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# Hepatocellular carcinoma in patients co-infected with hepatitis B or C and HIV: more aggressive tumor behavior?

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# Abstract

**Introduction and objectives:** Hepatocellular carcinoma (HCC) is the 6<sup>th</sup> cause of cancer and hepatitis C (HCV) and B (HBV) viruses are the most frequent risk factors for HCC. Patients co-infected with HCV or HBV and human immunodeficiency virus (HIV) present a faster progression to liver fibrosis and higher incidence of HCC. The aim of this study was to evaluate the survival and clinical outcomes of co-infected patients with HCC comparing with non-HIV patients.

**Methods:** We conducted a retrospective cohort study including 267 HCC patients with HCV or HBV infection with or without HIV. The primary endpoint was overall survival. A Kaplan-Meier curve was presented to assess survival function. Clinical and radiologic variables according to HIV status were compared by logistic regression.

**Results:** Among 267 HCC patients, 25 (9.3%) were HIV positive. In the co-infected group, patients were younger (49.8 vs 61.2 years, p<0.001), cirrhosis was less predominant (88% vs 96.7%, p=0.05), a smaller proportion received HCC treatment (60% vs 86.3%, p=0.001) and the frequency of portal vein tumoral thrombosis was higher (32% vs 11.1%, p=0.003). The overall mortality rate was higher in the HIV positive group (92% vs 74.3%), independently of clinical and tumoral variables.

**CONFLICT OF INTEREST:** Nothing to disclose

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**Conclusion:** Co-infected patients with HCC presented a higher mortality, tumor diagnosis in a younger age, less underlying cirrhosis and a higher frequency of tumoral thrombosis. Further studies are warranted to better understand the role of HIV in hepatocarcinogenesis, in order to improve the management of those patients, particularly regarding screening programs.

#### Keywords

Hepatocellular carcinoma; Cirrhosis; Hepatitis C Virus; Hepatitis B virus; human immunodeficiency virus; Highly active antiretroviral

# INTRODUCTION

Hepatocellular carcinoma (HCC) is the 6<sup>th</sup> cause of cancer and the 4<sup>th</sup> leading cause of cancer-related mortality worldwide, with 841,000 new cases in 2018 and 782,000 deaths annually [1]. Cirrhosis is the main risk factor for HCC and its diagnosis is important for prevention, detection and treatment of this tumor [2]. The Hepatitis C (HCV) and B (HBV) viruses are the most frequent causes of cirrhosis, followed by nonalcoholic steatohepatitis (NASH) and alcohol intake [3].

HCV, HBV and human immunodeficiency virus (HIV) share the same transmission routes. Since the 1990s, this co-infection has acquired more relevance since it may lead to a faster progression to fibrosis and cirrhosis [4]. Highly active antiretroviral (HAART) has changed the survival of HIV patients, extending the life expectancy. Therefore, increasing the risk of developing complications due to other associated chronic diseases, such as cirrhosis and HCC [5,6]. HIV has a cocarcinogenic effect in synergy with viral hepatitis, which associated with immunodeficiency, induces a faster progression of liver disease and increases the risk of HCC [5].

Patients with HIV and liver disease have a higher mortality and the most common etiologies are HCV (33%) and HBV (6–10%) [7]. In co-infected patients, liver diseases are responsible for the second cause of death, behind only AIDS (Acquired Immunodeficiency Syndrome) [8].

Despite the relevance of the topic and the increasing number of co-infected patients with HCC, studies in the literature are scarce and most are cross sectional or have a small sample size. In this context, the aim of this study was to evaluate survival and clinical outcomes in co-infected patients with HIV and HCV/HBV comparing with non-HIV patients. We hypothesized that co-infected patients have more aggressive tumor behavior and higher mortality rates than those without HIV.

# MATERIAL AND METHODS

#### **Study Design**

We conducted a retrospective cohort study on a tertiary care hospital. Among 364 patients diagnosed with HCC between April 2007 and December 2019, 267 patients with HCV or HBV infection with or without HIV were included. Demographic, clinical, laboratory and radiological data were obtained by reviewing medical records.

HCC diagnosis was based on EASL diagnostic criteria [9]. Patients were excluded from the study for the following reasons: incomplete tumor or patient data (n=1) and another liver disease etiology (n=97).

All included patients had HCC and HCV or HBV. The diagnosis of chronic hepatitis B was established by the presence of positive serology for HBV [HBsAg (+), antiHBC (+)] and positive HBV PCR (HBV DNA / Viral Load test) for more than 6 months. Chronic hepatitis C was defined by the presence of serum HCV antibody (anti-HCV) and HCV PCR (HCV RNA) positive for more than 6 months. HIV was diagnosed with HIV positive serology and then the presence of HIV RNA. The patients were divided into two groups HIV (+) and HIV (-).The patient accrual is summarized in the flowchart (Figure 1).

The study protocol is complying ethical standards from the revised Helsinki Declaration in 2008 and it was approved by our Institutional Review Board, which waived the requirement for informed consent.

#### Demographic, clinical and laboratorial data

A detailed medical record review of the included patients was made by a hepatologist with 5 years' experience.

The following variables were evaluated: age at HCC diagnosis, gender, etiology of chronic liver disease, alcohol consumption, the presence of cirrhosis, Child-Pugh score, serum alpha-fetoprotein (AFP) levels and BCLC staging system at HCC diagnosis, HCC treatment, tumoral portal vein thrombosis, date of the last follow-up, date and cause of death.

#### Radiological data

Radiological reports of contrast-enhanced computed tomography or magnetic resonance imaging were retrospectively reviewed [9]. The radiological reports were done by gastrointestinal radiologists with at least 5 years' experience.

The following variables were analyzed in imaging studies of HCC diagnosis: number and size of tumor nodules, presence of tumoral portal vein thrombosis and presence of extrahepatic metastases.

#### **Outcomes and statistical analysis**

Continuous variables were expressed as mean  $\pm$  standard deviation or range, while qualitative variables were expressed as frequency (percentage). The primary endpoint was overall survival (OS). There were no significant follow-up losses, and patients without ascertained death date were regarded as alive and followed until the last clinical appointment.

Demographic characteristics and HCC related variables were present in correspondent tables. The comparison of these variables according to HIV status was done by logistic regression. For better understanding, the variables were categorized binarily, using clinically relevant cut-off points. Continuous variables were expressed as mean  $\pm$  standard deviation and range, while qualitative variables were expressed as frequency (percentage).

For the assessment and comparison of mortality rates according to HIV status we assumed a Poisson distribution. A Kaplan-Meier curve was presented to assess survival function. Selection of variables composing the final models was based on predetermined conceptual frameworks well established on literature and on clinical relevance. For all statistical analyses, a *p*-value < 0.05 was considered statistically significant.

# RESULTS

#### Baseline clinical and demographic characteristics

A total of 267 patients with HCC and HBV or HCV were included in the study, of which 25 (9.4%) were HIV positive. The median age was 60.1 years, predominant male (72%). The clinical and demographic characteristics of the general population in the trial are summarized in Table 1.

Etiology was either HCV (81.6%) or HBV (18.3%), and 96% had cirrhosis, alcohol consumption was present in 33.4% of patients. Regarding tumor characteristics at diagnosis, 56.9% had a single lesion, with a mean size of the largest nodule of 56 mm (9 – 218 mm). 13% of patients presented tumoral portal vein thrombosis and 7.8% extravascular metastasis. In terms of tumor staging, 40.8% presented as early stage (BCLC 0-A), 20.9% intermediate stage (BCLC B) and 38.7% advanced or terminal stage (BCLC C-D).

The mean AFP level at diagnosis was 3,904 ng/mL (1.2 - 60,050 ng/mL) with 26.2% of patients presenting AFP level > 500 ng/ml. HCC treatment was performed in 84% of the patients and the most common treatment was transarterial chemoembolization (TACE).

In HIV patients, 90% were using antiretrovirals drugs, with undetectable viral load (<50 copies/ml) in 88%. The mean CD4+ lymphocyte count was 411 (76/mm3 to 701/mm3) and 68.75% of patients presented CD4 above 250/mm3.

#### Comparison of clinical and demographic characteristics according to HIV status

The clinical and demographic characteristics of patients based on HIV status are shown in Table 2. HIV patients were younger at HCC diagnosis compared to monoinfected patients, with a mean age at diagnosis of 49.8 years old (37 - 67.7 yo), *versus* 61.2 years old (28.6 - 87 yo), respectively (OR = 0.16, CI 0.05 - 0.5; P < 0.001). We also observed a trend towards a higher occurrence of HCC in males in co-infected patients, corresponding to 88% of cases, while in monoinfected males corresponded to 72% of patients. Although, this difference was not statistically significant (OR = 2.98, CI: 0.86 - 10.2; P = 0.08) (Table 3).

Cirrhosis was present in 88% of HIV positive and 96.7% of HIV negative patients (OR = 0.25, CI: 0.06 - 1.0; P = 0.05), demonstrating a tendency towards a higher occurrence of HCC in co-infected patients at earlier stages of liver disease. Similar to monoinfected patients, most HIV positive patients had preserved liver function, with CHILD A in 80% of cases. Alcohol use was present in 33,4% of those HIV negative and 44% of HIV positive patients [OR = 1.56, CI 0.67 - 3.59; P = 0.29)], with no statistical difference between both group.

Regarding tumor staging, there was also no difference related to HIV status, with the majority of patients being diagnosed at early stage. In HIV positive patients, 44% were BCLC 0-A, 16% BCLC B, 40% BCLC C/D. On the other hand, HIV patients had a higher frequency of tumoral portal vein thrombosis when compared to HIV negative patients [32% vs 11% (OR =3.74, CI 1.47 – 9.5; P = 0.003)] and were less likely to undergo treatment [86% vs 60% (OR = 0.23, CI 0.09 – 0.57; P = 0.001)]. The most common treatment in both groups was TACE, which accounted for 46% of monoinfected and 24% of co-infected patients (Tables 2 and 3).

#### Survival analysis and prognostic factors related to survival

The average mortality risk was 76% over the whole period. To avoid potential confounding factors, the sample was analyzed by to two conceptual models adjusted for several variables. After accounting for the potentially confound variables, the mortality risk was higher on HIV positive patients on both conceptual models assessed. In model 1, the adjusted variables were age, sex, BCLC, symptoms, Child-Pugh, treatment and AFP (RR = 1.95, CI 1.11 – 3.41; P = 0.01). In model 2 the variables were age, sex, BCLC, symptoms, Child-Pugh, AFP, number of lesions, intravascular metastasis, extravascular metastasis, size of the bigger lesion and treatment (RR = 1.96, CI 1.12 – 3.44; P = 0.01) (Table 4 and Figure 2).

# DISCUSSION

HIV is an important public health issue that until the end of 2018 counted with 37.9 million people living with the disease in the world [10]. The introduction of HAART in the 1990s changed the natural history of HIV infection, therefore increasing the number of non-HIV-related deaths [11]. In a multicenter observational study that included prospectively 23,441 HIV positive patients, 50% of deaths were not related to HIV [8]. In co-infected patients, liver disease is the second cause of death, with 66% attributed to HCV, 17% to HBV and 3% directly related to antiviral therapy [8,12,13].

HIV/HCV co-infection is associated with a lower sustained virologic response, with faster progression to fibrosis and cirrhosis, and higher probability of HCC. This outcome is due to multifactorial events, among them a weakened adaptive immune response to HCV infection, activation of hepatic stellate cells by liver damage and production of type I collagen, promoting more pro-inflammatory and profibrogenic cytokines, Also, the reduced ratio of CD4+ to CD8+ cells associated with HIV infection are more fibrogenic [14,15,16].

The interaction between HIV and HBV reduces the ability to eliminate HBV infection after exposure, resulting in increased HBV DNA concentrations, which leads to faster fibrosis and HCC development. A low CD4 count is also associated with a faster progression of fibrosis. Likewise, occult HBV infection, increased ALT and development of AIDS may occur with low CD4 count [17,18]. In our analysis, 90% of co-infected patients were using HAART with adequate viral control in the majority of patients (88% presenting undetectable viral load and 68.75% CD4 above 250/mm3). These results lead to the hypothesis that other mechanisms besides immunodeficiency may also be involved in the higher risk of HCC associated with HIV infection.

In the present study, we demonstrated that co-infected patients had a different epidemiological profile than monoinfected, with HCC at younger ages, suggesting a faster progression of liver disease and HCC emergence in this population. We also observed a trend towards increased frequency in male gender when compared to monoinfected patients. These results are in agreement with other studies. In the study performed by *Berretta et al*. HIV positive patients were 20 years younger than HIV negative patients [19] and *Marcon et al.* demonstrated that co-infected patients were 10 years younger than monoinfected [20]. Both studies presented a prevalence of male gender [19, 20].

In our experience, HCV was the most frequent etiology of liver disease in HIV patients and the majority presented compensated cirrhosis with Child Pugh A. In a study published in 2013 that sought to determine risk factors for cirrhosis and HCC among HIV patients from the Veterans Affairs Health Care System, the increase in HCC prevalence, from 0.07% to 1.62%, in fifteen years, was the most relevant finding, being higher in patients infected by HCV and with compensated cirrhosis [21]. An Italian and Spanish analysis made by *Puoti et al.* with 41 HIV positive patients versus 385 HIV negative patients, showed that the patients who developed HCC had underlying chronic hepatitis C more frequently [22].

Most of the HIV co-infected patients in the current study presented an early or intermediate HCC staging at diagnosis, which was not different from the monoinfected patients. These data are similar to those observed by *Berretta et al.* who reported that 69% of patients were BCLC A or B [19]. In contrast *Brau et al.* found that in their HIV co-infected population tumors were in a more advanced stage, with 50% classified as BCLC C or D [23].

Despite the earlier stages of both cirrhosis and HCC, co-infected patients had a higher mortality rate (92% vs 74.3%), independently of clinical and tumoral variables. The presence of HIV was an independent risk factor for a worse prognosis, here tumoral portal vein thrombosis was more frequent among HIV-infected patients with HCC and this population was less submitted to treatment. The probable reason is a more aggressive tumor biology in the presence of HIV. This finding is corroborated by other studies that also showed poorer survival in this group of patients. In a single center, *Gramenzi et al.* reported that in HCC patients, HIV co-infection doubled the risk of death compared to the non-HIV population [24]. A Spanish study published in 2012, which analyzed HCC co-infected patients, a higher mortality rate was observed in the co-infected group, with an average survival of 3 months and a more advanced BCLC stage at diagnosis [25].

The more aggressive nature of HCC in HIV-positive patients seems to be related to HIV features, through the presence of growth signals enhancing HCC cell proliferation and/or a weaker immune response [22]. In other cohort study which purpose was to measure determinants of HCC in HIV, *Torgersen et al.* showed that a higher viral load and longer duration of HIV viremia had contributed to HCC development. This research suggests that the possible mechanisms associated with this greater viral severity and HCC burden are the progression of liver fibrosis to cirrhosis, hepatocarcinogenesis via immune dysregulation, oxidative stress, hepatocyte apoptosis and CD4+ cell depletion in the gastrointestinal tract with consequent microbial translocation [14,26].

This study has some limitations such as the retrospective model and small population sample. On the other hand, the number of studies in the environment are scare and it is important to demonstrate that co-infected patients presents worse prognosis, which probably is associated with a more aggressive tumoral behavior.

In conclusion, the present study demonstrated that HCC patients co-infected with HBV/HCV and HIV presented younger age, higher mortality, less underlying cirrhosis and higher frequency of tumoral thrombosis. Further studies are warranted for better understanding HIV role in hepatocarcinogenesis, in order to improve the clinical management of these patients, particularly regarding screening program.

### Acknowledgments

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# ABBREVIATIONS

нсс	Hepatocellular carcinoma
HCV	Viral hepatitis C
HBV	Viral hepatitis B
NASH	Nonalcoholic steatohepatitis
HIV	Human immunodeficiency vírus
HAART	Highly active antirretroviral
EASL	European Association for the Study of the Liver
IRB	Institutional Review Board
PCR	Polymerase chain reaction
HBV DNA	Viral Load test
HIV RNA	Viral Load test
AFP	Alpha-fetoprotein
BCLC	Barcelona Clinic Liver Cancer
OS	Overall survival
TACE	Transarterial chemoembolization
OR	Odds Ratio
AIDS	Acquired Immunodeficiency Syndrome
PEI	Percutaneous ethanol injection
RFA	Radiofrequency ablation

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**Figure 1:** Patients selection flowchart





Survival curve in patients according to HIV status

### Table 1:

Clinical and demographic baseline characteristics of general population

Variables	N = 267
HIV, n (%)	
Positive	25 (9.4)
Negative	242 (90.6)
Age (years old), n (%)	
< 60	134 (51.6))
>60	133 (49.4)
Male Gender, n (%)	194 (72)
Cirrhosis, n(%)	256 (96)
Child-Pugh, n (%)	
А	176 (65.9)
В	81 (30.3)
С	10 (3.7)
Etiology, n (%)	218 (81.6) 49 (18.3)
HCV	218 (81.6)
HBV	49 (18.3)
Alcohol Consumption, n (%)	92 (34.4)
Symptoms at Diagnosis, n (%)	79 (29.5)
BCLC, n (%)	
A-0	109 (40.8)
В	56 (20.9)
С	83 (31.1)
D	19 (7.1)
Number of lesions, n (%)	
1	152 (56.9)
2	50 (18.7)
3	33 (12.3)
+4	32 (12.1)
Largest Lesion Size (cm), n (%)	
< 3	93 (34.8)
3 – 5	83 (31.1)
>5	91 (34.1)
AFP (ng/mL), n (%)	
<10	76 (28.4)

Variables	N = 267
10 - 500	121 (45.3)
>500	70 (26.2)
Extravascular metastasis, n (%)	21 (7.8)
Tumoral Portal Vein Thrombosis, n (%)	35 (13)
Treatment, n (%)	224 (83.9)

Note: HIV: Human immunodeficiency virus; HCV: Hepatitis C virus; HBV: Hepatitis B virus; BCLC: Barcelona Clinic Liver Cancer; AFP: alpha-fetoprotein

# Table 2:

Clinical and demographic characteristics according to HIV Status

Variables	HIV (-) (%)	HIV (+) (%)
HIV, n (%)	242 (90.6%)	25 (9.4%)
Age (years old), n (%)		
<60	113 (46.7%)	21 (84%)
>60	129 (53.3%)	4 (16%)
Male Gender, n(%)	172 (72%)	22 (88%)
Cirrhosis, n (%)	234 (96.7%)	22 (88%)
Child Pugh, n(%)		
А	156 (64.4%)	20 (80%)
В	76 (31.4%)	5 (20%)
С	10 (4.1%)	0 (0%)
Etiology, n (%)		
HCV	199 (82.2%)	19 (76%)
HBV	43 (17.7%)	6 (24%)
Alcohol consumption, n(%)	81 (33.4%)	11 (44%)
Symptomatic at diagnosis, n(%)	169 (69.8%)	19 (76%)
BCLC, n(%)		
A-0		
В	52 (21.5%)	4 (16%)
С	74 (30.5%)	9 (36%)
D	18 (7.4%)	1 (4%)
Number of lesions, n (%)		
1	135 (55%)	17 (68%)
2	46 (19%)	4 (16%)
3	30 (12%)	3 (12%)
4+	31 (13%)	11 (4%)
Largest lesion size (cm), n(%)		
< 3	83 (34%)	10 (40%)
3 – 5	70 (29%)	7 (28%)
> 5	89 (36%)	8 (32%)
AFP (ng/mL), n (%)		
<10	69 (28%))	7 (28%)
10 - 500	108 (45%)	13 (52%)
>500	63 (26%)	5 (20%)

Variables	HIV (-) (%)	HIV (+) (%)
Tumoral Portal Vein Thrombosis, n (%)	27 (11%)	8 (32%)
Extravascular metastasis, n (%)	19 (7.8%)	2 (8%)
Treatment, n (%)		
TACE	209 (86%)	15 (60%)
PEI/RFA	41 (17%)	2 (8%)
Ressection/Transplant	63 (26%)	4 (16%)
Sorafenib	52 (21%)	2 (8%)

Note: HIV: Human immunodeficiency virus; HCV: Hepatitis C virus; HBV: Hepatitis B virus; BCLC: Barcelona Clinic Liver Cancer; AFP: alpha-fetoprotein; TACE: Transarterial chemoembolization; PEI: Percutaneous ethanol injection; RFA: Radiofrequency ablation.

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#### Table 3:

Comparison of baseline characteristics according to HIV status using logistic regression

Variables	Percent	age (%)		
	HIV (-)	HIV (+)	Baseline: HIV (-)	P value
Age > 60 yo	53.3%	16.0%	OR = 0.16 (0.05 – 0,5)	P < 0.001
Male sex	72.0%	88.0%	OR = 2.98 (0.86 – 10.2)	P = 0.08
Cirrhosis	96.7%	88.0%	OR = 0.25 (0.06 – 1.0)	P = 0.05
Alcohol consumption	33.4%	44.0%	OR = 1.56 (0.67 – 3.59)	P = 0.29
Symptoms at diagnosis	30.1%	24.0%	OR = 0.73 (0.28 – 1.9)	P = 0.52
Child B-C	35.5%	20.0%	OR = 0.45 (0.16 – 1.25)	P = 0.12
BCLC C-D	38.0%	40.0%	OR = 1.08 (0.46 - 2.52)	P = 0.84
4 Lesions	12.8%	4.0%	OR = 0.28 (0.37 – 2.17)	P = 0.22
Largest lesion 5 cm	36.7%	32.0%	OR = 0.8 (0.33 – 1.95)	P = 0.63
AFP > 500 ng/mL	26.8%	20.0%	OR = 0.68 (0.24 – 1.88)	P = 0.46
Extravascular metastasis	7.8%	8.0%	OR = 1.02 (0.22 – 4.66)	P = 0.97
Tumoral portal vein thrombosis	11%	32.0%	OR = 3.74 (1.47 – 9.50)	P = 0.003
Treatment	86.3%	60%	OR = 0.23 (0.09 – 0.57)	P = 0.001

Note: HIV: Human immunodeficiency virus; BCLC: Barcelona Clinic Liver Cancer; AFP: alpha- fetoprotein.

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Mortality rate comparison according to HIV status

HIV status Mortality	Frequency (%)	Crude Rate Ratio (95% CI)	Minimally adjusted <sup>*</sup> Rate Ratio (95% CI)	Model 1**	Model 2 <sup>***</sup>
HIV (-) (Baseline)	180 (74.3%)	BB = 1.36(0.81 - 0.37)B = 0.33		10 0 - A (17 2 - 11 17 30 1 - 88	88 - 1 02 (1 13 - 3 14) B - 0 01
(+) AIH	23 (92.0%)	$C7.0 = 1.00(0.01 - 2.2.1)$ $\Gamma = 0.02$	0.000 = 1(117 - 260) = 1.000	10.0 = J (14.0 - 11.1) CC.1 = MM	TN'N = J (+++'C - 7T'T) 0%'T = YYY
Note:					
*					

<sup>\*</sup>Minimally adjusted: Age and sex

\*\* Model 1: Adjusted for age, sex, BCLC, symptoms, Child-Pugh, treatment and AFP

\*\*\* Model 2: Adjusted for age, sex, BCLC, symptoms, Child-Pugh, AFP, number of lesions, intravascular metastasis, size of the bigger lesion and treatment.