

Use of Tenofovir Diphosphate Levels to Predict Viremia During the Postpartum Period in Women Living With Human Immunodeficiency Virus (HIV): A Nested Case-Control Study

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Background. There are few data on the utility of tenofovir diphosphate (TFV-DP) in dried blood spots (DBSs) to predict future viral load (VL) in postpartum women with HIV on antiretroviral therapy (ART).

Methods. We conducted a nested case-control study within a trial of postpartum ART delivery strategies. Participants started ART containing tenofovir disoproxil fumarate (TDF) in pregnancy, were <10 weeks postpartum, and had a VL <400 copies/mL. VL and TFV-DP samples were taken every 3–6 months over 24 months. Cases had ≥1 VL ≥20 copies/mL; controls were randomly sampled from women with persistent viral suppression (VS; VL <20 copies/mL). Generalized estimating equations were used to calculate likelihood odds ratios (LORs) for future VL ≥20 copies/mL by TFV-DP concentration at the preceding visit.

Results. 61 cases and 20 controls contributed 365 DBS-VL pairs (median ART duration, 16 months). Sensitivity and specificity of TFV-DP <700 fmol/punch to detect future viremia were 62.9% (95% CI, 54.7–70.6%) and 89.7% (84.9–93.4%), respectively. Adjusting for age, ART duration, previous VL, and duration between the TFV-DP and VL measures, LORs of viremia for TFV-DP concentrations 350–699 and <350 fmol/punch versus TFV-DP ≥1850 fmol/punch were 3.5 (95% CI, 1.1–10.8; *P* = .033) and 12.9 (3.6–46.6; *P* < .0001), respectively. Including only samples taken during VS, the LOR of future viremia for TFV-DP concentration <350 fmol/punch versus TFV-DP ≥1850 fmol/punch was 9.5 (1.9–47.0).

Conclusions. TFV-DP concentrations in DBSs were strongly associated with future viremia and appear useful to identify nonadherence and predict future elevated VL.

Clinical Trials Registration. NCT03200054.

Keywords. antiretroviral therapy; adherence; dried blood spots; tenofovir diphosphate; predictive value.

South Africa has the largest human immunodeficiency virus (HIV) epidemic in the world, with an estimated 7.5 million people living with HIV, of whom approximately 65% are women over the age of 15 years [\[1\]](#page-6-0). While 75% of women living with HIV in South Africa are estimated to be on antiretroviral therapy (ART), there are ongoing concerns regarding ART nonadherence, particularly in postpartum women [\[2](#page-6-1)–[4\]](#page-6-2). Nonadherence to ART can lead to disease progression, HIV transmission, virological failure, and drug resistance [[5](#page-6-3), [6](#page-6-4)], and methods to measure adherence and identify those at high risk

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of poor treatment outcomes are needed in this population [\[2,](#page-6-1) [3,](#page-6-5) [7](#page-6-6)[–9\]](#page-6-7).

Assessment of adherence is challenging, however. Selfreported adherence usually overestimates actual adherence [\[10](#page-6-8)]. Pill counts, pharmacy refills, and electronic adherence measures, while more predictive of adherence, do not confirm pill ingestion [\[11](#page-6-9)[–13\]](#page-6-10). Elevated HIV viral load (VL) is a delayed outcome of nonadherence and does not distinguish between nonadherence and ART drug resistance [\[12](#page-6-11)[–14](#page-6-12)]. Objective adherence measures that identify patients with nonadherence prior to the development of elevated VL and related complications are urgently needed.

Pharmacologic measures, such as drug concentrations in dried blood spots (DBSs), can serve as objective measures of ART adherence. Recent studies have assessed the use of an assay to quantify tenofovir diphosphate (TFV-DP), the phosphorylated metabolite of tenofovir, in DBSs in persons with HIV taking tenofovir disoproxil fumarate (TDF) or tenofovir alafenamide (TAF) [[15\]](#page-6-13). TFV-DP has an intracellular half-life

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of approximately 17 days in red blood cells with high accumulation (25-fold) to steady state, allowing quantification of average adherence in the preceding 2 months [\[16,](#page-6-14) [17\]](#page-6-15). TFV-DP concentrations in DBSs are strongly associated with concurrent viral suppression and may also be predictive of future viremia. The relative odds of future viremia increased with lower drug concentration categories in studies in the United States and South Africa, but data from different populations, including postpartum women, in whom nonadherence is a particular concern, are limited [\[18–](#page-6-16)[21\]](#page-6-17). To address this, we examined whether TFV-DP in DBSs can predict future viremia in postpartum women living with HIV receiving TDF-containing ART in South Africa.

METHODS

Study Design and Participants

We conducted a nested case-control study within a trial of differentiated care for postpartum ART delivery (NCT03200054) in Cape Town, South Africa [[20](#page-6-18)]. Women were enrolled in the trial if they were older than 18 years, less than 10 weeks postpartum, had a VL of less than 400 copies/mL in the preceding 3 months, and had no comorbidities requiring regular clinical follow-up. All women started TDF 300 mg, lamivudine (3TC) 300 mg or emtricitabine (FTC) 200 mg, and efavirenz (EFV) 600 mg taken once daily as a fixed-dose combination (FDC) during pregnancy. Follow-up visits in the trial were conducted at 3, 6, 12, 18, and 24 months postpartum. At each visit, face-to-face interviews including intercurrent medical history were completed, DBS specimens were collected, and blood samples for VL testing were drawn (Abbott Molecular RealTime HIV-1 assay; Abbott Molecular, Des Plaines, IL, USA).

Excluding the VL measures taken at the first visit and the DBS samples taken at the final study visit, each DBS sample was linked with the VL specimen taken at the subsequent visit to create DBS and VL pairs ([Figure 1](#page-1-0)). For this substudy, women

with at least 2 DBS and VL pairs over the course of 24 months were eligible for inclusion. Among these, all women with at least 1 VL of 20 copies/mL or more were included as cases and a random sample of 20 women with persistent viral suppression (VL <20 copies/mL) were included as controls, providing a ratio of cases to controls of 3:1.

TFV-DP Quantification

To quantify TFV-DP in DBSs, 50 µL of venous blood ethylenediaminetetraacetic acid (EDTA) samples were pipetted onto DBS cards with up to 5 blood spots per card. The card was allowed to dry overnight at room temperature and was then stored at −80°C. TFV-DP concentrations in DBSs were assayed at the Clinical Pharmacology Laboratory in the Division of Clinical Pharmacology at the University of Cape Town using a validated liquid chromatography tandem mass spectrometry (LC-MS/MS) method, modified from the original method developed by Bushman et al [[21\]](#page-6-17), using an AB Sciex API 5500 instrument. Dried blood spot specimens with TFV-DP concentrations of 350 fmol/punch or greater and with concurrent VL values of 1000 copies/mL or more were sent for HIV drugresistance genotyping testing.

Analysis

Analyses were performed in Stata version 16.0 (StataCorp, College Station, TX, USA) or R (R Foundation, Vienna, Austria). Variables were summarized using means with standard deviations, medians with interquartile ranges (IQRs), or proportions, as appropriate. Concentrations of TFV-DP in DBSs below the lower limit of quantification (LLOQ; 16.6 fmol/punch) were assigned a value half that of the LLOQ (8.3 fmol/punch). TFV-DP concentrations were categorized based on previously established thresholds (<350, 350–699, 700–1249, 1250–1849, and ≥1850 fmol/punch), which were associated with concurrent viral suppression in a cohort of people with HIV in the United States and South African women [[17,](#page-6-15) [22,](#page-6-19) [23](#page-6-20)]. Viremia was

Figure 1. Illustration of study visits and formation of DBS and VL pairs. Abbreviations: DBS, dried blood spot; HIV, human immunodeficiency virus; TFV-DP, tenofovir diphosphate; VL, viral load.

defined as a VL of 20 copies/mL or greater. Sensitivity and specificity of TFV-DP thresholds to predict viremia at subsequent visits were calculated in all specimens, and for DBS and VL pairs in which the DBS sample was taken during viral suppression (VL <20 copies/mL). Sensitivity and specificity were also calculated for DBS and subsequent VL pairs with more than 90 days between the 2 specimens. Logistic regression models using generalized estimating equations for repeated measures within individuals were used to calculate likelihood odds ratios (LORs) for viremia at subsequent visits, comparing each TFV-DP category with the highest reference category (≥1850 fmol/punch). In addition, we conducted a sensitivity analysis using TFV-DP thresholds of less than 350, 350–699, 700–1249, and more than 1250 fmol/punch, .with a concentration of less than 350, 350- 699, 700-1249, and 1250 fmol/punch or more, with a concentration of 1250 fmol/punch or more as the reference category. Adjusted LORs were obtained from models including age, duration on ART at the point of VL, preceding VL result, and the duration between the DBS and VL result. Sensitivity analyses were done using thresholds for TFV-DP in DBSs (<800, 800–1649, and ≥1650 fmol/punch) that were predictive of future viremia (≥20 copies/mL) in a study in the United States [[18\]](#page-6-16) and using alternate VL thresholds to categorize viremia (VL ≥400 copies/ mL and VL ≥1000 copies/mL). We also compared fluctuations in TFV-DP concentrations between cases (prior to an episode of viremia) and controls using the modified robust Brown-Forsythe Levene-type test [[24\]](#page-6-21).

Ethics

All participants provided written informed consent, including consent for specimen storage and drug assays, prior to completing any study procedures. The study was approved by the

University of Cape Town Human Faculty of Health Sciences Human Research Ethics Committee.

RESULTS

Among 411 women in the parent trial, 389 had at least 2 DBS and subsequent VL pairs. Among these, 61 women had at least 1 VL of 20 copies/mL or more and were included as cases for this analysis. Of the remaining 328 who were virally suppressed at every visit, a random sample of 20 was selected, making up the final sample of 81 participants.

Of the 81 women, 3 (4%) women had 2 DBS and VL pairs included, 3 (4%) had 3 DBS and VL pairs, 25 (31%) had 4 DBS and VL pairs, and 50 (62%) had 5 DBS and VL pairs, for a total of 365 DBS and VL pairs. The median duration between each TFV-DP and VL measure was 175 days (IQR: 92–184 days). At the time of the first included DBS sample, median age was 29 (IQR: 26–32) years, median duration on ART was 16 (IQR: 14–20) months, and median duration postpartum was 19 (IQR: 9–88) days ([Table 1\)](#page-2-0). All women were receiving TDF, FTC, and EFV as an FDC taken once daily and had a VL less than 20 copies/mL at the time of the first DBS sample. Median TFV-DP concentration for the first included DBS sample was 1113 (IQR: 904–1432) fmol/punch, with 3 (4%), 8 (10%), 38 (47%), 22 (27%), and 10 (12%) samples in the less than 350-, 350–699-, 700–1249-, 1250–1849-, and 1850-fmol/punch or more categories, respectively. Among all 365 DBS specimens, the median TFV-DP concentration was 1051 (397–1466) fmol/ punch. Overall, 308 (84%) DBS samples had concentrations of TFV-DP in the quantifiable range, with 90 (25%) in the less than 350-fmol/punch category (including specimens below the LLOQ), 27 (7%) in the 350–600-fmol/punch category, 109 (30%) in the 700–1249-fmol/punch category, 98 (27%) in the

Table 1. Characteristics of Included Women at Time of First Dried Blood Spot Sample

N = 81. All women were on tenofovir, emtricitabine, and efavirenz taken once daily as a fixed-dose combination and all women had a VL <20 copies/mL at first DBS by design. Abbreviations: ART, antiretroviral therapy; DBS, dried blood spot; IQR, interquartile range; PMTCT, prevention of mother-to-child transmission; TFV-DP, tenofovir diphosphate.

Figure 2. HIV VL and TFV-DP trajectories over the study period (n = 81). The colored bars represent the proportion of individuals in each TFV-DP concentration category at each time point. The lines (paths) between the bars are colored by VL category and show the trajectory of TFV-DP concentrations over time. Abbreviations: HIV, human immunodeficiency virus; m, months; TFV-DP, tenofovir diphosphate; VL, viral load; w, weeks.

1250–1849-fmol/punch category, and 41 (11%) in the 1850 fmol/punch or more category.

A dose–response relationship was found between decreasing TFV-DP concentrations in DBS and future viremia. [Figure 2](#page-3-0) shows the trajectory of TFV-DP concentrations by VL category. Of the 41 DBS samples with TFV-DP concentrations of 1850 fmol/punch or more,, 7 (17%) had a VL of 20 copies/mL or greater at the subsequent visit, compared with 22 of 98 (22%) with a TFV-DP concentration of 1250–1849 fmol/punch, 27 of 109 (25%) with a TFV-DP concentration of 700–1249 fmol/ punch, 13 of 27 (48%) with a TFV-DP concentration of 350– 699 fmol/punch, and 82 of 90 (91%) with a TFV-DP concentration less than 350 fmol/punch. There were 55 DBS samples with a TFV-DP concentration of less than 350 fmol/punch which had at least 2 subsequent visits at which VLs were taken; of these, 48

(87%) DBS samples were followed by 2 VL of 20 copies/mL or greater. [\(Supplementary Table 4](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab1068#supplementary-data)). Variation in TFV-DP concentrations between cases and controls prior to the occurrence of viremia in cases indicated larger variances and lower median DBS values in cases compared with controls (*P* = .0002).

Specificity of TFV-DP in DBSs less than the LLOQ to predict future viremia in all specimens was 98% (95% confidence interval [CI]: 95.3–99.5%) [\(Table 2](#page-3-1)), but sensitivity was low at 35.1% (95% CI: 27.5–43.3%). Specificities remained high at a TFV-DP threshold of less than 700 fmol/punch (89.7%; 95% CI: 84.9–93.4%), while sensitivity increased to 62.9% (95% CI: 54.7–70.6%). Sensitivities were similar when the VL specimen was taken more than 90 days after the DBS. When including only specimens taking during viral suppression, specificity remained high but sensitivities were lower; in those with a VL

Table 2. Sensitivity and Specificity of Binary Drug Concentration Thresholds to Predict Future Viral Load ≥20 Copies/mL

Abbreviations: DBS, dried blood spot; LLOQ, lower limit of quantification; TDF-DP, tenofovir diphosphate; VL, viral load.

Table 3. Generalized Estimating Equations With Logistic Regression Predicting Future Viral Load ≥20 Copies/mL by Tenofovir Diphosphate Concentration

= 365 DBS and VL samples in 81 womer

Abbreviations: aOR, adjusted odds ratio; ART, antiretroviral therapy; CI, confidence interval; DBS, dried blood spot; OR, odds ratio; Ref, reference; TFV-DP, tenofovir diphosphate; VL, viral load.

less than 20 copies/mL, specificity to predict future viremia was 91.6% (95% CI: 86.7–95.1%), while sensitivity was 29.2% (95% CI: 18.6–41.8%).

After adjusting for age, duration on ART at the point of VL, previous VL value, and duration between the TFV-DP and VL measurement, the LOR for a future VL of 20 copies/mL or greater with a TFV-DP concentration of 1250–1849 fmol/punch compared with TFV-DP of more than 1850 fmol/punch was 1.3 (95% CI: 0.5–3.3; *P* = .599) [\(Table 3](#page-4-0)). The magnitude of this association increased with decreasing TFV-DP concentration categories: compared with TFV-DP of 1850 fmol/punch or more, the adjusted LORs for TFV-DP concentrations of 700–1249, 350–699, and less than 350 fmol/punch were 1.5 (95% CI: .6–3.8; *P* = .391), 3.5 (95% CI: 1.1–10.8; *P* = .033), and 12.9 (95% CI: 3.6–46.6; *P* < .0001), respectively. Using a TFV-DP of 1250 fmol/ punch or more as the reference category, the adjusted LORs for TFV-DP concentrations of 700–1249, 350–699, and less than 350 fmol/punch were 1.25 (95% CI: .68–2.30; *P* = .473), 2.87 (95% CI: 1.18–7.03; *P* = .021), and 10.74 (95% CI: 3.69–31.28; *P* < .0001), respectively [\(Supplementary Table 5\)](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab1068#supplementary-data).

The LORs for a VL of 400 copies/mL or greater at the subsequent visit were larger than for a VL of 20 copies/mL or greater: compared with TFV-DP concentrations of 1850 fmol/

punch or more, the adjusted LORs for TFV-DP concentrations of 350–699 and less than 350 fmol/punch were 13.07 (95% CI: 1.5–116.8; *P* = .021) and 27.9 (95% CI: 3.0–263.8; *P* = .004), respectively ([Supplementary Table 1\)](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab1068#supplementary-data). The LOR for a VL of 1000 copies/mL or greater for TFV-DP less than 350 fmol/punch was 20.9 (95% CI: 2.1–208.8) ([Supplementary Table 2](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab1068#supplementary-data)). Using TFV-DP in DBS thresholds established as predictive of future viremia in persons living with HIV on TDF-containing ART in the United States, the adjusted LORs of a VL of 20 copies/mL or more for TFV-DP concentrations of 800–1649 and less than 800 fmol/punch versus 1650 fmol/punch or more were 1.1 (95% CI: .5–2.2; *P* = .827) and 2.9 (95% CI: 1.3–6.4; *P* = .011), respectively ([Supplementary Table 3\)](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab1068#supplementary-data).

In sensitivity analyses including only the 255 DBS samples taken during viral suppression, the LORs for a VL of 20 copies/mL or greater for TFV-DP concentrations of 1250–1849, 700–1249, and 350–699 fmol/punch compared with TFV-DP concentrations of 1850 fmol/punch or greater were not statistically significant [\(Table 4\)](#page-4-1). However, compared with a TFV-DP concentration of 1850 fmol/punch or greater, a TFV-DP concentration less than 350 fmol/punch was associated with a statistically significant odds of viremia (LOR: 9.5; 95% CI: 1.9– $47.0; P = .006$).

N = 255 DBS and VL samples in 81 women.

Abbreviations: aOR, adjusted odds ratio; ART, antiretroviral therapy; CI, confidence interval; DBS, dried blood spot; OR, odds ratio; Ref, reference; TFV-DP, tenofovir diphosphate; VL, viral load.

Two DBS samples with TFV-DP concentrations of 350 fmol/ punch or greater, from separate participants, had concurrent VL values of 1000 copies/mL or more. An additional 2 samples, from a single participant, with TFV-DP concentrations of 700 fmol/punch or greater had concurrent VLs of 1000 copies/ mL or more. These 4 samples were sent for HIV drug-resistance genotyping testing: 2 had no resistance mutations, 1 had a K103N mutation, and no amplification was obtained in the fourth sample.

DISCUSSION

In postpartum women on TDF-containing ART in South Africa, we observed a dose–response relationship between decreasing TFV-DP concentrations in DBSs and HIV viremia occurring up to 6 months in the future. In an adjusted model, the LOR for a subsequent VL of 20 copies/mL or greater was significantly higher for TFV-DP concentrations of 350–699 fmol/punch and less than 350 fmol/punch compared with TFV-DP concentrations of 1850 fmol/punch or more. TFV-DP concentrations less than 350 fmol/punch were persistently associated with a significant LOR of viremia when the analysis was restricted only to DBS specimens sampled during viral suppression. Taken together, these data point to the potential value of this objective adherence marker in populations on ART at high risk of viremia.

The findings here are in line with those of a study by Morrow et al [\[18](#page-6-16)] conducted in the United States, which found an association between lower drug concentration categories and future viremia, including in those virologically suppressed at the time of DBS sampling. In that study, compared with TFV-DP concentrations of 1650 fmol/punch or higher, both TFV-DP concentrations less than 800 and 800–1649 fmol/ punch were significantly associated with a future VL of 20 copies/mL or greater (odds ratios: 4.7 and 2.1, respectively). While we found a similar dose–response relationship between TFV-DP concentrations and future viremia, only concentrations below 700 fmol/punch were significantly associated with future viremia in our analysis. Reasons for this difference require further investigation. The study by Morrow et al included participants on nonnucleoside reverse transcriptase inhibitor (NNRTI), integrase strand-transfer inhibitor, and boosted protease inhibitor-based ART regimens, while all participants in this analysis were on NNRTI-based ART. In addition, we lack data on body mass index, estimated glomerular filtration rate, hematocrit, and CD4 count, all of which may affect metabolism of tenofovir in plasma and of TFV-DP in red blood cells and DBSs [[25](#page-6-22), [26\]](#page-6-23). Future studies that include measurement of these potential confounders are required to confirm therapeutic thresholds.

Numerous studies have documented a high risk of nonadherence and loss of virologic control in the postpartum

period, including in South Africa, and development of management strategies for this group is a public health priority [\[2](#page-6-1), [3,](#page-6-5) [7](#page-6-6), [9\]](#page-6-7). The use of TFV-DP in DBSs in combination with VL results could facilitate appropriate management by detecting nonadherence early and helping to differentiate nonadherence from drug resistance. Detection of low TFV-DP concentrations in individuals with suppressed VLs would suggest nonadherence and allow earlier intervention than when relying on VL alone [[27](#page-6-24)]. However, it is important to note that, when TFV-DP concentrations were taken during viral suppression, the sensitivities of TFV-DP concentrations below 350 fmol/punch and below 700 fmol/punch to detect future viremia were high but specificities were low. Women with moderately high TFV-DP concentrations during viral suppression may thus still be at risk of future viremia and should continue to receive adherence counselling and other methods of adherence assessment. Viremia in the presence of relatively high TFV-DP concentrations could indicate possible ART drug resistance and identify those in need of drugresistance testing [[28,](#page-6-25) [29](#page-6-26)]. In this analysis, 4 DBS specimens with TFV-DP concentrations of 350 fmol/punch or greater had concurrent VLs of 1000 copies/mL or more and were sent for HIV drug-resistance genotyping: a K103N mutation was identified in 1 sample. Early management of drug resistance is vital to prevent the accumulation of further mutations, but drug-resistance testing is costly. Thus, the quantification of TFV-DP concentrations in DBSs could help prioritize individuals in need of drug-resistance testing.

A strength of this study is that tenofovir-based ART is extensively used as part of first-line ART regimens, including in South Africa [[30\]](#page-6-27). Limitations include that participants were seen in a research setting and inclusion in the analysis was dependent on study visit attendance. The outcome in this analysis was the occurence of 1 VL of 20 copies/mL or more and further research should assess the ability of TFV-DP in DBSs to predict persistent viremia. In addition, the utility of TFV-DP in DBSs in diverse clinical settings, and the effect of its use on clinical outcomes, requires additional investigation. Further, TFV-DP in DBS assays remain costly and require laboratory facilities. Thus, cost-effectiveness and feasibility require investigation, particularly in resource-limited settings, as well as the development of point-of-care tests.

In summary, we found that TFV-DP concentrations in DBSs were predictive of future viremia in postpartum South African women on TDF-containing ART, including those virally suppressed at DBS sampling. Used in conjunction with VL testing, TFV-DP concentrations in DBSs could be valuable to identify individuals at risk of future viremia and help distinguish nonadherence from ARV drug resistance, thereby guiding clinical management. Further research is required to confirm therapeutic thresholds in different populations and assess its use in clinical practice.

Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

Notes

Author contributions. Conceptualization and design: L. M., M. L., J. C.-M., C. O.; data curation: J. O., S. K.; analysis: J. O., M. L.; funding acquisition: L. M.; trial conduct: J. A., S. K., J. O., T. R. M., N.-C. H., L. W.; writing, original draft: J. O.; writing, review and editing: C. O., T. K. P., N.-C. H., S. K., T. R. M., J. A., L. W., N.-y.H. , J. C.-M., M. L.

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