer a strategy to limit AD pathogenesis.

Pyroptosis

Pyroptosis is an inflammatory form of cell death that is triggered by the assembly of multiprotein complexes known as inflammasomes (Figure 1). Inflammasomes activate Caspase-1 and allow for secretion of pro-inflammatory IL-1 family cytokines. They additionally activate the protein Gasdermin-D, which oligomerizes at the plasma membrane leading to pore formation, the release of cellular contents, and eventual cell lysis [27]. This potent, inflammatory cell death can alert the immune system to infection and damage and plays a

protective role in the defense against specific pathogens [28]. In the brain, microglia express inflammasome components to the greatest extent, but expression in neurons has also been reported [29] during development [30], in infection [31], in brain hemorrhage [32], and in the context of neurodegeneration (e.g. Huntington's disease [33]).

Inflammasome signaling and pyroptosis have been strongly implicated in AD using animal models. In early studies utilizing primary cell culture, Halle and colleagues showed that the NLRP3 inflammasome was activated in microglia as a result of Aβ-induced lysosomal stress [34]. Further investigations showed that whole-body knock-out of constituent inflammasome proteins significantly ameliorated pathology in the APP/PS1 model of AD [35]. More recently, it was demonstrated that tau-hyperphosphorylation and aggregation are dependent on the NLRP3 inflammasome in mice treated with intracerebral $\mathsf{A}\beta$ [36]. While mounting evidence indicates pivotal roles for microglial NLRP3 inflammasome activation and pyroptosis in AD, much less is currently known about the involvement of neuron-specific pyroptosis in neurodegenerative disease pathogenesis. However, a study by Tan and colleagues has shed some light on this by providing evidence that the NLRP1 inflammasome drives neuronal pyroptosis in the APP/PS1 mouse model of AD. They showed colocalization of NLRP1 components with neurons as well as a reduction in neuronal loss and improved cognition after administration of NLRP1 siRNA in the cortex and hippocampus. Additionally, they reported that in vitro treatment of neurons with Aβ oligomers incites NLRP1-mediated pyroptosis [37]. A potential role for the NLRP1 inflammasome is also supported by evidence of NLRP1 genetic variants that are associated with AD [38]. Despite these advancements, further investigation is still required to fully characterize the role of neuron-specific pyroptosis. Current evidence supports several mechanisms by which AD pathology might drive activation of inflammasomes in neurons including lysosomal stress, ion flux, cell-death related DAMPs, and reactive oxygen species [39]. Another potential trigger of neuronal inflammasome activation and pyroptosis could be the hallmark of aggregated, hyperphosphorylated tau within neurons, as Tau has also been shown to activate the NLRP3 inflammasome in microglia [40].

Other forms of cell death (Ferroptosis, Parthanatos, Cuproptosis)

Other recently described forms of cell death may also contribute to neuronal loss in AD. Ferroptosis is an iron-dependent form of cell death that is driven by accumulation of reactive lipid peroxides (Figure 1) [41]. Intriguingly, the central regulator of ferroptosis, glutathione peroxidase-4 (GPX4), which converts toxic lipid peroxides into non-toxic lipid alcohols [42–44], was recently highlighted in an unbiased CRISPR screen to identify proteins necessary for neuronal survival under conditions of oxidative stress, which is common in many neurodegenerative diseases [45]. Supporting its role in neuronal viability, inducible neuron-specific deletion of GPX4 under the control of the Thy1 promoter in mice was shown to provoke rapid degeneration of motor neurons, paralysis, and death [46]. Likewise, it was also found that targeted deletion of GPX4 in Camk2α-expressing neurons leads to hippocampal neurodegeneration and cognitive deficits [47]. Moreover, ferroptosis has been implicated in other models of neurodegenerative disease including those used to study multiple sclerosis [48], stroke [49], amyotrophic lateral sclerosis [50,51], and Parkinson's disease [52,53]. In further support of a role for ferroptosis in AD, it has also recently

been shown that global over-expression of GPX4 in the 5xFAD mouse model limits both cognitive decline and the loss of cortical layer 5 neurons [54]. While increasing evidence suggests a potential role for ferroptosis in AD, additional studies are needed to further define specific markers of ferroptosis that can be deployed to measure its activation in AD patient samples [55].

Parthanatos is a form of cell death driven by the overactivation of poly (ADP-ribose) polymerase-1 (PARP-1). More specifically, hyperactive PARP-1 leads to the synthesis of PAR polymers which can cause mitochondrial membrane depolarization and the release of apoptosis inducing factor (AIF). AIF can then translocate to the nucleus where it plays a role in initiating DNA fragmentation and cell death [56,57]. PARP activity is stimulated by DNA damage and plays physiological roles in DNA damage repair [58]. Parthanatos may contribute to AD pathogenesis as increased DNA damage [59,60] and diminished DNA repair activity [61,62] have been observed in tissue from AD patients. Moreover, increased activity of PARP-1 has also been observed in the brains of AD patients [63] and AD mouse models [64]. Despite these connections that point towards a potential role for parthanatos in AD, this has not been studied in great detail to date and, thus, requires further investigation.

Cuproptosis has recently been proposed as a novel mode of cell death by which aggregation of lipoylated proteins and proteotoxic stress resulting from excessive copper accumulation leads to cellular demise [6]. While reports measuring copper in the AD brain are somewhat contradictory, there is evidence that increased labile copper may be associated with oxidative tissue damage in the brains of AD patients [65]; therefore, future studies exploring the role of cuproptosis in neurons and AD are warranted.

Neuronal cell death as a driver of CNS inflammation

In the relationship between neurons and inflammation in AD, it is convention to conceptualize inflammation as an actor upon neurons. Despite this convention, however, it is also the case that neurons can play an active role in spurring inflammation. This is the case with inflammatory forms of neuronal death that signal existential threats to neurons and glia (Figure 2). This bi-directional understanding of neuroinflammation in AD situates neurons as recipients and instigators of inflammation and may provide a fuller picture of the inflammatory landscape present in CNS disease. Moreover, characterizing the specific modes of cell death might aid in the understanding of how neurons may generate inflammation.

Apoptosis is typically an anti-inflammatory form of cell death. The apoptotic program leads to the release of anti-inflammatory factors such as lactate, IL-10, and TGF- β [66]. The result of apoptosis, however, can vary depending on the tissue and disease context. For instance, there is evidence that neuronal apoptosis may in fact disrupt the homeostatic microglial phenotype [67]. Other forms of cell death have more direct consequences for neuroinflammation. Lytic cell death as seen in necrosis, necroptosis, pyroptosis, and ferroptosis leads to the release of intracellular components that act as DAMPs to incite further inflammation. These include HMGB1, heat shock proteins, and nucleic acids which signal through PRRs (e.g. TLR4, AIM2) [68] to perpetuate neuritic damage and trigger

aberrant microglial activation [69]. Neurons that undergo pyroptotic cell death also lead to the release of potent inflammatory cytokines such as IL-1β [70]. IL-1β plays diverse roles in the brain with effects that include the activation of microglia and the loss of neuronal synapses [71]. Additionally, activation of necroptosis has also been associated with activation of the NLRP3 inflammasome and release of IL-1β [72]. Moreover, lack of Caspase-8 activity downstream of death receptor engagement, as is found in necroptotic cell death, has also been shown to disinhibit inflammatory pathways [19]. The unique consequences of cell death and mechanism of the execution in ferroptosis have yet to be unraveled, although given its lytic nature it is likely that ferroptosis may lead to the release of intracellular contents and DAMPs that can initiate inflammation [73]. Finally, parthanatos can lead to increased inflammation as a result of unchecked PARP-1 activation. However, while evidence exists to suggest that PARP-1 activation leads to pro-inflammatory responses in microglia [74], the consequences of PARP-1 stimulation on inflammatory cytokine production by neurons are less well defined and this remains an important area of future investigation.

Non-apoptotic cell death is associated with loss of homeostasis and often contributes to local inflammation through the release of DAMPs. Given the strong evidence for several forms of non-apoptotic neuronal cell death in AD, it is likely that neurons play integral roles in both the initiation and propagation of inflammatory responses during AD progression. Therefore, intervening in neuronal cell death may quell the neuroinflammatory environment that contributes to damage in AD.

Conclusion

How neurons are lost in AD is not well defined. It is apparent that several different forms of cell death may contribute to neuronal loss either independently or simultaneously. The study of neuronal cell death is only one thread in a much larger conversation surrounding the pathogenesis of AD. Recent research in the field has focused on the role that the brain resident macrophage, microglia, play in AD progression. This microglial focus largely stems from recent studies in AD patients indicating that many of the genetic risk factors for late-onset AD are strongly or, in some cases, exclusively expressed in microglia [75]. This has greatly improved our understanding of the contributions of immune signaling in disease. Concurrently, however, our understanding of how cells die and the overlapping pathways of cell death that can contribute to dysfunction, has evolved. Renewed interest and understanding of how neurons are dying in AD, and other neurodegenerative diseases, will help to provide new insights into neurodegenerative disease pathoetiology and may lead to novel therapeutic interventions. Improved understanding of how glia impact pathways leading to neuronal death and how glia survive in AD will also be an important area of future investigation.

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Abbreviations:

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Papers of Interest

- **1.** Chen et al. 2022 This paper implicates a role for ferroptosis in a mouse model of Aβ amyloidosis. Chen and colleagues show that global overexpression of the ferroptosis regulator GPX4 limits neuronal cell death and rescues some behavioral phenotypes in 5xFAD mice.
- **2.** Hambright et al. 2017 This study was the first to explore the role of GPX4 in forebrain neurons. The rapid degeneration of neurons lacking GPX4, driven by the Camk2a promoter, suggests that neurons are particularly susceptible to changes to lipid-redox status. The resulting cognitive deficits found in their model parallel those found in other models of neurodegenerative disease suggesting a possible role for GPX4 driven redox homeostasis and ferroptosis in neurodegeneration.
- **3.** Tian et al. 2021 Tian and colleagues performed genome-wide CRISPR interference and CRISPR activation screens in human neurons to evaluate how the selective loss or activation of discrete proteins impacts neuronal survival. Their screen identified GPX4 as a pivotal regulator of neuronal viability under in vitro oxidative stress conditions.
- **4.** Tsvetkov et al. 2022 This work identifies cuproptosis as a novel form of programmed cell death. They show that excess copper binds to lipoylated proteins including the subunit of pyruvate dehydrogenase, dihydrolipoamide ^S-acetyltransferase (DLAT), which may lead to toxic gain of function or toxic protein aggregation. They found that cells with increased mitochondrial respiration were more sensitive to cuproptosis. This paper introduced a novel mechanism of cell death that warrants further investigation in AD and other neurodegenerative diseases.
- **5.** Caccamo et al. 2017 This 2017 paper was the first to suggest that necroptosis may play a role in an animal model of AD. Here they found that necroptosis activation levels correlate with disease severity in postmortem AD brains. They also demonstrate that over-expression of constitutively active MLKL in an Aβ-driven mouse model of AD leads to more severe cognitive deficits and neuronal loss.
- **6.** Tan et al. 2014 Despite the numerous papers implicating the NLRP3 inflammasome in AD, this paper was the first to directly provide evidence for neuronal cell death by pyroptosis stimulated by the NLRP1 inflammasome. This suggests that inhibition of pyroptosis may be a viable therapy for AD with several potential targets.

Figure 1: Modes of programmed cell death that contribute to neurodegenerative disease.

Cell death mechanisms are listed here including triggers for cell death, the molecular effectors, hallmarks of cell death, and the associated inflammatory consequences. Apoptosis is a silent, or non-inflammatory, form of cell death that leads to cellular clearance without release of intracellular components. It is triggered by diverse stimuli and is enacted through two broad pathways: intrinsic and extrinsic apoptosis. These pathways converge on cleavage of Caspase-3. Cleaved Caspase-3 activates proteins to manifest the hallmarks of nuclear condensation (pyknosis), DNA fragmentation (karyorrhexis), cytoplasmic condensation, and membrane blebbing. Apoptosis leads to the release of TGFβ, IL-10, and lactate, which maintain homeostasis and provide anti-inflammatory signals. Necroptosis can be initiated by several signals in the absence of Caspase-8. Canonically, necroptosis takes place downstream of death receptors (TNFR, TRAIL, FAS). These receptors recruit RIPK1, which is autophosphorylated and goes on to phosphorylate and activate RIPK3, which then acts to phosphorylate MLKL. pMLKL is then able to oligomerize into a pore like structure at the plasma membrane leading to loss of cell polarity and eventual lysis. Necroptosis is an inflammatory form of cell death that is associated with the release of intracellular contents that can act as DAMPs.

Pyroptosis similarly results in cell lysis via membrane pore formation. It is initiated by the integration of two signals. The first primes the inflammasome by producing inactive substrates. The second signal is generated by intracellular pattern recognition receptors (e.g. NLRP3, NLRP1, AIM2) that are activated by diverse triggers and go on to form inflammasome complexes. Activation of these sensors leads to recruitment of the adaptor ASC and the activation of Caspase-1 activation. Active Caspase-1 cleaves substrates including pro-IL-1β, pro-1L-18, and Gasdermin D to their active forms. Active Gasdermin

D oligomerizes and forms pores in the plasma membrane, leading to release of cytokines and intracellular components, loss of membrane integrity, and eventual cell lysis. Pyroptosis is an inflammatory cell death that is associated with the release of proinflammatory cytokines and intracellular DAMPs.

Ferroptosis results from the build-up of toxic lipid hydroperoxides that form through lipids undergoing Fenton chemistry reactions with iron and interactions with other free-radicals, and also by the activity of lipoxygenase enzymes. Hydroperoxides lead to the generation of additional free-radical species that can damage lipids, proteins, and nucleic acids; although the ultimate executioner of cell death in unknown. GPX4 provides cellular defense against ferroptosis by neutralizing lipid hydroperoxides into lipid alcohols. Reductions in GPX4 or SLC7A11 activity, which provides reduced glutathione necessary for GPX4 activity, sensitizes cells to ferroptosis. Increases in the specific lipid substrates susceptible to peroxidation by enzymes such as ALCS4 can also sensitize cells. Ferroptosis is likely associated with release of intracellular contents that act as DAMPs. While, there are currently no widely used markers of ferroptosis, it has been noted that mitochondria in cells undergoing ferroptosis exhibit a fragmented/shrunken appearance, which can be evaluated through electron microscopy.

Figure 2: Neuronal cell death as a driver of neuroinflammation in AD.

Lytic neuronal cell death is associated with the release of pro-inflammatory DAMPs (e.g. HMGB1, HSP, and nucleic acids) which can incite and perpetuate inflammatory responses in glial cells or directly contribute to neuronal dysfunction (red arrows-path of inflammation). Conversely, inflammation may sensitize neurons to cell death and increase neuronal death (black arrow). Specific forms of neuronal death may be more inflammatory (i.e. pyroptosis requires activation of inflammasome complexes that cleave and activate pro-inflammatory IL-1 family cytokine) or less inflammatory through neuronal apoptosis, which is associated with the release of anti-inflammatory factors (e.g. TGF-β, IL-10, and lactate). Aβ, amyloid beta; AD, Alzheimer's disease; DAMPs, damage-associated molecular patterns; HMGB1, High Mobility Group; HSP, heat shock protein.

Table 1:

Evidence for different mechanisms of neuronal death in AD

Acronym Key: Aβ, amyloid beta; AD, Alzheimer's disease; ER, endoplasmic reticulum; FADD, Fas-Associated Death Domain; GPX4, glutathione peroxidase-4; HMGB1, High Mobility Group Box-1; MCI, mild cognitive impairment; MLKL, Mixed lineage kinase domain-like protein; MMSE, mini-mental status examination; Nec-1, necrostatin-1; PSNN, ponstosubicular neuron necrosis; RIPK1/3, Receptor-interacting serine/threonine-protein kinase 1/3; YAP, Yes-associated protein.