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Evolution of the *Schlafen* genes, a gene family associated with embryonic lethality, meiotic drive, immune processes and orthopoxvirus virulence

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ABSTRACT

Genes of the *Schlafen* family, first discovered in mouse, are expressed in hematopoietic cells and are involved in immune processes. Previous results showed that they are candidate genes for two major phenomena: meiotic drive and embryonic lethality (DDK syndrome). However, these genes remain poorly understood, mostly due to the limitations imposed by their similarity, close location and the potential functional redundancy of the gene family members.

Here we use genomic and phylogenetic studies to investigate the evolution and role of this family of genes. Our results show that the *Schlafen* family is widely distributed in mammals, where we recognize four major clades that experienced lineage-specific expansions or contractions in various orders, including primates and rodents. In addition, we identified members of the *Schlafen* family in Chondrichthyes and Amphibia, indicating an ancient origin of these genes. We find evidence that positive selection has acted on many *Schlafen* genes. Moreover, our analyses indicate that a member of the *Schlafen* family was horizontally transferred from murine rodents to orthopoxviruses, where it is hypothesized to play a role in allowing the virus to survive host immune defense mechanisms. The functional relevance of the viral *Schlafen* sequences is further underscored by our finding that they are evolving under purifying selection. This is of particular importance, since orthopoxviruses infect mammals and include variola, the causative agent of smallpox, and monkeypox, an emerging virus of great concern for human health.

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1. Introduction

The *Schlafen* (*Slfn*) genes were first described in mouse as a family of genes that are transcribed during thymocyte maturation (Schwarz et al., 1998). *Slfn1*, 2, 3, and 4 share a common core region that contains a divergent AAA domain (AAA_4) that, presumably, has ATP binding activity. This region is also present in *Slfn5*, 8, 9, and 10, which code for longer proteins that contain motifs similar to the superfamily I helicases (Geserick et al., 2004) (Fig. 1).

In mouse, the *Slfn* genes are clustered in a 350 kb interval of chromosome 11 (Fig. 2) within the *Om* (*Ovum mutant*) candidate region. We and others have mapped two interesting phenomena to this region: DDK syndrome of embryonic lethality and meiotic drive. In crosses involving the DDK inbred strain, early embryonic lethality occurs when a maternal cytoplasmic factor present in DDK oocytes

interacts with a paternal gene provided by the sperm of other mouse strains (Wakasugi 1974; Mann 1986; Renard and Babinet 1986; Gao et al., 2005). Both the maternal and paternal loci responsible for this DDK syndrome map to the *Om* region (Baldacci et al., 1992; Sapienza et al., 1992; Pardo-Manuel de Villena et al., 1997, 1999; Bell et al., 2006). Meiotic drive in the *Om* region occurs due to unequal segregation of chromatids during the second meiotic division in mouse eggs (Pardo-Manuel de Villena et al., 1997, 2000a, 2000b; De la Casa-Esperon et al., 2002).

In addition, several studies support a role of *Slfn* members in immune response. *Slfn* genes are expressed in tissues of the immune system and their expression levels vary during T-cell and macrophage development, as well as in response to infections (Schwarz et al., 1998; Geserick et al., 2004; Lund et al., 2006; Sohn et al., 2007). The *Slfn* genes are located in a region that has been associated with several autoimmune disorders in human and mouse (Fujikado et al., 2006; Griffiths et al., 1999; Wandstrat and Wakeland, 2001). Both *Slfn1* and *Slfn8* transgenic mice show a reduction in thymus size and thymocyte proliferation (Schwarz et al., 1998; Geserick et al., 2004). These and other results, especially the ectopic expression of *Slfn1* in mouse fibroblasts, suggest a role

Abbreviations: OPV, orthopoxvirus; ORF, open reading frame; EST, expression sequence tags.

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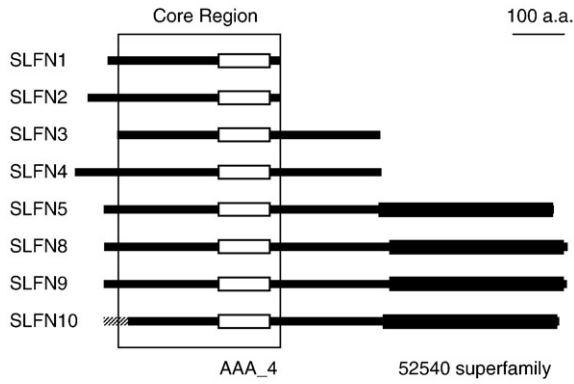


Fig. 1. SLFN proteins in mouse. The location of the common core region analyzed in our phylogenetic study is shown, as well as the AAA_4 (Pfam04326) and 52540 superfamily domains (P-loop containing nucleoside triphosphate hydrolases superfamily) (www.ensembl.org; Appendix A). The latter domain is present in DNA and RNA helicases and some other proteins. *Slfn14* and *LOC435271* are predicted genes without sufficient expression support (Table 1 and Appendix C) and, therefore, their products have not been represented. An alternative *Slfn10* translation initiation site is indicated with a dashed line.

in inhibition of cell growth (Schwarz et al. 1998; Brady et al., 2005; Zhang et al., 2008; Patel et al. 2009), although this antiproliferative activity is neither shared by all *Slfn* genes (Geserick et al., 2004; Lee et al., 2008) nor confirmed by other studies (Zhao et al., 2008). The only knock-out studied (that of *Slfn1* [Schwarz et al. 1998]) showed no apparent phenotype, suggesting functional redundancy among *Slfn* family members.

Therefore, selective forces related to embryonic lethality (DDK syndrome), meiotic drive at *Om*, and immune response might have shaped the evolution of the *Slfn* genes. However, the role of each of the *Slfn* genes in these processes is still unclear. The chromosomal proximity and high degree of sequence similarity of the *Slfn* genes, as well as their possible functional redundancy, are major obstacles to functional studies. In addition, several sequences within the *Om* region show similarities to the described *Slfn* genes, but have not been systematically annotated and analyzed. Our knowledge of the *Slfn* genes is quite limited, since only a few studies have been conducted in any vertebrate species other than mouse.

To address these issues, we investigated the diversity, origin and evolution of the *Slfn* gene family, incorporating data from *Mus*

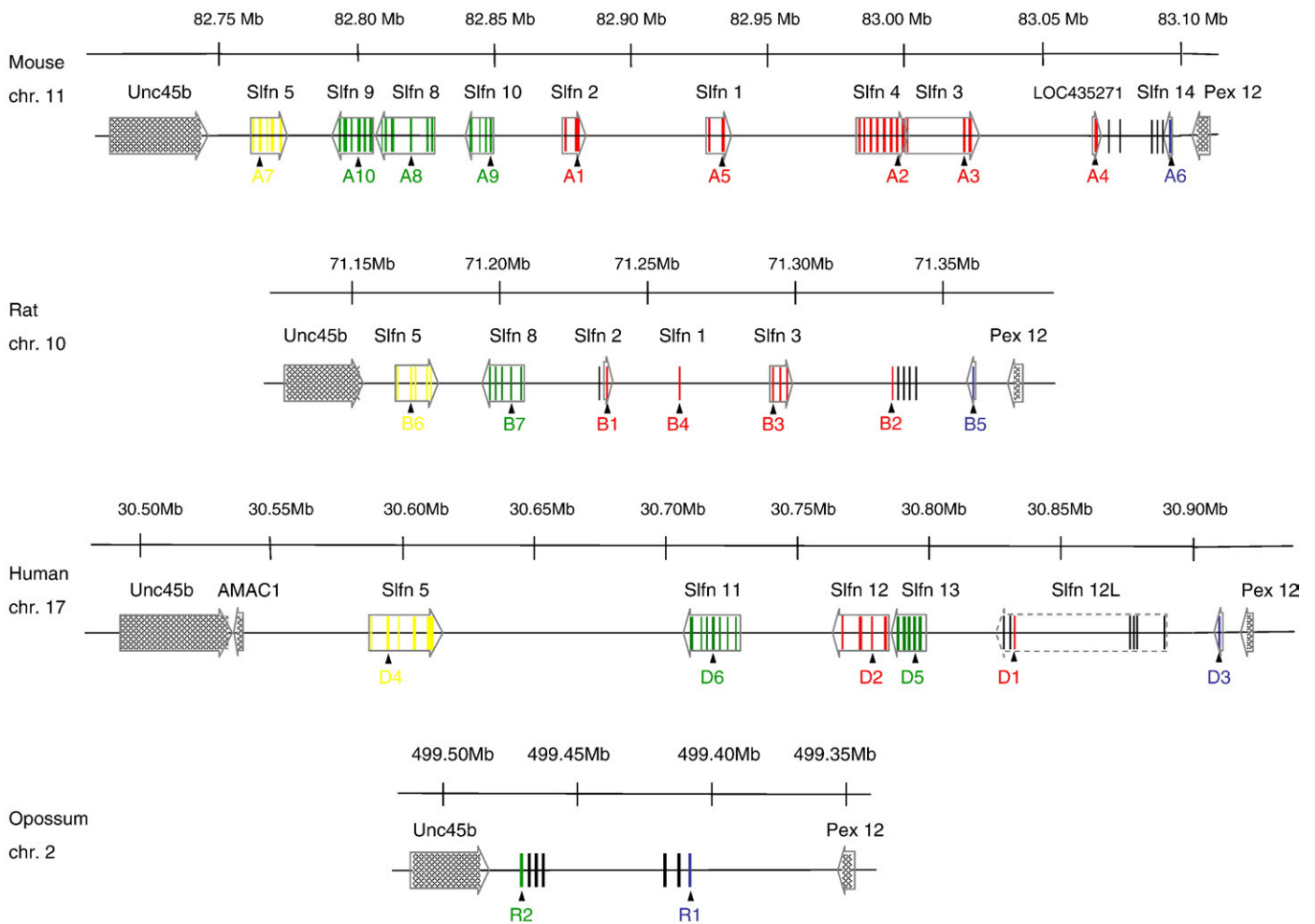


Fig. 2. Orthologous regions in mouse, rat, human and opossum that contain the *Slfn* genes. Indicated are the Ensembl annotated *Slfn* genes and the related sequences found in our search, their exons, and direction of transcription when expression support was found (Appendix A). The location of the sequences identified and used in our phylogenetic analyses (Figs. 4 and 5) is indicated below with arrows. Colors indicate their relationships according to our phylogenetic results (red = Group 1; yellow = Group 2; blue = Group 3; green = "Group 4"; black = unidentified). The position of the human *Slfn12L* predicted transcript is indicated, although the dashed arrow box also encompasses several additional sequences that are not included in the prediction but that we subsequently identified as *Slfn*s (Appendix A). Opossum R2 sequence was not included in the phylogenetic analysis (see Methods), but our analyses indicate that it is more similar to *Slfn8*, 9 and 10 (Group 4) sequences than to any other mouse sequences (data not shown).

musculus and expanding our survey and comparative analyses to other organisms. We also tested for the possible role of selection in the evolution of these genes. Our results show that the *Slfn* family has a broad but patchy phylogenetic distribution in vertebrates, and has expanded (or contracted) independently several times in mammals within the same orthologous region. Moreover, our results suggest that one of these genes was horizontally transferred from rodents to orthopoxviruses (OPVs) and appears to be functional in some of them. These viruses infect mammals and include variola, the causative agent of smallpox, vaccinia (the vaccine virus used to eradicate smallpox), and monkeypox, which has been responsible for recent outbreaks of human illness (<http://www.cdc.gov/ncidod/monkeypox/>). We hypothesize that, in OPVs, *Slfns* might play an important role in eluding the host immune system during the infectious processes, as well as in the viral host specificity.

2. Methods

2.1. Identification of *Schlafen* sequences in diverse organisms

Mouse, human, opossum and rat *Slfn* sequences were obtained from Ensembl (Appendix A) and aligned using ClustalW2 (Larkin et al., 2007). Additional, non annotated *Slfn* related sequences were obtained from these mammalian genomes by blastn and tblastn alignment with annotated *Slfn* sequences (Appendix A). The 329 amino acid portion of *Slfn2* corresponding to the region of greatest similarity (positions 82882846–82883832 on mouse chromosome 11 in the m37 genome assembly) was used as the query for searches with tblastn (Altschul et al. 1997) of the NR, WGS, HTGS, and EST NCBI databases. Sequences were retrieved with a threshold of $e < 0.01$ (Appendix B).

Slfn sequences were searched in orthopoxviruses by aligning all mouse *Slfn* sequences with representative strains of the nine sequenced OPV species (NC_003663.2 (cowpox), NC_004105.1 (ectromelia), NC_003310.1 (monkeypox), NC_003391.1 (camelpox), NC_008291.1 (taterapox), NC_006998.1 (vaccinia), NC_001611.1 (variola), AY484669.1 (rabbitpox), DQ792504.1 (horsepox)). Significant hits ($e < 0.01$) were almost identical to the viral sequences obtained by the above approach (Appendix B), except for a few extra nucleotides (Appendix D).

2.2. Mouse *Schlafen* expression analysis

Mouse EST and RNA-containing NCBI databases were searched by blastn (Altschul et al. 1997) with the identified mouse *Slfn* nucleotide sequences. We established a lower limit of 97% identity in order to account for the high error rate of EST sequences (Nishikawa and Nagai

1996) and hits were manually inspected in order to unequivocally ascribe each of the retrieved RNA sequences to a single *Slfn* gene (Appendix C).

2.3. Phylogenetic analyses

Slfn amino acid sequences (see section 2.1) were aligned with ClustalW2 (Larkin et al., 2007) and edited with GENEDEC (<http://www.psc.edu/biomed/genedec>). Regions of ambiguous alignment and very short sequences were excluded from the analyses, and a few sequences were manually edited in order to reconstruct ORFs (Appendix B). We obtained an unrooted neighbor-joining phylogram using MEGA 3.1 (Kumar et al., 2004) and employing the equal input model for amino acid evolution, which corrects for variation in amino acid frequency. Node support was assessed by conducting 5000 nonparametric bootstrap pseudoreplicates.

Bayesian reconstruction of *Slfn* gene phylogeny was performed using MrBayes v. 3.1 (Ronquist and Huelsenbeck, 2003). We used flat priors and applied the “mixed” model for amino acid sequences, under which MrBayes examines trees generated based on a wide range of models of protein evolution. Two independent runs with four Markov chains each were conducted for three million generations, with sampling at every 100th generation. When the standard deviation of split frequencies was < 0.02 , we considered the searches within a run to have converged, and we discarded the first 25% of trees as “burn-in”. The Bayesian tree shown here represents the majority rule consensus of the last 2.25 million generations from each of the two separate runs combined (4.5 million generations total).

2.4. Codon evolution analysis

For each *Slfn* group identified by phylogenetic analysis, amino acid sequences encoded by *Slfn* genes from human, chimp, macaque, dog, horse, cow, mouse, rat and opossum (or the subset of these taxa present in each group) were aligned using MAFFT version 6 (Katoh and Toh 2008), as were viral *Slfn* (*v-slfn*) sequences from phylogenetic Group 1. These multialignments were used to obtain the corresponding codon alignment with PAL2NAL (Suyama et al., 2006). The program CODEML in the suite PAML 4 (Yang 2007) was used to test *Slfn* genes and *v-slfn* sequences for adaptive evolution under three codon substitution models (see details in Appendix E).

The “branch” models were used to test whether *v-slfn* sequences are evolving neutrally or under purifying selection. Two sets of “site” models (M1a–M2a and M7–M8) were used to detect specific sites under positive selection. Finally, the “branch-site” models allowed inspection of specific lineages for sites showing evidence of adaptive evolution. A likelihood ratio test (LRT) was used to compare models in

Table 1
Expression of *Slfn* sequences in mouse.

Sequence	Expression in immunity-related tissues	Expression in other or mixed tissues
<i>Slfn1</i>	Hematopoietic stem cells, thymus, spleen, T-cells, bone marrow, lymph nodes, macrophages	Adipose tissue, aorta and veins, spinal cord
<i>Slfn2</i>	Thymus, spleen, CD11 +ve dendritic cells, hematopoietic stem cells, macrophages	Tumors, mammary gland, lung, 19.5 dpc fetus, joints, synovial fibroblasts, inner ear, taste buds
<i>Slfn3</i>	Thymus, CD11 +ve dendritic cells, spleen	Testis, melanoma/melanocyte cells
<i>Slfn4</i>	Spleen, bone, lymph nodes, hematopoietic stem cells	Vagina, embryo, mammary and lung tumors, taste buds unfertilized eggs, 8-cell embryos
<i>Slfn5</i>	Thymus, spleen, activated macrophages, bone	Lung, kidney, embryonic Rathke's pouches, testis
<i>Slfn8</i>	Macrophages, CD11 +ve dendritic cells, spleen	Kidney
<i>Slfn9</i>	Hematopoietic stem cells, activated macrophage, bone	Embryonic nasal region, tumors, prostate, eye, 6.5-dpc to 14-dpc embryos, mammary gland, ES cells
<i>Slfn10</i> 83067775–83068818 (within LOC435271)	Activated macrophage, thymus, hematopoietic stem cells CD11 +ve dendritic cells,	Pancreatic islet, lung, mammary tumor
<i>Slfn14</i> , 1st exon	Spleen	

Summary of the expression results obtained by BLAST search of NCBI databases (Appendix C). No transcripts were found for the rest of the *Slfn14* predicted exons as well as for *Slfn* sequences in mouse m37 chromosome 11 positions 83,073,547–83,074,000 and 83,078,898–83,079,059 (Fig. 1 and Appendix A). In the latter case, the reading frame was disrupted.

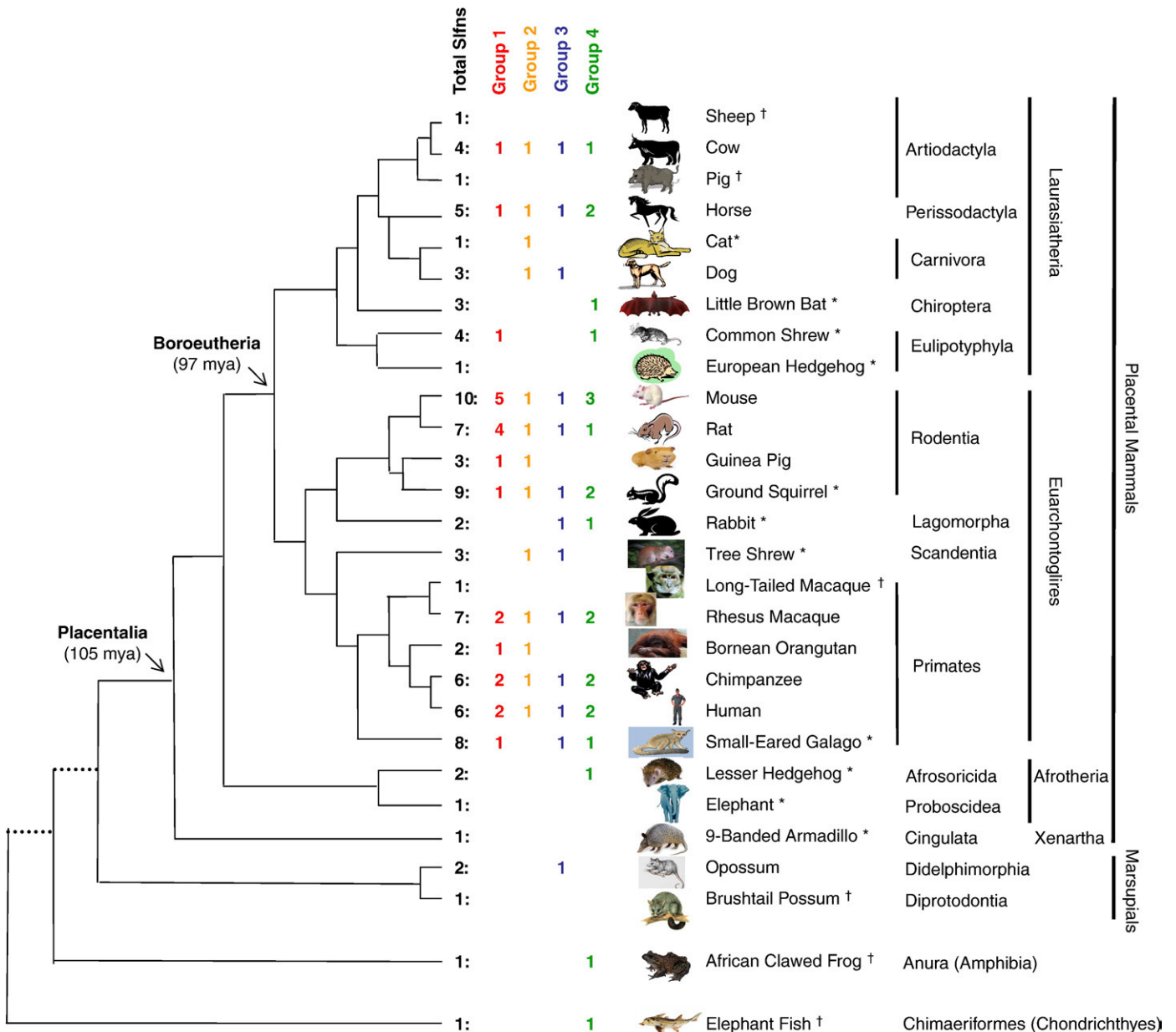


Fig. 3. Distribution of *Schlafen* sequences among taxa. The topology and divergence times of the mammalian tree are drawn according to www.tolweb.org/tree and [Murphy et al. \(2007\)](#). The total numbers of *Sifn* sequences for each taxon are indicated, as well as the number of *Sifn* sequences that fell within each of the four groups identified in our phylogenetic analyses (Figs. 4 and 5). Only sequences that were suitable for our phylogenetic analyses (see Methods) could be classified into one of the 4 groups. Genome sequencing status at the time of this analysis: *2× (or less) whole genome shotgun; †underway, sequencing projects at early stages.

each test. Phylogenetic trees were edited using MEGA 3.1 ([Kumar et al., 2004](#)).

3. Results

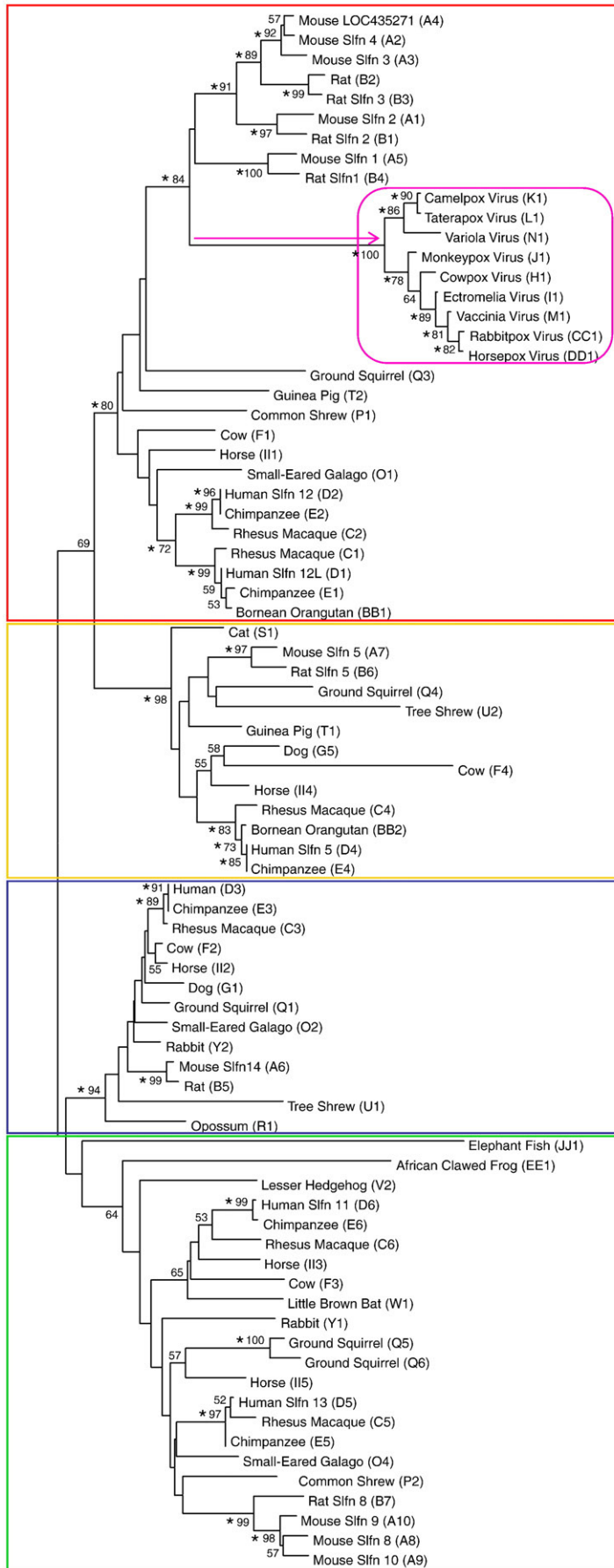
3.1. Genomic clustering of the *Schlafen* genes in mouse and expression in immunity-related tissues

In mouse, eight *Sifn* genes have been annotated within a cluster in chromosome 11 (www.ensembl.org; Fig. 2; Appendix A). They are

flanked by the *Unc45/Unc45b* and *Pex12* genes, which are involved in myosin folding and peroxisome biogenesis, respectively ([Chang et al., 1997](#); [Srikakulam et al., 2008](#)). The *Sifn* genes range in size from 992 to 23,806 nucleotides and encode putative proteins that range in size from 337 to 910 amino acids and display 31–92% identity and 51–96% similarity over 312–331 amino acids. These proteins share an AAA_4 domain that is 101 amino acids in size and is located within the most conserved region (Fig. 1).

To identify new mouse *Sifn* family members, the m37 genome assembly was searched using blastn and tblastn with sequences of

Fig. 4. Phylogeny of *Sifn* genes based on neighbor-joining analysis of amino acid sequences. Only sequences that aligned unambiguously to the mouse SLFN core region (Fig. 1) were analyzed. This is an unrooted phylogram obtained using the “equal input” model implemented in MEGA 3.1 ([Kumar et al., 2004](#)). We designate four major clades “Groups 1–4”. The pink arrow indicates the hypothesized occurrence of horizontal transfer of a *Sifn* gene from a rodent to the OPV ancestor. Numbers at nodes represent bootstrap support values based on 5000 pseudoreplicates. * indicates 70% or above bootstrap support ([Hillis and Bull 1993](#)). Values below 50% were removed. Scale: 0.1 indicates 10% estimated number of substitutions per position.



Group 1

Group 2

Group 3

"Group" 4

0.1

the eight known mouse *Slfn*s. We found four additional sequences (42–88% identity, 62–92% similarity to previously described *Slfn* sequences over 334–499 amino acids), all clustered within the *Om* region and flanked by the *Unc45/Unc45b* and *Pex12* genes (Appendices A and B, and Fig. 2). One overlaps with sequences encoding the SLFN14 predicted protein (XP_899217 in Gubser et al., 2007). The other three are most likely fragments of a single

duplication of a gene related to *Slfn4/Slfn3* (see section 3.2) and two overlap with the predicted gene *LOC435271* (Bell et al., 2006). We excluded a sequence annotated as *Slfn-like 1* on chromosome 4, which contains a partial AAA_4 domain, because of the extremely low similarity to and phylogenetic distance from *Slfn* genes (data not shown). Therefore, we conclude that a total of 10 “bona fide” *Slfn* members are present in the m37 mouse sequence.

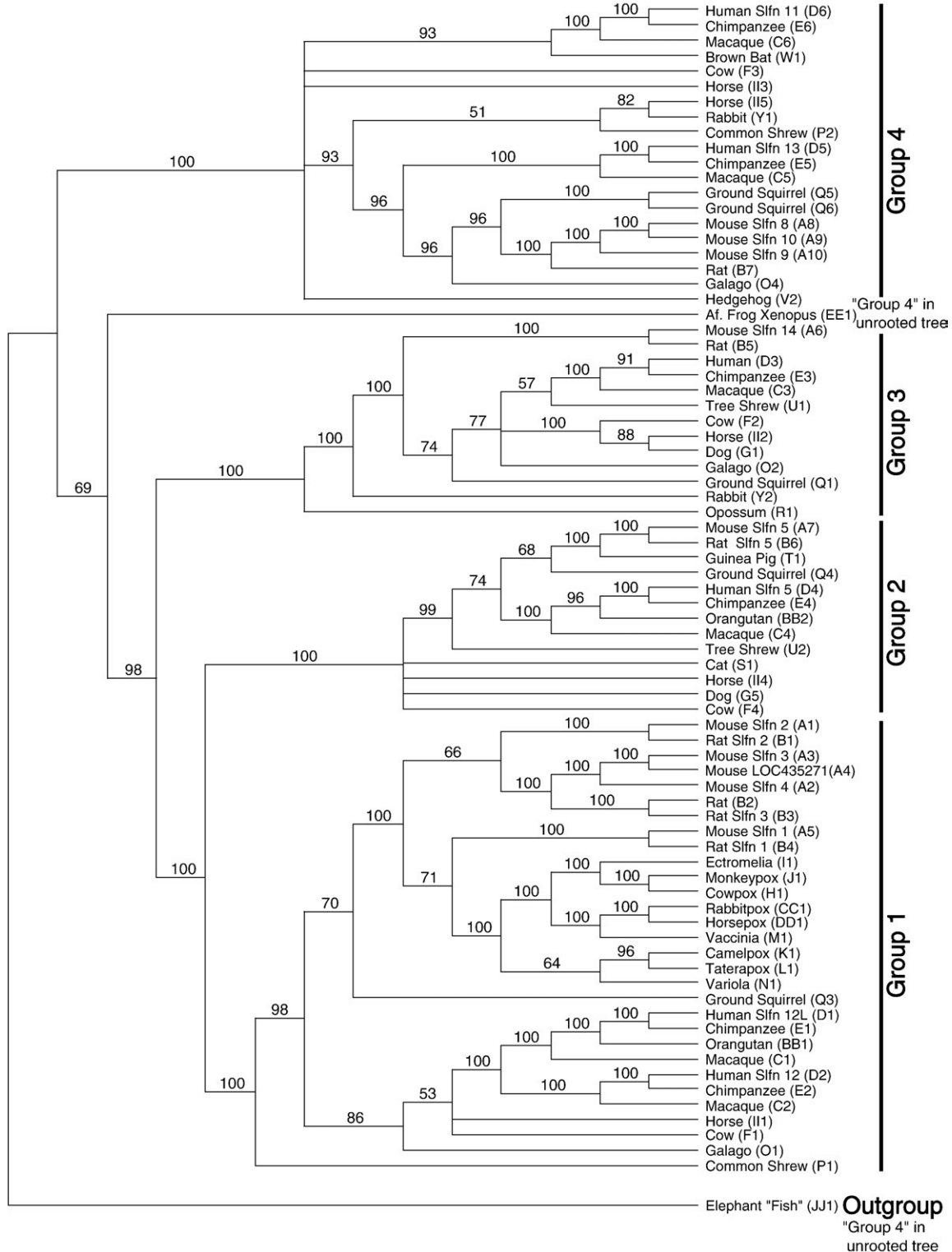


Fig. 5. Phylogeny of *Slfn* genes based on Bayesian analysis of amino acid sequences. The tree is rooted using the sequence from "elephant fish" (chondrichthyan). Numbers at nodes represent posterior probabilities. Groups 1–4 (designated based on the unrooted neighbor-joining tree, Fig. 4) are indicated. Results from 4.5 million generations run under the "mixed" (multiple model) criterion for evolution are shown.

Our analysis of available expression data (ESTs) for the annotated mouse *Slfn* genes (Table 1; Appendix C) confirms previous reports showing that the *Slfn* genes are expressed in (but not necessarily restricted to) cells of the immune system (Schwarz et al., 1998; Geserick et al., 2004). This is also the case for the human *Slfn* genes (data not shown). Moreover, expression of some *Slfn* members in reproductive organs, oocytes and early embryos is suggestive of their possible role in DDK syndrome of embryonic lethality and/or meiotic drive at *Om* (Mann 1986; Renard and Babinet 1986; Pardo-Manuel de Villena et al., 2000a). We found a few EST sequences that support transcription of some, but not all, the newly identified *Slfn* sequences. As observed in mouse, expression of the MM_A6/*Slfn14* rat and human orthologs (section 3.2) was represented by a few transcripts (data not shown).

3.2. Phylogenetic distribution of the *Schlafen* family: expansion within a cluster in mammals

To explore the distribution and evolution of the *Slfn* family, we searched for orthologs/paralogs of mouse *Slfn* genes in other species, using the core region shared by all SLFN proteins (Fig. 1) as a query to search all databases. Putative genes related to *Slfn* were identified in 26 animal genomes in addition to mouse (Fig. 3; Appendix B). Pairwise comparisons to mouse *Slfn*s and phylogenetic analyses show clearly that they are members of the *Slfn* family (Figs. 4 and 5). *Slfn* genes were identified in every mammalian genome with at least 2× coverage (except platypus). In addition, single copies of *Slfn* genes were identified in the African clawed frog *Xenopus laevis* and the elephant “fish” *Callorhinchus milii* (a chondrichthyan, representing the deepest split in the phylogeny of living gnathostomes). No *Slfn* genes were identified in any other non-viral genome. However, *Slfn*-related sequences were found in the genomes of orthopoxviruses (Appendix B; see section 3.3).

?>In all mammalian genomes with high-quality coverage and assembly, we observed that *Slfn* genes form a cluster of paralogs within the same orthologous region flanked by the *Unc45* and *Pex12* genes, as we previously determined in mouse. The organization of this cluster has been further investigated in the genome of mouse, rat, human and opossum (Fig. 2; Appendix A). In these species, the *Slfn* cluster appears to have been shaped by multiple tandem duplication events and in some cases by lineage-specific inversions (Fig. 2).

Our neighbor-joining tree including all *Slfn* amino acid sequences indicates that *Schlafen* members cluster in four major lineages, with strong bootstrap support (80%–98%) for three of them (Fig. 4; Appendix B). The unrooted topology of the Bayesian tree (Fig. 5) is identical in nearly all major respects, with extremely strong posterior probability support for all key nodes. We arbitrarily designated the focal clades as Groups 1–4, with the caveat that the root of the tree is unknown (i.e., at least one of the groups is likely to be paraphyletic with respect to the true outgroup sequence). Given the generally accepted basal split between Chondrichthyes and all other living jawed vertebrates, we consider the most plausible root to lie on the branch between the *Slfn* from “elephant fish” and the others, and rooted the Bayesian tree accordingly. Under this rooting, elephant fish obviously is removed from “Group 4”, and the *Slfn* from the frog *Xenopus* appears as sister to those comprising groups 1+2+3 (rooting the N-J tree with elephant fish would place the *Xenopus Slfn* sister to Group 4), while in all other respects the groups that we recognize are monophyletic.

The major *Slfn* groups that we recognize appear to have originated by gene duplications (Figs. 3–5). At least two of these groups (1 and 4) have expanded recently in some mammalian lineages by additional gene duplications (based on the phylogenies shown in Figs. 4 and 5 and copy number). Interestingly, group 1 also contains the viral copies (*v-slfn*).

3.3. Evolutionary origin of the *Schlafen*-related genes in orthopoxviruses: horizontal transfer from rodents

Although the presence of *Schlafen* sequences in OPVs (*v-slfn*) has previously been reported (Schwarz et al., 1998; McLysaght et al. 2003; Gubser et al., 2007), their evolutionary origin was unclear. We have identified *v-slfn* sequences in all OPVs for which data are available, but not in any other viruses, including other members of the poxvirus group. Only one *v-slfn* sequence is found in each OPV (Appendices B and D); *v-slfn* sequences are located in orthologous positions in all OPV genomes (Fig. 6) and share 84–99% sequence similarity over 299–308 amino acids. Some, but not all OPVs (such as variola), have retained an open reading frame (ORF). This ORF extends at the 5′ end to include a sequence that encodes for a baculovirus p26 domain of unknown function (Goenka and Weaver 2008) (Fig. 6).

Our phylogenetic analyses show that the amino acid sequences of the viral *Slfn* genes are most closely related to the mouse and rat *Slfn* genes of our Group 1 (Figs. 4 and 5). Although it is possible that *Slfn* genes are derived from a viral sequence, several lines of evidence argue against this scenario. First, *v-slfn* sequences have a very restricted distribution in viruses, whereas the *Slfn* family is widely present and diversified in multiple clades in mammals and other vertebrates, with *v-slfn* deeply nested within only one of these lineages (Figs. 4 and 5). Furthermore, the *Slfn* group containing viral sequences (Group 1) is unlikely to be the most ancient *Slfn* clade, as it does not include genes from more “basal” vertebrates like the chondrichthyan elephant “fish” and the frog *X. laevis*, which are instead located deeper in the rooted tree (Figs. 4 and 5). Finally, an independent phylogenetic analysis of sequences containing the AAA_4 domain from eukaryotes and prokaryotes supports that OPV sequences cluster within the *Slfn* Group 1 genes of mammals (<http://www.ebi.ac.uk/goldman-srv/pandit/pandit.cgi?action=browse&fam=PF04326>). Therefore, it is most likely that *Slfn* sequences were horizontally transferred from a rodent to an OPV and subsequently have diverged to give rise to the *v-slfn* genes.

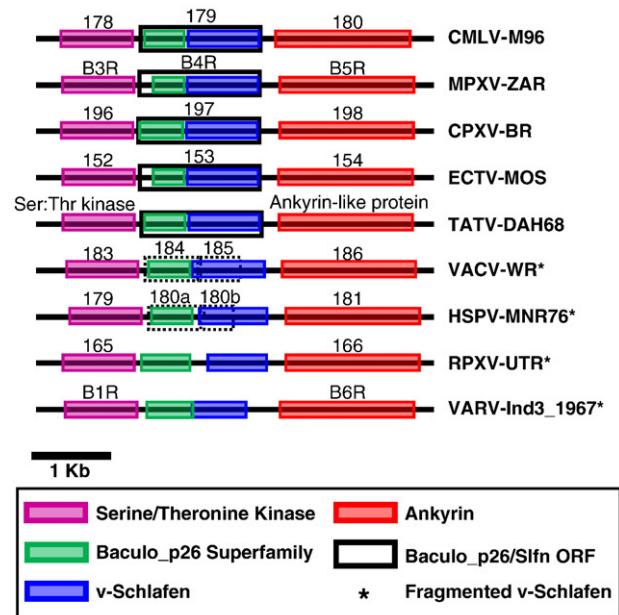


Fig. 6. *v-slfn* sequences in orthopoxviruses. A representative strain of each OPV species is depicted. *v-slfn* and surrounding genes are annotated according to www.poxvirus.org. *v-slfn* is flanked by sequences that code for a serine/threonine kinase and an ankyrin protein in all OPVs. The predicted *v-slfn* ORFs (www.poxvirus.com) often include non-*Slfn* sequences that code for a baculovirus p26 protein domain. The *v-slfn* sequences of all OPVs (Appendix D), as well as all the other sequences represented, were identified by BLAST search by using all mouse SLFN and the camelpox sequences as queries, respectively.

Table 2
Positive selection detected using CODEML “site” models on *Slfn* lineages.

	Model	ω	Sites
Group 1	M7	0.568	1*
	M8	0.665	
Group 2	M1a	0.588	–
	M2a	0.725	
Group 2	M7	0.589	1*
	M8	0.712	
Group 3	M7	0.334	–
	M8	0.357	
Group 4	M1a	0.513	13*+4**
	M2a	0.793	
Group 4	M7	0.512	29*+4**
	M8	0.771	

Major *Slfn* lineages showing significant (p value < 1%) evidence of positive selection. Complete results are shown in Appendix E, Tables 1–2. M1a–M2a and M7–M8 are “site” models. ω : estimated average d_N/d_S value of the tree. Sites: Positively selected sites according to the Bayes Empirical Bayes analysis. *: Significant test (p value < 5%). **: extremely significant test (p value < 1%).

3.4. Selection on the vertebrate and viral *Schlafen* coding sequences

Many genes involved in the immune response are known to evolve under positive selection (Waterston et al. 2002; Ellegren 2008). To determine whether the *Slfn* family has been evolving adaptively, we focused on the high coverage genomes of mouse, rat, human, chimp, macaque, dog, cow, horse and opossum. The ratio between non-synonymous and synonymous substitutions per site, or d_N/d_S , was used as a proxy to infer how selection shaped the coding region of *Slfn* genes; values of d_N/d_S higher than one are interpreted as a signature of positive selection (Hill and Hastie 1987; Hughes and Nei 1988). The CODEML program of the PAML package (Yang 2007) was used to estimate d_N/d_S in our datasets (see Methods and Appendix E). A strong signature of positive selection was identified in all *Slfn* groups by at least one set of CODEML models (Tables 2 and 3; Appendix E). Genes in *Slfn* group 4 seem to have been particularly affected by episodes of adaptive evolution, with 39 different codons estimated by the various CODEML models as having d_N/d_S significantly > 1 (p < 0.05; Tables 2 and 3; Appendices E–F).

Using “branch-site” codon substitution models (Zhang et al 2005; Yang 2007; see also Methods), we found that different *Slfn* gene groups in several mammalian lineages (called subtrees in Table 3 and Appendices E–F) have been evolving under positive selection (Appendix E, Table 3; Appendix G). To determine if paralogous lineages have experienced different selective regimes after gene

Table 3
Positive selection detected using CODEML “branch-site” models on *Slfn* subtrees.

	Model	ω	Sites
Group 2	Null	1	1*+1**
	Alternative	1.5	
Group 4	Null	1	14*+2**
	Alternative	1.5	
Group 4	Null	1	3*
	Alternative	1.5	
Group 4	Null	1	2*+2**
	Alternative	1.5	
Group 4	Null	1	–
	Alternative	1.5	
Group 4	Null	1	–
	Alternative	1.5	

Major *Slfn* lineages showing significant (p value < 1%) evidence of positive selection. Complete results are shown in Appendix E, Tables 1–3. Single-branch analyses are reported only in Appendix E. Null and Alternative refer to “branch-site” models also known as “test 2”. ω : initial d_N/d_S value. Sites: Positively selected sites according to the Bayes Empirical Bayes analysis. *: Significant test (p value < 5%). **: extremely significant test (p value < 1%). WG: whole-group; R: rodents; P: primates; L: laurasiatheria (dog, horse and cow).

Table 4
Evolutionary hypotheses tested on *v-slfn* sequences.

Hypotheses	Model	ω_0	ω_1	ω_2	2*($\Delta\ln L$)
Positive selection “site” models	M1a	0.514	–	–	0.86
	M2a	0.564	–	–	(rejected)
Positive selection “site” models	M7	0.507	–	–	0.88
	M8	0.563	–	–	(rejected)
Positive selection on the <i>v-slfn</i> clade “branch-site” models	Null	1	–	–	0
	Alternative	1.5	–	–	(rejected)
<i>v-slfn</i> clade is evolving neutrally	Two ratios	0.609	0.3524	–	70.1*
	One ratio	0.586	1	–	(rejected)
<i>v-slfn</i> pseudogenes are NOT evolving neutrally	Two ratios	0.43	1.0354	–	0
	One ratio	0.43	1	–	(rejected)
<i>v-slfn</i> genes are NOT evolving neutrally	Three ratios	0.613	1.1558 (P)	0.2964 (G)	80.36*
	Two ratios	0.586	0.8987 (P)	1 (G)	(accepted)

Parameters and models associated with some CODEML tests performed on *v-slfn* sequences. Tests for positive selection involved only the nine *v-slfn* sequences. The last three hypotheses were tested comparing a model of neutrality (ω_0 or $\omega_1 = 1$) with an alternative model where ω is estimated, and were performed on a tree also including the three mouse genes *Slfn1–3* as outgroups. Such models were applied to the *v-slfn* whole clade, *v-slfn* pseudogenes and *v-slfn* genes. Tested hypotheses are shown in the first column. ω_0 : estimated or initial d_N/d_S in positive selection tests, background d_N/d_S in the other tests; ω_1 : estimated or fixed second value d_N/d_S ; ω_2 : estimated or fixed third value d_N/d_S ; 2*($\Delta\ln L$): twice the log likelihood difference between null and alternative models; P: d_N/d_S of *v-slfn* pseudogenes; G: d_N/d_S of *v-slfn* genes; the asterisk in the last column marks tests with p value < 1%. Further details and other tests are described in the text and Appendices E and H.

duplication, we applied “branch-site” models to test single paralogous branches in *Slfn* groups 1 and 4 (Appendices E and G). This analysis identified six branches with d_N/d_S significantly > 1, although in five of these cases only one of the two paralogous lineages experienced positive selection (Appendix E, Table 4; Appendix G, Figs. 1–4).

We also tested for selection during the evolution of OPV *v-slfn* sequences. McLysaght et al. (2003) inferred positive selection in *v-slfn* genes by comparing CODEML models M7 and M8. However, we found no evidence of positive selection among nine OPV *v-slfn* sequences (Appendix E, Tables 1–3), or on a smaller data set without the four *v-slfn* sequences that lack ORFs and likely represent pseudogenes (data not shown).

Analyses using the “branch” CODEML models (see Methods; Table 4 and Appendix H) showed that the four *v-slfn* pseudogenes are evolving neutrally, whereas the five *v-slfn* sequences with an intact ORF have an average $d_N/d_S = 0.2964$, suggesting that the latter are evolving under purifying selection (Table 4 and Appendix H).

4. Discussion

4.1. Distribution and phylogeny of the *Schlafen* genes

Since the *Slfn* genes were discovered in *M. musculus*, most studies in animals have been performed in this species in spite of the poor annotation of the members of this gene family. Our comprehensive analysis has confirmed the existence of the eight annotated genes in mouse, plus two additional *Slfn* copies that include some sequences of two annotated gene predictions (Fig. 2). However, given our observation of rapid evolution of this gene family in rodents, future studies in mice and rats must be performed with caution, because the number of *Slfn* copies might not be fixed (Figs. 4 and 5; Bell et al., 2006).

Across a much broader phylogenetic spectrum, the number of *Slfn* sequences within species is highly variable. The *Slfn* genes are widespread in placental mammals, as well as present in opossum, a few other vertebrates including the deeply diverged Chondrichthyes and Amphibia (Fig. 3), and OPVs. In mammals for which genome sequences with high coverage are available, they are most abundant in

primates and rodents, and in all cases studied they are clustered in tandem within an orthologous region. No *Slfn* has been identified by our approach in monotremes and reptiles (including birds); in amphibians we found *Slfn* in *Xenopus laevis* but not in *X. tropicalis*. The only other non-mammalian vertebrate for which genome data are available that shows the presence of a *Slfn* is the chondrichthyan *Callorhynchus milii* (“elephant fish”). Since few complete genomes of non-mammalian vertebrates are currently available, we focus our analyses on mammals, where the *Slfn* genes clearly have retained and/or acquired important, yet mostly unknown, functions.

Phylogenetic analysis of *Slfn* genes reveals four distinct lineages, which appear to have experienced multiple duplications (and potentially losses) in mammals (Figs. 4 and 5). Our “Group 4” (*sensu lato*) shows the widest phylogenetic distribution, with members occurring not only in placental mammals but also in two other vertebrates, and therefore it could represent the sister lineage to other *Slfn* groups. Our “most plausible” rooting (with the chondrichthyan *Slfn*) removes non-mammalian *Slfns* from our grouping scheme but still shows a basal split between mammalian Group 4 *Slfns* and those of amphibians and mammalian Groups 1–3, with the ancestor of Groups 1–3 having originated most recently. Given our evidence of recent duplications in mouse and rat, the *Slfn* genes probably are still rapidly evolving in some rodents. This agrees with previous evidence that in mouse the *Slfn* cluster is located in an unstable and rapidly changing region (Bell et al., 2006). Rapid evolution is also often observed in other gene families involved in immune function, both in terms of nucleotide substitution and gene gain/loss rates (Demuth et al. 2006; Ellegren 2008).

Analyses of mouse SLFN proteins' length, domains, function, and cellular location have shown that members of the Group 1 identified in our phylogenetic studies (Figs. 4 and 5) have some distinct features respect to the other groups. Mouse *Slfn1–4* (Group 1) code for short proteins with reported antiproliferative activity (Schwarz et al., 1998), which has not been observed in larger members of the family that contain helicase-related domains (*Slfn5*, 8, 9 and 10, located in our phylogenetic Groups 2 and 4) (Geserick et al., 2004). In addition, expression of FLAG-tagged *Slfn* genes showed that the SLFN1, 2, and 4 proteins (Group 1) are mainly or exclusively localized in the cytoplasm, whereas SLFN5, 8 and 9 (Groups 2 and 4) products are only observed in the nucleus (Neumann et al., 2008).

4.2. Horizontal transfer of a *Schlafen* sequence to orthopoxviruses and its implications

Our data provide important clues about the origin of the *v-slfn* genes in OPVs. We observed a single copy in all sequenced OPVs, but none in any other virus, including other poxviruses. OPVs are genetically, morphologically, and antigenically similar viruses that form a monophyletic group and infect mammals. They include variola (the causative agent of smallpox), vaccinia (used in vaccination against smallpox), and monkeypox (which is of major concern as an emerging, rodent-borne pathogen). Our phylogenetic analysis strongly supports horizontal transfer of *Slfn* sequences from a mammal (probably a rodent) to an OPV. Although the possibility of recombination among OPVs cannot be eliminated, the location of *v-slfn*s at orthologous positions, the high number of substitutions along the ancestral branch of the viral sequences (Fig. 4; Appendix E), their high degree of sequence similarity, and the very strong support for their monophyly indicates that *Slfn* sequences were probably acquired only once by the progenitor of OPVs (see also Gubser et al., 2004; Hughes and Friedman 2005; Lefkowitz et al., 2006; Bratke and McLysaght 2008). Once acquired, *v-slfn* sequences may have been retained due to selective advantages and/or to the relative flexibility in genome size of the poxviruses, which have fewer packaging restrictions than many other viruses and possess other horizontally

transferred genes (Smith and Moss 1983; Moss and Shisler 2001). Five of the nine OPV *v-slfn*s that we examined have conserved an ORF and appear to be undergoing purifying selection. The other four seem to have lost function. Gubser et al. (2004) postulated that the presence of genes that conserve an ORF in some OPV genomes, but are fragmented in others, might reflect a recent radiation of OPVs from a common ancestor (i.e., the pseudogenes have not yet been completely lost). Regardless of the age of the OPV group, our observation of purifying selection on *v-slfn*s in several members suggests a functional role.

What is the function of *v-slfn* sequences in orthopoxviruses? Horizontal transfer of host immune-related genes to viruses and selection on such genes to allow viruses to escape the host immune system has been reported repeatedly (Hughes 2002; Shchelkunov 2003; Hughes and Friedman 2005; Bratke and McLysaght 2008). Diverse poxviruses have different immune evasion genes, some of which may have been acquired during host adaptation of the virus (Moss and Shisler 2001). Like many of the genes involved in virulence and modulation of immune response, *v-slfn*s are located in the terminal regions of OPV genomes (Seet et al. 2003), further suggesting the role of OPV *v-slfn* sequences in eluding the host immune system.

While the ancestral OPV probably acquired *Slfn* sequences from a rodent, only modern OPVs that conserve an intact *v-slfn* ORF infect rodents (OPVs with fragmented *v-slfn*s have other natural hosts). This further suggests that *v-slfn*s participate in the OPVs' ability to infect rodents. This has important implications, since the spread of smallpox by the variola virus was eradicated by human vaccination because no other animal was infected. Variola *v-slfn* is fragmented, but the monkeypox *v-slfn* has an ORF. Although human-to-human transmission efficiency of monkeypox is low, any major outbreak that could result from changes in this virus would be very difficult to control, because many rodents are natural reservoirs (Shchelkunov 2003).

4.3. Selection regime operating during the evolution of the *Schlafen* genes

We tested whether there is any sign of positive selection of the *Slfn* sequence captured by OPVs, as found by McLysaght et al. (2003). We found no evidence of positive selection in any of our analyses (Appendices E and H). This discrepancy could be the result of the differences between the two studies: the dataset analyzed by McLysaght et al. (2003) included less viral species and different variants of *v-slfn* pseudogenes from several variola and vaccinia strains, compared to ours. In addition, their use of predicted ORFs of *v-slfn* pseudogenes (www.poxvirus.org) resulted in shorter alignments. Moreover, the analysis of McLysaght et al. (2003) was focused on poxviruses and ignored the rodent origin of *v-slfn*s.

Our data suggest that the *v-slfn* genes with an intact ORF are mostly evolving under purifying selection, although we cannot exclude the possibility that some sites in these sequences have been evolving under positive selection. Regardless of the nature of selection (for favorable mutations vs. against deleterious ones), our findings and those of McLysaght et al. support an active and important role of these genes in OPVs. The first data regarding the function of *v-slfn*s were provided by a recent study of a vaccinia virus engineered to incorporate a *v-slfn* ORF from camelpox (Gubser et al. 2007). They showed that, after intranasal infection of mice, vaccinia virus titer decays more rapidly when camelpox *v-slfn* is present than when it is not. However, this *v-slfn* does not appear to prevent either infection or viral replication in mouse cells. Gubser et al. (2007) hypothesized that expression of *v-slfn* might actually accelerate OPV elimination by the immune system, preventing the virus from overwhelming its host. Further studies will be necessary to elucidate the role of the products of the *v-slfn* genes in virulence and their possible interactions with the host immune response and/or the host SLFN proteins.

In contrast with viruses, we find evidence of positive selection in mammalian *Slfn*s of all four groups that we designated based on our phylogenetic analyses. This is particularly evident in *Slfn* Groups 1 and 4, in which a high proportion of codons show signs of positive selection (1 of every 14 codons in Group 1 and 1 of every 23 codons in Group 4; Appendix F). In *Slfn* Groups 2 and 3, it is possible that more sites evolving adaptively were missed by CODEML because of the reduced power of this program when small trees are analyzed (Anisimova et al. 2001; Anisimova et al. 2002). In addition, we showed that, after most episodes of gene duplication, paralogous lineages in *Slfn* Groups 1 and 4 experienced different selection regime, with one of the sister *Slfn* genes evolving adaptively and possibly diverging functionally (Appendices E and G).

Given the probabilistic nature of CODEML analyses, we caution that the role and function of specific sites that appear to be of adaptive significance should be experimentally validated. However, the influence of positive selection during the evolution of many *Slfn* lineages is supported by several lines of evidence. For instance, adaptive evolution has been detected by three different tests in *Slfn* groups 2 and 4 and by two tests in *Slfn* group 1 (Tables 2 and 3, Appendix E). In addition, the two “site” models M2a and M8 predicted a largely overlapping sets of sites with $d_N/d_S > 1$ in *Slfn* group 4 (Appendix F), and all the positively selected sites predicted by the CODEML Bayesian method show a posterior d_N/d_S mean > 2.4 (except one site in *Slfn* group 1 with d_N/d_S mean = 1.49; Appendix F). The consistency and robustness of our findings indicate that most likely several mammalian *Slfn* lineages experienced adaptive evolution.

4.4. *Schlafen* genes: open questions

Our study leaves open a number of important questions: has the evolution of the vertebrate and/or OPV *Slfn* genes been driven by the coevolution between hosts and viruses? Is there any functional overlap between the products of these genes in mammals? According to the “molecular mimicry” hypothesis of Murphy (1993), diversification and divergence of host immune-related genes from their horizontally transferred viral orthologs might prevent the interference of the viral products with the immune response. It is possible that such phenomena have underlain the evolution of some *Slfn* members: most mammalian *Slfn* groups have experienced positive selection and *Slfn* genes are highly diversified, especially in rodents. However, this hypothesis does not provide a comprehensive explanation for the evolution of the entire gene family since, as discussed above, the function of the *Slfn* genes is probably not restricted to defense against viral infections.

On the virus side, we observe purifying selection of the *v-slfn* sequences, but it is unclear if they have retained any of the functions of their mammalian ancestor (immunity-related or other), as well as if they have the ability of interacting or interfering with the host *Slfn* products. In addition, the contribution of the p26 baculovirus protein domain to the activity of the *v-slfn* product is unknown. Although the function of the p26 protein has not yet been identified, it recently has been shown to form dimers *in vitro* (Goenka et al. 2008). Oligomerization is also observed in AAA ATPases and *v-slfn* genes conserve an AAA-related domain (Hanson and Whiteheart 2005). Therefore, direct interaction between the *v-slfn* products and with the host *Slfn* copies is a strong possibility.

In conclusion, our studies show that the *Slfn* gene family is evolving rapidly, possibly in response to selective pressure related to their function in the immune response. The acquisition of *Slfn* copies by OPVs may have provided additional avenues for the evolution of this gene family. Further studies of the contribution of the *Slfn* genes to host-viral interactions have potential implications, not only for understanding the function of these genes in the immune response, but also in the battle against orthopoxviruses infections.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.gene.2009.07.006.

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