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## No evidence that circulating HIV-specific immune responses contribute to persistent inflammation and immune activation in persons on long-term ART

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### Abstract

**Objective:** People with HIV (PWH) have persistently elevated levels of inflammation and immune activation despite suppressive antiretroviral therapy (ART), with specific biomarkers showing associations with non-AIDS-defining morbidities and mortality. We investigated the potential role of the HIV-specific adaptive immune response, which also persists under ART, in driving levels of these clinically relevant biomarkers.

**Design:** Cohort-based study.

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**Methods:** HIV-specific IFN- $\gamma$ -producing T-cell responses and antibody concentrations were measured in blood at study entry in the ACTG A5321 cohort, following a median of 7 years of suppressive ART. HIV persistence measures including cell-associated (CA)-DNA, CA-RNA, and plasma HIV RNA (single-copy assay) were also assessed at study entry. Plasma inflammatory biomarkers and T-cell activation and cycling were measured at a pre-ART time point and at study entry.

**Results:** Neither the magnitudes of HIV-specific T-cell responses nor HIV antibody levels were correlated with levels of the inflammatory or immune activation biomarkers, including hs-CRP, IL-6, neopterin, sCD14, sCD163, TNF- $\alpha$ , %CD38<sup>+</sup>HLA-DR<sup>+</sup> CD8<sup>+</sup> and CD4<sup>+</sup> cells, and %Ki67<sup>+</sup> CD8<sup>+</sup> and CD4<sup>+</sup> cells – including after adjustment for pre-ART biomarker level. Plasma HIV RNA levels were modestly correlated with CD8<sup>+</sup> T-cell activation ( $r = 0.25$ ,  $p = 0.027$ ), but other HIV persistence parameters were not associated with these biomarkers. In mediation analysis, relationships between HIV persistence parameters and inflammatory biomarkers were not influenced by either HIV-specific T-cell responses or antibody levels.

**Conclusions:** Adaptive HIV-specific immune responses do not appear to contribute to the elevated inflammatory and immune activation profile in persons on long-term ART.

### Keywords

HIV; reservoir; T-cell responses; antibodies; inflammation; immune activation; mediation analysis

### Introduction

Antiretroviral therapy (ART) can durably suppress human immunodeficiency virus (HIV) viremia in people with HIV (PWH), yet despite effective ART and host antiviral immune responses HIV persists in a reservoir of infected cells necessitating lifelong treatment. ART abrogates viral replication, resulting in a tremendous decline in AIDS-related morbidity and mortality. Yet, even in the setting of long-term, well-controlled HIV infection, PWH on ART demonstrate elevated levels of inflammation and immune activation [1–4] – especially when ART was initiated in chronic infection – which predict a broad array of morbidities and increased mortality [5–8].

The etiology of persistent inflammation and immune activation under ART is incompletely understood, though multiple potential mechanisms likely contribute, including increased intestinal permeability and microbial translocation [9–11], co-infections such as cytomegalovirus (CMV) [12,13], and lymphoid tissue fibrosis [14]. A role has also been proposed for HIV reservoirs [15], and a recent study reported that measures of HIV persistence in gut tissue are associated with a marker of monocyte/macrophage activation [16], though we have previously shown that markers of HIV persistence in peripheral blood are not associated with inflammation or immune activation during long-term ART [4,17]. We have also previously shown that HIV-specific T-cell and antibody responses persist under long-term ART and are associated with HIV DNA levels in peripheral blood mononuclear cells (PBMCs) [18–20]. However, it is not known if these on-ART HIV-specific immune responses play a role in driving persistent inflammation and immune activation, despite multiple potential mechanisms by which this could occur. As one example, the release

of effector cytokines – in particular interferon-gamma (IFN- $\gamma$ ) – from activated T-cells responding to specific pathogens has been linked to macrophage activation and release of pro-inflammatory cytokines [21,22]. Further, interferon-gamma-induced protein 10 (IP-10), which is elevated in both untreated and treated HIV disease and correlates with other inflammatory markers [23], is induced by either IFN- $\gamma$  or tumor necrosis factor alpha (TNF- $\alpha$ ) [23] – both of which are produced by high proportions of HIV-specific T-cells [24]. Additionally, antibody immune complexes and Fc-mediated effector functions can promote immune cell maturation and activation, leading to production of some of the pro-inflammatory mediators known to be elevated in antiretroviral (ARV)-treated HIV infection [25].

Here, we sought to determine whether ongoing HIV-specific immune responses contribute to levels of clinically relevant inflammatory and immune activation (T-cell activation and cycling) biomarkers – selected for analysis based on prior reports of associations with morbidities and/or mortality [1–5] – in PWH on long-term ART. We use mediation analysis, an advanced statistical procedure, to additionally test models of the three-way relationship between HIV persistence measures, HIV-specific immune responses, and levels of inflammation.

## Methods

### Study design and approval

Data for this manuscript were collected from a longitudinal cohort of participants who initiated ART during viremic chronic HIV infection in AIDS Clinical Trials Group (ACTG) trials for treatment-naïve individuals and enrolled in the ACTG HIV Reservoirs Cohort Study (A5321) [17]. Participants had no reported ART interruptions, with plasma HIV RNA levels <50 copies/mL by commercial assays at or before week 48 of ART and at all subsequent time points (isolated measurements <200 copies/mL were allowed). Clinical data were available from pre-ART and on-ART study visits. Study procedures were performed in accordance with the Declaration of Helsinki. Informed consent was obtained from all participants, collected by the participating ACTG clinic. The institutional review boards at the authors' institutions approved the study.

### Virologic assays

HIV cell-associated (CA) DNA (CA-DNA) and RNA (CA-RNA) were measured by quantitative PCR (qPCR) assays in PBMCs using previously described methods [26]. Cell-free HIV RNA was quantified by integrase single-copy assay (iSCA) in blood plasma (5 mL) [27].

### Immunologic assays

Levels of soluble biomarkers were evaluated from longitudinal frozen plasma samples, which were thawed and analyzed in batches that included all samples for a participant, as previously described [17]. In brief, plasma concentrations of high-sensitivity C-reactive protein (hs-CRP), interleukin 6 (IL-6), IP-10, neopterin, soluble CD14 (sCD14), soluble CD163 (sCD163), and TNF- $\alpha$  were quantified using enzyme-linked immunosorbent assay

(ELISA) kits per manufacturer's instructions (R&D, Minneapolis, MN). Levels of T-cell activation and cell-cycling biomarkers in longitudinal cryopreserved PBMCs from each participant were determined in batch using multicolor flow cytometry.

### IFN- $\gamma$ ELISPOT assays

IFN- $\gamma$  enzyme-linked immune absorbent spot (ELISPOT) assays against HIV-gene product peptide pools and a CMV-pp65 peptide pool were performed as previously described [18]. In brief, Multiscreen IP 96-well plates (Millipore) were coated with 0.5  $\mu\text{g/mL}$  of anti-IFN- $\gamma$  antibody (clone 1-D1K, Mabtech, Sweden) in phosphate-buffered saline and incubated overnight. Plates were washed, PBMCs were added at  $2 \times 10^5$  cells per well, and HIV peptide pools or CMV-pp65 peptide pool (10  $\mu\text{g/mL/peptide}$ ) and phytohemagglutinin (2  $\mu\text{g/mL}$ ) were added. Plates were incubated overnight, washed and secondary antibody was added (clone 7-B6-1, Mabtech) and incubated for 1 hour. Plates were developed with Streptavidin-ALP (Mabtech) and Color Development Buffer (Bio-Rad, Hercules, CA). ELISPOT responses were background subtracted prior to data analysis.

### HIV antibody assays

HIV antibodies were measured as previously described [19]. In brief, Less-sensitive (LS) and Avidity-modified VITROS<sup>®</sup> HIV 1 + 2 were used to measure antibodies against HIV envelope (Env) [28]. The signal-to-cutoff ratio value, reported by the VITROS ECi robot, was used as the measure of the HIV antibody level [29].

### Statistics

Statistical analyses including univariate statistics and nonparametric Spearman correlations and partial correlations were performed in SAS v.9.4 (SAS Institute Inc., Cary, NC). For hs-CRP values above the limit of assay detection (10,000 ng/mL), values were analyzed as the highest rank. For CA-RNA and plasma HIV RNA via iSCA values below the limits of assay detection (13.6 copies/million CD4<sup>+</sup> T-cells and 0.7 copies/mL, respectively), values were analyzed as the lowest rank.

Mediation analysis was performed using structural equation modeling (SEM) in the SAS CALIS procedure. In brief, variables used in SEM models were rank-transformed [30] using the SAS RANK procedure in order to match the nonparametric Spearman correlation approach. Next, standardized path coefficients for hypothesized mediation models (analogous to regression coefficients) were estimated by maximum likelihood using the PATH statement in the CALIS procedure. Standardization of coefficients allows for comparisons of effects among different sets of paths in the same model, when variables are often measured on different scales. Model fit was assessed by the root mean square error of approximation (RMSEA) and the Bentler-Bonnet Normed Fit Index (NFI). Significance tests for indirect effects were produced using the EFFPART statement. For more on mediation analysis using SEM, we refer readers to [31,32].

## Results

### Study population

We previously assessed HIV-specific T-cell responses, along with CMV-pp65-specific T-cell responses, by IFN- $\gamma$  ELISPOT in 99 participants from the ACTG A5321 cohort [18]. Participants initiated ART during chronic HIV infection and had subsequent well-documented, sustained virologic suppression prior to study entry (Figure S1) and throughout the study period [17,20]. Responses were measured at A5321 study entry, a median of 7 (range 4 to 15) years after ART initiation, by IFN- $\gamma$  ELISPOT assays with peptide pools spanning: i) HIV-Gag, ii) HIV-Env, iii) HIV-Pol, iv) HIV-Nef/Tat/Rev (combined peptide pool), and v) CMV-pp65. In this previous study as well as in a follow-up longitudinal study [18,20], ELISPOT responses were background subtracted (thus, nonzero responses were  $>1x$  background), but no other ad hoc empirical positivity cutoff was applied and all responses were included objectively. We also previously measured antibody levels against HIV-Env in 101 participants from the A5321 cohort at study entry [19], with an overlap of 80 participants between those with T-cell response measurements and HIV antibody level measurements. Participant characteristics relevant to the current study are provided in Tables 1 and S1.

### Magnitudes of HIV-specific T-cell responses are not cross-sectionally associated with levels of inflammation or immune activation

To determine whether IFN- $\gamma$ -producing HIV-specific T-cell responses could be contributing to levels of inflammation and immune activation on-ART, we examined associations between magnitudes of these responses (measured at A5321 study entry) with levels of plasma inflammatory biomarkers (also measured at A5321 study entry), including hs-CRP, IL-6, IP-10, neopterin, sCD14, sCD163, and TNF- $\alpha$ , as well as with levels of immune activation, including %CD38<sup>+</sup>HLA-DR<sup>+</sup> CD4<sup>+</sup> cells, %CD38<sup>+</sup>HLA-DR<sup>+</sup> CD8<sup>+</sup> cells, %Ki67<sup>+</sup> CD4<sup>+</sup> cells, and %Ki67<sup>+</sup> CD8<sup>+</sup> cells. A major strength of this longitudinal cohort study is the measurement of pre-therapy levels of inflammatory and immune activation biomarkers, allowing us to adjust for pre-ART biomarker level in the above associations. By adjusting for the pre-ART biomarker level, we were able to determine if on-ART HIV-specific T-cell responses influence inflammation and immune activation regardless of a participant's baseline level of the respective biomarker prior to ART initiation.

For on-ART inflammatory biomarkers, TNF- $\alpha$  levels were negatively associated with magnitudes of responses to HIV-Pol ( $r = -0.21$ ,  $p = 0.040$ ), however this association was weak and did not remain significant after controlling for joint confounding by pre-ART plasma viral load, CD4<sup>+</sup> T-cell count, and CD4<sup>+</sup>/CD8<sup>+</sup> T-cell ratio, years on ART at A5321 entry, and age at A5321 entry - suggesting that host/viral interactions prior to ART initiation led to the observed on-ART association. TNF- $\alpha$  levels were not associated with magnitudes of T-cell responses to any other HIV gene product or to CMV-pp65 (Table 2). No other inflammatory biomarker was associated with magnitudes of HIV-specific, or CMV-pp65-specific, T-cell responses, even after adjusting for pre-ART biomarker level (Table 2), nor were any of the measured immune activation biomarkers (Table 2). These

results indicate that HIV-specific T-cell responses, as assessed by *ex vivo* IFN- $\gamma$  production, do not appreciably contribute to on-ART inflammation and immune activation.

### **HIV antibody levels are not associated with levels of inflammation or immune activation**

We next asked if HIV antibody levels, another component of the ongoing adaptive immune response to HIV in treated infection [19], were associated with levels of inflammatory or immune activation biomarkers at A5321 study entry. No inflammatory biomarker was found to be associated with HIV antibody levels, nor were any immune activation biomarkers, even when adjusting for pre-ART biomarker level (Table 3), comprising a lack of evidence for HIV antibodies contributing to on-ART inflammation and immune activation under long-term viral suppression.

### **HIV-specific immune responses do not mediate an association between reservoir parameters and levels of inflammation or immune activation**

A previous study reported a lack of correlations between measures of HIV persistence, including CA-DNA, CA-RNA, and plasma HIV RNA by iSCA, with levels of inflammatory and immune activation biomarkers at year 4 on therapy in the A5321 cohort [17]. We extended these results to analyses at the last longitudinal time point currently available on the cohort, a median of 7 (range 4 to 15) years on ART. CA-DNA levels were not associated with any inflammatory or immune activation biomarker, including when associations were adjusted for pre-ART biomarker level (Table S2). Likewise, CA-RNA levels were not associated with any inflammatory or immune activation biomarker (Table S3), nor was the CA-RNA:CA-DNA ratio (a surrogate of active replication) (Table S4). Interestingly, plasma HIV RNA levels by iSCA were weakly correlated with CD8<sup>+</sup> T-cell activation as measured by %CD38<sup>+</sup>HLA-DR<sup>+</sup> CD8<sup>+</sup> cells ( $r = 0.25$ ,  $p = 0.027$ ), which remained significant after controlling for potential confounding by pre-ART plasma viral load, CD4<sup>+</sup> T-cell count, and CD4<sup>+</sup>/CD8<sup>+</sup> T-cell ratio, years on ART at A5321 entry, and age at A5321 entry (adjusted  $r = 0.24$ ,  $p = 0.037$ ) (Figure S2 and Table S5). This association also remained significant, and similar in magnitude, when adjusting for pre-ART %CD38<sup>+</sup>HLA-DR<sup>+</sup> CD8<sup>+</sup> cells (adjusted  $r = 0.26$ ,  $p = 0.024$ ) (Table S5), suggesting that on-ART low-level viremia – undetectable by commercial assays but detectable by single-copy assay – is biologically associated with increased CD8<sup>+</sup> T-cell activation, regardless of a participant's baseline level of CD8<sup>+</sup> T-cell activation prior to ART initiation.

We next sought to determine if the association between plasma HIV RNA by iSCA with CD8<sup>+</sup> T-cell activation was mediated by ongoing HIV-specific immune responses. In order to test this, we performed statistical mediation analysis using structural equation modeling. In mediation analysis, the objective is to determine if a third variable, Z, represents the causal mechanism (at least in part) through which the independent variable, X, influences the dependent variable, Y – indicated by a significant indirect effect of X through Z on Y [31,33]. SEM is a multivariate regression-like technique which allows for assessing the fit of mediation models – an important indicator of the consistency of the hypothesized mediation model with the actual data – and for comparison of different mediation models using goodness-of-fit statistics [31,32]. SEM also allows for analysis of mediation models with multiple independent variables, mediators, or outcomes. We used two goodness-of-fit

criteria to evaluate models: the RMSEA, with a value 0.06 supporting the hypothesized model [34], and the NFI, with a value 0.95 supporting the hypothesized model [34]; additionally, we used the Bayesian information criterion (BIC) to compare and select models, with a  $BIC > 10$  taken to indicate a meaningful difference between models (a lower BIC is better) [35]. We generated models with plasma HIV RNA via iSCA as the independent variable (X), all measured immune activation biomarkers as the outcome variables (Y1-Y4), and either magnitudes of HIV-specific T-cell responses (against each gene product, separately, as well as summed HIV responses) or HIV antibody levels as the mediating variable (M). We present here results of summed HIV T-cell responses and HIV antibody levels as the mediating variables based on BIC statistics. Both SEM models suggested an inconsistency of the hypothesized mediation models with the actual data, with an RMSEA of 0.50 for both SEM models, and NFI values of 0.10 (summed HIV responses) and 0.08 (HIV antibody levels) (Figure 1), meaning that the variables are likely not related in the ways we hypothesized. While there was a significant direct effect of plasma HIV RNA via iSCA on CD8<sup>+</sup> T-cell activation (but not on any other immune activation biomarker, consistent with the correlation results), there was no significant indirect effect through the mediating variable for either summed HIV T-cell responses or HIV antibody levels (Figure 1 and Table 4). Taken together, these results indicate that on-ART HIV-specific adaptive immune responses do not mediate or influence the relationship between plasma HIV RNA via iSCA with CD8<sup>+</sup> T-cell activation.

Next, we tested whether on-ART HIV-specific immune responses mediate an association between HIV reservoir size (by CA-DNA) with levels of inflammation and immune activation. Although CA-DNA levels were not associated with any biomarker in correlation analyses, it is possible for mediating variables to be causally between X and Y, even if X and Y are not directly associated – and X can affect Y indirectly in the absence of a detectable total effect [36]. For example, if the recognition of an HIV-infected cell by an HIV-specific T-cell resulted in the production of IFN- $\gamma$ , which drove production of IFN- $\gamma$ -induced protein 10, then the association between infected cells (CA-DNA) and IP-10 would be mediated by HIV-specific T-cells. Such a relationship between CA-DNA and IP-10 might only be revealed if HIV-specific T-cells are considered. We present here results of HIV-Nef/Tat/Rev-specific T-cell responses and HIV antibody levels as the mediating variables based on BIC statistics. When levels of inflammation were considered as outcome variables, both SEM models suggested an inconsistency of the hypothesized mediation models with the actual data, with an RMSEA of 0.17 for both SEM models, and NFI values of 0.15 (Nef/Tat/Rev T-cell responses) and 0.19 (HIV antibody levels) (Figure S3). There was a significant effect of CA-DNA levels on both Nef/Tat/Rev responses and HIV antibody levels (Figure S3), consistent with the correlations reported in our prior publications [18,19]. There were no significant indirect effects of CA-DNA on inflammatory biomarkers through either Nef/Tat/Rev responses or HIV antibody levels as the mediators (Figure S3 and Table S6). Similarly, there were no significant indirect effects of CA-DNA on immune activation biomarkers through either of the mediators tested (Figure S4 and Table S7). Taken together, these results indicate that the on-ART HIV-specific immune responses measured here do not mediate or influence an association between reservoir size with levels of inflammation and immune activation.

## Discussion

This is the first study to our knowledge assessing the relationships between HIV-specific immune responses with clinically relevant inflammation and immune activation indices – including plasma IL-6 (associated with risk for non-AIDS-defining cancers, cardiovascular disease, renal disease, frailty, and all-cause mortality [37–43]), hsCRP (associated with risk for cardiovascular disease, incident diabetes, and mortality [37,39,44,45]), IP-10 (associated with a metric of multimorbidity and mortality [46]), sCD14 (associated with risk for chronic obstructive pulmonary disease, neurocognitive impairment, frailty, and mortality [43,47–50]), sCD163 (associated with risk for neurocognitive impairment [51]), and TNF- $\alpha$  (associated with risk for renal disease and frailty [41,43]) – in a cohort of chronic progressors on long-term ART. Our findings indicate that neither peripheral blood HIV-specific T-cell responses, assessed by *ex vivo* IFN- $\gamma$  production, nor HIV antibody levels influence on-ART levels of inflammation and immune activation. Additionally, we report here that magnitudes of IFN- $\gamma$ -producing CMV-pp65-specific T-cell responses are not associated with inflammation and immune activation in PWH on long-term ART, consistent with another report assessing CMV-specific T-cell responses by intracellular cytokine staining in n=56 PWH [52]. These latter results add to the literature on CMV, as elevated circulating CD8<sup>+</sup> T-cell numbers and markers of inflammation and coagulation have been linked to CMV coinfection in PWH [13], but mechanisms are not fully understood. The findings presented here suggest this is not driven by CMV-specific T-cell responses – at least to the pp65 antigen as measured by IFN- $\gamma$  ELISPOT in the peripheral blood. The modest association between on-ART plasma HIV levels by iSCA with CD8<sup>+</sup> T-cell activation differs from the lack of association that we reported previously at an earlier on-ART time point [12,43]. It is possible that this association could become increasingly prevalent with longer durations of ART, during which very low-level viremia and/or viral blips (undetectable by commercial assays) may become increasingly influential drivers of CD8<sup>+</sup> T-cell activation. It will, however, be important to confirm this observation in future studies on cohorts with similar or longer durations of ART. While our study examined adaptive immune responses to HIV, it is important to also note that persistent HIV can drive innate immune activation directly, i.e. through toll-like receptor signaling of products of reverse transcription [53], as can products of ongoing microbial translocation [11].

Major strengths of our study include that participants had many years of sustained viral suppression prior to entry into the cohort, allowing us to assess the relationships between immune responses with inflammation and immune activation without the potential confounding of appreciable residual viremia or virologic failure. Additionally, longitudinal measures of inflammation and immune activation provided for stronger inference by allowing us to adjust for pre-ART values to address the confounding that can occur in cross-sectional studies. The mediation modeling approach also provides a strong inference framework, as it does not rely on a significant total effect between the independent variable and outcome variable to detect meaningful relationships between multiple variables (which may be missed in regression or correlation analyses that only examine total effects). Limitations to our study include that we only assessed magnitudes of IFN- $\gamma$  production but not other qualities of T-cell responses (such as cytotoxicity or production of other



cytokines and chemokines), which could be important factors related to inflammation and immune activation. Similarly, we assessed HIV Env antibody levels but not other functional qualities of antibodies, and we did not assess antibodies against other HIV proteins. Immune responses and virologic parameters were only measured in peripheral blood, which may not fully reflect antigen-specific responses in tissues. Indeed, it is notable that residual plasma virus levels (iSCA) were associated with CD8<sup>+</sup> T-cell activation – where plasma virions may come from both circulating and tissue sources [54] – but measures of circulating HIV reservoirs were not, and further study is needed to understand the role of tissue reservoirs and immune responses in tissues. Additionally, we did not assess immune responses pre-ART, and thus were not able to evaluate the contribution of pre-ART HIV-specific responses to pre-ART and on-ART inflammation and immune activation. Differing measures of the HIV reservoir, such as viral outgrowth or intact vs. defective HIV DNA levels, may yield additional insights into relationships with both anti-HIV immune responses and inflammation. Finally, several inflammatory markers measured in this study are mainly produced by activated myeloid cells, but we did not directly evaluate myeloid cell activation. Since our rationale here is based on these cells acting as intermediaries, it may be valuable for future studies to assess myeloid cell activation, which would also enable additional mediation analyses.

Delineating the root drivers of persistent inflammation and immune activation despite suppressive ART is critical towards the goal of reducing associated non-AIDS-defining morbidities and increased mortality [5]. Although there are multiple potential mechanisms by which HIV-specific adaptive immune responses could have contributed to elevation of the clinically-relevant biomarkers we examined, our study did not uncover any evidence for this. These results should be interpreted in relation to both the strengths and limitations outlined above, and we emphasize that studies of tissues and/or other functional characteristics of T-cells may yet reveal a role for residual antigen expression and adaptive immune recognition in on-ART inflammation. This being said, the lack of associations observed here adds further support to the “die is cast” or “legacy effect” theory proposed by members of the ACTG A5321 study team and others [17,55], which suggests that pathogenic mechanisms that occur before therapy is initiated are the predominate drivers of long-lasting immune dysregulation (i.e. an “immune dysregulation legacy effect”). This theory was initially proposed in response to the observation of strong correlations between pre- and on-ART inflammation, alongside a lack of associations between virologic measures of persistence and inflammatory markers on-ART – and this model holds up to the inclusion of the adaptive immune responses studied here. Mechanisms consistent with this theory – such as intestinal damage and increased microbial translocation, coinfections, and lymphoid tissue fibrosis – represent important areas for ongoing research into interventional targets to improve the health of PWH.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### Potential conflicts of interest

J.W.M. is a consultant to Gilead Sciences and Merck, and owns share options in Co-Crystal Pharmaceuticals and Abound Bio, Inc., which are not involved in the current work. J.J.E. has research funding outside of the current work from ViiV Healthcare, Gilead Sciences, and Janssen, and has consulting income from ViiV Healthcare, Gilead Sciences, Janssen, and Merck. B.J.M. has received research funding from Gilead Sciences. R.T.G. has served on a scientific advisory board for Merck (2 years ago). All other authors declare they have no potential conflicts of interest.

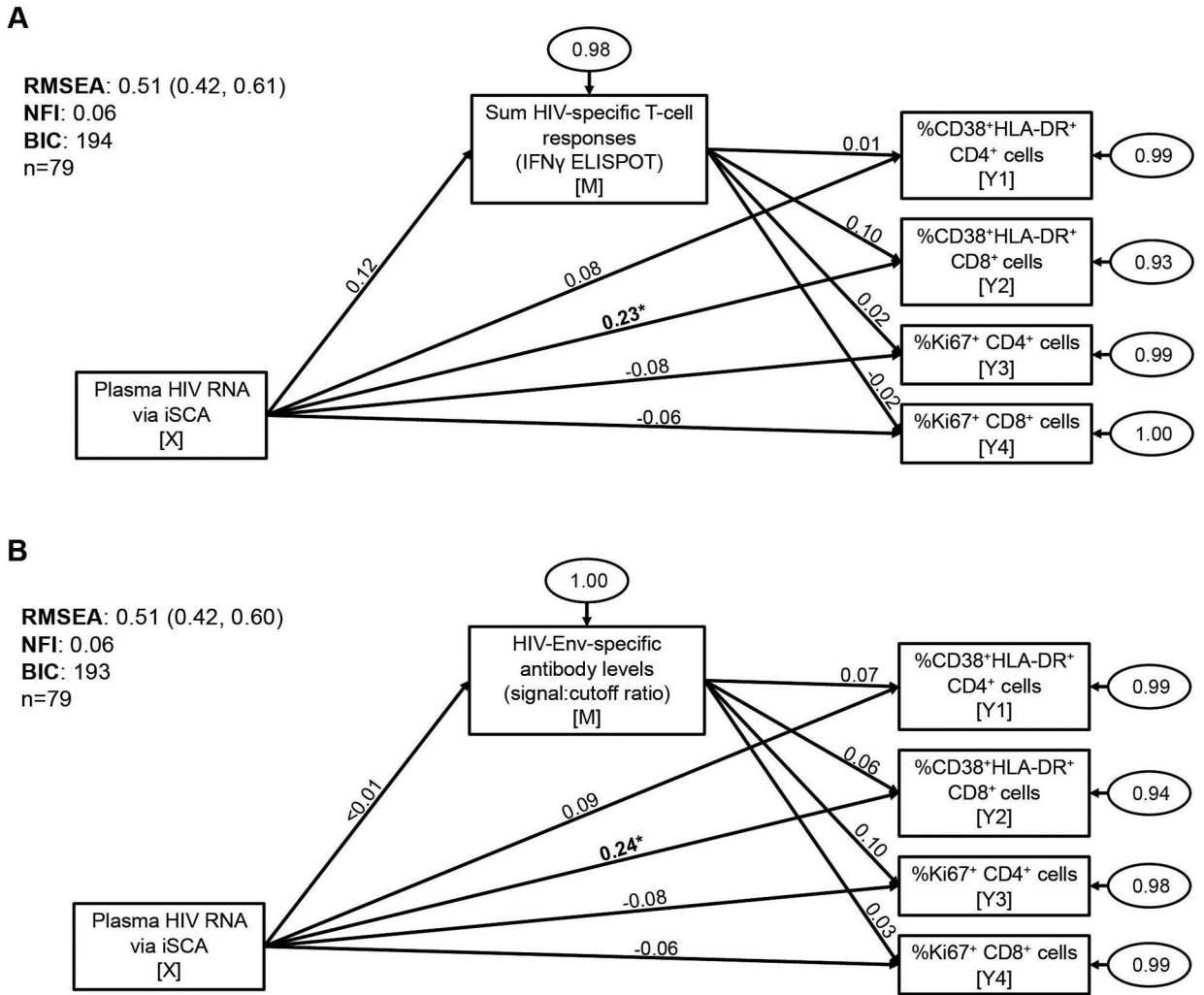
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**Figure 1.** HIV-specific T-cell responses and HIV antibody levels do not mediate the association between plasma HIV RNA levels by iSCA with CD8<sup>+</sup> T-cell activation. Structural equation modeling was used to test for mediation between plasma HIV RNA via iSCA with markers of T-cell activation (%CD38<sup>+</sup>HLA-DR<sup>+</sup> CD4<sup>+</sup> and CD8<sup>+</sup> cells) and T-cell cycling (%Ki67<sup>+</sup> CD4<sup>+</sup> and CD8<sup>+</sup> cells), mediated by either summed HIV-specific T-cell responses (measured by IFN- $\gamma$  ELISPOT assay) (A) or HIV-Env-specific antibody levels (measured by LS-VITROS signal:cutoff ratio) (B). Path model diagrams are depicted, with path coefficients representing standardized effect estimates using rank-transformed data; statistically significant path coefficients are bolded. Circled numbers represent unexplained (error) variances. X indicates the independent variable, M the mediating variable, and Y the outcome variable. Values for goodness-of-fit statistics including RMSEA (with 95% confidence interval), NFI, and BIC are shown. iSCA, integrase single-copy assay; ELISPOT, enzyme-linked immune absorbent spot; RMSEA, root mean square error of approximation; NFI, Bentler-Bonnet Normed Fit Index; BIC, Bayesian information criterion. \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.

**Table 1.**

Participant characteristics (n=99)

Characteristic (at A5321 study entry unless noted)	Median (range) or No. (%)	Missing No. (%)
<i>Socio-demographics</i>		
Age	43 (23 – 74)	0 (0.00%)
Sex, No., %		0 (0.00%)
Female	25 (25.25%)	
Male	74 (74.75%)	
Race/Ethnicity, No., %		0 (0.00%)
White non-Hispanic	49 (49.49%)	
Black non-Hispanic	18 (18.18%)	
Hispanic (regardless of race)	30 (30.30%)	
Asian, Pacific Islander	0 (0.00%)	
American Indian, Alaskan Native	2 (2.02%)	
Participant does not know or other/unknown	0 (0.00%)	
More than one race	0 (0.00%)	
<i>Antiretroviral therapy information</i>		
Years on ART	6.8 (4.2 – 14.8)	0 (0.00%)
ARV regimen group, No., %		0 (0.00%)
INSTI containing	26 (26.26%)	
NNRTI containing	43 (43.43%)	
PI containing	29 (29.29%)	
PI + INSTI containing	1 (1.01%)	
<i>T-cell responses</i>		
Gag IFN- $\gamma$ (SFU/10 <sup>6</sup> PBMCs)	85.0 (0.0 – 2027.5)	0 (0.00%)
Env IFN- $\gamma$ (SFU/10 <sup>6</sup> PBMCs)	12.5 (0.0 – 395.0)	0 (0.00%)
Pol IFN- $\gamma$ (SFU/10 <sup>6</sup> PBMCs)	90.0 (0.0 – 1537.5)	0 (0.00%)
Nef/Tat/Rev IFN- $\gamma$ (SFU/10 <sup>6</sup> PBMCs)	35.0 (0.0 – 1172.5)	0 (0.00%)
Sum HIV IFN- $\gamma$ (SFU/10 <sup>6</sup> PBMCs)	292.5 (0.0 – 3220.0)	0 (0.00%)
CMV-pp65 IFN- $\gamma$ (SFU/10 <sup>6</sup> PBMCs)	1113.8 (0.0 – 3027.5)	9 (9.09%)
<i>Inflammatory biomarkers</i>		

Characteristic (at A5321 study entry unless noted)	Median (range) or No. (%)	Missing No. (%)
hs-CRP (ng/mL)	1496.2 (93.6 - >10000.0)	0 (0.00%)
IL-6 (pg/mL)	1.5 (0.2 - 8.8)	0 (0.00%)
IP-10 (pg/mL)	123.6 (45.3 - 2475.4)	0 (0.00%)
Neopterin (nMol/L)	9.5 (3.1 - 70.8)	0 (0.00%)
sCD14 (ng/mL)	1806.0 (491.2 - 3630.0)	0 (0.00%)
sCD163 (ng/mL)	470.1 (165.2 - 1766.0)	0 (0.00%)
TNF- $\alpha$ (pg/mL)	1.3 (0.1 - 565.2)	0 (0.00%)
Pre-ART hs-CRP (ng/mL)	1661.5 (30.6 - >10000.0)	19 (19.19%)
Pre-ART IL-6 (pg/mL)	2.0 (0.3 - 13.1)	19 (19.19%)
Pre-ART IP-10 (pg/mL)	NA	99 (100.00%)
Pre-ART Neopterin (nMol/L)	NA	99 (100.00%)
Pre-ART sCD14 (ng/mL)	1726.7 (78.2 - 10763.7)	19 (19.19%)
Pre-ART sCD163 (ng/mL)	912.1 (241.8 - 2078.5)	19 (19.19%)
Pre-ART TNF- $\alpha$ (pg/mL)	NA	99 (100.00%)
<i>Immune activation biomarkers</i>		
%CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD4 <sup>+</sup> cells	3.9% (0.6% - 14.4%)	19 (19.19%)
%CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD8 <sup>+</sup> cells	9.1% (1.0% - 29.3%)	19 (19.19%)
%Ki67 <sup>+</sup> on CD4 <sup>+</sup> cells	0.6% (0.2% - 4.1%)	19 (19.19%)
%Ki67 <sup>+</sup> on CD8 <sup>+</sup> cells	0.5% (0.1% - 4.8%)	19 (19.19%)
Pre-ART %CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD4 <sup>+</sup> cells	15.6% (3.1% - 66.1%)	23 (23.23%)
Pre-ART %CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD8 <sup>+</sup> cells	45.7% (8.5% - 83.3%)	23 (23.23%)
Pre-ART %Ki67 <sup>+</sup> on CD4 <sup>+</sup> cells	2.2% (0.5% - 10.7%)	23 (23.23%)
Pre-ART %Ki67 <sup>+</sup> on CD8 <sup>+</sup> cells	2.4% (0.2% - 35.5%)	23 (23.23%)
<i>Virologic parameters</i>		
CA-DNA (copies/10 <sup>6</sup> CD4 <sup>+</sup> T-cells)	534.8 (5.2 - 9207.5)	3 (3.03%)
CA-RNA (copies/10 <sup>6</sup> CD4 <sup>+</sup> T-cells)	24.2 (<13.6 - 898.9)	4 (4.04%)
Plasma HIV RNA via iSCA (copies/mL)	<0.7 (<0.7 - 24.9)	1 (1.01%)
<i>Immunologic parameters</i>		
CD4 <sup>+</sup> T-cell count (cells/mm <sup>3</sup> )	681 (149 - 1413)	0 (0.00%)
CD8 <sup>+</sup> T-cell count (cells/mm <sup>3</sup> )	699 (268 - 2064)	0 (0.00%)



Characteristic (at A5321 study entry unless noted)	Median (range) or No. (%)	Missing No. (%)
CD4 <sup>+</sup> /CD8 <sup>+</sup> T-cell ratio	0.97 (0.18 – 2.50)	0 (0.00%)
Pre-ART CD4 <sup>+</sup> T-cell count (cells/mm <sup>3</sup> )	288 (0 – 734)	0 (0.00%)
Pre-ART CD8 <sup>+</sup> T-cell count (cells/mm <sup>3</sup> )	739 (196 – 2566)	0 (0.00%)
Pre-ART CD4 <sup>+</sup> /CD8 <sup>+</sup> T-cell ratio	0.31 (0.00 – 1.28)	0 (0.00%)
Env antibody signal:cutoff ratio	9.48 (0.23 – 76.40)	19 (19.19%)

Abbreviations: ART, antiretroviral therapy; ARV, antiretroviral; INSTI, integrase strand transfer inhibitor; NNRTI, non-nucleoside reverse transcriptase inhibitor; PI, protease inhibitor; IFN- $\gamma$ , interferon-gamma; SFU, spot forming units; PBMCs, peripheral blood mononuclear cells; HIV, human immunodeficiency virus; CMV, cytomegalovirus; hs-CRP, high-sensitivity C-reactive protein; IL-6, interleukin 6; IP-10, interferon-gamma-induced protein 10; sCD14, soluble CD14; sCD163, soluble CD163; TNF- $\alpha$ , tumor necrosis factor alpha; HLA-DR, human leukocyte antigen - DR isotype; CA-DNA, cell-associated human immunodeficiency virus DNA; CA-RNA, cell-associated human immunodeficiency virus RNA; iSCA, integrase single copy assay.

Spearman correlations between magnitudes of IFN- $\gamma$  T-cell responses with inflammatory and immune activation biomarkers at A5321 study entry

**Table 2.**

Biomarker	T-cell response correlation						
	HIV-Gag	HIV-Env	HIV-Pol	HIV-Nef/Tat/Rev	Sum HIV	CMV-pp65	
<i>Inflammatory biomarkers</i>							
hs-CRP (ng/mL)							
r	0.06	-0.18	-0.05	-0.13	-0.01	-0.02	
p-value	0.556	0.083	0.643	0.213	0.928	0.874	
n	99	99	99	99	99	99	90
Adjusted <sup>A</sup> r	-0.01	-0.22	-0.14	-0.08	-0.07	-0.08	
Adjusted <sup>A</sup> p-value	0.961	0.051	0.230	0.472	0.518	0.528	
Adjusted <sup>B</sup> r	0.06	-0.12	-0.03	-0.13	<0.01	-	
Adjusted <sup>B</sup> p-value	0.580	0.246	0.759	0.223	0.991	-	
r	0.08	-0.06	0.02	0.02	0.08	0.08	
p-value	0.433	0.556	0.820	0.818	0.444	0.445	
n	99	99	99	99	99	99	90
IL-6 (pg/mL)							
Adjusted <sup>A</sup> r	-0.01	-0.09	-0.09	0.03	-0.03	-0.03	
Adjusted <sup>A</sup> p-value	0.951	0.413	0.451	0.770	0.785	0.794	
Adjusted <sup>B</sup> r	0.07	0.01	0.01	0.03	0.08	-	
Adjusted <sup>B</sup> p-value	0.473	0.952	0.901	0.775	0.426	-	
r	-0.05	0.03	-0.04	0.02	0.01	0.10	
p-value	0.645	0.777	0.704	0.839	0.934	0.357	
n	99	99	99	99	99	99	90
IP-10 (pg/mL)							
Adjusted <sup>A</sup> r	NA	NA	NA	NA	NA	NA	
Adjusted <sup>A</sup> p-value	NA	NA	NA	NA	NA	NA	
Adjusted <sup>B</sup> r	-0.06	-0.04	-0.07	-0.04	-0.05	-	
Adjusted <sup>B</sup> p-value	0.555	0.699	0.483	0.730	0.653	-	
r	0.01	0.12	-0.05	0.16	0.04	0.17	
p-value	0.909	0.240	0.601	0.120	0.667	0.105	
Neopterin (nMol/L)							

Biomarker	T-cell response correlation						
	HIV-Gag	HIV-Env	HIV-Pol	HIV-Nef/Tat/Rev	Sum HIV	CMV-pp65	
	99	99	99	99	99	99	90
Adjusted <sup>A</sup> r	NA	NA	NA	NA	NA	NA	NA
Adjusted <sup>A</sup> p-value	NA	NA	NA	NA	NA	NA	NA
Adjusted <sup>B</sup> r	-0.06	0.06	-0.12	0.08	-0.06	-0.06	-
Adjusted <sup>B</sup> p-value	0.552	0.593	0.237	0.416	0.562	0.562	-
r	0.04	-0.13	-0.11	-0.07	-0.06	-0.06	-0.14
p-value	0.699	0.190	0.266	0.490	0.586	0.586	0.175
n	99	99	99	99	99	99	90
Adjusted <sup>A</sup> r	0.06	-0.20	-0.12	-0.02	-0.01	-0.01	-0.14
Adjusted <sup>A</sup> p-value	0.595	0.071	0.310	0.885	0.898	0.898	0.233
Adjusted <sup>B</sup> r	0.07	-0.07	-0.09	-0.05	-0.03	-0.03	-
Adjusted <sup>B</sup> p-value	0.496	0.474	0.394	0.656	0.764	0.764	-
r	0.11	-0.03	0.08	-0.03	0.06	0.06	0.16
p-value	0.259	0.769	0.407	0.755	0.564	0.564	0.141
n	99	99	99	99	99	99	90
Adjusted <sup>A</sup> r	0.16	-0.05	0.16	0.07	0.12	0.12	0.12
Adjusted <sup>A</sup> p-value	0.146	0.648	0.155	0.513	0.307	0.307	0.306
Adjusted <sup>B</sup> r	0.08	-0.02	0.04	-0.09	<-0.01	<-0.01	-
Adjusted <sup>B</sup> p-value	0.452	0.811	0.720	0.377	0.972	0.972	-
r	-0.07	-0.09	-0.21	-0.05	-0.18	-0.18	-0.05
p-value	0.477	0.399	<b>0.040</b>	0.637	0.081	0.081	0.667
n	99	99	99	99	99	99	90
Adjusted <sup>A</sup> r	NA	NA	NA	NA	NA	NA	NA
Adjusted <sup>A</sup> p-value	NA	NA	NA	NA	NA	NA	NA
Adjusted <sup>B</sup> r	-0.01	-0.05	-0.15	0.02	-0.11	-0.11	-

sCD14 (ng/mL)

sCD163 (ng/mL)

TNF-α (pg/mL)

Biomarker	T-cell response correlation						
	HIV-Gag	HIV-Env	HIV-Pol	HIV-Nef/Tat/Rev	Sum HIV	CMV-pp65	
	Adjusted <sup>B</sup> p-value	0.932	0.611	0.152	0.819	0.283	-
<i>Immune activation biomarkers</i>							
%CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD4 <sup>+</sup> cells	r	-0.03	-0.01	-0.06	0.07	0.02	<0.01
	p-value	0.819	0.921	0.603	0.556	0.872	0.989
	n	80	80	80	80	80	71
	Adjusted <sup>A</sup> r	0.01	<0.01	-0.05	0.05	0.03	-0.04
	Adjusted <sup>A</sup> p-value	0.903	0.992	0.692	0.698	0.816	0.718
	Adjusted <sup>B</sup> r	-0.05	-0.05	-0.12	-0.03	-0.07	-
	Adjusted <sup>B</sup> p-value	0.692	0.684	0.306	0.815	0.555	-
	r	0.07	0.02	0.05	0.12	0.12	0.14
	p-value	0.535	0.875	0.687	0.302	0.290	0.241
	n	80	80	80	80	80	71
%CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD8 <sup>+</sup> cells	Adjusted <sup>A</sup> r	0.08	0.02	0.04	0.09	0.10	0.11
	Adjusted <sup>A</sup> p-value	0.507	0.897	0.711	0.465	0.370	0.368
	Adjusted <sup>B</sup> r	0.06	-0.03	0.03	0.08	0.10	-
	Adjusted <sup>B</sup> p-value	0.584	0.820	0.784	0.482	0.406	-
	r	0.01	-0.05	-0.04	-0.04	0.01	-0.07
	p-value	0.963	0.647	0.726	0.716	0.950	0.549
	n	80	80	80	80	80	71
	Adjusted <sup>A</sup> r	<-0.01	-0.06	-0.09	-0.09	-0.03	-0.06
	Adjusted <sup>A</sup> p-value	0.967	0.593	0.467	0.465	0.830	0.622
	Adjusted <sup>B</sup> r	0.03	-0.03	-0.07	-0.11	-0.03	-
%Ki67 <sup>+</sup> on CD4 <sup>+</sup> cells	Adjusted <sup>B</sup> p-value	0.811	0.786	0.575	0.329	0.811	-
	r	-0.04	-0.17	-0.04	-0.14	-0.04	-0.11
	p-value	0.749	0.129	0.736	0.212	0.735	0.361
	n	80	80	80	80	80	71

Biomarker	T-cell response correlation					
	HIV-Gag	HIV-Env	HIV-Pol	HIV-Nef/Tat/Rev	Sum HIV	CMV-pp65
Adjusted <sup>A</sup> r	-0.02	-0.16	-0.02	-0.11	-0.01	-0.09
Adjusted <sup>A</sup> p-value	0.872	0.163	0.835	0.356	0.960	0.460
Adjusted <sup>B</sup> r	0.01	-0.14	<0.01	-0.16	-0.01	-
Adjusted <sup>B</sup> p-value	0.936	0.223	0.981	0.173	0.923	-

<sup>A</sup>Controlling for pre-ART biomarker level where available.

<sup>B</sup>Controlling for pre-ART plasma HIV-1 RNA (log<sub>10</sub>cp/mL), pre-ART CD4<sup>+</sup> T-cell count (cells/mm<sup>3</sup>), pre-ART CD4<sup>+</sup>/CD8<sup>+</sup> T-cell ratio, years on ART at A5321 entry, and age at A5321 entry.

Significant associations at the alpha=0.05 level are bolded.

Abbreviations: IFN- $\gamma$ , interferon-gamma; SFU, spot forming units; PBMCs, peripheral blood mononuclear cells; HIV, human immunodeficiency virus; CMV, cytomegalovirus; hs-CRP, high-sensitivity C-reactive protein; IL-6, interleukin 6; IP-10, interferon-gamma-induced protein 10; sCD14, soluble CD14; sCD163, soluble CD163; TNF- $\alpha$ , tumor necrosis factor alpha; HLA-DR, human leukocyte antigen - DR isotype; NA, not available; ART, antiretroviral therapy.

Spearman correlations between HIV-Env-specific antibody levels with inflammatory and immune activation biomarkers at A5321 study entry

**Table 3.**

Biomarker	HIV-Env antibody signal:cutoff ratio correlation						
	r	p-Value	n	Adjusted <sup>A</sup> r	Adjusted <sup>A</sup> p-Value	Adjusted <sup>B</sup> r	Adjusted <sup>B</sup> p-Value
<i>Inflammatory biomarkers</i>							
hs-CRP (ng/mL)	-0.02	0.891	80	-0.03	0.779	0.02	0.859
IL-6 (pg/mL)	-0.19	0.085	80	-0.16	0.161	-0.20	0.079
IP-10 (pg/mL)	-0.04	0.695	80	NA	NA	-0.05	0.686
Neopterin (nMol/L)	0.02	0.843	80	NA	NA	0.01	0.899
sCD14 (ng/mL)	0.06	0.572	80	0.05	0.660	0.08	0.481
sCD163 (ng/mL)	0.13	0.262	80	0.05	0.651	0.09	0.468
TNF-α (pg/mL)	-0.07	0.546	80	NA	NA	-0.03	0.766
<i>Immune activation biomarkers</i>							
%CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD4 <sup>+</sup> cells	0.05	0.641	80	<0.01	0.976	0.04	0.751
%CD38 <sup>+</sup> HLA-DR <sup>+</sup> on CD8 <sup>+</sup> cells	0.03	0.795	80	-0.01	0.953	0.02	0.892
%Ki67 <sup>+</sup> on CD4 <sup>+</sup> cells	0.07	0.541	80	0.01	0.964	0.08	0.483
%Ki67 <sup>+</sup> on CD8 <sup>+</sup> cells	0.01	0.958	80	0.01	0.944	0.04	0.714

<sup>A</sup>Controlling for pre-ART biomarker level where available.

<sup>B</sup>Controlling for pre-ART plasma HIV-1 RNA (log10cps/mL), pre-ART CD4<sup>+</sup> T-cell count (cells/mm<sup>3</sup>), pre-ART CD4<sup>+</sup>/CD8<sup>+</sup> T-cell ratio, years on ART at A5321 entry, and age at A5321 entry.

Abbreviations: HIV, human immunodeficiency virus; hs-CRP, high-sensitivity C-reactive protein; IL-6, interleukin 6; IP-10, interferon-gamma-induced protein 10; sCD14, soluble CD14; sCD163, soluble CD163; TNF-α, tumor necrosis factor alpha; HLA-DR, human leukocyte antigen - DR isotype; NA, not available; ART, antiretroviral therapy.

**Table 4.**

Results of mediation analyses for associations between integrase single copy assay (iSCA) with CD8<sup>+</sup> T-cell activation and other immune activation biomarkers (n=79)

Independent Variable	Mediating Variable	Outcome Variable	Total Effect	Total Effect p-Value	Direct Effect	Direct Effect p-Value	Indirect Effect	Indirect Effect p-Value
<i>Sum HIV-specific T-cell responses</i>								
iSCA	Sum HIV IFN- $\gamma$ ELISPOT	%CD38 <sup>+</sup> HLA-DR <sup>+</sup> CD4 <sup>+</sup> cells	0.086	0.446	0.085	0.454	0.001	0.953
iSCA	Sum HIV IFN- $\gamma$ ELISPOT	%CD38 <sup>+</sup> HLA-DR <sup>+</sup> CD8 <sup>+</sup> cells	<b>0.244</b>	<b>0.022</b>	<b>0.231</b>	<b>0.031</b>	0.013	0.476
iSCA	Sum HIV IFN- $\gamma$ ELISPOT	%Ki67 <sup>+</sup> CD4 <sup>+</sup> cells	-0.083	0.462	-0.085	0.454	0.002	0.882
iSCA	Sum HIV IFN- $\gamma$ ELISPOT	%Ki67 <sup>+</sup> CD8 <sup>+</sup> cells	-0.064	0.571	-0.061	0.590	-0.003	0.857
<i>HIV-Env-specific antibody levels</i>								
iSCA	HIV-Env signal:cutoff ratio	%CD38 <sup>+</sup> HLA-DR <sup>+</sup> CD4 <sup>+</sup> cells	0.086	0.446	0.085	0.446	<0.001	0.969
iSCA	HIV-Env signal:cutoff ratio	%CD38 <sup>+</sup> HLA-DR <sup>+</sup> CD8 <sup>+</sup> cells	<b>0.244</b>	<b>0.022</b>	<b>0.243</b>	<b>0.022</b>	<0.001	0.969
iSCA	HIV-Env signal:cutoff ratio	%Ki67 <sup>+</sup> CD4 <sup>+</sup> cells	-0.083	0.462	-0.083	0.458	<0.001	0.969
iSCA	HIV-Env signal:cutoff ratio	%Ki67 <sup>+</sup> CD8 <sup>+</sup> cells	-0.064	0.571	-0.064	0.570	<0.001	0.970

All variables rank-transformed.

Presenting standardized effect estimates.

Significant effects at the alpha=0.05 level are bolded.

Abbreviations: iSCA, integrase single copy assay; HIV, human immunodeficiency virus; IFN- $\gamma$ , interferon-gamma; HLA-DR, human leukocyte antigen - DR isotype.