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## Gender-based effect of absence of gut microbiota on the protective efficacy of *Bifidobacterium longum*-fermented rice bran diet against inflammation-associated colon tumorigenesis

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### Abstract

Dietary rice bran (RB) has shown capacity to influence metabolism by modulation of gut microbiota in individuals at risk for colorectal cancer (CRC), which warranted attention for delineating mechanisms for bi-directional influences and cross-feeding between the host and RB-modified gut microbiota to reduce CRC. Accordingly, in the present study, fermented rice bran (FRB, fermented with a rice bran responsive microbe *Bifidobacterium longum*), and non-fermented rice bran (RB) were fed as 10% w/w (diet) to gut microbiota-intact<sup>sp</sup> or germ-free mice<sup>gf</sup> to investigate comparative efficacy against inflammation-associated azoxymethane /dextran

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Supplementary Data

Supplementary Material and Methods, Figures and Table are available as an addendum

sodium sulfate (AOM/DSS)-induced CRC. Results indicated both microbiota-dependent and independent mechanisms for RB mediated protective efficacy against CRC that was associated with reduced neoplastic lesion size and local-mucosal/systemic inflammation, and restoration of colonic epithelial integrity. Enrichment of beneficial commensals (such as, *Clostridiales*, *Blautia*, *Roseburia*), phenolic metabolites (benzoate and catechol metabolism), and dietary components (ferulic acid-4 sulfate, trigonelline, and salicylate) were correlated with anti-CRC efficacy. Germ-free studies revealed gender-specific physiological variables could differentially impact CRC growth and progression. In the germ-free females, the RB dietary treatment showed a ~72% reduction in the incidence of colonic epithelial erosion when compared to the ~40% reduction in FRB-fed mice<sup>8f</sup>. Ex vivo fermentation of RB did not parallel the localized-protective benefits of gut microbial metabolism by RB in damaged colonic tissues. Findings from this study suggest potential needs for safety considerations of fermented fiber rich foods as dietary strategies against severe inflammation-associated colon tumorigenesis (particularly with severe damage to the colonic epithelium).

### Keywords

colon carcinogenesis; cancer intervention; azoxymethane; germ free mice; rice bran; microbiome; metabolomics; probiotics; fermentation

## 1. INTRODUCTION

Colorectal cancer (CRC) is the 2<sup>nd</sup> leading cause of cancer-related deaths in US <sup>1</sup> and develops as a result of complex interactions between microbiota, dietary components, and gut mucosal immune/ inflammatory mediators <sup>2-4</sup>. Multiple research efforts have associated the differential impact of human gut microbiota and microbiota-associated metabolism changes with CRC <sup>2,3,5-9</sup>. Given the high CRC incidence and mortality statistics, host and environmental factors that affect CRC, alongside novel agents that modulate host and gut microbial metabolism merit attention to reduce CRC. Thus, practical dietary interventions that modulate these interactions and in-turn reduce incidence of adenomatous polyps and/or prevent their progression to CRC are urgently needed.

Whole grain, brown rice and rice bran components with bioactivity have been reported for exhibiting protection against CRC <sup>10-12</sup>. Rice bran is an agricultural co-product of rice processing that has shown preventive efficacy against chronic colitis and CRC in numerous pre-clinical studies <sup>10,11,13-17</sup>. The probiotic metabolism of rice bran <sup>11,18,19</sup> and completed human studies provide compelling support for rice bran metabolism by colonic microbiota in favor of reducing CRC <sup>20-25</sup>. Stool metabolite analysis from healthy adults and CRC survivors showed changes after 4 weeks of 30g/day rice bran consumption; these clinical results were corroborated in another clinical trial in CRC survivors following 24 weeks of 30g/day rice bran feeding <sup>20-22,24</sup>. Some of the identified rice bran-modified gut microbiota (e.g. *Bifidobacterium spp.*) are highlighted for CRC chemoprevention <sup>26</sup>. Notably, germ-free mice receiving human fecal transplantation with rice-bran modified stool microbes showed significantly less colon cancerous lesions compared to mice humanized by stool microbes from control fed adults (without rice-bran intervention) <sup>27</sup>.

Taken together, these studies provided convincing rationale for testing the hypothesis that rice bran and *Bifidobacterium*-fermented rice bran can re-structure the ‘high risk’ or dysbiotic gut microbiota towards the colonization of microbial communities that protect against CRC growth and progression. However, the microbiome-mediated and microbiota-independent colonic tissue/tumor effects and metabolic pathways impacted following dietary rice bran or fermented rice bran remain largely unknown. This gap in literature needs to be addressed because establishing anti-CRC actions as dependent and/or independent of an individual’s gut microbiota composition can guide future precision nutrition based approaches for CRC control and prevention. In addition, we also hypothesized that some phytochemicals in rice bran serve as gut microbial substrates and are metabolized into bioactive metabolites that impact the colonic microenvironment for enhanced efficacy against CRC. Accordingly, *Bifidobacterium longum* fermented rice bran and non-fermented dietary rice bran were fed to gut microbiota-intact<sup>SPf</sup> or germ-free mice<sup>GF</sup> and evaluated for comparative efficacy to a control diet against azoxymethane /dextran sodium sulfate (AOM/DSS)-induced CRC (a pre-clinical animal model with inflammation-associated colon tumorigenesis <sup>28</sup>).

## 2. MATERIALS AND METHODS

### 2.1 Antibodies and reagents

Azoxymethane (AOM, #A5486) was from Millipore Sigma (Temecula, CA, USA) and Dextran sodium sulfate (DSS, mol.wt. 36,000–50,000, #02160110-CF) was from MP Biomedicals (Santa Ana, CA, USA). Antibodies for Ki67 (#ab16667, RRID:AB\_302459), CD44 (#ab157107, RRID:AB\_2847859), NF- $\kappa$ B (p65) (#ab16502, RRID:AB\_443394), ZO-1 (#ab96587, RRID:AB\_10680012), Claudin-2 (#ab53032, RRID:AB\_869174) and Alcian Blue PAS Stain Kit (#ab245876) were from Abcam (Cambridge, MA). Antibody for  $\beta$ -catenin (#sc-59737, RRID:AB\_781850) and Cox-2 (#sc-376861, RRID:AB\_2722522) were from Santa Cruz Biotechnology (Dallas, TX, USA). Biotin conjugated secondary anti-rabbit (#E0432, RRID:AB\_2313609) and anti-mouse (#E0433, RRID:AB\_2687905) antibodies were from Dako/Agilent technologies (West Cedar Creek, TX, USA) and Streptavidin-HRP (#434323, RRID:AB\_2619743) and CAS-Block (#008120) were from ThermoFisher Scientific (Waltham, MA, USA). The diaminobenzidine (DAB) kit (#SK4100, RRID:AB\_2336382) was from Vector Laboratories (Burlingame, CA, USA). The Proteome Profiler Mouse XL Cytokine Array kit (#ARY028) was purchased from R&D systems (Minneapolis, MN, USA).

### 2.2 Experimental study-diet preparation

Ri300 heat-stabilized rice bran (110 °C for 30 min) was purchased from Rice Bran Technologies (Sacramento, CA, USA). *Bifidobacterium longum* (ATCC-55813; American Type Culture Collection, Manassas, VA, USA) fermented rice bran was harvested and lyophilized for animal study as described previously <sup>18</sup>. 4% Corn oil diet (AIN-93M diet, Envigo, Madison, WI, USA) was used as the control diet. Heat-stabilized rice bran and the *B. longum*-fermented rice bran were incorporated at 10% w/w into the diets (Envigo); nutrient ingredients were adjusted in the supplemented diets and the diets were all gamma-irradiated (sterilization process) and tested for absence of microbial contamination.

### 2.3 Animal study design, necropsy, and sample collection/processing

Six-week old male Balb/c mice<sup>SPf</sup> (Charles River Laboratories, Wilmington, MA, USA) were housed in specific pathogen free animal facility at UC Denver-AMC, and fed a control AIN-93M pellet diet for one-week (acclimatization period) and then administered a single intraperitoneal (i.p) injection of AOM 10 mg/kg body weight in saline followed by exposure to 2% DSS in drinking water for five days [as per modified protocol of Suzuki et al <sup>28</sup>] with one water change once after 2 days providing fresh DSS. After completion of DSS exposure, mice were switched to supplemented diets with rice bran (RB, n=20), *B. longum*-fermented rice bran (FRB, n=20) or maintained on a control AIN-93M diet (n=20) for 15 weeks. Non-AOM/DSS exposed mice (on control AIN-93M diet) served as overall negative controls (NC, n=5) in the study; non-AOM/DSS exposed but fed-with RB (n=5) or FRB (n=4) diets were also included as negative controls. An overview of the study design is depicted in Supplementary Figure S1. Weekly body weight, diet consumption, and general health (stool consistency, incidents of bloody diarrhea/loose stools, and rectal-prolapse were monitored closely for confirmation of colitis events). Aseptic condition was maintained to avoid cross contamination of microbiota between different groups throughout the study. At the end of 15-week feeding phase, all groups were euthanized via CO<sub>2</sub> asphyxiation followed by exsanguination. Details about necropsy procedure, quantification of lesions, collection of blood, intestinal tissue/contents and microbiome/metabolomics procedures evaluations are all detailed in Supplementary Methods section.

C57BL/6 germ free mice<sup>gf</sup> breeding colony and germ-free litters were housed in sterile vinyl isolators in the gnotobiotic facility at UC Denver-AMC. Mice<sup>gf</sup> were maintained in isolators and provided with ad libitum access to autoclaved water and gamma-irradiated AIN-93M pellet diet. All mice<sup>gf</sup> were exposed to the AOM/DSS procedure as detailed above. This was then followed by 14 days of normal drinking water (resting phase). This cycle (DSS exposure + resting phase) was repeated twice to yield a total of three DSS cycles as the C57BL/6 strain is more resistant to AOM/DSS induced pathological manifestations. Mice<sup>gf</sup> (male or female) were randomized into three groups according to their diet: Control<sup>gf</sup> (M = 10, F = 3); RB<sup>gf</sup> (M = 8, F = 7) and FRB<sup>gf</sup> (M = 10, F = 5) mice. After 1<sup>st</sup> cycle of DSS exposure, mice were switched to RB, FRB or maintained on control diets for 18 weeks and then euthanized and tissue/samples were collected as detailed above. An overview of the study design is depicted in Supplementary Figure S3.

### 2.4 Ethics statement.

All animal studies were performed under Institutional guidelines using approved Institutional Animal Care and Use Committee (IACUC) protocol [(B- 57916(04)1E)] in the specific pathogen free facility and gnotobiotic core facility at UC Denver-AMC.

### 2.5 Microbiome and Metabolomic analysis.

Briefly, 16s RNA sequencing was performed on the cecum and colon tissue contents as described previously <sup>18</sup>. Clean (devoid of any colon fecal contents) proximal and distal colon tissue slivers (50 mg/sample) and plasma (1 mL/sample) from mice were sent to Metabolon Inc., (Durham, NC) for metabolite extraction and identification as

described previously<sup>18,27</sup>. Procedures, evaluations and statistical analysis are all detailed in Supplementary Methods section.

## 2.6 Immunohistochemical (IHC), and cytokine analysis.

Paraffin-embedded sections (5 µm thick) were deparaffinized and stained using specific primary antibodies followed by DAB staining, as described previously<sup>29</sup>. Primary antibody dilutions used were Ki-67 (1:25), β-catenin (1:25), Cox-2 (1:25), NF-κB (p65)(1:250), CD44 (1:250), Claudin-2 (1:50) and ZO-1(1:100). Rabbit anti-mouse IgG (Dako) and goat anti-rabbit IgG (Dako) were used as a biotinylated secondary antibody. % Positive cells were quantified as the number of brown-stained cells x100 per total number of cells counted under ×400 magnification in 5-8 randomly selected fields in each sample. Immunoreactivity based on intensity of brown staining) was scored as 0 (no staining), +1 (very weak), +2 (weak), +3 (moderate) and +4 (strong). Alcian blue and Periodic acid Schiff (PAS) stains were used for simultaneous differentiation of acidic and neutral mucins in colon tissue as described previously<sup>30</sup>. The expression of cytokines and chemokines in mouse plasma were determined using commercially available Proteome Profiler Mouse XL Cytokine Array (#ARY028, R&D Systems, Minneapolis, MN, USA). Membrane dot blots were quantified using Image Studio Lite software.

## 2.7 Statistical and microscopic analyses.

All pathology and IHC based statistical analyses were carried out with GraphPad Prism software version-5 and two-sided *P* values <0.05 were considered significant. Fisher's Exact test was used to compare incidence of epithelial erosion, and grades of dysplasia. Unpaired two-tailed Student's *t*-test was employed as needed. Difference between different groups was determined by one-way ANOVA followed by Tukey-test for multiple comparisons. All microscopic analyses were done by Zeiss Axio Imager.A1 microscope (Carl Zeiss, Inc., Jena, Germany) and photomicrographs captured by AxioCam MrC5 camera (Carl Zeiss).

# 3. RESULTS

## 3.1 Differential effect of RB and FRB intake on inflammation-associated colon tumorigenesis in gut microbiota-intact<sup>SPF</sup> (specific pathogen-free) mice.

Dietary intervention with RB and FRB feeding for 15 weeks (post-AOM/DSS induction) in a gut microbiota-intact mice<sup>SPF</sup> did not result in changes to food consumption and no significant differences were observed in body weight between FRB and RB supplemented diet-fed mice and AOM/DSS controls<sup>SPF</sup> (data not shown). At necropsy, it was observed that both RB and FRB-fed groups had relatively increased colon length, and less colonic (proximal, middle, distal regions) macro-tumor lesions (though statistically not significant) which were significantly smaller in size compared to AOM/DSS controls<sup>SPF</sup> (Supplementary Fig.S1). Given that these gross morphological observations did not reveal differences in the relative efficacy of RB vs. FRB against AOM/DSS associated colon tumorigenesis, H&E-stained colon sections were microscopically examined for histopathological changes and scored as detailed in Supplementary Table 1 [according to published criteria with slight modifications<sup>31,32</sup>]. Histopathological evaluation (Fig. 1A-B) revealed that colon tissue from untreated and treated AOM/DSS mice<sup>SPF</sup> had either dysplastic and/or adenoma/

adenocarcinoma characteristics, with no evidence of invasive adenocarcinoma. Briefly, observed dysplastic lesions and other histological manifestations were classified into (a) low grade [LG], (b) moderate grade [MG], (c) high grade [HG] dysplasia. In AOM/DSS controls<sup>SPf</sup>, ~93% of mice showed HG and ~7% showed MG dysplasia characteristics (Fig. 1A). In comparison, there was only ~7% incidence of HG and ~73% incidence of LG dysplasia (including ~20% incidence of MG) in RB<sup>SPf</sup> (AOM/DSS) group (Fig. 1A). On the other hand, compared to RB-fed group, there was a relatively higher incidence of HG dysplasia in FRB<sup>SPf</sup> (AOM/DSS) group; specifically, there was ~20% incidence of HG and ~80% incidence of LG dysplasia in FRB-fed group (Fig. 1A). The photomicrographs, representative of a treatment group, are shown in Fig. 1B.

Apart from assessing the severity of the colonic lesions, tissue sections were further analyzed for the signs of epithelial erosion and muscular thickening (if any), presence of inflammatory infiltration (including extent of submucosal inflammation), crypt hyperplasia, decrease in crypt density and associated loss/and or type of goblet cells (Fig. 1A-C). For each of these pathological manifestations, a score ranging from 0 for normal (or lower) to 3 (extreme events) was assigned as per published criteria<sup>31,32</sup> (Supplementary Table S1). Moderate colonic epithelial erosion events were observed in 60% of AOM/DSS controls<sup>SPf</sup>, while the RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) groups displayed only mild erosion of epithelium in certain regions, and the incidence was also reduced to ~20-27%, respectively. There was increased presence of inflammatory cells in the mucosa/lamina propria and clusters of infiltrating cells were observed in the sub-mucosa of AOM/DSS controls<sup>SPf</sup>. Notably, there was an overall ~50% decrease ( $P<0.001$ ) in the presence of mucosal inflammatory cells in the RB<sup>SPf</sup> (AOM/DSS) group compared to the AOM/DSS controls<sup>SPf</sup>; sub-mucosal inflammation (if any) was minimal (only individual cells), and no clusters were observed. On the other hand, there was only slight decrease (which was non-significant) in the mucosal inflammatory cells of the FRB<sup>SPf</sup> (AOM/DSS) group; however, the extent of sub-mucosal infiltration was markedly reduced (45%,  $P<0.01$ ) compared to AOM/DSS controls<sup>SPf</sup>. Increase in crypt lengths (slight crypt hyperplasia), as observed in AOM/DSS controls<sup>SPf</sup>, was not very evident ( $P<0.001$ ) in RB and FRB-fed groups. Only slight decrease (<10%) in crypt density was observed in FRB<sup>SPf</sup> (AOM/DSS) and AOM/DSS controls<sup>SPf</sup>, such changes were negligible in the RB-fed group. Moreover, thickness of the colon muscularis externa was slightly increased across all groups.

For AOM/DSS controls<sup>SPf</sup>, a marked decrease was observed in mucin secreting goblet cells. The goblet cells were smaller in size and appeared irregularly scattered/or absent in the regions of high proliferative activity and dysplastic zones (Fig. 1C). However, there was a significant increase in both goblet cell number and size in RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) groups compared to AOM/DSS controls<sup>SPf</sup>; though, RB-fed group displayed a relatively higher number than FRB-fed group. Dual staining with alcian blue (AB) and periodic acid schiffs (PAS) stain to detect acidic and neutral mucins<sup>30</sup>, respectively, indicated a shift towards the presence of more acidic mucins with RB and FRB feeding (Fig. 1C). The RB-fed group displayed a mixed combination of 'dense' blue stained/acidic mucin rich goblet cells and dual stained (violet) goblet cells of moderately uniform size, whereas the FRB-fed group had relatively larger sized but less dense blue stained/acidic mucin rich goblet cells as well as dual stained-but smaller sized goblet cells.

Next, IHC analysis of colon tissues was performed to determine the effect on expression pattern of CRC associated molecular markers. Quantification of Ki-67 staining showed a decrease in proliferation indices by ~57% and ~35% ( $P<0.001$ , for both) in RB<sup>spf</sup> and FRB<sup>spf</sup> (AOM/DSS) groups, respectively (Fig. 1C).  $\beta$ -catenin expression was decreased by ~28% ( $P<0.05$ ) in RB<sup>spf</sup> (AOM/DSS) mice, but FRB-feeding had no effect (Fig. 1C); also, RB and FRB feeding decreased CD44 expression by ~16% ( $P<0.05$ ) and ~22% ( $P<0.01$ ), respectively, in AOM/DSS mice<sup>spf</sup> mice (Fig. 1C). With regards to the impact on tight cellular junction complexes that regulate permeability of mucosal barrier such as occludins and claudins<sup>33</sup>, both RB and FRB feeding in AOM/DSS mice<sup>spf</sup> (Fig. 1C) strongly decreased claudin-2 expression (a marker of leaky epithelia) by ~34% and ~41% ( $P<0.01$ , for both), respectively; notably, the expression of scaffold-forming intracellular zonula occludin (ZO-1) that has been shown to decrease with CRC progression, was significantly increased ~1.7 folds ( $P<0.01$ ) and ~1.3 folds ( $P<0.05$ ) by RB and FRB feeding, respectively.

### 3.2 Effect of RB and FRB-intake on gut microbiota composition during inflammation-associated colon tumorigenesis in gut microbiota-intact<sup>spf</sup> mice.

To assess the impact of rice bran supplemented diets (with and without fermentation) during AOM/DSS facilitated colon tumorigenesis on species richness and species diversity of cecum and colon microbiota, in RB<sup>spf</sup> and FRB<sup>spf</sup>-AOM/DSS groups, we performed pairwise Kruskal-Wallis testing across each diet group for two non-phylogenetic alpha diversity metrics: Chao1 index (richness) and Shannon's Index (diversity) (Fig.2A). We detected significant differences in both richness and diversity of microbiota between AOM/DSS controls<sup>spf</sup> vs. RB<sup>spf</sup> and FRB<sup>spf</sup> (AOM/DSS) groups (Fig.2A). However, no differences for either metric were detected between RB<sup>spf</sup> vs. FRB<sup>spf</sup> (AOM/DSS) groups. We next compared phylogenetic-based beta diversity of cecum and colon microbiota in all groups through principal coordinates analysis (PCoA) of unweighted and weighted UniFrac distances. Ordination demonstrated clear separation of cecum microbiota by diet group for both UniFrac metrics; however, colon microbiota showed relative similarity across each diet group and metric (Fig. 2B). These observations were corroborated through pairwise PERMANOVA comparisons where statistically significant differences were observed in cecum microbiota in all three groups for both metrics. Additionally, in unweighted UniFrac, colon microbiota also exhibited statistically significant differences between AOM/DSS controls<sup>spf</sup> vs. RB<sup>spf</sup> and FRB<sup>spf</sup> (AOM/DSS) groups; however, no differences were observed in colon microbiota for any of the pairwise diet comparisons of weighted UniFrac distance.

Next, Kruskal-Wallis testing of the per-sample relative abundance of 11 amplicon sequence variants (ASVs) assigned to the genus *Bifidobacterium* was performed to determine whether an enrichment of the fermentation strain occurred in the gut microbiomes of FRB<sup>spf</sup> (AOM/DSS) mice (which were consuming the *B. longum* fermented rice bran). Results indicated lack of enrichment of the fermenting strain in these mice (non-significant p-values for both cecum and colon samples, Fig. 2C); these results are consistent with our previous findings in healthy mice fed a similar FRB diet<sup>18</sup>.

In recognition of the inherent compositional nature of microbiota datasets acquired from next-generation sequencing platforms, we also employed a compositional analysis framework that used the count zero multiplicative (CZM) method to replace zeros in the ASV absolute abundance table followed by transformation using the centered log-ratio (clr). Principal components analysis (PCA) of CZM-adjusted clr abundances displayed striking separation by diet groups for both cecum and colon microbiota (Fig. 2C). To explore specific ASVs that may be driving differences between diet groups, we utilized ALDEx2 for differential abundance testing in pairwise fashion for all diet combinations. This analysis identified 72 ASVs in cecum samples of AOM/DSS controls<sup>spF</sup> and RB<sup>spF</sup> (AOM/DSS) mice; specifically, 17 enriched in AOM/DSS controls<sup>spF</sup> and 55 enriched in RB<sup>spF</sup> (AOM/DSS) group. Furthermore, this analysis identified 69 ASVs in cecum samples of AOM/DSS controls<sup>spF</sup> and FRB<sup>spF</sup> (AOM/DSS) groups; specifically, 25 enriched in AOM/DSS controls<sup>spF</sup> and 44 enriched in FRB<sup>spF</sup> (AOM/DSS) group. Notably, 24 ASVs were identified in cecum samples of RB<sup>spF</sup> and FRB<sup>spF</sup> (AOM/DSS) groups; specifically, 9 enriched in FRB<sup>spF</sup> (AOM/DSS) and 15 enriched in RB<sup>spF</sup> (AOM/DSS) group. For colon samples, analysis identified 52 ASVs in AOM/DSS controls<sup>spF</sup> and RB<sup>spF</sup> (AOM/DSS) mice: 17 enriched in AOM/DSS controls<sup>spF</sup> and 35 enriched in RB<sup>spF</sup> (AOM/DSS) group; 85 ASVs in colon samples for AOM/DSS controls<sup>spF</sup> and FRB<sup>spF</sup> (AOM/DSS) groups: 16 enriched in AOM/DSS controls<sup>spF</sup> and 69 enriched in FRB<sup>spF</sup> (AOM/DSS) groups. This analysis also identified 33 ASVs in colon samples for RB<sup>spF</sup> and FRB<sup>spF</sup> (AOM/DSS) groups: 27 enriched in FRB<sup>spF</sup> (AOM/DSS) and 6 enriched in RB<sup>spF</sup> (AOM/DSS) group. Importantly, a total of 18 ASVs were consistently enriched in both cecum and colon samples of either RB<sup>spF</sup> or FRB<sup>spF</sup> (AOM/DSS) groups compared to AOM/DSS controls<sup>spF</sup> (Fig. 2D). ASVs affiliated with the genus *Bacteroides* dominated the microbiota of AOM/DSS controls<sup>spF</sup>, while mice fed either of the supplemented diets showed enrichments for ASVs assigned as *Clostridiales*, *Lachnospiraceae*, *Ruminiclostridium*, and *Coprococcus*, among others (Fig. 2D). Notably, seven of these ASVs also exhibited further enrichment in FRB<sup>spF</sup> (AOM/DSS) mice compared to RB<sup>spF</sup> (AOM/DSS) group, indicating the potential of *B. longum*-fermented diet intake to cause enrichment of gut ASVs. (Fig. 2D). These additional ASVs in the FRB<sup>spF</sup> (AOM/DSS) mice included *Blautia*, *Streptococcus*, and an identical *Roseburia*-ASV previously identified in healthy mice fed a *B. longum*-fermented diet<sup>18</sup>.

### 3.3 Effect of RB and FRB-intake on microbial metabolites in gut and systemic circulation after inflammation-associated colon tumorigenesis in gut microbiota-intact<sup>spF</sup> mice.

A species-rich and diverse gut ecosystem has the inherent potential and increased capacity for the fermentation of nondigestible and undigested substrates, which can result in the production of bioactive metabolites with either health benefits or adverse effects-impacting various physiological/metabolic processes and diseases, including CRC<sup>3,34,35</sup>. Therefore, we next assessed the metabolic by-products generated after the intake of RB and FRB-supplemented diets in AOM/DSS mice<sup>spF</sup> model. Specifically, the bioavailability of the metabolites generated in different groups was assessed locally (uptake in colon tissue) as well as systemically (presence in plasma). For this, a non-targeted metabolomics approach using ultra-performance liquid chromatography tandem mass spectrometry (UPLC-MS/MS) was employed to assess relative abundance of metabolites in the distal colon tissue and plasma of AOM/DSS controls<sup>spF</sup>, RB<sup>spF</sup> and FRB<sup>spF</sup> (AOM/DSS) mice. A total of 536



differentially abundant metabolites were identified in the colon and plasma metabolomes from AOM/DSS treated mice<sup>SPf</sup>; the metabolite profiles differed across control, RB and FRB diet fed-AOM/DSS groups. The PCA for the metabolite profile composition across all groups is depicted in Fig 3A. Non-targeted metabolomics revealed identity of 21 metabolites in plasma and colon tissue, whereby the levels were significantly different between experimental groups (Fig. 3B-C). Specifically, there was a ~4-8 fold increase ( $P<0.05$ ) in the plasma levels of hippurate and catechol sulphate in both RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice; importantly, the levels increased by ~12-17 folds ( $P<0.05$ ) in the colon tissue of RB fed-AOM/DSS mice<sup>SPf</sup>. The 2-hydroxyhippurate was not detected in the colon tissue of mice fed either of the supplemented diets, yet plasma levels were significantly increased (~6-8 folds,  $P<0.05$ ) when compared to AOM/DSS controls<sup>SPf</sup>. The 4-methylcatechol sulphate levels were also significantly increased (~15-18 folds,  $P<0.05$ ) in the colon tissue and plasma (~3 folds,  $P<0.05$ ) of RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice. Interestingly, cinnamoylglycine was not detected in the colon tissue of RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice, but its plasma levels increased by ~6-11 folds ( $P<0.05$ ) compared to AOM/DSS controls<sup>SPf</sup>. Cytosine was another compound that was not detected in the colon tissue, but its plasma level was increased by ~5 folds ( $P<0.05$ ) in the plasma of FRB<sup>SPf</sup> (AOM/DSS) mice. The metabolite, salicylate, showed a ~4-10 folds increase ( $P<0.05$ ) in the plasma and colon tissue levels, respectively, of both RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice; on similar lines, N-methylproline and 3-phenylpropionate levels were also increased in the plasma (~2 folds) and colon tissue (~4-6 folds) of both these groups ( $P<0.05$  for both) compared to the AOM/DSS controls<sup>SPf</sup>. Trigonelline levels were also increased in the plasma (~4-7 folds;  $P<0.05$ ) and colon tissue (~5 folds;  $P<0.05$ ) of both RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice. Notably, ferulic acid-4 sulphate levels were significantly increased (~8-10 folds,  $P<0.05$ ) in the plasma and colon tissue of RB<sup>SPf</sup> (AOM/DSS) mice, respectively; however, it was not detected in the colon tissue of FRB diet fed-AOM/DSS mice<sup>SPf</sup> (though there was ~10 folds increase in its plasma levels in this group). Tricarballic acid had increased abundance (~2 fold;  $P<0.05$ ) in the plasma and colon tissue of FRB<sup>SPf</sup> (AOM/DSS) mice (and not the RB-fed) compared to the AOM/DSS controls<sup>SPf</sup>. Importantly, N-delta-acetylornithine levels were significantly increased (~5-6 folds;  $P<0.05$ ) in both plasma and colon tissue of FRB<sup>SPf</sup> (AOM/DSS) mice compared to RB<sup>SPf</sup> (AOM/DSS) and AOM/DSS controls<sup>SPf</sup>.

#### **3.4 Effect of RB and FRB-intake on the expression of inflammatory mediators during inflammation-associated colon tumorigenesis in gut microbiota-intact<sup>SPf</sup> mice.**

Given that in the H&E analysis we observed significant decrease in immune cell infiltration in the colonic tissues of RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice, we next assessed the expression of inflammation mediators Cox-2 and NF-kB/p65 molecular levels in the colonic tissues. The earlier pathological results were corroborated by analysis of the colonic mucosa which showed significant decrease in the expression of inflammation mediators Cox-2 ( $P < 0.001$ ) and NF-kB/p65 ( $P < 0.02$ ) in the colonic tissue of RB<sup>SPf</sup> and FRB<sup>SPf</sup> (AOM/DSS) mice (Fig. 4A-B). Next, we performed a proteome profiler array to detect effect of RB and FRB feeding on inflammation modulators-cytokine/chemokine profiles in the plasma of AOM/DSS<sup>SPf</sup> mice (Fig. 4C-D). Our results indicated that various chemokines and cytokines implicated in cancer growth and progression are significantly increased in

AOM/DSS controls<sup>spf</sup> compared to negative controls (NC, no-AOM/DSS group) (Fig. 4C-D, Supplementary Fig. S2), and were significantly downregulated by dietary intervention. Notably, the expression of CXCL1 was decreased by ~90% and ~86% by RB and FRB-feeding ( $P < 0.001$ , for both), respectively. On similar lines, RB and FRB feeding decreased CXCL2 (~70%), CXCL13 (~39-73%), and cytokine CCL17 (~62% and ~40%) plasma levels, respectively, in AOM/DSS<sup>spf</sup> mice. Plasma levels of G-CSF, M-CSF and MMP-2 were also decreased by the supplemented-diets; however, MMP9 levels were significantly decreased only by RB-feeding. Furthermore, expression of other inflammatory mediators such as Serpin E1, Pentraxin 3, c-reactive protein, Lipocalin-2, RAGE, WISP-1, and Resistin were also differentially modulated by RB and FRB feeding in AOM/DSS<sup>spf</sup> mice (Fig. 4C-D, Supplementary Fig. S2).

### 3.5 Gender specific effects of RB and FRB-intake on inflammation-associated colon tumorigenesis in germ-free mice<sup>gf</sup>.

To determine whether the protective effects of non-fermented RB were dependent/independent of gut microbiota presence, we next assessed the RB and FRB intervention benefits against AOM/DSS carcinogenesis in a germ-free mice model. Given the varied susceptibility of the male and female germ-free mice to AOM/DSS-induced health impacts (weight loss) including colonic disease manifestations (in-house preliminary study observations) we performed the efficacy studies in both sexes. Note: both female and male germ-free mice were in the C57BL/6 background (given the limited availability of our in-house bred colony-strain) unlike the microbiota-intact mice (BALB/c strain); hence, to account for variability due to strain differences, the results of both studies were viewed individually. In the germ-free AOM/DSS control groups (AOM/DSS controls<sup>gf</sup>) the females had relatively higher number of colonic macro-lesions compared to their male counterparts; notably, RB and FRB intervention decreased both number and size of these tumor lesions irrespective of the mice gender (Supplementary Fig. S3). Microscopically, though, both male and female AOM/DSS controls<sup>gf</sup> also displayed 100% incidence of HG dysplasia characteristics, the female colonic tissue was marred by significant epithelial erosion (100% of mice showed these characteristics) Fig. 5A. In comparison, RB-intervention in the male mice protected against HG dysplasia and displayed signs of LG and MG dysplasia in the colonic tissue whereas FRB intervention resulted in a marked decrease in HG dysplasia together with presence of MG dysplasia Fig. 5A. In the female mice, both RB and FRB feeding resulted in significant decrease in HG dysplasia incidence (~14-20 %); however, in FRB<sup>gf</sup> (AOM/DSS) group, 60% of mice still had significant colonic epithelial erosion, while only ~28% of RB-fed mice displayed erosion characteristics. The photomicrographs, representative of a treatment group, are shown in Fig. 5B. Importantly, compared to male mice, female-AOM/DSS controls<sup>gf</sup> showed higher pathological score for colonic inflammatory infiltrate, submucosal inflammation, crypt hyperplasia, decrease in crypt density, loss in goblet cells and muscle thickening (Fig. 5C); the inflammatory cellular infiltrates were more evident in the regions of eroded epithelium. While, RB<sup>gf</sup> (AOM/DSS) group showed overall low pathological scores irrespective of gender, FRB-feeding had differential effects based on gender of germ-free mice (Fig. 5C). In female-FRB<sup>gf</sup> (AOM/DSS) group, inflammation and crypt hyperplasia scores were significantly decreased compared to AOM/DSS controls<sup>gf</sup>; however, this effect was not evident in the male mice.

Also, in the male AOM/DSS controls<sup>gf</sup>, the goblet cells (Fig. 5D, left panel) were fewer in number (compared to gut microbiota -intact mice), small-sized, irregular shaped and absent in many places; these were predominantly stained dark blue (acidic and neutral mucins) in the dorsal colonic region while the proximal regions had more neutral mucins (magenta colored). In the male- RB<sup>gf</sup> (AOM/DSS) mice, the goblets cells were bigger and more uniform in shape; also, the goblet cells in the lower part of the crypts were mostly alcian blue stained (acidic mucins) whereas the upper parts had more neutral mucins (PAS/magenta stained). On the other hand in the FRB group, the goblet cells were still bigger but less dense and stained mostly as acidic mucins. In the female AOM/DSS controls<sup>gf</sup> (Fig. 5D, right panel) in the distal region most of the goblet cells were lost due to epithelial erosion, remnants of crypts had irregularly shaped deep blue goblet cells while proximal had mostly magenta stained neutral mucins. In the female- RB<sup>gf</sup> (AOM/DSS) mice the number of goblet cells were considerably increased which stained more deep blue than the controls; due to more epithelial erosion-the female FRB-fed mice also had fewer goblet cells, but whatever little were present were dispersed around (either containing magenta stained neutral mucins) or contained deep blue-stained mucins.

Tissue based molecular analysis (Fig. 5D) indicated that both RB and FRB-feeding could significantly decrease proliferating cells (% Ki-67 positive cells) in male-AOM/DSS<sup>gf</sup> mice (~51-54% decrease,  $P < 0.01$  for both); however, FRB-effect was compromised in the female mice. On similar lines while RB-feeding decreased  $\beta$ -catenin expression (~20-31%,  $P < 0.05$ ) in both male and female AOM/DSS mice<sup>gf</sup>, FRB-feeding showed no effect. CD44 and NF-kB/p65 expression were unaltered by RB and FRB feeding in both genders; however, Cox-2 levels were decreased in RB (~33% decrease, though not significant) and FRB-fed females (40% decrease,  $P < 0.01$ ) while Cox-2 levels in male mice were unaffected. Additionally, it was observed that under germ-free conditions RB and FRB feeding did not have any significant effect on the expression levels of tight junction proteins ZO-1 or claudin-2 in the female-AOM/DSS mice<sup>gf</sup>. However, in the male-AOM/DSS mice<sup>gf</sup>, while RB had no effect on ZO-1 levels, it was able to significantly decrease claudin-2 levels ( $P < 0.01$ ); on the other hand, FRB-feeding significantly increased ZO-1 levels (~1.4 folds) and had no effect on claudin-2 levels.

### 3.6 Effect of RB and FRB-intake on microbial metabolites in gut and systemic circulation after inflammation-associated colon tumorigenesis in germ-free mice<sup>gf</sup>.

Next, we assessed the metabolic by-products generated after the intake of RB and FRB-supplemented diets in the absence of gut-microbiota in the AOM/DSS mice<sup>gf</sup> model (Fig 6). Principal component analysis (PCA) of the metabolites composition across all groups indicated no gender specific-effects on the colonic metabolites generated after RB and FRB-intake (data not shown). Under germ-free conditions, both proximal and distal tissue regions were identified to be involved in AOM/DSS-induced neoplastic changes, and the local gut-directed bioavailability of metabolites was assessed for proximal and distal colonic tissues and in systemic circulation (Fig 6A-D). In the proximal colon tissue of FRB and RB-fed AOM/DSS mice<sup>gf</sup>, levels of dihydroferulic acid (~8-27 folds), ferulic acid 4-sulfate (~13 folds), salicylate (~3-5 folds), N-methylproline (~3-4 folds), and trigonelline (~3-5 folds) were significantly increased ( $P < 0.05$ , for all) compared to AOM/DSS controls<sup>gf</sup>

(Fig 6B). Importantly, 4-hydroxyhippurate levels were significantly increased ( $P<0.05$ ) in the proximal (~7 folds) and distal colon (~4 folds) tissues of FRB<sup>gf</sup> (AOM/DSS) mice only. Ferulic acid 4-sulfate, glutarate, myo-inositol, N-delta-acetylmethionine were other metabolites that showed significant expression in the distal colonic tissues of the FRB<sup>gf</sup> (AOM/DSS) mice and not in the RB-fed group (Fig 6C); also, cytosine was markedly increased in proximal and distal colonic tissues after FRB-feeding compared to RB-fed group. Notably, 2-hydroxyhippurate (~10-15 folds), 4-hydroxyhippurate (~6-8 folds), dihydroferulic acid (~2-4 folds), ferulate (~3-5 folds), ferulic acid 4-sulfate (~20-34 folds), salicylate (~10-18 folds), and trigonelline (~79-124 folds) were significantly increased ( $P<0.05$ , for all) in the plasma of RB<sup>gf</sup> and FRB<sup>gf</sup> (AOM/DSS) groups (Fig 6D). As in the case of colonic tissues, glutarate and cytosine levels were also increased (~1-3 folds  $P<0.05$ ) in the plasma of FRB<sup>gf</sup> (AOM/DSS) groups. We did not observe any gender-specific impact on the type of RB and FRB metabolites present in the plasma; however, the relative abundance of most of the plasma metabolites in male mice were comparatively higher to female mice in the RB-fed mice<sup>gf</sup> (Supplementary Figure S5).

#### 4. DISCUSSION

The capacity for rice bran and fermented rice bran to suppress AOM/DSS- inflammation induced CRC is novel and supports previously demonstrated cancer control and preventive properties of dietary rice bran against genetically susceptible and carcinogen induced murine models of CRC<sup>10,15-17</sup>. In this study, we performed extensive pre-clinical investigations utilizing non-fermented and fermented diets to determine whether the anti-CRC efficacy of dietary rice bran is dependent or independent of gut microflora. Primary study outcomes support that both RB and FRB -intake demonstrated protective benefits against AOM/DSS induced colon tumorigenesis under the gut microbiota-intact<sup>spf</sup> state, yet with RB showing relatively better efficacy than FRB following in-depth histopathological and molecular analysis of the colonic tissues. Notably, the supplemented diets also reduced sub-mucosal inflammation, epithelial erosion, restored mucosal barrier integrity<sup>33</sup>, and increased the presence (number and content) of acidic mucin secreting goblet cells [which is otherwise substantially decreased during colon tumorigenesis]<sup>30</sup>. In the colon tissue microenvironment, there was a significant decrease in the expression of inflammatory mediators, Cox-2 and NF- $\kappa$ B, after RB and FRB-intervention compared to AOM/DSS controls<sup>spf</sup>. In the absence of gut-microbiota<sup>gf</sup>, RB diet supplementation was able to manifest protective benefits against CRC growth and progression, whereas the efficacy of FRB-supplemented diets was markedly compromised compared to RB-intervention. Given the strain differences between the gut microbiota-intact and -absent studies, the outcomes were compared between the groups within a study and not compared to assess differences across the two studies. However, generalized inferences based on results from both studies are being discussed for a broader perspective.

In the microbiota-intact<sup>spf</sup> mice, the anti-CRC benefits of RB and FRB were associated with modulation of cecal and colonic microbiota according to increased species richness and diversity indices. Both the supplemented diets were able to increase colonization of native gut probiotics and showed enrichments in *Clostridiales*, *Lachnospiraceae*, *Ruminiclostridium*, and *Coprococcus*, among others; furthermore, in the FRB<sup>spf</sup> (AOM/

DSS) mice, enrichments were also observed in *Blautia*, *Streptococcus*, and *Roseburia*. These enrichments indicate a shift towards increased beneficial commensals that are involved in maintaining intestinal homeostasis, attenuation of intestinal inflammation, strengthening/maintaining intestinal barrier<sup>34-38</sup>; and thus, could play an important role in RB/FRB mediated anti-CRC effects. Over time, these diet induced-changes in gut microbiota composition showed functional differences to modulate microbial metabolism<sup>3,9,39</sup>. Rice bran is a rich source of diverse substrates for gut microbiota, including but not limited to prebiotics, amino acids, lipids and phytochemicals<sup>10,40</sup>. A significant accumulation of phenolic metabolites (derived from RB) such as hippurate, catechol sulfate, 4-methyl catechol sulfate, and 3-phenyl propionate were identified in the colonic tissue and plasma of RB and FRB -fed gut microbiota-intact<sup>SPf</sup> mice. Tracking the relative abundance of these metabolites in local colonic tissue supported greater abundance of colonic metabolites after intake of RB-supplemented diets. Importantly, phenolic metabolites were either not present or present in low quantities in the colon tissues and plasma of germ-free AOM/DSS<sup>gf</sup> mice fed with either of the supplemented diets to support that they originated from RB, and that RB derived bioactives were a result of gut microbial transformation of various aromatic polyphenolic components [benzoate and catechol metabolism] from the diet. These gut microbial digested or transformed RB metabolites had contributed to colon cancer protective efficacy under the gut microbiota-intact state<sup>3,39,41,42</sup>. Furthermore, high levels of another phenolic compound ferulic acid 4-sulfate, a derivative of ferulic acid [with known chemopreventive potential<sup>43</sup>], was found increased in colonic tissue and plasma with RB intake. Notably, colonic tissues and plasma levels of salicylate, and nicotinic acid metabolite trigonelline [compounds with anti-cancer benefits in CRC and pancreatic cancer, respectively<sup>44,45</sup>] were increased in RB and FRB-supplemented groups under both microbiota-intact and germ-free conditions.

Interestingly, the relative abundance of ferulic acid 4-sulfate, cinnamoylglycine [a cinnamic acid conjugate associated with health benefits of berries in CRC patients<sup>46</sup>], and most of the phenolic metabolites (that were higher in colonic tissues of RB-fed mice compared to FRB-group) such as, hippurate, catechol sulfate, 4-methyl catechol sulfate, showed increased presence in the plasma of the FRB-fed mice<sup>SPf</sup> compared to the RB-fed mice<sup>SPf</sup>. Fermentation of RB had increased bioavailability of a number of bioactive food components; specifically, *ex-vivo* fermentation of RB by *Bifidobacterium longum* resulted in rapid absorption and systemic circulation uptake of hippurates and catechol sulfates. When the RB diet (as is) acts as a substrate for microbiota in the gut, there is higher production of abundant microbial metabolites, but it takes relatively more time to yield these microbial metabolites when compared to easily accessible microbial metabolites from the FRB diet. As such, the metabolites generated after gut microbiota-mediated metabolism of RB have a longer duration and possibly longer half-life in the colonic environment and become more enriched in the local colonic tissue. The systemic bioavailability of RB-metabolites is slower than when FRB-is fed to the mice<sup>SPf</sup>. These findings suggest a superior opportunity for native gut microbial metabolism of RB to exert direct-effects (*via* local tissue presence) against CRC growth and progression when compared to dietary FRB.

It is important to note that FRB-intake resulted in unique food-derived metabolites (N-delta-acetylmethionine, and tricarballic acid) in the plasma and colon tissues of FRB-fed mice<sup>SPf</sup>. We

have previously reported that N-delta acetylmethionine and tricarballic acid moieties were significantly increased in colonic tissues of healthy mice after FRB-intervention<sup>18</sup>. The nutritional and anti-cancer importance of higher levels of N-delta-acetylmethionine is supported by healthy mucosal colonocytes differing in expression to CRC tumors<sup>47</sup>, and tricarballic acid modifies energy balance *via* aconitase inhibition in the tricarboxylic acid cycle [TCA]<sup>48</sup>. Thus, the disruption of cancer cell metabolism by fermented diet derived metabolites could play an important role in FRB-mediated CRC effects. On the other hand, the plasma metabolites observed after RB and FRB intervention could exert indirect effects (*via* systemic circulation) against CRC growth and progression (as is evident by significant anti-inflammatory effects *via* modulation of plasma cytokines/chemokines). The RB/FRB supplemented diets were able to significantly decrease the expression of CXC chemokines (CXCL-1, 2, 13) that are known to play pivotal role in immune cell recruitment, inflammation-associated CRC growth and progression<sup>49,50</sup>. Furthermore, other inflammatory mediators, which are recognized to be associated with tumor invasiveness and poor prognosis in various cancers, including CRC<sup>49,50</sup>, such as, TNF $\alpha$ , Serpin E1, pentraxin3, c-reactive protein, etc., were also decreased by the RB/FRB dietary intervention. Taken together, the results from the present studies under gut microbiota-intact<sup>sp</sup> state corroborate our earlier scientific findings that the RB and FRB alter host metabolism and generated microbial metabolites which have predominately anti-inflammatory and anti-cancer potential<sup>18</sup>.

Even in the absence of gut microbiota, the relative abundance and diversity of metabolites were comparatively higher after the intake of RB-supplemented diets compared to FRB-intake. Though, RB diets were never exposed to microbial fermentation events (ex-vivo or within the gut environment), the RB is rich in phenolic constituents that are subjected to diverse non-microbial metabolic mechanisms, which result in the production of relatively more abundant metabolites with anti-CRC benefits. However, gender-specific pathologies were observed in the AOM/DSS model<sup>gf</sup> in the absence of gut microbiota which in turn could have resulted in differential effects of RB and FRB-supplemented diets on CRC growth and progression. In the AOM/DSS controls<sup>gf</sup>, the females had relatively higher number of colonic macro-lesions/adenoma compared to their male counterparts. Notably, female-AOM/DSS<sup>gf</sup> mice were also marred by severe epithelial erosion in their colonic tissues; these pathological aberrations were comparatively less evident in the male<sup>gf</sup> mice. While RB-intake showed protective benefits against epithelial erosion, FRB-intake was not significantly effective in reducing epithelial erosion characteristics in the female mice<sup>gf</sup>. Immune cell infiltrates including submucosal inflammation were also significantly higher in the female-AOM/DSS<sup>gf</sup> mice compared to male mice<sup>gf</sup>. Whether, FRB-intake has the potential to alter the number and phenotype of these colonic immune/inflammatory cells to execute its anti-CRC effects warrants further investigation.

Gender -based differential effects of RB and FRB-supplemented diets in mice<sup>gf</sup> highlight the importance of gender-specific physiological variables that could differentially impact CRC growth and progression in people. One of the study limitations herein is that gender-based effects under gut microbiota- intact conditions (as in the germ-free state) were not assessed and merit future attention. Another limitation is that fermentation of RB-diet was conducted with a single probiotic species and a microbial community cocktail for fermentation of RB

(to yield a larger suite of bioactive molecules) was not compared. Further, a dietary dose response with the FRB-diet will be essential prior to investigations in a human feeding trial. Moreover, a longitudinal analysis of colon tissue profiles at multiple time points after AOM-DSS treatment could identify earlier windows of opportunity for dietary modulation and a pattern of metabolites according to tumorigenic specific stages during inflammation-associated carcinogenesis.

## Conclusion

Rice bran is a nutrient and fiber rich food with functional phytochemicals that exert protective effects against colon tumorigenesis in the presence as well as absence of gut microbiota. Rice bran prebiotic properties and its constituents as microbial substrates promote healthy gut microbiota composition and function in the colon via production of novel, bioactive microbial metabolites that significantly modulate multiple pathways involved in colon carcinogenesis. Fermentation of rice bran did not substantially impact gut microbiota metabolism and did not show increased protective efficacy against CRC when compared to non-fermented rice bran. These findings demonstrate that caution is needed for the use of fermented rice bran food products during severe gut inflammation or colon tumorigenesis-associated with severe damage to the colonic epithelial lining. The inability of FRB to provide local-protective benefits to the damaged colonic tissues when compared to RB suggests further investigations are needed to establish comparative efficacy. The present study highlights the importance of gender-specific physiological variables that could differentially impact CRC growth and progression and influence the microbiome-dependent versus -independent actions of functional whole grains.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Data Sharing and Data availability

To ensure transparency and complete data reproducibility, the amplicon sequence data supporting the conclusions of this manuscript are available via NCBI SRA BioProject Accession PRJNA516457. Sample data are available in Supplementary Metadata File S1. The R code to create the manifest for importing FASTQ files into QIIME 2 can also be found on this project's GitHub repository located at [github.com/kdprkr/MerlinsManuscript](https://github.com/kdprkr/MerlinsManuscript).

## Abbreviations:

AOM	azoxymethane
DSS	dextran sodium sulfate

<b>CRC</b>	colorectal cancer
<b>RB</b>	rice bran
<b>FRB</b>	fermented rice bran
<b><i>B. longum</i></b>	<i>Bifidobacterium longum</i>
<b>mice<sup>spf</sup></b>	specific pathogen -free mice
<b>RB<sup>spf</sup></b>	RB-fed specific pathogen-free mice
<b>FRB<sup>spf</sup></b>	FRB-fed specific pathogen-free mice
<b>controls<sup>spf</sup></b>	control diet-fed specific pathogen-free mice
<b>mice<sup>gf</sup></b>	germ-free mice
<b>RB<sup>gf</sup></b>	RB-fed germ-free mice
<b>FRB<sup>gf</sup></b>	FRB-fed germ-free mice
<b>controls<sup>gf</sup></b>	control diet fed germ-free mice
<b>H&amp;E</b>	hematoxylin and eosin
<b>HG</b>	high grade
<b>MG</b>	moderate grade
<b>LG</b>	low grade (dysplasia)
<b>DAB</b>	3,3'-diaminobenzidine
<b>AB</b>	alcian blue
<b>PAS</b>	periodic acid-schiff
<b>PCoA</b>	principal coordinates analysis
<b>ASVs</b>	amplicon sequence variants
<b>CZM</b>	count zero multiplicative
<b>clr</b>	centered log-ratio
<b>PCA</b>	principal components analysis
<b>UPLC-MS/MS</b>	ultra-performance liquid chromatography tandem mass spectrometry
<b>IHC</b>	immunohistochemical
<b>CD44</b>	cluster of differentiation 44
<b>ZO-1</b>	zonula occluding



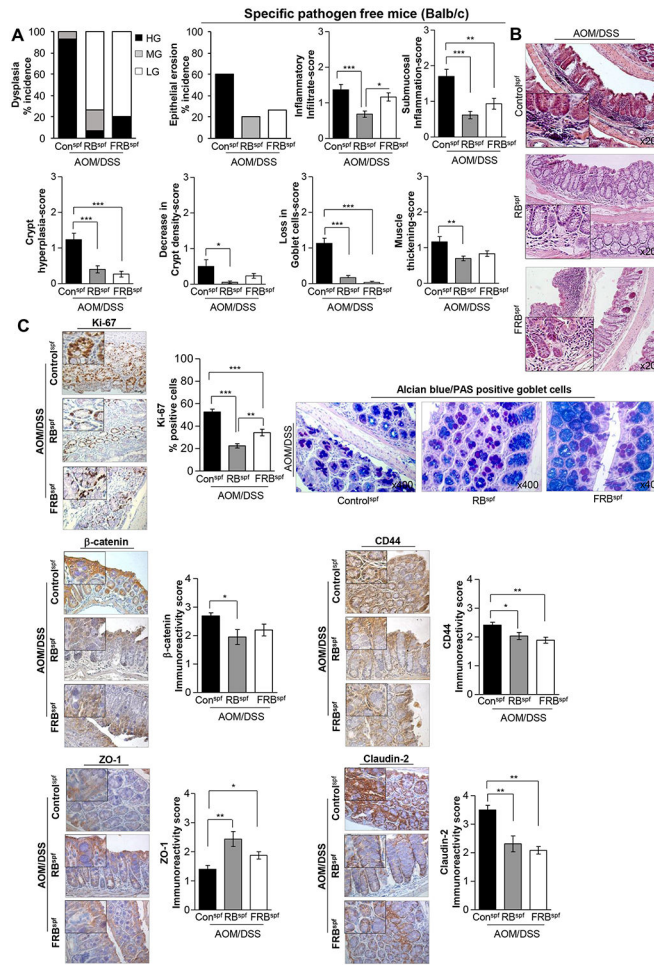
<b>NF-<math>\kappa</math>B</b>	nuclear factor kappa-light-chain-enhancer of activated B cells
<b>Cox-2</b>	Cyclooxygenase-2
<b>CXCL</b>	chemokine (C-X-C motif) ligand
<b>CCL17</b>	CC chemokine ligand 17
<b>G-CSF</b>	Granulocyte-colony-stimulating factor
<b>M-CSF</b>	macrophage-colony-stimulating factor
<b>MMP</b>	matrix metalloproteinase
<b>RAGE</b>	receptor for advanced glycation end-products
<b>WISP-1</b>	WNT1-inducible-signaling pathway protein 1

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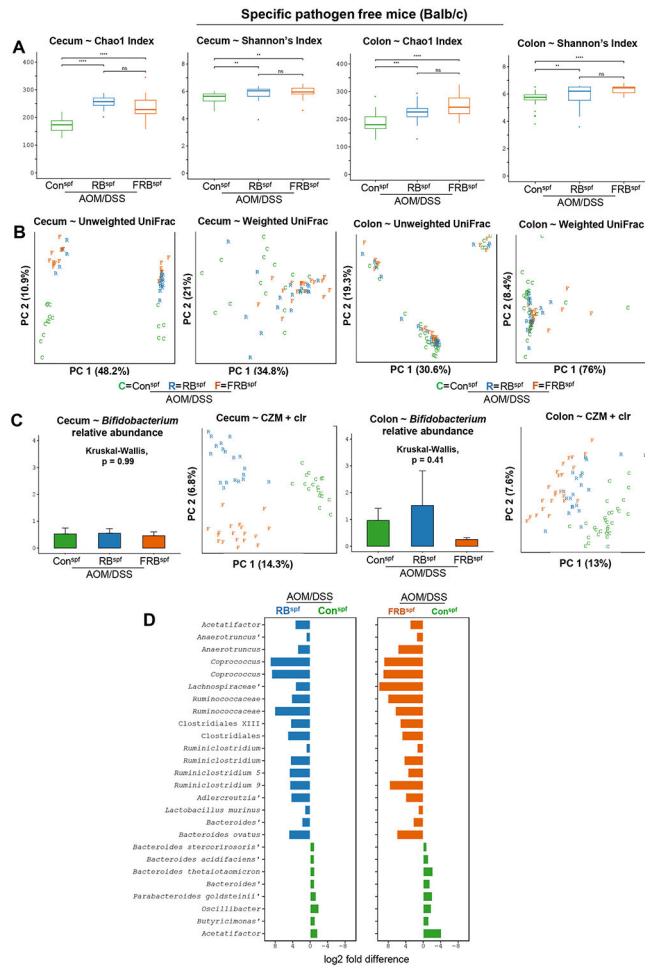
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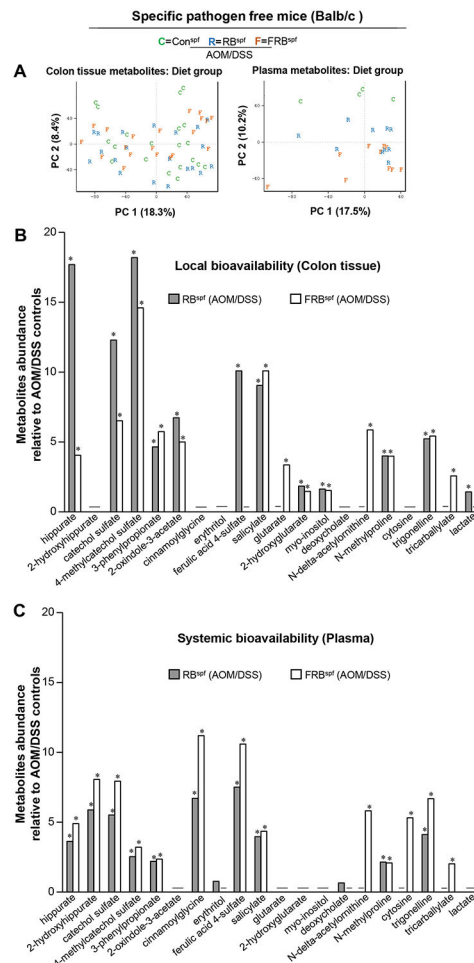


**Fig 1. Effect of RB and FRB-intake on AOM/DSS-induced colon tumorigenesis in gut microbiota-intact<sup>SPf</sup> (specific pathogen-free) Balb/c mice.**

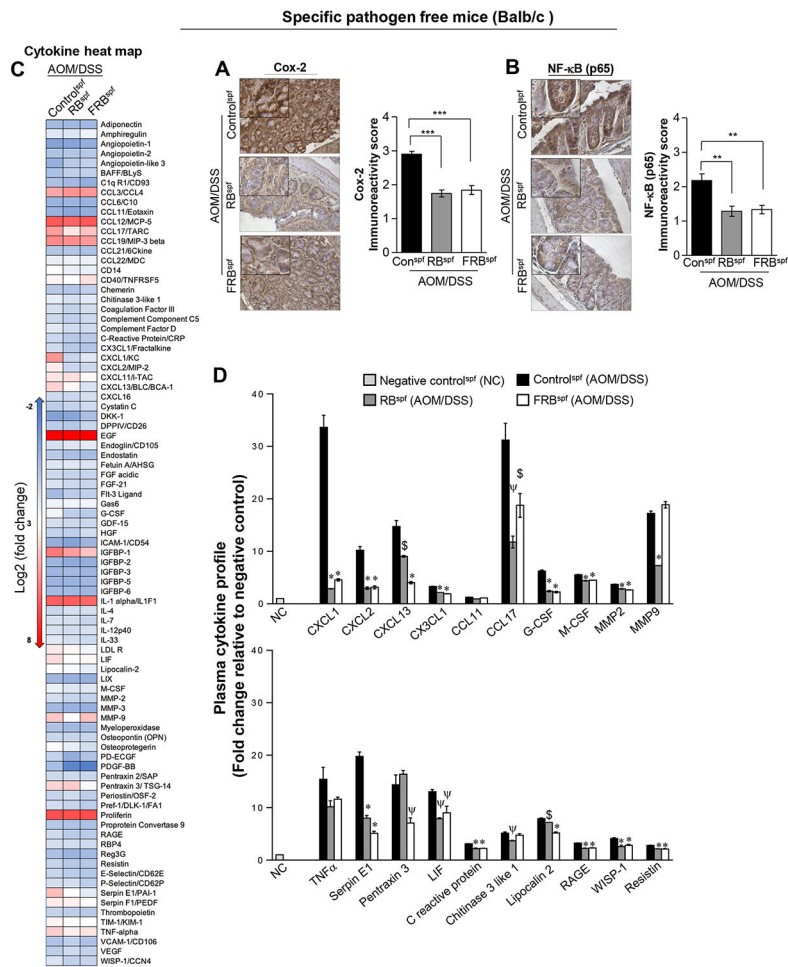
(A) Histopathological grading of AOM/DSS mice<sup>SPf</sup> colon tissues (with and without RB and FRB-supplemented diets); a score ranging from 0 for normal (or lower) to 3 (extreme events) was assigned. (B) Representative pictographs of distal colon (H&E images) showing histopathological changes; images were acquired at x200 with digitally magnified insets. (C, upper left-and lower-panel) Pictorial and data representation for % Ki-67 positive cells, and immunoreactivity scores for  $\beta$ -catenin, CD44, ZO-1, and claudin-2 expression levels based on immunohistochemical staining. Images were acquired at x400 with digitally magnified insets. % Positive cells were quantified as the number of brown-stained cells x100 per total number of cells counted under  $\times 400$  magnification in 5-8 randomly selected fields in each sample. Immunoreactivity (represented by intensity of brown staining) was scored as 0 (no staining), +1 (weak), +2 (moderate), +3 (strong) and +4 (very strong). (C, upper right-panel) Representative pictograph (x400 magnification) of alcian blue-PAS staining in the distal colon tissue depicting changes in mucin composition and distribution. Quantified data is represented as columns (mean for each group); bars represent SEM. \*\*\*P 0.001, \*\*P 0.01, and \*P 0.05. RB, rice bran; FRB, *Bifidobacterium longum*-fermented RB; AOM, azoxymethane; DSS, dextran sodium sulfate; HG, high grade; MG, moderate grade; LG, low grade dysplasia; PAS, Periodic acid–Schiff; mice<sup>SPf</sup>, specific pathogen-free mice.



**Fig 2. Effect of RB and FRB-intake on gut microbiome (caecum and colon) composition during inflammation associated colon tumorigenesis in gut microbiota-intact<sup>SPf</sup> mice.** **A)** species richness and diversity were analyzed by Shannon/Chao indices, **B)** clear separation in community composition based upon sample type and diet group by Principal components analysis (PCA) of centred log-ratio transformed abundances for all amplicon sequence variants. Percentage values along each axis indicate the amount of variation explained by each of the first two principal components **C)** *Bifidobacterium longum* abundance was not changed between dietary groups in caecum, and had greater expression in RB diet supplemented mice. Letters and colors denote study diet group for each sample type (caecum or distal colon). **D)** Bar charts of log<sub>2</sub> fold differences for 26 differentially abundant (FDR-*P*<0.1) amplicon sequence variants when comparing rice bran or *B. longum*-fermented rice bran diets compared to control.



**Fig 3. Effect of RB and FRB-intake on microbial metabolites found locally in gut or systemic circulation following AOM/DSS-induced colon tumorigenesis in microbiota-intact<sup>SPf</sup> mice.** (A) Principal components analysis (PCA) of colonic and plasma metabolomes from control, rice bran and fermented rice bran diet groups. (B-C) Median scaled relative abundance for selected metabolites in colon tissue and plasma for rice bran or *B. longum*-fermented rice bran diet groups compared to control. Differential metabolite abundance is reported as relative to values obtained in control diet fed AOM/DSS treated mice<sup>SPf</sup>. Quantified data is represented as Columns (relative fold change); \*P 0.05. RB, rice bran; FRB, *Bifidobacterium longum*-fermented RB; AOM, azoxymethane; DSS, dextran sodium sulfate; mice<sup>SPf</sup>, specific pathogen-free mice.



**Fig 4. Effect of RB and FRB-intake on the expression of inflammatory mediators during AOM/DSS-induced colon tumorigenesis in gut microbiota-intact<sup>SPf</sup> mice.** Pictorial and data representation for colonic expression of inflammation-associated molecules (A) Cox-2, and (B) NF-κB (p65). Images were acquired at x400 with digitally magnified insets. Immunoreactivity (represented by intensity of DAB-stained brown staining) was scored as 0 (no staining), +1 (weak), +2 (moderate), +3 (strong) and +4 (very strong). Quantified data is represented as Columns (mean for each group); bars represent SEM. \*\*\*P 0.001, \*\*P 0.01, and \*P 0.05. (C) Heat map depicting relative changes in the plasma expression of various cytokine/chemokines and other inflammatory-mediators involved in immune responses, and CRC growth and progression. Plasma collected from untreated (negative controls) and AOM/DSS mice<sup>SPf</sup> (with and without RB and FRB-supplemented diets) was evaluated for the presence of various cytokines/chemokines using a mouse-Proteome profiler membrane array containing antibodies to 111 different cytokines/chemokines. Colors are assigned according to the relative scale of expression, ranging from \*\*\*-2 to 8, and represent fold change compared to negative controls. (D) Densitometric analysis of dot blots from pooled samples in each group are shown as fold changes relative to negative controls (NC). Quantified data is represented as Columns (mean for each group); bars represent SEM. \$; p<0.05, ψ; p<0.01, \*; p<0.001). RB, rice bran; FRB,

*Bifidobacterium longum*-fermented RB; AOM, azoxymethane; DSS, dextran sodium sulfate; mice<sup>SPf</sup>, specific pathogen free mice.

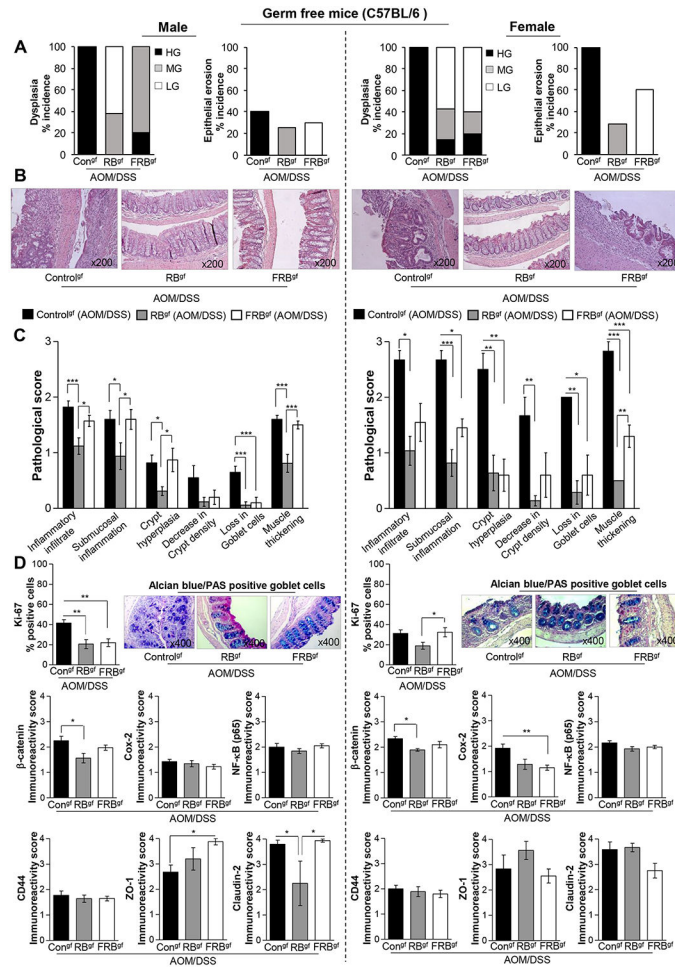
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**Fig 5. Gender-specific effects of RB and FRB-intake on AOM/DSS-induced colon tumorigenesis in the absence of gut microbiota (germ-free mice<sup>gf</sup>).**

Histopathological analysis of AOM/DSS mice<sup>gf</sup> colon tissues (with and without RB and FRB-supplemented diets) in male (*left-panel*) and female (*right-panel*) C57Bl/6<sup>gf</sup> mice; **(A)** % incidence of colonic dysplasia and epithelial erosion, **(B)** Representative pictographs of distal colon (H&E images) showing histopathological changes; images were acquired at x200, and **(C)** Pathological scores for inflammatory infiltrates, submucosal inflammation, crypt hyperplasia, decrease in crypt density and loss in goblet cells; a score ranging from 0 for normal (or lower) to 3 (extreme events) was assigned. **(D, upper right-panel, male and female)** Representative pictograph (x400 magnification) of alcian blue-PAS staining in the distal colon tissue depicting changes in mucin composition and distribution; **(D, upper left-and lower-panel, male and female)** Pictorial and data representation for % Ki-67 positive cells, and immunoreactivity scores for β-catenin, Cox-2, NF-κB (p65), ZO-1, and claudin-2 expression levels based on immunohistochemical staining. % Positive cells were quantified as the number of brown-stained cells x100 per total number of cells counted under x400 magnifications in 5-8 randomly selected fields in each sample. Immunoreactivity (represented by intensity of brown staining) was scored as 0 (no staining), +1 (weak), +2 (moderate), +3 (strong) and +4 (very strong). Quantified data is represented as Columns (mean for each group); bars represent SEM. \*\*\*P 0.001, \*\*P 0.01, and \*P 0.05.

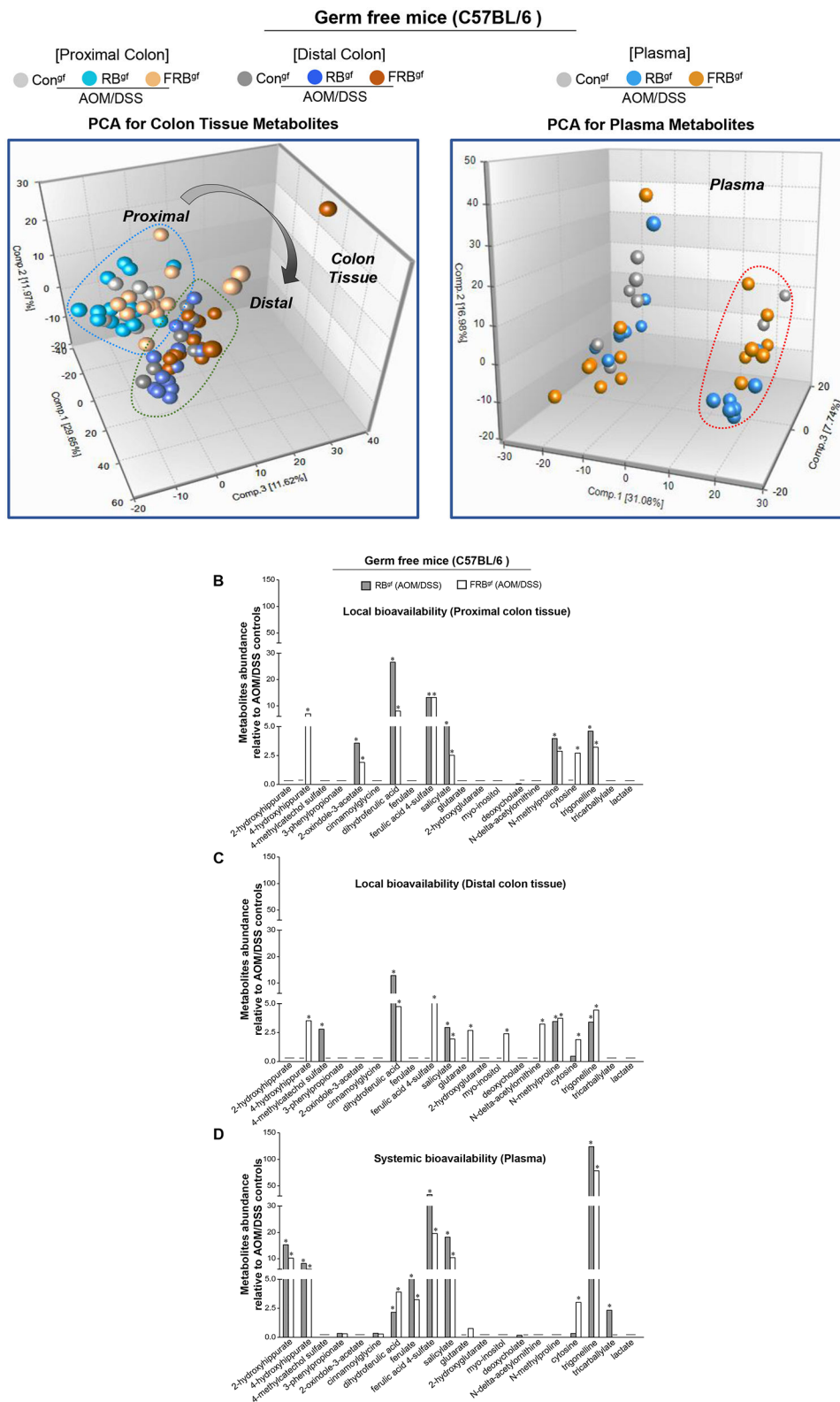
RB, rice bran; FRB, *Bifidobacterium longum*-fermented RB; AOM, azoxymethane; DSS, dextran sodium sulfate; HG, high grade; MG, moderate grade; LG, low grade dysplasia; PAS, Periodic acid-Schiff; mice<sup>gf</sup>, germ free mice.

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**Fig 6. Effect of RB and FRB-intake on colon and plasma metabolite profiles during AOM/DSS-induced colon tumorigenesis in germ-free mice<sup>gf</sup>.**

(A) Principal components analysis of colon (proximal and distal) and plasma metabolite profiles. Relative abundance of metabolites (B) in proximal colon tissue; (C) in distal colon tissue, and (D) in systemic circulation-plasma. Differential metabolite abundance is reported relative to values obtained in control diet fed AOM/DSS treated mice<sup>gf</sup>. Quantified data is represented as Columns (relative fold change); \*P < 0.05. RB, rice bran; FRB, *Bifidobacterium longum*-fermented RB; AOM, azoxymethane; DSS, dextran sodium sulfate; mice<sup>gf</sup>, germ free mice.