

### **Research and Applications**

# Scanning the medical phenome to identify new diagnoses after recovery from COVID-19 in a US cohort

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### ABSTRACT

**Objective:** COVID-19 survivors are at risk for long-term health effects, but assessing the sequelae of COVID-19 at large scales is challenging. High-throughput methods to efficiently identify new medical problems arising after acute medical events using the electronic health record (EHR) could improve surveillance for long-term consequences of acute medical problems like COVID-19.

**Materials and Methods**: We augmented an existing high-throughput phenotyping method (PheWAS) to identify new diagnoses occurring after an acute temporal event in the EHR. We then used the temporal-informed phenotypes to assess development of new medical problems among COVID-19 survivors enrolled in an EHR cohort of adults tested for COVID-19 at Vanderbilt University Medical Center.

**Results**: The study cohort included 186 105 adults tested for COVID-19 from March 5, 2020 to November 1, 2021; of which 30 088 (16.2%) tested positive. Median follow-up after testing was 412 days (IQR 274–528). Our temporal-informed phenotyping was able to distinguish phenotype chapters based on chronicity of their constituent diagnoses. PheWAS with temporal-informed phenotypes identified increased risk for 43 diagnoses among COVID-19 survivors during outpatient follow-up, including multiple new respiratory, cardiovascular, neurological, and pregnancy-related conditions. Findings were robust to sensitivity analyses, and several phenotypic associations were supported by changes in outpatient vital signs or laboratory tests from the pretesting to postrecovery period.

**Conclusion**: Temporal-informed PheWAS identified new diagnoses affecting multiple organ systems among COVID-19 survivors. These findings can inform future efforts to enable longitudinal health surveillance for survivors of COVID-19 and other acute medical conditions using the EHR.

Key words: COVID-19, COVID-19/complications, electronic health records, cohort study, phenome-wide association study

### INTRODUCTION

The coronavirus disease 2019 (COVID-19) pandemic continues to evolve, with more than 400 million confirmed cases worldwide over numerous waves.<sup>1</sup> Although most COVID-19 patients ultimately recover, many survivors report new medical problems arising after

recovery from their acute illness.<sup>2–15</sup> With millions potentially at risk for long-term adverse health effects, methods to efficiently identify new medical problems occurring in survivors of COVID-19 or other acute medical events could be valuable for clinicians, researchers, and policymakers to improve identification of at-risk patients,

© The Author(s) 2022. Published by Oxford University Press on behalf of the American Medical Informatics Association. All rights reserved. For permissions, please email: journals.permissions@oup.com discover new disease patterns, anticipate long-term consequences of acute illness on health systems, and plan for future pandemics.

Several database studies of medical conditions arising among COVID-19 survivors have been reported, <sup>5,9,11,15</sup> however, these studies relied upon proprietary commercial claims or administrative data,<sup>9</sup> unique national databases,<sup>5,11</sup> or employed complex feature engineering and advanced statistical methods,<sup>11,15</sup> which potentially limits replication of research across institutions. Phenome-wide association study (PheWAS) is a high-throughput informatics framework initially developed to examine the effects of genetic variation on a wide range of physiological and clinical outcomes using electronic health records (EHRs).<sup>16-20</sup> PheWAS has a well-documented R package incorporating feature engineering and analysis methods to facilitate study design and harmonization of research.<sup>17,18,21</sup> There also is increasing use of PheWAS to investigate the phenotypic consequences of nongenetic variables such as race, healthcare costs, or comorbidity burden.<sup>22-29</sup> While these characteristics appear favorable for enabling reproducible high-throughput studies of COVID-19 survivorship, the PheWAS feature engineering software does not account for temporal changes in a patient's medical conditions over time. To our knowledge prior PheWAS studies have not evaluated the development of new diagnoses after an acute medical event in real-world data.

### Objective

In this study, we developed a temporal-informed phenotyping framework within the native PheWAS architecture to identify new diagnoses in the EHR occurring after an acute temporal event. Using this approach, we then systematically screened a large regional US registry to identify new medical conditions arising after recovery from acute COVID-19, hypothesizing that COVID-19 survivors have increased risk for new diagnoses ranging across the medical phenome.

### MATERIALS AND METHODS

### Patient population and data sources

We used patient data from Vanderbilt University Medical Center's (VUMC) longitudinal COVID-19 EHR registry, and included all adults aged  $\geq$ 18 years who had reverse transcription polymerase chain reaction (RT–PCR) testing for SARS-CoV-2 at VUMC from March 5, 2020 to November 1, 2021.<sup>30,31</sup> We excluded patients who had an ICD-10-CM code for laboratory-confirmed COVID-19 (U07.1) but never had a positive RT–PCR test at our institution, and patients who died before recovery from illness (defined below). Additional details on VUMC's COVID-19 registry database along with data cleaning methods are provided in Supplementary Appendix.

### Defining postacute COVID-19 in the EHR

Our temporal point of interest for identifying new medical problems was recovery from acute COVID-19. Using a generally accepted definition for postacute COVID-19 as 4 weeks after onset of symptoms,<sup>2,3,11</sup> we defined recovery from acute disease and transition to the postacute phase as either 30 days after SARS-CoV-2 testing for nonhospitalized patients or 30 days after discharge for hospitalized patients (Figure 1). We used date of discharge for hospitalized patients as many critically ill COVID-19 patients have long hospital courses lasting weeks or months. We used the same definitions of

the postacute phase for never-infected patients to maintain congruent timing between the infected and uninfected groups.

### Data collection

We collected ICD-9-CM and ICD-10-CM diagnosis codes entered into the EHR and grouped them into unique clinical phenotypes (phecodes) as commonly defined for PheWAS analyses.<sup>18,20,32</sup> We also collected vital sign values and results of common clinical laboratory tests obtained both prior to SARS-CoV-2 testing and after the postacute phase. We censored data collection at January 1, 2022 so that the last patients tested in November 1, 2021 had at least 30 days of follow-up in the postacute period. In keeping with usual practice for PheWAS, we defined "phenotype cases" as patients with a corresponding phecode on at least 2 separate days, and "phenotype controls" as patients with zero codes.<sup>18,21</sup> The native PheWAS feature engineering algorithm was used to automatically generate diagnosis-specific exclusion criteria for each phecode to mitigate contamination of the control group with potential cases. As an example: for an analysis of atrial fibrillation (phecode 427.21), patients who lack an atrial fibrillation diagnosis code but have potentially related diagnoses, signs, or symptoms of heart-rhythm disorders such as atrial flutter (phecode 427.22), palpitations (phecode 427.9), or cardiac pacemaker in situ (phecode 427.91) are excluded from the analysis rather than considered "phenotype controls".23,32

### Temporal-informed phenotype feature engineering

In assessing medical conditions arising after a temporal event, a naive phenotyping approach would be to use all diagnosis codes occurring after the event of interest. However, many medical diagnoses are chronic conditions for which patients receive repeated care. The naive phenotyping approach may not adequately distinguish new diagnoses from ongoing care for chronic diagnoses. To address this misclassification problem, we developed a temporalinformed phenotyping approach which separates each patient's medical phenome into 2 datasets based on occurrence of the diagnosis code relative to the event of interest (in this study, transition to the postacute phase, Figure 1). We applied the PheWAS feature engineering method to the pre-event and postevent diagnosis code sets separately, and then recombined them using Boolean logic to generate the temporal-informed phenotypes. In the final phenotype set, cases were patients with the phecode in postevent data and absent in pre-event data, while controls were patients where the phecode was absent in both sets. Patients who had an exclusion in either dataset or were a case in the pre-event data were converted to exclusions in the final temporal-informed phenotype dataset (Supplementary Table S1 and Appendix).

## Statistical analyses and phenome-wide association testing

To assess the effects of our temporal-informed phenotyping on classifying PheWAS phenotypes, we compared case and control counts under the temporal-informed phenotyping approach to case and control counts under the naive approach. For each phecode, we calculated the case and control retention proportion  $p_{\text{retention}}$  as:

$$p_{\text{retention}} = \frac{\eta_{\text{temporal-informed}}}{\eta_{\text{naive}}} \tag{1}$$

Where  $n_{\text{temporal-informed}}$  is the phenotype case or control counts using temporal-informed phenotyping and  $n_{\text{naive}}$  is the phenotype



Phecodes before temporal event Patient Code Date ?Post 401.1 2016-04 N 2 492 ph 12,22 ph 21,2 ph 218 00 2019-02 496.1 N 401.1 2020-06 N 0 2 0 2 1 2 0 New post-acute phenotypes: 2020-11 512.8 N 480.2 2020-11 N 512.8 2020-11 Ν 27,21,802, 961 218 001 2020-11 480.2 N Phecodes after temporal event 5128 401. 0

**Figure 1.** Graphical timeline of data collection from electronic health record and phenotype encoding schematic. Graphical timeline of index SARS-CoV-2 test, recovery, and phenotype case and control definitions for (A) patients who were not hospitalized or (B) were hospitalized around time of index SARS-CoV-2 test. Index date was defined as date of either first positive SARS-CoV-2 polymerase chain reaction (PCR) or first negative test for never-infected patients. Recovery date was defined as either (A) 30 days after the index SARS-CoV-2 test in nonhospitalized patients or (B) 30 days after hospital discharge in hospitalized patients. (C) Schematic of temporal-informed phenotype feature engineering. The source EHR database was queried for diagnostic billing codes and the dataset was separated based on occurrence of codes before or after the temporal event (recovery date). Phecode feature engineering was applied to both "pre-event" and "postvent" datasets separately, then recombined to generate the final temporal-informed phenotypes. In this illustration, the patient is a temporal-informed case for phenotypes 359.2 and 427.21 (denoted as "T") as they had the corresponding diagnosis codes entered into the medical record on at least 2 separate dates after the temporal event, and did not have the diagnosis codes on a visit either before SARS-CoV-2 testing or during the acute phase. The patient is a control to for all phenotypes where they had zero codes in both the pre- and postevent datasets (eg, 204, 1001, and others; denoted as "F"). If the patient had a diagnosis-specific exclusion for a phecode in either dataset, the patient was excluded for that phecode in the temporal-informed phenotypes (Supplementary Table S1 and Appendix).

case or control count under the naive approach. We compared case retention and control retention among phecode chapters (18 separate organ systems or categories based on ICD-9 chapters) using the nonparametric Mann-Whitney U test. Tests of individual proportions were performed using the chi-squared test.

In our analyses of temporal-informed phenotypes, the exposures of interest were (1) COVID-19 survivorship among all patients in the cohort, and (2) survivorship of severe COVID-19 (defined as admission to the hospital requiring supplemental oxygen) among SARS-CoV-2 positive patients.<sup>33–35</sup> We performed PheWAS using

logistic regression to model the log-odds of developing each temporal-informed phenotype in the postacute period given the presence or absence of the exposure of interest, adjusting for demographic and comorbidity covariates as:

logit 
$$p(Y_i = 1 | \text{Exposure, Covariates})$$
  
=  $\beta_0 + \beta_{\text{EXPOSURE}} \times \text{Exposure} + \beta_{\text{COVAR}} \times \text{Covariates}$  (2)

where  $i=\{1, ..., n\}$  phecodes with at least 10 phenotype cases in the cohort.<sup>20,32</sup> For vital signs and clinical laboratory tests, we modeled the change in value from pretesting to the postacute period as:

$$\begin{aligned} &([Y_{\text{post-acute}} - Y_{\text{pre-testing}}]| \text{ Exposure, Covariates}) \\ &= \beta_0 + \beta_{\text{EXPOSURE}} \times \text{Exposure} + \beta_{\text{COVAR}} \times \text{Covariates} \end{aligned} (3)$$

where Y<sub>pre-testing</sub> is the median value from all outpatient measurements obtained within 180 days prior to SARS-CoV-2 testing and Y<sub>postacute</sub> is the median value from all outpatient measurements within 365 days after entering the postacute phase. Comorbidities were ascertained using a phecode-based mapping of the Charlson comorbidities (Supplementary Table S2 and Appendix).<sup>36</sup> Secondary analyses were performed on demographic subgroups (stratified by sex and race), and timing of the new diagnoses (before or after 60 days following recovery). Sensitivity analyses were also performed to assess effects of our model assumptions for loss to follow up, length of EHR history, the threshold for "phenotype case", and bias from differences in baseline clinical variables. Differences in phenotype outcomes are reported as adjusted odds ratios (ORs), 95% confidence intervals (CIs) using Wald's method, and associated P values. Differences in continuous outcomes are reported as groupwise adjusted mean difference and 95% CIs. Statistical significance was set using a Bonferroni correction for number of independent tests. Additional details on model covariates and sensitivity analyses are provided in Supplementary Appendix. All analyses were performed using the R package PheWAS.<sup>21</sup>

#### Ethics, reporting statements, and role of funders

This study was conducted with approval from the Vanderbilt University Institutional Review Board (study approval numbers: #200512, #200731) under a waiver of informed consent. Patients were not directly contacted for the study. All patient data were abstracted from the EHR registry and maintained in accordance with institutional and federal privacy laws. The study was reported according to the Reporting of studies Conducted using Observational Routinely-collected health Data (RECORD) and Structured Template and Reporting Tool for Real World Evidence (STaRT-RWE).<sup>37,38</sup> The funding institutions and agencies had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; nor in the decision to submit the manuscript for publication.

### RESULTS

#### Study population

We identified 195 860 adults tested for SARS-CoV-2 at VUMC during the study period. We excluded 9755 who had missing data on birth date or sex, reported a history of COVID-19 infection but never had a positive SARS-CoV-2 RT–PCR test at VUMC, or died before reaching the postacute phase, leaving 186105 adults in the primary cohort (Supplementary Figure S1 and Appendix). Among these, 30088 (16.2%) tested positive. Median age at initial test was 46 years (IQR 32–61), 57.1% were female, and 4677 were pregnant around the time of SARS-CoV-2 testing. We followed patients in the EHR registry for a median 412 days (IQR 274–528) resulting in 199 407 person-years of observation after testing, with 113 198 (60.8%) having at least 1 follow-up visit in our system after recovery. Additional demographic and clinical characteristics of the study population are shown in Table 1 and Supplementary Table S3 (Supplementary Appendix).

### Temporal-informed phenotyping of postacute period

At the data censoring date and after mapping for diagnosis-specific exclusions, 1347 phecodes were well-represented in the study population with >10 phenotype cases under the naive approach. Most diagnosis codes entered in the EHR after recovery pertained to conditions that were also present before the postacute phase. After applying our temporal-informed phenotyping to identify new diagnoses following recovery, the median case retention per phecode was 36.1% (IQR: 23.6%-51.5%) and 902 (70.0%) phecodes remained well-represented in the cohort. Figure 2 illustrates the distribution of case retention by phecode chapter. Phenotypes in the musculoskeletal, dermatologic, and symptoms chapters were most likely to represent new diagnoses in the postacute period, whereas neoplasms were least likely to represent new diagnoses (Supplementary Table S4 and Appendix). Control retention under temporalinformed phenotyping was high (per-phecode median 91.7%; IQR: 87.9%-95.1%; Supplementary Figure S2 and Appendix), although several respiratory phenotypes (eg, shortness of breath, cough, abnormal chest sounds) had lower control retention as these phecodes were very common around the date of testing for SARS-CoV-2 (Supplementary Figure S3 and Appendix). Patients with  $\geq 6$  months of care at VUMC prior to testing were more likely to have at least 1 new diagnoses in the EHR under temporal-informed phenotyping compared to patients with no substantial care history at our institution (39.1% vs 30.8%,  $P < 1.0 \times 10^{-15}$ ), indicating the temporalinformed phenotypes were not driven by patients with short EHR histories.

### Temporal-informed PheWAS identifies new postacute phenotypes in COVID-19 survivors

Temporal-informed PheWAS demonstrated that survivors of COVID-19 had increased odds for developing 43 distinct phenotypes during outpatient follow-up (Figure 3, Table 2). Phenotypes that reached phenome-wide significance encompassed 12 disease categories, with circulatory (7 phenotypes), pregnancy complications (7 phenotypes), respiratory (5 phenotypes), and neurological (4 phenotypes) chapters having the greatest number of associated phenotypes. In contrast, the naive approach identified 219 phenotypes reaching Bonferroni-adjusted significance (Supplementary Table S5, Figure S4, and Appendix). Although the top associations by temporal-informed phenotyping were also observed in the naive analysis, discerning the clinical relevance of any association in the naive analyses was difficult due to the high number of associations pertaining to phenotypes of acute illness (eg, altered mental status, hypotension, respiratory failure, sepsis, septicemia, acidosis) or chronic medical conditions know to be risk factors for COVID-19 (eg, chronic kidney disease, essential hypertension, hyperlipidemia).<sup>24,25</sup> Only 28 phenotypes identified by temporal-informed phenotyping were found among the top 100 diagnoses identified by naive phenotyping. Additionally, associations with phenotypes for memory loss and postinflammatory pulmonary fibrosis were only

| Table 1. C | haracteristics | of registry | v cohort |
|------------|----------------|-------------|----------|
|------------|----------------|-------------|----------|

| Characteristic   | Never infected | SARS-CoV-2 positive | Overall        |
|--|----------------|---------------------|----------------|
| Number in cohort   | 156017         | 30 088              | 186 105        |
| Age, median [IQR], years   | 46 [32, 62]    | 43 [30, 57]         | 46 [32, 62]    |
| Sex (%)  |                |                     |                |
| Female   | 89547 (57.4)   | 16718 (55.6)        | 106 265 (57.1) |
| Male   | 66 470 (42.6)  | 13 370 (44.4)       | 79 840 (42.9)  |
| Race (%)   |                |                     |                |
| Black  | 17106 (11.0)   | 3274 (10.9)         | 20380 (11.0)   |
| Other race or multiracial  | 7901 (5.1)     | 1714 (5.7)          | 9615 (5.2)     |
| Unknown/not reported   | 18 996 (12.2)  | 5924 (19.7)         | 24 920 (13.4)  |
| White  | 112 014 (71.8) | 19176 (63.7)        | 131 190 (70.5) |
| Ethnicity (%)  |                |                     |                |
| Hispanic/Latino  | 4759 (3.1)     | 1217 (4.0)          | 5976 (3.2)     |
| Non-Hispanic/Non-Latino  | 128 049 (82.1) | 21 936 (72.9)       | 149 985 (80.6) |
| Unknown/not reported   | 23 209 (14.9)  | 6935 (23.0)         | 30 144 (16.2)  |
| Received care at VUMC prior to SARS-CoV-2 test (%) <sup>a</sup>        | 106 839 (68.5) | 20 860 (69.3)       | 127 699 (68.6) |
| SARS-CoV-2 testing indication (%)                                      |                |                     |                |
| Asymptomatic screening <sup>b</sup>                                    | 89727 (57.5)   | 6095 (20.3)         | 95 822 (51.5)  |
| Symptomatic testing  | 66 290 (42.5)  | 23 993 (79.7)       | 90283 (48.5)   |
| EHR observation time   |                |                     |                |
| After SARS-CoV-2 test, median [IQR], days                              | 420 [267, 533] | 392 [317, 459]      | 412 [274, 528] |
| After recovery, median [IQR], days                                     | 378 [215, 495] | 361 [285, 427]      | 374 [224, 489] |
| Hospitalization associated with SARS-CoV-2 test (%) <sup>c</sup>       | 43 146 (27.7)  | 3393 (11.3)         | 46 539 (25.0)  |
| Severe COVID-19 (%) <sup>d</sup>                                       | -              | 2358 (7.8)          | -              |
| Follow-up visit type (%) <sup>e</sup>                                  |                |                     |                |
| Any follow-up visit  | 96 615 (61.9)  | 16 583 (55.1)       | 113 198 (60.8) |
| Office visit   | 89 559 (57.4)  | 15 593 (51.8)       | 105 152 (56.5) |
| Laboratory/anticoagulation visit                                       | 42 646 (27.3)  | 7216 (24.0)         | 49 862 (26.8)  |
| Inpatient surgery or procedure   | 27213 (17.4)   | 4091 (13.6)         | 31 304 (16.8)  |
| Telemedicine visit   | 16617 (10.7)   | 2478 (8.2)          | 19095 (10.3)   |
| Outpatient surgery or procedure  | 19725 (12.6)   | 2728 (9.1)          | 22 453 (12.1)  |
| Allied health practitioner visit <sup>f</sup>                          | 14 821 (9.5)   | 2580 (8.6)          | 17 401 (9.4)   |
| Infusion/radiation care  | 4043 (2.6)     | 542 (1.8)           | 4585 (2.5)     |
| Maternity care   | 3899 (2.5)     | 482 (1.6)           | 4381 (2.4)     |
| Outpatient observation in Emergency Department                         | 2403 (1.5)     | 422 (1.4)           | 2825 (1.5)     |
| Inpatient medical admission  | 1197 (0.8)     | 1239 (4.1)          | 2436 (1.3)     |
| Time from SARS-CoV-2 test to first follow-up visit, median [IQR], days | 66 [44, 139]   | 86 [48, 181]        | 69 [44, 145]   |
| Pregnant during study observation period (%)                           | 7565 (4.8)     | 609 (2.0)           | 8174 (4.4)     |
| Pregnant around time of SARS-CoV-2 test (%)                            | 4488 (2.9)     | 189 (0.6)           | 4677 (2.5)     |
| Died during postacute phase (%)  | 1535 (1.0)     | 158 (0.5)           | 1693 (0.9)     |

<sup>a</sup>Defined as having at least 2 visits at VUMC prior to SARS-CoV-2 test separated by at least 180 days.

<sup>b</sup>Reasons for asymptomatic screening included: asymptomatic admission to the hospital for another diagnosis, preprocedural or presurgical screening, known SARS-CoV-2 exposure, prereceipt of immunosupressive or antineoplastic therapy, pretransplant evaluation, or requirement for placement in postacute care or long-term nursing care.

<sup>c</sup>SARS-CoV-2 test performed within 15 days prior to a hospital admission or during a hospital admission.

<sup>d</sup>Severe COVID-19: admitted to hospital and received supplemental oxygen.

<sup>e</sup>Some patients had more than 1 visit type.

<sup>f</sup>Allied health practitioner visits included visits coded as being nurse-only visits, dietitian or nutritionist visits, and clinical support or educational visits.

seen using temporal-informed analyses. Strength of associations (based on P value) was higher under the naive approach due to higher phenotype case counts, but adjusted odds ratios were similar under both approaches (Supplementary Figure S5 and Appendix).

Figure 4 illustrates subgroup analyses based on demographics and timing of the postacute diagnoses. New postacute phenotypes related to gastrointestinal complications of surgery, obesity, abnormal glucose control, pregnancy complications, and anemia were common to both White, Non-Hispanic and Black, Non-Hispanic subgroups, while new chronic fatigue syndrome was unique among Black, Non-Hispanic COVID-19 survivors. Phenotypic associations were evenly distributed among males and females, although males had more phenotypes related to new abnormal pulmonary function while females had more new cardiovascular phenotypes. Many of the temporal-informed diagnoses were initially made late (>60 days) into the postacute period, however, 14 phenotypes presented earlier during the first 60 days after recovery. Subgroup Phe-WAS results are available in the Supplementary Appendix (Supplementary Tables S6–S8). Our findings were also robust to sensitivity analyses. Most phenotypic associations were replicated when using: (1) patients with  $\geq$ 1 follow-up visit in our system after recovery, (2) patients with an EHR length  $\geq$ 6 months prior to testing, (3) using a less stringent phenotype case threshold, and (4) a propensity-matched cohort which matched 3 never-infected



Figure 2. Phecode case retention by temporal-informed phenotyping. Histograms of phenotype case retention per PheWAS code (phecode) using temporalinformed phenotyping. Individual histograms indicate each chapter within the phecode hierarchy.<sup>32</sup> Number of phecodes per chapter are shown on x axis, case retention per phecode is shown on y axis. Labels indicate number of phenotypes with ≥10 cases and median [interguartile range] of the per-phecode case retention in each chapter.





Figure 3. Temporal-informed phenome scan of postacute COVID-19. PheWAS plot of new postacute phenotypes identified by temporal-informed phenotyping for COVID-19 survivors vs never-infected patients as the referent group (n=186105, phenotypes available for testing=902). The x axis represents phecodes grouped by chapter within the phecode hierarchy.<sup>32</sup> The y axis represents the negative log-transformed P values obtained using logistic regression after adjusting for age, sex, race, ethnicity, length of EHR observation after recovery, indication for testing, and medical comorbidities prior to testing. Upward triangles represent phenotypes with odds ratio >1.0 for COVID-19 survivors and downward triangles represent phenotypes with odds ratio <1.0. Horizontal red line indicates the phenome-wide significance P value significance using a Bonferroni correction ( $P=5.54 \times 10^{-5}$ ).

| Table 2 | <ol><li>Summary</li></ol> | of temporal-informed | PheWAS in postacute COVID-19 |
|---------|---------------------------|----------------------|------------------------------|
|---------|---------------------------|----------------------|------------------------------|

| Phecode <sup>a</sup> | Description  | Odds ratio | 95% CI        | P value                | No. cases | No. controls |
|----------------------|--|------------|---------------|------------------------|-----------|--------------|
| 512.9                | Other dyspnea  | 3.04       | (2.52-3.68)   | $5.54 \times 10^{-31}$ | 811       | 93 936       |
| 512.7                | Shortness of breath  | 2.49       | (2.09-2.96)   | $2.73\times10^{-24}$   | 988       | 93 936       |
| 569.2                | Gastrointestinal complications of surgery  | 6.54       | (4.38-9.75)   | $3.32\times10^{-20}$   | 116       | 166 825      |
| 278.11               | Morbid obesity   | 2.35       | (1.93 - 2.86) | $1.49\times10^{-17}$   | 624       | 154 861      |
| 649                  | Conditions of the mother complicating pregnancy, childbirth, or the puerperium     | 3.85       | (2.76–5.38)   | $2.66 \times 10^{-15}$ | 169       | 95 518       |
| 509.1                | Respiratory failure  | 7.09       | (4.35-11.6)   | $3.89\times10^{-15}$   | 101       | 157792       |
| 136                  | Other infectious and parasitic diseases  | 9.20       | (5.14 - 16.5) | $8.43 	imes 10^{-14}$  | 54        | 181 966      |
| 359.2                | Myopathy   | 20.5       | (9.24-45.4)   | $9.99	imes10^{-14}$    | 33        | 174 863      |
| 427.9                | Palpitations   | 2.14       | (1.75 - 2.61) | $1.40 \times 10^{-13}$ | 628       | 137086       |
| 418.1                | Precordial pain  | 3.21       | (2.35 - 4.39) | $2.71 \times 10^{-13}$ | 278       | 138 537      |
| 418                  | Nonspecific chest pain   | 2.01       | (1.66 - 2.43) | $1.19 \times 10^{-12}$ | 746       | 138 537      |
| 646                  | Other complications of pregnancy NEC   | 5.91       | (3.55-9.83)   | $7.89 	imes 10^{-12}$  | 69        | 99 542       |
| 585.1                | Acute renal failure  | 3.15       | (2.26-4.38)   | $9.49 \times 10^{-12}$ | 309       | 157475       |
| 427.21               | Atrial fibrillation  | 2.62       | (1.98 - 3.48) | $2.56 \times 10^{-11}$ | 443       | 137086       |
| 1010                 | Other tests  | 3.17       | (2.19 - 4.60) | $1.21 \times 10^{-9}$  | 155       | 169 347      |
| 644                  | Anemia during pregnancy  | 7.43       | (3.74–14.7)   | $9.91 	imes 10^{-9}$   | 38        | 101761       |
| 1010.6               | Reproductive and maternal health services  | 1.75       | (1.44 - 2.12) | $9.99 \times 10^{-9}$  | 591       | 172 787      |
| 638                  | Other high-risk pregnancy  | 2.19       | (1.67 - 2.86) | $1.34 \times 10^{-8}$  | 312       | 178757       |
| 350.1                | Abnormal involuntary movements   | 2.53       | (1.83-3.48)   | $1.46 \times 10^{-8}$  | 256       | 170487       |
| 671                  | Venous/cerebrovascular complications &<br>embolism in pregnancy and the puerperium | 21.5       | (7.25–63.7)   | $3.10\times10^{-8}$    | 17        | 103 586      |
| 649.1                | Diabetes or abnormal glucose tolerance<br>complicating pregnancy                   | 4.73       | (2.68-8.34)   | $7.77 	imes 10^{-8}$   | 57        | 95 518       |
| 782.3                | Edema  | 2.08       | (1.59 - 2.73) | $8.34 \times 10^{-8}$  | 424       | 168 184      |
| 452.2                | Deep vein thrombosis [DVT]   | 3.23       | (2.09 - 4.99) | $1.26 \times 10^{-7}$  | 138       | 162 711      |
| 285                  | Other anemias  | 2.05       | (1.56 - 2.68) | $1.85 \times 10^{-7}$  | 473       | 146 505      |
| 781                  | Symptoms involving nervous and musculoskeletal systems                             | 3.07       | (2.01 - 4.68) | $1.88 \times 10^{-7}$  | 151       | 180 070      |
| 1013                 | Asphyxia and hypoxemia   | 5.51       | (2.89 - 10.5) | $2.07 \times 10^{-7}$  | 52        | 175 439      |
| 292                  | Neurological deficits  | 2.39       | (1.72 - 3.32) | $2.31 \times 10^{-7}$  | 242       | 162234       |
| 599.2                | Retention of urine   | 2.93       | (1.95 - 4.41) | $2.45 \times 10^{-7}$  | 184       | 149134       |
| 514                  | Abnormal findings examination of lungs   | 2.29       | (1.64 - 3.20) | $9.86 \times 10^{-7}$  | 350       | 163 569      |
| 587                  | Kidney replaced by transplant  | 32.4       | (7.99–131.)   | $1.12 	imes 10^{-6}$   | 22        | 157475       |
| 401.1                | Essential hypertension   | 1.42       | (1.23 - 1.64) | $2.17 \times 10^{-6}$  | 1698      | 122 907      |
| 278.1                | Obesity  | 1.70       | (1.36 - 2.12) | $2.33 \times 10^{-6}$  | 566       | 154 861      |
| 327.32               | Obstructive sleep apnea  | 1.69       | (1.36 - 2.11) | $2.51 \times 10^{-6}$  | 669       | 150608       |
| 420.1                | Myocarditis  | 10.0       | (3.83-26.2)   | $2.67 	imes 10^{-6}$   | 20        | 177 003      |
| 250.2                | Type 2 diabetes  | 1.77       | (1.38 - 2.25) | $4.75 \times 10^{-6}$  | 572       | 148 033      |
| 348.8                | Encephalopathy, not elsewhere classified   | 6.23       | (2.76 - 14.1) | $1.10 \times 10^{-5}$  | 32        | 160 519      |
| 653                  | Problems associated with amniotic cavity and membranes                             | 8.04       | (3.15 - 20.5) | $1.32 \times 10^{-5}$  | 19        | 97 532       |
| 502                  | Postinflammatory pulmonary fibrosis  | 5.47       | (2.49-12.0)   | $2.26 \times 10^{-5}$  | 40        | 157 792      |
| 284.1                | Pancytopenia   | 3.25       | (1.87 - 5.66) | $2.96 \times 10^{-5}$  | 94        | 146 50 5     |
| 38.3                 | Bacteremia   | 8.03       | (2.95 - 21.9) | $4.54 \times 10^{-5}$  | 19        | 166 009      |
| 292.3                | Memory loss  | 1.99       | (1.43 - 2.77) | $5.09 \times 10^{-5}$  | 287       | 162 234      |
| 285.21               | Anemia in chronic kidney disease   | 3.10       | (1.79 - 5.36) | $5.22 \times 10^{-5}$  | 104       | 146 505      |
| 54                   | Herpes simplex   | 3.66       | (1.95–6.85)   | $5.22 \times 10^{-5}$  | 54        | 149 827      |

<sup>a</sup>A list of ICD-10-CM codes included in each phecode is available at: https://phewascatalog.org/phecodes\_icd10cm.<sup>32</sup>

controls to each COVID-19 survivor (Supplementary Tables S9–S12, Figure S6, and Appendix).

## Validation of select temporal-informed phenotypic associations in the EHR

# Postacute clinical phenotypes associated with severe COVID-19

Among the 30 088 COVID-19 survivors, those with severe disease (n = 2358, 7.8%) had substantially higher odds of developing multiple respiratory and cardiovascular phenotypes with the top phenotypic associations being new respiratory failure, hypertension, and abnormalities on lung examination. Additional postacute phenotypes associated with severe SARS-CoV-2 survivors are shown in Table 3.

As several phenotypes identified in our temporal-informed analyses are ostensibly chronic conditions, we selected a subset of the temporal-informed phenotypic associations that had structured EHR data readily available via an associated vital sign or laboratory test (eg, body mass index [BMI] for obesity, blood pressure for hypertension, hemoglobin level for anemia). We then assessed if SARS-CoV-2 infection was also associated with changes in the vital sign or lab value from pretesting to postacute periods among patients with normal values prior to SARS-CoV-2 testing. As an example, among the 37 838 patients who were not obese (BMI<30) and had both pretesting and postacute BMI recorded in the EHR,



**Figure 4.** Temporal-informed phenome scans of postacute COVID-19 by demographic subgroups and timing of postacute diagnoses. PheWAS results for new postacute phenotypes identified by temporal-informed phenotyping among all adults tested for SARS-CoV-2 (left column, *n*=186 105), stratified by demographic subgroups (male sex, female sex, White non-Hispanic, Black non-Hispanic), and stratified by onset of the new diagnoses ("Early" diagnoses: within 60 days after recovery; "Late diagnoses": later than 60 days after recovery). The *y* axis represents phecodes group by chapter within the phecode hierarchy.<sup>32</sup> Cell color intensity illustrates adjusted *P* values by logistic regression. Text in cells show point estimates for effect odds ratios. Text in bold/italic and with a "\*" indicate PheWAS associations that were statistically significant using a Bonferroni correction. Results for phecodes with a statistically significant association in any subgroup analysis are displayed. Empty cells indicate analyses with insufficient phenotype cases (<10) to perform the analysis for that phenotype in the subgroup.

BMI increased by 0.21 (±1.4) kg/m<sup>2</sup> in COVID-19 survivors compared to 0.01 (±1.6) kg/m<sup>2</sup> in never infected patients (adjusted mean difference: 0.16; 95% CI: 0.12–0.21;  $P = 2.00 \times 10^{-13}$ ). COVID-19 survivors also tended to have more substantial changes in heart rate and white blood cell (WBC) count, compared to never infected patients (Table 4, Figure 5). Small changes were also noted in systolic blood pressure, respiratory rate, and estimated glomerular filtration rate although difference for these values were smaller than the minimum unit of measure for these variables. Although these differences between groups were small (~1%–2% of typical baseline values) the vital sign changes aligned with the direction of the associated clinical phenotype. We did not observe substantial differences between groups in labs for hemoglobin, platelets, serum potassium, hemoglobin A1C, or serum glucose (Supplementary Figure S7).

### DISCUSSION

### **Principal findings**

Temporal-informed phenotyping identified a range of new diagnoses among COVID-19 survivors affecting multiple organ systems. Compared with the naive approach of using all diagnosis codes occurring after the event, temporal-informed phenotyping was less influenced by phenotypes related to acute illness or previous medical history. While the underlying mechanisms of these postacute manifestations of COVID-19 remain uncertain, they may reflect late effects of inflammation or vascular injury and the sequelae of severe illness among hospitalized survivors.<sup>2,3</sup> Several postacute phenotype associations were also supported by changes in vital signs values from pretesting to the postacute period. Although the observed differences in

| Phecode <sup>a</sup> | Description                               | Odds ratio | 95% CI        | P value                | No. cases | No. controls |
|----------------------|---|------------|---------------|------------------------|-----------|--------------|
| 509.1                | Respiratory failure                       | 225        | (62.7-808)    | $1.02 \times 10^{-15}$ | 31        | 25 204       |
| 401.1                | Essential hypertension                    | 3.71       | (2.55 - 5.39) | $6.72 	imes 10^{-12}$  | 243       | 21 801       |
| 514                  | Abnormal findings examination of lungs    | 10.7       | (4.93 - 23.4) | $2.30 	imes 10^{-9}$   | 42        | 25 588       |
| 504                  | Other interstitial lung disease           | 142        | (24.7 - 818)  | $1.55 	imes 10^{-6}$   | 10        | 25 204       |
| 507                  | Pleurisy or pleural effusion              | 28.5       | (7.92 - 103)  | $1.76 	imes 10^{-6}$   | 14        | 25 204       |
| 427.21               | Atrial fibrillation                       | 4.26       | (2.38 - 7.63) | $6.11 	imes 10^{-6}$   | 68        | 23 263       |
| 798                  | Malaise and fatigue                       | 2.91       | (1.87 - 4.52) | $1.95 	imes 10^{-6}$   | 162       | 19803        |
| 276.13               | Hyperpotassemia                           | 12.0       | (4.15 - 34.7) | $4.45 \times 10^{-6}$  | 24        | 24 600       |
| 502                  | Postinflammatory pulmonary fibrosis       | 47.5       | (8.11 - 278)  | $1.86 	imes 10^{-5}$   | 10        | 25 204       |
| 250.22               | Type 2 diabetes with renal manifestations | 45.7       | (7.79-268)    | $2.30 \times 10^{-5}$  | 32        | 24 22 1      |
| 1013                 | Asphyxia and hypoxia                      | 11.8       | (3.45-40.5)   | $8.59\times10^{-5}$    | 15        | 26963        |

Table 3. Summary temporal-informed PheWAS for severe COVID-19 survivors

<sup>a</sup>A list of ICD-10-CM codes included in each phecode is available at: https://phewascatalog.org/phecodes\_icd10cm.<sup>28</sup>

Table 4. Changes in outpatient vital signs or laboratory studies for select temporal-informed phenotypes

|                                  |                                       |  | Change in lab or vital sign from pretesting to postacute <sup>a</sup> |  |  |                        |  |
|----------------------------------|---------------------------------------|--|---|--|--|------------------------|--|
| Postacute phenotype(s)           | Vital sign/lab (units)                | Subgroup <sup>b</sup>                                      | Never<br>infected<br>mean (SD) <sup>c</sup>                           | SARS-CoV-2<br>positive<br>mean (SD) <sup>c</sup> | Adjusted<br>mean difference<br>(95% CI) <sup>d</sup> | P value <sup>e</sup>   |  |
| Obesity morbid obesity           | BMI (kg/m <sup>2</sup> )              | Nonobese ( $n = 37838$ )                                   | 0.01 (1.6)  | 0.21 (1.4)                                       | 0.16 (0.12-0.21)                                     | $2.00 \times 10^{-13}$ |  |
| Essential hypertension           | Systolic blood pressure<br>(mmHg)     | Normal blood pressure or prehypertension ( $n = 28912$ )   | -0.2 (13.0)   | 0.4 (12.0)                                       | 0.5 (0.1–1.0)  | 0.015                  |  |
| Palpitations atrial fibrillation | Heart rate (bpm)                      | Normal heart rate, no arrhythmia diagnoses ( $n = 31364$ ) | 0.1 (12)  | 1.1 (12)   | 1.0 (0.6–1.3)  | $3.81 \times 10^{-7}$  |  |
| Respiratory failure              | Respiratory rate (min <sup>-1</sup> ) | Normal respiratory rate, no lung disorders ( $n = 19764$ ) | -0.1 (2.2)  | 0.1 (2.3)  | 0.2 (0.1–0.3)  | $3.89 \times 10^{-5}$  |  |
| Pancytopenia                     | White blood cell $(10^3/\mu L)$       | Normal WBC, no hematologic disorders ( $n = 12346$ )       | 0.0 (1.9)   | 0.2 (1.9)  | 0.2 (0.1–0.3)  | $5.72 \times 10^{-6}$  |  |
| Acute renal failure              | Estimated GFR (mL/min)                | No renal failure or kidney transplant ( $n = 14305$ )      | 0 (13)  | 1 (12)   | 1 (0–1)  | 0.008                  |  |

<sup>a</sup>Among patients with the vital sign or lab value recorded both within 180 days prior to SARS-CoV-2 testing and within 365 days following recovery. <sup>b</sup>Prior to SARS-CoV-2 testing.

<sup>c</sup>Calculated for each patient as Y<sub>postacute</sub>-Y<sub>pretesting</sub>, where Y is the vital sign value or laboratory value. Negative values indicate a decrease in the vital sign/lab value from the pretesting to the postacute phases, and positive values indicate an increase in the vital sign/lab value.

<sup>d</sup>Mean difference and 95% CI between groups adjusted for age, sex, race, ethnicity, and time between pre-SARS-CoV-2 test value and postacute value.

<sup>e</sup>Adjusted P values using linear regression.

vital signs attributable to COVID-19 survivorship were typically small, they still may have substantial long-term implications on a population-level scale. A meta-analysis of 46 prospective cohort studies found an increase in resting heart rate by 10 bpm was associated with a 9% increase in all-cause mortality and 8% increase in cardiovascular mortality.<sup>39</sup> Thus, given the unprecedented scale of the COVID-19 pandemic, even the modest changes in these parameters observed in our study may portend profound long-term implications on public health.

### Comparison with other studies

Our findings align with other reports on long-term consequences of COVID-19.<sup>2,4–14</sup> Ayoubkhani et al<sup>5</sup> found increased rates of death, hospital readmission, diabetes, cardiovascular events, and chronic kidney and liver disease among COVID-19 survivors using hospital administrative data from the United Kingdom. Daugherty et al<sup>9</sup> observed increased risk of multiple new cardiovascular, respiratory, hematologic, and neurologic diagnoses among COVID-19 survivors using insurance administrative claims data from the United States.

Al-Aly et al<sup>11</sup> reported excess burden of respiratory, nervous system, metabolic, mental health, cardiovascular, and gastrointestinal disorders among COVID-19 survivors receiving care through the US Veterans Health Administration. Similar to our findings of increased myopathy, neurological deficits, encephalopathy, and memory loss, Taquet et al<sup>12</sup> found that COVID-19 survivors had elevated risk for developing multiple neurologic and psychiatric disorders in a multinational EHR dataset. Estiri et al<sup>15</sup> evaluated the temporal evolution postacute COVID-19 phenotypes among patients in a single US academic center using a sequence-based framework MLHO, also observing substantially increased rates of cardiovascular, respiratory, endocrine, and neurologic phenotypes among COVID-19 survivors.

### Strengths

Our temporal-informed phenotyping framework naturally augments classical PheWAS, allowing us to identify potential postacute sequelae of COVID-19 and replicate several associations identified in other studies. The distribution of case retention under



Figure 5. Changes in select vital signs and laboratory test values in postacute COVID-19. COVID-19 survivors had more substantial changes in (A) body mass index, (B) heart rate, (C) respiratory rate, and (D) white blood cell count from pretesting to postrecovery compared with never-infected controls. For each patient we used the median pretesting values obtained during outpatient visits occurring within 180 days before the index SARS-CoV-2 test, and the median postrecovery values obtained during outpatient visits occurring within 180 days before the index SARS-CoV-2 test, and the median postrecovery values obtained during outpatient visits occurring within 365 days after recovery from illness. Dots represent mean values in each exposure group, bars represent standard errors of the mean. Labels represent the adjusted mean difference between COVID-19 survivors and never-infected controls, number of patients with data for each analysis, and *P* values obtained by multiple linear regression.

temporal-informed phenotyping for various phecodes aligned with our clinical experience. Phecode chapters with more short-lived conditions like symptoms, musculoskeletal, and dermatologic diagnoses had the highest case retention, while chapters with mostly chronic diagnoses such as neoplasms and congenital abnormalities had the lowest case retention. Although other phenotyping approaches incorporating temporal information have been reported, many rely upon complex machine learning methods that require specialized computational expertise, and/or focus on predicting a specific disease processes or future outcome.<sup>15,22,40–43</sup> In contrast, our method uses PheWAS in a hypothesis-free approach to broadly scan the entire medical phenome for new diagnoses occurring at any time after a discrete medical event. The PheWAS framework has several advantages over other high-throughput phenotyping approaches. It reduces the phenome feature space size from ~68000 ICD-10-CM codes to ~1800 clinically relevant phecodes, improving computational efficiency. The phenotype feature engineering method in the PheWAS software package automatically incorporates diagnosis-specific exclusion criteria to limit contamination of controls with potential cases, providing additional specificity compared to other phenotyping methodologies.<sup>19-21</sup> PheWAS analyses are also more accessible to researchers than more complex machine learning methods.<sup>44</sup> Thus, our temporal-informed phenotyping could be easily adapted to examine the postacute phenotype consequences among survivors other acute medical event such as pneumonia or sepsis.45,46

VUMC is a major provider of primary through quaternary care in the American Mid-South and encompasses a broad patient population seeking SARS-CoV-2 testing. Follow-up rates were relatively high with 113 198 (60.8%) patients having at least 1 follow-up visit in the postacute phase. This study leveraged our longstanding institutional experience with using the EHR for secondary research,<sup>19,30</sup> allowing us to capture deep phenotyping information, such as SARS-CoV-2 testing indication and setting of postacute diagnoses, which may not be well-represented in administrative datasets or cross-institutional research databases.<sup>11,47</sup> We were also able to compare temporal-informed phenotypes between survivors of severe COVID-19 vs survivors of nonsevere COVID-19, and we correlated several temporal-informed phenotypic associations with changes in vital signs or laboratory values from the pretesting to postacute periods.

#### Limitations

As with all observational studies, residual confounding is possible as not all relevant risk factors for COVID-19 are well-represented in the EHR (eg, social interactions, household members, or travel history), but we included a broad set of clinical and EHR covariates in our PheWAS models that are available in many EHRs. We used inhouse SARS-CoV-2 test results to identify COVID-19 cases which may have a higher sensitivity than diagnostic billing codes,<sup>31,48</sup> but not all regional clinics/hospitals share our EHR and some of our "never infected" patients may have tested positive elsewhere. To mitigate risk of misclassifying COVID-19 status we excluded all patients who reported a clinical diagnosis of COVID-19 but did not have a corresponding positive PCR test in our EHR. Additionally, patients in our study may have received postacute care at outside facilities; those diagnoses that may not have been available in our EHR. Given the highly fragmented nature of the US healthcare system, this data fragmentation risk is inherent to any US study using real-world EHR data. Our institution mostly draws patients from the American Mid-South, thus, our findings may not be generalizable to other patient populations, but we anticipate extending this methodology to larger multicenter networks in future work. Although ICD-coded diagnoses are commonly used in EHR cohort studies, they may not fully describe the spectrum of symptoms reported by COVID-19 survivors, and additional analyses examining symptoms and clinical findings extracted from narrative text could reveal additional disease patterns in this population.<sup>43</sup> This study also did not examine differences among survivors of various SARS-CoV-2 variants as variant typing is not routinely performed at our institution. The B.1.1.7-Alpha variant was the dominant strain in Tennessee until early July 2021, with the B.1.617.2-Delta variant remaining dominant through the remainder of the observation period.<sup>49</sup> Additional analyses will be necessary in the future to assess how novel SARS-CoV-2 variants including BA.1-Omicron may influence long-term outcomes among COVID-19 survivors in our region. Finally, our study design can only detect clinical associations between COVID-19 and development of new medical phenotypes; further studies are required to understand the mechanisms underlying these disease associations.

### CONCLUSION

Temporal-informed phenotyping naturally augments the traditional PheWAS framework. Using temporal-informed PheWAS, we found that COVID-19 survivors in our institutional EHR registry had increased risk for a broad range of new medical problems after recovery from acute illness. PheWAS with temporal-informed phenotyping represents a promising approach to study the phenotypic consequences of acute medical conditions like COVID-19 over time, enabling rapid assessment of the entire medical phenome at population-level scales. These findings can assist clinicians in identifying medical problems arising among survivors of acute medical events, allow researchers to efficiently coordinate studies of morbidity trends, and help policymakers plan for the ongoing health consequences of future pandemics.

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### AUTHOR CONTRIBUTIONS

JFP and WQW led development and design of the institutional registry used for the study. VEK and WQW were responsible for study conceptualization and design, and verified accuracy and integrity of the data. VEK acquired the study data, performed the analyses and data visualizations, and wrote the first draft of the manuscript. WQW provided computing resources for execution of the study and supervised the analyses. All authors contributed to interpretation of the results, revised the manuscript critically for intellectual content, and approved the final manuscript.

### SUPPLEMENTARY MATERIAL

Supplementary material is available at *Journal of the American Medical Informatics Association* online.

### **CONFLICT OF INTEREST STATEMENT**

None declared.

### DATA AVAILABILITY

Individual-level data used in this study cannot be made publicly available due to institutional controls on patient health information used in secondary research. Requests for a deidentified dataset with data dictionary may be made to the corresponding author.

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