

NDM-35-Producing ST167 *Escherichia coli* Highly Resistant to β -Lactams Including Cefiderocol

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ABSTRACT A multidrug-resistant (carbapenems, aztreonam + avibactam, and cefiderocol) ST167 *Escherichia coli* clinical isolate recovered from a patient hospitalized in Switzerland produced NDM-35 showing ca. 10-fold increased hydrolytic activity toward cefiderocol compared to NDM-1. The isolate co-produced a CMY-type β -lactamase, exhibited a four amino-acid insertion in PBP3, and possessed a truncated iron transporter CirA protein. Our study identified an association of unrelated resistance mechanisms leading to resistance to virtually all β -lactams in a high-risk *E. coli* clone.

KEYWORDS metallo- β -lactamase, NDM, cefiderocol, aztreonam-avibactam

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he trend of increasing carbapenem resistance observed in Gram-negative bacteria is mainly related to the dissemination of the carbapenemase-encoding genes (1). Among these genes, those encoding metallo- β -lactamases (MBLs) are the most problematic, since their production leads to very limited treatment options (2, 3). MBLs of the NDM group are the most frequently identified acquired carbapenemases worldwide, hydrolyzing all β -lactams except monobactams, and they are not inactivated by currently commercialized β -lactamase inhibitors (4). Even novel β -lactam/ β -lactamase inhibitor combinations, such as ceftazidimeavibactam and ceftolozane-tazobactam, are ineffective against MBL-producers. Nevertheless, very recent therapeutic options are promising, such as cefiderocol (FDC) and aztreonam/avibactam (ATM-AVI) (5, 6). ATM-AVI is a drug combination which is now being tested in clinical trials for its efficacy in treating Gram-negative bacterial infections, particularly those that produce MBLs, since MBLs do not hydrolyze aztreonam (7). FDC is a novel siderophore cephalosporin with broad-spectrum activity against a large variety of Gram-negative bacteria (8, 9), penetrating into Gram-negative bacterial cells by binding to iron molecules and using the bacterial iron transport system to enter the bacterial periplasmic space. Once across the outer membrane, FDC binds to penicillin-binding proteins (PBPs), mainly PBP3, to disrupt cell wall synthesis, eventually leading to cell death (5). Interestingly, it was shown that MBLs have overall a poor catalytic activity toward FDC, making this drug effective against most MBL-producers (10). However, we recently reported that NDM-like β -lactamases significantly contributed to reduced susceptibility to FDC in Acinetobacter baumannii (11). More recently, we also demonstrated that some NDM variants may confer reduced susceptibility to FDC in E. coli and *Pseudomonas aeruginosa*, along with the extended-spectrum β -lactamases of the PER type (12).

This study was initiated by the isolation of a carbapenem- and FDC-resistant *E. coli* isolate (N1949) producing a novel NDM-1 variant (NDM-35), recovered from the rectal swab of a patient hospitalized in Switzerland. Susceptibility testing was performed and interpreted according to EUCAST guidelines (https://eucast.org/clinical_breakpoints/). Noteworthy, iron depleted-cation adjusted Mueller-Hinton broth (ID-CAMHB) was prepared and used for

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| | MICs (ug/mL) | | | | | | | | | | | |
|------------------------------------|-----------------|----------------|---------------|---------------|---------------|--------|--|--|--|--|--|--|
| | E. coli isolate | | | | | | | | | | | |
| β -Lactam(s) | N1949 | TOP10 (NDM-35) | TOP10 (NDM-1) | TOP10 (NDM-5) | TOP10 (NDM-9) | TOP10 | | | | | | |
| Amoxicillin | >512 | >512 | >512 | >512 | >512 | 4 | | | | | | |
| Ticarcillin | >512 | >512 | >512 | >512 | >512 | 4 | | | | | | |
| Piperacillin | >512 | 256 | 128 | 128 | 256 | 2 | | | | | | |
| Cefoxitin | >512 | 512 | 256 | 256 | >512 | 2 | | | | | | |
| Ceftazidime | >512 | >512 | >512 | >512 | >512 | 0.5 | | | | | | |
| Cefotaxime | >512 | 128 | 256 | 256 | 256 | 0.125 | | | | | | |
| Cefepime | 256 | 8 | 16 | 16 | 16 | 0.5 | | | | | | |
| Aztreonam | 64 | 0.125 | 0.125 | 0.125 | 0.25 | ≤0.125 | | | | | | |
| Aztreonam + avibactam ^a | 8 | 0.125 | 0.125 | 0.125 | 0.125 | ≤0.125 | | | | | | |
| Imipenem | 64 | 32 | 16 | >32 | 16 | 0.125 | | | | | | |
| Meropenem | 128 | 8 | 4 | >32 | 4 | 0.03 | | | | | | |
| Ertapenem | >512 | 32 | 32 | 32 | 32 | 0.015 | | | | | | |
| Temocillin | >512 | 32 | 32 | 32 | 256 | 8 | | | | | | |
| Cefiderocol | 256 | 2 | 1 | 1 | 2 | ≤0.125 | | | | | | |

TABLE 1 MICs of β -lactams for *E. coli* N1949 and NDM-producing *E. coli* recombinant strains determined by broth microdilution

^{*a*}Avibactam supplemented at a concentration of 4 μ g/mL.

determination of FDC MICs following the latest EUCAST recommendation (https://www .eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/Addenda/Cefiderocol _addendum_20200501.pdf). Interpretation was also performed following the EUCAST breakpoints, with resistance determined as >2 μ g/mL for FDC and > 4 μ g/mL for ATM, the latter being also applied here for ATM-AVI. *E. coli* N1949 was resistant to fluoroquinolones, sulfonamides, and tetracycline, and highly resistant to all β -lactams, including FDC and ATM-AVI (Table 1). In contrast, it remained susceptible to aminoglycosides, nitrofurantoin, tigecycline, and fosfomycin.

Whole-genome sequencing (WGS) was performed using an Illumina platform (Illumina, San Diego, CA) as described previously (13). Briefly, the total genomic DNA (gDNA) was extracted using a QIAamp DNA minikit and QIAcube (Qiagen) according to the manufacturer's instructions, and WGS was performed on an Illumina MiSeq instrument using the Nextera sample preparation method with 2×150 bp paired-end reads. *De novo* genome assembly was performed using the CLC Genomic Workbench (version 20.0.4; CLC Bio, Aarhus, Denmark), and contigs with a minimum length of 800 nucleotides (nt) were generated. The resulting assembled sequences were analyzed using ResFinder 4.1 (for antimicrobial resistance genes) and MLST 2.0 for multilocus sequence typing (MLST) analysis on the Center for Genomic Epidemiology server (http://www.genomicepidemiology.org/). The raw sequence data project had been deposited at GenBank under accession no PRJNA836848.

WGS analysis revealed that *E. coli* N1949 belonged to sequence type ST167. In addition to the bla_{NDM-35} carbapenemase gene, *E. coli* N1949 possessed a series of antibiotic resistance genes, including *sul1* (sulfonamides), *dfrA12* (trimethoprim), *aadA2* (aminoglycosides), *mdf(A)*, *mph(A)* (macrolides), *tet(B)* (tetracycline), *catA1* (chloramphenicol), and *bla*_{CMY-145}, a derivative of *bla*_{CMY-2} (broad-spectrum cephalosporins).

Mating-out assays, performed as described previously (14), gave an *E. coli* J53 transconjugant producing the NDM-35 carbapenemase with resistance or reduced susceptibility to β -lactams, as expected. Antibiotic susceptibility testing showed that this transconjugant was co-resistant to tetracycline, chloramphenicol, sulfonamides, and trimethoprim-sulfamethoxazole (data not shown). Plasmid extraction from the *E. coli* transconjugant followed by gel electrophoresis showed a plasmid size of ca. 250 kb. Plasmid-based replicon typing (15) identified this plasmid as belonging to the IncFIA/IncFIB incompatibility group.

Analysis of the PBP3 sequence showed a YRIN amino-acid insertion in comparison with the PBP3 sequence of the wild-type *E. coli* MG1655 (GenBank no. NC_000913.3) as reference. This 4-amino-acid insertion was previously shown to be associated with increased resistance to ATM-AVI (13). However, it remains to be determined whether this amino acid insertion plays a role in decreased susceptibility to FDC.

| | NDM-1 | | | NDM-5 | | NDM-9 | | | NDM-35 | | | |
|--------------------|--|------------------------|--|--|------------------------|--|--|------------------------|--|--|------------------------|--|
| β -Lactam(s) | k _{cat} (s ⁻¹) | Κ _m (μΜ) | $k_{\rm cat}/K_{\rm m}$ (μ M ⁻¹ · s ⁻¹) | k _{cat} (s ⁻¹) | Κ _m (μΜ) | $k_{\rm cat}/K_{\rm m}$ (μ M ⁻¹ · s ⁻¹) | k _{cat} (s ⁻¹) | Κ _m (μΜ) | $k_{\rm cat}/K_{\rm m}$ (μ M ⁻¹ · s ⁻¹) | k _{cat} (s ⁻¹) | Κ _m (μΜ) | $k_{ m cat}/K_{ m m}$ (μ M ⁻¹ · s ⁻¹) |
| Penicillin G | 220 | 110 | 2 | 320 | 100 | 3.2 | 18 | 60 | 0.3 | 230 | 150 | 1.5 |
| Amoxicillin | 220 | 170 | 1.3 | 220 | 1,000 | 0.22 | 12 | 75 | 0.16 | 140 | 300 | 0.45 |
| Ticarcillin | 40 | 100 | 0.4 | 35 | 150 | 0.23 | 45 | 170 | 0.26 | 30 | 75 | 0.4 |
| Cephalothin | 290 | 20 | 14.5 | 60 | 10 | 6 | 1.2 | 5 | 0.24 | 55 | 11 | 5 |
| Cefotaxime | 200 | 20 | 10 | 160 | 25 | 6.4 | 15 | 20 | 0.75 | 65 | 65 | 1 |
| Ceftazidime | 810 | >1,000 | 0.8 | 450 | >1,000 | 0.45 | 105 | >1,000 | 0.10 | 115 | >1,000 | 0.1 |
| Imipenem | 50 | 50 | 1 | 430 | 110 | 4 | 35 | 90 | 0.4 | 50 | 50 | 1 |
| Ertapenem | 45 | 20 | 2.2 | 65 | 65 | 1 | 20 | 12 | 1.7 | 70 | 60 | 1.2 |
| Meropenem | 35 | 40 | 0.85 | 550 | 80 | 6.8 | 27 | 27 | 1 | 90 | 45 | 2 |
| Cefiderocol | 0.9 | 280 | 0.003 | 0.7 | 230 | 0.003 | 4.6 | 200 | 0.02 | 7 | 270 | 0.03 |

TABLE 2 Kinetic parameters of purified NDM enzymes^a

^{*a*}Standard deviations were below 15%. $k_{cat'}$ catalytic efficiency; $K_{m'}$ Michaelis constant; $k_{cat'}/K_{m'}$ specificity constant.

Analysis of the *cirA* gene encoding an iron transporter showed that it was truncated in isolate N1949, leading to a CirA-deficient strain, and therefore was likely a source of reduced susceptibility to FDC, as previously evidenced by complementation assays in *K. pneumoniae* (14). Finally, analysis of the iron-catecholate transporter FiuA encoding gene previously shown to be involved in reduced susceptibility to FDC (16) showed a wild-type sequence, and therefore it was not involved in FDC resistance here.

The high-level resistance to FDC observed in *E. coli* N1949 was therefore considered to be multifactorial, including at least the truncation of the CirA iron transporter, the production of a CMY-type AmpC β -lactamase, and possibly the production of a specific NDM β -lactamase.

To address the question of whether NDM-35 possesses specific hydrolytic properties in comparison with other NDM variants that could further enhance resistance to FDC, and whether NDM variants possess similar or distinct hydrolytic activities against FDC, we carried out a comparative study on the substrate selectivity of four NDM variants (NDM-1, NDM-5, NDM-9, NDM-35) by using isogenic strain backgrounds. The corresponding genes were cloned into the high-copy pUCp24 vector and expressed in the same E. coli TOP10 background, giving rise to recombinant strains producing NDM-1, NDM-5, NDM-9, and NDM-35, respectively (17). High-level resistance to amoxicillin, ticarcillin, piperacillin, cefoxitin, ceftazidime, and cefotaxime (MICs from 128 to >512 μ g/mL) was observed for all of these recombinant strains (Table 1). However, significant differences were observed in MIC values for temocillin, carbapenems, and to a lesser extent, FDC. In particular, the NDM-5-producing E. coli recombinant strain showed ca. 4- to 16-fold higher MIC values of imipenem and meropenem compared to the NDM-1- and NDM-9-producing strains. Surprisingly, the NDM-35-producing E. coli recombinant strain showed significantly lower MIC values for these two carbapenems compared with the NDM-5-producing strain (Table 1). Notably, the MICs of FDC were 2 μ g/mL for the NDM-35- and NDM-9-producing E. coli strains, 2-fold higher than those for the NDM-1- and NDM-5producing strains (Table 1).

To further assess the variable hydrolytic properties of the different NDM enzymes suggested by the different MIC values observed, steady-state kinetic parameters were determined for the NDM-1, NDM-5, NDM-9, and NDM-35 enzymes. Purification of the NDM β -lactamases was performed using a two-step purification process in an AKTA Prime chromatography machine as described (18). A HiTrap Q column (GE Healthcare) was used in boths step after pre-equilibration with 20 mM piperazine buffer (pH 5.84) in the first step and 20 mM Tris buffer (pH 7.62) in the second step. The purified β -lactamase extracts were immediately used for enzymatic determinations (18).

Although all of the NDM variants hydrolysed penicillins at high levels in a similar manner, significant differences were observed for cephalosporins. NDM-1 and NDM-5 variants showed higher catalytic efficiencies for cefotaxime and ceftazidime compared to NDM-9 and NDM-35. The hydrolytic activity of NDM-35 toward imipenem and meropenem was weaker compared to that of NDM-5, although similar to those of NDM-1 and NDM-9. With respect to FDC, the hydrolytic activity of all variants remained relatively low (Table 2). Nevertheless, ca. 10-fold

| NDM-1 | $\tt MELPNIMHPVAKLSTALAAALMLSGCMPGEIRPTIGQQMETGDQRFGDLVFRQLAPNVWQ$ | 60 |
|--------|--|-----|
| NDM-5 | MELPNIMHPVAKLSTALAAALMLSGCMPGEIRPTIGQQMETGDQRFGDLVFRQLAPNVWQ | 60 |
| NDM-9 | MELPNIMHPVAKLSTALAAALMLSGCMPGEIRPTIGQQMETGDQRFGDLVFRQLAPNVWQ | 60 |
| NDM-35 | MELPNIMHPVAKLSTALAAALMLSGCMPGEIRPTIGQQMETGDQRFGDLVFRQLAPNVWQ | 60 |
| | *************************************** | |
| | L1 L2 | |
| NDM-1 | HTS <mark>YLDMPGFGAVASNGLI</mark> VRDGGRVLVVDT <mark>AWTD</mark> DQTAQILNWIKQEINLPVALAVVT H | 120 |
| NDM-5 | HTS <mark>YLDMPGFGAVASNGLI</mark> VRDGGRVL <mark>L</mark> VDT <mark>AWTD</mark> DQTAQILNWIKQEINLPVALAVVT <mark>H</mark> | 120 |
| NDM-9 | HTS <mark>YLDMPGFGAVASNGLI</mark> VRDGGRVLVVDT <mark>AWTD</mark> DQTAQILNWIKQEINLPVALAVVT H | 120 |
| NDM-35 | HTS <mark>YLDMPGFGAVASNGLI</mark> VRDG D RVL L VDT <mark>AWTD</mark> DQTAQILNWIKQEINLPVALAVVT <mark>H</mark> | 120 |
| | *************************************** | |
| | L5 Ηα3 | |
| NDM-1 | A HQDKMGG MDALHAAGIATYAN <mark>ALSNQLAPQE</mark> GMVAAQHSLTFAANGWVEPATAPNFGPL | 180 |
| NDM-5 | A HQD KMGGMDALHAAGIATYAN <mark>ALSNQLAPQE</mark> GLVAAQHSLTFAANGWVEPATAPNFGPL | 180 |
| NDM-9 | A HQD KMGGMDALHAAGIATYAN <mark>ALSNQLAPQK</mark> GMVAAQHSLTFAANGWVEPATAPNFGPL | 180 |
| NDM-35 | A HQDKMGG MDALHAAGIATYAN <mark>ALSNQLAPQE</mark> GLVAAQHSLTFAANGWVEPATAPNFGPL | 180 |
| | *************************************** | |
| | | |
| | L3 | |
| NDM-1 | KVFYPGPG H TSDNITVGIDGTDIAFGG <mark>CLIKDSKAKSLGNLGDA</mark> DTEHYAASARAFGAAF | 240 |
| NDM-5 | KVFYPGPG H TSDNITVGIDGTDIAFGG <mark>CLIKDSKAKSLGNLGDA</mark> DTEHYAASARAFGAAF | 240 |
| NDM-9 | KVFYPGPG H TSDNITVGIDGTDIAFGG <mark>CLIKDSKAKSLGNLGDA</mark> DTEHYAASARAFGAAF | 240 |
| NDM-35 | KVFYPGPG H TSDNITVGIDGTDIAFGG <mark>CLIKDSKAKSLGNLGDA</mark> DTEHYAASARAFGAAF | 240 |
| | ********************* | |
| | L4 | |
| NDM-1 | PKASMIVMS <mark>HSA</mark> PDSRAAITHTARMADKLR 270 | |
| NDM-5 | PKASMIVMS <mark>HSA</mark> PDSRAAITHTARMADKLR 270 | |
| NDM-9 | PKASMIVMS <mark>HSA</mark> PDSRAAITHTARMADKLR 270 | |
| NDM-35 | PKASMIVMS <mark>HSA</mark> PDSRAAITHTARMADKLR 270 | |
| | **** | |
| | | |

FIG 1 Alignment of NDM-1, NDM-5, NDM-9, and NDM-35 amino acid sequences. Active site loops and helix are highlighted in blue and yellow, respectively. Asterisks indicate the identical residues in the β -lactamases. Red residues are the amino acid substitutions of the different NDM variants in comparison with NDM-1.

higher k_{cat}/K_m values were observed for NDM-35 and NDM-9 compared to those of NDM-1 and NDM-5 (Table 2).

Alignment of the NDM variants showed that NDM-5, NDM-9, and NDM-35 proteins contained one, two, and three amino acid substitutions, respectively, compared to NDM-1 (Fig. 1). NDM-5 and NDM-35 share two amino acid substitutions (Val88Leu and Met154Leu) in comparison with NDM-1, which are not located in any active site loops (19, 20). NDM-35 differs from NDM-5 by a Gly-to-Asp amino acid substitution at position 84 (Gly84Asp), located between the L1 and L2 loops (Fig. 1). This substitution slightly impacted the ability of NDM-35 to compromise the efficacy of carbapenems, as observed through MIC and kinetic data determinations, but conversely increased its hydrolytic activity toward FDC.

The impact of NDM-35 on susceptibility to FDC was therefore proven here, and the high-level resistance to that antibiotic observed in *E. coli* N1949 could be explained by a combination of different mechanisms, including enzymatic degradation (NDM-35 production) and lower penetration into the bacterial cell (siderophore defect). As observed in this study for *E. coli*, we recently showed that susceptibility to FDC was impacted by the production of an NDM-type enzyme, as in *A. baumannii* (11). Interestingly, it was also recently shown that NDM enzymes facilitated the emergence of FDC resistance in *Enterobacter cloacae* through mutations in the CirA catecholate siderophore (21).

Finally, the FDC-resistant *E. coli* isolate was shown to belong to ST167, which is currently widely disseminating worldwide. Noteworthy, the wide spread of NDM-5 (only one single substitution with respect to NDM-35)-producing carbapenem-resistant ST167 *E. coli* has been recently evidenced in different European countries, including Switzerland, Germany, and Italy (22–28). It is therefore classified as a high-risk clone, being community-occurring but also a source of nosocomial outbreaks (27). This clonal strain accumulated diverse resistance mechanisms that made it resistant to almost all β -lactams, including carbapenems, the newly developed ceftazidime-avibactam and ceftolozane-tazobactam combinations, and aztreonam-avibactam. Here, we demonstrated that high-level resistance to FDC might be the ultimate step toward pan- β -lactam resistance. Interestingly, Simner et al. (29) recently

reported increased MICs of FDC upon selective pressure in a series of consecutive ST167 *E. coli* isolates recovered from a same patient from the United Arab Emirates and showed that the decreased susceptibility to FDC was related to increased *bla*_{NDM-5} expression through multiplication of *bla*_{NDM-5} copies. This suggests that close monitoring of ST167 *E. coli* strains should be carried out with respect to their susceptibility to FDC.

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