

# Antibody Binding and Angiotensin-Converting Enzyme 2 Binding Inhibition Is Significantly Reduced for Both the BA.1 and BA.2 Omicron Variants

Daniel Junker,<sup>1,a</sup> Matthias Becker,<sup>1,a</sup> Teresa R. Wagner,<sup>1,2,a</sup> Philipp D. Kaiser,<sup>1</sup> Sandra Maier,<sup>1</sup> Tanja M. Grimm,<sup>1</sup> Johanna Griesbaum,<sup>1</sup> Patrick Marsall,<sup>1</sup> Jens Gruber,<sup>1</sup> Bjoern Traenkle,<sup>1</sup> Constanze Heinzel,<sup>3</sup> Yudi T. Pinilla,<sup>3</sup> Jana Held,<sup>3</sup> Rolf Fendel,<sup>3,4,5</sup> Andrea Kreidenweiss,<sup>3,4,5</sup> Annika Nelde,<sup>6,7,8,9</sup> Yacine Maringer,<sup>6,7,8,9</sup> Sarah Schroeder,<sup>6,8,10</sup> Juliane S. Walz,<sup>6,7,8,9</sup> Karina Althaus,<sup>11,12</sup> Gunalp Uzun,<sup>11</sup> Marco Mikus,<sup>11</sup> Tamam Bakchoul,<sup>11,12</sup> Katja Schenke-Layland,<sup>1,8,13,14</sup> Stefanie Bunk,<sup>15</sup> Helene Haeberle,<sup>16</sup> Siri Göpel,<sup>4,15</sup> Michael Bitzer,<sup>15,17</sup> Hanna Renk,<sup>18</sup> Jonathan Rempis,<sup>18</sup> Corinna Engel,<sup>18,19</sup> Axel R. Franz,<sup>18,19</sup> Manuela Harries,<sup>20</sup> Barbara Kessel,<sup>20</sup> Berit Lange,<sup>20</sup> Monika Strengert,<sup>20,21</sup> Gerard Krause,<sup>20,21</sup> Anne Zeck,<sup>1</sup> Ulrich Rothbauer,<sup>1,2</sup> Alex Dulovic,<sup>1,b</sup> and Nicole Schneiderhan-Marra<sup>1,b</sup>

<sup>1</sup>NMI Natural and Medical Sciences Institute at the University of Tuebingen, Reutlingen, Germany; <sup>2</sup>Pharmaceutical Biotechnology, University of Tuebingen, Tuebingen, Germany; <sup>3</sup>Institute of Tropical Medicine, University Hospital Tuebingen, Tuebingen, Germany; <sup>4</sup>German Center for Infection Research, partner site Tuebingen, Tuebingen, Germany; <sup>5</sup>Centre de Recherches Médicales de Lambaréné, Lambaréné, Gabon; <sup>6</sup>Department of Peptide-Based Immunotherapy, University of Tuebingen and University Hospital Tuebingen, Tuebingen, Germany; <sup>7</sup>Department of Internal Medicine, Clinical Collaboration Unit Translational Immunology, German Cancer Consortium, University Hospital Tuebingen, Tuebingen, Germany; <sup>8</sup>Department of Immunology, Institute for Cell Biology, University of Tuebingen, Tuebingen, Germany; <sup>9</sup>Cluster of Excellence iFIT (EXC2180) "Image-Guided and Functionally Instructed Tumor Therapies," University of Tuebingen, Tuebingen, Germany; <sup>10</sup>Department of Otorhinolaryngology, Head and Neck Surgery, University of Tuebingen, Tuebingen, Germany; <sup>11</sup>Center for Clinical Transfusion Medicine, Tuebingen, Germany; <sup>12</sup>Institute of Clinical and Experimental Transfusion Medicine, University Hospital Tuebingen, Tuebingen, Germany; <sup>13</sup>Department for Medical Technologies and Regenerative Medicine, Institute of Biomedical Engineering, University of Tuebingen, Tuebingen, Germany; <sup>14</sup>Division of Cardiology, Department of Medicine, University of California, Los Angeles, Los Angeles, California, USA; <sup>15</sup>Infectious Diseases, Department of Internal Medicine I, University Hospital Tuebingen, Tuebingen, Germany; <sup>16</sup>Department of Anaesthesiology and Intensive Care Medicine, University Hospital Tuebingen, Tuebingen, Germany; <sup>17</sup>Center for Personalized Medicine, University of Tuebingen, Tuebingen, Germany; <sup>18</sup>University Children's Hospital, Tuebingen, Germany; <sup>19</sup>Center for Pediatric Clinical Studies, University Hospital Tuebingen, Tuebingen, Germany; <sup>20</sup>Helmholtz Centre for Infection Research, Braunschweig, Germany; and <sup>21</sup>TWINCORE, Centre for Experimental and Clinical Infection Research, a joint venture of Hannover Medical School and the Helmholtz Centre for Infection Research, Hannover, Germany

**Background.** The rapid emergence of the Omicron variant and its large number of mutations led to its classification as a variant of concern (VOC) by the World Health Organization. Subsequently, Omicron evolved into distinct sublineages (eg, BA.1 and BA.2), which currently represent the majority of global infections. Initial studies of the neutralizing response toward BA.1 in convalescent and vaccinated individuals showed a substantial reduction.

**Methods.** We assessed antibody (immunoglobulin G [IgG]) binding, ACE2 (angiotensin-converting enzyme 2) binding inhibition, and IgG binding dynamics for the Omicron BA.1 and BA.2 variants compared to a panel of VOCs/variants of interest, in a large cohort (N = 352) of convalescent, vaccinated, and infected and subsequently vaccinated individuals.

**Results.** While Omicron was capable of efficiently binding to ACE2, antibodies elicited by infection or immunization showed reduced binding capacities and ACE2 binding inhibition compared to wild type. Whereas BA.1 exhibited less IgG binding compared to BA.2, BA.2 showed reduced inhibition of ACE2 binding. Among vaccinated samples, antibody binding to Omicron only improved after administration of a third dose.

**Conclusions.** Omicron BA.1 and BA.2 can still efficiently bind to ACE2, while vaccine/infection-derived antibodies can bind to Omicron. The extent of the mutations within both variants prevents a strong inhibitory binding response. As a result, both Omicron variants are able to evade control by preexisting antibodies.

**Keywords.** SARS-CoV-2; Omicron; antibody binding; multiplex; variants of concern.

Received 11 January 2022; editorial decision 08 June 2022; published online 15 July 2022

<sup>a</sup>D. J., M. B., and T. R. W. contributed equally to this work.

<sup>b</sup>A. D. and N. S.-M. contributed equally to this work.

Correspondence: A. Dulovic, Natural and Medical Sciences Institute at the University of Tuebingen, Markwiesenstrasse 55, Reutlingen, 72770 Germany (alex.dulovic@nmi.de).

Clinical Infectious Diseases® 2023;76(3):e240–e9

© The Author(s) 2022. Published by Oxford University Press on behalf of Infectious Diseases Society of America.

This is an Open Access article distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs licence (<https://creativecommons.org/licenses/by-nc-nd/4.0/>), which permits non-commercial reproduction and distribution of the work, in any medium, provided the original work is not altered or transformed in any way, and that the work is properly cited. For commercial re-use, please contact journals.permissions@oup.com  
<https://doi.org/10.1093/cid/ciac498>

Since its initial outbreak in late 2019, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has evolved into a global pandemic, characterized by multiple waves of infection within countries and regions. Following the initial global wave, subsequent waves were often triggered by the emergence of variants of concern (VOCs) [1] that outcompeted earlier variants. These emerging VOCs are presumed to have an increased rate of transmission or the ability to escape vaccine and infection-induced immunity [2–7]. Due to concerns that its numerous spike protein mutations would render it able to escape immune control and its rapid spread in South Africa, the World Health Organization classified Omicron as a VOC on 26 November 2021 [8]. Within days of this classification,

Omicron had already been reported in multiple other countries and as of 26th April 2022, it is the dominant global variant [9]. The BA.2 lineage has now surpassed BA.1 [9], which is not only genetically distinct in key regions of the spike glycoprotein [10, 11], but has also evolved to give rise to BA.4, BA.5, and BA.2.12.1. Early studies at the end of 2021 of neutralization against BA.1 found a substantial reduction in neutralizing activity, particularly in individuals who did not receive 3 vaccine doses [12–17]. Because of the urgency of these studies to establish real-time data of whether there is an evasion toward vaccine-induced responses or therapeutics, they often included limited sample numbers, diversity of sample types (eg, only those vaccinated with Pfizer BNT162b2), or only directly compared Omicron to wild-type (WT) or a single other VOC. Complementary to these studies, we provide here a comprehensive analysis of the binding capacity, binding dynamics, and angiotensin-converting enzyme 2 (ACE2) binding inhibition of Omicron BA.1 and BA.2 against antibodies generated either by vaccination or natural infection compared to WT, currently recognized VOCs, and the Lambda and Mu variants of interest (VOIs). We also analyzed samples from a range of vaccines and dosing schemes currently available within the European Union, including booster vaccines, as well as convalescent samples from both adults and children from the first, second, and third waves of infection in Germany.

## METHODS

### Sample Cohort

Serum samples used in this study were originally collected for use in several other studies [18–21]. The sample set was designed to

include a broad representation of samples from infected individuals (hereafter referred to as “convalescent”) from the different waves of SARS-CoV-2 within Germany, as well as vaccinated samples. We separated our cohort into 4 groups: vaccinated samples, convalescent samples, infected and later vaccinated samples, and prepandemic samples as controls.

Vaccinated study participants received either 2 homologous doses of AZD1222, BNT162b2, or mRNA-1273; 2 heterologous doses of AZD1222 and BNT162b2 or AZD1222 and mRNA-1273; or 3 doses of BNT162b2. To examine how antibody dynamics changed over time, we included samples from both 1–2 months post-second dose and 5–6 months post-second dose. We also included samples from vaccinated individuals who had a previous polymerase chain reaction (PCR)-confirmed infection and then received a single dose of BNT162b2 as per national guidelines. Samples from convalescent study participants were collected approximately 3 months after positive PCR. To assess differences between variants of SARS-CoV-2, we collected samples from those with a WT, Alpha, or Delta infection. WT samples came from both adults and children. To be considered a WT sample, the infection had to occur during the first wave of the SARS-CoV-2 pandemic in Germany (spring–summer 2020). Alpha samples were confirmed by PCR sequencing and were collected between January and May 2021. Delta samples were either confirmed by PCR sequencing, or where collected during a time period when all infections in Germany were considered to be Delta (September–November 2021). To represent naive samples, negative prepandemic samples were obtained from Central BioHub. An overview of the characteristics for each cohort subgroup can be found in Table 1.

**Table 1. Overview of Sample Characteristics for the Study Population**

Sample Type	Subgroup	No. of Samples	Median dT, Days (IQR)	No. (%) of Females	Median Age, Years (IQR)	History of Immunosuppressive Condition or Medication, No. (%)
Convalescent	WT (adults)	30	104 (94–119)	14 (47)	62 (51–69)	0 (0)
	WT (children)	20	124 (116–129)	7 (35)	11 (7–14)	0 (0)
	Alpha	30	88 (47–104)	12 (40)	56 (42–65)	14 (47)
	Delta	6	18 (10–23)	5 (83)	65 (56–73)	4 (67)
Infected and vaccinated	...	25	54 (23–91)	16 (64)	55 (48–59)	1 (4)
Vaccinated	A/A (1–2 mo)	30	49 (48–52)	20 (67)	64 (60–66)	2 (7)
	A/A (4–6 mo)	30	154 (146–158)	23 (77)	55 (48–60)	0 (0)
	M/M (1–2 mo)	30	51 (48–54)	20 (67)	59 (49–61)	1 (3)
	M/M (4–6 mo)	16	139 (131–145)	9 (56)	70 (51–83)	1 (6)
	P/P (1–2 mo)	30	51 (49–54)	20 (67)	58 (52–66)	1 (3)
	P/P (4–6 mo)	30	152 (141–160)	25 (83)	38 (30–53)	0 (0)
	A/M	20	153 (150–154)	16 (80)	41 (29–56)	0 (0)
	A/P	20	151 (144–157)	19 (95)	48 (42–56)	0 (0)
	P/P/P	20	14 (14–26.5)	13 (65)	33 (29–44)	2 (12)
Negative	...	15	...	8 (53)	37 (29–41)	0 (0)

Abbreviations: A/A, 2-dose AZD1222; A/M, first dose AZD1222, second dose mRNA-1273; A/P, first dose AZD1222, second dose BNT162b2; dT, time post-infection/last vaccination dose; IQR, interquartile range; M/M, 2-dose mRNA-1273; P/P, 2-dose BNT162b2; P/P/P, 3-dose BNT162b2; WT, wild-type (B.1 isolate).

## Ethical Oversight

Informed written consent was obtained from all study participants. Ethical approval and oversight for the samples used in this study was provided by the following ethics committees: the Ethics Committee of the University Hospital Tuebingen (293/2020BO2, 764/2020/BO2 [amended 6 December 2021], B312/2020BO1 [amended 2 June 2021], 556/2021BO1), the Ethics Committee of the University of Tuebingen (179/2020/BO2, 188/2020A), and the Ethics Committee of Hannover Medical School (9086\_BO\_S\_2020).

## Mass Spectrometry of Omicron Receptor-Binding Domain

Receptor-binding domain (RBD) Omicron protein samples had their structure verified by liquid chromatography–mass spectrometry (LC-MS). In brief, samples were *N*-deglycosylated using PNGaseF reducing kit (New England Biolabs), diluted 1:3 with His-NaCl buffer and analyzed by liquid chromatography coupled to electrospray ionization quadrupole time-of-flight mass spectrometry. For full details, please consult the [Supplementary Methods](#).

## MULTICOV-AB Assay

MULTICOV-AB, a previously published multiplex immunoassay, was performed as described previously [22]. A full list of antigens included within the assay is shown in [Table 2](#). Samples were randomly allocated to plates to ensure that at least 3 samples of every sample group were included on each plate. All samples were measured twice in 2 independent experiments.

No sample failed quality control (QC). Raw median fluorescence intensity values were normalized to a QC sample for all antigens as per Becker et al [23]. Please consult the [Supplementary Methods](#) for full details.

## RBDCoV-ACE2 Assay

RBDCoV-ACE2, a previously published multiplex ACE2 inhibition assay [19], analyzes neutralizing antibody activity through ACE2 binding inhibition. A full list of antigens included in this assay can be found in [Table 2](#). ACE2 binding inhibition was calculated as a percentage, with 100% indicating maximum ACE2 binding inhibition and 0% indicating no ACE2 binding inhibition. Samples with an ACE2 binding inhibition <20% are classified as nonresponders [19]. Please consult the [Supplementary Methods](#) for full details.

## Biolayer Interferometry

Analysis of binding kinetics of RBD-specific antibodies in serum samples were performed using the Octet RED96e system (Sartorius) as per the manufacturer's recommendations. Purified RBDs of WT, Delta, and Omicron were biotinylated with Sulfo-NHS-LC-LC-Biotin (Thermo Fisher Scientific) in 5 molar excess at ambient temperature for 30 minutes. Excess of biotin was removed by size exclusion chromatography using Zeba Spin Desalting Columns 7K MWCO 0.5 mL (Thermo Fisher Scientific) according to the manufacturer's protocol. Data were analyzed using the Octet Data Analysis HT 12.0 software applying the 1:1 fitting model for the dissociation step.

**Table 2. Overview of Antigens Used in MULTICOV-AB and RBDCoV-ACE2 Assays**

Antigen	Manufacturer	Category Number	Mutations Covered
Spike WT (B.1)	NMI	...	...
RBD WT (B.1)	NMI	...	...
S1 domain WT (B.1)	NMI	...	...
S2 domain WT (B.1)	Sino Biological	40590-V08B	...
Nucleocapsid WT (B.1)	Aalto Bioreagents	6404-b	...
RBD Alpha (B.1.1.7)	NMI	...	N501Y
RBD Beta (B.1.351)	NMI	...	K417N, E484K, N501Y
RBD Gamma (P1)	NMI	...	K417T, E484K, N501Y
RBD Delta (B.1.617.2)	NMI	...	L452R, T478K
RBD Omicron (B.1.529/BA.1)	Sino Biological	40592-V08H121	G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493R, G496S, Q498R, N501Y, Y505H
Spike Omicron (B.1.1.529/BA.1)	Sino Biological	40589-V08H26	A67V, del HV69/70, T95I, G142D, del VYY 143-145, del N211, L212I, ins214EPE, G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493R, G496S, Q498R, N501Y, Y505H, T547K, H655Y, N679K, P681H, N764K, D796Y, F817P, N856K, A892P, A899P, A942P, Q954H, N969K, L981F, K986P, V987P
RBD Omicron (B.1.1.529/BA.2)	Sino Biological	40592-V08H123	G339D, S371F, S373P, S375F, T376A, D405N, R408S, K417N, N440K, S477N, T478K, E484A, Q493R, Q498R, N501Y, Y505H
Nucleocapsid Omicron (B.1.1.529/BA.2)	Sino Biological	40588-V07E35	P13L, del ERS 31-33, R203K, G204R, S413R
RBD Lambda (C.37)	NMI	...	L452Q, F490S
RBD Mu (B.1.621)	NMI	...	R346K, E484K, N501Y

Mutations present within each antigen are provided. Where appropriate, the manufacturer category number is provided. For details on the NMI antigen production, please see [19, 22, 23]. Abbreviations: NMI, Natural and Medical Sciences Institute; RBD, receptor-binding domain; WT, wild-type.

The binding profile response of each sample is illustrated as the mean wavelength shift in nm. For affinity determination, the 1:1 global fit of the Data Analysis HT 12.0 software was used. Please consult the [Supplementary Methods](#) for full details.

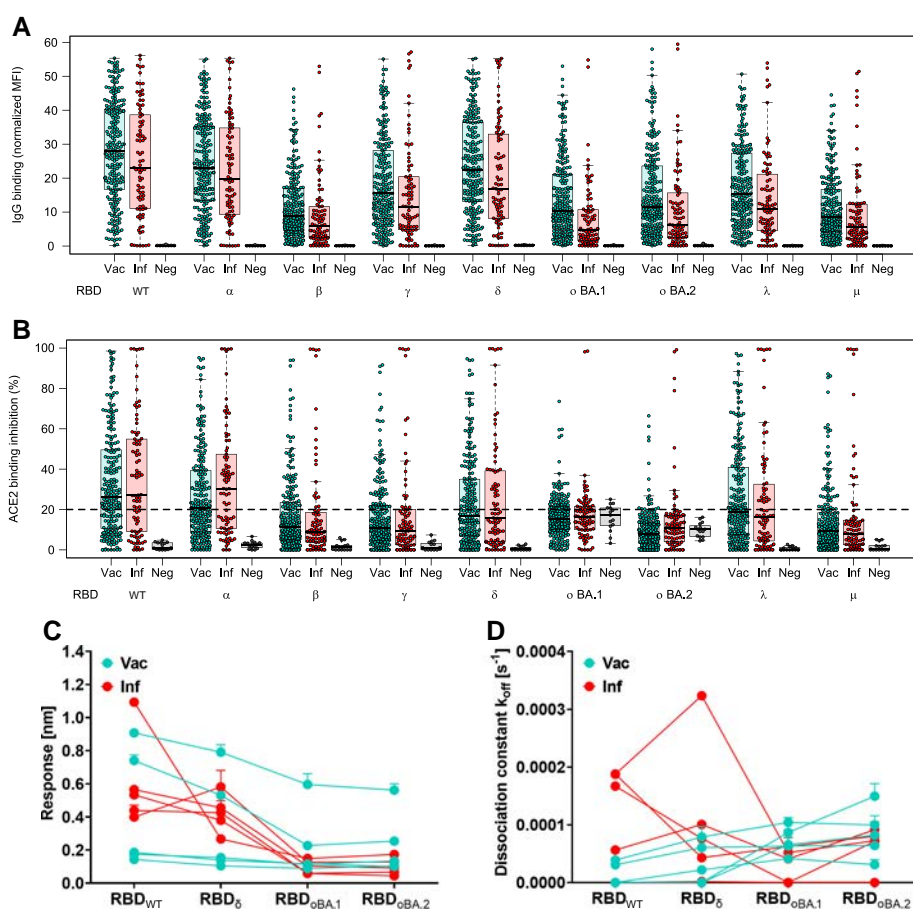
### Statistical Analysis

Data were collated and matched to metadata in Excel 2016. Data visualization was done in RStudio (version 1.2.5001 running R version 3.6.1). Additional packages gplots and beeswarm were used for specific displays. The “lm” function of R’s stats library was used for linear regression analyzes. Correlation analyzes were performed using the “cor” function of R’s stats library. The “wilcox.test” function from R’s stats

library was used to perform either Mann–Whitney *U* tests (2-sided) to estimate significance of observed differences between different groups, or Wilcoxon signed-rank tests (2-sided) to estimate significance of observed different between antigens. Graphs were exported from RStudio and further edited in Inkscape (version 0.92.4) to generate final figures. Biolayer interferometry graphical representation was prepared using GraphPad Prism Software (version 9.0.0).

### RESULTS

Initially, we examined immunoglobulin G (IgG) binding using MULTICOV-AB [22], a previously published SARS-CoV-2 multiplex immunoassay that was adapted to analyze binding

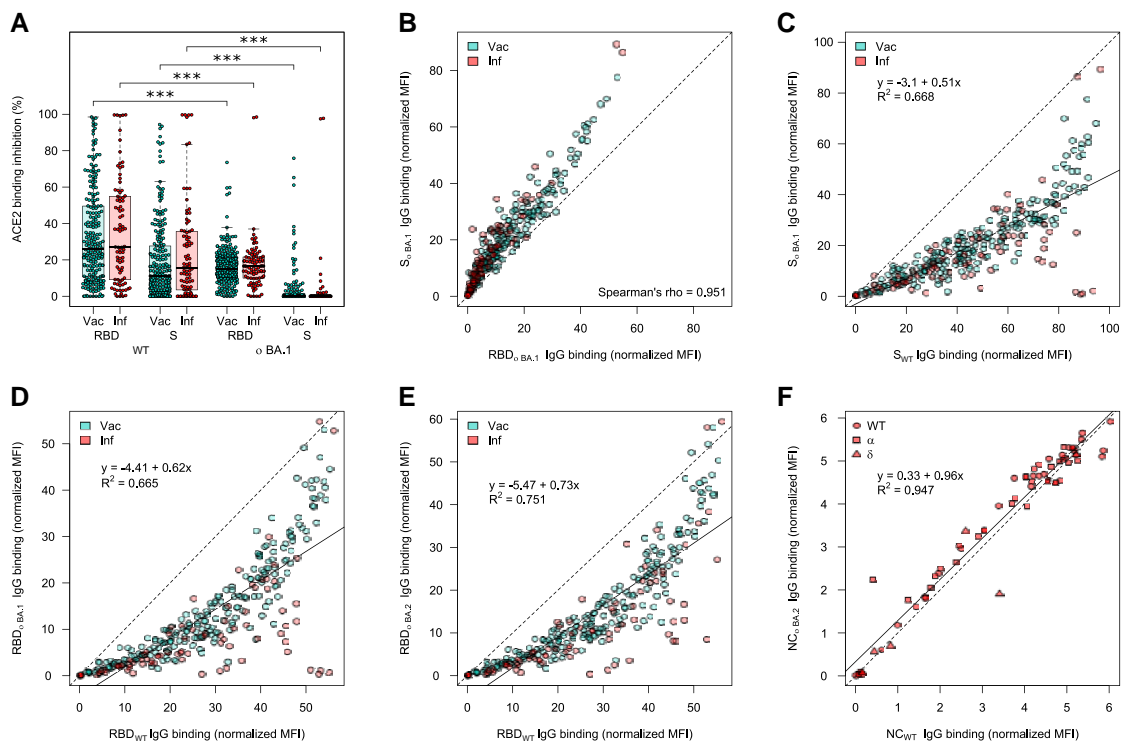


**Figure 1.** Antibody binding response is significantly reduced for both BA.1 and BA.2. Binding response by preexisting antibodies generated through either infection or vaccination was measured with MULTICOV-AB (A) and RBDCoV-ACE2 (B) assays and Biolayer interferometry (C and D). A, Boxplot showing that immunoglobulin G binding is significantly reduced for both BA.1 and BA.2 as compared to other variants of concern (VOCs)/variants of interest (VOIs) for convalescent ( $n = 86$ ) and vaccinated ( $n = 226$ ) samples. Negative samples are included as controls ( $n = 15$ ). B, Boxplot showing that ACE2 binding inhibition is significantly reduced for both BA.1 and BA.2 as compared to other VOCs/VOIs for both convalescent and vaccinated samples. Boxes represent the median with 25th and 75th percentiles; whiskers show the largest and smallest non-outlier values. Outliers were determined by 1.5 interquartile range. C and D, Binding kinetics of receptor-binding domain (RBD)-specific antibodies from serum samples of convalescent and vaccinated individuals (both  $n = 5$ ). Binding response (C) and dissociation constant (D) were determined by 1:1 fitting model of the individual serum samples between the different RBD variants. Median fold reductions for both (A) and (B) can be found as [Supplementary Tables 1–3 and 5](#). Statistical differences between all variants was analyzed by Wilcoxon signed-rank test for both (A) and (B) and is available as [Supplementary Tables 4 and 7](#). The response rate for (B) is available as [Supplementary Table 6](#). Abbreviations: ACE2, angiotensin-converting enzyme 2; IgG, immunoglobulin G; Inf, infected; MFI, median fluorescence intensity; Neg, negative; RBD, receptor-binding domain; Vac, vaccinated; WT, wild-type.

toward RBDs from VOC/VOIs (a full list of antigens analyzed and their mutations contained within can be found in Table 2). For both vaccinated and convalescent samples, IgG binding toward both Omicron BA.1 and BA.2 for preexisting antibodies was significantly reduced compared to WT (BA.1: 2.3–4.1 median fold reduction,  $P < .001$ ; BA.2: 2.1–3.0 median fold reduction,  $P < .001$ ) (Figure 1, Supplementary Tables 1–4). This is similar to the statistically significant reduction in binding toward Beta (2.8–3.5 median fold reduction,  $P < .001$ ) and Mu (3.0–3.6 median fold reduction,  $P < .001$ , Figure 1, Supplementary Tables 1–4). Within Omicron, BA.1 had a significantly increased reduction in IgG binding compared to BA.2 (1.1–1.2 median fold reduction,  $P < .001$ ) (Figure 1, Supplementary Tables 2–4).

Next, we analyzed ACE2 binding inhibition to determine how effective the antibodies were at blocking the RBD-ACE2 interaction, using RBDCoV-ACE2 [19], a multiplex ACE2-RBD inhibition assay. This assay mimics the interaction between ACE2 and the RBD, while the multiplex format allows

for the simultaneous measurement of all VOCs/VOIs in a single well. To evaluate binding against other antigens of SARS-CoV-2, we also include the spike and S1 domain of WT and the spike and nucleocapsid of Omicron. In line with IgG binding, ACE2 binding inhibition against Omicron was significantly reduced compared to WT (Omicron BA.1: median, 15.2%–16.4% and BA.2: median, 8.0%–10.9%; WT: median, 26.1%–27.2%; both  $P < .001$ ) (Figure 1, Supplementary Table 5), with less than half as many samples considered responsive (WT: 62.8%; BA.1: 30.1%–32.6%; BA.2: 8.4%–14.0%) (Figure 1B, Supplementary Table 6). Interestingly, although BA.2 revealed reduced ACE2 binding inhibition and sample response rate compared to BA.1, this was not significant ( $P = .08$ , Supplementary Table 7). While BA.2 had the lowest response rate of all variants examined, the median ACE2 binding inhibition and response rate for BA.1 was greater than for Beta (median, 8.9–11.4; response, 22.1%–31.0%), Gamma (median, 9.4–10.8; response, 25.5%–30.1%), and Mu (median, 8.1–9.1; response, 19.8%–22.6%).



**Figure 2.** Angiotensin-converting enzyme 2 (ACE2) binding inhibition and correlations of binding capacity between BA.1, BA.2, and wild-type (WT) for different antigens. ACE2 binding inhibition (A) and immunoglobulin G (IgG) binding capacity (B–F) were compared for the Omicron BA.1 and BA.2 receptor-binding domain (RBD), and spike (S) to the WT RBD and S. A, Boxplot showing that ACE2 binding inhibition is significantly reduced toward BA.1 for both RBD and S for both vaccinated ( $n = 226$ ) and convalescent ( $n = 86$ ) samples. Boxes represent the median with 25th and 75th percentiles; whiskers show the largest and smallest nonoutlier values. Outliers were determined by 1.5 interquartile range. Statistical significance was calculated by Wilcoxon signed-rank test;  $***P < .001$ . B, Correlation analysis of IgG binding capacity for the BA.1 spike compared to the BA.1 RBD. Spearman rank was calculated to assess ordinal association between the variables. C–F, Linear regressions of IgG binding capacity for the BA.1 S compared to WT S (C), BA.1 RBD compared to wild-type RBD (D), BA.2 RBD compared to WT RBD (E), and BA.2 nucleocapsid compared to WT nucleocapsid (F).  $R^2$  is included to indicate the correlation. Abbreviations: ACE2, angiotensin-converting enzyme 2; IgG, immunoglobulin G; Inf, infected; MFI, median fluorescence intensity; NC, nucleocapsid; RBD, receptor-binding domain; S, spike; Vac, vaccinated; WT, wild-type.

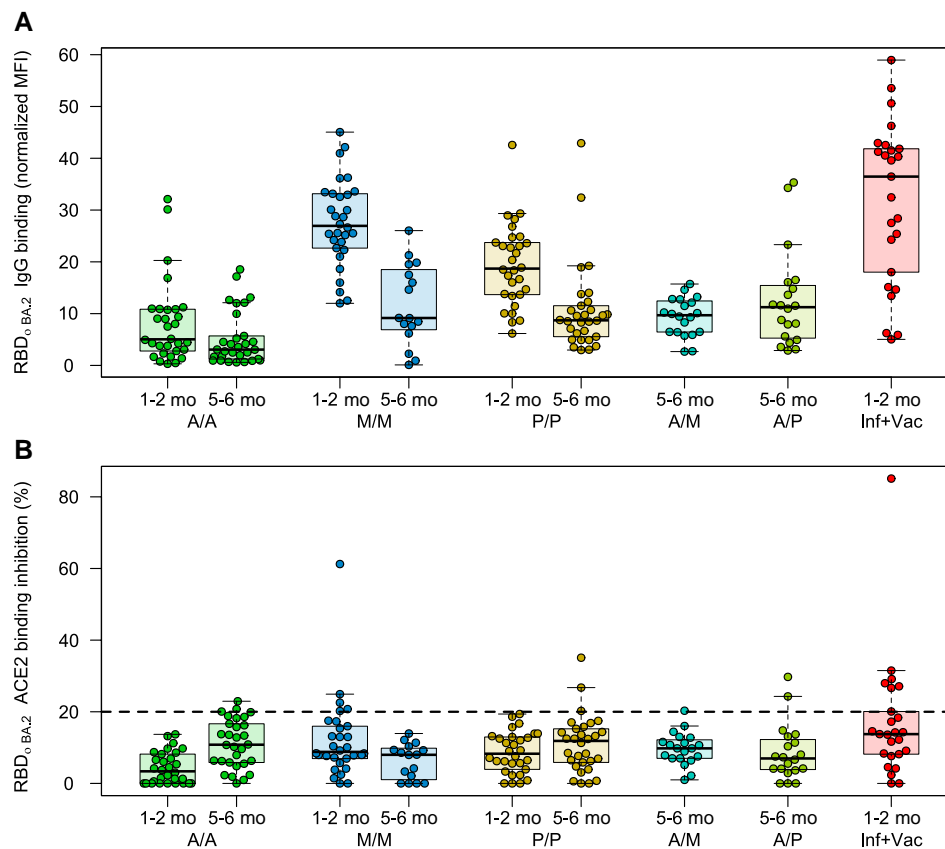


To investigate the RBD binding of BA.1 and BA.2 further, we analyzed the binding kinetics of RBD-specific antibodies from vaccinated (2 doses of BNT162b2) and convalescent (WT) study participants by biolayer interferometry analysis (Figure 1C and 1D). Binding response and dissociation constant were measured for each sample as an indicator of amount and binding strength. Binding response toward BA.1 and BA.2 was reduced compared to both WT and Delta (Figure 1C), while most samples showed increased dissociation kinetics for Omicron (Figure 1D). Despite high variation observed for both the vaccinated and convalescent samples, Omicron always showed the lowest binding response (Supplementary Figure 1, Supplementary Table 8). When binding toward ACE2 itself was examined, Omicron BA.1 and BA.2 were still able to bind ACE2 with high affinity (Supplementary Figure 2).

As mutations toward Omicron are not limited to the RBD, we also analyzed ACE2 binding toward the full-length spike protein. Omicron BA.1 ACE2 binding inhibition toward the

spike protein was significantly reduced compared to WT ( $P < .001$ ). Interestingly, the response rate underwent a similar 30% reduction from RBD to S for both WT (62.8% to 34.5%–43.0%) and Omicron (30.1%–32.6% to 3.5%–4.9%) (Figure 2A, Supplementary Table 9). IgG binding capacity toward spike appears to be conserved to a similar degree as the RBD (Figure 2B–D). However, this is substantially reduced compared to the nucleocapsid of BA.2, for which there was no change in binding capacity compared to WT for convalescent samples, regardless with which strain they had been previously infected (Figure 2E, samples highlighted according to strain).

Next, we analyzed whether vaccine type (AZD1222, mRNA-1273, or BNT162b2) and number of doses (homologous or heterologous 2 dose, or homologous 3 dose) received resulted in differences with respect to Omicron binding response. To analyze how this response changed as time postvaccination increased, we also compared the responses at

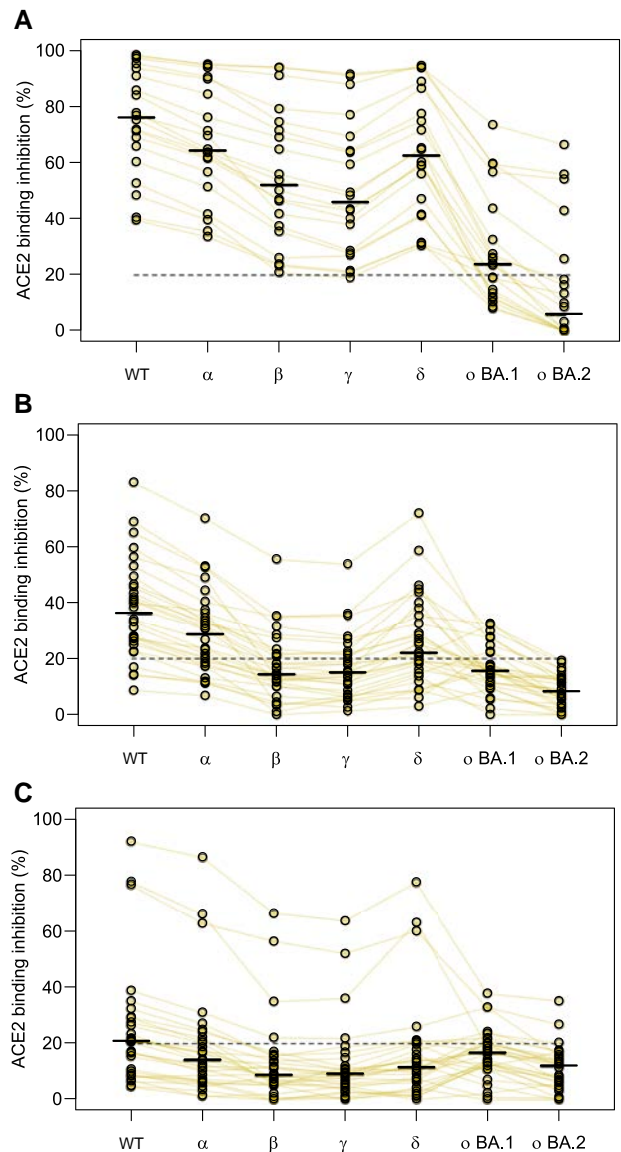


**Figure 3.** Differences in Omicron binding response among different populations of vaccinated samples. Binding response toward Omicron BA.2 was analyzed by either MULTICOV-AB (A) or RBDCoV-ACE2 (B) assays for samples from different vaccine schemes ( $n = 30$  for all samples, except for mRNA-1273 at 5–6 months ( $n = 16$ ), heterologous vaccine schemes (both  $n = 20$ ), and infected and vaccinated ( $n = 25$ ). To determine the effect of time postvaccination, samples from both 1–2 months and 5–6 months postvaccination were included. Boxes represent the median with 25th and 75th percentiles; whiskers show the largest and smallest nonoutlier values. Outliers were determined by 1.5 interquartile range. The 20% cutoff for nonresponders is indicated by the dashed line on (B). The equivalent data for BA.1 are provided as Supplementary Figure 3. Abbreviations: A/A, AZD1222; ACE2, angiotensin-converting enzyme 2; A/M, first dose AZD1222, second dose mRNA-1273; A/P, first dose AZD1222, second dose BNT162b2; IgG, immunoglobulin G; Inf, infected; MFI, median fluorescence intensity; M/M, mRNA-1273; P/P, BNT162b2; RBD, receptor-binding domain; Vac, vaccinated.

1–2 months post–second dose and 5–6 months post–second dose for the homologous recipients. IgG binding capacity at 5–6 months was low for all recipients regardless of the administered vaccine, although homologous mRNA-based vaccination still had higher binding capacity at this timepoint than 1–2 months post–second dose for vector-based vaccination (median of 9.16, 8.7, and 5.04 for mRNA-1273, BNT-162b2, and AZD1222, respectively; Figure 3A). Among samples from 1–2 months postdosing, infected and then vaccinated had the greatest IgG binding capacity (median, 36.5), followed by 2-dose mRNA-1273 (median, 27.0), BNT162b2 (median, 18.7), and AZD1222 (median, 2.3). This pattern was consistent for both BA.1 (Supplementary Figure 3) and BA.2 (Figure 3). ACE2 binding inhibition was consistently low regardless of type received and timeframe postdose (Figure 3B).

To determine whether a third dose results in increased binding responses against Omicron, we analyzed samples from individuals who had received a third dose of BNT162b2 and compared it to those who had received their second dose in a similar timeframe and individuals 5–6 months post–second dose, who would be eligible to receive a third dose (Figure 4). Further boosting was associated with higher Omicron ACE2 binding inhibition compared to 2 doses (27% and 0% of samples were responders toward BA.1 and BA.2 post–second dose, respectively; 55% and 25% were responders after boosting) suggesting that boosting offers increased protection against Omicron (Figure 4). However, this increase in protection was not limited to Omicron and was present for all VOCs (Figure 4). Compared to the second dose from a similar timepoint (30% and 10% responders to BA.1 and BA.2, respectively), boosting with the third dose increased ACE2 binding inhibition, substantially confirming this effect is generated by the third dose itself, and not by time postvaccination alone (Figure 4C).

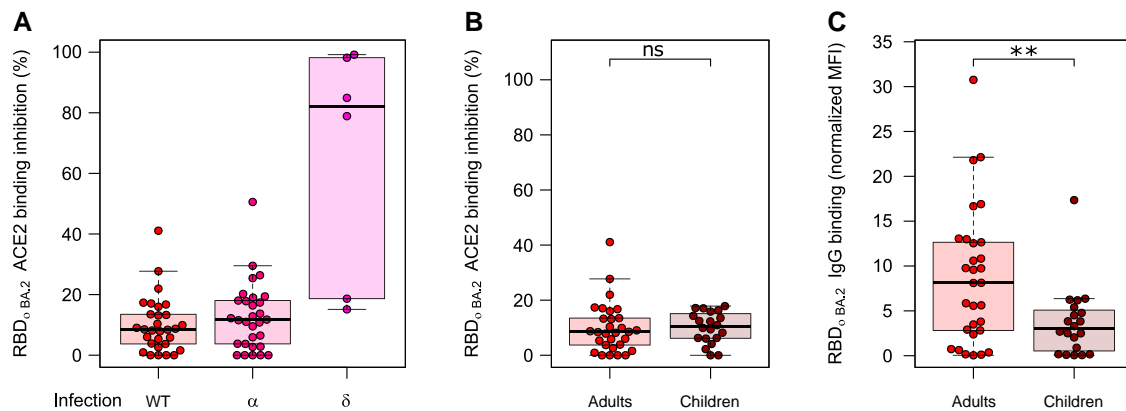
Last, we analyzed whether natural infection with different variants resulted in differences in binding responses. There was no difference in ACE2 binding inhibition between convalescent individuals infected with either WT or Alpha, with both having minimal inhibition against Omicron (Figure 5A, Supplementary Figure 3). While some samples with a previous Delta infection showed substantially more activity compared to WT or Alpha, they had been collected much sooner after the infection (median dT, 18 days) than WT (median dT, 104 days) or Alpha (median dT, 88 days). To evaluate whether children’s antibodies were more effective at binding toward Omicron than adults, we compared convalescent samples 3–4 months post-PCR from the first wave in children (n = 20), to convalescent samples 3 months post–positive PCR from the same wave in adults (n = 30) (Figure 5B and 5C, Supplementary Figure 4). There was no significant difference between adults and children in ACE2 binding inhibition ( $P = .48$ ; Figure 5B), although children did have significantly reduced binding capacity toward Omicron ( $P = .01$ ; Figure 5C).



**Figure 4.** Angiotensin-converting enzyme 2 (ACE2) binding inhibition toward Omicron is boosted by a third vaccine dose. Changes in ACE2 binding response following the third dose of BNT162b2 for all variants within the study. Samples come from either boosted (n = 20, A), 1–2 months post–second dose of BNT162b2 (n = 20, B), or 5–6 months post–second dose of BNT162b2 (n = 20, C). Individual samples are highlighted by connected lines with bars representing medians. The 20% cutoff for nonresponders is indicated by the dashed line. Abbreviations: ACE2, angiotensin-converting enzyme 2; WT, wild-type.

## DISCUSSION

In this study, we provide an in-depth characterization of antibody binding to Omicron BA.1 and BA.2 compared to WT and all other VOCs and variants under investigation in a large, diverse sample cohort. The use of an ACE2 inhibition assay enabled the comparison of multiple variants of interest simultaneously, while also producing comparable results to classical virus neutralization assays [19]. Similar to others, we



**Figure 5.** Differences in immunoglobulin G (IgG) binding response and angiotensin-converting enzyme 2 (ACE2) binding inhibition toward BA.2 among different populations of convalescent samples. Comparative ACE2 binding inhibition (A and B) and IgG binding capacity (C) between convalescent samples from different pandemic waves (A) and adults and children (B and C) for BA.2. A, There are no differences in ACE2 binding inhibition toward BA.2 for individuals infected with wild-type (WT) ( $n = 30$ ), Alpha ( $n = 30$ ), or Delta ( $n = 6$ ). B, Children ( $n = 20$ ) and adults ( $n = 30$ ) have similar ACE2 binding inhibition toward BA.2 following WT infection, although they have significantly reduced IgG binding capacity ( $P = .01$ ). C, Boxes represent the median with 25th and 75th percentiles; whiskers show the largest and smallest nonoutlier values. Outliers were determined by 1.5 interquartile range. Statistical significance was calculated by Mann–Whitney  $U$  test:  $**P < .01$ ; ns,  $P < .05$ . The equivalent data for BA.1 are provided as [Supplementary Figure 4](#). Abbreviations: ACE2, angiotensin-converting enzyme 2; IgG, immunoglobulin G; MFI, median fluorescence intensity; RBD, receptor-binding domain; WT, wild-type.

identified that antibody binding and ACE2 binding inhibition toward both Omicron BA.1 and BA.2 elicited by either immunization or previous infection were significantly reduced [14, 16, 24–28]. However, we provide here additional information on IgG binding capacity and ACE2 binding inhibition by the inclusion of a large variety of subcohorts representing the present diversity of immunity against SARS-CoV-2, the comparison of the Omicron variant to all other VOCs/VOIs, and the comparison between BA.1 and BA.2.

We found that both IgG binding capacity and ACE2 binding inhibition was significantly reduced for BA.1 and BA.2, similar to reductions for Beta and Mu, with the majority of samples being classified as nonresponsive toward Omicron for ACE2 binding inhibition. Like others researchers [15, 24, 28], we found that antibody binding responses toward Omicron were significantly increased upon administration of a booster dose. Boosting increases were not restricted to just Omicron IgG binding capacity and ACE2 binding inhibition, but were present against all VOCs/VOIs. No significant difference was present between BA.1 and BA.2. However, data regarding the longitudinal response post–third dose remains lacking, with some countries now offering a fourth dose for certain groups (eg, immunocompromised individuals). The increased responses following boosting also appear to apply for convalescent individuals, as seen by the increased IgG binding capacity and ACE2 binding inhibition for previously infected individuals who received a single dose, compared to those who had received any 2 vaccine doses. This increase in responses for individuals who have been both infected and vaccinated is in agreement with Pajon et al [29], who found that infections prior to vaccination resulted in a greater breadth of immune

response, while Lechmere et al [26] found that breakthrough Delta infections among vaccinated individuals acted like a booster dose. Thus, both reinfection and a booster dose leads to appropriate affinity maturation of elicited antibodies. Among those who had received 2 doses, binding responses were consistent with other reports (eg, [16]) in identifying a significant decrease for those who received homologous 2-dose vector vaccination as opposed to homologous mRNA vaccination or heterologous vaccination.

We identified no significant difference in ACE2 binding inhibition toward Omicron for children compared to adults, although IgG binding capacity was significantly reduced. This is in contrast to previous research that has identified that children’s antibody titers are higher than adults following infection [21]; however, a limitation of our study is that the children and adult groups were not well-matched in terms of time post-positive PCR (median dT, 104 for adults and 124 for children) or disease severity (majority hospitalized adults vs asymptomatic/mildly symptomatic children). A larger investigation including vaccinated samples from children is needed to investigate any possible protective effect from previous infection and the antibody response toward Omicron itself in children in more detail.

Similar to Carreño et al [27], we identified that IgG binding capacity toward Omicron was not as severely reduced as ACE2 binding inhibition. Interestingly, while BA.2 had significantly increased IgG binding capacity to BA.1, it had reduced ACE2 binding inhibition. While mutations in BA.1 and BA.2 are not limited to the RBD, their reduction in activity was limited to the trimeric spike, with near-identical binding compared to WT for the nucleocapsid. Our analysis of both the spike- and



RBD-derived ACE2 binding inhibition suggests that while both epitopes are sufficiently conserved to enable binding, their divergent mutated sequences affect their inhibitory response. Further investigation into this pattern and particularly the role of S1-derived antibodies in neutralization is required to understand the inhibitory protection offered against Omicron.

Overall, our results identify that while Omicron can efficiently bind ACE2 and vaccine/infection-induced antibodies can bind Omicron, the extent of the mutations within the RBD appear too divergent to enable RBD-directed antibodies to mount an inhibitory response. The dramatic reductions in both IgG binding and ACE2 binding inhibition toward Omicron, as opposed to other VOCs/VOIs, confirm that this variant remains capable of immune escape and requires careful sequence monitoring to identify any further sequence evolution. Importantly, booster doses elicit a significant increase in antibody response, which correlates with a significant increase in both IgG binding and ACE2 binding inhibition against Omicron. Our data add weight to a growing body of evidence that the continuous adaptation of vaccines toward novel highly contagious variants needs to be considered in order to control SARS-CoV-2.

### Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

### Notes

**Author contributions.** D. J., M. Be., A. D., and N. S.-M. conceived the study. D. J., M. Be., T. R. W., P. D. K., S. M., A. Z., U. R., A. D., and N. S. M. planned experiments. A. D. and N. S. M. supervised the study. D. J., M. Be., P. D. K., T. R. W., S. M., T. M. G., J. Gri., P. M., J. Gru., and B. T. performed experiments. C. H., Y. T. P., J. H., R. F., A. K., A. N., Y. M., S. S., J. S. W., K. A., G. U., M. M., T. B., K. S.-L., H. H., S. G., M. Bi., H. R., J. R., C. E., A. R. F., M. H., B. K., M. S., and G. K. collected samples or organized their collection. K. S.-L. and N. S. M. obtained funding. M. Be., T. R. W., and A. D. performed data analysis and generated the figures. A. D. wrote the first draft of the manuscript. All authors approved the manuscript prior to submission.

**Acknowledgments.** The authors thank other members of the Multiplex Immunoassays, Biological Development Center and Bioanalytics groups at the Natural and Medical Sciences Institute at the University of Tuebingen (NMI) for their support on this project. The authors also thank Joop van der Heuvel for his expertise in protein production; Ann Kathrin Horlacher and Mareike Walenta for assistance with sample processing and patient material storage; Ulrike Schmidt, Iris Schaefer, Richard Schaad, and Hannah Zug for technical support; Katharina Kienzle, Hartmut Mahrhofer, Hardy Richter, and Stefanie Döbele for help with sample collection; Andrea Evers-Bischoff, Andrea Bevot, and the Centre for Pediatric Clinical Studies at the University Hospital Tuebingen for organizational support in conducting the study; and all those involved in the organization of the MuSPAD and TuSeRe sample collection.

**Disclaimer.** The funders had no role in study design, data collection, and analysis; preparation of the manuscript; or the decision to submit the manuscript for publication.

**Financial support.** This work was supported by the State Ministry of Baden-Württemberg for Economic Affairs, Labour and Tourism (grant numbers FKZ 3-4332.62-NMI-67, FKZ 3-4332.62-NMI-68, and

7-4332.62-NMI/55); the Initiative and Networking Fund of the Helmholtz Association of German Research Centres (grant number SO-96); the European Union Horizon 2020 research and innovation program (grant agreement number 101003480, CORESMA), and the State Ministry of Lower Saxony for Science and Culture (grant agreement number 14-76103-1841, MWK HZI COVID-19).

**Potential conflicts of interest.** N. S. M. was a speaker at previous Luminex user meetings. The NMI is involved in applied research projects as a fee for services with the Luminex Corporation. M. Bi. reports payment or honoraria from MSD Sharp & Dohme GmbH for symposia; and also reports participation on advisory boards for Roche Pharma AG, Incyte Biosciences Germany GmbH, Bayer Vital GmbH, Bristol-Myers Squibb GmbH & Co KgaA, and MSD Sharp & Dohme GmbH. C. E. reports support for the present manuscript from MWK Sonderfördermaßnahme Kinderstudie (Kap. 1499 TG 93). B. L. reports receiving funding for the present manuscript from NaFOUniMedCovid19 (FKZ: 01KX2021) supported by the German Federal Ministry of Education and Research; and reports a leadership or fiduciary role for the German Center for Infection Research (TI BBD, DZIF), Transplant Cohort, and Steering Committee TBNet. A. Z. reports state technology funding for device infrastructure (7-4332.62-NMI/55), outside the conduct of this study. N. S.-M. reports support for the present manuscript from LAND BW (MULTICOV-AB and LAND BW, Automation in SARS-CoV-2) and payment or honoraria from Luminex Corporation for being a speaker at previous user meetings (the NMI is also involved in applied research projects as a fee for services with the Luminex Corporation). All other authors report no potential conflicts.

All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

### References

1. World Health Organization. Tracking SARS-CoV-2 variants. Available at: <https://www.who.int/en/activities/tracking-SARS-CoV-2-variants/>. Accessed 1 May 2022.
2. Korber B, Fischer WM, Gnanakaran S, et al. Tracking changes in SARS-CoV-2 spike: evidence that D614G increases infectivity of the COVID-19 virus. *Cell* **2020**; 182:812–27.e19.
3. Graham MS, Sudre CH, May A, et al. Changes in symptomatology, reinfection, and transmissibility associated with the SARS-CoV-2 variant B.1.1.7: an ecological study. *Lancet Public Health* **2021**; 6:E335–45.
4. Davies NG, Abbott S, Barnard RC, et al. Estimated transmissibility and impact of SARS-CoV-2 lineage B.1.1.7 in England. *Science* **2021**; 372:eabg3055.
5. Collier DA, De Marco A, Ferreira IATM, et al. Sensitivity of SARS-CoV-2 B.1.1.7 to mRNA vaccine-elicited antibodies. *Nature* **2021**; 593:136–41.
6. Tao K, Tzou PL, Nouhin J, et al. The biological and clinical significance of emerging SARS-CoV-2 variants. *Nat Rev Genet* **2021**; 22:757–73.
7. Pegu A, O'Connell SE, Schmidt SD, et al. Durability of mRNA-1273 vaccine-induced antibodies against SARS-CoV-2 variants. *Science* **2021**; 373:1372–7.
8. World Health Organization. Classification of Omicron (B.1.1.529): SARS-CoV-2 variant of concern. **2021**. Available at: [https://www.who.int/news/item/26-11-2021-classification-of-omicron-\(b.1.1.529\)-sars-cov-2-variant-of-concern](https://www.who.int/news/item/26-11-2021-classification-of-omicron-(b.1.1.529)-sars-cov-2-variant-of-concern). Accessed 1 May 2022.
9. CoVariants. Shared mutations. Available at: <https://covariants.org/shared-mutations/>. Accessed 1 May 2022.
10. Rambaut A, Holmes EC, O'Toole Á, et al. A dynamic nomenclature proposal for SARS-CoV-2 lineages to assist genomic epidemiology. *Nat Microbiol* **2020**; 5: 1403–7.
11. cov-lineages.org. Lineage B.1.1.529. **2022**. Available at: <https://cov-lineages.org/lineage.html?lineage=B.1.1.529>. Accessed 1 May 2022.
12. Cele S, Jackson L, Khoury DS, et al. SARS-CoV-2 Omicron has extensive but incomplete escape of Pfizer BNT162b2 elicited neutralization and requires ACE2 for infection. *medRxiv* [Preprint]. Posted online 17 December **2021**. doi:10.1101/2021.12.08.21267417.
13. Cao Y, Wang J, Jian F, et al. Omicron escapes the majority of existing SARS-CoV-2 neutralizing antibodies. *Nature* **2022**; 602:657–63.
14. Nemet I, Kliker L, Lustig Y, et al. Third BNT162b2 vaccination neutralization of SARS-CoV-2 Omicron infection. *N Engl J Med* **2022**; 386:492–4.
15. Garcia-Beltran WF, StDenis KJ, Hoelzemer A, et al. mRNA-based COVID-19 vaccine boosters induce neutralizing immunity against SARS-CoV-2 Omicron variant. *Cell* **2022**; 185:457–66.e4.

16. Schmidt F, Muecksch F, Weisblum Y, et al. Plasma neutralization of the SARS-CoV-2 Omicron variant. *N Engl J Med* **2022**; 386:599–601.
17. Aggarwal A, Stella AO, Walker G, et al. SARS-CoV-2 Omicron: evasion of potent humoral responses and resistance to clinical immunotherapeutics relative to viral variants of concern. *medRxiv* [Preprint]. Posted online 15 December **2021**. doi:10.1101/2021.12.14.21267772.
18. Gorny D, Harries M, Glöckner S, et al. SARS-CoV-2 seroprevalence in Germany. *Dtsch Arztebl Int* **2021**; 118:824–31.
19. Junker D, Dulovic A, Becker M, et al. COVID-19 patient serum less potently inhibits ACE2-RBD binding for various SARS-CoV-2 RBD mutants. *Sci Rep* **2021**; 12: 7168.
20. Heinzl C, Pinilla YT, Elsner K, et al. Non-invasive antibody assessment in saliva to determine SARS-CoV-2 exposure in young children. *Front Immunol* **2021**; 12: 753435.
21. Renk H, Dulovic A, Seidel A, et al. Robust and durable serological response following pediatric SARS-CoV-2 infection. *Na Commun* **2022**; 13:128.
22. Becker M, Strengert M, Junker D, et al. Exploring beyond clinical routine SARS-CoV-2 serology using MultiCoV-Ab to evaluate endemic coronavirus cross-reactivity. *Nat Commun* **2021**; 12:1152.
23. Becker M, Dulovic A, Junker D, et al. Immune response to SARS-CoV-2 variants of concern in vaccinated individuals. *Nat Commun* **2021**; 12:3109.
24. Gruell H, Vanshylla K, Tober-Lau P, et al. mRNA booster immunization elicits potent neutralizing serum activity against the SARS-CoV-2 Omicron variant. *Nat Med* **2022**; 28:477–80.
25. Wilhelm A, Widera M, Grikscheit K, et al. Reduced neutralization of SARS-CoV-2 Omicron variant by vaccine sera and monoclonal antibodies. *medRxiv* [Preprint]. Posted online 8 December **2021**. doi:10.1101/2021.12.07.21267432.
26. Lechmere T, Snell LB, Graham C, et al. Broad neutralization of SARS-CoV-2 variants, including Omicron, following breakthrough infection with Delta in COVID-19-vaccinated individuals. *mBio* **2022**; 13:e0379821.
27. Carreño JM, Alshammery H, Tcheou J, et al. Activity of convalescent and vaccine serum against SARS-CoV-2 Omicron. *Nature* **2022**; 602:682–8.
28. Pajon R, Doria-Rose NA, Shen X, et al. SARS-CoV-2 Omicron variant neutralization after mRNA-1273 booster vaccination. *N Engl J Med* **2022**; 386:1088–91.
29. Goel RR, Apostolidis SA, Painter MM, et al. Distinct antibody and memory B cell responses in SARS-CoV-2 naive and recovered individuals following mRNA vaccination. *Sci Immunol* **2021**; 6:eabi6950.