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HLA-B*46 associates with rapid HIV disease progression in Asian cohorts and prominent differences in NK cell phenotype

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SUMMARY

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Conceptualization, A.H., N.L.M., P.B.G., R.J.O., and R.T.; formal analysis and investigation, S.S.L., S.S., A.G., G.K., R.J.C., M.d.S., R.A., and R.T.; funding acquisition, N.L.M., S.V., and M.L.R.; methodology, P.K.E., L.B., M.C., and M.d.S.; project administration and supervision, R.T.; resources, J.A., A.C., T.H.H., C.S., P.L., S.P., L.A.E., E.K., N.P., and S.V.; writing – original draft, S.S.L., S.S., A.H., and R.T.; writing – review & editing, all authors.

DECLARATION OF INTERESTS

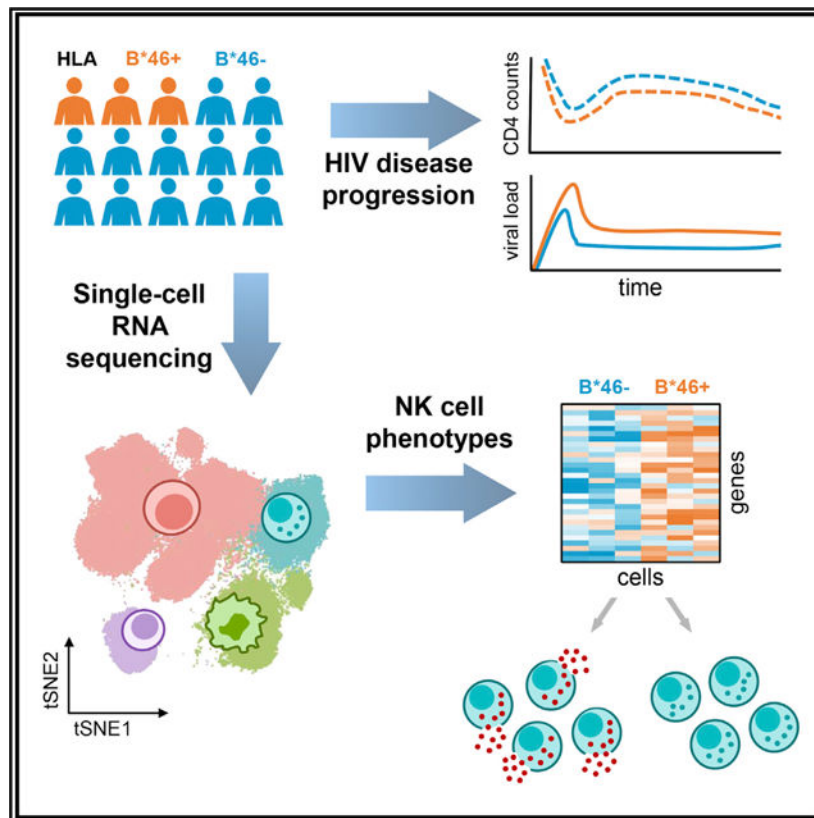
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SUPPLEMENTAL INFORMATION

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Human leukocyte antigen (*HLA*) alleles have been linked to HIV disease progression and attributed to differences in cytotoxic T lymphocyte (CTL) epitope representation. These findings are largely based on treatment-naïve individuals of European and African ancestry. We assessed *HLA* associations with HIV-1 outcomes in 1,318 individuals from Thailand and found *HLA*-B*46:01 (B*46) associated with accelerated disease in three independent cohorts. B*46 had no detectable effect on HIV-specific T cell responses, but this allele is unusual in containing an *HLA*-C epitope that binds inhibitory receptors on natural killer (NK) cells. Unbiased transcriptomic screens showed increased NK cell activation in people with HIV, without B*46, and simultaneous single-cell profiling of surface proteins and transcriptomes revealed a NK cell subset primed for increased responses in the absence of B*46. These findings support a role for NK cells in HIV pathogenesis, revealed by the unique properties of the B*46 allele common only in Asia.

Graphical Abstract



In brief

Li et al. show that B*46, the most frequent *HLA-B* allele in Thailand, is associated with HIV disease progression in three independent cohorts. This may result from differences in NK cell populations, specifically a subset with an activated phenotype that was found to be absent in people with *HLA*-B*46.

INTRODUCTION

Human leukocyte antigen (HLA) is a significant determinant in the host adaptive immune responses influencing human immunodeficiency virus (HIV) disease progression (Bashirova et al., 2011; Collins et al., 2020). Class I and class II genes comprising the *HLA* cluster encode the classical HLA class I (HLA-A, HLA-B, and HLA-C) and class II (HLA-DR, HLA-DQ, and HLA-DP) molecules, which are identified for their role in antigen presentation to CD8+ and CD4+ T cells, respectively. HLA class I molecules also function as ligands for activating and inhibitory killer immunoglobulin-like receptors (KIRs) on natural killer (NK) cells. *HLA* class I and class II genes are highly polymorphic, and *HLA-B* is recognized as the most diverse of all genes in the human genome. Variations in the *HLA* alleles have been shown to correlate with the differences in disease outcome for pathogens, including HIV. Initially, candidate gene studies demonstrated alleles such as B*57 and B*27 were protective against HIV disease progression (Gao et al., 2001; Kaslow et al., 1996; Migueles et al., 2000). Effects of *HLA* on HIV outcomes were further validated when significant associations were identified between *HLA-B* and HIV viral load (VL), as well as acquired immunodeficiency syndrome (AIDS) progression, by the first genome-wide association study (GWAS) in people living with HIV (PLWH) (Fellay et al., 2007). This was closely followed by validation from other large GWAS and candidate gene studies (Apps et al., 2013; McLaren et al., 2015; Pelak et al., 2010; International HIV Controllers Study et al., 2010). The mechanisms of *HLA* associations are likely to be, at least in part, through the effect on antigen presentation that triggers specific adaptive, cell-mediated, and humoral immune responses enabling effective viral control. This is supported by the *in vivo* observation of predictable patterns of viral escape mutation in defined cytotoxic T lymphocyte (CTL) epitopes and, for the most potent epitopes, reversion of these viral sequences upon transmission of the virus to a host lacking the restricting HLA allotype (Friedrich et al., 2004; Leslie et al., 2004).

The majority of the association studies described were identified in large multicentric longitudinal natural infection cohorts, conducted prior to the initiation of antiretroviral therapy (ART), among participants of primarily European and African American ancestry. There are very few cohorts with longitudinal measurements of HIV VL and CD4+ T cell counts (CD4 counts) among ART-naïve individuals since the successful implementation of a universal test-and-treat (UTT) strategy, where ART is started following HIV diagnosis regardless of CD4 count and WHO clinical stage. As a consequence, there is a lack of consensus regarding *HLA* alleles that may influence HIV outcomes among individuals from other geographic regions, including Asia. Thailand accounts for 9% of the Asia-Pacific region's total population of PLWH and has one of the highest HIV prevalence rates in the region (avert.org). Although the epidemic is in decline, HIV incidence remains high among key affected groups, with young people particularly at risk. Given the large burden of HIV in Thailand, there has been a concerted effort by the government to advance HIV prevention interventions and research, including vaccination. Incidentally, the only HIV vaccine trial to show a modest efficacy was conducted in Thailand (Rerks-Ngarm et al., 2009). Among those enrolled in the RV144 study, specific *HLA* class II alleles were observed to modulate the binding antibody responses that were identified as immune correlates of risk (Haynes

et al., 2012; Prentice et al., 2015). In fact, differences in host HLA may have been one of the contributing factors of non-efficacy in the HVTN 702 HIV vaccine trial that employed a similar canarypox/protein regimen in South Africa (Gray et al., 2021). Moreover, in the context of natural susceptibility, there have been no consistent and reproducible findings of *HLA* with HIV acquisition in populations of European and African ancestry (McLaren and Carrington, 2015), and there still remains a knowledge gap in Asian populations.

Only very recently, with increasing use of next generation sequencing (NGS) technologies, has the diversity of *HLA* genes themselves been explored at high resolution in Thailand, which has been shown to be distinct when compared with European, African, and neighboring Asian populations (Geretz et al., 2018; Prentice et al., 2014). These prior population genetic studies facilitated this in-depth *HLA* disease association analysis, using contemporary cohorts with participants of Thai Asian ancestry. Given the potential impact of various *HLA* alleles on HIV acquisition and pathogenesis, these results will better inform susceptibility and disease outcomes, which are important for considering treatment and/or vaccine effectiveness, as well as contextualize human host determinants influencing the epidemiology of HIV. We present herein a comprehensive investigation of *HLA* associations and their function with HIV disease outcomes, including acquisition and disease progression in multiple cohorts from an Asian population.

RESULTS

***HLA* alleles do not associate with HIV acquisition**

The association of *HLA* class I alleles on acquisition was examined among participants from the Bangkok men who have sex with men (MSM) Cohort Study (BMCS), a high HIV incidence cohort of MSM, where HIV outcomes were followed quarterly for up to 60 months (van Griensven et al., 2013). *HLA* genotypes were determined for a subset of enrolled participants (n = 678), and alleles with frequencies >5% were included in the analyses. Associations between acquisition and *HLA* alleles were investigated using a case-control study design, including seroincident cases (n = 274) and controls from the same cohort that remained negative even after repeated exposure to HIV (n = 404). None of the 31 tested *HLA* alleles associated significantly with HIV acquisition (Table S1).

***HLA-B*46:01* associates with increased disease progression in treatment-naive cohorts**

To investigate *HLA* class I associations with disease progression, we examined the association of *HLA* alleles with HIV progression outcomes in a discovery cohort and then validated findings in a second independent cohort (Rerks-Ngarm et al., 2013; van Griensven et al., 2013). We genotyped all 114 PLWH from the RV152 study that enrolled HIV seroincident participants from the RV144 HIV vaccine trial in Thailand (Rerks-Ngarm et al., 2009). We first examined the untreated participants from the placebo arm (n = 65) for *HLA* associations as part of the discovery cohort. A total of 31 *HLA* class I alleles with a frequency >5% were selected for further investigation. HIV disease progression outcomes examined included time to decline (in months) of CD4 counts (<350 cells/mm³) prior to ART initiation, time to ART initiation, and levels of VL (copies/mL) prior to ART initiation. Time to CD4 decline was significantly faster among individuals with specific *HLA* alleles

A*02:07 (hazard ratio [HR] = 3.9, $p = 0.003$, $q = 0.028$); B*46:01 (HR = 5.01, $p = 0.0001$, $q = 0.004$); and C*01:02 (HR = 3.9, $p = 0.0005$, $q = 0.007$) (Tables 1 and S2A; Figures 1A and 1B). The HLA-B*46:01 allele was also significantly associated with decreased time to ART initiation (HR = 4.11, $p = 0.001$, $q = 0.04$) and higher VL in this pre-UTT cohort (geometric mean ratio [GMR] = 2.74, $p = 0.007$, $q = 0.20$) (Figure 1C; Tables 1, S2B, and S2C). We next examined the effect of these three alleles using univariate analyses with HIV disease outcomes in a second independent cohort from a subset of seroincident cases diagnosed during follow-up in the BMCS. Among the subset consisting of HIV incident cases with known last pre-ART visits ($n = 207$), the rate of CD4+ T cell decline to <350 cells/mm³ and VL burden were significantly greater in individuals with the B*46:01 and A*02:07 alleles but not in those with C*01:02 (Tables 1 and S2D). Using a multivariate model to simultaneously test independent effects of these two alleles in the discovery cohort, only the B*46:01 allele association with HIV progression remained statistically significant (Table S2E). Further analyses in the vaccine arm of the RV152 study ($n = 49$) showed the association of B*46:01 with disease progression in the same direction for all three outcomes, but it remained significant only for one (Table S2F).

B*46:01 also associates with decreased CD4 counts during acute HIV infection

We explored the HLA-B*46:01 association with disease progression in a cohort of people newly diagnosed with acute HIV infection (AHI) in Thailand (RV254) (Ananworanich et al., 2013; De Souza et al., 2015). Following AHI diagnosis, analyzing a single baseline VL and CD4 count available for each participant prior to ART initiation ($n = 526$) found no significant association between B*46:01 and the baseline VL measurement; however, B*46:01 was significantly associated with lower baseline CD4 counts (beta = -0.03 , $p = 0.046$) (Figure 1D; Table S2G). Similar associations were also observed in the previous natural history cohorts (RV152: beta = -0.19 , $p = 0.0006$; BMCS: beta = -0.06 , $p = 0.055$) (Figure 1D; Table S2G). These findings point to a strong association of B*46:01 with loss of CD4 counts in multiple independent cohorts. NGS high-resolution analyses in all three independent cohorts from Thailand revealed the presence of only the B*46:01 subtype, and we will refer to this allele as B*46 hereafter.

HIV-specific T cell responses detected do not differ in the presence of HLA-B*46

The strongest evidence for the effects of HLA on HIV pathogenesis observed in European and African cohorts have been via the recognition of presented viral epitopes by CD8+ T cells. Therefore, we assessed interferon-gamma (IFN- γ) responses in 99 HIV-infected individuals from RV152 by assessing ELISpot responses to overlapping peptides spanning HIV envelope (Env) and Gag. Since there were no significant differences in HLA-A and HLA-B frequencies between the participants in the placebo or vaccine arm, we combined the individuals from the two groups for subsequent T cell analyses (Table S3A). Positive responses to at least one peptide were observed in over 50% of the study group and were predominantly to Gag. For either HIV protein, comparing the frequency of responses between participants with or without the B*46 allele showed no significant differences, suggesting that functional T cell responses targeting these HIV proteins may not account for the worse outcomes of infection observed in B*46+ individuals (Figure 2A). We also looked for peptides for which CTL responses associated with common class I alleles (frequency

>5% in this cohort) in the same dataset. We did not find any B*46-associated epitopes that were significant, but this was also the case for multiple other alleles, although we did observe a very high frequency of significant HLA-B*15:02-associated viral epitopes targeting Gag and Env (Figure 2B) that were not significantly more frequent in individuals with or without B*46 (Table S3B).

Altered NK cell phenotype in B*46+ individuals with HIV

Although the strongest evidence for the effects of other HLA on HIV pathogenesis have been via CD8+ T cells, the B*46 allele is notable for displaying unique characteristics in terms of binding to NK cell receptors. The B*46 allele is a genetic recombinant of the B*15:01 and C*01:02 alleles, where alignment shows the B*46 is largely homologous to B*15:01 but with an 11-aminoacid region in exon 2, which is homologous to HLA-C*01:02 (Figure 3A; Abi-Rached et al., 2010). Importantly, the B*46 recombinant pattern observed includes HLA-C epitopes that mediate binding to KIR expressed on NK cells. The crystal structure of B*46, with mapped contact sites that were identified to confer binding of HLA-C to KIR2DL3 (Moradi et al., 2021), emphasizes that the HLA residues corresponding to HLA-C in HLA-B*46 are those which contribute substantially to KIR binding (Figure 3B). HLA-B*46 binds to the variable KIR2DL2/2DL3 (Moesta et al., 2008). *KIR2DL2* was present in 42% of the samples in the RV152 discovery cohort but did not associate with an effect on time to CD4 <350 or VL independently or in the presence of B*46 (Figures S1A and S1B). Therefore *KIR2DL3*, which was present in almost all individuals (95%), may modulate NK cell function via recognition of B*46.

We next investigated which cell types showed differences in gene expression among HIV-infected individuals that carried or lacked HLA-B*46. Whole transcriptome RNA-seq profiling was performed on major PBMC populations sorted from longitudinal sampling of 7 viremic subjects of the RV217 prospective acute infection cohort at four different time points including preinfection, pre-peak, peak, and set point (Robb et al., 2016; Figure S2). We identified a higher distribution of significantly enriched gene signatures ($p < 9.3e13$) in the absence of the B*46 allele for NK cells at the VL set point, which was not seen for the other cell subsets including CD4+ T cells, CD8+ T cells, and B cells (Figure 3C). Permutation analysis indicated that 22 or more pathways enriched in B*46- versus B*46+ people occurred in fewer than 0.7% of 1,000 permutations and is unlikely to occur by chance (Figure S3). Genes from 24 of 26 pathways enriched in NK cells showed higher relative expression in the B*46- group compared with the B*46+ group (Figure 3D, Table S4). The top significant enriched module (M11.0) was present at the set-point time point among sorted NK cells (NES = 2.8, $p < 0.001$, $q < 0.001$) and contained genes related to degranulation, cytokine signaling, and inflammatory responses (Figure S4A). The second significant pathway enriched at set point was the M37.0 module (NES = 2.2, $p < 0.001$, $q < 0.001$) with genes related to infection and immune activation (Figure S4B). Overall, the observed expression of these pathways suggests a more activated NK cell phenotype in the absence of B*46 (Figure S4). That this unbiased screen detected the presence of B*46 to have the greatest effect on gene expression in NK cells among infected individuals, compared with other major cell types, supports the interpretation that the epidemiological

association we observed between B*46 and loss of HIV control may be mediated by an effect of NK cells.

Single-cell transcriptomics uncovers NK cell subsets that demonstrate expression profiles which associate with HLA-B*46

To investigate with increased granularity the differences in NK cells that might shed light on how B*46 influences HIV disease outcomes, we used cellular indexing of transcriptomes and epitopes by sequencing (CITE-seq) to simultaneously profile both transcriptomes and 63 cell surface markers from the same single cells. Since greater differences in enriched pathways between the B*46+ versus B*46- groups had been identified at VL set point, compared with other time points of HIV infection, we hypothesized that the B*46-associated phenotype would be most apparent when viremia was suppressed and not during acute infection. We therefore generated CITE-seq data from peripheral blood for 18 treated PLWH. Cells were first clustered using the protein markers, and for each of these major populations, differentially expressed genes (DEGs) were identified between people with and without B*46, of which the population with the greatest frequency of DEGs was NK cells (Figure 4A). The results remained the same when NK cells were identified based on the CD56 marker alone (Figure S5A). The two major known NK cell populations, CD56^{dim} and CD56^{hi} subsets, were corroborated by inspection of CD16 (*FCGR3A*), CD56 (*NCAMI*), and CD57 (*B3GATI*) protein and gene expression (Figure S5B). There were no significant differences in frequencies of NK cell clusters between the B*46+ and B*46- groups (Figures S5C and S5D). We next subclustered the NK cell compartment based on all proteins measured. With further clustering CD56^{hi} cells remained distinct (cluster 3 with top expressing markers *GZMK*, *XCL1*, *SELL*, CD56, CD62L, CD27), but the larger group of CD56^{dim} cells divided into three clusters (0–2) (Figures 4B and 4C). Cluster 0 was differentiated most strongly by markers *GZMH*, *CCL5*, and CD95; cluster 1 by *GZMH*, *KLRC2*, CD45RA, and CD16; and cluster 2 by *FCER1G*, *KLRB1*, CD38, and CD161. There were again no significant differences in frequencies of NK cell clusters 0–3 between the B*46+ and B*46- groups (Figures S5E and S5F). We performed differential expression analyses within the four clusters to identify significant genes and proteins that were either up- or downregulated in the presence or absence of B*46. The top DEG in all clusters associating with lower expression in the B*46+ group was *HLA-B* (Figure 4D). This was supported by surface antibody markers detecting lower expression of *HLA* class I as one of the top hits in the B*46+ group for clusters 0–2 (Figure 4E). To identify the biological nature of the perturbations associating with B*46 in each of the four clusters, we performed gene set enrichment analyses (GSEAs) on predefined collections of genes and found four significantly enriched pathways in the B*46 group in cluster 2, which were all related to immune activation ($q < 0.01$) (Figure 4F). Leading edge analyses of overlapping enriched genes in the pathways from cluster 2 identified *CD3E*, *LCK*, and *ZAP70* in the B*46- group (Figure 4G). The pathways identified in cluster 2 were not significantly enriched in the B*46- group in the combined CD56^{dim} NK cell population (NES = -1.24–1.43, FDR > 0.01). Although we confirmed the presence of the B*46-associated cluster using scRNA-seq during acute infection, we did not identify *HLA-B* as the top DEG or observe significant enrichment of pathways in the cluster when grouped by B*46 (Figures S6A and S6B). Thus,

in PLWH we detected a subset of CD56^{dim} NK cells, differentiated by both gene and protein markers, that demonstrates a more activated phenotype in B*46-treated individuals.

A specific NK cell population, but not the phenotype associating with B*46, is present prior to HIV infection

To investigate if differences in the NK cell population are only observed in the context of HIV infection, we next performed CITE-seq in people without HIV (PWOH) (n = 9). Unsupervised clustering revealed three subpopulations (0–2 clusters) of NK cells (Figure 5A). These included the CD56^{hi} and two CD56^{dim} NK cells populations of which cluster 1 expressed the same features which identified it as the cluster that displayed an activated phenotype associating with absence of B*46 in treated PLWH. These cluster markers include *FCER1G*, *KLRB1*, CD38, and CD161 (Figure 5B). The top DEG between B*46+ and B*46– individuals was *HLA-B*, which showed decreased expression in all NK clusters for people with B*46, as was seen for the treated PLWH (Figures 5C and 5D). Based on surface antibody staining *HLA* class I was only differentially expressed in cluster 0 (Figure 5E). However when GSEA was performed, we did not observe enrichment of pathways in the B*46– group that were enriched in this cluster at the ART time point. Thus, we identified a subset of CD56^{dim} NK cells that is present prior to infection, which develops a phenotype of increased activation after infection in the absence of B*46, that may underlie the decreased acute CD4 counts and accelerated disease progression observed in B*46+ individuals.

DISCUSSION

HIV infection is typically associated with an acute viral syndrome, followed by a prolonged generally asymptomatic period eventually leading to clinical AIDS. The kinetics of disease progression from AHI to AIDS, in the absence of treatment, can vary between individuals from 1 year to more than 35 years for some rare individuals referred to as elite controllers (Goulder and Walker, 2012). Host genetic variations, specifically *HLA* alleles, have been shown to influence HIV disease progression and outcomes, but these associations were often identified from analyses of large natural history cohorts comprising participants of European or African ancestry. In Asian populations, reproducible *HLA* associations with HIV outcomes have not previously been observed, potentially due to a lack of cohort collections of sufficient sample size, differences in ART initiation criteria, and understudied genetic diversity (Gandhi et al., 2016; Wei et al., 2015). To address this, we systematically genotyped *HLA* class I genes at high resolution from independent Thai cohorts and evaluated associations with HIV acquisition and disease progression in ART-naive PLWH. Significant associations were validated separately in independent cohorts to demonstrate reproducibility of observed associations.

We were unable to identify significant associations of common *HLA* variants among Thai participants with HIV acquisition, consistent with prior investigations in non-Asian populations. We did identify significant associations of HLA-A*02:07, B*46:01, and C*01:02 with indicators of HIV disease progression outcomes prior to ART initiation, including time to decline of CD4 count to <350 cells/mm³, time until meeting criteria

for ART initiation, and VL in the primary discovery cohort. While B*46 and C*01:02 are in strong positive linkage disequilibrium ($D' = 1.0$, $p < 0.001$), the association of the *HLA-C* allele on disease progression did not validate in a replication cohort. Only alleles A*02:07 and B*46 were associated with indicators of HIV disease progression in a second independent Thai cohort of treatment-naive people with HIV. When testing for an independent effect, only the B*46 association remained significant in a multivariate analysis. The B*46 allele is unique in several regards. The B*46 serotype does not trace from an African lineage, distinguishing it from all other known B serotypes. Instead, the B*46 haplotype resulted from a recombination event between B*15:01 and C*01:02 alleles and likely occurred more recently in Southeast Asian populations (Abi-Rached et al., 2010; Hilton et al., 2017). B*46:01 is not only the most common *HLA-B* allele but also the only B*46 subtype present in Thailand, and it is found wherever Asian wet-rice farming peoples have traveled (Geretz et al., 2018; Prentice et al., 2014). It has been reported to associate with protection from leprosy and observed to show a much larger peptidome and to bind with significantly higher affinity to *Mycobacterium leprae*-derived peptides, compared with the B*46 parent alleles (Abi-Rached et al., 2010; Hilton et al., 2017; Wang et al., 1999). Most HLA class I A and B allotypes carry a unique public epitope (Bw4 or Bw6), of which the Bw4 epitope binds to three domain KIR receptors on NK cells. HLA-C, however, possesses either a C1 or C2 epitope that binds to two domain KIR. HLA-B*46 is one of the few B alleles that does not carry the Bw epitope, but it carries the C1 epitope from the parental allele C*01:02 (Moesta et al., 2008). As a result, HLA-B*46 is a ligand for KIR2DL2/L3 and considered a strong educator of 2DL3 expressing NK cells (Barber et al., 1996; Yawata et al., 2008; Zemmour and Parham, 1992).

Our analyses demonstrated an association of B*46 with HIV disease progression outcomes in two independent cohorts, and we also observed a significant association of B*46 with decreased absolute CD4 counts in an AHI cohort having one measurement prior to ART. The effect of B*46 on CD4 counts was also significant in our initial discovery and validation cohorts examining longitudinal specimens, and clinical data prior to ART initiation and lower CD4 counts are established to associate with increased disease progression and mortality (Hogg et al., 2001). Although previous guidance for ART initiation was linked to CD4 counts of less than 200 or 350 cells/mm³, current test-and-treat guidelines indicate ART should be initiated soon after diagnosis, regardless of CD4 count (WHO, 2015). Consequently, early diagnosis, treatment initiation, and sustained ART adherence may be particularly important for improving long-term HIV disease outcomes among persons carrying the B*46 allele (associated with lower CD4 count in ART-naive individuals) and may be a consideration for the UTT program in regions where this allele is prevalent.

Decreased CD4 counts during HIV infection result from a failure of virologic control (Ho et al., 1995), and the underlying mechanism for this in B*46+ people warrants further study. We did not observe any effect of B*46 on HIV-specific T cell responses detected by screening of overlapping peptides spanning Env or Gag by IFN- γ ELISpot assay. This assay used peptides of 15 residues and can detect both CD4 and CD8 T cell responses. Frequencies of T cell responses were no different between donors with or without B*46. Further, although no individual peptides showed an association with a CTL response when people carrying versus lacking B*46 were compared, this was not

significantly different from other alleles of similar frequency. Interestingly, the highest number of peptides for which a response associated with any allele was B*15:02, which differs by only 5-amino-acid residues from B*15:01, which is the major parental allele of B*46:01. However, none of the 10 peptides that demonstrated a response associated with the presence of B*15:02 showed significant association for a response with the presence of B*46. This suggests the allele differences are sufficient to be functionally significant, and indeed specifically B*15:02, but not B*15:01 or B*46:01, has been shown to associate with hypersensitivity to carbamazepine in people of Asian ancestry (Chung et al., 2004). Together, these observations argue that rather than influencing T cells, the KIR epitopes of B*46 and their effects on NK cells may contribute to the larger effect of this allele on disease progression. Due to strong LD, all B*46 carriers also carry the C*01 type, resulting in the expression of at least two C1 ligands recognized by KIR2DL2/3 receptors. HLA-B molecules are expressed at levels around 10–20 times higher than HLA-C molecules, suggesting that B*46 would predominate when compared with C*01 in providing C1 epitopes for KIR2DL2/3, and the functional consequences of the higher expression level of this KIR ligand (B*46) on NK cells are not well established (Apps et al., 2015). A study of HLA-KIR pairs on HIV outcomes in a Thai cohort showed increased mortality and VL in people with B*46 and *KIR2DL2* (Mori et al., 2018). Although this study reported a combined *HLA* and *KIR* association, they did not show a direct effect of B*46 on HIV outcomes. By contrast, we observed a strong association of B*46 with VL and other HIV disease outcomes, irrespective of *KIR2DL2*. Our interpretation is that any effect of B*46 binding KIR in individual cells likely occurs far upstream of the phenotypes we are now observing, where subsequent influence on development of the NK cell compartment as a whole and then response to viral infection in the context of broad innate and adaptive interactions have likely disconnected KIR expression from the NK phenotypes that we are documenting in B*46+ individuals. Since almost all participants from these Thai cohorts possessed *KIR2DL3*, we surmise this may mediate effects of B*46 on the NK compartment to negatively impact viral control in the host.

We found evidence for NK cell differences in individuals with B*46, consistent with alternative mechanisms for more rapid disease progression. In the context of infection, HIV-1 encoded Nef and Vpu proteins downregulate different HLA class I molecules, to subvert CTL responses which are primarily restricted by HLA-A/B, while preserving inhibition of NK cells for which C1 can act as a ligand for inhibitory receptors KIR2DL2/3 (Apps et al., 2016; Cohen et al., 1999; Collins et al., 1998). Although the Nef protein downregulates all HLA-B allotypes, including B*46, on CD4+ T cells infected *in vitro*, protein expression of HLA-B remains 2–5 times higher than HLA-C (Apps et al., 2015). Among individuals carrying the B*46 allele, this residual expression of a C1 epitope could inhibit NK cell killing of infected CD4+ T cells, leading to increased viral replication and CD4+ T cell decline. In support of this hypothesis, we observed an effect on NK function in treatment-naïve AHI individuals carrying B*46. We found that there were more significant enriched pathways in sorted NK cells from B*46– compared with B*46+ individuals at the HIV VL set point, indicating that NK cells are differentially responsive in individuals carrying the B*46 allele. In comparison, there were no differences in other cell subsets, including CD4+ T cells, CD8+ T cells, and B cells. We hypothesized that the changes in NK

cells are more dramatic when viremia is at a steady state and confirmed these findings using scRNA-seq.

Single-cell analyses in PLWH supported the hypothesis of NK inhibition in B*46+ individuals but revealed a possibility of differences in the NK compartment in the absence of active viral replication, which could potentially impact disease progression when virus is encountered. Single-cell RNA-seq in participants during AHI (peak viremia) revealed a very high expression of interferon-stimulated genes due to increased VL and did not reveal any differences in the presence of B*46. Given that transcriptomic differences in bulk-sorted NK cells during HIV infection were mainly observed at the VL set point, we hypothesized that differences in the B*46 phenotype would be apparent when viremia was suppressed. In an unbiased approach comparing effects of B*46 in individuals with effective treatment, NK cells showed the highest number of DEG. These differences were driven due to DEGs in the CD56^{dim} NK cell population. To delineate biological perturbations at the granular level, further NK cell subcluster analyses identified variation in the expression of HLA-B/class I as the strongest host factor that differentiated B*46+ versus B*46- people. Variations in HLA expression, based on alleles and their impact on HIV disease progression, have been reported for HLA-A and HLA-C but not for HLA-B (Apps et al., 2013; Ramsuran et al., 2018; Thomas et al., 2009). Although the difference in HLA-B*46 expression may be a contributing factor to the genetic findings, we performed gene set pathway analyses to avoid confounding effects linked to a single gene. GSEA defined a subset of CD56^{dim} NK cells with an increased activation phenotype in people without B*46. The enriched subset was marked by increased expression of *HLA-B*, *HLA-DRB1*, *GZMH*, and class I associated with lymphocyte activation and cytotoxicity. The activation phenotype was driven by leading edge genes *LCK* (*lck*) and zeta-chain-associated protein kinase-70 (*ZAP-70*) that encode intracellular kinases involved in NK activation signaling downstream of canonical immunoreceptor tyrosine-based activation motifs (ITAMs) receptors (Lanier, 2008; Ting et al., 1995). Modulation of this cluster demonstrates parallels to contrasting markers of NK cell activation (CD38) and inhibition (CD161 [*KLRB1*]) previously reported for CD56^{dim} NK cell populations (Lanier et al., 1994; Sconocchia et al., 1999), but the phenotype is only observed in a subset of this larger NK cell population. This NK population was also observed in people with no HIV infection, although the activated phenotype was not present. Overall, our single-cell *in vivo* protein and mRNA data showed a phenotypic difference in NK cell profiles between people with and without HLA-B*46, revealed after HIV infection in the absence of viremia, where a lack of the activated NK cell population identified in people with B*46 may underlie their worse outcomes in HIV infection.

Remarkably, more than 25 years after the first description of specific *HLA* allele associations with HIV outcomes in multiple cohorts, we report that the presence of the HLA-B*46 allele likely impacts HIV outcomes among Asian populations, suggesting a role for NK cell inhibition in loss of HIV control (Kaslow et al., 1996). More broadly, understanding what contributed to B*46 expansion in Asia and its effects in other diseases may be helpful in uncovering the role of NK cell functions *in vivo*. Given that *HLA* associations also differ based on HIV subtypes, investigations of *HLA* associations with virus escape and infection outcomes with the major subtype that is spreading rapidly through Asia, CRF01_AE, are also warranted.

STAR★METHODS

RESOURCE AVAILABILITY

Lead contact—Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Rasmi Thomas (rthomas@hivresearch.org).

Materials availability—The study did not generate unique reagents.

Data and code availability—RNA-seq data has been deposited at GEO and are publicly available as of the date of publication. Accession number is listed in the key resources table.

All original R code are available in the figshare repository and is publicly available as of the date of publication. DOI is listed in the key resources table.

Any additional information required to reanalyze the data reported in this paper is available from the lead contact upon reasonable request.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Human subjects—*HLA* genetic associations with HIV outcomes were assessed independently in three cohort studies from Thailand, including RV152 (Rerks-Ngarm et al., 2013), BMCS (van Griensven et al., 2013), and RV254 (Ananworanich et al., 2013; De Souza et al., 2015). **RV152:** The discovery cohort examined included men and women heterosexual participants 18–30 years of age from the RV144 HIV vaccine trial conducted in Thailand who were HIV-1 negative at the first placebo or candidate vaccine visit and acquired HIV-1 infection during the 42 months of follow-up (Rerks-Ngarm et al., 2009). The time of HIV acquisition was defined as the mid-point between the last HIV-negative and first HIV-positive result. All participants from the RV144 vaccine trial that seroconverted during follow up were enrolled in the RV152 long-term post-infection follow-up study to evaluate post-infection endpoints with an assessment every six months. A total of 114 HIV-positive participants (65 placebos and 49 vaccine recipients) were enrolled in the RV152 long-term post-infection study and had clinical data, including time to decline of CD4 count (<350 cells/mm³), time until meeting criteria for ART initiation, and longitudinal VL measurements. Study follow-up visits were scheduled at 0, 1, 3, and 6 months and every 3 months thereafter. After month 12, CD4 counts and VL were obtained at 6-month intervals until reaching a CD4 count <350 cells/mm³ or ART was initiated, at which time CD4 counts and VL were obtained every 3 months. **BMCS:** The second independent validation cohort, the Bangkok men who have sex with men cohort study (BMCS) enrolled participants ages 18–65 at the Silom Community Clinic Bangkok, Thailand between 2006–2010. HIV/STI testing, clinical assessments, and survey of risk information for participants were conducted every 4 months for up to 60 months of follow up (van Griensven et al., 2013). Incident HIV cases diagnosed during the BMCS were followed once per month for the first 8 months, month 12, and month 16 following diagnosis of HIV. PBMCs from participants diagnosed with HIV during follow up ($n = 274$) and seronegative participants (at study exit) that could be re-consented for the collection of PBMCs for genetic testing ($n = 404$) were included in these analyses. Clinical measurements available included seroconversion

date, CD4 counts, HIV VL, and date of ART initiation. Individuals who initiated ART were censored at their last visit pre-ART. The estimated date of infection (EDI) was defined as the median between the date of last negative and first positive HIV test. **RV254:** The RV254 cohort (SEARCH 010) enrolled predominantly male Thai participants ages 18–70 with AHI (Fiebig stages 1–5), and explored the impact of early ART on immune responses and HIV disease progression (Ananworanich et al., 2013; De Souza et al., 2015). Participants had one baseline measurement of HIV VL and CD4 counts (2–3 days after diagnosis) prior to ART initiation. We selected 526 participants from this study for HLA analyses. For scRNA-seq analyses PBMCs from 18 matched participants (1:2) treated during AHI and 6 matched participants (1:2) during AHI (Fiebig stage 3) were selected from people with and without HLA-B*46. **RV217:** This study enrolled HIV-negative men and women participants 18–50 years of age to follow progression of the early stages of acute HIV infection (Robb et al., 2016). Blood from participants was collected twice weekly for HIV nucleic acid testing. Incident HIV cases were followed twice weekly for the first 4 weeks after detection and enrolled into a second phase that included intensive longitudinal study of AHI, including viremia and host responses. ART was initiated promptly as indicated by the clinical team as per the Thai national guidelines. Longitudinal samples from 7 male participants from 4 different time points (HIV negative, pre-peak, peak and setpoint) were selected for this study.

All participants from the aforementioned human studies provided informed consent and use of samples for research was approved by ethical review boards at the Walter Reed Army Institute of Research, the Thai Ministry of Public Health, the Royal Thai Army Medical Department, Mahidol University Faculty of Tropical Medicine, Chulalongkorn University Faculty of Medicine.

METHOD DETAILS

HLA and KIR genotyping—High-resolution typing of class I *HLA-A*, *-B*, and *-C* loci was performed on all selected participants from the different cohorts using either DNA sequence-based typing (SBT) or NGS *HLA* genotyping methods. SBT was carried out by PCR amplification and sequencing of exons 2 and 3 as described previously (Lazaro et al., 2013; Prentice et al., 2014). NGS *HLA* genotyping was performed by sequencing full-length *HLA* genes as described previously (Ehrenberg et al., 2014; Ehrenberg et al., 2018). *HLA* alleles with frequencies > 5% are denoted at the 1–2 field level for each cohort. Linkage disequilibrium between specific *HLA* alleles was computed using the Arlequin software v3.5 (Excoffier and Lischer, 2010). *KIR* genotyping was performed as described previously (Prentice et al., 2014).

HIV-specific peptide screening by IFN- γ ELISpot Matrix assay—HIV peptides were mapped using an IFN- γ ELISpot matrix format assay as described previously (Rerks-Ngarm et al., 2009). In brief, 96-well hydrophobic membrane-bottom plates (Millipore) were coated overnight at 4°C with anti-human IFN- γ mAb (final concentration of 5 μ g/ml (Mabtech). PBMC were resuspended in complete medium and plated at a concentration of 1×10^5 cells per well. A panel of 26 pools of 9–13 (total of 165) peptides spanning the 92TH023 gp160 and 22 pools of 10–11 (total 120) peptides encompassing the LAI

Gag protein was added to single wells of cells in a matrix format, and the plates were incubated overnight at 37°C in 5% CO₂. Wells containing cells and media only were supplemented with the equivalent concentration of DMSO as the peptide pools and served as negative controls. PHA was used as a positive control, and if there were sufficient cells, CMV pp65 and the CMV, EBV, and influenza peptide pool also were included in the assay as additional positive controls. Cells plus PHA were tested in triplicate wells. Negative controls were performed in quadruplicate. After incubation at 37°C in 5% CO₂ for 20–24 h, cells were removed by washing with PBS/0.05% Tween 20 (Sigma-Aldrich). Captured IFN- γ was detected by incubation for 2 h at 37°C with biotinylated anti-human IFN- γ mAb (Mabtech) at a concentration of 2 μ g/ml in PBS/0.5% BSA. After incubation, plates were washed with PBS/0.05% Tween 20, and avidin-peroxidase complex (Vectastain Elite Kit) was added for 1 h at room temperature. Unbound complex was removed by washing, and peroxidase staining was performed using 3-amino-9-ethylcarbazole substrate (Vectastain AEC Kit) according to the manufacturer's instructions. Spots were counted with a CTL analyzer and software (version 4.0.19; CTL Analyzers). Results are expressed as spot-forming cells (SFCs) /10⁶ PBMCs. A positive IFN- γ response was defined as ≥ 5 SFCs /10⁶ PBMCs (uncorrected) and ≥ 4 times the average of the DMSO-treated wells. The PHA response had to be positive for an assay to be valid. The median number (range) of SFCs /10⁶ for PBMCs treated with media plus DMSO only for the 99 participants' PBMCs was 0 (0–15) and the mean (standard deviation) was 1. Data are shown as corrected (media only SFC subtracted) SFC/10⁶ PBMC. Each peptide was represented twice within the Gag and Env ELISpot matrices, therefore, to reduce additive effects from other members of the pool the minimum SFC/10⁶ PBMC for the two wells was used as the SFC/10⁶ PBMC for that peptide. This method may inflate the breadth of the peptide response peptides as T cells that respond to 11-mer overlap regions occurring between consecutive peptides are counted as responding to two peptides. We restricted our analyses to HLA class I associations that favor detection of CD8 T cell responses, using 15-mer peptides overlapping by 11 amino acids. Comparisons between HLA B*46 presence and absence were made using Fisher's exact test for categorical peptide response analyses. For all alleles with frequencies greater than 5% in the Thai population, HLA specific CTL responses were analyzed with each *HLA* allele using Fisher's exact test.

RNA sequencing—Cryopreserved PBMC were thawed in media containing 20% fetal bovine serum. Cell counts and viabilities were assessed using Guava ViaCount reagent and a Guava PCA (Millipore Sigma). Cells were washed and stained with Aqua Live/Dead stain (Molecular Probes), washed, and blocked using normal mouse IgG (Caltag). The cells were surface stained for CD3 FITC (clone UCHT1, Becton Dickinson [BD]), CD8 PerCP-eF710 (SK1, eBiosciences), CD14 V500 (M5E2, BD), CD45RO eF650NC (UCHL1, eBiosciences), CD4 APC (RPAT4, BD), CD45RA APC-H7 (HI100, BD), CD19 PE-Cy5 (SJ25-C1, Invitrogen), and CD56 PE-Cy7 (NCAM16.2, BD) (Figure S2). The cells were then washed and sorted/analyzed on the BD FACSAria II SORP Cell Sorter. Total RNA from sorted cell subsets was extracted using the Single Cell RNA Purification kit (Norgen Biotek Corp). RNA was prepared for NGS RNA sequencing (RNAseq) using the SMART-Seq technology as described previously (Ehrenberg et al., 2019; Picelli et al., 2014; Ramsköld et al., 2012; Shangguan et al., 2021). Briefly, cDNA was generated per

manufacturer's instructions from 2.5 ng of RNA, final exogenous ERCC RNA spike-in dilutions of 1:190,000, and 11 cycles of library PCR amplification. A total of 300 ng of cDNA was input template for final library processing using the Nextera XT kit (Illumina) per manufacturer's instructions.

CITE-seq single cell RNA sequencing—Total PBMC from whole blood obtained from 18 RV254 participants 48 weeks after ART initiation were processed for CITE-seq on the 10x Genomics platform as described previously (Shangguan et al., 2021). Briefly, cell counts and viability were assessed by both the Countess II FL (Thermo Fisher) and trypan blue staining. Donor cells were hashed with TotalSeq-C anti-human Hashtag antibodies (BioLegend) and stained with a cocktail comprised of 63 TotalSeq-C antibodies targeting surface-expressed proteins. Cells from batches consisting of 9 or 10 samples were loaded into each of four Chromium chip wells before loading into the Chromium instrument. Cell mRNA gene expression (GEX) and antibody-derived tag (ADT) libraries were subsequently generated using the Chromium Next GEM Single Cell 5' Library and Gel Bead Kit v1.1 (10x Genomics) according to the manufacturer's instructions. Pooled libraries were quantitated with the MiSeq Nano v2 reagent cartridge (Illumina) and sequenced on an Illumina NovaSeq 6000 using an S4 reagent cartridge (2×100 bp)(Illumina). A modified version of the scRNA-seq assay was performed in RV254 participants at the acute HIV time point (n = 6) without feature barcodes.

QUANTIFICATION AND STATISTICAL ANALYSIS

HLA associations with HIV acquisition—Logistic regression was used to evaluate the association between *HLA* alleles and HIV acquisition in the BMCS natural history cohort, using a case-control study design, including 274 seroincident cases (with EDI defined as the median between the date of last negative and first positive HIV test) and 404 seronegative controls (participants who were at high risk of HIV incidence, but remained HIV negative). Age was adjusted in each regression model.

HLA association with HIV disease progression outcomes—In the discovery RV152 cohort, 31 *HLA* alleles that passed the allele frequency threshold were tested for associations with HIV disease progression. Cox regression models were used to assess whether *HLA* alleles were associated with time to CD4 count <350 cells/mm³ and time to meeting criteria for ART initiation. Time to CD4 <350 cells/mm³ was defined as the number of months from the date of seroconversion, to when CD4 counts dropped below 350 cells/mm³, or were censored to the last date of follow up in the study for those whose CD4 counts remained above 350 cells/mm³ during the study follow up period. Similarly, time to ART initiation was also defined as time measured in months from the date of seroconversion to the date of ART initiation for those who started ART, or were censored to the last date of follow up for those who did not start treatment during the study follow up period. The median survival time was estimated using the Kaplan-Meier method. Generalized estimating equations with multiple imputation (MIGEEs) to handle missingness due to either missing visits or ART initiation were employed using the geepack R package to assess the association of *HLA* alleles with longitudinal pre-ART plasma HIV VL over the planned post-infection diagnosis visit time points (<1, 1, 3, 6, 9, 12, 18, 24, 30, 36, 42,

48, and 54 months) (Højsgaard et al., 2006). Post-infection diagnosis visit time points at 60 months and after, which were included in the primary post-infection analyses (Rerks-Ngarm et al., 2013), were excluded because insufficient observations were made at those time points to enable subgroup analyses. The centered analysis times in polynomial terms up to the sixth order were also included in the models, since time from HIV diagnosis was a significant predictor of VL. A first-order autoregressive covariance matrix was used to account for the correlation of VL over time within individuals. Geometric mean ratio (GMR), mean, standard deviation (SD) and 95% confidence interval (CI) were presented for each HLA allele. Age, sex, baseline behavioral risk, and the calendar year of HIV-1 infection diagnosis (2003–2005, 2006, 2007, 2008–2009) were adjusted in the Cox analyses and each regression model as described previously (Rerks-Ngarm et al., 2013). CD4 counts were also adjusted in the RV152 VL analysis. Similarly, *KIR* and *HLA & KIR* combinations were analyzed with the above disease progression outcomes in the RV152 discovery cohort for the *KIR2DL2* and combined HLA-B*46–*KIR2DL2* genotypes.

Survival analysis was performed using Cox proportional hazard model to validate whether the previously significant *HLA* alleles modified disease progression with time to CD4 count <350 cells/mm³ or initiation of ART in the BMCS cohort. Individuals who initiated ART were censored at their last visit prior to treatment. The estimated date of infection (EDI) was defined as the median between the date of last negative (LN) and first positive (FP) HIV test. Time from EDI to the first instance of either outcome was calculated in months. The proportionality assumption for the Cox model was acceptable on the basis of the test of independence between the scaled Schoenfeld residuals and time. Linear regression was employed to assess the association of significant *HLA* alleles with the average VL over all available time points before ART initiation. All plasma VL measurements were log₁₀-transformed to normalize their distribution. GMR, mean, SD and 95% CI were presented for each *HLA* allele. Both the Cox and linear regression models were adjusted for age.

***HLA* associations with CD4 counts**—The association of specific *HLA* alleles with baseline CD4 counts prior to ART initiation was assessed using linear regression in the RV254 cohort. The model was adjusted with covariate age, sex, Fiebig stage and baseline viral load. All baseline measurements were log₁₀-transformed to normalize their distribution. Assessment of model diagnostics (Q-Q plot for normality, residuals vs. fitted values for homoscedasticity, leverage plots for influential observations, variance inflation factors for multicollinearity) showed that the assumptions of the linear models were reasonable after removing four outliers identified by Cook's Distance in RV254. Similar linear regression analysis was performed in the BMCS and RV152 cohorts. The first CD4 count in RV152 and BMCS were used as described previously (Leelawiwat et al., 2018; Rerks-Ngarm et al., 2013). This included the first CD4 count after HIV diagnosis in RV152, or within 120 days from EDI in BMCS. For the BMCS study, the model included adjustment only for age (Leelawiwat et al., 2018), while RV152 models included age, sex, baseline behavioral risk, and the calendar year of HIV-1 infection diagnosis (2003–2005, 2006, 2007, 2008–2009) as described previously (Rerks-Ngarm et al., 2013).

HLA alleles at 1 or 2 field resolution with an observed frequency of >5% were tested for all associations with HIV acquisition and disease progression. A two-sided $p < 0.05$ and $q < 0.2$

were considered to be significant for all *HLA* genetics analyses described above (Benjamini and Hochberg, 1995).

Bulk RNA-seq analyses—Sequence alignments were performed as previously described (Ehrenberg et al., 2019; Shangguan et al., 2021), but modified to use Subread featureCounts v1.6.4 for counting (Liao et al., 2014). The Trimmed Mean of M-values method (TMM), as implemented in the R package edgeR v3.34.0, was used for normalization with the *limma voom* model (Robinson et al., 2010). The general linear model (GLM) pipeline in edgeR was used to identify differentially expressed genes between the B*46 + and - groups within each time point. Enriched gene signatures were identified by the Gene Set Enrichment Analysis (GSEA) method using the blood transcription modules (BTM) and the GSEA software (version 4.1.0) (Li et al., 2014; Subramanian et al., 2005). For each GSEA comparison, we tested whether gene sets were significantly enriched in the presence or absence of HLA-B*46 in all four sorted PBMC populations. Transcriptome-wide signatures were considered significantly enriched using a threshold of false discovery rate (FDR) <0.01. Permutation analyses was performed to assess the robustness of the GSEA results in NK cells. GSEA was performed on samples from the 7 participants at the setpoint time point after randomly assigning them into two groups of 3 and 4 samples (representing B*46+ and samples, respectively) 1000 times. For each of the 1000 iterations the difference in the number of significantly enriched pathways between the two assigned groups were computed. The distribution of these differences were used to determine the probability of identifying a difference greater than or equal to the observed difference. Functional annotation of genes from the M11.0 signature at the pre-peak and setpoint time points was performed using Metascape with default parameters (database v20220101) (Zhou et al., 2019). The differential expression of core enriched genes in all signatures significantly enriched in NK cells at setpoint was visualized in a heatmap showing the log₂ fold-change of gene expression for each sample relative to the mean expression of the gene in B*46+ donors.

Single cell data processing—FASTQ generation, read alignment, gene counting, and cell calling was performed with CellRanger 3.1.0 (10x Genomics), using human genome reference (GRCh38 Ensembl annotation v98). Analysis of gene and ADT data was performed in Seurat v4.0.5 (Hao et al., 2021; Zheng et al., 2017). An average of approximately 17,600 estimated cells were recovered per lane. The average number of genes per cell was 1331 and the average number of unique molecular identifiers was 3623. The mean read depth per cell was approximately 37,500–72,500. The minimum fraction of reads mapped to the genome was 82%. Average sequencing saturation for RNA and protein (including hashing antibodies) was 78% (ranging between 76–87%) and 55% (54–58%), respectively. The cell hashing ADT counts were centered log ratio (CLR) normalized. Hash counts were also CLR normalized and demultiplexed using HTODemux in Seurat in order to assign cell barcodes to specific donors. The non-hash feature barcode ADT data were normalized using the Denoised and Scaled by Background (DSB) method (Kotliarov et al., 2020; Mulè et al., 2022). After the cells were filtered to remove hash multiplets and cells with mitochondrial gene expression percentages > 10%, cells belonging to the same donors were merged. For the new donor-specific Seurat objects, gene expression was normalized with NormalizeData, and prepared for integration using FindVariableFeatures, ScaleData,

and RunPCA. Integration features were selected and the gene expression data was integrated across donors using *rpca* and reference-based integration (one donor per ADT batch was selected as a ‘reference’). The ADT data were scaled with ADT batch regressed and used for PCA. Genes and proteins upregulated and belonging to specific clusters in 64,020 cells were identified by FindAllMarkers in Seurat. Similarly, FindMarkers was also used to identify genes or proteins that were up- or down-regulated between the B*46+ and - cells within each cluster. Significant results from the FindMarkers analyses were defined as features that had a \log_2 fold change > 0.25 , adjusted $p < 0.05$, and expression in at least 10% of cells in either the B*46+ or - group. Significance of differential expression was tested by Wilcoxon Rank Sum test for RNA features, and Logistic Regression for regressing the ADT batch for the protein features. The cells comprising the NK CD56^{dim} and NK CD56^{hi} clusters were extracted as a subset for additional QC and reclustering: ADT and RNA features and clusters were used to identify and remove nonNK cells from the NK subset. Four clusters generated from ADT data at a resolution of 0.5 were used as the final clusters for DEG analysis. In each of the four clusters identified in Seurat, genes expressed in at least 10% of cells in the B*46+ and - groups were ranked using their fold change between the two groups, and enrichment of gene signatures from BTM pathways in NK cells was examined using the pre-ranked GSEA algorithm. Signatures that were significantly enriched ($q < 0.01$) were subjected to a Leading Edge GSEA analysis to determine genes contributing most towards their enrichment scores. CITE-seq data generated previously in healthy RV306 participants without HIV infection ($n = 9$) was analyzed as described above and stratified further by the HLA-B*46 genotype (Shangguan et al., 2021). Similar analyses were performed for RV254 scRNA-seq data ($n = 6$) but without the ADT data analyses; the RV254 ART dataset was used as a reference to project cluster labels in Seurat.

All descriptive and inferential statistical analyses were performed using R 3.5.1 (or later) software packages. Custom R scripts were used for visualization.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

- HLA-B*46 associates with accelerated HIV disease progression and reduced CD4 counts
- HLA-B*46 is unique in conferring unusual stimulation of NK cell receptors
- In people without B*46, CITE-seq reveals subsets of NK cells with distinct phenotypes
- These differences in innate immunity may confer protection upon HIV infection

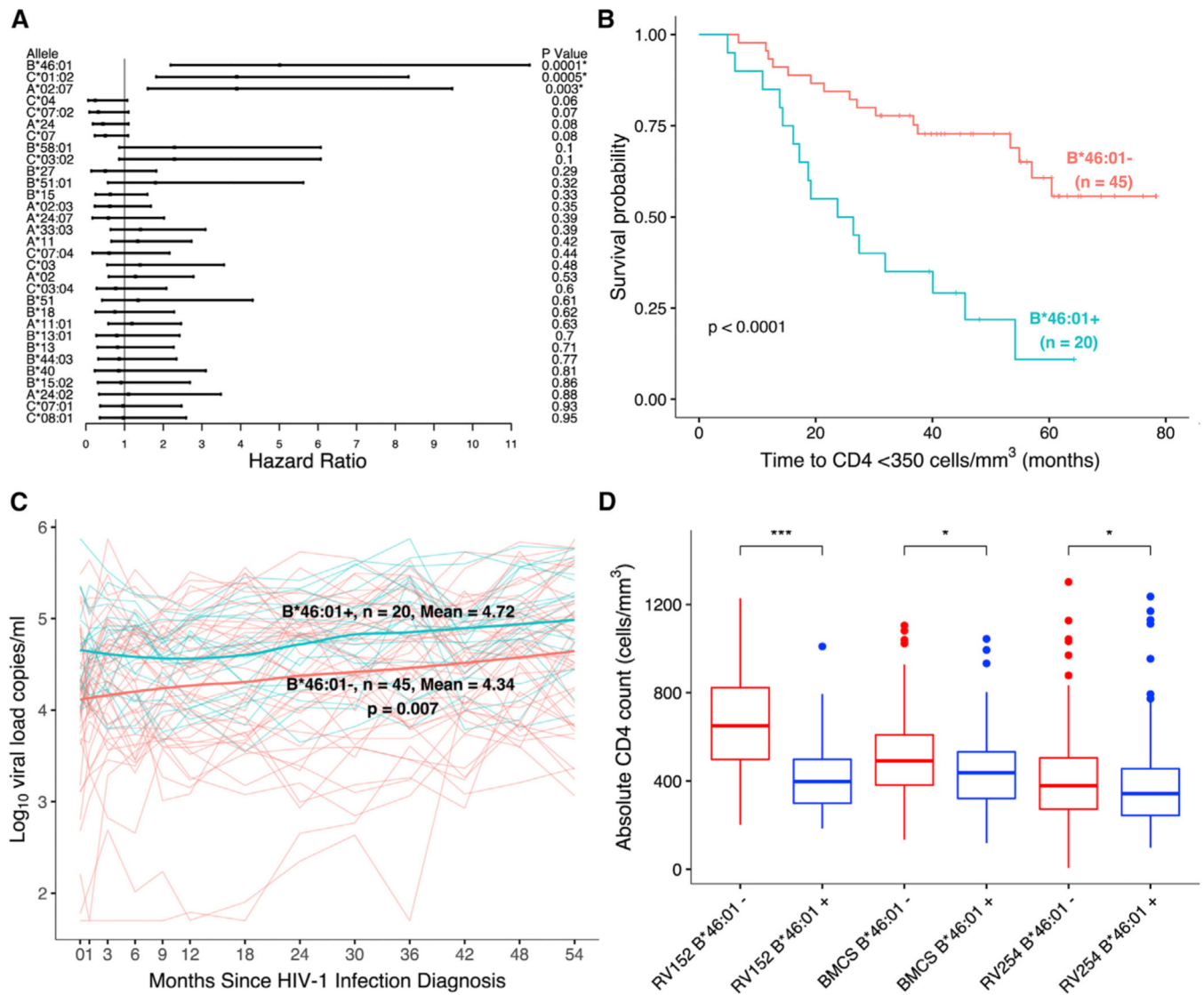


Figure 1. HLA-B*46:01 associates with increased HIV disease progression, but not acquisition, in three independent cohorts

(A) HLA-B*46:01 had the strongest association with CD4+ T cell decline in the RV152 discovery cohort (n = 65) after adjusting for multiple tests. *p < 0.05, q < 0.2.

(B) CD4 decline was significantly faster in people with B*46:01 in the same cohort.

(C) VL was also significantly higher in the presence of B*46:01 in the same study (n = 65).

(D) Absolute CD4 counts (cells/mm³) were lower following HIV infection among people with the HLA-B*46:01 in the discovery, as well as two additional cohorts (n = 798). These significant differences were observed in three independent studies including RV152 (n = 65), BMCS (n = 207) and RV254 (n = 526) (**p < 0.001, *p < 0.05, Mann-Whitney U test). See also Tables S1 and S2.

A

HLA	Responses	ELIspot positive (%)	ELIspot negative (%)	P value
B*46+	Env	8 (27.6)	21 (72.4)	0.6
B*46-	Env	15 (21.4)	55 (78.6)	
B*46+	Gag	11 (37.9)	18 (62.1)	1
B*46-	Gag	27 (38.6)	43 (61.4)	

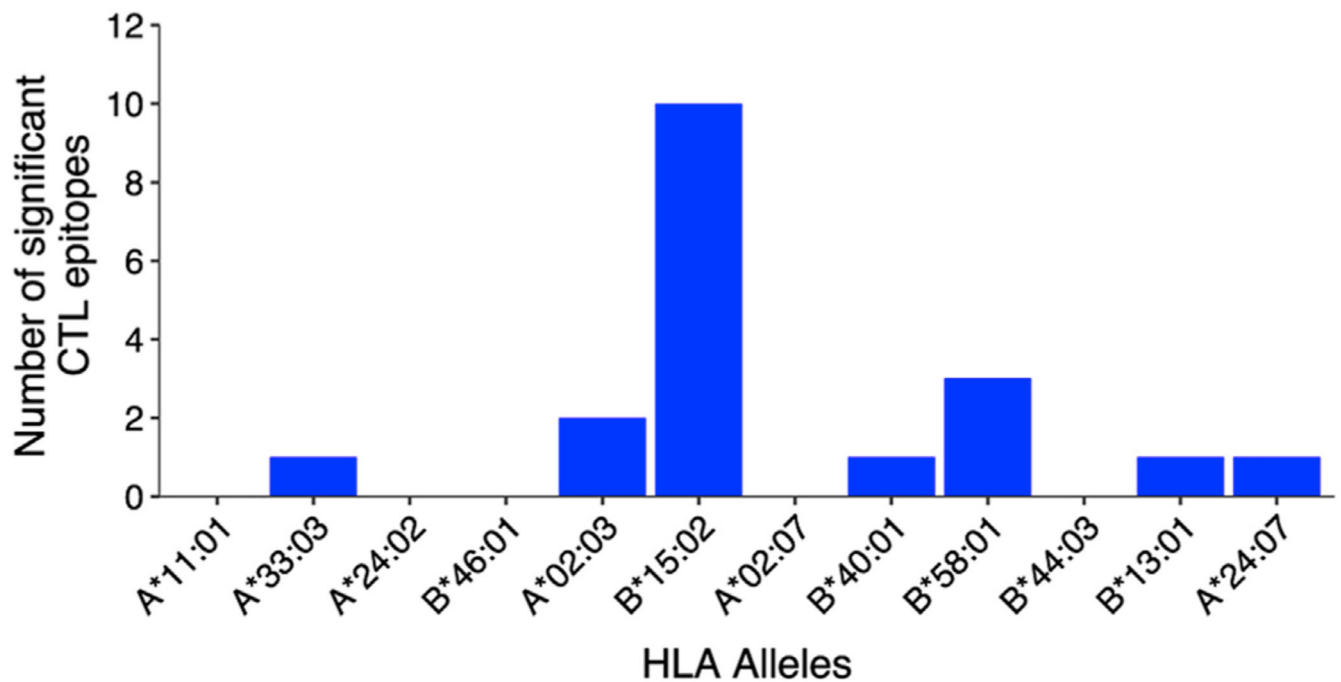
B

Figure 2. HIV Env or Gag proteins were not differentially detected by T cell responses in HLA-B*46+ versus HLA-B*46- individuals

(A) There was no significant difference in the frequency of functional T cell responses to HIV Env or Gag peptides detected by ELIspot when compared between participants that were B*46+ or B*46- in RV152 (n = 99).

(B) For all alleles with frequencies greater than 5% in the Thai population, the number of Gag and Env peptides for which a response was significantly associated with each allele using Fisher's exact test is shown. See also Table S3.

for HLA residues that contact KIR2DL3 in its solved cocrystal structure with HLA-C*07 (Moradi et al., 2021). Of these contact residues, three within heavy-chain positions 66–76 that correspond to HLA-C residues in HLA-B*46:01 are annotated in yellow.

(C) Pathway enrichment analyses of longitudinal RNA-seq samples were performed among people with AHI in the RV217 cohort ($n = 7$). Genes expressed in sorted NK, CD8+ T, CD4+ T, and B cells were ranked based on differential expression between B*46+ and B*46– individuals, with the number of enriched pathways reported for each genotype at four time points. Statistical significance was determined by chi-square test.

(D) Relative gene expression of all enriched genes in the significant pathways in NK cells when comparing B*46+ versus B*46 people at VL setpoint during AHI. The \log_2 -fold change in expression relative to the mean gene expression in B*46+ donors is shown. See also Table S4 and Figures S2–S4.

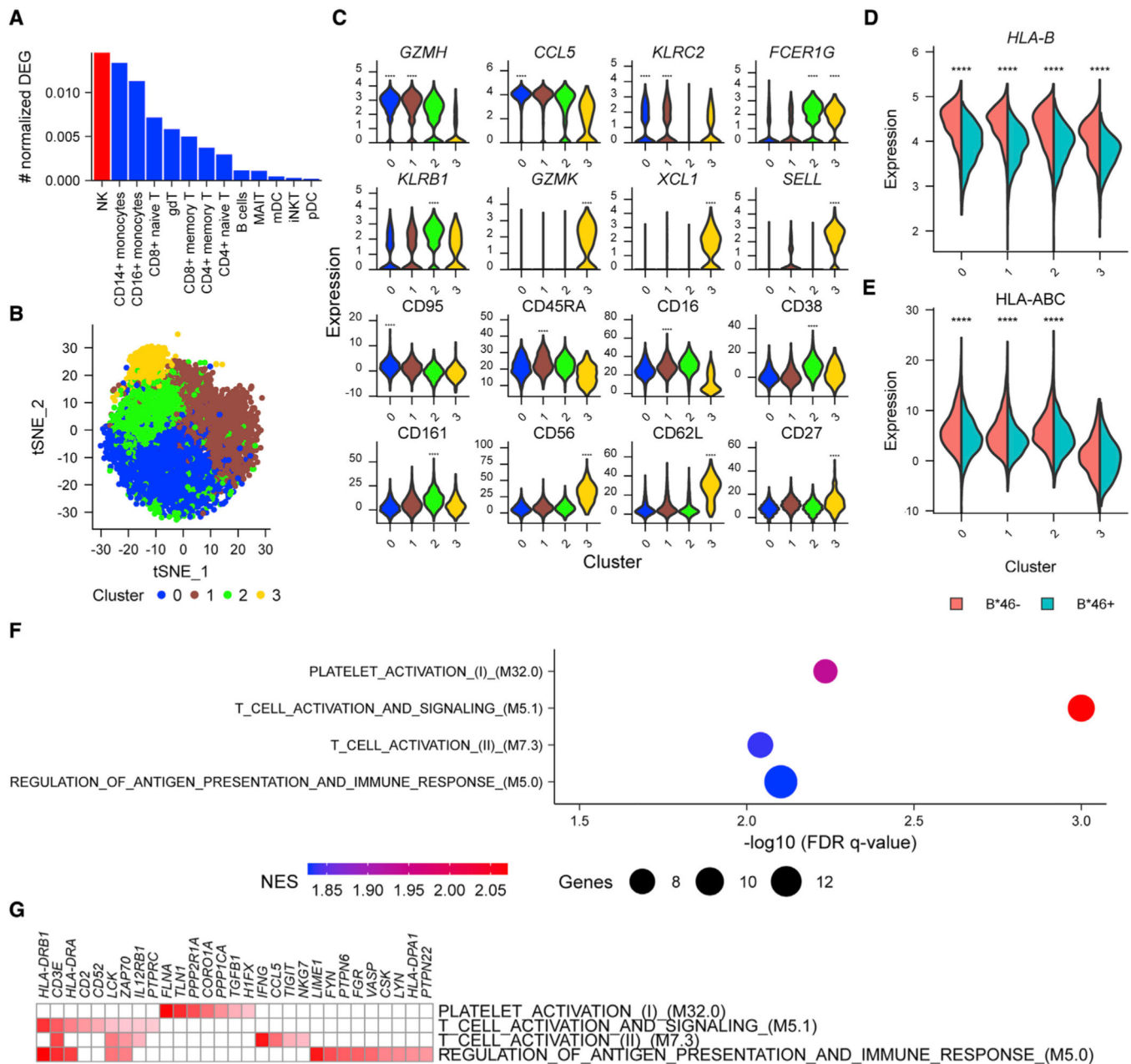


Figure 4. Single-cell CITE-seq data show significant differences in NK cell clusters associating with the presence of HLA-B*46

(A) CITE-seq data from treated PLWH (n = 18) identified NK cells as the population with the most DEG, comparing B*46+ versus B*46– groups.

(B) Unsupervised clustering identified four NK cell clusters based on protein markers.

(C) Top gene or protein markers differentiating the four NK clusters. Statistical significance is denoted for comparisons between one cluster with the combined three other clusters. Gene and protein expression of top differential markers comparing B*46+ versus B*46– groups. ****p < 0.0001.

(D and E) Most differentially expressed (D) gene (*HLA-B*) and (E) protein (HLA class I expression using W6/32 antibody) associating with the presence of B*46 in all clusters.

Statistical significance is denoted for comparisons between the groups within a specific cluster. Statistical significance for gene and protein clusters was determined by the Wilcoxon rank-sum test and likelihood ratio test implemented in the R Seurat package, respectively.

*** $p < 0.0001$.

(F) Significant core enriched gene expression pathways associating with differences between B*46+ and B*46– PLWH in cluster 2. NES indicates the absolute GSEA normalized enrichment score.

(G) Enrichment scores for the core genes in signatures enriched in B*46– NK cells. See also Figures S5 and S6.

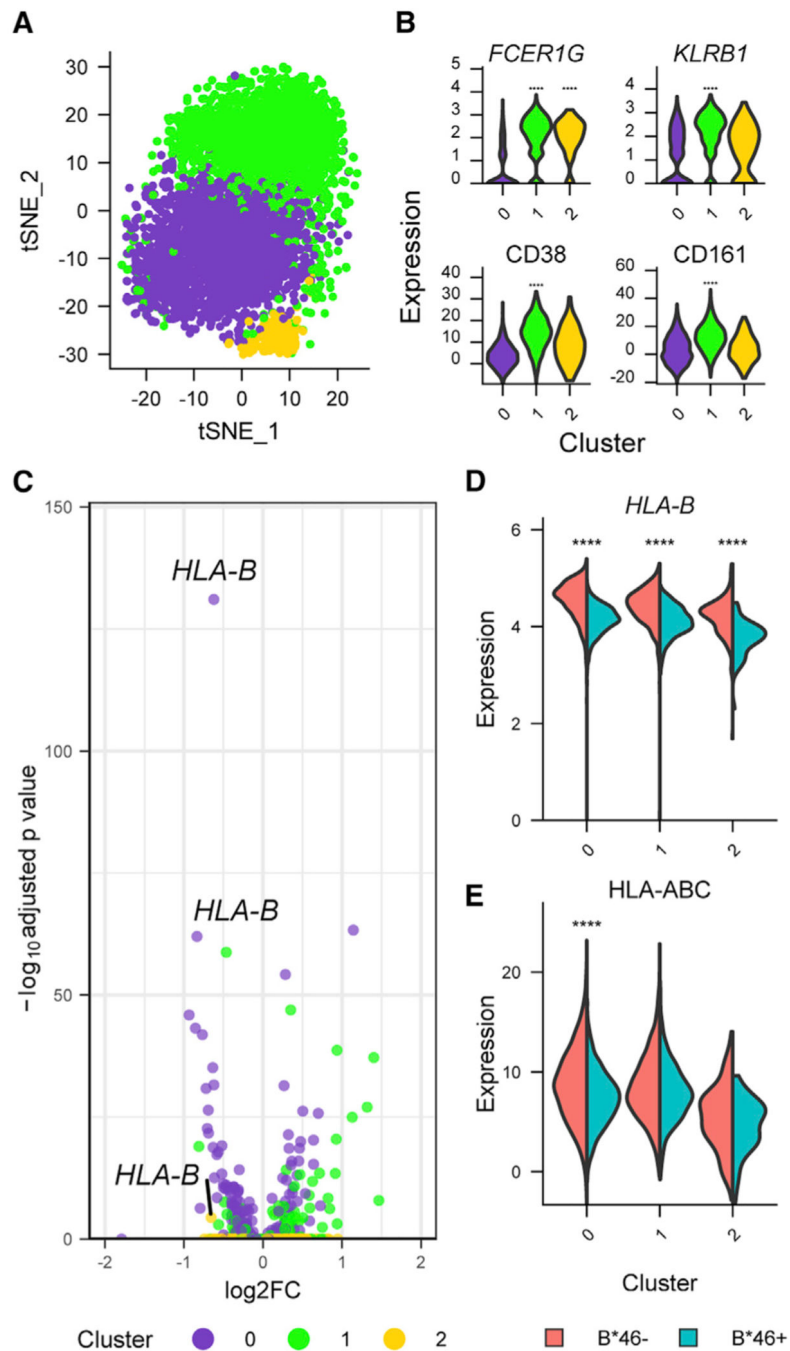


Figure 5. Single-cell CITE-seq data reveal that the same NK population that exhibited a distinct transcriptional phenotype associated with B*46 after infection is also present in the absence of HIV infection

(A) CITE-seq data from PWOH (n = 9) identified three NK cell clusters.

(B) Gene and protein markers of the specific NK cell cluster differentiated by B*46 during HIV infection in the current three NK cell populations. Statistical significance is denoted for comparisons between one cluster with the combined two other clusters. ****p < 0.0001.

(C) *HLA-B* is the most differentially expressed gene comparing B*46+ versus B*46- groups.

(D and E) (D) Gene (*HLA-B*) and (E) protein (HLA class I expression using W6/32 antibody) expression in all clusters were higher in the B*46- group. Statistical significance is denoted for comparisons between the groups within a specific cluster. Statistical significance for gene and protein clusters were determined by the Wilcoxon rank-sum and likelihood ratio tests, respectively. **** $p < 0.0001$.

Table 1. Specific *HLA* alleles associated with increased HIV progression in two independent ART-naive cohorts

Discovery cohort: RV152 (n = 65)						
HIV outcome	<i>HLA</i> allele	n (%)	HR	95% CI	p value (q value) ^a	
Time to CD4 <350 cells/mm ³	A*02:07	12 (18)	3.9	1.6, 9.47	0.003 (0.028) ^c	
	B*46:01	20(31)	5.01	2.19, 11.47	0.0001 (0.004) ^c	
	C*01:02	24 (37)	3.9	1.82, 8.34	0.0005 (0.007) ^c	
GMR 95% CI						
	A*02:07	12(18)	1.59	0.59, 4.24	0.36 (0.98)	
	B*46:01	20(31)	2.74	1.32, 5.66	0.007 (0.20) ^c	
	C*01:02	24 (37)	2.24	1.16, 4.34	0.02 (0.26)	
Validation cohort: BMCS (n = 207)						
		n (%)	HR	95% CI	p value (q value) ^b	
Time to CD4 < 350 cells/mm ³	A*02:07	35 (17)	1.53	1.01, 2.33	0.047 (0.07) ^c	
	B*46:01	43(21)	1.5	1.00, 2.25	0.048 (0.07) ^c	
	C*01:02	51 (25)	1.33	0.91, 1.95	0.14(0.14)	
GMR 95% CI						
	A*02:07	35 (17)	2.92	1.36, 6.3	0.007 (0.02) ^c	
	B*46:01	43(21)	2.09	1.02, 4.28	0.044 (0.07) ^c	
	C*01:02	51 (25)	1.68	0.86, 3.3	0.13(0.13)	

See also Table S2.

HR, hazard ratio; GMR, geometric mean ratio; CI, confidence interval.

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^a q value was adjusted for multiple comparisons (31 common *HLA* class I alleles) to control for false discovery rate (FDR). The covariates age, sex, baseline behavioral risk, and the calendar year of HIV-1 infection diagnosis (2003–2005, 2006, 2007, 2008, and 2009) were adjusted in all RV152 data analyses. CD4 counts were also adjusted in the RV152 VL analysis.

^b q value was adjusted for three *HLA* alleles to validate the RV152 findings. Age as a covariate was adjusted in the BMCS data analyses.

^c Significant *HLA* associations ($p < 0.05$, $q < 0.2$)

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
anti-Human CD1c (Mouse monoclonal)	BioLegend	Cat# 331547; Clone L161; RRID: AB_2800871
anti-Human CD163 (Mouse monoclonal)	BioLegend	Cat# 333637; Clone GHI/61; RRID: AB_2810510
anti-Human CD141 (Mouse monoclonal)	BioLegend	Cat# 344125; Clone M80; RRID: AB_2810541
anti-Human CD11a (Mouse monoclonal)	BioLegend	Cat# 350617; Clone TS2/4; RRID: AB_2800935
anti-Human CD197 (Mouse monoclonal)	BioLegend	Cat# 353251; Clone G043H7; RRID: AB_2800943
anti-Human CD14 (Mouse monoclonal)	BioLegend	Cat# 301859; Clone M5E2; RRID: AB_2800736
anti-Human CD16 (Mouse monoclonal)	BioLegend	Cat# 302065; Clone 3G8; RRID: AB_2800738
anti-Human CD19 (Mouse monoclonal)	BioLegend	Cat# 302265; Clone HIB19; RRID: AB_2800741
anti-Human CD45RO (Mouse monoclonal)	BioLegend	Cat# 304259; Clone UCHL1; RRID: AB_2800766
anti-Human CD2 (Mouse monoclonal)	BioLegend	Cat# 309231; Clone TS1/8; RRID: AB_2810464
anti-Human CD138 (Mouse monoclonal)	BioLegend	Cat# 356539; Clone MI15; RRID: AB_2810567
anti-Human CD303 (Mouse monoclonal)	BioLegend	Cat# 354241; Clone 201A; RRID: AB_2814295
anti-Human CD56 (Mouse monoclonal)	BioLegend	Cat# 362559; Clone 5.1h11; RRID: AB_2801002
anti-Human CD4 (Mouse monoclonal)	BioLegend	Cat# 300567; Clone RPA-T4; RRID: AB_2800725
anti-Human CD3 (Mouse monoclonal)	BioLegend	Cat# 300479; Clone UCHT1; RRID: AB_2800723
anti-Human CD45RA (Mouse monoclonal)	BioLegend	Cat# 304163; Clone HI100; RRID: AB_2800764
anti-Human CD39 (Mouse monoclonal)	BioLegend	Cat# 328237; Clone A1; RRID: AB_2800853
anti-Human CD279 (Mouse monoclonal)	BioLegend	Cat# 329963; Clone EH12.2H7; RRID: AB_2800862
anti-Human CD8 (Mouse monoclonal)	BioLegend	Cat# 344753; Clone SK1; RRID: AB_2800922
anti-Human CD27 (Mouse monoclonal)	BioLegend	Cat# 302853; Clone O323; RRID: AB_2800747
anti-Human CD20 (Mouse monoclonal)	BioLegend	Cat# 302363; Clone 2H7; RRID: AB_2800743
anti-Human HLA-A/B/C (Mouse monoclonal)	BioLegend	Cat# 311449; Clone W6/32; RRID: AB_2800816
anti-Human IgM (Mouse monoclonal)	BioLegend	Cat# 314547; Clone MHM-88; RRID: AB_2800835
anti-Human CD127 (Mouse monoclonal)	BioLegend	Cat# 351356; Clone A019D5; RRID: AB_2800937
anti-Human CD195 (Rat monoclonal)	BioLegend	Cat# 359137; Clone J418F1; RRID: AB_2810570
anti-Human HLA-DR (Mouse monoclonal)	BioLegend	Cat# 307663; Clone L243; RRID: AB_2800795
anti-Human IgG (Fc) (Rat monoclonal)	BioLegend	Cat# 410727; Clone M1310G05; RRID: AB_2801087
anti-Human TCR Vd2 (Mouse monoclonal)	BioLegend	Cat# 331435; Clone B6; RRID: AB_2800864
anti-Human TCR Va7.2 (Mouse monoclonal)	BioLegend	Cat# 351735; Clone 3C10; RRID: AB_2810556
anti-Human TCR Va24-Ja18 (Mouse monoclonal)	BioLegend	Cat# 342925; Clone 6B11; RRID: AB_2810539
anti-Human TCR g/d (Mouse monoclonal)	BioLegend	Cat# 331231; Clone B1; RRID: AB_2814199
anti-Human TCR Vg9 (Mouse monoclonal)	BioLegend	Cat# 331313; Clone B3; RRID: AB_2814203
anti-Human CD7 (Mouse monoclonal)	BioLegend	Cat# 343127; Clone CD7-6B7; RRID: AB_2800914
anti-Human CD11c (Mouse monoclonal)	BioLegend	Cat# 371521; Clone S-HCL-3; RRID: AB_2801018
anti-Human CD185 (Mouse monoclonal)	BioLegend	Cat# 356939; Clone J252D4; RRID: AB_2800968
anti-Human CD1d (Mouse monoclonal)	BioLegend	Cat# 350319; Clone 51.1; RRID: AB_2800934
anti-Human IgD (Mouse monoclonal)	BioLegend	Cat# 348245; Clone IA6-2; RRID: AB_2810553
anti-Human CD11b (Mouse monoclonal)	BioLegend	Cat# 301359; Clone ICRF44; RRID: AB_2800732

REAGENT or RESOURCE	SOURCE	IDENTIFIER
anti-Human CD62L (Mouse monoclonal)	BioLegend	Cat# 304851; Clone DREG-56; RRID: AB_2800770
anti-Human CD66a/c/e (Mouse monoclonal)	BioLegend	Cat# 342325; Clone ASL-32; RRID: AB_2810538
anti-Human CD15 (Mouse monoclonal)	BioLegend	Cat# 323053; Clone W6D3; RRID: AB_2800847
anti-Human CD32 (Mouse monoclonal)	BioLegend	Cat# 303225; Clone FUN-2; RRID: AB_2814129
anti-Human CD57 (Mouse monoclonal)	BioLegend	Cat# 393321; Clone QA17A04; RRID: AB_2801030
anti-Human CD73 (Mouse monoclonal)	BioLegend	Cat# 344031; Clone AD2; RRID: AB_2800916
anti-Human CD123 (Mouse monoclonal)	BioLegend	Cat# 306045; Clone 6H6; RRID: AB_2800789
anti-Human Mouse IgG1, k Isotype Ctrl (Mouse monoclonal)	BioLegend	Cat# 400187; Clone MOPC-21; RRID: AB_2888921
anti-Human Mouse IgG2a, k Isotype Ctrl (Mouse monoclonal)	BioLegend	Cat# 400293; Clone MOPC-173; RRID: AB_2888922
anti-Human Mouse IgG2b, k Isotype Ctrl (Mouse monoclonal)	BioLegend	Cat# 400381; Clone MPC-11; RRID: AB_2888923
anti-Human Rat IgG2b, k Isotype Ctrl (Rat monoclonal)	BioLegend	Cat# 400677; Clone RTK4530; RRID: AB_2894967
anti-Human CD28 (Mouse monoclonal)	BioLegend	Cat# 302963; Clone CD28.2; RRID: AB_2800751
anti-Human CD161 (Mouse monoclonal)	BioLegend	Cat# 339947; Clone HP-3G10; RRID: AB_2810532
anti-Human CD95 (Mouse monoclonal)	BioLegend	Cat# 305651; Clone DX2; RRID: AB_2800787
anti-Human CD38 (Mouse monoclonal)	BioLegend	Cat# 303543; Clone HIT2; RRID: AB_2800758
anti-Human KLRG1 (Syrian hamster monoclonal)	BioLegend	Cat# 138433; Clone 2F1/KLRG1; RRID: AB_2800649
anti-Human CD25 (Mouse monoclonal)	BioLegend	Cat# 302649; Clone BC96; RRID: AB_2800745
anti-Human CD41 (Mouse monoclonal)	BioLegend	Cat# 303739; Clone HIP8; RRID: AB_2814134
anti-Human CD184 (Mouse monoclonal)	BioLegend	Cat# 306533; Clone 12G5; RRID: AB_2800791
anti-Human CD137 (Mouse monoclonal)	BioLegend	Cat# 309839; Clone 4B4-1; RRID: AB_2800807
anti-Human CD24 (Mouse monoclonal)	BioLegend	Cat# 311143; Clone ML5; RRID: AB_2800813
anti-Human CD274 (Mouse monoclonal)	BioLegend	Cat# 329751; Clone 29E.2A3; RRID: AB_2800860
anti-Human CD96 (Mouse monoclonal)	BioLegend	Cat# 338423; Clone NK92.39; RRID: AB_2810531
anti-Human CD194 (Mouse monoclonal)	BioLegend	Cat# 359425; Clone L291H4; RRID: AB_2800988
anti-Human CD152 (Mouse monoclonal)	BioLegend	Cat# 369621; Clone BNI3; RRID: AB_2801015
Hash1: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394661; Clone LNH-94; 2M2; RRID: AB_2801031
Hash2: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394663; Clone LNH-94; 2M2; RRID: AB_2801032
Hash3: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394665; Clone LNH-94; 2M2; RRID: AB_2801033
Hash4: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394667; Clone LNH-94; 2M2; RRID: AB_2801034
Hash5: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394669; Clone LNH-94; 2M2; RRID: AB_2801035
Hash6: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394671; Clone LNH-94; 2M2; RRID: AB_2820042
Hash7: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394673; Clone LNH-94; 2M2; RRID: AB_2820043
Hash8: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394675; Clone LNH-94; 2M2; RRID: AB_2820044
Hash9: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394677; Clone LNH-94; 2M2; RRID: AB_2820045

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Hash10: anti-Human CD298 S b2-microglobulin (Mouse monoclonals)	BioLegend	Cat# 394679; Clone LNH-94; 2M2; RRID: AB_2820046
Mouse anti-human CD3 FITC	Becton Dickinson	Cat# 555332; Clone UCHT1; RRID: AB_395739
Mouse anti-human CD8 PerCP-eF710	eBiosciences	Cat# 46-0087-42; Clone SK1; RRID: AB_1834411
Mouse anti-human CD14 V500	Becton Dickinson	Cat# 562693; Clone M5E2; RRID: AB_2737727
Mouse anti-human CD45RO eF650NC	eBiosciences	Cat# 95-0457-42; Clone UCHL1; RRID: AB_1603247
Mouse anti-human CD4 APC	Becton Dickinson	Cat# 561841; Clone RPAT4; RRID: AB_395739
Mouse anti-human CD45RA APC-H7	Becton Dickinson	Cat# 560674; Clone HI100; RRID: AB_1727497
Mouse anti-human CD19 PE-Cy5	Invitrogen	Cat# MHCD1918; Clone SJ25-C1; RRID: AB_10373840
Mouse anti-human CD56 PE-Cy7	Becton Dickinson	Cat# 335809; Clone NCAM16.2; RRID: AB_399984
Mouse IgG isotype control	Caltag	Cat# 10400C; RRID: AB_2532980
Biological samples		
PBMC from MHRP RV217 donors	M. Robb	Robb et al., 2016
PBMC from MHRP RV254 donors	D. Hsu	Ananworanich et al., 2013; De Souza et al., 2015
PBMC from MHRP RV152 donors	A. Schuetz	Rerks-Ngarm et al., 2013
PBMC from CDC BMCS donors	A. Hickey	van Griensven et al., 2013
Chemicals, peptides, and recombinant proteins		
Cell Staining Buffer	BioLegend	Cat# 420201
TruStain FcX Blocking Reagent, human	BioLegend	Cat# 422301
Acridine Orange	ThermoFisher Scientific	Cat# A3568
Ethidium Homodimer	ThermoFisher Scientific	Cat# E1169
Glycerin (Glycerol), 50% (v/v)	Ricca Chemical Co	Cat# 3290-16
Buffer EB	Qiagen	Cat# 19086
Tween 20, 10% (v/v)	Bio-Rad	Cat# 1610781
SPRIselect beads	Beckman Coulter	Cat# 823318
Guava ViaCount Reagent	Millipore Corp	Cat# 4000-0041
Live/Dead Fixable Aqua	ThermoFisher	Cat# L34957
Critical commercial assays		
Chromium Single Cell 5' Library & Gel Bead kit, v2	10x Genomics	Cat# 1000006
Chromium Single Cell 5' Feature Barcode Library kit	10x Genomics	Cat# 1000080
Single Index kit N, Set A	10x Genomics	Cat# 1000212
Single Index kit T, Set A	10x Genomics	Cat# 1000213
PhiX Control kit, v3	Illumina	Cat# FC-110-3001
High Sensitivity DNA kit	Agilent	Cat# 5067-4626
Qubit dsDNA HS Assay kit	ThermoFisher Scientific	Cat# Q32854
SMART-Seq v4 UltraLow Input RNA kit	Takara	Cat# 634891
Single Cell RNA Purification kit	Norgen Biotek	Cat# 51800

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Nextera XT DNA Sample Preparation kit	Illumina	Cat# FC-131-1096
ERCC RNA Spike-in Mix 1	Life Technologies	Cat# 4456740
NovaSeq 6000 S4 Reagent kit, 300 cycles, v1.5	Illumina	Cat# 20028312
MiSeq Reagent Nano kit, 500 cycles, v2	Illumina	Cat# MS-103-1003
Deposited data		
RNA-seq analysis of human peripheral blood cells collected at multiple timepoints during the course of acute HIV-1 infection (RV217)	This paper	GEO: GSE192384; https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE192384
R code and data files for figures	This paper	https://doi.org/10.6084/m9.figshare.c.5728400
Software and algorithms		
FlowJo	FlowJo, LLC	https://www.flowjo.com/
bcl2fastq	Illumina	https://support.illumina.com/downloads/bcl2fastq-conversion-software-v2-20.html
featureCounts	Liao et al., 2014	http://subread.sourceforge.net/
Cell Ranger	Zheng et al., 2017	https://support.10xgenomics.com/single-cell-gene-expression/software/downloads
R		https://www.r-project.org/
DSB	Mulè et al., 2022	https://github.com/niaid/dsb
edgeR	Robinson et al., 2010	https://bioconductor.riken.jp/packages/devel/bioc/html/edgeR.html
geepack	H0jsgaard et al., 2006	https://cran.r-project.org/web/packages/geepack/index.html
Seurat	Hao et al., 2021	https://cran.r-project.org/web/packages/Seurat/index.html
GSEA	Subramanian et al., 2005	https://www.gsea-msigdb.org/gsea/index.jsp
Metascape	Zhou et al., 2019	https://metascape.org
Arlequin	Excoffier and Lischer, 2010	http://cmpg.unibe.ch/software/arlequin35/Arlequin35Downloads.html