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Fibroblast GSK-3α **Promotes Fibrosis via RAF-MEK-ERK Pathway in the Injured Heart**

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Abstract

Background—Heart failure (HF) is the leading cause of mortality, morbidity, and healthcare expenditures worldwide. Numerous studies have implicated Glycogen Synthase Kinase-3 (GSK-3) as a promising therapeutic target for cardiovascular diseases. GSK-3 isoforms appear to play overlapping, unique, and even opposing functions in the heart. Previously we have shown that of the two isoforms of GSK-3, cardiac fibroblast (CF) GSK-3β acts as a negative regulator of myocardial fibrosis in the ischemic heart. However, the role of CF-GSK-3α in the pathogenesis of cardiac diseases is completely unknown.

Methods: To define the role of CF-GSK-3α in myocardial fibrosis and HF, GSK-3α was deleted from fibroblasts or myofibroblasts with tamoxifen-inducible Tcf21- or Postn- promoter-driven Cre recombinase. Control and GSK-3α KO mice were subjected to cardiac injury and heart parameters were evaluated. The fibroblast kinome mapping was carried out to delineate molecular mechanism followed by *in vivo* and *in vitro* analysis.

Results: Fibroblast-specific GSK-3α deletion restricted fibrotic remodeling and preserved function of the injured heart. We observed reductions in cell migration, collagen gel contraction, α-SMA protein levels, and expression of ECM genes in TGFβ1-treated KO fibroblasts, indicating that GSK-3α is required for myofibroblast transformation. Surprisingly, GSK-3α deletion did not affect SMAD3 activation, suggesting the pro-fibrotic role of GSK-3α is SMAD3 independent. The molecular studies confirmed decreased ERK signaling in GSK-3α-KO CFs. Conversely, adenovirus-mediated expression of a constitutively active form of GSK-3α (Ad-GSK-3α 521A) in

Disclosures

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fibroblasts increased ERK activation and expression of fibrogenic proteins. Importantly, this effect was abolished by ERK inhibition.

Conclusions: GSK-3α mediated MEK-ERK activation is a critical pro-fibrotic signaling circuit in the injured heart which operates independently of the canonical TGF-β1-SMAD3 pathway. Therefore, strategies to inhibit the GSK-3α-MEK-ERK signaling circuit could prevent adverse fibrosis in diseased hearts.

Graphical Abstract

Keywords

GSK-3α; fibrosis; fibroblast; heart failure; ERK signaling

SUBJECT CODES

Mechanisms; Basic Science Research; Cell Signaling/Signal Transduction

Introduction

Myocardial fibrosis contributes significantly to heart failure (HF) progression.¹⁻³ However, there is no specific therapy to combat myocardial fibrosis. The cardiac fibroblasts (CF), one of the major cell types in the heart 4 , are key contributors to the development of fibrosis.⁵ Until recently, the role of CF in cardiac pathophysiology remained auxiliary to

cardiomyocytes, in part because animal models that permit CF-specific gene targeting were unavailable. Thus, most of the literature regarding fibroblast biology has largely relied on experimental outcomes emerging from in vitro culture systems and mouse models in which the target gene is deleted in cardiomyocytes or globally. To overcome this limitation, the scientific community has developed fibroblast-specific genetic mouse models.⁶ Among the recently generated FB-specific genetic models, $Tcf21^{MCM}$ and Postn^{MCM} mice lines are well characterized and suited for the effective manipulation of a target gene in the tissue-resident fibroblasts and myofibroblasts, respectively.⁷⁻¹³

Myocardial fibrosis is characterized by a disproportionate accumulation of extracellular matrix (ECM) components. In an injured heart, CFs undergo a phenotypic switch from a quiescent to an activated state. These activated CFs or myofibroblasts are considered to be the principal producers of ECM under pathological conditions.⁵ Among various profibrotic factors, TGFβ1 is best characterized for its role in fibroblast activation and has been implicated in promoting fibrosis in various cardiac diseases. In canonical TGFβ signaling, the binding of TGFβ to its receptors leads to the activation of receptor-associated SMADs. These activated SMADs form a heteromeric complex with co-SMAD, i.e., SMAD4, which then translocates into the nucleus and regulates the transcription of target genes. In addition to this canonical signaling, $TGF\beta$ can modulate the signaling of mitogen-activated protein kinases (MAPKs), phosphoinositide-3-kinase (PI3K), Ras Homolog Family Member A (RhoA), protein phosphatase 2A (PP2A), nuclear factor-κB (NF-kB), and TGF-β-activated kinase 1 (TAK1).¹⁴ Our lab has demonstrated the CF-specific deletion of Glycogen Synthase Kinase-3β (GSK-3β) causes hyperactivation of SMAD-3, which results in excessive fibrosis and cardiac dysfunction post-MI.15 Also, fibroblast-specific genetic manipulation revealed that MAPK p38α acts as a nodal point where mechanical and paracrine signals converge to initiate a fibrotic response in the mouse heart.¹⁰ A recent study has shown that TGF β1 increases IL-11 expression in fibroblasts which, in turn, regulates the translation of fibrogenic proteins via a non-canonical, ERK-dependent pathway.16 Together, these studies indicate that multiple distinct pathways cooperate with classical TGF β signaling in fibroblasts to mediate fibrotic responses in the diseased or damaged heart.

The GSK-3 family is comprised of two highly conserved isoforms, GSK-3α and GSK-3β. The roles of GSK-3 isoforms in cardiomyocyte biology and cardiac disease have been extensively studied.15, 17-23 Our lab has discovered that CF-GSK-3β acts as a negative regulator of myocardial fibrosis in the ischemic heart.15 However, the role of CF-GSK-3α in the pathogenesis of HF is entirely unknown. We found that CF-GSK-3α activity increases as an early response to pressure overload (Figure S1). In the present study, we employed Tcf21^{MCM} and Postn^{MCM} models to delete GSK-3α specifically from resident cardiac fibroblasts and myofibroblasts. We report that CF-specific deletion of GSK-3α prevents pressure overload-induced adverse fibrotic remodeling and cardiac dysfunction. Mechanistically, we demonstrate that GSK-3α exerts its pro-fibrotic effect via the RAF-MEK-ERK signaling network and is independent of classical canonical TGF-β1/SMAD3 signaling.

Methods

Data Availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Mice

Resident fibroblast-specific deletion of GSK-3α (GSK-3α^{FKO}) was achieved by crossing GSK-3 α fl/fl mice ^{24, 25} with Tcf21^{MCM} mice^{12, 26}. To generate myofibroblast-specific GSK-3α KO (GSK-3α^{MFKO}), GSK-3α ^{fl/fl} mice were crossed with Periostin-MCM mice (PostnMCM; Jackson laboratories, stock #029645).11 The GSK-3α fl/fl/Cre+/−/TAM mice were denoted as fibroblast knockouts (GSK- 3α ^{FKO} or GSK- 3α ^{MFKO}), whereas littermates GSK-3 α fl/fl/Cre^{-/-}/TAM represented as controls (CTL). A cohort of animals of both sexes was recruited to investigate the sex-specific role of FB-GSK-3α on cardiac function. We did not observe sex-specific differences in our models (Figure S2). No animals were excluded from the analysis. The Institutional Animal Care and Use Committee of the University of Alabama at Birmingham approved all animal procedures and treatments used in this study (protocol # IACUC-21701).

Statistical analysis

Analyses were performed using GraphPad Prism (version 9.0.0). The Shapiro-Wilk test was used to determine the normality of data. Data following normal distribution were then analyzed with an unpaired, 2-tailed Student t -test (2 groups). For multiple group comparisons, 1-way ANOVA (for 1 variable) or 2-way ANOVA (for 2 variables) was conducted followed by Tukey post hoc analysis. For non-normally distributed data or when N<6, unpaired 2-tailed Mann-Whitney test (for 2 groups) or Kruskal-Wallis test (for 3 or more groups) was performed, followed by Dunn post hoc analysis. A p-value of < 0.05 was considered statistically significant.

Detailed descriptions of experimental methods are presented in Supplemental Material.

Results

Deletion of GSK-3α **in residential FBs prevents adverse cardiac remodeling and maintains cardiac function.**

Among the two isoforms of the GSK-3 family of kinases, the role of GSK-3β in fibrotic signaling is well known. However, there is no literature on the role of GSK-3α in fibrosis biology.15, 17-21 We found that CF-GSK-3α activity increases as an early response to pressure overload (Figure S1). To evaluate the role of cardiac resident fibroblast GSK-3α in injury-induced cardiac remodeling, we generated a mouse model in which GSK-3α was conditionally deleted in resident fibroblast using tamoxifen (TAM)-inducible Tcf21 promoter-driven MerCreMer transgene (Tcf21-MCM). We crossed Tcf21^{MCM} mice with GSK-3α^{fl/fl} mice to obtain GSK-3α^{fl/fl}Tcf21^{MCM} mice. GSK-3α^{fl/fl}/MCM^{+/−}/TAM mice were denoted as knockout (GSK-3 α ^{FKO}), whereas littermates GSK-3 α ^{fl/fl}/MCM^{-/-}/TAM represented controls (CTL). Tamoxifen (TAM) chow diet protocol was employed to induce

the FB-specific expression of Cre recombinase, as previously reported.⁸ To confirm the CF-specific deletion of GSK-3α, fibroblasts were isolated from the heart of experimental animals and proteins were extracted. Western blot analysis confirmed that TAM treatment led to a significant reduction of GSK-3α protein in the CFs from GSK-3α^{FKO} mice compared with littermate controls (Figure 1A-1B).

After 2 weeks of TAM treatment, mice were subjected to TAC surgery. To assess cardiac function, we performed serial M-mode echocardiography. In the CTL-TAC group, LVEF and LVFS declined from 4 weeks post-surgery, indicating systolic dysfunction (Figure 1C-1D). These changes were associated with the development of structural remodeling as reflected by a significant increase in LVIDs, in the CTL-TAC group (Figure 1E-1F). Interestingly, all these parameters were essentially normalized in $GSK-3\alpha$ ^{FKO}. To verify the effects of CFspecific GSK-3α deletion on adverse cardiac remodeling, we performed morphometrics and histological studies at 8 weeks post-TAC. We measured HW/TL ratio and cardiomyocyte cross-sectional area (CSA). Both these parameters were elevated in the CTL-TAC group revealing hypertrophic remodeling (Figure 2A-2B). For assessment of cardiac fibrosis, Masson's Trichrome staining was used, and excessive collagen deposition was detected in CTL-TAC hearts (Figure 2C-2D). Since activated fibroblasts or myofibroblasts are the key contributors to fibrosis and are characterized by α-SMA expression, we measured α-SMA+ve non-vascular cells. Immunofluorescence studies confirmed increase in α-SMA+ve non-vascular cells in the CTL-TAC heart as compared to the CTL-SHAM group (Figure 2E-2F). All these characteristics of pathological cardiac remodeling were alleviated in GSK-3αFKO mice. Additionally, we compared expression levels of key genes related to cardiac hypertrophy (ANP and BNP) and fibrosis (COL1A1 and COL3A1). These molecular markers were significantly augmented in the CTL-TAC group but were normalized in GSK-3αFKO mice (Figure 2G-2J). Taken together, these findings confirmed that deletion of GSK-3α from resident FBs before injury insult resulted in improved cardiac function and prevented adverse cardiac remodeling post-TAC. To confirm whether fibrosis is the primary factor contributing to cardiac phenotype and not the mere secondary effect of adverse remodeling, we assessed myocardial fibrosis and cardiomyocyte hypertrophy at 4 weeks post-TAC, a time point that preceded major functional differences between genotypes. Indeed, fibroblast-specific deletion of GSK-3α significantly reduced cardiac fibrosis. Importantly, cardiomyocyte hypertrophy remained comparable between the groups (Figure S3). These findings suggest that fibrosis is central to the protection conferred by fibroblast-specific GSK-3α deletion

Deletion of GSK-3α **in myofibroblasts protects the heart from injury-induced adverse cardiac remodeling and dysfunction.**

Cardiac injury triggers the activation of fibroblasts by a process called myofibroblast transformation. Myofibroblasts play a key role in the healing phase of injury. However, persistent survival of these cells even after injury resolution leads to pathological fibrotic remodeling and cardiac dysfunction.⁵ To define the role of GSK-3α in myofibroblast function, we deleted GSK-3α specifically in myofibroblasts using tamoxifen (TAM) inducible periostin promoter-driven MerCreMer transgene (Postn^{MCM}). We crossed Postn^{MCM} mice with GSK-3α^{fl/fl} mice to obtain GSK-3α^{fl/fl}Postn^{MCM} mice. GSK-3α^{fl/fl}/

MCM^{+/−}/TAM mice were designated as conditional knockout (GSK-3α^{MFKO}), whereas littermates GSK-3α fl/fl/MCM−/−/TAM represented wild type controls (CTL). Tamoxifen (TAM) chow diet protocol was employed to induce the FB-specific expression of Cre recombinase, as previously reported.⁸ To confirm the CF-specific deletion of GSK-3 α , fibroblasts were isolated from the heart of experimental animals at 4 weeks post-injury, and proteins were subjected to Western blot analysis which confirmed that TAM treatment caused a significant reduction of GSK-3α protein in the CFs from GSK-3α MFKO mice compared with littermate controls (Figure 3A-3B).

After 1 week of TAM treatment, mice were subjected to TAC surgery. We assessed cardiac function by serial echocardiography. The CTL-TAC group showed lower LVEF and LVFS as compared to CTL-SHAM, confirming the development of cardiac dysfunction. Moreover, LVIDs were significantly increased in CTL-TAC groups, indicating the development of adverse cardiac remodeling. All these injury-induced adverse effects were remarkably prevented by myofibroblast-specific GSK-3α deletion (Figure 3C-3F).

To further examine the cardioprotective effects of myofibroblast-specific GSK-3α deletion on cardiac remodeling, we harvested the hearts at 8 weeks post-TAC for morphometrics and histological studies. The CTL-TAC group showed a significant increase in HW/TL and CSA compared with the CTL-SHAM group, indicative of cardiac hypertrophy. These parameters were comparatively lowered in hearts where myofibroblast-specific GSK-3α deletion had occurred (Figure 4A-4B). Masson's Trichrome staining revealed excessive collagen deposition in CTL-TAC groups. Also, the number of α-SMA+ve non-vascular cells was higher in the CTL-TAC group, confirming the development of adverse cardiac fibrosis in these animals (Figure 4C-4F). Notably, loss of GSK-3α from myofibroblasts exerted a major impact on cardiac fibrosis by normalizing it to that of the CTL-SHAM group. Next, we analyzed the expression of signature genes related to cardiac hypertrophy (ANP and BNP) and cardiac fibrosis (COL1A1, COL3A1) by the qPCR method. As expected, there was a significant increase in levels of these molecular markers in the CTL-TAC group, and deletion of GSK-3α from myofibroblasts caused retention of normal levels (Figure 4G-4J). Taken together, these findings suggest that deletion of GSK-3α post-injury affects myofibroblast function and delays injury-induced pathological remodeling.

CF-GSK-3α **promotes Fibroblast to Myofibroblast Transformation**

To define the role of GSK-3α in fibrotic remodeling, we examined the effect of GSK-3α deletion on myofibroblast transformation, a key event in fibrosis. Myofibroblasts are hyper-migratory and exhibit hyper-contractile nature. To validate their phenotype, we performed cell migration and collagen gel contraction assays, which are based on these key characteristics. To induce myofibroblast transformation, we treated WT and GSK-3α KO mouse embryonic fibroblasts (MEFs) with TGF-β1 (10 ng/mL, 48h). We observed a reduction in α-SMA protein levels, cell migration and collagen gel contraction in TGF-β1 treated GSK-3α KO MEF (Figure 5A-5E). Additionally, ECM gene expression (COL1A1, Fibronectin-1) was downregulated in KO cells (Figure 5F-5G). These findings are consistent with a requirement for GSK-3α in TGF-β1-induced myofibroblast transformation and fibrotic response.

CF-GSK-3α **mediates pro-fibrotic effects independent of canonical TGF-**β**1-SMAD3 signaling**

GSK-3 are well known for its regulatory role in glycogen synthesis and autophagy.^{27, 28} However, we did not observe a significant alteration in levels of glycogen synthase in GSK-3α KO FBs indicating that FB glucose metabolism was unaltered and not contributing to GSK-3α mediated pro-fibrotic response (Figure S4). The role of autophagy in fibrosis is controversial hence future studies are needed to confirm the contribution of autophagy in FB-GSK-3α mediated pro-fibrotic response.

Historically, canonical TGF-β1-SMAD3 signaling has been at the center of the mechanistic basis of myocardial fibrosis. Furthermore, we have previously reported a critical role of CF-GSK-3β in TGF-β1-SMAD3 signaling; however, the role of GSK-3α in this well-known pro-fibrotic pathway is unidentified.¹⁵ First, we confirmed whether GSK-3α deletion/overexpression has any effect on GSK-3β expression/activity in FBs. Our western blot analysis showed that GSK-3β expression/activity was not altered in GSK-3α KO or overexpressing FBs (Figure S5). To test whether GSK-3α may also modulate TGF-β1- SMAD3 signaling, we examined the effect of deleting this isoform on SMAD3 activation. WT and GSK-3α MEFs were treated with TGF-β1 (10ng/ml, 1h), and SMAD3 activation was confirmed by analyzing levels of SMAD3 phosphorylation and nuclear translocation. Surprisingly, TGF-β1-induced SMAD3 activation was comparable in both WT and GSK-3α KO MEF (Figure 6A-6B). We then isolated CFs from adult $GSK-3\alpha$ fl/fl mice and adenovirally transduced them with either LacZ (Ad-LacZ) as control or Cre recombinase (Ad-Cre) to delete GSK-3 α , as described previously.¹⁵ Western blot analysis confirmed that Ad-Cre transduction led to an 80% reduction in GSK-3α protein after 48h (Figure 6C-6D). These cells were further treated with TGF–β1 (10ng/ml, 1h). In line with the MEF studies, we observed no significant effect of GSK-3α deletion on TGFβ1-induced SMAD3 phosphorylation (Figure 6E). Next, we employed a gain-of-function approach in which we used neonatal rat ventricular fibroblasts (NRVF) isolated from 1- to 3-day-old rat pups and overexpressed GSK-3α by adenoviral transduction (Ad-GSK-3α). Consistent with the loss-of-function studies, overexpression of GSK-3α had no effect on TGF-β1-induced SMAD3 activation (Figure 6F-6H). Taken together, these results suggest that CF-GSK-3α acts via eliciting SMAD3 independent pro-fibrotic signaling. We also examined the effect of GSK-3α deletion on p38α kinase, a known regulator of fibrosis that acts independently of SMAD3.10 We found that the p38α signaling was unaffected in GSK-3α KO FBs (Figure S6).

High Throughput Profiling of GSK-3α **Regulated Fibroblast Kinome Reveals downregulation of RAF kinases in KOs**

To gain further clues to the fibroblast signaling pathways impacted by the knockout of GSK-3α, an unbiased kinome profiling was performed at the UAB Kinome core [\(https://kinomecore.com/\)](https://kinomecore.com/) as described in the method section. At 4 weeks post-injury, CFs were isolated from CTL and $GSK-3\alpha$ ^{FKO} animals and total proteins were extracted and quantified. Kinome profiling was performed on the PamStation®12 platform. Unsupervised hierarchical clustering of peptide signals was performed in BioNavigator and displayed as a heatmap demonstrating altered phosphopeptide signatures in GSK-3α^{FKO} CFs compared

to CTL (Figure 7A). Altered upstream kinases were identified within BioNavigator, highlighted by a significant reduction in phosphorylation of peptide targets of RAF family kinase, CDKs, RSKs, and AKT in GSK- 3α ^{FKO} CFs (Figure 7B, TableS1). These altered kinases, network-mapped against literature annotated interactions in GeneGo MetaCore led to the generation of an ERK-centric network (Figure 7C). Alterations in CDKs and AurKB kinases in kinome analysis pointed out that GSK-3α deletion might have affected the FB cell cycle. However, at 4 weeks post-TAC FB proliferation was comparable between CTL and KO groups (Figure S7). Despite no statistical significance in FB proliferation, a remarkable reduction in fibrosis was observed in KO groups at 4 weeks post-TAC. This finding further confirms that CF proliferation plays a minimal role in driving the GSK-3α mediated pro-fibrotic response.

CF-GSK-3α **promotes fibrosis through the RAF-MEK-ERK signaling pathway**

To validate our findings from the kinome analysis, we isolated CFs from CTL and GSK-3αFKO mice post-TAC and analyzed pERK levels by western blotting and flow cytometry. As expected, we found a considerable reduction in pERK levels in GSK- 3α ^{FKO} mice compared to CTL at both 4 weeks and 8 weeks post-TAC (Figure 7D-7E). To examine whether GSK-3α regulates the ERK pathway under pro-fibrotic conditions, WT and GSK-3α KO fibroblasts were treated with TGF-β1 (10 ng/mL, 10min), and Western blotting was performed to determine the ERK activation. Indeed, TGF-β1-induced ERK phosphorylation was inhibited in GSK-3α KO cells (Figure 7F). We then isolated CFs from adult GSK-3 α fl/fl mice and adenovirally transduced them with either LacZ (Ad-LacZ) as control or Cre recombinase (Ad-Cre) to delete GSK-3α, as described previously.15 These cells were further treated with TGF–β1 (10ng/ml, 1h). In line with the MEF studies, we observed reduction in TGFβ1-induced ERK phosphorylation in GSK-3α KO CFs (Figure 7G). Next, we transfected NRVFs with adenovirus expressing a mutant form of GSK-3α (serine S21A) which cannot be phosphorylated and therefore is constitutively active. Our western blot results confirmed activation of the MEK-ERK pathway in GSK-3α overexpressing fibroblasts (Figure 8A).

A recent study has identified IL-11 as a fibroblast-specific cytokine that mediates a fibrogenic response downstream of diverse pro-fibrotic stimuli, including TGF-β1. Also, IL-11 has been demonstrated to act via an autocrine manner and is shown to cooperate with the ERK pathway to mediate fibrotic responses in FBs.¹⁶ Since we observed that CF-GSK-3α mediates TGF-β1-induced pro-fibrotic effects independent of SMAD3, we hypothesized that GSK-3α might be interacting with the IL-11 and ERK signaling pathways to regulate fibrosis. To test this hypothesis, we first examined whether GSK-3α deletion had any effect on IL-11 levels in CFs. CFs were isolated from CTL and $GSK-3\alpha$ ^{FKO} mice at 8 weeks post-TAC, and flow cytometry was performed to quantify the IL- 11^{+ve} CFs. The flow cytometric analysis revealed a significant reduction in IL-11+ve CFs in GSK-3αFKO as compared to CTL mice (Figure 8B). Additionally, WT and GSK-3α KO MEFs were treated with TGF-β1 (10ng/mL, 24h) and IL-11 gene expression was determined by qPCR. GSK-3α deletion remarkably reduced basal IL-11 levels and also prevented TGFβ1-induced upregulation of IL-11 (Figure 8C). Next, we examined the effect of GSK-3α deletion on IL-11-mediated ERK activation. We treated WT and GSK-3α KO MEFs with

IL-11 (10 ng/mL, 10min) and measured pERK levels by Western blotting. Indeed, IL-11 induced ERK phosphorylation was inhibited in GSK-3α KO cells as compared to WT cells (Figure 8D).

To further examine whether GSK-3α mediates pro-fibrotic effects through the ERK pathway, we cultured control and GSK-3α overexpressing NRVFs in the presence or absence of ERK inhibitor (U0123, 10μM) for 24h. We found that GSK-3α overexpression increases the production of fibrogenic proteins (IL-11, collagen −1). Importantly this fibrotic response was blunted by ERK inhibition (Figure 8E). Taken together, these results have revealed a critical role for a GSK-3α-ERK axis in cardiac fibrosis (Figure 8F)

Discussion

In this study, we report a critical role of CF-GSK-3α in the fibrotic remodeling of failing hearts. We employed two entirely novel inducible conditional FB-specific mouse models to demonstrate that CF-specific deletion of GSK-3α prevents injury-induced myofibroblast transformation, adverse fibrotic remodeling, and deterioration of cardiac function. We found that CF-GSK-3α mediates pro-fibrotic effects independent of the canonical TGFβ1/SMAD3 pathway. Furthermore, we demonstrate a causal role of the CF GSK-3α-ERK signaling network in regulating the fibrotic response to pathological stimuli.

In cardiac fibrosis, TGFβ1/SMAD3 signaling plays a crucial role.^{9, 29} Our lab has previously shown that in the ischemic heart, GSK-3β deletion aggravates fibrosis via hyperactivation of SMAD3.15 Considering this observation, we anticipated that in the CF-GSK-3α KO heart, fibrosis might be reduced because of a direct effect of GSK-3α deletion on the TGFβ/SMAD3 pathway. To our complete surprise, GSK-3α KO CFs did not display alteration in TGFβ1-induced SMAD3 activation. This discrepancy could be explained by the well-known fact that GSK-3 isoforms play some unique biological roles despite having great sequence homology.¹⁷ For example, global deletion of $GSK-3\beta$ causes embryonic lethality while GSK-3 α null animals are viable, albeit male sterile.^{20, 30} Consistent with our findings, Guo et al., have demonstrated that GSK-3β, but not GSK-3α regulates the stability of non-activated SMAD3 and determines cellular sensitivity to TGFβ.³¹ This evidence suggests the isoform-specific substrate preferences could be one of the reasons for the differential effect of CF-GSK-3 isoforms on the TGFβ/SMAD3 pathway.

To probe the underlying mechanisms driving the cardiac phenotype, we undertook an unbiased approach of GSK-3α regulated fibroblast kinome profiling. We found downregulation of RAF family kinases activity in GSK-3α KO CFs. The RAF-MEK-ERK pathway has been implicated in fibrotic diseases across multiple organs.32-36 Hence, we verified whether GSK-3α interacts with ERK and whether that may have relevance in the development of cardiac fibrosis. In regard to the role of ERK in cardiac fibrosis, Thum et al. have shown that in pressure overloaded mouse heart microRNA-21 stimulates the ERK–MAP kinase signaling pathway in cardiac fibroblasts and contributes to disease pathogenesis.37 Moreover, Schafer et al. have demonstrated that in response to a pro-fibrotic stimulus, IL-11 regulates fibrogenic protein synthesis via the ERK pathway to promote cardiac fibrosis.16 However, there are very few reports on the interaction of GSK-3 and

ERK. An elegant study by Ding et al. group demonstrated a critical role of ERK in priming and inactivation of GSK-3 β in cancer cells.³⁸ In the case of cardiac pathophysiology, Sadoshima's lab reported that in pressure overloaded hearts, cardiomyocyte (CM) specific GSK-3α overexpression leads to cardiac hypertrophy via inhibition of ERK, and when this inhibition is relieved by expression of constitutively active MEK1, most of the pathological features can be rescued.39, 40 Our study suggests that CF-GSK-3α promotes fibrosis via an ERK pathway in pressure overloaded hearts and highlights a distinct fibroblast-specific response of GSK-3α under similar pathological stimuli. The precise mechanism(s) by which GSK-3α regulates ERK in fibroblasts needs further investigation. Also, studies with CFspecific ERK1/2 conditional KOs are required to precisely define the role of ERK signaling in cardiac fibroblast biology and myocardial fibrosis.

The literature available on the role of GSK-3 isoforms in cardiac diseases suggests that the consequences of cardiac-specific GSK-3β manipulation are complex and context-dependent. 15, 18-21 However, GSK-3α deletion has consistently shown cardioprotection irrespective of pathological stimuli.41 Our lab has reported that the CM-specific deletion of GSK-3α limits adverse remodeling and improves cardiac function post-MI.25 Furthermore, our lab has demonstrated that the CM-specific deletion of GSK-3α protects from pressure overloadinduced LV remodeling and cardiac dysfunction.²⁴ Additionally, the critical contribution of GSK-3α to pathological cardiac hypertrophy is recapitulated in the gain of function studies by employing transgenic and KI mouse models.^{19, 40} Consistent with all of these studies, here we report the beneficial effects of fibroblast-specific GSK-3α deletion against pressure overload-induced cardiac damages. Taken together, these pre-clinical data provide of proof-of-concept that selective therapeutic inhibition of GSK-3α could be a viable target for heart failure management.

In summary, we report that CF-specific deletion of GSK-3α prevents adverse fibrotic remodeling and improves the function of the diseased heart. Mechanistically, we have demonstrated for the first time that in response to pro-fibrotic stimuli, GSK-3α cooperates with the ERK pathway to mediate the fibrogenic response. Clinically, given the findings of the present study and the literature discussed above, selectively targeting GSK-3α could be a novel and safe strategy to limit cardiac fibrosis and heart failure.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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NON-STANDARD ABBREVIATIONS AND ACRONYMS:

CF Cardiac Fibroblast

FB Fibroblast

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Novelty and Significance

What Is Known?

- **•** Irrespective of the underlying cause, heart disease is often associated with fibroblast (FB) activation and myocardial fibrosis, which stiffens the heart and leads to adverse cardiac remodeling.
- **•** GSK-3 isoforms have been implicated as potential therapeutic targets for cardiovascular diseases.
- **•** Among the two GSK-3 isoforms, GSK-3β acts as a negative regulator of myocardial fibrosis in the diseased heart. However, the role of GSK-3α in fibroblast biology and myocardial fibrosis is unknown.

What New Information Does This Article Contribute?

- **•** We discovered significant activation of GSK-3α in cardiac FBs in stressed hearts.
- **•** FB-specific deletion of GSK-3α attenuated fibroblast activation and myocardial fibrosis and preserved the cardiac structural integrity and function.
- **•** We identified a novel GSK-3α-MEK-ERK-IL-11 signaling circuit as a potent profibrotic pathway operational in stressed hearts.

Fibroblast activation and excessive fibrosis are integral to many forms of heart disease. Activated fibroblasts are the critical driver of adverse fibrotic remodeling by depositing extracellular matrix (ECM), the main component of excessive fibrosis. Since the molecular mechanisms of cardiac fibrosis are largely unknown, there is no specific therapeutic strategy to combat adverse myocardial fibrotic remodeling. This study establishes the GSK-3α as a potential target for limiting fibroblast activation and adverse fibrotic remodeling of a diseased heart. Based on multiple conditional FB-specific loss of function models, we demonstrate that GSK-3α promotes fibroblast activation and excessive fibrosis culminating in cardiac dysfunction and heart failure. Mechanistically, we discovered the GSK-3α-MEK-ERK-IL-11 signaling circuit as a novel profibrotic pathway in stressed hearts suggesting thatits inhibition may be a potential therapeutic strategy to combat myocardial fibrosis.

Figure 1: Deletion of CF-GSK-3α **from resident cardiac fibroblasts prevents pressure overloadinduced cardiac dysfunction**

(A) Experimental design. Two-month-old mice were fed tamoxifen (TAM) chow diet. After 2 weeks of TAM treatment, mice were subjected to TAC surgery and are maintained on the TAM diet till the end of the study. **(B)** Western blot analysis of GSK-3α protein levels in cardiac fibroblasts after TAM treatment. N=4 per group. Data were analyzed using the Mann-Whitney test and represented as mean \pm SEM. Evaluation of cardiac function by m-mode echocardiography; **(C)** Ejection fraction (EF), **(D)** Fractional shortening (FS), For C and D – At BL, (N=6) per group. At 4 weeks, CTL-SHAM & CTL-TAC (N=6), KO-SHAM ($N=8$), and KO-TAC ($N=7$). At 8 weeks, CTL-SHAM ($N=7$), KO-SHAM ($N=8$), CTL-TAC & KO-TAC (N=6). **(E)** LV end-diastolic interior dimension (LVID;d) and **(F)**

LV end-systolic interior dimension (LVID; s). For E and $F - At BL$, (N=6) per group. At 4 weeks, CTL-SHAM & CTL-TAC (N=6), KO-SHAM & KO-TAC (N=8). At 8 weeks, CTL-SHAM & CTL-TAC (N=6), KO-SHAM (N=8), and KO-TAC (N=7). Data **(1C-1F)** were analyzed using Two-way ANOVA followed by Tukey's post hoc analysis and represented as mean ± SEM. BL: Baseline

Figure 2: Deletion of CF-GSK-3α **from resident cardiac fibroblasts prevents pressure overloadinduced adverse cardiac remodeling**

Morphometric studies were performed 8 weeks after TAC surgery. Assessment of cardiac hypertrophy; **(A)** Heart weight (HW) to tibia length (TL) ratio, CTL-SHAM & CTL-TAC (N=6), KO-SHAM & KO-TAC (N=8), and **(B)** Quantification of cardiomyocyte cross-sectional area (CSA) CTL-SHAM & CTL-TAC (N=6), KO-SHAM (N=8), KO-TAC (N=9) and Representative images of HE staining. Data **(2A-2B)** were analyzed using Two-way ANOVA followed by Tukey's *post hoc* analysis and represented as mean \pm SEM. Assessment of cardiac fibrosis by Masson's Trichrome staining; **(C)** Representative Trichrome-stained LV regions and **(D)** Quantification of LV fibrosis. CTL-SHAM (N=4), KO-SHAM, CTL-TAC & KO-TAC (N=6) **(E)** Representative images of α-SMA staining,

white arrows indicate α-SMA+ve non-vascular cells, and, **(F)** Quantification of α-SMA+ve non-vascular cells. Scale bar = 30μ m. N=3 per group. RNA was extracted from the left ventricle of experimental animals, and gene expression analysis was carried out by qPCR; The gene expression from each group was normalized to the CTL-SHAM group; **(G)** ANP, CTL-SHAM, CTL-TAC, & KO-TAC (N=6), KO-SHAM (N=5), **(H)** BNP, CTL-SHAM (N=6), KO-SHAM, CTL-TAC & KO-TAC (N=5) **(I)** COL1A1, CTL-SHAM & KO-SHAM (N=4), CTL-TAC & KO-TAC (N=5) and **(J)** COL3A1, CTL-SHAM & KO-SHAM (N=4), CTL-TAC & KO-TAC (N=6). Data **(2D, 2F-2J)** were analyzed using Kruskal-Wallis followed by Dunn test and represented as mean \pm SEM.

Figure 3: Deletion of CF-GSK-3α **from myofibroblasts prevents pressure overload-induced cardiac dysfunction**

(A) Experimental design. Two-month-old mice were fed tamoxifen (TAM) chow diet. After 1 week of TAM treatment, mice were subjected to TAC surgery and are maintained on the TAM diet till the end of the study. **(B)** Western blot analysis of GSK-3α protein levels in cardiac fibroblasts after TAM treatment. N=4 per group. Data were analyzed using the Mann-Whitney test and represented as mean \pm SEM. Evaluation of cardiac function by m-mode echocardiography; **(C)** Ejection fraction (EF), **(D)** Fractional shortening (FS), For C and D – At BL, N=6 per group. At 4 weeks, CTL-SHAM, CTL-TAC & KO-TAC (N=6), KO-SHAM (N=7). At 8 weeks, CTL-SHAM & KO-SHAM (N=6), CTL-TAC & KO-TAC (N=7). **(E)** LV end-diastolic interior dimension (LVID;d) and **(F)** LV end-systolic interior

dimension (LVID;s). For E and F – At BL, N=6 per group. At 4 weeks, CTL-SHAM & KO-TAC (N=6), KO-SHAM (N=7), and CTL-TAC (N=8). At 8 weeks, CTL-SHAM, KO-SHAM & KO-TAC (N=6), CTL-TAC (N=7). Data **(3C-3F)** were analyzed using Two-way ANOVA followed by Tukey's post hoc analysis and represented as mean \pm SEM. BL: Baseline

Figure 4: Deletion of CF-GSK-3α **from myofibroblasts prevents pressure overload-induced adverse cardiac remodeling**

Morphometric studies were performed 8 weeks after TAC surgery. Assessment of cardiac hypertrophy; **(A)** Heart weight (HW) to tibia length (TL) ratio, CTL-SHAM & KO-SHAM (N=8), CTL-TAC (N=9), KO-TAC (N=7). **(B)** Quantification of cardiomyocyte cross-sectional area (CSA) and Representative images of HE staining. Assessment of cardiac fibrosis by Masson's Trichrome staining; **(C)** Representative Trichrome-stained LV regions and **(D)** Quantification of LV fibrosis. CTL-SHAM (N=3), KO-SHAM & KO-TAC (N=5), CTL-TAC (N=6) **(E)** Representative images of α-SMA staining, white arrows indicate α-SMA+ve non-vascular cells and, **(F)** Quantification of α-SMA+ve non-vascular cells. Scale $bar = 30 \mu m$. N=3 per group. RNA was extracted from the left ventricle of experimental

animals, and gene expression analysis was carried out by qPCR; The gene expression from each group was normalized to the CTL-SHAM group; **(G)** ANP, CTL-SHAM & KO-SHAM (N=6), CTL-TAC & KO-TAC (N=7) **(H)** BNP, CTL-SHAM (N=7), KO-SHAM, CTL-TAC & KO-TAC (N=6) **(I)** COL1A1, CTL-SHAM (N=6), KO-SHAM, CTL-TAC & KO-TAC (N=6) and **(J)** COL3A1, N=6 per group. Data **(4B, 4D, 4F)** were analyzed using Kruskal-Wallis followed by Dunn test and represented as mean ± SEM. Data **(4A, 4G-4J)** were analyzed using Two-way ANOVA followed by Tukey's post hoc analysis and represented as mean \pm SEM.

Figure 5: CF-GSK-3α **regulates TGF-**β**1-induced myofibroblast transformation** WT and GSK-3α KO mouse embryonic fibroblasts (MEFs) were treated with TGF-β1 (10 ng/mL). **(A)** Western blot analysis of α-SMA protein levels after 48h of TGFβ1 treatment; Representative blot and quantification WT(N=6), WT + TGFβ1, KO & KO + TGFβ1 (N=4). For wound closure assay, WT and GSK-3α KO MEFs were seeded and grown till confluency. A scratch was made followed by TGF-β1 (10 ng/mL) treatment, and wound closure was monitored; **(B)** Representative images and **(C)** Quantification of wound closure at 48h, N=3 per group. For gel contraction assay, collagen gels were populated with WT and GSK-3α KO MEFs followed by TGF-β1 (10 ng/mL) treatment. % Gel contraction was calculated after 48h of TGFβ1 treatment; **(D)** Representative image and **(E)** Quantification of gel contraction, $N = 4$ per group. RNA was extracted from cells after 24h of TGF β 1

treatment, and gene expression analysis was carried out by the qPCR method; the gene expression from each group was normalized to the WT group. For F and G, N=3 per group, **(F)** COL1A1, **(G)** Fibronectin −1. Data **(5A, 5C, 5E-5G)** were analyzed using Kruskal-Wallis followed by Dunn test and represented as mean ± SEM.

Figure 6: CF-GSK-3α **mediates pro-fibrotic effects independent of SMAD3**

WT and GSK-3α KO MEFs were treated with TGF-β1 (10 ng/mL) for 1h. Western blot analysis of SMAD3 phosphorylation; **(A)** Representative blot and quantification. Additionally, nuclear-cytoplasmic extraction was carried out and SMAD3 protein levels were analyzed by Western blotting; **(B)** Representative blot, and quantification. For loss of function studies, CFs were isolated from adult $GSK-3\alpha$ ^{fl/fl} mice and $GSK-3\alpha$ was deleted by adenoviral expression of Cre. After transduction, CFs were treated with TGF-β1 (10 ng/mL) for 1h. Western blotting was carried out; **(C)** Representative Western blot, and quantification of **(D)** GSK-3α and **(E)** SMAD3. For gain-of-function studies, GSK-3α was overexpressed in neonatal rat ventricular fibroblasts (NRVFs), and cells were treated with TGF-β1 (10 ng/mL, 1h). Western blotting was carried out; **(F)** Representative Western blot,

and quantification of **(G)** GSK-3α and **(H)** SMAD3. N=3 per group for B & E; N=4 per group for A, D, G & H. Data **(6A, 6B, 6E, 6H)** were analyzed by Kruskal-Wallis followed by Dunn test and represented as mean ± SEM. Data **(6D & 6G)** were analyzed using the Mann-Whitney test and represented as mean \pm SEM.

Figure 7: CF-GSK-3α **promotes fibrosis through the RAF-MEK-ERK signaling network** At 4 weeks post-TAC, proteins were extracted from CFs of CTL and GSK-3α^{FKO} mice, and comparative kinome profiling was carried out; **(A)** A hierarchically-clustered heatmap of kinomic peptide phosphorylation signal intensity (change from mean) demonstrates CTL and GSK-3α KO signatures **(B)** Table displays the BioNavigator generated Mean Final Score of GSK-3α KO altered kinases **(C)** that are mapped to the literature annotated ERK centric network model. N=3 per group. Input nodes (kinases) have large blue circles around them, with smaller circles in the top right. Arrowheads denote the direction of interaction, and the color of the lines indicates the type of interaction (green: positive, red: inhibitory, grey: complex). **(D)** At 4 weeks post-TAC, CFs were isolated from CTL and GSK-3αFKO mice. ERK levels were analyzed by western blotting. Representative western

blot and quantification. N=4 per group. **(E)** At 8 weeks post-TAC, CFs were isolated from CTL and GSK-3 α ^{FKO} mice. Flow cytometric analysis of pERK^{+ve} CFs (% total). N=8 per group. **(F)** WT and GSK-3α KO MEFs were treated with TGF-β1 (10 ng/mL) for 10 min and ERK levels were assessed by Western blotting. Representative blot and quantification, N=3 per group. The original order of lanes was rearranged to make the final representative image. **(G)** For loss of function studies, CFs were isolated from adult GSK-3α^{fl/fl} mice and GSK-3α was deleted by adenoviral expression of Cre. After transduction, CFs were treated with TGF-β1 (10 ng/mL) for 10min. Western blotting was carried out; representative Western blot, and quantification of ERK1/2, N=2 for LacZ and Ad-Cre group; N=3 for TGF-β1 treated groups. Data **(7D & 7E)** were analyzed using the Mann-Whitney test and represented as mean ± SEM. Data **(7F & 7G)** were analyzed by Kruskal-Wallis followed by the Dunn test and represented as mean ± SEM.

Figure 8: CF-GSK-3α **promotes fibrosis through the RAF-MEK-ERK signaling network (A)** For gain-of-function studies, mutant GSK-3αS21A was overexpressed in NRVFs, and protein was extracted after 24h of transfection. Western blotting was performed to examine MEK and ERK levels. N=4 per group. **(B)** At 8 weeks post-TAC, CFs were isolated from CTL and GSK-3 α ^{FKO} mice. Flow cytometric analysis of IL-11^{+ve} CFs (% total). N=8 per group. **(C)** WT and GSK-3α KO MEFs were treated with TGFβ1 (10 ng/mL, 24h). IL-11 gene expression was analyzed by the qPCR method. The gene expression from each group was normalized to the WT group. N=3 per group. **(D)** For Western blotting, MEFs were treated with IL-11 for 10 min and ERK levels were assessed. Representative blot and quantification. N=4 per group. **(E)** Control and mutant GSK-3α^{S21A} overexpressing NRVFs were treated with ERK inhibitor (U0123, 10μM) for 24h. Culture supernatant was

collected, and ELISA was carried out; quantification of collagen-1 and Il-11. N=3 per group. **(F)** Schematic showing interactions of GSK-3α with IL-11 and ERK signaling pathways in cardiac fibroblast. CF-GSK-3α mediates profibrotic effects through the ERK pathway in the injured heart while classical TGFβ1-SMAD3 signaling remained unaltered. Data **(8A & 8B)** were analyzed using the Mann-Whitney test and represented as mean ± SEM. Data **(8C-8E)** were analyzed by Kruskal-Wallis followed by the Dunn test and represented as mean \pm SEM.

Major Resources Table

In order to allow validation and replication of experiments, all essential research materials listed in the Methods should be included in the Major Resources Table below. Authors are encouraged to use public repositories for protocols, data, code, and other materials and provide persistent identifiers and/or links to repositories when available. Authors may add or delete rows as needed.

Mouse IgG

Animals (in vivo studies) Species Vendor or Source Background Strain Sex Persistent ID / URL

DNA/cDNA Clones

Cultured Cells

Data & Code Availability

Description Source / Repository Persistent ID / URL

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