



Risk factors for mortality and mortality rates in interstitial lung disease patients in the intensive care unit

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Elevated APACHE score, hypoxaemia and mechanical ventilation are risk factors for mortality in ILD. No increased mortality was found with steroid use. Evidence on advanced age is inconclusive. Survival may be improving over time, but still remains high. http://ow.ly/6F1d30mijdy

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ABSTRACT Data on interstitial lung disease (ILD) outcomes in the intensive care unit (ICU) is of limited value due to population heterogeneity. The aim of this study was to examine risk factors for mortality and ILD mortality rates in the ICU.

We performed a systematic review using five databases. 50 studies were identified and 34 were included: 17 studies on various aetiologies of ILD (mixed-ILD) and 17 on idiopathic pulmonary fibrosis (IPF). In mixed-ILD, elevated APACHE score, hypoxaemia and mechanical ventilation are risk factors for mortality. No increased mortality was found with steroid use. Evidence is inconclusive on advanced age. In IPF, evidence is inconclusive for all factors except mechanical ventilation and hypoxaemia. The overall inhospital mortality was available in 15 studies on mixed-ILD (62% in 2001–2009 and 48% in 2010–2017) and 15 studies on IPF (79% in 1993–2004 and 65% in 2005–2017). Follow-up mortality rate at 1 year ranged between 53% and 100%.

Irrespective of ILD aetiology, mechanical ventilation is associated with increased mortality. For mixed-ILD, hypoxaemia and APACHE scores are also associated with increased mortality. IPF has the highest mortality rate among ILDs, but since 1993 the rate appears to be declining. Despite improving in-hospital survival, overall mortality remains high.

Introduction

The interstitial lung diseases (ILD) encompass over 200 different entities, sharing common clinical features of progressive inflammation with variable scarring of the interstitium and alveoli [1]. The associated deterioration in lung function leads to both substantial morbidity and mortality. Acute exacerbation of ILD is defined as an acute respiratory deterioration characterised by evidence of new widespread alveolar

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infiltrates [2]. While best characterised in idiopathic pulmonary fibrosis (IPF), acute exacerbations are relevant in other forms of ILD and often lead to intensive care unit (ICU) admission [3]. Notably, progression of disease and infections are the most common causes of ICU admissions in patients with IPF [3]. However, there is a paucity of data on ICU outcomes in patients with ILD. Research on ICU mortality has been complicated by evolving diagnostic guidelines [4–6], which has led to significant variation in inclusion criteria from one study to the next. Ultimately, this makes it difficult for clinicians to provide relevant information on prognosis and outcomes to patients based on findings in a given study.

IPF is the most common form of idiopathic interstitial pneumonia (IIP) [5]. Mallick *et al.* [7] reported an overall ICU mortality of 87% in ventilated patients from studies published before 2006. Many studies in the ILD literature focus only on ICU outcomes in IPF because this diagnosis generally carries the worst prognosis in ILD [8]. However, it is not clear that other forms of ILD confer a similar prognosis. Making this distinction is difficult, but clinically important as articles considering ICU outcomes in mixed-ILD populations often include patients with IPF.

Given the heterogeneity of disease and diagnosis, various stages of disease and the myriad of triggers for ICU admission [9], our objective was to review current knowledge on variables associated with increased risk of mortality in the ICU, evaluate the factors that affect clinical outcomes in the ICU, determine mortality rates and identify important areas for future research.

Methods

Search strategy and selection criteria

We conducted a systematic review with relevant papers identified *via* PubMed, Scopus, Cochrane, Embase and Scielo searches for English and Spanish articles with the keywords "ILD", "interstitial pneumonia", "interstitial pneumonitis", "IIP", "IPF", "intensive care unit" and "outcomes", among other terms (supplementary material e-table 1). Our target population was adult patients with ILD admitted to the ICU for whom mortality data were available. Patients who presented in acute respiratory failure and then underwent a lung biopsy during their ICU stay were included. Patients admitted to the ICU due to complications from elective lung biopsy were excluded from this review. Studies on patients with acute interstitial pneumonia were also excluded as prior review articles on this topic have been previously published [10, 11].

The complete search strategy was performed on November 21, 2017. All titles and abstracts were evaluated for further review independently by two authors (figure 1). A total of 348 unique articles were reviewed. Of these, 298 were excluded because: ICU outcomes were not evaluated; different target populations were included (acute respiratory distress syndrome, lung transplant, children, *etc.*); they consisted of case reports of less than three patients; or were letter to the editor and review articles. Conference abstracts were not reviewed if they had not been published in the aforementioned databases. Of 50 articles reviewed in full text, 16 articles were excluded (lung biopsy for ILD: n=4; unknown lung infiltrates in the ICU: n=4; polymyxin and extracorporenal membrane oxygenation: n=2; acute interstitial pneumonia: n=2; coal worker pneumoconiosis: n=1; acute respiratory distress syndrome: n=1; myositis: n=1; and use of bronchoscopy: n=1). In total, 34 studies were identified for inclusion in this review (table 1). Studies of patients with IPF were separated from those with heterogeneous patient population (mixed-ILD). 17 papers included only IPF patients; the remaining 17 included mixed-ILD.

Data extraction and quality assessment

A data extraction form was developed for the purpose of the review and included research design, study sample, source of bias, data collection and follow-up rates. We did not use other tools available in the literature given that all the studies, except for one, presented retrospective information based on series of cases without a comparator group. Two authors (J.A. Huapaya and E.M. Wilfong) assessed the relevance of articles independently. Queries were resolved through discussion with the senior author (S.K. Danoff). No meta-analysis was considered because of the heterogeneity of the population included in the studies, lack of consistent evaluation of all the risk factors for mortality (the majority of studies only reported information on a few risk factors and did not mention others), lack of consistent analysis (only a few studies performed multivariate analysis) and a wide range of years included in the analysis of each study.

Mortality rates estimation

Mortality rates were extracted from each study at different time-points: ICU, in-hospital and follow-up mortality (3, 6 and 12 months). For simplicity of analysis, overall mortality included the available in-hospital and ICU mortality. Mean percentage of mortality rates was not used for the calculation of overall mortality as this could have represented a source of bias given different sample sizes. Therefore, the

TABLE 1 34 studies on mixed-interstitial lung disease (IID) and idiopathic pulmonary fibrosis (IPF) included in the systematic review

First author [ref.]	Year	Country	Patients n	Patient population	Design
Mixed-ILD					
Churg [13]	2007	Canada	12	9 patients with UIP, 2 with NSIP, 1 chronic HP undergoing lung biopsy for unknown cause of ARF	Retrospective
FERNANDEZ-PEREZ [14]	2008	USA	94	ILD patients admitted to ICU requiring IMV for >24 h	Retrospective
FUMEAUX [15]	2001	Switzerland	14	Pulmonary fibrosis patients admitted to ICU for IMV	Retrospective
GAUDRY [16]	2014	France	27	IPF or fibrosing idiopathic NSIP who required IMV	Retrospective
GONCALVES [17]	2016	Portugal	37	ILD ICU admissions	Retrospective
GUNGOR [18]	2013	Turkey	120	ILD ICU admissions	Retrospective
Huie [12]	2010	USA	27	Patients with fibrotic lung disease with acute respiratory decline	Retrospective (n=17)/ perspective (n=10)
Martinez [19]	2015	Australia	36	Patients admitted to the ICU with respiratory insufficiency secondary to IIP	Retrospective
Molina-Molina [20]	2003	Spain	20	ILD ICU admissions	Retrospective
OLSON [21]	2008	ÚSA	4	Fibrotic HP undergoing AE	Retrospective
Osman [22]	2017	Egypt	91	ILD RICU admissions	Perspective
Park [23]	2007	South Korea	10	Biopsy proven non-IPF ILD patients	Retrospective
Tachikawa [24]	2012	Japan	62	AE of CTD-ILD and IIP	Retrospective
Томіі [25]	2010	Japan	33	AE-interstitial pneumonia	Retrospective
VIAL-DUPUY [26]	2013	France	72	ILD patients admitted to ICU due to ARF	Retrospective
У ОКОУАМА [27]	2012	Japan	38	Rapidly progressing interstitial pneumonia treated with early NIMV	Retrospective
Zafrani [28] IPF	2014	France	83	ILD-associated ARF	Retrospective
Akira [29]	1997	Japan	17	Accelerated deterioration of IPF	Retrospective
AL-HAMEED [30]	2004	Canada	25	AE-IPF ICU admissions	Retrospective
Ambrosini [31]	2003	Italy	5	AE-IPF ICU admissions	Retrospective
BLIVET [32]	2001	France	15	IPF admitted for respiratory failure	Retrospective
Кім [33]	2006	Korea	11	Biopsy-proven AE-IPF	Retrospective
KONDOH [34]	1993	Japan	3	AE-IPF	Retrospective
Mollica [35]	2010	Italy	34	IPF patients undergoing IMV	Retrospective
Nava [36]	1999	Italy	7	End-stage IPF patients undergoing IMV	Retrospective
Oda [37]	2016	Japan	209	IPF with ARF requiring ventilation and high-dose steroids	Retrospective
Papiris [38]	2015	Greece	17	AE-IPF	Retrospective
Parambil [39]	2005	USA	7	AE-IPF ICU admissions	Retrospective
Rangappa [3]	2009	Australia	24	IPF patients admitted to the ICU	Retrospective
SAYDAIN [40]	2002	USA	38	IPF patients admitted to the ICU	Retrospective
Song [41]	2011	South Korea	90	AE-IPF	Retrospective
STERN [42]	2001	France	23	IPF patients undergoing IMV	Retrospective
VIANELLO [43]	2014	Italy	18	IPF patients receiving NIMV for ARF	Retrospective
У окоуама [44]	2010	France	83	ILD-associated ARF	Retrospective

UIP: usual interstitial pneumonia; NSIP: nonspecific interstitial pneumonia; HP: hypersensitivity pneumonitis; ARF: acute respiratory failure; ICU: intensive care unit; IMV: invasive mechanical ventilation; IIP: idiopathic interstitial pneumonia; AE: acute exacerbation; RICU: respiratory ICU; CTD: connective tissue disease; NIMV: noninvasive mechanical ventilation.

total number of deaths was calculated for each study and was then divided by the total number of patients at risk.

Results

One prospective and 33 retrospective studies (one that also reports 10 patients who were prospectively evaluated) [12] were included in the analysis (table 1). 26 studies included less than 50 patients, six studies included between 50 and 100 patients, and two studies included more than 100 patients. The largest cohort had 209 patients and the smallest one had only three patients. IPF specific data from the studies with mixed population was not available to be added to the IPF specific studies. Mixed-ILD patients included IPF, connective tissue disease (CTD)-ILD, drug-induced ILD, hypersensitivity pneumonitis, and

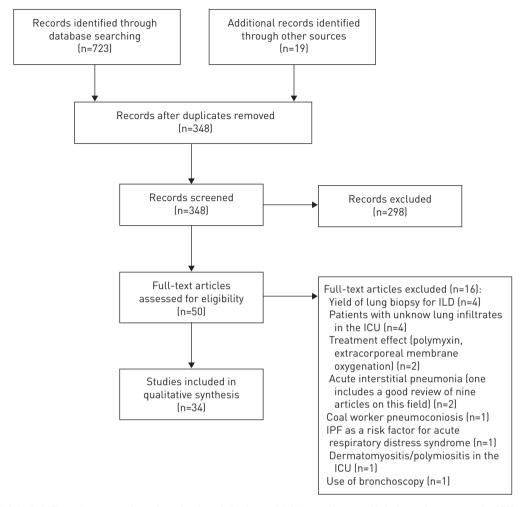


FIGURE 1 Flow diagram of study selection. ILD: interstitial lung disease; ICU: intensive care unit; IPF: idiopathic pulmonary fibrosis.

other aetiologies. Overall in-hospital mortality according to geographic regions is presented in supplementary material e-table 5.

Mortality in mixed-ILD studies

Our review identified 780 patients with mixed causes of ILD in 17 studies [12–28]. 15 studies had information on ICU or in-hospital mortality, reporting a total of 685 patients. The remaining two studies had mortality at post-hospitalisation follow-up (2 and 3 months, respectively) [24, 25]. ICU admission occurred due to acute respiratory failure secondary to infections and disease progression in 30–75% of cases. 5–10% of admissions occurred due to pneumothorax, pulmonary embolism, congestive heart failure, were drug induced or due to other causes. The overall in-hospital mortality (15 studies) was 52%. Notably, half of these patients had IPF (table 2). Stratifying the studies according to their year of publication showed the overall in-hospital calculated mortality was 62% during the period 2001–2009 and 48% during 2010–2017 (supplementary material e-table 3). Cut-offs for different years were used to evaluate if this trend was consistent throughout different periods (supplementary material e-table 4). Notably, mortality increases with time post hospitalisation (table 2). Some studies reported 1-year mortality of 54–59% [14, 28], whereas others reported 1-year mortality of 85–96% [12, 16].

Mortality in IPF studies

17 studies of IPF included 554 patients (table 2) [3, 29–44]. 15 of these studies had information on ICU or in-hospital mortality accounting for 526 patients; the remaining two studies had mortality at post-discharge follow-up (2 and 3 months, respectively) [29, 44]. The overall in-hospital mortality of the 15 studies was 68%, which is lower than previously reported [7]. Notably, studies from the 1990s reported mortality rates around 80–100% [30, 32, 36], whereas recent studies in large populations reported

TABLE 2 Intensive care unit (ICU), in-hospital and follow-up mortality in patients with mixed-interstitial lung disease (ILD) and idiopathic pulmonary fibrosis (IPF)

First author [ref].	Inclusion years month/year	Total*	Included§	IPF	Overall deaths	ICU mortality %	In-hospital mortality %	Overall in-hospital mortality %	Follow-up mortality %
Mixed-ILD									
Churg [13]	Not provided	12	12	9	2		13	13	36 (11 months)
FERNANDEZ-PEREZ [14]	02/2002-07/2006	94	94	30	50		53	53	59 (1 year)
Fumeaux [15]#	12/1996-03/2001	14	14	11	14	100	100	100	
GAUDRY [16]	01/2002-04/2009	27	27	22	23	77	85	85	96 (1 year)
GONCALVES [17]	01/2004-05/2015	37	37	5	23	62		62	81 (1 month)
GUNGOR [18]	01/2008-12/2010	120	120	96	72	60		60	
Huie [12]	06/2006-04/2009	27	27	13	17		63	63	74 (2 months),
									78 (6 months), 85 (1 year)
Martinez [19]#	12/2007-12/2013	36	36	25	25	55	69	69	
Molina- Molina [20]	01/1986-06/2002	20	20	14	20	95	100	100	
OLSON [21]	01/2005-01/2007	4	4	0	4		100	100	
Osman [22]	01/2013-07/2015	91	91	68	9		10	10	
Park [23]	1993-04/2006	10	10	0	6		60	60	60 (6 months)
Tachikawa [24]¶	1/2003-12/2009	62	NI	NI					90 (3 months)
Томіі [25] [¶]	10/2001-09/2006	33	NI	NI					46 (2 months)
VIAL-DUPUY [26]	7/1993-12/2009	72	72	16	33		46	46	
Үокоуама [27]	01/2005-12/2010	38	38	16	21		54	54	
Zafrani [28]	01/2002-03/2013	83	83	9	34		41	41	54 (1 years)
Total IPF		780	685	334	353				
AKIRA [29]¶	Not provided	17	NI	NI					48 (3 months)
AKIRA [29]" AL-HAMEED [30]	09/1988-12/2001	25	25	25	24	84	96	96	
AL-HAMEED [30] AMBROSINI [31]	05/2001-06/2002	25 5	25 5	∠5 5	4	84 80	76	96 80	100 (1 year) 80 (1.5 years)
BLIVET [32]		5 15	ວ 15	ວ 15	13	73	86	86	60 (1.5 years)
БLIVET [32] Кім [33]	01/1989-06/1998 01/1990-09/2003	11	11	11	7	73 70	63	63	82 (3 months)
Kondoh [34]	Not provided	3	3	3	0	0	0	0	33 (6 months)
Mollica [35]	01/2000-01/2007	34	34	34	29	U	85	85	97 (6 months)
Nava [36]	Not provided	34 7	34 7	34 7	6	85	63	85	77 (6 months)
ODA [37]	04/2010-03/2013	209	209	209	138	65	66	66	
Papiris [38]	2007-06/2013	17	17	17	11	65	00	65	
PARAMBIL [39]	01/1996-12/2002	7	7	7	6	86	86	86	
RANGAPPA [3]	01/1996-12/2006	24	24	24	22	67	92	92	
SAYDAIN [40]	01/1995-07/2000	38	38	38	23	67 45	92 61	92 61	
Song [41]	1990-2009	90	90	90	45	45	50	50	
SUNG [41] STERN [42]	09/1990-10/1999	23	23	23	22		96	96	
VIANELLO [43]	01/2005-04/2013	23 18	23 18	23 18	10	55	70	96 55	86 (3 months).
VIANELLU [43]	01/2000-04/2013	10	10	10	10	ออ		ວວ	86 (3 months), 100 (1 year)
Yокоуама [44] [¶]	04/1998-06/2004	11	NI	NI					55 (3 months).
TUKUYAMA [44]"	04/1770-00/2004	11	INI	INI					70 (6 months)
Total		554	526	526	360				७० (० माणासाड)

Data are presented as n, unless otherwise stated. NI: not included in the overall mortality analysis. #: patients with radiological diagnosis of usual interstitial pneumonia who were not labelled as IPF in the original study but were considered IPF for our analysis; 11: these studies provided information on follow-up mortality only; *: all the patients of 17 studies included in the systematic review; §: number of patients included in the ICU and in-hospital mortality analysis, [24, 25, 29, 44] were excluded.

mortality rates of 50–66% [37, 41]. Reasons for ICU admissions were similar as for mixed-ILD. Stratifying the 15 studies according to their year of publication, the overall in-hospital mortality was 79% during the period 1993–2004 and 65% during 2005–2017 (supplementary material e-table 3). Similarly to mixed-ILD studies, different year cut-offs were used to repeat the analysis (supplementary material e-table 4). Despite improving overall survival, mortality increased over time post hospitalisation as two studies reported 100% mortality at 1-year of follow-up (table 2) [30, 43]. Notably, the cause of death post hospitalisation is not available and, therefore, it is not possible to assign these deaths directly to IPF.

Variables associated with increased mortality

The current evidence on different factors and their association with mortality is presented in the following sections (table 3).

TABLE 3 Risk factors for overall mortality in patients with mixed-interstitial lung disease (ILD) and idiopathic pulmonary fibrosis (IPF) in the intensive care unit (ICU)

Risk factor	Studies in mixed-ILD patients	Mortality association	Studies in IPF patients	Mortality association
Advanced age	2 positive studies [14, 27], 5 negative studies [12, 18, 22, 26, 28*]	Inconclusive: 1 large study in each side	1 positive study [37], 4 negative studies [3, 41, 43 [§] , 44]	Inconclusive: 1 large study found an association; another large study and four small studies did not
Elevated APACHE score	3 positive studies [12, 14, 18], 3 negative studies [17, 26, 27 ^f]	Large studies report a significant association	1 positive study [3], 2 negative studies [43 [§] , 44]	Inconclusive: studies with small sample size
Histology showing diffuse alveolar damage [#] Hypoxaemia	3 studies [12, 13, 21] 3 positive studies [14, 22 ^{##} , 27 ^{¶¶}], 1 negative study [18 ^f]	Small studies report high mortality in the univariate analysis; not enough data to assess for an association Large studies report a significant association	3 studies [29, 31, 34] 3 negative studies [41+, 43 [§] , 44]	Small studies report high mortality in the univariate analysis; not enough data to assess for an association No association was found; 2 studies with <20 patients each
Mechanical ventilation [¶]	6 positive studies [12, 14**, 17, 18, 26, 27], 2 negative studies [22, 28]	Multiple studies report high mortality in the univariate analysis; 6 studies report a significant association		Multiple studies report high mortality in the univariate analysis; not enough data to assess for an association
Steroids	3 negative studies [14, 26 ^{§§} , 28 ⁺]	No association was found in 3 studies; 1 reported use of steroids was a protective factor	1 negative study [41]	Inconclusive: only 1 study with a small sample size is available

APACHE: Acute Physiology And Chronic Health Evaluation; NIMV: noninvasive mechanical ventilation.#: no bivariate analysis is provided due to the small sample sizes and high mortality reported in these studies. 11: high rates of mortality associated with mechanical ventilation (70–100% for IPF and 27–100% in mixed-ILD) precluded bivariate or multivariate analysis in more studies other than those reported in this table.*: an association was found in the bivariate analysis but not in the multivariate. 15: no direct comparison between survivors and nonsurvivors. The study primary compared NIMV success group *versus* NIMV failure group. However, no patient died in the former group and all 10 died in the latter group. 15: a trend towards significance. 15: this study reported significantly lower arterial oxygen saturation but comparable arterial oxygen tension in nonsurvivors *versus* survivors (bivariate analysis only). 111: significantly higher arterial oxygen tension/inspired oxygen fraction ratio in survivors *versus* nonsurvivors at the start of NIMV but not on admission.**: higher positive end-expiratory pressure was associated with increased mortality. 158: this study showed that the use of steroids was a protective factor in the multivariate analysis.

Age

Increased age has been evaluated as a risk factor of ICU mortality, especially in large studies [14, 18, 22, 26, 28, 37, 41]. In mixed-ILD, using multivariate analysis, Fernandez-Perez and co-workers [14] showed increasing age was a risk factor for in-hospital mortality (OR 1.07, 95% CI 1.01–1.14) and 1-year mortality (HR 1.03, 95% CI 1.00–1.05) in patients who required invasive mechanical ventilation (IMV). Yokoyama and co-workers [27] demonstrated a significant association between younger age and hospital survival when evaluating patients with rapidly progressing interstitial pneumonia regardless of the cause of the underlying ILD. However, five other studies failed to replicate those results [12, 18, 22, 26, 28].

Data on age as a risk factor for mortality in IPF is also mixed. Three small studies of less than 25 patients each did not find an association between age and mortality [3, 43, 44]. However, in a study of 209 patients, Oda and co-workers [37] reported that patients aged >80 years had significantly increased ICU mortality compared to those aged <60 years (OR 2.94, 95% Cl 1.044–8.303). The study by Song and co-workers [41] does not support this finding when analysing age during acute exacerbation in 209 patients (OR 1.022, 95% CI 0.969–1.078), but demonstrates that age was a prognostic factor on overall IPF survival after diagnosis (HR 1.017, 95% CI 1.001–1.033).

Hypoxaemia

In mixed-ILD, three studies [14, 22, 27] found an association between hypoxaemia and mortality and one study had a trend towards association [18]. Fernandez-Perez and co-workers [14] reported a significant difference (p<0.001) in arterial oxygen tension/inspiratory oxygen fraction (P_{aO_2}/F_{IO_2}) ratio between survivors (median (interquartile range, IQR) 252 (158–388)) and nonsurvivors (median (IQR) 117 (71–182)). In the multivariate analysis, each 10-unit increase in the P_{aO_2}/F_{IO_2} ratio was protective for in-hospital mortality (OR 0.93, 95% CI 0.86–0.99) and 1-year survival (HR 0.96, 95% CI 0.92–0.99). Yokoyama and co-workers [27] also found a significantly higher P_{aO_2}/F_{IO_2} ratio in survivors (mean $\pm sd_164.5\pm75.8$) versus nonsurvivors (110.4 ±50.5) at the start of noninvasive mechanical ventilation (NIMV) but not on admission (195.6 ±53.6 versus 184.0 ±71.6 , p=0.851). Similarly, Osman and co-workers [22] found that low arterial oxygen saturation was significantly more frequent in nonsurvivors (p=0.02). In contrast, Tachikawa and co-workers [24] reported that the P_{aO_2}/F_{IO_2} ratio was not a prognostic factor for 90-day survival (HR 0.995, 95% CI 0.988–1.001) when correcting for age, sex, type of ILD, prior use of oxygen therapy and smoking history. In IPF studies, three studies failed to show an association between hypoxaemia and mortality [41, 43, 44]. The size of two studies was very small (<20 patients) and the third study (n=90) found an association in the bivariate but not the multivariate analysis.

Acute physiology and chronic health evaluation (APACHE) scores

APACHE scores predicts ICU mortality based on the first ICU day [45]. Six studies in mixed-ILD patients reported APACHE scores and hospital mortality: three studies found a positive association [12, 14, 18] and one study showed a trend towards association [27]. Huie and co-workers [12] report that an increase of 1 point in APACHE II score was associated with an OR of 1.05 (95% CI 1.00–1.11, p=0.040) in 27 patients with fibrotic lung disease. Gungor and co-workers [18] report a median APACHE score of 19 (IQR 16–23) in survivors and 29 (IQR 23–36) in nonsurvivors (p=0.001). Similarly, Fernandez-Perez and co-workers [14] evaluated mortality risk using the APACHE III scores and found a HR of 1.33 (95% CI 1.18–1.50) with regards to hospital mortality (median of 57 in survivors and 78 in nonsurvivors). While not reaching statistical significance, Yokoyama and co-workers [27] showed a trend in association between APACHE II score and mortality with a mean score of 9.5 for survivors and 11.4 for nonsurvivors (p=0.056). It is important to note that age and $P_{\rm AO_2}$, identified above as independent risk factors, are both components of the APACHE score and, thus, there may be overlap in their predictive roles. Two small studies found no association between APACHE and mortality [17, 26].

In IPF studies, only RANGAPPA and MORAN [3] found significantly higher APACHE III scores in nonsurvivors (HR 1.02, 95% CI 1.004–1.031). They reported a 2% increase in hazard per unit increase in APACHE III score. However, two small studies including 29 patients did not find an association [43, 44].

Histology

Older studies report a high number of biopsies (50–100%) with diffuse alveolar damage and suggest it was a poor prognostic factor in patients with ILD in the ICU. Biopsies were mainly done for work-up for new unknown infiltrates [12, 13, 21, 29, 31, 33, 34, 39]. However, no bivariate analysis was provided due to the small sample sizes and high mortality reported in these studies [13, 21, 29, 31]. Nowadays, biopsies are not routinely performed because lung biopsy in acute ILD is associated with increased in-hospital mortality (16%) compared to elective biopsies (1.7%) [46]. This higher mortality may be attributable to the severity of illness rather than to the biopsy itself.

Immunosuppression

The use of immunosuppressant medications including steroids and cyclophosphamide, among others, is controversial in the treatment of ILD patients in the ICU, and the benefit likely depends on underlying ILD aetiology. Two large mixed-ILD studies did not find an association between steroid use and increased mortality [14, 28]. In fact, a retrospective study found that use of high-dose steroids during ICU had a protective effect for in-hospital mortality (OR 0.19, 95% CI 0.04–0.99) [26]. These authors suggest that steroids could be used once infection has been ruled out. However, it is important to highlight the number of patients who received steroids was higher in the groups that included conditions typically responsive to steroids such as CTD-ILD, hypersensitivity pneumonitis, vasculitis and drug-induced ILD. In light of these results, certain patients will likely benefit from this treatment, especially young patients with features suggestive of CTD-ILD or patients with ILD who do not have a significant amount of fibrosis [28].

In IPF patients, Song and co-workers [41] found that steroid use did not predict mortality in 90 patients with acute exacerbation of IPF (0.828, 95% CI 0.353–1.943). Papiris and co-workers [38] advocate stopping all immunosuppression in patients experiencing an acute exacerbation and report a survival advantage; specifically, 65% of IPF patients who had not been exposed to steroids prior to admission were alive at 1 year, whereas only 17% were alive in the exposed group. It is possible that patients who had received steroids were initially more ill, especially because the use of IMV was significantly higher in the group who had been exposed to steroids (six out of nine patients compared to none of 12 in the non-exposed group). Similarly, a multivariate analysis by Oda and co-workers [37] demonstrated that the use of intravenous high-dose cyclophosphamide was associated with poor prognosis (OR 3.17, 95% Cl 1.101–9.148). Interestingly, a recent observational study showed some benefit in patients with acute exacerbations of IPF who received rituximab and immunoglobulin [47].

Mode of ventilation

Invasive mechanical ventilation

Poor outcomes for ILD patients have been reported when respiratory failure requires IMV. In mixed-ILD, six studies showed increased mortality in IMV in the bivariate or multivariate analysis [12, 14, 17, 18, 26, 27]; whereas only two studies did not find an association [22, 28]. Multiple studies report high mortality rates associated with IMV, ranging from 27% to 100% in the univariate analysis (supplementary material e-table 2). Furthermore, ZAFRANI and co-workers [28] showed that IMV was associated with 1-year mortality in a multivariate analysis (OR 5.18, 95% CI 1.18–22.75). In IPF, data precluded calculation of ORs due to high rates of mortality associated with IMV, ranging from 70% to 100% (supplementary material e-table 2). Pooled analysis on mortality rates associated with IMV in mixed-ILD and IPF can be found in the supplementary material.

Two studies have specifically investigated the impact of IMV settings in ILD [3, 14]. High positive end-expiratory pressure (PEEP) defined as >10 cmH₂O was associated with a decreased survival both during hospitalisation (OR 17.26, p<0.001) and at 12 month (OR 4.72, p<0.001) [14]. The benefits of low tidal volumes have not been assessed in prospective studies of ILD; however, some retrospective data does exist. Gaudry and co-workers [16] raise the question of the positive effect of low tidal volumes (5.9±1.3 mL) for ILD as eight out of 27 patients were successfully weaned off the ventilator. However, Fernandez-Perez and co-workers [14] reported lower tidal volumes in nonsurvivors (median (IQR) 7 (6–9) mL·kg⁻¹) compared to survivors (median (IQR) 6 (7–10) mL·kg⁻¹) although the authors acknowledge low tidal volumes were used inconsistently.

Noninvasive mechanical ventilation

NIMV has also been a subject of study in ILD. Some studies suggest that NIMV can prevent intubation and reduce mortality in some IPF patients [18, 25, 35, 44]. YOKOHAMA and co-workers [27] found that early initiation of NIMV in hypoxaemic patients suspected of having an acute exacerbation of ILD and initiation of NIMV on day one were associated with survival (76.9% survivors *versus* 8.3% nonsurvivors, p<0.0001). MOLLICA and co-workers [35] found that NIMV significantly reduced the respiratory rate in comparison to admission (mean of 26 *versus* 36) and prevented intubation in five out of 19 patients but did not improve survival. A major limitation of this study, however, was a significant variation in disease severity between the patients who initially received NIMV (APACHE II 19.5±5.9) and IMV (APACHE II 24.2±6) [35]. Nonetheless, the authors concluded that NIMV might be beneficial for early intervention in less severe cases, as well as a means of palliation by decreasing respiratory rate. The use of NIMV in the setting of less severe respiratory failure was further supported by Gungor and co-workers [18] Individuals treated with non-continuous NIMV alone had a mortality rate of only 10.7% (out of 28 patients). Survival was particularly high among patients with an APACHE II score <20 supporting the use of NIMV in less severe presentations. A study of 11 acute exacerbation IPF patients showed that NIMV prevented intubation in five patients with severe hypoxaemia (*P*aO₂/*F*IO₂ 156.4±43.9). However, similar to the

previous studies, survivors had lower APACHE II scores (14.5±2.6) [44]. Pooled analysis on mortality rates associated with NIMV in mixed-ILD and IPF can be found in the supplementary material.

Other risk factors

Multiple risk factors have been evaluated in individual studies that have not been re-evaluated or have failed to replicate in others. Here, we present a brief summary of those that reached significance in multivariate analysis and were performed in large studies. In mixed-ILD patients, ZAFRANI and co-workers [28] identified that ILD-related pulmonary hypertension and computed tomography fibrosis were associated with a higher 12-month mortality (HR 3.21 (95% CI 1.45–7.11) and 2.36 (95% CI 1.05–5.32), respectively). Also, acute kidney injury (OR 10.60, 95% CI 2.25–49.97) was found to be associated with in-hospital mortality, which is consistent with other studies in the critical care literature [48]. In IPF studies, ODA and co-workers [37] reported that cotrimoxazole (OR 0.28, 95% CI 0.132–0.607) and macrolides (OR 0.37, 95% CI 0.155–0.867) were independently associated with decreased mortality, although this finding has not been evaluated in other studies. Finally, Song and co-workers [41] found C-reactive protein and lymphocytes in the bronchoalveolar lavage fluid were associated with mortality risk after correcting for several factors (age, sex and PaO_2/FiO_2 ratio among others).

Discussion

Given the increasing availability of ICU care, evaluating what risk factors are associated with increased mortality becomes important to counsel patients and their families on likely outcomes. To our knowledge, this is the first systematic review that presents a thorough analysis on risk factors for mortality in patients with ILD in the ICU. While the small sample size and heterogeneity of many studies precluded the identification of statistically significant predictors of mortality or cause-effect relationships, some studies identified risk factors that may also indicate severity of disease. In patients with mixed-ILD, elevated APACHE score, hypoxaemia and mechanical ventilation were associated with increased mortality in the majority of the studies. In patients with IPF, only IMV was associated with increased mortality. Notably, while IMV is associated with overall poor prognosis, patient outcomes may be improved with lung protective strategies such as low PEEP and low tidal volumes, especially when there is a reversible condition. Fernandez-Perez and co-workers [14] hypothesised that ILD patients may have limited recruitable lung. Thus, at higher PEEP, they were possibly experiencing over-distention injury, which was supported by elevated peak and plateau pressures [14]. Similarly, RANGAPPA and MORAN [3] suggest that high PEEP might be detrimental in IPF for the aforementioned reasons. However, high airway pressure may not necessarily cause injury if the pressure is from distending chest wall or collagen in the lung. Alternatively, as patients with severe hypoxaemia are given high PEEP, this might also represent an indicator of disease severity. This is why some authors suggest that IMV should be restricted to patients who can be eligible for lung transplant, which is an intervention that has been shown to improve mortality [49].

The use of steroids is still controversial. Our study did not find increased mortality associated with steroid use in patients with mixed-ILD. Their benefit likely depends on the underlying aetiology of ILD. Patients with steroid responsive diseases, such as hypersensitivity pneumonitis and CTD-ILD, probably derive benefit; this is likely untrue for IPF. Carefully designed clinical trials in various types of ILD are critically needed to assess the role of immunosuppression. The evidence on advance age is inconclusive, as positive and negative results have been reported in different studies. Regarding other factors in patients with IPF, evidence is also inconclusive for advanced age, elevated APACHE score and steroids use. The explanation of this phenomenon might rely not only on the size of the studies but also on the high mortality of IPF, which precludes a bivariate analysis. It is not clear why no association between hypoxaemia and mortality was found in three studies in IPF but the size of two studies was very small (<20 patients) and the third study (n=90) found an association in the bivariate but not the multivariate analysis. A potential explanation for this observation can be that the degree of hypoxaemia is severe in both survivors and nonsurvivors to find a significant difference. Therefore, this observation needs to be evaluated in large studies, as it is unexpected. Research in high flow nasal cannula has been proposed to be an alternative to conventional oxygen to correct hypoxaemia in patients with IPF [50] but the evidence is limited to series of cases on patients with interstitial pneumonias [51] and patients with do-not-intubate orders [52].

Our study also reports a down-trending mortality rate from 1993 to 2017 in patients with ILD (supplementary material e-table 3) which confirms the results from a recent large cohort study that reported decreased mortality from 2006 to 2012 in patients with IPF [53]. This observation could be explained by the role of new ventilation parameters such as low tidal volumes described in the acute respiratory distress syndrome network trial [54] and could explain its potential benefit in ILD. An alternative explanation could be secular trends in ICU care such as better haemodynamic management and aggressive antibiotic coverage. However, our calculation has limitations including the wide range of

years included in the studies, different available interventions over time, and the fact that mortality in the mixed-ILD group does not represent non-IPF ILD population alone as it includes some patients with IPF. Notably, mortality increases with time post hospitalisation with rates of 54–96% in mixed-ILD and 100% in IPF [12, 14, 16, 28]. Thus, ICU admission with ILD appears to carry prognostic implications beyond the actual hospital stay. Our study also presents exploratory data on mortality and failure rates associated with NIMV and IMV over time. In mixed-ILD, the mortality rate associated with NIMV was 49% compared to 71% with IMV, and the failure rate of NIMV was 52% (supplementary material). This observation can represent an indicator of less severity as patients who were offered NIMV could have had only mild or moderate disease. Esquinas Rodriguez and Ferrari [55] postulate that NIMV can reduce alveolar stress during tidal ventilation by improving lung compliance and increasing functional residual capacity. Therefore, NIMV needs further research as our exploratory data and some studies suggest it can prevent intubation and reduce mortality in some patients [18, 25, 35, 44]. Further discussion of this topic in patients with IPF can be found in the supplementary material.

This review has several limitations including the inclusion of retrospective studies of limited sample size, variable statistical analyses (including lack of multivariate modelling and lack of time-to-event analysis), heterogeneous subsets of ILD patients, and diverse evaluation of risk factors. We were not able to evaluate differences in populations over time given patient heterogeneity (in terms of underlying ILD, severity and treatments), different definitions for IPF used before the American Thoracic Society/European Respiratory Society guidelines were published [4], the inclusion of exacerbations caused by numerous aetiologies with different prognosis, and a wide overlap in the years included in each study. These limitations might have affected our results as some studies have conclusions in opposite directions, which made the evidence for some factors inconclusive. We should also highlight that mixed-ILD studies have the problem of combining patients with potentially worse prognosis such as IPF and others with conditions that might have a better prognosis such as CTD-ILD or drug-induced ILD [26]. Therefore, the findings presented in our manuscript should be interpreted with caution. However, we have to acknowledge that ILD is not a common disease and the current literature is only based, mainly, on series of cases. While some lessons are clear, this review highlights many remaining questions. Multi-centre cohort studies would be invaluable to enrol more patients over a short period of time, better characterise different types of ILD, detect geographical variations and help evaluate if emerging management therapies are affecting survival. The latter point is especially important in light of recent IPF and IIP guidelines [5, 6]. Prospective trials are also needed, particularly to investigate ventilation strategies.

Conclusions

Irrespective of ILD aetiology, mechanical ventilation is associated with increased mortality. For mixed aetiology ILD, hypoxaemia and APACHE scores are also associated with increased mortality. No increased mortality was found with steroids use. IPF has the highest mortality rate among ILDs, but since 1993 the rate appears to be declining. Despite improving in-hospital survival, overall mortality remains high.

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