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A 17q12 Allele Is Associated with Altered NK Cell Subsets and Function

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Abstract

NK cells play an important role in innate immunity. A previous genome-wide association study demonstrated an association between a 17q12 allele (rs9916629^C) and lower frequency of CD3⁻CD56⁺ NK cells in peripheral blood. We performed an analysis that not only replicates the original result of the genome-wide association study ($p = 0.036$) but also defines the specific cell subpopulations and functions that are modulated by the rs9916629 polymorphism in a cohort of 96 healthy adult subjects using targeted multiparameter flow cytometric profiling of NK cell phenotypes and functions. We found that rs9916629^C is associated with alterations in specific NK cell subsets, including lower frequency of predominantly cytotoxic CD56^{dim} NK cells ($p = 0.011$), higher frequency of predominantly regulatory CD56^{bright} NK cells ($p = 0.019$), and a higher proportion of NK cells expressing the inhibitory NKG2A receptor ($p = 0.0002$). Functionally, rs9916629^C is associated with decreased secretion of macrophage inflammatory protein-1 β by NK cells in the context of Ab-dependent cell-mediated cytotoxicity ($p = 0.039$) and increased degranulation in response to MHC class I-deficient B cells ($p = 0.017$). Transcriptional profiling of NK cells suggests that rs9916629 influences the expression of transcription factors such as *TBX21*, which has a role in NK cell differentiation, offering a possible mechanism for the phenotypic and functional differences between the different alleles. The rs9916629^C allele therefore has a validated effect on the proportion of NK cells in peripheral blood and skews NK cells toward a specific phenotypic and functional profile, potentially influencing the impact that these innate immune cells have on infection and autoimmunity.

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Disclosures

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Natural killer cells represent a key component of the innate immune system and are able to rapidly eliminate tumor cells or virally infected cells without prior Ag sensitization (1-4). NK cells are broadly defined as a lymphocyte subset expressing CD56 and/or CD16 on their surface in the absence of T or B cell lineage markers. The level of CD56 surface expression further distinguishes two functionally distinct subsets of NK cells: 1) a smaller subset of CD56^{bright} cells that exhibit a more immunoregulatory profile that primarily involves secretion of cytokines (~10% of NK cells in the blood), and 2) the major subset of CD56^{dim} cells that exert mostly cytotoxic functions (~90% of NK cells in the blood) (5, 6). NK cells represent ~5–15% of total circulating lymphocytes, but the proportion of NK cells varies across human populations and this variation is in part heritable (7, 8), potentially conferring a differential capacity to fight infection or malignancy.

In addition to their role in antimicrobial, antiviral, and antitumor immunity, NK cells have recently also been implicated in inflammatory and autoimmune disorders such as type I diabetes (9, 10) and multiple sclerosis (11, 12). Genetic variations that influence the proportion of NK cells may also contribute to the clinical outcomes in these diseases. We previously reported that multiple sclerosis patients display a reduced frequency of the CD3⁻CD4⁻CD8^{low}CD56⁺ subset of NK cells (13). Interestingly, certain disease-modifying therapies being tested in multiple sclerosis influence NK cell subset distribution and function; for example, daclizumab (an anti-IL-2R α mAb) induces the expansion and activation of regulatory CD3⁻CD56^{bright} NK cells (14, 15). Thus, an enhanced understanding of the genetic factors influencing variation in NK subset phenotype and NK cell function will be important for clinical translation of certain molecules: genotypes that are associated with NK cell frequency, subset distribution, and specific functions might provide a useful tool to predict risk and outcome in certain diseases and serve as potential therapeutic targets.

Beyond the basic distinction of regulatory and cytotoxic NK cells, these innate immune effector cells can be segregated into a vast repertoire of subsets based on their cell receptor profiles, each with a unique capacity to recognize infected or malignant target cells (16). The major classes of receptors include the killer Ig-related receptors (KIRs) that bind to MHC molecules, the c-type lectins (NKG2) that bind to stress inducible molecules such as MICA/B and ULBP, the natural cytotoxicity receptors that bind to viral hemagglutinins, as well as the Fc γ RIIIa receptor (CD16) that binds to the Fc region of IgG Abs (16). NK cell clones, either constitutively or upon activation, express a wide range of additional receptors that can further modulate target cell recognition.

A recent genome-wide association study in healthy subjects identified two single nucleotide polymorphisms (SNPs) on chromosome 17q12 (rs1838149 and rs9916629; $r^2 = 1.0$ in HapMap 2 CEU subjects, Utah residents with ancestry from northern and western Europe) that are associated with the frequency of CD3⁻CD56⁺ NK cells (7). However, this study only addressed how these polymorphisms affected the overall frequency of NK cells without investigating whether specific NK cell subsets or functions were modulated preferentially. Given the complexity of NK cell population structure and function, we were thus interested in not only replicating this result but also defining whether this locus is

associated with alterations in specific NK cell subpopulations or functions that could predict differential disease outcomes. In this study, multiparameter flow cytometric phenotyping was coupled with functional profiling of NK cells and gene expression analysis of purified NK cell populations of individuals carrying the different variants at this locus. We report a replication of the original association and a novel observation that the candidate SNP (rs9916629) is associated with an altered NK phenotypic and functional profile.

Materials and Methods

Study subjects and genotyping of the candidate gene

The Institutional Review Board of Partners Healthcare approved the study. Subjects gave written informed consent for their DNA analysis and immune cell profiling. To study the association between the candidate SNP and NK cell phenotype and function, we drew subjects from a living biobank of >1600 healthy adult blood donors between 18 and 50 y age who were recruited from the Boston area as part of the Brigham and Women's Hospital PhenoGenetic Project, which is an ongoing effort to understand how genetic variations affect the immune system and influence the risk of inflammatory diseases (see Supplemental Table I for demographic details). The candidate SNP (rs9916629) was genotyped using the iPLEX Sequenom MassARRAY platform (genotype call rate > 95%, Hardy–Weinberg Equilibrium $p > 0.001$). Genotype frequencies in subjects are described below: 8.3% with the CC genotype, 44.8% with the CT genotype, and 46.9% with the TT genotype.

Multiparameter flow cytometric phenotypic profiling of NK cells

We conducted a comprehensive phenotypic profiling of NK cells using a multiparameter flow cytometric approach. All blood samples were collected between 8:00 AM and 12:00 PM in the Center for Clinical Investigation at the Brigham and Women's Hospital to minimize circadian fluctuations in lymphocyte counts and subset frequencies. PBMCs were isolated from fresh whole blood of each subject within 4 h after venipuncture by Ficoll-Hypaque density gradient centrifugation (Sigma-Aldrich, St. Louis, MO), and 10^6 PBMCs were incubated for 15 min with different fluorescently labeled Abs. The following Abs were used: CD3 Pacific Blue, CD16 allophycocyanin-Cy7 or Alexa Fluor 700, CD56 PE-Cy7 or Alexa Fluor 700, CD161 (KLRB1) PE-CY5, CD94 (KLRD1) FITC, CD314 (NKG2D) allophycocyanin, CD335 (NKp46) PE, CD337 (NKp30) Alexa Fluor 647, CD336 (NKp44) PE, perforin FITC (all from BD Bioscience, San Jose, CA); and CD159A (NKG2A) PE (Beckman Coulter). For intracellular staining of perforin, cells were then permeabilized using Fix/Perm solution (BD Biosciences) followed by incubation with the respective Ab for 30 min. Data were acquired on a multiparametric LSR II flow cytometer (BD Biosciences) and analyzed using FlowJo software v9.3 (Tree Star, Ashland, OR). NK cells were defined as CD3⁻ lymphocytes expressing CD56 and/or CD16. NK cells were defined as CD3⁻CD56^{+/-}CD16^{+/-}. Furthermore, CD56^{bright} NK cells were defined as CD3⁻CD56⁺CD16⁻. CD56^{dim} cells were defined as CD3⁻CD56⁺CD16^{+(high or low)}. Frequency of all cells expressing the tested markers was assessed for all NK cells and for the CD56^{bright} and CD56^{dim} subsets separately. Of note, cytometric data generation and manual parameter extraction were performed by an investigator who was blinded to each subject's genotype.

Functional NK cell assay using flow cytometry

The capacity of NK cells to secrete cytokines and degranulate was examined following stimulation of PBMCs with different NK target cell lines, each at a 10:1 E:T ratio: 1) Ab-dependent cell-mediated cytotoxicity (p815 cells; a mouse leukemic cell line [American Type Culture Collection], preincubated for 1 h with 1 mg/ml p815-specific Ab [Abcam, Cambridge, MA]), 2) MHC-devoid target cell line (K562 cells; American Type Culture Collection), and 3) MHC class I-deficient B cell line (721.221 cells; American Type Culture Collection). Treatment with PMA (2.5 µg/ml) and ionomycin (1 µg/ml) was used as positive control (Sigma-Aldrich), and incubation of PBMCs with media alone (RPMI 1640 medium with 10% FCS) or PBMCs with p815 cells without the coating Ab was used as the negative control for the respective experiments. Brefeldin A (0.5 µg/ml; Sigma-Aldrich), 0.3 µg/ml monensin (GolgiStop; BD Biosciences), and anti-CD107a-PE-Cy5 Ab (a surrogate marker of cell degranulation and cytotoxicity; BD Biosciences) were added directly to the stimulation conditions and cells were incubated at 37°C in 5% CO₂ for 6 h. Following this period of coculture, cells were washed and stained with the immunophenotype markers (CD3, CD16, CD56) as described above. Cells were then washed, fixed, and permeabilized using Fix/Perm solutions (BD Biosciences) according to the manufacturer's instructions. Intracellular cytokine staining for IFN- γ and macrophage inflammatory protein (MIP)-1 β (also known as CCL4) was performed using anti-IFN- γ -FITC and anti-MIP-1 β Abs (both BD Biosciences). Data were acquired on a BD LSRII flow cytometer and analyzed using FlowJo software v9.3.

RNA expression and expression quantitative trait locus analysis

From 20 subjects with genotype data at rs9916629, RNA expression profiles in purified NK cell populations were generated using the Illumina Bead-Array platform (Illumina, San Diego, CA). Briefly, NK cells were purified using the RosetteSep separation kit (Stemcell Technologies, Vancouver, BC, Canada) on whole blood following cell lysis. RNA was extracted using an RNA isolation kit (Qiagen, Valencia, CA). The Illumina platform reported average signal, bead SE, total number of beads detected per probe, and detection *p* value. Our samples contained expression data from 48,760 probes that met the Illumina threshold for significant detection (detection *p* value cutoff of 0.01). These probes cover a total of 37,780 transcripts. After removing probes without expression in any sample, expression data for 14,431 probes remained. With C as the reference allele, we performed linear regression using an additive model for each probe against the genotype at rs9916629.

Statistical analysis

We used linear regression models to explore the correlation between the candidate SNP (rs9916629) and the quantitative traits of NK profile obtained in the flow cytometric assessment. To meet the assumptions of our linear regression models, and to limit the impact of outliers, we assessed quantitative NK cell frequencies for normality and performed a square root or natural log transformation where appropriate. Because the magnitude of the β estimate from the linear model is not comparable between transformed and untransformed values, results are presented in terms of the *p* value and direction of effect. Age and sex were used as covariates in multivariate analyses. For frequencies of NK cells that express

cytokines or degranulate following stimulation with the different target cells, the model was further adjusted for background cytokine secretion or degranulation (stimulation with media alone or p815 cells without Ab, respectively). When a statistically significant association was found using the primary additive model for the candidate SNP, we next explored whether this effect was dominant or recessive using linear regression and based on the observed median frequencies in each genotype group. Statistical analyses were performed using PLINK software, version 1.07 (<http://pngu.mgh.harvard.edu/purcell/plink/>) (17) and SAS, version 9.2 (SAS Institute, Cary, NC).

Results

A previous study found that a polymorphism at the 17q12 locus was associated with the frequency of the CD3⁻CD56⁺ NK cells in circulation (7), but whether this SNP affects all NK cells or specific NK cell populations was not examined. In this study, we sought to dissect the impact of the 17q12 locus on specific NK cell subpopulations and their functions. To address these questions, we leveraged a living biobank of >1600 healthy adult blood donors between 18 and 50 years of age (the Brigham and Women's Hospital PhenoGenetic Project) and captured a detailed profiling of NK cell immunophenotypes and functional capacity from 96 randomly selected subjects (see Supplemental Table I for demographic details). Outcome measures included NK cell subpopulation frequency and functional response to different activation pathways. In our data processing pipeline, we comprehensively assessed the frequency of nine specific cell surface markers on NK cells using multicolor flow cytometry. Additionally, NK cell function was assessed in response to three different stimuli using intracellular cytokine staining for IFN- γ and MIP-1 β , and NK cell degranulation, which serves as a surrogate marker for NK cell-mediated cytotoxicity (18). The frequency of NK cell subsets was expressed as a percentage of CD3⁻CD56⁺ bulk NK cells, CD56^{bright}, or CD56^{dim} cells. We performed association analyses between the rs9916629 variant and the quantitative traits derived from NK cell cytometric profiles to define the impact of this SNP on NK cells.

In our primary analysis, we set out to replicate the previously published association between the rs9916629^C allele and reduced NK cell frequency in the peripheral circulation. We replicated the finding by Ferreira et al. (7) in our cohort of 96 healthy adult subjects: rs9916629^C is associated with a lower frequency of CD3⁻CD56⁺ NK cells ($p = 0.015$ unadjusted; $p = 0.036$ after adjusting for age and sex, which independently influence the frequency of NK cells; median NK cell frequency [quartile 1–quartile 3] for each genotype as below: CC = 5.86% [4.23–9.63%], CT = 5.71% [4.39–9.02%], TT = 8.15% [5.54–13.4%]). There is no statistically significant association between rs9916629^C and the absolute counts of total NK cells. Given that NK cells can be further divided into a minor regulatory CD56^{bright} or the major cytotoxic CD56^{dim} NK cell subpopulations in the blood, we next examined whether the rs9916629^C allele was associated with any specific alteration in either of these two NK cell subpopulations. Interestingly, we found that rs9916629^C was associated with a significant perturbation of NK cell subpopulations, marked by an increase in the frequency of CD56^{bright} NK cells ($p = 0.019$) and a reduction in the overall frequency of CD56^{dim} NK cells ($p = 0.0069$) (Fig. 1, Table I). Moreover, after adjusting for age and sex in a multivariate analysis, the rs9916629^C association with elevated CD56^{bright}

NK cells ($p = 0.019$) and with reduced CD56^{dim} NK cells ($p = 0.011$) persisted (Table I). When the analysis was restricted to a subset of subjects who are self-reported non-Hispanic whites (72% of the subjects), we observed the same association between rs9916629^C and the primary outcomes (CD56^{bright}, $p = 0.029$; CD56^{dim}, $p = 0.036$), and the association in this subset of subjects trended toward statistical significance after adjusting for age and sex (CD56^{bright}, $p = 0.052$; CD56^{dim}, $p = 0.065$). Subjects of other ancestries were too few in number to analyze separately. Overall, the rs9916629^C allele is thus associated with NK cell subset redistribution that includes a higher frequency of the regulatory CD56^{bright} NK cells in addition to a general decrease in the total frequency of circulating NK cells.

Multiparameter flow cytometric phenotypic profiling of NK cells

To further define whether the rs9916629^C allele modulated the frequency of specific NK cell subpopulations, we next used targeted immunophenotypic profiling to extend our understanding of the impact of the SNP on the frequency of NK cells expressing particular NK cell receptors. Interestingly, we found an association between rs9916629^C and the frequency of NK cells expressing the inhibitory NK cell receptor NKG2A (or CD159A) (Table II). Using our default additive model, the frequency of NK cells expressing the inhibitory NKG2A receptor increases for each additional rs9916629^C allele ($p = 0.00046$ unadjusted; $p = 0.0002$ after adjusting for age and sex) (Fig. 2A), meeting a Bonferroni-corrected p value threshold for multiple comparisons of 0.0056 (given that one SNP was tested with nine markers of NK cell subpopulations). Because rs9916629^{CT} and rs9916629^{CC} subjects had similar median NKG2A frequencies (67.8 and 67.3%, respectively), we also tested the association between rs9916629 and NKG2A using a dominant model (rs9916629^{CT/CC} versus rs9916629^{TT}) and observed a similar result (Fig. 2B, Supplemental Table II) as that seen in the additive model for this SNP. Thus, we cannot distinguish whether the effect of rs9916629^C is additive or dominant at this time.

Whereas NKG2A is expressed on both CD56^{bright} and CD56^{dim} NK cells, it is expressed with a higher frequency and at higher intensity on CD56^{bright} NK cells than on CD56^{dim} NK cells. We next assessed whether rs9916629^C influences the overall NKG2A expression or expression of this inhibitory NK cell receptor on one of the two major NK cell subsets. We found that rs9916629^C was associated with a higher frequency of CD56^{dim} NK cells expressing NKG2A ($p = 0.0024$ unadjusted; $p = 0.0017$ after adjusting for age and sex) but did not influence the frequency of NKG2A-expressing CD56^{bright} NK cells (Supplemental Table II). Of note, we also found a suggestive association between rs9916629^C and a higher frequency of bulk NK cells expressing CD161 ($p = 0.025$ unadjusted; $p = 0.038$ after adjusting for age and sex) and a higher frequency of bulk NK cells expressing CD94 ($p = 0.064$ unadjusted; $p = 0.030$ after adjusting for age and sex). Thus, rs9916629^C is specifically associated with an enrichment of NKG2A⁺CD56^{dim} NK cells that also express higher levels of the NKG2A-heterodimeric partner CD94 and the inhibitory NK cell receptor CD161 in the peripheral blood.

Functional NK cell assay using flow cytometry

Because of the observed association between rs9916629^C and the inhibitory NKG2A receptor, we next explored whether the rs9916629^C variant influences the functional

capacity of NK cells. To do so, we incubated PBMCs from each subject with PMA/ionomycin or one of the three tumor target cell lines, each stimulating a distinct NK activation pathway: 1) Ab-dependent cell-mediated cytotoxicity (ADCC) using Ab-coated p815 cells; 2) NKG2D-mediated activation using the MHC-devoid target cells line, K562 cells, in the absence of the inhibitory signal through interaction between MHC class I and KIR; or 3) natural cytotoxicity receptor (including NKp30 and NKp46)-mediated activation using an MHC class I-deficient B cell line, 721.221 cells, in the absence of MHC/KIR inhibition. For each stimulation condition, three functional properties of NK cells were interrogated: 1) degranulation, using surface CD107a as a surrogate marker of cytotoxicity (18); 2) secretion of IFN- γ , which has antiviral and proinflammatory properties; and 3) secretion of MIP-1 β , a chemoattractant (Table III). Because three outcome measures were assessed for each of the four stimulation conditions (a total of 12 comparisons), we implemented a Bonferroni-corrected threshold of significance of $p = 0.0042$ in our primary analysis, which focused on bulk NK cells. We found that rs9916629 influenced the functional capacity of NK cells. Specifically, the rs9916629^C allele was associated with increased expression of CD107a on the surface of NK cells following PMA/ionomycin stimulation ($p = 0.0014$ after adjusting for background staining; $p = 0.0011$ after adjusting for background, age, and sex) (Table III). This enhanced detection of a degranulation marker with rs9916629^C was seen in both CD56^{bright} and CD56^{dim} NK cells, suggesting that both subsets of NK cells are more functionally active following maximal stimulation in the presence of this polymorphism. Similarly, there was a suggestive association between rs9916629^C and enhanced degranulation in bulk NK cells as well as in CD56^{bright} and CD56^{dim} NK cells following stimulation with 721.221 cells (bulk, $p = 0.017$; CD56^{bright}, $p = 0.023$; CD56^{dim}, $p = 0.018$; after adjusting for background staining, age, and sex) (Table III), suggesting heightened functional activation in response to MHC class I-deficient B cells. Furthermore, we observed suggestive evidence of association between rs9916629^C and reduced MIP-1 β secretion by bulk NK cells following activation with Ab-coated target cells, which requires binding of the constant part of an Ab to Fc γ RIII (CD16) on the surface of NK cells to induce ADCC by NK cell activation ($p = 0.016$ after adjusting for background; $p = 0.039$ after adjusting for background, age, and sex) and to a lesser extent following stimulation through the NKG2D activation pathway with an MHC-devoid target cell line K562 ($p = 0.039$ after adjusting for background; $p = 0.10$ after adjusting for background, age, and sex). Taken together, rs9916629^C was associated with a number of specific alterations, suggesting enhanced NK cell degranulation and reduced cytokine secretion in the presence of this allele.

RNA expression and expression quantitative trait locus analysis

To investigate potential mechanisms by which rs9916629^C might alter NK cell subset distribution and function, we examined the RNA expression profile of bulk CD3⁻CD56⁺ NK cells in a subset of subjects ($n = 20$; 21% of total study population). Performing an expression quantitative trait locus analysis, we first assessed genes in the 17q12 locus, since such genes are the most likely to be directly influenced by genetic variation in their vicinity. Because rs9916629 is located near *SLFN13*, a member of the family of Schlafen genes that are all found in a cluster at 17q12, we assessed its effect on the level of expression of all *SLFN* genes on which data were available: the level of expression of probe sets interrogating

SLFN1, *5*, *11*, *12*, *12L*, and *13* were not associated with rs9916629. On an exploratory basis, we assessed our RNA data for an effect of rs9916629 on all other interrogated transcripts, and we observed changes in the expression levels of 126 genes within the purified NK cell population that were suggestively associated with rs9916629^C ($p < 0.01$; Supplemental Table III). Given our small sample size, no single transcript had significant evidence of association after correcting for the testing of multiple hypotheses. Among the suggested associations, interestingly, we found that rs9916629^C was associated with increased expression of *TBX21* ($p = 0.0037$), which encodes the T-box transcription factor 21 (also known as *T-BET*) (Supplemental Fig. 1) previously implicated in the development and differentiation of NK cells (19). When applying the Ingenuity pathway analysis tools (Ingenuity Systems, <http://www.ingenuity.com>) to identify the networks of genes whose expressions are coordinately influenced by rs9916629^C, we observed, in this unsupervised analysis, that the best scoring model (score of 20) contained *TBX21* as well as 12 other genes, suggesting that increased *TBX21* expression may be part of a transcriptional program influenced by rs9916629^C.

Discussion

NK cells are an important effector component of the innate immune system that play a central role in eliminating infected or malignantly transformed cells as well as in qualitatively modulating adaptive immunity. Thus, identifying the genetic factors influencing NK cell frequency, phenotype, or function may lead to improved prediction models for disease susceptibility or clinical outcomes and discovery of potential therapies that specifically target the function of NK cells. Following the recent report of an SNP (rs9916629) linked to reduced NK cell frequency (7), we sought to replicate this finding and to examine the previously unaddressed questions of whether genetic variation at this locus affects particular NK cell subpopulations and functional profiles. Informed by the functionally distinct NK cell subsets distinguished based on the intensity of CD56 staining, CD56^{bright} and CD56^{dim}, our analytic approach uncovers a more complex effect of the 17q12 locus on NK cells and refines the original association within a much smaller subject sample size ($n = 96$) than the original study ($n = 2538$), which used a coarser CD3⁻CD56⁺ definition for NK cells (7). Given their distinct functions, the failure to distinguish the CD56⁺ NK cell subsets may, as in this case, result in averaging opposing effects in different sub-populations and dilution of an association with the NK cell phenotype. Because age affects NK cells, it is also important that our study extends previous findings to an adult population since the subjects in the cohort studied by Ferreira et al. (7) were pre-dominantly adolescents.

The detailed profiling of NK cell immunophenotypes and functional responses that we have generated provides additional insights into how the rs9916629^C variant influences NK cell frequency and response. Overall, several observations support the conclusion that individuals bearing one or two copies of the rs9916629^C allele exhibit an altered peripheral NK cell profile when compared with individuals lacking the rs9916629^C allele. First, rs9916629^C is associated with an intriguing redistribution of NK cell subsets with a reduction in the CD56^{dim} NK cells and an expansion of the CD56^{bright} NK cells. These immunoregulatory CD56^{bright} NK cells secrete copious amounts of cytokines and chemokines that are essential in initiating the early inflammatory response. Second, this

allele is associated with the preferential accumulation of NK cells expressing the inhibitory c-type lectin NKG2A and its heterodimeric partner, CD94. Third, rs9916629^C shows a robust correlation with enhanced degranulation (CD107a) by bulk NK cells as well as by CD56^{bright} and CD56^{dim} cells following maximum stimulation in response to PMA/ionomycin and following stimulation with an MHC class I-deficient B cell line. Finally, this allele is associated with decreased secretion of the chemoattractant MIP-1 β by bulk NK cells following Fc γ RIIIa activation in the context of ADCC, and possibly following exposure to an MHC-devoid target cell line. These results clearly demonstrate that genetic variation at the 17q12 locus has pleiotropic effects on both the phenotype and function of NK cells.

Note that because this variant emerged from a genome-wide association scan, it is probably not the causal variant within this locus. Fine mapping with interrogation of all genetic variants in the region will be necessary to identify the causal variant. In the interim, rs9916629 is a good surrogate marker that captures the effect of the 17q12 locus, which may be dominant or additive, as our current data fit either model equally well. Although the impact of rs9916629 on the distribution of NK cell surface phenotypes is more clearly appreciated, the functional impact of the SNP is not as clear in part due to the heterogeneity in NK cell activity from subject to subject. Functional heterogeneity is linked to differences in KIR/HLA backgrounds that alter the functional licensing of NK cells, resulting in different levels of activity against generic target cell lines. Only extremely large cohort studies could normalize for the impact of the extreme genetic variability within the KIR and HLA loci. In our relatively small cohort, the rs9916629^C was associated with alterations in NK cell responsiveness to PMA, more readily than MHC-devoid target cell lines, strongly suggesting that CD56^{bright}, rather than CD56^{dim}, NK cells are functionally modulated by this genetic variant.

Although NKG2A serves as the dominant self-sensing inhibitory signal on CD56^{bright} NK cells, it is also expressed on the CD56^{dim} NK cells. Interestingly, high expression level of NKG2A has been suggested as a marker of terminal differentiation in NK cells, CD56^{bright}NKG2A⁺KIR⁻ NK cells are thought of as precursors of CD56^{dim} cells (20), and expression of NKG2A varies widely in the setting of different viral infections and likely results in altered NK cell functional activity (21-23). Moreover, the predominant self-ligand for NKG2A is the MHC class I Ag E (HLA-E), a relatively nonpolymorphic variant of the major histocompatibility genes, which presents the leader peptide of other MHC class I genes (24). Whereas HLA-E is typically expressed at low levels, inflammation due to infection or malignancy has been shown to alter the expression of this NKG2A ligand, and this interaction between NKG2A and HLA-E likely plays an important role in providing inhibitory signals to peripheral NK cells. Furthermore, there is evidence that the NKG2A/HLA-E interaction may also play a critical role during NK cell development, where NKG2A is expressed early in the developmental pathway of this lymphocytic cell subset (20, 25). Given that our study involved detailed characterization of NK cells but not their targets, we did not examine the expression of HLA-E to determine whether increased ligand expression may increase NKG2A expression or NK cell expansion. We did not observe any obvious change in HLA-E expression in the transcriptional analysis of purified NK cell populations in individuals that possess the rs9916629^C allele (data not shown). It is plausible that changes in ligand expression on other more relevant cells (e.g., stromal cells, APCs) may

more profoundly impact NK cell development and warrant further investigation to define the specific mechanism by which the SNP may alter NK cell development. Taken together, the observations that rs9916629 is associated with not only a shift toward the CD56^{bright} NK subset, which typically expresses more NKG2A, but also with an overall increased expression of this marker on CD56^{dim} NK cells as well as an accompanying enhancement of NK cell degranulation (a hallmark effector function of CD56^{dim} NK cells) potentially reveal a previously unappreciated role of this inhibitory receptor in NK cell licensing (16) or education (4, 26). The functional activity of NK cells is determined early in NK cell development through the interaction between self-ligands and inhibitory NK cell receptors such as KIRs and NKG2A. It is plausible that rs9916629^C, by affecting NKG2A expression, may also influence NK cell licensing, as we observed enhanced NK cell degranulation and elevated NKG2A expression that are associated with this SNP.

To gain further insights into the role of this SNP in modulating NK cell development, we performed an analysis of RNA expression in a subset of subjects. Among these individuals, we did not find an effect on nearby genes of the SLFN family but noticed suggestive associations between the rs9916629^C allele and many different transcripts, which include increased *TBX21* RNA expression with rs9916629^C. *TBX21* has been implicated in autoimmune diseases, including experimental autoimmune encephalomyelitis (27, 28). Intriguingly, *TBX21* is also a master regulator of commitment to the Th1 lineage, is expressed at the T/NK cell lymphocyte progenitor phase, and regulates the expression of IFN- γ in Th1-derived cells and NK cells (19, 29-31). NK cell differentiation is dramatically reduced in mice lacking the murine *TBX21* homolog (19), demonstrating the essential role of this transcription factor in NK cell development. Furthermore, the observation that *TBX21* regulates the expression of sphingosine 1-phosphate receptor 5 on NK cells, which is essential for NK cell egress from the lymph nodes, suggests that *TBX21* may also influence the distribution of NK cells in blood (32). We therefore speculate that elevated *TBX21* expression, in the presence of rs9916629^C, may affect NK cell differentiation. This is supported by our observation that the rs9916629^C allele was associated with higher *TBX21* RNA expression in human NK cells as well as a lower frequency of CD56^{dim} NK cells and higher frequency of CD56^{bright} NK cells in the peripheral circulation. Thus, rs9916629^C may alter NK cell frequency and phenotype by influencing the expression of early transcription factors required for NK cell development. This interesting hypothesis will require further investigation to be rigorously tested and elaborated.

Although we have provided possible explanations for the association between the candidate SNP and the observed NK phenotype, the exact mechanism by which the 17q12 locus affects *TBX21* expression and NK cell biology remains unclear. Given the large distance between *TBX21* and rs9916629 (12 Mb), it is unlikely that the rs9916629 variant has a direct effect on *TBX21* expression. The influence on *TBX21* expression is likely mediated by an indirect effect of the chromosomal region containing rs9916629. Interestingly, the rs9916629 SNP is found between two members of the Schlafen family of genes (*SLFN12L* and *SLFN13*) (University of California at Santa Cruz Genome Browser, <http://genome.ucsc.edu>) (33). Although little is known about these genes, other members of this gene family, which are located nearby, have been implicated as negative regulators of lymphocyte and thymocyte proliferation (34). Furthermore, *SLFN2* and *SLFN5* are involved

in responses to type I IFN stimulation (35, 36), which plays an important role in NK cell activation. Thus, it is plausible that rs9916629 influences the function of a specific Schlafen family gene that may regulate the expression of *TBX21*, which may in turn regulate NK cell differentiation and maturation. Fine mapping of the 17q12 locus will provide insights into the identity of the gene(s) that may mediate the association between rs9916629 and NK cell phenotypes and functions.

In conclusion, we validate and refine the association of the 17q12 locus with NK cell frequency by demonstrating that an SNP in this locus is associated with the frequency of two major subsets of NK cells: the rs9916629^C allele is associated with a larger proportion of regulatory CD56^{bright} and a smaller proportion of cytotoxic CD56^{dim} cells. The shift toward the CD56^{bright} NK cell profile occurs in conjunction with immunophenotypic and functional alterations that suggest a possible mechanism by which the 17q12 locus influences the differentiation and function of NK cells: it may alter the function of members of the Schlafen gene family with repercussions on transcriptional programs that include the NK cell fate-determining gene *TBX21*.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations used in this article:

ADCC	Ab-dependent cell-mediated cytotoxicity
KIR	killer Ig-related receptor
MIP	macrophage inflammatory protein
SNP	single nucleotide polymorphism

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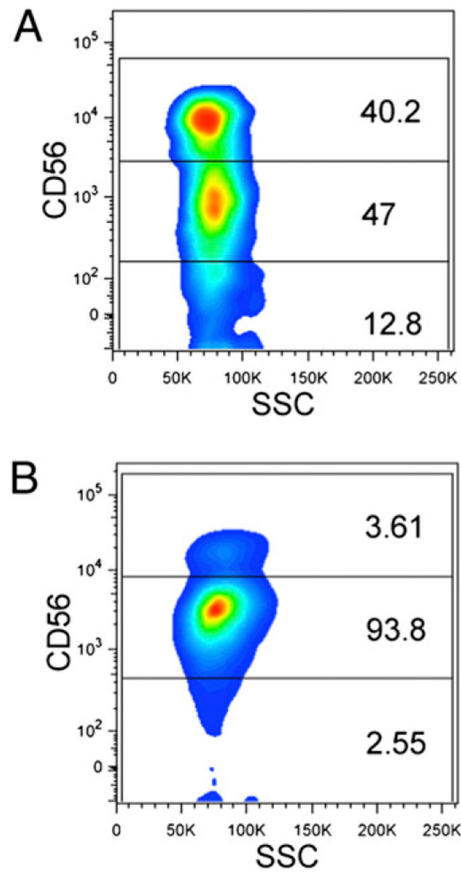


FIGURE 1. Representative flow cytometry data for the primary outcome from two subjects. (A) Homozygote for the reference allele C; (B) homozygote for the T allele at the rs9916629 SNP.

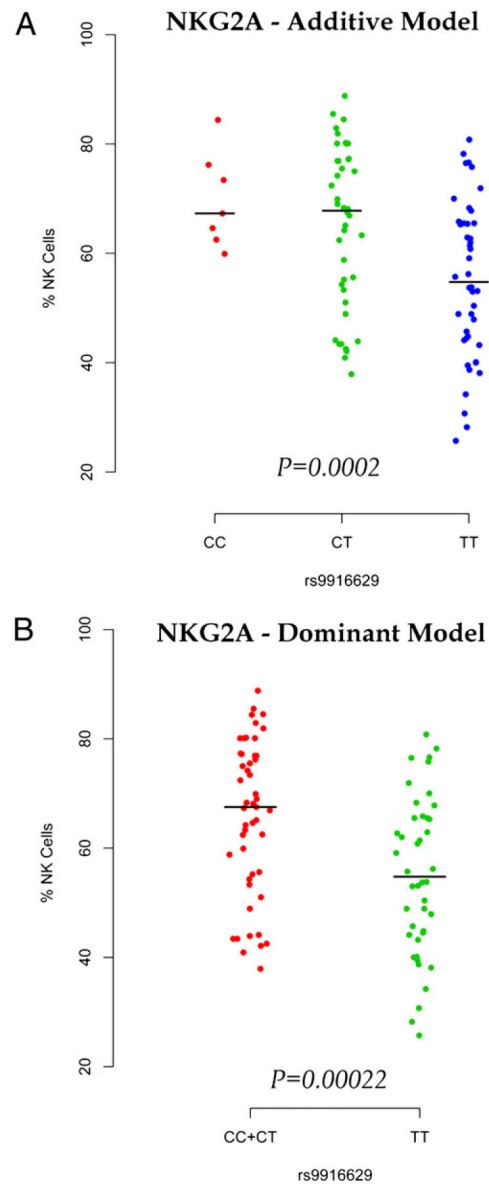


FIGURE 2.

The effect of rs9916629^C on the frequency of NKG2A-expressing NK subpopulation using additive (A) and dominant model (B). We compare the homozygotes for the alternative allele (TT) to the combined heterozygotes (CT) and homozygotes (CC) for the reference C allele. The reference allele frequency is 0.31.

Table I.

Candidate SNP (rs9916629) and primary outcome of NK cytometric profile in the healthy cohort

NK Cells	Unadjusted		Multivariate ^a	
	Direction of Effect	<i>p</i>	Direction of Effect	<i>p</i>
CD56 ^{bright}	↑	0.019	↑	0.019
CD56 ^{dim}	↓	0.0069	↓	0.011

Genotype and quantitative trait association was analyzed using PLINK with the C allele of rs9916629 as the reference allele. Direction of effect is relative to the C allele and is determined from the beta value.

^aIn the multivariate analysis, the association between rs9916629 and the phenotype was adjusted for age and sex as covariates.

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Table II. Candidate SNP (rs9916629) and secondary outcome of NK cell subpopulations in the healthy cohort

Variable	Median Percentage of Expression (Quartile 1–Quartile 3)			Unadjusted		Multivariate ^a	
	CC (n = 8)	CT (n = 43)	TT (n = 45)	Direction of Effect	p	Direction of Effect	p
CD161/NK	71.8 (49.9–76.6)	72.7 (61.8–80.4)	58.75 (47.85–72.85)	↑	0.026	↑	0.038
CD94/NK	68.4 (58.6–74.4)	64.4 (48.8–71.6)	57.4 (45.25–67.6)	↑	0.064	↑	0.030
KIR/NK	30.8 (25.75–36.4)	28.8 (23.5–41)	33.9 (24.7–40.5)	↓	0.81	↑	0.97
NKG2A/NK	67.3 (62.5–76.2)	67.8 (53.3–77.2)	54.75 (44.3–65.5)	↑	0.00046	↑	0.00020
NKG2D/NK	88.1 (80.7–96.6)	85.8 (81.8–92.1)	87.55 (80.55–91.45)	↑	0.50	↑	0.66
NKp30/NK	70.7 (70.7–70.7)	87.45 (82.7–92.2)	87.05 (84.2–94)	↓	0.11	↓	0.299
NKp44/NK	4.09 (2.59–6.19)	3.7 (3.19–5.46)	3.56 (2.89–5.04)	↑	0.41	↑	0.64
NKp46/NK	94.6 (91.4–95.6)	91.6 (86.9–95.2)	90.8 (83.7–94.4)	↑	0.068	↑	0.076
Perforin/NK	71.25 (64.85–73.75)	77.9 (70.4–83.1)	81.3 (74.3–86.7)	↓	0.11	↓	0.11
CD161/CD56 ^{bright}	47.3 (39.2–72.1)	62.65 (44.8–69.9)	50.65 (39–63.65)	↑	0.086	↑	0.10
CD94/CD56 ^{bright}	97.3 (93.1–99.4)	96.15 (91.5–98.7)	97.7 (95.3–98.75)	↓	0.094	↓	0.14
KIR/CD56 ^{bright}	5.94 (2.33–9.56)	5.33 (2.68–8.65)	4.42 (3.05–6.05)	↑	0.61	↑	0.60
NKG2A/CD56 ^{bright}	93.5 (91.2–97.7)	92.7 (86.5–95.6)	93.05 (89.4–95.4)	↓	0.62	↓	0.74
NKG2D/CD56 ^{bright}	86.9 (80.9–95.5)	83.7 (76.1–91.1)	86.5 (78.3–92.5)	↓	0.80	↓	0.74
NKp30/CD56 ^{bright}	97.5 (97.5–97.5)	96.35 (93–99.7)	95.9 (95.8–96.6)	↑	0.55	↓	0.66
NKp44/CD56 ^{bright}	15.9 (8.47–19.4)	16.3 (10.8–20.7)	18.1 (12.2–22.2)	↓	0.32	↓	0.26
NKp46/CD56 ^{bright}	98.7 (97.3–98.9)	98.6 (96–99.1)	99 (98.2–99.5)	↓	0.079	↓	0.095
Perforin/CD56 ^{bright}	6.61 (3.71–11.13)	7.38 (4.21–10.9)	6.53 (4.18–13.5)	↑	0.51	↑	0.60
CD161/CD56 ^{dim}	77.2 (50.5–87.9)	76.15 (62.8–83.3)	61.05 (49.1–76.6)	↑	0.024	↑	0.043
CD94/CD56 ^{dim}	66.2 (47.6–73)	61.2 (46.6–70.9)	56.4 (41.5–71.2)	↑	0.22	↑	0.16
KIR/CD56 ^{dim}	37 (31.7–42.75)	35.3 (26.4–45.3)	36.5 (26.8–45.1)	↑	0.89	↑	0.73
NKG2A/CD56 ^{dim}	65.5 (61.8–75.6)	66.05 (51.2–79.2)	53.85 (38.3–66.15)	↑	0.0024	↑	0.0017
NKG2D/CD56 ^{dim}	88.9 (82.1–97.1)	88.25 (83.7–93.1)	87.65 (80.35–92.3)	↑	0.39	↑	0.51
NKp30/CD56 ^{dim}	63.9 (63.9–63.9)	84.45 (76.5–92.4)	84.3 (80.8–93.2)	↓	0.12	↓	0.35
NKp44/CD56 ^{dim}	2.46 (2.1–3.97)	2.31 (1.92–3.09)	2.36 (2.03–3.19)	↓	0.66	↓	0.48
NKp46/CD56 ^{dim}	95.3 (91.3–95.9)	93.3 (87.1–96.3)	91.2 (83.4–94.7)	↑	0.068	↑	0.085

Variable	Median Percentage of Expression (Quartile 1–Quartile 3)			Unadjusted		Multivariate ^a	
	CC (n = 8)	CT (n = 43)	TT (n = 45)	Direction of Effect	p	Direction of Effect	p
Perforin/CD56 ^{dim}	82.4 (77.7–84.45)	87.8 (82.6–90.6)	89.5 (85.6–92.6)	↓	0.25	↓	0.21

Genotype and quantitative trait association were analyzed using PLINK with the C allele of rs9916629 as the reference allele. Direction of effect is relative to the C allele and is determined from the beta value. Boldface indicates a *p* value meeting a Bonferroni-corrected threshold for multiple comparisons. Alternative marker names: CD94 (KLRD1), CD159A (NKG2A), CD161 (KLRB1, NKR-P1A), CD314 (NKG2D), CD335 (NKp46), CD336 (NKp44).

^aIn the multivariate analysis, association between rs9916629 and the phenotype was adjusted for age and sex as covariates.

Table III.

Candidate SNP (rs9916629) and secondary outcome of NK cell functional response following stimulation

Condition	Variable	Median Percentage of Expression (Quartile 1–Quartile 3)			Unadjusted		Multivariate ^a	
		CC (n = 8)	CT (n = 43)	TT (n = 45)	Direction of Effect	p	Direction of Effect	p
721.221	CD107a/NK	11.18 (9.02–14.9)	9.72 (7–14.9)	8.04 (5.7–10.4)	↑	0.021	↑	0.017
721.221	IFN-γ/NK	3.76 (2.6–7.7)	3.2 (1.62–5.07)	3.38 (2.19–4.89)	↑	0.76	↑	0.82
721.221	MIP-1β/NK	30.8 (28.7–41.8)	31.1 (22.5–42.3)	37.15 (31.25–41.95)	↓	0.062	↓	0.11
721.221	CD107a/CD56 ^{bright}	10.12 (8.3–15.7)	9.83 (5.64–14.3)	6.5 (4.45–10.6)	↑	0.02	↑	0.023
721.221	IFN-γ/CD56 ^{bright}	1.44 (0.87–3.14)	1.32 (0.71–2.66)	1.5 (1–2.62)	↓	0.89	↓	0.84
721.221	MIP-1β/CD56 ^{bright}	15.7 (9.26–27.7)	15.7 (12.25–22.55)	15.6 (11.2–25.25)	↓	0.63	↓	0.77
721.221	CD107a/CD56 ^{dim}	12.2 (7.95–14.6)	9.72 (6.97–14.5)	7.68 (5.47–9.92)	↑	0.021	↑	0.018
721.221	IFN-γ/CD56 ^{dim}	4.8 (2.98–8.82)	3.39 (1.88–5.39)	3.59 (2.29–5.44)	↑	0.58	↑	0.63
721.221	MIP-1β/CD56 ^{dim}	38.8 (32.4–47.2)	38.2 (28.6–46.9)	41.6 (34.1–48.35)	↓	0.18	↓	0.24
K562	CD107a/NK	25.55 (23.15–34.65)	26.2 (20.9–33.9)	25.1 (16.6–30.7)	↑	0.092	↑	0.06
K562	IFN-γ/NK	5.31 (2.42–8.3)	3.83 (2.84–6.02)	4.34 (2.65–7.02)	↑	0.77	↑	0.72
K562	MIP-1β/NK	44.4 (40.5–48.9)	43.1 (35.1–51.3)	47.3 (39.85–56.25)	↓	0.039	↓	0.10
K562	CD107a/CD56 ^{bright}	22.65 (15.35–28.15)	20 (12–27.7)	16.8 (13.3–23.6)	↑	0.18	↑	0.17
K562	IFN-γ/CD56 ^{bright}	1.21 (0.75–2.68)	1.53 (0.84–2.04)	1.49 (0.89–2.11)	↓	0.92	↓	0.92
K562	MIP-1β/CD56 ^{bright}	16.6 (8.44–23.5)	18.5 (14.5–22.3)	18.75 (11.85–23.9)	↓	0.48	↓	0.69
K562	CD107a/CD56 ^{dim}	26.7 (25.15–40.7)	27.7 (21.6–35)	26 (17.5–31)	↑	0.081	↑	0.056
K562	IFN-γ/CD56 ^{dim}	6.1 (3.27–10.11)	4.48 (3.35–6.49)	5.03 (2.86–7.57)	↑	0.45	↑	0.41
K562	MIP-1β/CD56 ^{dim}	51.7 (45.7–59.9)	53 (41.9–57.2)	52.3 (42.35–62.6)	↓	0.31	↓	0.53
815AB	CD107a/NK	37.1 (25.5–42.65)	36.5 (30.4–42.9)	33.1 (24.9–42.1)	↑	0.64	↑	0.48
815AB	IFN-γ/NK	7.09 (5.65–10.54)	5.5 (3.61–7.88)	7.73 (4.21–10.84)	↓	0.44	↓	0.57
815AB	MIP-1β/NK	67.6 (59.1–78.6)	72.4 (60–79.9)	80.8 (72.95–85.4)	↓	0.016	↓	0.039
815AB	CD107a/CD56 ^{bright}	18.6 (10.16–27.65)	18.3 (11.7–25.7)	15 (9.27–20.6)	↑	0.5	↑	0.48
815AB	IFN-γ/CD56 ^{bright}	1.37 (1.24–2.62)	1.34 (0.66–2.11)	1.61 (0.86–2.15)	↑	0.82	↑	0.84
815AB	MIP-1β/CD56 ^{bright}	26.2 (10.7–29.2)	19.5 (13.4–26.1)	20.55 (14.8–28)	↓	0.49	↓	0.67
815AB	CD107a/CD56 ^{dim}	42.55 (30.25–46.4)	38.6 (32.4–45.1)	33.7 (25.8–44.1)	↑	0.44	↑	0.34
815AB	IFN-γ/CD56 ^{dim}	9.8 (6.61–14.4)	7.22 (5.41–10.1)	9.41 (5.19–12.3)	↑	0.89	↑	0.82

Condition	Variable	Median Percentage of Expression (Quartile 1–Quartile 3)			Unadjusted		Multivariate ^a	
		CC (n = 8)	CT (n = 43)	TT (n = 45)	Direction of Effect	p	Direction of Effect	p
815AB	MIP-1 β /CD56 ^{dim}	77.4 (72–90.7)	84.7 (76.5–87.8)	86.75 (79.85–90.15)	↓	0.19	↓	0.3
PMA	CD107a/NK	33.45 (13.7–48.35)	13.9 (8.39–28.3)	10.3 (5.88–15.3)	↑	0.0014	↑	0.0011
PMA	IFN- γ /NK	23.45 (15.8–38.9)	9.95 (5.11–18.1)	9.59 (3.41–18.7)	↑	0.031	↑	0.054
PMA	MIP-1 β /NK	75.1 (64.3–81.7)	55.6 (47–65.2)	58.85 (34.65–73.9)	↑	0.38	↑	0.44
PMA	CD107a/CD56 ^{bright}	59.35 (30.35–75.95)	36.5 (20.9–61.3)	24.5 (15.4–42.3)	↑	0.0055	↑	0.007
PMA	IFN- γ /CD56 ^{bright}	26.15 (21.35–52.35)	20.2 (9.15–29.5)	16.3 (10.8–26.4)	↑	0.04	↑	0.056
PMA	MIP-1 β /CD56 ^{bright}	82.9 (80.6–93.6)	72.7 (63–81.8)	74.05 (60.5–85)	↑	0.46	↑	0.4
PMA	CD107a/CD56 ^{dim}	19.6 (8.46–35.4)	9.85 (4.63–16.7)	5.72 (3.78–10.7)	↑	0.0096	↑	0.0098
PMA	IFN- γ /CD56 ^{dim}	23.9 (11.65–30.7)	7.99 (2.8–15.4)	7.81 (2.42–18.4)	↑	0.1	↑	0.17
PMA	MIP-1 β /CD56 ^{dim}	69.4 (60.5–80.3)	61.5 (43.3–72.5)	62.55 (29.25–77.05)	↑	0.27	↑	0.34

Genotype and quantitative trait association were analyzed using PLINK with the C allele of rs9916629 as the reference allele. Direction of effect is relative to the C allele and is determined from the beta value. In the multivariate analysis, association between rs9916629 and the phenotype was further adjusted for age and sex as covariates. Boldface indicates a *p* value meeting a Bonferroni-corrected threshold for multiple comparisons. 721.221, HLA class I-deficient B cell line; 815AB, p815 Ab (a mouse leukemic cell line coated with specific Ab; Ab-dependent cell-mediated cytotoxicity); CD107a, marker of degranulation; IFN- γ , IFN- γ -secreting NK cells; K562, MHC-devoid target cell line; MIP-1 β , macrophage inflammatory protein-1 β -secreting NK; PMA, phorbol 12-myristate 13-acetate and ionomycin (positive control).

^aOutcomes were adjusted for the appropriate background (media alone or uncoated p815 cell line).