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## **Point-of-care anti-CD19 CAR T-cells for treatment of relapsed and refractory aggressive B cell lymphoma**

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### **Abstract**

**Background:** Anti CD19 Chimeric Antigen Receptor (CAR) T-cell therapy has transformed the care of relapsed & refractory aggressive B cell Lymphoma. However, financial toxicity and manufacturing time represent barriers to its widespread implementation.

**Objective:** Study applicability, toxicity, and efficacy of a locally produced autologous CD19directed CAR-T cell product.

**Methods:** We performed a phase 1b/2 clinical trial with a point-of-care (POC) CAR T-cell product that contains a CD28 costimulatory domain. Adult patients with aggressive B cell

Disclosures

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Authorship Contributions

All authors contributed to data analysis and manuscript preparation. MB, EJ and AN also contributed to study design

Conflict of Interest

None

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lymphoma or transformed low-grade lymphoma who received at least two prior regimens were eligible.

**Results:** A total of 73 patients, with a median age of 49 years, met inclusion criteria. CAR-T production time from apheresis was 10 days (IQR 10-11), negating the need for bridging chemotherapy. Overall and complete response rates were 62.5% and 37.5%. Median progressionfree and overall survival were 3.7 and 12.1 months, respectively. Overall and progression-free survival at 12 months were 52.1% (CI: 40.8%-66.5%) and 40% (CI: 30%-53.7%), respectively. Patients who achieved response had longer progression-free and overall survival. Grade 3-4 CRS was observed in 9.5% of the patients, and ICANS grade 3-4 in 21.9%. No deaths occurred due to CAR T-cell toxicity. Fifteen patients (20%) underwent allogeneic stem cell transplantation at a median time of 60 days post CAR T-cell therapy; 8 were alive at last follow-up. Of the six patients that underwent the transplant in complete response 2 deceased due to toxicity.

**Conclusions:** POC CAR-T cells are a feasible therapeutic option in aggressive B-cell lymphoma. They provide good efficacy while minimizing production time and the need for bridging therapy.

#### **Keywords**

Aggressive B Cell Lymphoma; CAR T-cell; Allogeneic Stem Cell Transplantation; Point of Care

#### **Background**

Relapse of aggressive B cell lymphoma (ABCL) poses a significant clinical challenge. Current standard second-line therapy in intent to cure is based on platinum-containing regimens and autologous stem cell transplantation  $(ASCII)^1$ . This will achieve roughly 20-30% long-term survival  $2$ . Many of the patients are elderly and therefore are not ASCT candidates. As a result, until recently, these patients were considered incurable.

Transduced T cells expressing chimeric antigen receptor targeting CD19 (CD19 CAR Tcells) became the standard of care for multiply relapsed ABCL. They constitute a form of adoptive immunotherapy which was found to be effective in B acute lymphoblastic leukemia (B-ALL), B cell Non Hodgkin Lymphoma (NHL), and to a somewhat lesser degree in chronic lymphocytic leukemia (CLL)  $3$ . The long-term survival post CAR T-cell therapy in ABCL is reported to be  $40\%$ ,  $4.5$  Lympho-depletion, mostly with cyclophosphamide and fludarabine prior to CAR T-cell infusion, is necessary to enhance cellular efficacy prior to CAR T-cell infusion <sup>6</sup>. CAR T-cell therapy most common side effects are cytokine release syndrome (CRS), immune effector cell-associated neurotoxicity syndrome (ICANS), and hematological toxicity. However, additional long-term side effects have been described  $^7$ .

Real-world experience with CAR T-cell therapy for ABCL with the FDA-approved products demonstrates similar efficacy and toxicity profile as reported in the clinical trials <sup>8,9</sup>.

The COVID-19 pandemic brought significant difficulties with CAR T-cell therapy, from patient selection to timing, manufacturing, and delivery issues<sup>10,11</sup>. Point-of-care, academic, CAR T-cell products have been shown before to be efficacious  $12$ . The possibility to provide local CAR T-cell product became an advantage during the pandemic.

Since November 2017, we started treating adult patients with relapsed or refractory ABCL with our locally produced anti CD19 CAR T-cell product that contains CD28 costimulatory domain ([NCT02772198\)](https://clinicaltrials.gov/ct2/show/NCT02772198). Peripheral blood mononuclear cells (PBMC) are isolated, activated and transduced with a gammaretrovirus encoding for a CD19 CAR  $^{13-15}$ . The median turnover time is 10 days (IQR 10-11).

The question of allogeneic stem cell transplantation (allo-SCT) as consolidation for CAR T –cell therapy remains unanswered 16. It is clear though, that most patients will relapse after CAR T-cell therapy and there is an unmet need for this patient population. When we first started our CAR T-cell trial we consolidated responding patients with allo-SCT. Later on we switched to transplanting only patients in partial response or relapse post CAR T-cell therapy. Here we describe the results of our experience with our in house CAR T-cell product in 73 ABCL patients. We also describe the outcomes of the patient population that underwent allo-SCT after CAR T-cell therapy.

#### **Methods**

#### **Study design**

This is a phase 1b/2 trial ([NCT02772198\)](https://clinicaltrials.gov/ct2/show/NCT02772198), which was approved by the Sheba Medical Center institutional review board, and the Israeli Ministry of Health. All authors participated in the data analysis and have full access to the clinical data. Individual participant data will not be shared. Inclusion criteria were age above 18 years, failure of at least two prior therapeutic protocols, a CD3 count greater than 250/μL, no immunosuppressive treatment as well as preserved heart, lung kidney and liver function. Patients with uncontrolled rapidly progressing disease or active CNS involvement were excluded, as well as patients with active hepatitis B or C, HIV, and pregnant women<sup>13,14</sup>. Until the end of 2018, we limited our study to patients aged 55 or lower, since then, advanced age was no longer an exclusion criterion. Bridging chemotherapy was allowed.

#### **CAR T - cell production and Administration**

The retroviral supernatant was generated from the CD19 CAR producer line PG13-CD19- CAR-H3, which was provided by the NCI. A plasmid encoding the CD19 CAR containing of the mouse stem-cell virus gamma-retroviral backbone engineered to a scFv derived from the mouse anti-CD19 hybridoma, FMC63, fused to intracellular domains from human CD28 and CD3-ζ, was used for viral vector production. Fresh leukapheresis product was used for CD19 CAR-T cell production. Peripheral blood monuclear cells (PBMC) were isolated from the apheresis product by density gradient with Ficoll-Hypaque.  $400\times10e6$  PBMC were re-suspended at the concentration of  $1\times10e6$  cells per ml. After 2 days,  $60\times10e6$ cells were transduced with the CD19 CAR retroviral vector and the rest were discarded. The CD19 CAR T-cells quality that included cell identity, transduction efficacy, cell count, viability, potency, impurity and replication competent retrovirus (RCR) PCR, was controlled throughout the manufacturing process. Sterility was tested on day 8 (+1). Quality control passed, if no growth was seen following membrane filtration. The test was validated for anaerobic, aerobic and fungal growth. A preliminary result was available on the day of infusion. Mycoplasma test was validated by nested PCR on day  $9 (+1)$  and the result

was available before infusion. On the day of infusion, cells were washed, counted and 1×10e6 CD19 CAR expressing cells/kg were re-suspended in 100 mL 0.9% sodium chloride (Baxter,) containing 2.5% human albumin and 300 IU/mL IL-2. The fresh cell product was delivered to the patient for immediate infusion  $14$ . Lymphodepletion included fludarabine  $25 \text{ mg/m2} \times 3 \text{ days}$  (days  $-4 \text{ to } -2$ ) and cyclophosphamide 900 mg/m2 × 1 day (day  $-2$ ), followed by infusion (day 0) of  $1 \times 10^6$  CAR+ transduced cells per kilogram recipient <sup>13,14</sup>. This study was initiated at  $1x10^{\circ}6$  CAR+ cell/kg based on initial results from previous studies with FMC63-28-zeta CARs (NCI: [NCT00924326](https://clinicaltrials.gov/ct2/show/NCT00924326) and [NCT03827343](https://clinicaltrials.gov/ct2/show/NCT03827343)). No dose escalation was planned. Data on CAR T-cell persistence was not prospectively collected.

#### **Response assessment and Definitions**

The study's primary endpoints were response on day 28, best response, and safety. Response assessment was done with a PET-CT scan and interpreted according to the Lugano criteria <sup>17</sup>. Overall response rate (ORR) was defined as the proportion of subjects with either a CR or PR. Day 28 response was defined as response assessment in the first 28 days (+/− 7 days; whichever is closest to day 28) after CAR T-cell infusion. Best response was defined as best achieved response post-CAR-T infusion. Response was calculated relative to the most recent disease assessment before infusion of CAR T-cells. Time to best response was defined as the time from the date of CAR-T infusion to the date documented the best response. CRS and ICANS were graded as per American Society for Transplantation and Cellular Therapy  $(ASTCT)$  guidelines<sup>18</sup>. Secondary endpoints were overall survival  $(OS)$ , progression-free survival (PFS), and production feasibility. OS was defined as the time from CART-cell infusion to death of any cause. PFS was defined as the time CAR T -cell infusion to the date of either first documented relapse, progression, or death due to any cause. Patients were censored if they were event-free or received anti-cancer treatment post CAR T.

#### **Statistical analysis**

Continuous variables were summarized by number, mean, standard deviation, minimum, median, maximum and sum. Categorical variables were summarized by frequencies, percentages, and two-sided 95% CIs. For time-to-event variables, the Kaplan–Meier method was used for descriptive summaries and Log-Rank test for comparison of survivals. Cox regression was used for multivariate survival analysis. Correlations between categorical and continuous variables and outcomes were done using logistic and linear regression, respectively. The data were analyzed using the R version 3.5.0. Day 0 was defined as the day of CAR T-cell administration.

#### **Results**

#### **Patients**

From November 2017 until December 2020, we enrolled 73 adult ABCL patients who received CAR T-cells. Patients' characteristics are depicted in Table 1. Median age was 49 (range: 20-73), 25 of the patients (34%) were older than 55 and 45 (61.6%) were male. The Karnofsky performance status (KPS) was 90-100% in 74%, 70%-80% in 15.1%, and lower in the others. Most patients (72.6%) had stage III/IV disease at apheresis. Twentyfive (34.2%) patients had previous ASCT and 4 (5.5%) had alloSCT. Forty-six patients

(63%) had three or more prior lines of therapy. Twelve (16.4%) had bulky disease at aphaeresis, and 6 (8.2%) had a history of CNS involvement. Sixty-three (86.3%) had stable or progressive disease at screening, and 37 (51.4%) were primary refractory.

#### **Disease**

The cohort included 28 (38%) patients with diffuse large B cell lymphoma (DLBCL), 22 (30%) with transformed low-grade lymphoma, of them 8 patients with richter's transformation (RT), 12 (16%) with primary mediastinal B cell lymphoma (PMBCL), 7 (9%) with high-grade B cell lymphoma (five of them with double-hit lymphoma) and 4 patients (5%) with mantle cell lymphoma (MCL) (Table 1). Cell of origin, which was determined by the Hans criteria, was germinal center in 25 (34.2%) and non-germinal center in 24 (32.9%);  $^{19}$  status was unknown in 24 cases.

#### **CAR T-cells**

CAR T-cell production was done as described 13,14. Patients received the target CAR T-cell dose of 1 x  $10^6$ /kg except for one patient who received 0.6 X  $10^6$ /kg CAR T-cells due to insufficient production. Sixty-six (92%) patients did not receive any bridging therapy while 6 (8%) received. The protocols used were: gemcitabine, dexamthadose cisplatinum (GDP) ( $N = 1$ ), bendamustine and polatuzumab ( $N = 2$ ), reduced dose Cytoxan, adriamycin, oncovine prednisone (miniCHOP)  $(N = 1)$ , etoposide, solumedrol, high dose ara-c, and cisplatiunum ESHAP ( $N = 1$ ), mesna, ifosphamide, mitoxantrone, and etoposide (MINE) (N =1). Median time from apheresis to infusion was 10 days (IQR: 10-11).

#### **Toxicity**

Toxicity profile and treatment are presented in Table 2. CRS was observed in 62 patients (85%). Forty-seven (64.4%) had grade I CRS, 8 (11%) had grade II, 5(6.8%) had grade III and 2 (2.7%) had grade IV. Median time from CAR T-cell infusion to CRS was 4 days (IQR: 2-5 days) and median duration of CRS was 5 days (IQR: 4-9 days). Sixty-four (87.7%) patients did not require any treatment for the CRS, 5 (6.8%) received tocilizumab and 4 (5.5%) were treated with tocilizumab and corticosteroids. ICANS was observed in 29 patients (39.7%) after a median of 7 days from CAR T-cell infusion (IQR: 6-9 days). ICANS grade was 1 in 9 (12.3%), 2 in 4 (5.5%), 3 in 12 (16.4%) and 4 in 4 (5.5%). Median duration of ICANS was 4 days (IQR: 2-7 days) and 16 (21.9%) patients required treatment with steroids.

Severe neutropenia (<0.5k/microliter) was observed in 62 patients (85%). First wave was captured in 53 (73%) patients at a median of 7 days post-CART-cell infusion (range: −3-196). The median duration of the first wave was 9 days (IQR 6-14, range: 1-39). Second wave of neutropenia was observed in 9 (12%) patients, with a median time of 38 days, (IQR 31-76, range: 20-116). Its median duration was 5 days (IQR 3-8, range: 1-34).

Notable adverse events that were captured during the first 28 days after infusion were as follows: one spleen rupture porbably due to disease progression in a patient with PMBCL, one perforation of duodenal ulcer and three cases of death due to disease progression. There were no cases of death that were attributed to CAR T-cell treatment.

#### **Outcome**

#### **Response**

Response data were evaluable for 72 patients, one patient deceased prior to his first response assessment. Overall response at day 28 was observed in 45 patients (62.5%). Complete response (CR) was 37.5% (n=27) and partial response (PR) was 25% (n=18). Stable or progressive disease (SD/PD) was reported in 27 (37.5%) patients (Table 3). The patient who received CAR T-cells in a dose lower than the target of  $1 \text{ X } 10^6$ /kg achieved CR 28 days post-infusion without evidence of PD at data cut-off. Best response was CR in 28 patients (38.9%), PR in 17 (23.6%) and SD/PD in 27 (37.5%). Figure 1A presents the disease status pre-CAR T-cell therapy and at day 28. In univariate and multivariate regression analysis, we did not find any correlation between age, performance status, stage, bulky disease, LDH, number of previous treatment lines, refractoriness, and disease status in apheresis to outcome or risk of toxicity. The response at day 28, as well as the best response , in patients with RT was CR in 4/8 and PD in 4/8. Among the four patients in CR, 2/4 were consolidated with alloSCT and the other two did not receive further therapy. Neither of these 4 patients progressed following CAR T-cell therapy.

#### **Survival**

Median follow-up is 18 months (11.7-23). Median PFS and OS was 3.7 (2.2-NA) and 12.1 (8.7-NA) months, respectively (figure 1B, C). Land mark analysis included patients that achieved their first response assessment demonstrated median OS of 15.5 months with superior OS to the patients that achieved CR/PR compared to those with SD/PD (1-year OS 67.2% vs. 21.3%, figure 1D). Twenty-eight patients (32%) died due to disease progression and 6 (8%) due to stem cell transplantation complications. In uni and multivariate analysis the factors that were found to predict OS were response (CR/PR HR=0.2 (0.096-0.448), p=6.40E-05), and bulky disease (>10cm) (HR=2.9 (1.301-6.584), p=0.009). Duration of response (DOR) was 65.1% at one year, and was significantly better for patients that achieved CR (figure 1E). Five of the the 8 patients with RT were alive at last follow-up. Among these patients three of them were in CR at day 28. One of the four patients who achieved CR in day 28 deceased later due to alloSCT complications.

#### **Consolidation with alloSCT post CAR T-cell treatment**

AlloSCT as consolidation post CAR T-cell therapy was performed in 15/73 (20%) of the patients. In the initial study period (first 1.5 years) alloSCT was done per protocol for 12 patients that achieved response to CAR T-cell therapy and later on to relapsed or nonresponding patients. Transplant characteristics and complications are presented in table 4. Six (40%) were in CR and 9 (60%) in PR after the CART-cell therapy. The median time to alloSCT was 60 days (range: 48-130) after cell infusion. PD was identified by repeated PET/CT done prior to alloSCT in three (20%) of the patients who responded initially to CAR T-cell therapy. Six patients (40%) had matched sibling donors, 7 (47%) had matched unrelated donors and 2 (13%) had haplo-identical donors. Five patients (53%) had acute graft versus host disease (GVHD) and one chronic GVHD. As evident in the swimmer plot (Figure 2), a total of 8 patients are still alive. Among the 6 patients with complete response

following CAR T-cell therapy, 3 deceased, one from PD and 2 due to transplant-related toxicity. Of the 9 patients with PR post CAR T-cell therapy, 4 converted to CR following transplant and 4 died, all from PD, including 2 patients who converted to CR following transplant. The sequence of events for the patients that underwent alloSCT in presented in figure 2.

#### **Discussion**

CAR T-cell therapy revolutionized the treatment of relapsed/refractory (R/R) ABCL. In the pre CAR T-cell era, patients with R/R ABCL, who were unable to undergo an ASCT or whose disease progressed after ASCT, were mostly treated with palliative care and had dismal overall survival <sup>20</sup>. The long-term survival for patients treated with commercial CAR T-cells after at least two lines was consistently reported as 35-40% 4,5,21,22.

Our study describes a cohort of 73 patients treated with an academic local CAR T-cell product that includes a CD28 costimulatory domain 13,14. The production efficiency was 98.6 % and all the screened patients were eventually treated with CAR T -cells. The local production and the short vein-to-vein turnover time (10 days) enabled us to avoid bridging therapy in most patients and to include patients that had rapidly progressing disease. Compared to the main three pharma-sponsored trials (ZUMA-1, Juliet, and TRANSCEND NHL 001), we had a younger patient population (median age was 49 years) but more patients with primary refractory disease  $(50.7%)$  <sup>4,5,22</sup>. ORR and median PFS in our study is slightly lower, but long term PFS and OS are very similar to those reported in the literature. The relative lower ORR and median PFS can be explained by the study population which included patients with rapidly progressing disease and patients with expected poor prognosis such as RT and high grade B cell lymphoma .

As in our analysis, the real-world experience with Axi-cel demonstrated a slightly lower response rate than ZUMA-1 23,24. The real-world experience with Tisa-cel demonstrated similar response rates, albeit in a significantly smaller cohort of patients  $23,25$ .

When the first CAR T-cell products were approved for clinical use many health professionals were discouraged due to the financial toxicity of this procedure 26-28. The cost effectiveness is still not clear. The fact that CAR T-cell therapy may cure only 35-40% of patients with R/R DLBCL means that 60% of them could have received other, significantly cheaper, salvage therapies that would also prolong their lives but not cure them 29. The Point-of-care production is not only faster but also potentially cheaper as it reduces the costs of shipment and bridging chemotherapy. Since currently we are lacking robust tools to predict response to CAR T-cell therapy, lowering the costs of the procedure is extremely important.

We and others demonstrated that achievement of CR predicts better OS <sup>4,5,22</sup>. While others found LDH and tumor mass to predict response rate  $4,5,22$ , we could not find any significant clinical predictors of response to CAR T-cell treatment 23,30. Higher grades of CRS or ICANS were also not predictive. The small sample size can explain the difference.

AlloSCT post CAR T-cell therapy for responding patients is debatable <sup>16</sup>. During the first 1.5 years of the study period, we recommended alloSCT to all patients who responded to CAR T-cell therapy, and after this period alloSCT was suggested according to physician discretion. In this cohort, a total of 15 responding patients underwent alloSCT as consolidation. Seven patients died after alloSCT, two patients in CR due to transplant complications, and five due to progressive disease. Considering the relatively small numbers of patients treated with consolidative alloSCT after CAR T-cell therapy, based on the current analysis, it appears that this approach did not benefit, and in the meantime, it is generally discouraged.

To summarize, we present the outcome of 73 patients with ABCL that were treated with locally produced CAR T-cell therapy. The point-of-care production made the turnover time much faster and saved shipment and bridging therapy costs. Taking into consideration the amendment made in the age inclusion criteria and the change of alloSCT referral policy in the course of the trial, the long-term outcomes are comparable to those reported with the commercial products. Consolidative alloSCT post CAR T-cell therapy, which was done initially per protocol for 15 responsive patients, did not appear to be beneficial.

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**Figure 1: Response and Survival outcomes of the study population.**

A. Alluvial plot describing disease status at apheresis and at day 28. Most of the patients achieved PR or CR.

B. Overall survival of the study population - one-year OS of 52.1%.

C. Progression-free survival of the study population - one year PFS of 40%.

D. Landmark analysis of the patients that reached their first imaging evaluation pot CAR

T-cell infusion. OS is significantly better in the patients that achieved CR or PR vs. SD/PD.

E. Duration of response significantly better in the patients that achieved CR vs. PR.

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**Figure 2: Swimmer plot of the 15 patients that underwent allo-SCT post CAR-T cell therapy.** Six patients achieved CR and 9 PR post CAR T-cell. Seven patients died post-transplant, of them 3 patients that achieved CR post CAR-T.

#### **Table 1**

Patients' and Disease characteristics





Abbreviations:

KPS – Karnofsky Performance Status

DLBCL – Diffuse large B Cell Lymphomas

PMBCL – Primary Mediastinal B Cell Lymphoma

MCL- Mantle Cell Lymphoma

DHL – Double Hit Lymphoma

CNS – Central Nervous System

ASCT – Autologous Stem Cell Transplantation

alloSCT – Allogeneic Stem Cell Transplantation

#### **Table 2:**

#### Toxicity & Treatment



Abbreviations:

CRS – Cytokine Release Syndrome

ICANS - Immune Effector Cell-associated Neurotoxicity Syndrome

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#### **Table 3:**





Abbreviations:

ORR – Overall Response Rate

CR- Complete Response

PR – Partial Response

SD – Stable Disease

PD – Progressive Disease

#### **Table 4:**

#### allo-SCT characteristics



Abbreviations:

CR- Complete Response

PR – Partial Response

PD – Progressive Disease

DLBCL – Diffuse large B Cell Lymphomas

PMBCL – Primary Mediastinal B Cell Lymphoma

DHL – Double Hit Lymphoma

GVHD – Graft Versus Host Disease

**HSCT** 

alloSCT – Allogeneic Stem Cell Transplantation