



***NTRK*-Rearranged Uterine Sarcomas: Clinicopathologic Features of 15 Cases, Literature Review, and Risk Stratification**

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Abstract

NTRK-rearranged uterine sarcomas are rare spindle-cell neoplasms that typically arise in the uterine cervix of young women. Some tumors recur or metastasize, but features which predict behavior have not been identified to date. Distinguishing these tumors from morphologic mimics is significant because patients with advanced stage disease may be treated with TRK inhibitors. Herein, we present fifteen cases of *NTRK*-rearranged uterine sarcomas, the largest series to date. Median patient age was 35 years (range 16–61). The majority arose in the uterine cervix (n=14) and all but two were organ-confined at diagnosis. Tumors were composed of an infiltrative, fascicular proliferation of spindle cells and most showed mild-to-moderate cytologic atypia. All were pan-TRK positive by immunohistochemistry (13/13); S100 (11/13) and CD34 (6/10) were usually positive. RNA or DNA sequencing found *NTRK1* (10/13) and *NTRK3* (3/13) fusions with partners *TPR*, *TPM3*, *EML4*, *TFG*, *SPECC1L*, *C16orf72*, and *IRF2BP2*. Unusual morphology was seen in two tumors which were originally diagnosed as unclassifiable uterine sarcomas, one of which also harbored *TP53* mutations. Follow up was available for nine patients, of whom three died of disease. By incorporating outcome data of previously reported tumors, adverse prognostic features were identified, including a mitotic index ≥ 8 per 10 high power fields, lymphovascular invasion, necrosis, and *NTRK3* fusion. Patients with tumors which lacked any of these four features had an excellent prognosis. This study expands the morphologic spectrum of *NTRK*-rearranged uterine sarcomas and identifies features which can be used for risk stratification.

Keywords

NTRK; Uterine sarcoma; Pan-TRK

Introduction

In 2018, Chiang and colleagues described a novel uterine sarcoma predominantly located in the uterine cervix in young women with the potential for aggressive behavior. It is defined by *NTRK* gene fusions, and characterized by spindle cell/fibrosarcoma-like morphology and S100 expression.¹ Since its original description, more than 30 *NTRK*-rearranged uterine sarcomas have been reported in the literature,^{1–13} and *NTRK1–3* fusions are now recognized to underlie most of the tumors described several years previously as “endocervical fibroblastic malignant peripheral nerve sheath tumors (MPNST)” by Mills et al.^{3,14} Typically, the histologic features are that of a cellular spindle-cell fascicular proliferation usually without significant pleomorphism (although symplastic/atypical foci have been reported).⁴ By immunohistochemistry, they are positive for pan-TRK, with variable CD34 and S100 expression. Identification of these tumors has clinical significance as patients with recurrent or metastatic disease may benefit from treatment with TRK inhibitors.^{15,16} However, the morphologic and immunophenotypic spectrum of this recently reported tumor is ever widening and the clinical behavior remains difficult to predict. Although the number of cases described in the literature has steadily increased since 2018, most reports are small series or single cases. Moreover, even though most tumors behave indolently, some recur or metastasize^{3,4} and the histologic or molecular features which can predict their behavior have not yet been established. Therefore, herein we describe the morphologic, immunohistochemical, molecular and clinical features of fifteen additional *NTRK*-rearranged uterine sarcomas and review the literature to identify potential prognostic factors and better understand their morphologic spectrum and the salient features that help distinguish them from morphologic mimics.

Materials and Methods

Case Selection

Cases reviewed at Brigham and Women’s Hospital (BWH, Boston, MA) were retrospectively identified based on either documented *NTRK* fusion or morphologic and immunohistochemical features consistent with an *NTRK*-rearranged uterine sarcoma. All tumors included in the series were reviewed by a gynecologic pathologist, and representative slides were re-reviewed for study inclusion. The BWH Institutional Review Board approved this study.

Immunohistochemistry

Immunohistochemistry was performed on 4 µm thick formalin-fixed paraffin-embedded (FFPE) tissue for pan-TRK (clone EPR17341, 1:300, Abcam, Cambridge, MA), S100 (polyclonal, 1:3000, Dako, Carpinteria, CA), SOX10 (clone EP268, 1:2000, Cell Marque, Rocklin, CA), CD34 (clone M7165, 1:150, Dako), desmin (clone DE-U-10, 1:5000, Sigma-Aldrich, Saint Louis, MO), smooth muscle actin (SMA, clone 1A4, 1:20 000, Sigma),

h-caldesmon (clone h-CD, 1:300, Dako), estrogen receptor (ER, clone SP1, 1:40, Fisher Scientific, Hampton, NH), progesterone receptor (PR, PgR636, 1:200, Dako), and p53 (DO-7, 1:500, Dako).

RNA-Sequencing (RNA-Seq)

Total RNA was extracted from FFPE tissue using the ExpressArt FFPE Clear RNA Ready kit (Amsbio, Cambridge, MA). Total RNA was quantified using the Qubit RNA HS Assay Kit (ThermoFisher Scientific, Mississauga, ON). RNA-seq libraries were prepared following the manufacturer's instructions using an input of 20–100 ng RNA and the TruSight RNA Fusion Panel (Illumina, San Diego, CA). The results were analyzed using both STAR and BOWTIE2 aligners, and Manta and JAFFA fusion callers,^{17,18} respectively.

DNA Sequencing

DNA sequencing was performed as previously described using the Oncopanel assay.^{19,20} Tumor was macrodissected from FFPE unstained slides, and the QIAamp DNA kit (Qiagen, Germantown, MD) was used to extract DNA. Oncopanel is a targeted solution-phase hybrid-capture technique which uses an Illumina HiSeq2500 to sequence the coding regions of 447 tumor suppressors and oncogenes. Additionally, regions of 60 genes, including *NTRK1*, *NTRK2*, and *NTRK3*, are included specifically for rearrangement detection.

Literature Review

Published cases of *NTRK*-rearranged uterine sarcoma were identified in the English literature by searching PubMed and Google Scholar using the term “NTRK” in combination with “uterus”, “uterine”, “cervix”, and “cervical”.

Statistical Analyses

Statistical analyses were performed in Python (3.8.5)²¹ using pandas (1.3.3)²² and SciPy (1.7.1).²³ Survival analyses used the lifelines package (0.25.7).²⁴ For regression analyses, missing data were imputed using the multivariable IterativeImputer from the scikit-learn library (0.24.2).²⁵

Results

Clinical Features

The clinical characteristics of the fifteen cases in the study cohort are presented in Table 1. The median age was 35 years (range 16–61). Fourteen tumors arose in the uterine cervix (14/15; 93%), and one in the uterine corpus. The average tumor size was 6.8 cm (range 3.5–12). Of the ten cases with staging information, three were FIGO stage IA, five were stage IB and two were stage IIB. Two tumors extended beyond the uterus at the time of diagnosis: one involved the parametrium, and one the vagina. Eight patients underwent hysterectomy (8/15; 53%), one (1/15; 7%) had a myomectomy, one had a biopsy, and five others had local excision of the cervical mass only (5/15; 33%). However, four of the patients who had initially had uterine-sparing procedures were lost to follow up and may have had subsequent hysterectomies. Four patients received additional treatment: two received radiation alone

(one each in adjuvant and neoadjuvant settings) and two received both adjuvant radiation and chemotherapy.

Follow up was available for nine patients (60%) with a median follow up of 22 months (range 6–84 months). Six (6/9; 67%) were alive with no evidence of disease; one experienced a recurrence at thirteen months (pelvis), with subsequent resection and is currently disease-free at 82 months. A second patient experienced recurrences (peritoneum, lymph nodes, and bone metastases) at six months. She progressed through multiple chemotherapy regimens (doxorubicin and ifosfamide, followed by trabectedin, and finally ifosfamide and etoposide), and died with disseminated disease 22 months after initial diagnosis. Two additional patients (total 3/9; 33%) died of disease at 12 and 84 months.

Eight cases (53%) were initially diagnosed as *NTRK*-rearranged sarcoma (including one “endocervical MPNST”). The others were diagnosed as unclassifiable sarcoma (n=3, 2 of which were “high grade” or pleomorphic), melanoma (n=2), adenosarcoma with sarcomatous overgrowth (n=1), and atypical myxoid and spindle cell tumor of uncertain malignant potential (n=1).

Gross Features

A gross description was available for 6 cases. Tumors were tan-white or white and cut surfaces ranged from solid to fleshy and friable (Figure 1). Three contained focal hemorrhage within the tumor. Two were exophytic or polypoid. Two cases were ill-defined on gross examination including one tumor that was not identified on an initial gross examination.

Microscopic Features

The microscopic features are summarized in Table 2. Most tumors (14/15; 93%) were comprised predominantly of a fascicular proliferation of spindle cells, frequently entrapping benign endocervical glands (Figure 2). All cases with an evaluable interface (14/14) exhibited an infiltrative growth pattern. In two cases, stromal expansion imparted leaf-like architecture reminiscent of a Mullerian adenosarcoma, but these areas lacked periglandular cuffing. Most cases (11/15; 73%) showed mild or moderate cytologic atypia, with the remainder showing either moderate-to-severe (2/15; 13%) or diffuse, severe (2/15; 13%) atypia (Figure 3A–C). All tumors showed regions of at least moderate cellularity, but cellularity was frequently variable within a tumor (Figure 3D–F). Intra-tumoral hyalinized vessels were noted in 40% of tumors (6/15; Figure 4A), and nuclear pseudoinclusions were seen in most tumors (9/15; 60%) (Figure 4B). Myxoid stroma was focally present in four cases (27%; Figure 4C), and whorling in one (Figure 4D). Mitotic counts were variable, with a mean count of 11 per 10 high power fields (HPFs; range 1–43). Atypical mitotic figures were identified in two tumors (13%) and were only present in those with high-grade atypia. Lymphovascular invasion and necrosis were seen in two cases each (13%). A lymphocytic infiltrate was noted in almost all cases (14/15; 93%) and was mostly mild, although some tumors demonstrated prominent intratumoral or peritumoral lymphoid aggregates (Figure 5). Two tumors exhibited unusual morphology. Case 13 lacked a spindle cell component and was instead composed entirely of diffuse sheets of pleomorphic cells (Figure 2C). A scant

pre-treatment biopsy in case 14 showed epithelioid cells with prominent hyalinization, and a post-radiation resection specimen demonstrated high-grade cytologic atypia with areas of rhabdoid cells (Figure 6).

Immunohistochemistry

The immunohistochemical (IHC) results are presented in Table 3. Pan-TRK immunohistochemistry was performed in all cases with available tissue. All tested cases (13/13) expressed pan-TRK, with most demonstrating cytoplasmic expression (12/13; 92%) and a single case with nuclear expression. Pan-TRK staining was diffuse in most cases (11/13; 85%) with either strong (6/13; 46%) or moderate (5/13; 38%) staining (Figure 7). Two cases showed only patchy or focal, weak staining (15%). Most tumors expressed S100 (11/13; 85%) with multi-focal or diffuse staining of moderate or strong intensity. CD34 was also commonly expressed (6/10; 60%), with moderate or strong multi-focal staining. Desmin (0/14) and SOX10 (0/6) were negative in all tested cases. SMA showed expression in only a minority of cases (4/12; 33%), typically with focal or multi-focal expression. H-caldesmon was performed in two cases, both negative. Hormone receptors were negative in 3 cases with only rare positive ER and PR cells in one case. Case 14, one of the two cases with diffuse, high-grade atypia, demonstrated wild-type p53 staining.

Molecular Features

Confirmatory RNA (n=12) or DNA (n=2) sequencing was performed on all cases with sufficient tissue (14/15), and the results are presented in Table 3. RNA sequencing failed in one case due to poor quality control metrics. The most common fusions were *TPR::NTRK1* (n=4) and *TPM3::NTRK1* (n=4). There was a predominance of *NTRK1* fusions (77%; 10/13) compared to *NTRK3* (23%; 3/13). Fusion partners included *TPR* (n=4), *TPM3* (n=4), and one each of *EML4*, *TFG*, *SPECC1L*, *C16orf72*, and *IRF2BP2*. Case 13 was subjected to DNA sequencing which, in addition to a *TPR::NTRK1* fusion, identified single nucleotide variants in *TP53* (c.843C>A [p.D281E] and c.1009C>G [p.R337G]) and *RBI* (c.2206C>T, p.Q736*). The tumor also showed concurrent single copy deletion of *RBI*, which together with the nonsense mutation, is suggestive of biallelic inactivation of *RBI*.

Literature Review and Risk Stratification

A literature review identified 31 previously reported *NTRK*-rearranged uterine sarcomas (Table 4),^{1–14,26} bringing the total number of cases to 46. Considering all cases, the average patient age was 37.7 years (range 13–69). Average tumor size was 6.9 cm (range 1.3–23). Tumors usually arose in the cervix (85%), and occasionally in the corpus (11%). Most were confined to the uterus at diagnosis (91% stage IA or IB). Mitotic counts were highly variable, ranging from 0–43 (mean 9) per 10 high power fields (HPF). Necrosis was present in 36%, and lymphovascular invasion was identified in 14%. *NTRK1* fusions were identified in 73% (32/44), *NTRK3* in 25% (11/44), and a single case (2%) contained a *WWOX::NTRK2* fusion. *NTRK1* fusion partners included *TPM3* (20/32; 63%), *TPR* (7/32; 22%), *C16orf72* (2/32; 6%), *IRF2BP2* (2/32; 6%), and *LMNA* (1/32; 3%). *NTRK3* partners were *SPECC1L* (5/10; 50%), *EML4* (2/10; 20%), *TFG* (1/10; 10%), *RBPMS* (1/10; 10%) and *STRN* (1/10; 10%). Among 35 patients with follow up, 23 (66%) were with no evidence of disease (NED), seven (20%) were alive with disease (AWD), and five (14%) died of

disease (DOD). Patients with stage IA tumors had excellent outcomes: 90% (9/10) were NED, and one patient had a pelvic recurrence. Most patients with stage IB disease were NED (10/17; 59%), but AWD (4/17; 24%) and DOD (2/17; 12%) were more common than in stage IA. Only three cases had stage IIB disease: two patients are NED and one DOD.

By univariable and multivariable analysis, both necrosis and increased mitotic activity (as a continuous variable) were associated with disease recurrence (Table 5). However, only lymphovascular invasion was associated with worse overall survival. A mitotic count of 8 per 10 HPF was most effective at stratifying cases for disease recurrence.

Tumors with *NTRK3* fusions were larger than those with *NTRK1* fusions (mean 10.5 vs 5.6 cm; $p=0.004$), and patients with *NTRK3* fusion tumors were more likely to recur than those with *NTRK1* tumors ($p=0.044$, Table 6). Tumor stages and mean mitotic activity were higher in *NTRK3* tumors, but these differences were not statistically significant. There were no differences in age, lymphovascular invasion, and necrosis between *NTRK1* and *NTRK3* tumors.

To investigate the ability of adverse prognostic features to predict behavior, tumors were classified as high risk if one or more of the following were present: lymphovascular invasion, necrosis, mitotic count 8 per 10 HPF, or *NTRK3* fusion. Tumors without any of these characteristics were classified as low risk. Low risk tumors were associated with a significantly better disease-free survival compared to high-risk tumors (Figure 8; log rank $p=0.009$), but there was no difference in overall survival ($p=0.10$).

Discussion

In this study, we present the largest cohort of *NTRK*-rearranged uterine sarcomas to date, adding significantly to the published literature (Table 4).^{1-14,26} The total number of reported cases of this rare entity is now 46 and a clearer picture of their clinicopathologic features has emerged, allowing us to identify prognostic factors which may help to predict their behavior.

Morphology

Morphologically, most tumors in our cohort exhibited a similar spectrum of appearances as has been previously described. Conspicuous hyalinized vessels were a readily identifiable feature in almost half of the cases in our cohort and entrapment of benign glands were also frequently seen; both features have been reported previously both within^{3,5,8} and outside the gynecologic tract.^{27,28} Mild-to-moderate cytologic atypia was the predominant finding, however four of our cases had severe atypia, which in two cases was diffuse. This finding contrasts with cases that have been described to date, among which very few were described as having severe atypia.^{1,4} Interestingly, case 13 which displayed diffuse, severe atypia also harbored *TP53* mutations; this is consistent with three prior reports of uterine and soft tissue *NTRK*-fusion sarcomas with *TP53* mutations and pleomorphic/anaplastic histology.^{12,28,29} Case 14 also had diffuse, marked nuclear atypia. However, this tumor demonstrated wild-type p53 staining, suggesting an alternate mechanism underlying the atypia. The clinical significance of increased nuclear atypia in *NTRK* sarcomas is unclear; of four cases in our

series with high grade nuclear atypia, two are with no evidence of disease, and two were lost to follow-up.

Immunohistochemistry

Our study confirms the sensitivity of pan-TRK IHC in the diagnosis of *NTRK* fusion sarcomas. Pan-TRK IHC was positive in all cases tested and was usually diffusely positive with moderate-to-strong staining intensity. Br i et al. described a series of 494 soft tissue sarcomas stained with pan-TRK and found that diffuse expression in 4 tumors was associated with an underlying *NTRK1/3* fusion, in contrast to 11 tumors with focal weak, moderate, or even strong staining which did not harbor *NTRK* fusions by RNA sequencing.³⁰ However, case 7 in our series had only focal, weak pan-TRK staining, yet was still found to harbor a *EML4::NTRK3* fusion. Overall, pan-TRK immunohistochemistry is a useful screen in the appropriate clinical and morphologic context. Early studies suggested that pan-TRK immunohistochemistry was 95–97% sensitive and 98–100% specific for tumors harboring *NTRK* fusions.^{31,32} However, later studies demonstrated pan-TRK staining is non-specific can be seen in other spindle cell tumors which lack *NTRK* fusions, such as high grade endometrial stromal sarcoma,³³ leiomyosarcoma, and synovial sarcoma.³⁰ Pan-TRK also appears to be less sensitive for *NTRK3* fusions: Gatalica et al. reported positive staining in 88% (15/17) of tumors with *NTRK1/2* fusions, but only 55% (6/11) tumors with *NTRK3* fusions.² In our series, tumors with *NTRK1* fusions showed stronger, more diffuse, expression than those with *NTRK3* fusions. Consequently, we suggest confirmatory sequencing or FISH studies even for tumors with only focal and weak pan-TRK staining, if the tumor is otherwise morphologically consistent with an *NTRK*-rearranged uterine sarcoma, especially if the patient is being considered for targeted therapy.

Nuclear pan-TRK staining was seen in one case with insufficient tissue for sequencing (case 9). This nuclear staining pattern has been reported to be associated with *ETV6::NTRK3*.^{30,31,34} However, FISH failed to identify an *ETV6* rearrangement in this case.

While most *NTRK*-fusion sarcomas co-express S100 and CD34,^{3,4} it is not uniform and this IHC profile should not be required to screen with pan-TRK immunohistochemistry or to suggest molecular studies. In our series, S100 staining was seen in all but two cases, with co-expression of CD34 seen in just over half of tumors. In reported cases S100 is usually positive, albeit showing a range of staining patterns with only rare cells staining in all cases in one series,¹ and a single case report of an S100-negative *NTRK*-fusion tumor.⁷ Cases 4 and 8 in our series were S100 negative, highlighting that lack of S100 expression should not exclude the diagnosis.

NTRK Fusions and Related Tumors

NTRK-rearranged uterine sarcomas are a recently described group of rare gynecologic tumors characterized by fascicular spindle cell morphology, expression of S100 and pan-TRK, and a predilection for the cervix of young women. *NTRK* fusions have previously been described in a wide variety of other tumor types and sites.^{2,27,28,30} *NTRK1*,

NTRK2 and *NTRK3* encode the three corresponding tropomyosin receptor kinases TRKA, TRKB and TRKC that activate a cell signaling cascade involved in nervous system development.^{35,36} *NTRK* gene fusions lead to ligand-independent constitutive activation of TRK and have been implicated as oncogenic drivers in a wide variety of adult and pediatric sarcomas, gliomas, and carcinomas.^{2,30} *NTRK* fusions promote oncogenesis by constitutive ligand-independent activation of TRK leading to cell proliferation. Recognition of *NTRK*-rearranged malignancies are of particular clinical importance because of the FDA-approved TRK inhibitors larotrectinib and entrectinib that have demonstrated utility in patients with recurrent, progressive, or metastatic disease.^{15,16,35,37}

A subset of *NTRK*-rearranged soft tissue tumors show some overlapping histologic characteristics with *NTRK* sarcomas of the uterus (fascicular spindle cell proliferations with stromal and perivascular hyalinization and S100/CD34 expression),²⁷ however the relationship between the two remains unclear. Similarly, some spindle cell uterine tumors with fibrosarcoma-like morphology harbor targetable non-*NTRK* fusions, including *COL1A1::PDGFB*, *FGFR1::TACC1*, and *SPECCIL::RET*.^{3,4,38} The relationship between these tumors and those that are driven by *NTRK* fusions remains to be fully elucidated, but all of these tumor types may ultimately fall under the same broad diagnostic category of “fibrosarcoma-like uterine sarcomas.” Additional studies examining their morphology, clinical behavior, and molecular characteristics (e.g., methylation profiles) will be helpful to classify them and better understand how they are related.

Differential Diagnosis

In practice, a desmin-negative spindle cell neoplasm in the cervix should trigger consideration of an *NTRK*-rearranged uterine tumor. Although they occur most frequently in women in their 3rd and 4th decade, they should also be considered in cervical spindle cell tumors in adolescents and post-menopausal patients. S100 and CD34 positivity, while not required, are also supportive of the diagnosis. If pan-TRK immunohistochemistry is available, it is helpful as a sensitive screening test to select cases for further genetic confirmation (e.g., DNA/RNA sequencing or fluorescence in situ hybridization). While RNA-sequencing is the most sensitive technique to detect fusions, many DNA-based gene panels can detect some *NTRK1–3* fusions. The rarity of *NTRK* fusion tumors, however, makes it difficult to rigorously evaluate the sensitivity of these DNA sequencing panels.

The main differential diagnoses include other spindle cell tumors, such as leiomyosarcoma, melanoma, spindled squamous cell carcinoma, *COL1A1::PDGFB* sarcoma, solitary fibrous tumor, malignant peripheral nerve sheath tumor (MPNST), inflammatory myofibroblastic tumor, and adenosarcoma with sarcomatous overgrowth. *NTRK*-rearranged tumors may show focal positivity for SMA,¹ but they are negative for other markers frequently positive in leiomyosarcoma, such as desmin, caldesmon, ER and PR. Recently described *COL1A1::PDGFB* uterine sarcomas are negative for pan-TRK.⁴ Solitary fibrous tumors may occur in the gynecologic tract and are CD34 positive, but they are also positive for STAT6.³⁹ Like *NTRK*-rearranged sarcomas, melanoma and MPNST may show S100 staining. However, in contrast to *NTRK*-rearranged sarcomas, melanoma is usually diffusely positive for S100, and is also positive for SOX10. MPNST may show S100 reactivity,

but about half demonstrate loss of H3K27Me3,⁴⁰ and they do not harbor diagnostic fusions. Inflammatory myofibroblastic tumors may share some morphologic features with *NTRK*-rearranged sarcomas, including spindle cells, myxoid stroma, and an inflammatory infiltrate. However, uterine inflammatory myofibroblastic tumors are usually positive for ALK by immunohistochemistry and harbor *ALK* fusions.⁴¹ In some cases, this differential can be especially challenging: there is a single case report of a S100 and CD34 negative myxoid uterine tumor which was diagnosed as an inflammatory myofibroblastic tumor and found to harbor a *ETV6::NTRK3* fusion.⁴² Adenosarcoma typically shows entrapped glands similar to many *NTRK* sarcomas; however, adenosarcoma should show well-developed phyllodiform growth and periglandular cuffing. Adenosarcoma-like morphology can occasionally be seen in *NTRK* sarcomas, and in these cases immunohistochemistry can be useful to indicate molecular testing. In a series of 14 adenosarcomas stained with pan-TRK, all were negative.⁵

Cotzia and colleagues described a series of 10 uterine tumors initially diagnosed as undifferentiated uterine sarcoma, but were subsequently found, by RNA-Seq or FISH, to harbor genetic alterations characteristic of uterine sarcomas, such as *ZC3H7B::BCOR*, *YWHAE::NUTM2*, and *BRD8::PHF1*.⁴³ Cases 13 and 14 in our series demonstrate that *NTRK* fusion sarcomas may also initially appear to be unclassifiable/undifferentiated uterine sarcomas, and highlight the utility of RNA or DNA sequencing in such cases to identify a genomic alteration with both diagnostic and predictive significance.

Risk Stratification

Given the relatively small number of cases in the literature, it has been challenging to identify prognostic factors for *NTRK*-rearranged uterine sarcomas. Devereaux et al. suggested that tumor stage was the most helpful prognostic feature of *NTRK* uterine sarcomas³ and stage appears to continue to remain prognostically significant as no stage IA patients died of disease. By pooling the clinicopathologic factors and outcomes of published cases, we were able to identify the additional possible prognostic factors of lymphovascular invasion, mitotic index, necrosis, and fusion status (*NTRK3* vs *NTRK1*). While lymphovascular invasion was only seen in four cases with follow up, three had adverse outcomes (two died from disease, one developed distant metastases). Recurrences were more common in tumors with *NTRK3* fusions compared to *NTRK1* fusions, suggesting that fusion status may have value as a prognostic factor, as has been suggested previously in soft tissue tumors.²⁷ It should be emphasized that these potential prognostic factors were identified using a relatively small number of cases with limited follow up, and therefore future, larger studies should reassess these variables when more cases have been reported.

Cox proportional hazard analysis identified number of mitotic figures (as a continuous variable) as a significant predictor of disease recurrence. For some tumors, such as uterine leiomyosarcoma, mitotic activity is dichotomized (e.g., 10 per 10 HPFs for spindle cell leiomyosarcoma) and tumors with counts above that threshold “meet” the diagnostic criteria. However, there was not such binary behavior in this series or a biological basis to dichotomize this variable, as increases in mitotic activity appear to confer a gradual increase

in risk. For example, case 9 had a mitotic rate of 23 per 10 HPFs and recurred in 72 months, while case 8 had a mitotic rate of 43 per 10 HPFs and recurred in only 6 months. However, to construct a risk stratification that was easy to apply in practice, a mitotic count of 8 per 10 HPFs was selected as a criterion for high-risk tumors. We then demonstrated that *NTRK*-rearranged uterine sarcomas can be risk stratified by classifying tumors as high risk based on the presence of one of: increased mitotic activity (> 8/10 HPFs), lymphovascular invasion, necrosis, or *NTRK3* fusion. While the precise criteria used for prognostication will inevitably be further refined as additional cases are reported, we believe this provides an early framework for predicting behavior based on clinicopathologic features.

Our literature review highlights the variable behavior of these tumors: two thirds of patients were NED at last follow up, one in five were AWD, and 14% DOD. However, the tumors presented herein and those reported in the literature are potentially biased for recurrent, metastatic, and advanced stage tumors as most were seen in consultation at large academic centers. Of note, we and other groups studying *NTRK*-rearranged tumors in the uterus have used the label “sarcoma” to describe them although the entity appears in the most recent “WHO Classification of Tumors of Female Genital Tract”⁴⁴ and sometimes in the non-gynecologic literature as “*NTRK*-rearranged spindle cell neoplasms.” Based on our current knowledge of their potentially aggressive behavior, we believe the uterine tumors should be designated as “sarcomas.” While our proposed risk stratification appears to identify tumors at high risk of recurrence, even the so-called low risk tumors should be considered to have malignant potential and patients should still receive follow up.

Treatment

Surgical resection is the mainstay of treatment for most patients. Just over half of the patients in this series underwent hysterectomy, though this may be an underestimate due to limited clinical follow up. Given the desire to maintain fertility in young patients, initial management may be conservative (polypectomy or cone biopsy). However, several cases in this series highlight challenges associated with local excision. While one patient (case 11) remained disease free 16.5 months after local excision, a second (case 6) required three procedures (polypectomy, cold knife conization and loop electrosurgical excision) to completely remove the tumor, and a third (case 7) initially treated conservatively had a pelvic recurrence which was subsequently successfully salvaged. Unfortunately, one other patient (case 9) who underwent local excision subsequently recurred with distant metastases and died of disease. Because these tumors are usually ER and PR negative and spread to the ovaries is not common, consideration of ovarian conservation is reasonable if these tumors are diagnosed as *NTRK*-rearranged sarcomas prior to surgery. Three patients received adjuvant treatment, two of whom died of disease; its benefit is difficult to discern with these limited data.

Trials of TRK inhibitors entrectinib and larotrectinib have demonstrated benefit in solid tumors with *NTRK* fusions, with partial responses in 50–62% of patients and complete responses in 7–13%.^{15,16} There are two reports in the literature of patients with *NTRK*-rearranged uterine tumors who were treated with targeted therapy: one patient with a 9 cm cervical sarcoma had a complete response to 27 weeks of neoadjuvant treatment with

entrectinib,²⁶ and a second patient with biopsy-proven pleural metastases who was with no evidence of disease following treatment with larotrectinib.⁵ While no patients in our series received TRK inhibition, the two patients who received chemotherapy died of disease, suggesting that targeted therapy may be more effective than chemotherapy.

Limitations

Our study has some notable limitations. Most significantly, six patients (40%) in our study were lost to follow up. Also, there was insufficient tumor or poor-quality nucleic acid in two cases which precluded molecular confirmation. There is morphologic overlap that can be seen with other uterine spindle cell tumors such as *COL1A1::PDGFB* sarcomas.^{3,4,45} However, given both cases were CD34-negative, in contrast to typically CD34-positive *PDGFB*-fusion sarcomas, and showed pan-TRK positivity in the context of appropriate morphology we feel that these are best classified as *NTRK*-rearranged sarcomas. Also, only select slides were available for review in most cases. While pooling outcome data from many different series allowed us to identify potential prognostic features, this approach also introduced several inherent limitations. Specifically, the type and extent of tumor necrosis was not incorporated in the model, the field diameter used for mitotic counts was not standardized, and the recording of atypia was subjective and therefore not included in the analysis. Furthermore, there is significant interobserver variability in the counting of mitotic figures, and because mitotic counts were abstracted from different studies, the values used in our analysis are inherently inaccurate.

Conclusion

We present the clinical, morphologic, immunohistochemical, and molecular features of fifteen cases of *NTRK*-rearranged uterine sarcomas. We described two tumors with variant morphology not typically associated with *NTRK*-rearranged uterine sarcomas. One, with a *IRF2BP2::NTRK1* fusion showed high grade cytology and prominent stromal hyalinization. The second demonstrated diffuse pleomorphism and harbored *TP53* mutations in addition to a *TPR::NTRK1* fusion. An analysis of previously published cases identified several possible adverse prognostic factors, including increased mitotic index, lymphovascular invasion, necrosis, and *NTRK3* fusions. We present a risk stratification model based on these parameters which can predict which tumors may recur. As the number of reported cases increases, this preliminary model can be further revised. *NTRK*-rearranged uterine sarcomas represent a potentially aggressive neoplasm predominantly seen in the uterine cervix of young women and for which an accurate diagnosis is important because of the utility of TRK inhibitors in the recurrent/metastatic setting. This study further broadens our morphologic, immunohistochemical and clinical understanding of this rare tumor type and has identified clinicopathologic features which may predict tumor behavior. However, larger series are required to better risk stratify these rare tumors.

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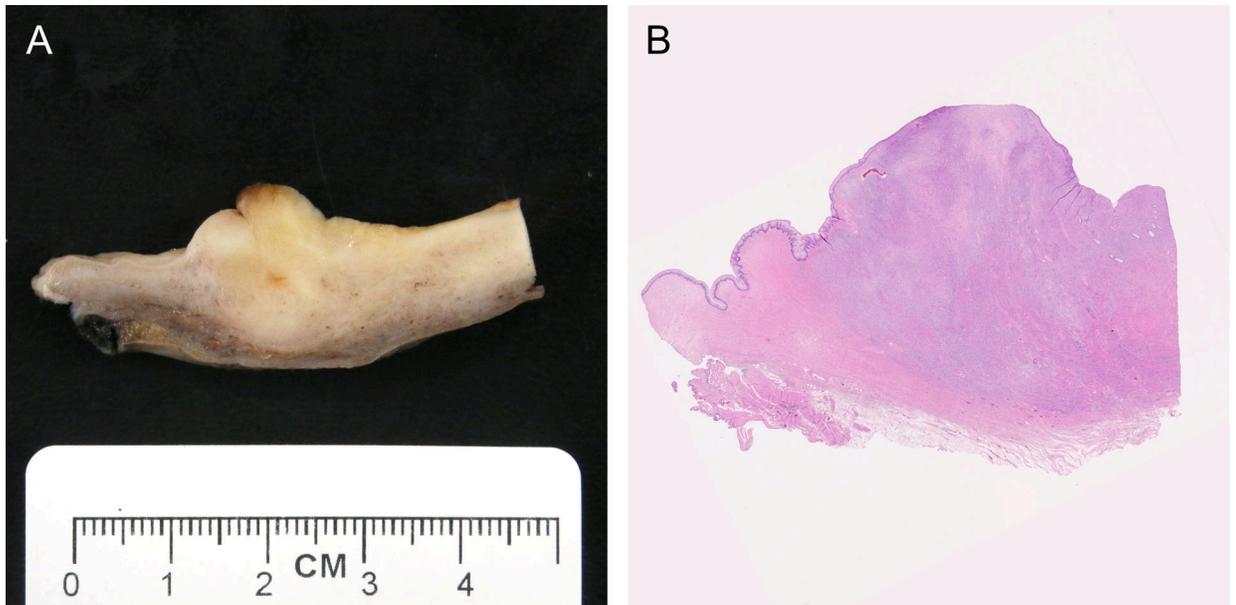


Figure 1.
(A) Grossly ill-defined tan-white cervical mass and (B) corresponding microscopic image of tumor harboring a *TPR-NTRK1* fusion in a 30-year-old female (perpendicular section of endocervical canal, case 4).

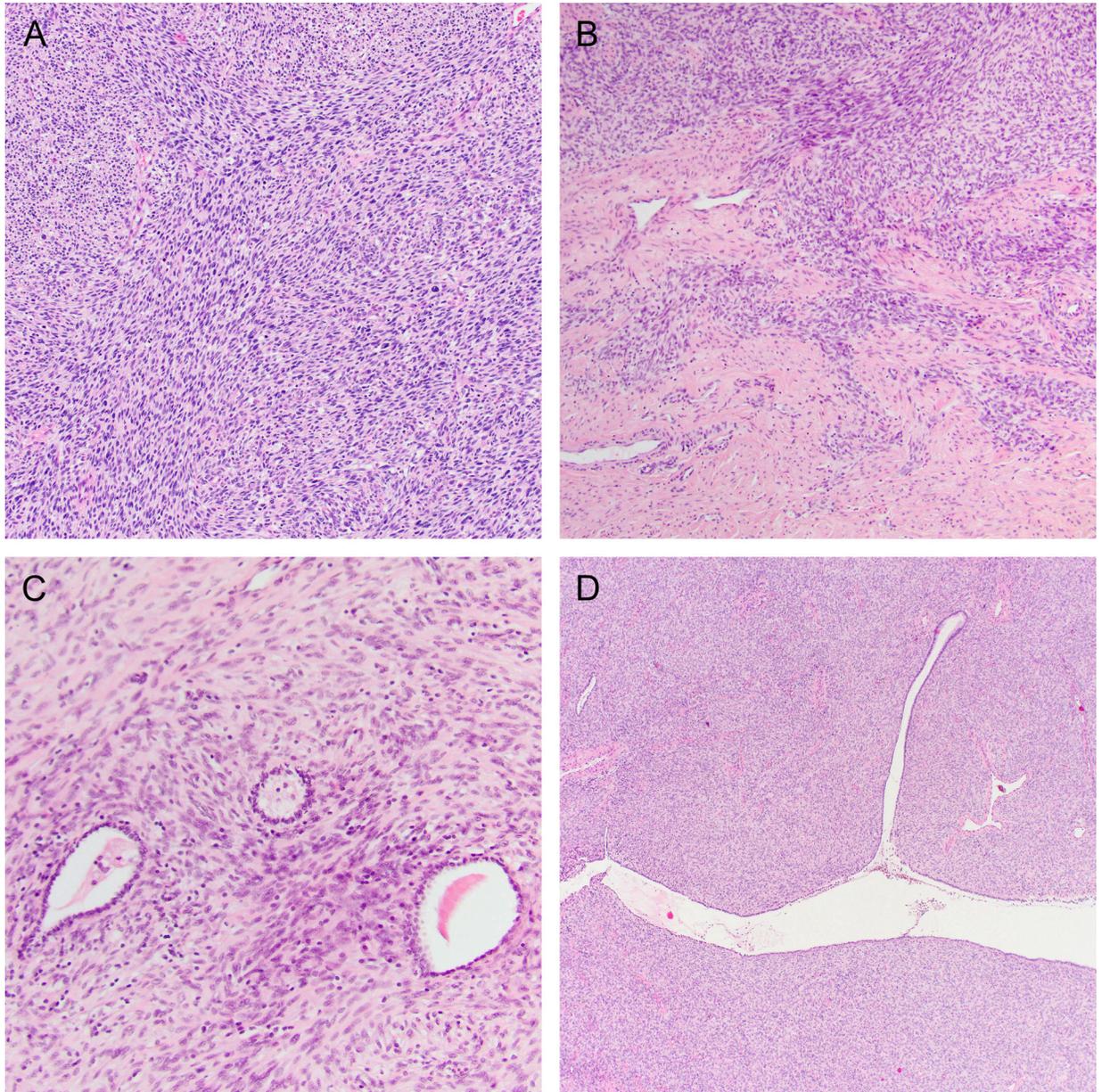


Figure 2.

(A) Most *NTRK*-rearranged sarcomas were composed of spindle cells arranged in short fascicles. (B) All tumors demonstrated an infiltrative growth pattern and (C) frequently entrapped benign endocervical glands. (D) Stromal expansion resulting in a leaf-like architecture, reminiscent of a Mullerian adenosarcoma, was present in two cases.

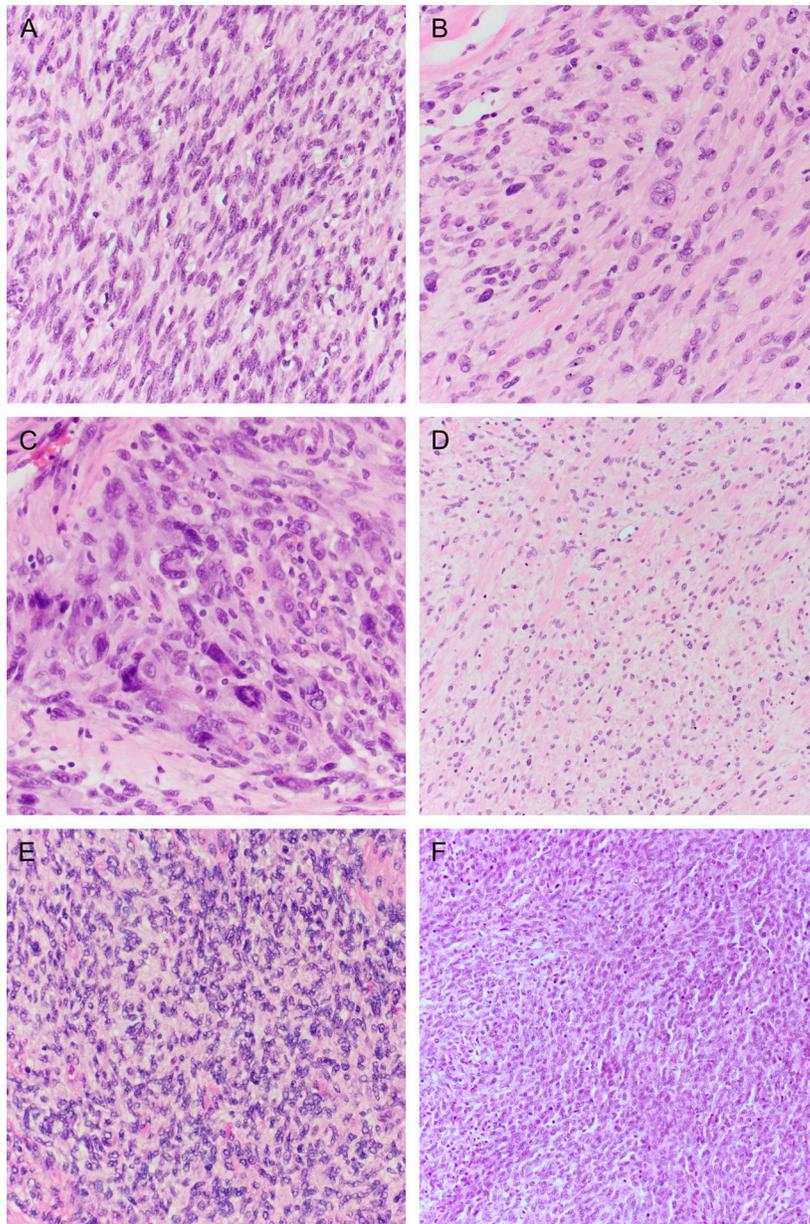


Figure 3. Cytologic atypia was usually (A) mild to (B) moderate. (C) High-grade atypia was seen in a subset of cases, including occasional prominent symplastic tumor giant cells. Tumor cellularity was variable, ranging from (D) low to (E) moderate to (F) high.

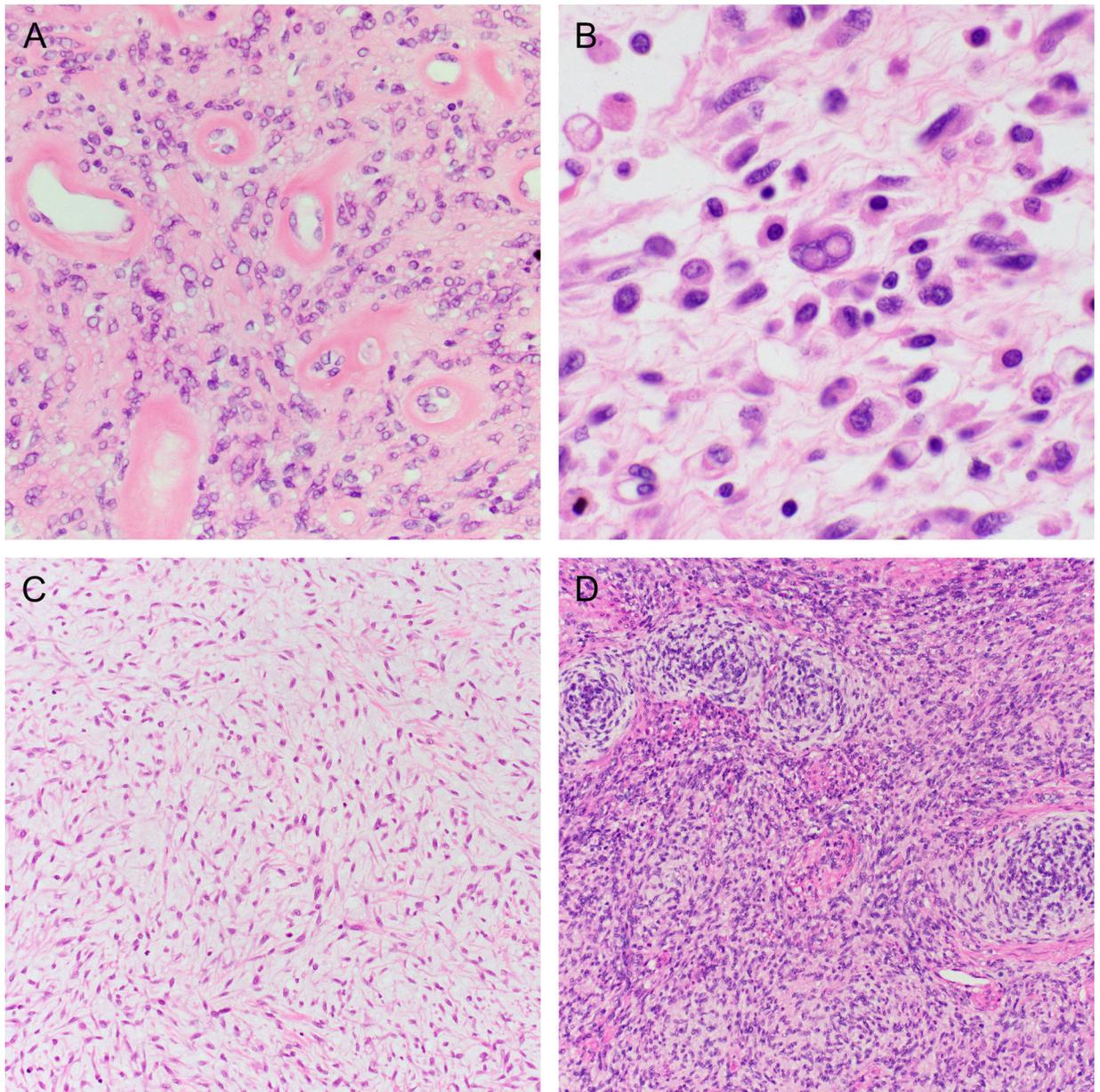


Figure 4. Histologic features seen in some *NTRK*-fusion uterine sarcomas include (A) hyalinized vessels, (B) nuclear pseudoinclusions, (C) tissue culture-like growth in a myxoid matrix, and (D) whorling.

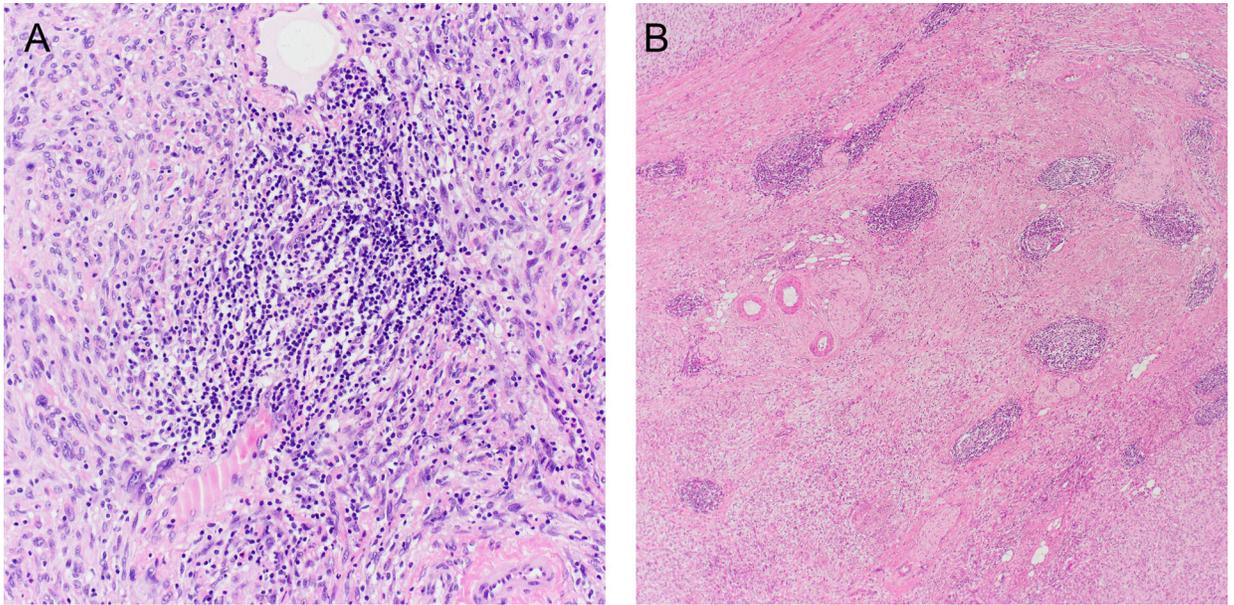


Figure 5. Inflammation associated with *NTRK* fusion uterine sarcomas was common, in the form of (A) intratumoral lymphocytic infiltrates. (B) Peritumoral lymphocytes were prominent in a minority of cases.

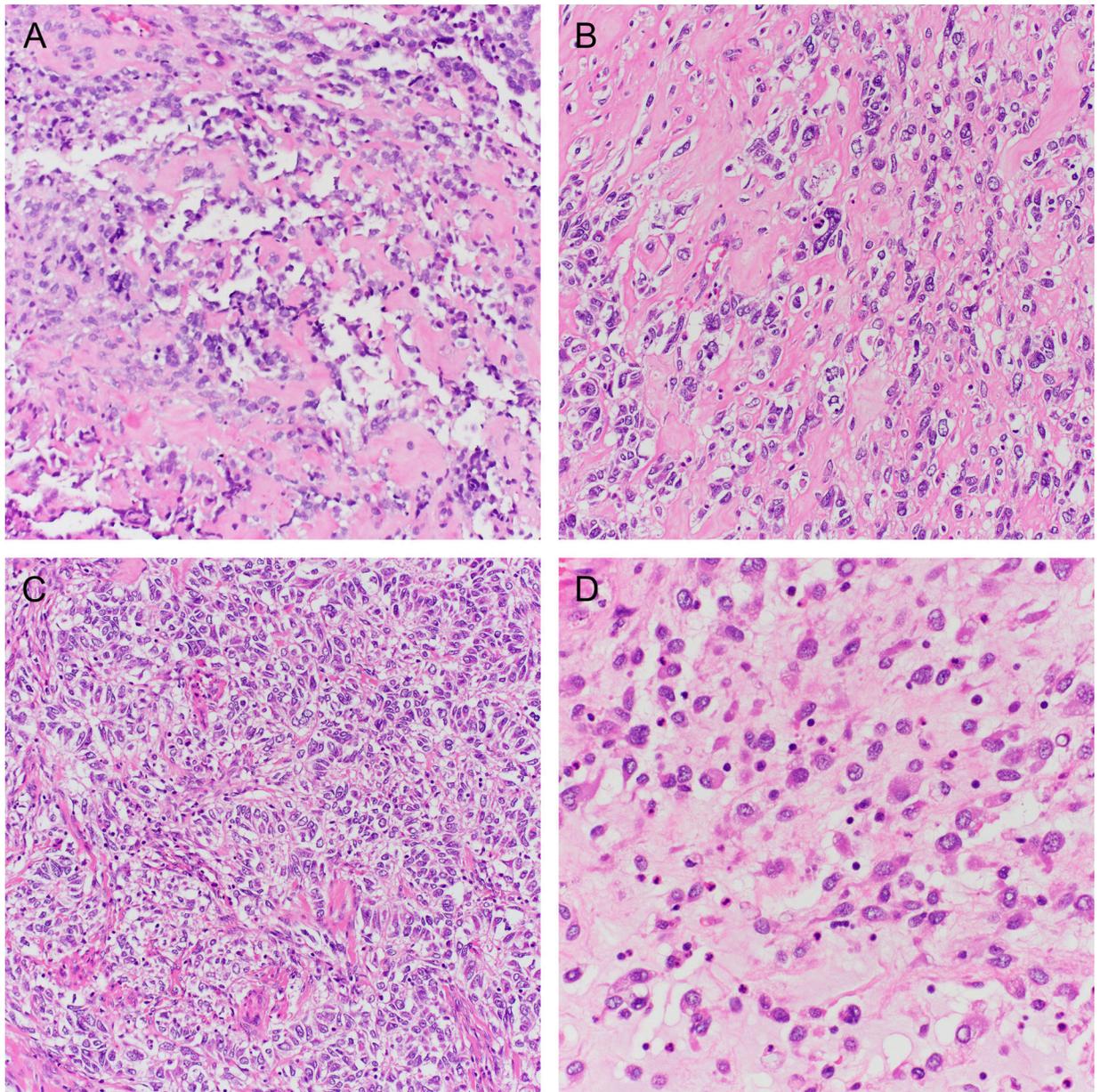


Figure 6. (A) A scant pretreatment biopsy of a tumor with *IRF2BP2-NTRK1* fusion (case 14) with prominent stromal hyalinization. The post-radiation resection specimen showed unusual morphology including (B) atypical epithelioid cells embedded in a hyaline matrix, (C) trabecular architecture, and (D) discohesive rhabdoid cells.

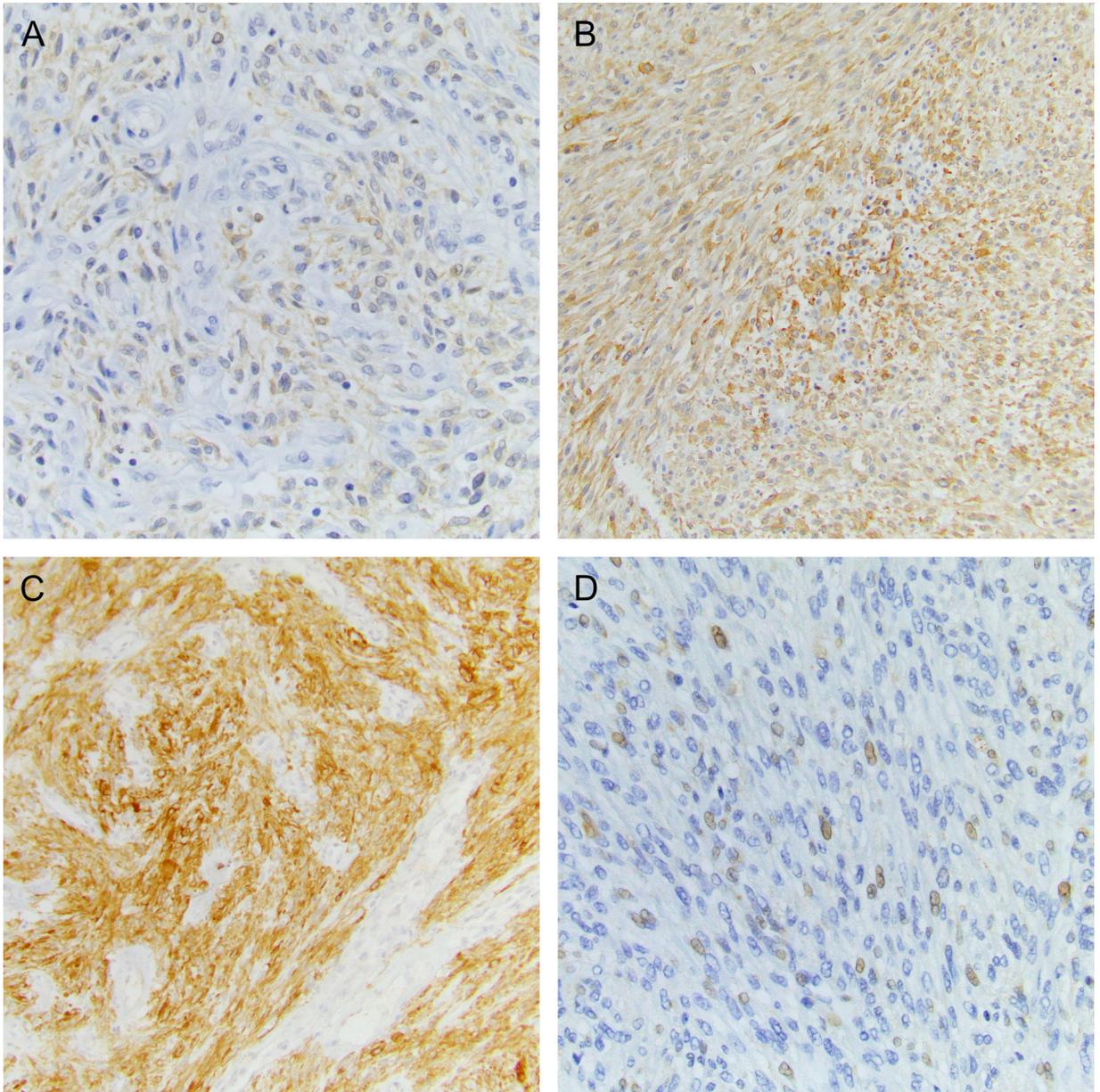


Figure 7. Pan-TRK immunohistochemistry usually demonstrated cytoplasmic staining (A-C) except for one case with patchy nuclear staining (D, case 9) and ranged from (A) weak to (B) moderate to (C) strong intensity.

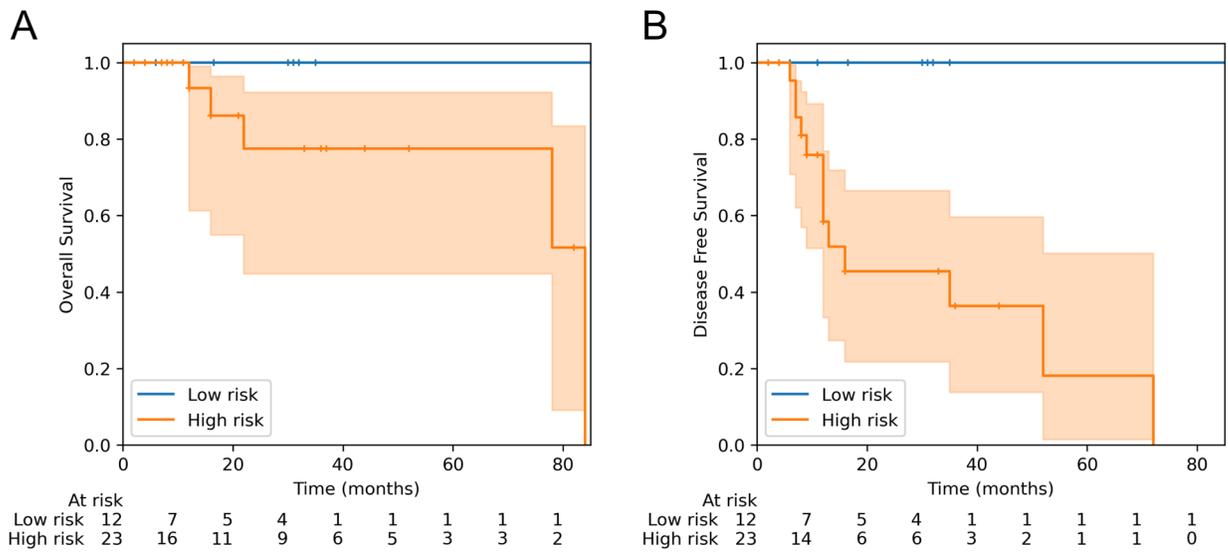


Figure 8. Kaplan-Meier survival curves for *NTRK*-rearranged uterine sarcomas, stratified based on the presence of at least one high-risk feature: mitotic index ≥ 8 , lymphovascular invasion, necrosis, or *NTRK3* fusion. (A) Overall survival, log-rank test $p = 0.10$. (B) Disease free survival, log-rank test $p = 0.009$. Shaded regions indicate 95% confidence intervals, and + denotes censored observations.

Table 1. Clinical features, including treatment and follow up, for *NTRK*-rearranged uterine sarcomas of the cohort.

Case	Age (years)	Presentation	Site	Size (cm)	FIGO Stage	Surgical Therapy or Procedure	Adjuvant Therapy	Recurrence	Time to Recurrence (months)	Follow up (months)	Status
1	35	Anemia, "fibroid"	Corpus	5.1	IB	Myomectomy	NK	NK	NA	NA	LTFU
2	35	Dysmenorrhea, dyspareunia	Cervix	3.5	IA	TAH BSO	NK	NK	NA	NA	LTFU
3	47	Mass	Cervix	7.8	IB	TAH BSO, bilateral pelvic & para-aortic nodes	Radiation & chemotherapy	NK	NA	12	DOD
4	30	Enlarged, vascular, friable cervix	Cervix	4.0	IIB	TAH BS & omentectomy	N	N	NA	35	NED
5	39	NK	Cervix	NK	NK	Local excision	NK	NK	NA	NA	LTFU
6	16	NK	Cervix	NK	IA	Biopsy, LEEP, CKC	N	N	NA	30	NED
7	26	NK	Cervix	12	IIB	TAH BS	Radiation	Pelvis	13	82	NED
8	26	NK	Cervix	5.5	IB	TAH	Radiation & chemotherapy	Peritoneum, lymph nodes, bone	6	22	DOD
9	26	NK	Cervix	NK	NK	Local excision	NK	Lung	72	84	DOD
10	61	Vaginal bleeding	Cervix	7	IB	TAH BSO	N	N	NA	16	NED
11	24	NK	Cervix	NK	IA	Local excision	N	N	NA	16.5	NED
12	42	NK	Cervix	NK	NK	Local excision	NK	NK	NA	NA	LTFU
13	42	Vaginal bleeding	Cervix	5.6	IB	TAH BSO	N	N	NA	44	NED
14	46	NK	Cervix	10.0	IB	Radical hysterectomy BSO	Radiation*	NK	NA	NA	LTFU
15	26	Vaginal bleeding	Cervix	8.0	NK	Cervical biopsy	NK	NK	NA	NA	LTFU

* neoadjuvant radiation therapy; LEEP = loop electrosurgical excision procedure; CKC = cold knife cone biopsy; LTFU = lost to follow up; NED = no evidence of disease; DOD = died of disease; NA = not applicable; NK = not known; TAH = total abdominal hysterectomy; BSO = bilateral salpingo-oophorectomy; BS = bilateral salpingectomy

Table 2.

Morphologic features of *NTRK*-rearranged uterine sarcomas of the cohort.

Case	Atypia	Cellularity	Mitoses/10 HPF	Atypical mitoses	LVI	Necrosis	Infiltrative edge	Hyalinized vessels	Lymphocytic inflammation	Myxoid stroma	Nuclear pseudo-inclusions
1	1-2	2	1	N	N	N	Y	N	+	Focal	Y
2	2	2	5	N	N	N	Y	Y	+	N	N
3	1	2	8	N	Y	N	Y	N	+	N	N
4	1-2	1-2	4	N	N	N	Y	Y	+	N	Y
5	2-3 (focal)	2-3	16	N	N	Y	Y	Y	++	N	Y
6	2	2	6	N	N	N	Y	Y	++	N	N
7	1	3	4	N	N	N	Y	N	+	N	N
8	1	3	43	N	N	N	Y	N	+	N	N
9	1-2	2	23	N	N	N	Y	N	0	Focal	N
10	2	2-3	4	N	N	Y	Y	Y	+	Focal	Y
11	2-3 (focal)	2	2	N	N	N	Y	N	+++	N	Y
12	1-2	1-2	7	N	N	N	Y	N	+++	Focal	Y
13	3, diffuse	2	26	Y	N	N	Y	N	+	N	Y
14	3, diffuse	1-3	3	Y	Y	Y	Y	Y	++	N	Y
15	2	2	1	N	N	N	NA	N	+	N	Y

LVI = lymphovascular invasion; HPF = high power fields; NA = not assessable

Table 3.Immunohistochemical staining and *NTRK* fusions of the cohort.

Case	Immunohistochemical stains				<i>NTRK</i> fusion
	Pan-TRK	S100	CD34	Desmin	
1	ND	ND	ND	0	<i>C16orf72::NTRK1</i>
2	3 (D, C)	1 (F)	POS	0	<i>TPM3::NTRK1</i>
3	3 (D, C)	ND	ND	0	<i>TPR::NTRK1</i>
4	2 (D, C)	0	ND	0	<i>TPR::NTRK1</i>
5	ND	POS	POS	0	<i>TPM3::NTRK1</i>
6	3 (D, C)	2 (MF)	3 (MF)	0	<i>TPR::NTRK1</i>
7	1 (F, C)	2 (D)	2 (MF)	0	<i>EML4::NTRK3</i>
8	2 (D, C)	0	0	0	<i>TFG::NTRK3</i>
9	1 (P, N)	2 (MF)	0	0	Failed QC
10	2 (D, C)	3 (MF)	2 (MF)	0	<i>SPECC1L::NTRK3</i>
11	3 (D, C)	1 (MF)	3 (MF)	0	<i>TPM3::NTRK1</i>
12	2 (D, C)	3 (MF)	0	0	Insufficient tissue
13	2 (D, C)	3 (MF)	0	0	<i>TPR::NTRK1</i>
14	3 (D, C)	2 (MF)	ND	0	<i>IRF2BP2::NTRK1</i>
15	3 (D, C)	2 (MF)	ND	ND	<i>TPM3::NTRK1</i>

0 = negative; 1 = weak; 2= moderate; 3= strong; F = focal; MF = multifocal; P = patchy; D = diffuse; C = cytoplasmic; N = nuclear; ND = not done; POS = positive by report (slides not available for review)

Table 4.

Previously reported cases of *NTRK*-rearranged uterine sarcomas.

Case	Series	Age (y)	Site	Stage	Time to recurrence (mo)	FU (mo)	FU status	Size (cm)	Atypia	Necrosis	LVI	Mitoses/10 HPF	Fusion
1	Chiang ¹	46	Cervix	IB	7	7	AWD	9.3	2	Y	N	15	<i>TPM3::NTRK1</i>
2	Chiang ¹	27	Corpus	IB	NA	11	NED	16.3	2	N	N	7	<i>LMNA::NTRK1</i>
3	Chiang ¹	47	Cervix	IB	12	78	DOD	14	2	Y	N	12	<i>RBPMS::NTRK3</i>
4	Chiang ¹	42	Cervix	IB	NA	2	NED	2.6	3	N	N	30	<i>TPR::NTRK1</i>
5	Goulding ²⁶	13	Cervix	IB	NA	4	NED	9.2	1	NA	NA	NA	<i>TPM3::NTRK1</i>
6	Boyle ⁷	42	Cervix	IB	NA	11	NED	5.2	1	N	NA	8	<i>TPM3::NTRK1</i>
7	Croce ⁴	39	Cervix	NA	NA	0	LTFU	NA	1	Y	N	3	<i>TPM3::NTRK1</i>
8	Croce ⁴	44	Cervix	IA	NA	2	NED	4.5	2	N	N	3	<i>TPM3::NTRK1</i>
9	Croce ⁴	26	Cervix	IB	NA	52	AWD	12	2	Y	N	3	<i>EML4::NTRK3</i>
10	Croce ⁴	23	Cervix	IA	NA	33	NED	3	2	Y	N	5	<i>TPM3::NTRK1</i>
11 [†]	Croce ⁴ , Mills ¹⁴ , Devereaux ³	32	Cervix	IA	NA	156	NED	5	1	N	N	2	<i>TPM3::NTRK1</i>
12 [†]	Croce ⁴ , Mills ¹⁴ , Devereaux ³	21	Cervix	IIB	7	16	DOD	8.0	1-2	Y	Y	4	<i>TPM3::NTRK1</i>
13 [†]	Croce ⁴ , Rabban ⁵ , Devereaux ³	30	Cervix	IA	35	37	AWD	2.5	1	N	N	18	<i>TPM3::NTRK1</i>
14 [†]	Rabban ⁵ , Devereaux ³	24	Cervix	IB	16	52	AWD	15	1-2	N	Y	12	<i>SPECCIL::NTRK3</i>
15	Rabban ⁵	49	Cervix	NA	NA	6	NED	1.8 and 1.4	1-2	N	N	0	<i>TPR::NTRK1</i>
16	Hodgson ⁸	50s [‡]	Cervix	IA	NA	8	NED	1.6	1-2	N	N	0	<i>SPECCIL::NTRK3</i>
17	Wong ¹⁰	31	Cervix	NA	NA	0	LTFU	9	NA	N	NA	15	<i>NTRK3</i> *
18	Michal ⁹	26	Uterus	IB	NA	36	NED	23	1	N	NA	0	<i>STRN::NTRK3</i>
19	Wells ⁶	30	Corpus	IB	NA	4	NED	2.5	1-2	N	N	2	<i>TPM3::NTRK1</i>
20	Gatalica ²	NA	Cervix	NA	NA	NA	NA	NA	NA	NA	NA	NA	<i>TPM3::NTRK1</i>
21	Gatalica ²	NA	Uterus	NA	NA	NA	NA	NA	NA	NA	NA	NA	<i>SPECCIL::NTRK3</i>
22	Devereaux ³	39	Cervix	IB	NA	9	NED	5.8	1-2	Y	N	12	<i>TPM3::NTRK1</i>
23	Devereaux ³	66	Cervix	IA	NA	2	NED	1.5	1-2	N	N	1	<i>TPM3::NTRK1</i>
24	Devereaux ³	40	Cervix	IA	NA	32	NED	2	2	N	N	1	<i>TPR::NTRK1</i>

Case	Series	Age (y)	Site	Stage	Time to recurrence (mo)	FU (mo)	FU status	Size (cm)	Atypia	Necrosis	LVI	Mitoses/10 HPF	Fusion
25	Devereaux ³	37	Cervix	IB	NA	0	LTFU	6.3	1-2	Y	N	2	<i>IRF2BP2::NTRK1</i>
26	Devereaux ³	35	Corpus	IB	NA	31	NED	9.4	2-3	N	N	5	<i>C16orf72::NTRK1</i>
27	Nilforoushan ¹¹	54	Cervix	IB	8	8	AWD	5.4	2	Y	NA	40	<i>SPECCIL::NTRK3</i>
28	Nilforoushan ¹¹	52	Cervix	IA	NA	6	NED	1.3	1	NA	NA	1	<i>TPM3::NTRK1</i>
29	Moh ¹³	69	Corpus	IB	NA	12	NED	7	3	Y	Y	15	<i>WWOX::NTRK2</i>
30	Tsal ¹²	47	Cervix	NA	12	21	AWD	2.7	2-3	Y	NA	3	<i>TPM3::NTRK1</i>
31	Tsal ¹²	53	Cervix	NA	9	9	AWD	6.8	2-3	Y	NA	26	<i>TPM3::NTRK1</i>

* Fusion partner not identified.

[†] These cases have been reported in more than one series.

[‡] The original report gave the patient age as “6th decade” and for multivariable analysis, the age was estimated as 55. LTFU = lost to follow up; NED = no evidence of disease; DOD = died of disease; NA = not applicable; HPF = high power fields

Table 5.

Cox proportional hazard analysis for disease free survival (DFS) and overall survival (OS) of *NTRK*-rearranged uterine sarcomas. HR: hazard ratio, CI: confidence interval. For continuous risk factors, the hazard ratio is for a 1-unit increase in the risk factor. For categorical variables, the comparison and reference groups are included in parentheses.

Univariate	DFS			OS		
	HR	CI (95%)	p	HR	CI (95%)	p
Age (years)	1.02	0.98–1.06	0.42	1.02	0.94–1.11	0.654
Stage (1B vs 1A)	5.9	0.81–42	0.076	Not estimable*		
Size (cm)	1.02	0.91–1.13	0.78	1.02	0.85–1.24	0.82
Mitoses/10 HPFs	1.07	1.02–1.12	0.009	1.05	0.99–1.12	0.12
Lymphovascular invasion (present vs absent)	3.6	0.91–13.9	0.068	16.9	1.4–203	0.026
Necrosis (present vs absent)	3.3	1.04–10.8	0.043	2.7	0.4–19	0.33
<i>NTRK</i> fusion (<i>NTRK3</i> vs <i>NTRK1/2</i>)	2.2	0.68–7.1	0.19	1.6	0.2–12	0.65
Multivariable	DFS			OS		
	HR	CI (95%)	p	HR	CI (95%)	p
Mitoses/10 HPFs	1.07	1.02–1.13	0.008	1.13	0.997–1.28	0.055
Lymphovascular invasion (present vs absent)	3.3	0.8–14	0.11	51	1.3–2041	0.036
Necrosis (present vs absent)	3.7	1.03–13.3	0.045	6.1	0.4–88	0.19

* No stage 1A patients died from disease, precluding calculation of HR.

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Table 6.

Comparison of clinicopathologic features of *NTRK*-rearranged uterine sarcomas with *NTRK1* and *NTRK3* fusions.

	<i>NTRK1</i> (n=31)	<i>NTRK3</i> (n=10)	Univariate p
Mean age (years) (SD)	37.0 (11.6)	37.6 (14.8)	0.89
Stage			0.081
IA	13 (52%)	1 (11%)	
IB	10 (40%)	7 (78%)	
IIB	2 (8%)	1 (11%)	
Mean size (cm) (SD)	5.6 (3.4)	10.5 (6.1)	0.004
Mean mitotic activity per 10 HPFs (SD)	7.3 (8.2)	13.3 (15.8)	0.13
Lymphovascular invasion			1.0
Present	3 (12%)	1 (14%)	
Absent	23 (88%)	6 (86%)	
Necrosis			1.0
Present	10 (34%)	4 (40%)	
Absent	19 (66%)	6 (60%)	
Recurrence			0.044
Yes	6 (25%)	6 (67%)	
No	18 (75%)	3 (33%)	
Death from disease			0.30
Yes	2 (8%)	2 (78%)	
No	22 (92%)	7 (22%)	

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