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# Apolipoprotein A-I, elevated in trauma patients, inhibits platelet activation and decreases clot strength

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# Abstract

Apolipoprotein A-I (ApoA-I) is elevated in the plasma of a subgroup of trauma patients with systemic hyperfibrinolysis. We hypothesize that apoA-I inhibits platelet activation and clot formation. The effects of apoA-I on human platelet activation and clot formation were assessed by whole blood thrombelastography (TEG), platelet aggregometry, P-selectin surface expression, microfluidic adhesion, and Akt phosphorylation. Mouse models of carotid artery thrombosis and pulmonary embolism were used to assess the effects of apoA-I *in vivo*. The ApoA-1 receptor was investigated with transgenic mice knockouts (KO) for the scavenger receptor class B member 1 (SR-BI). Compared to controls, exogenous human apoA-I inhibited arachidonic acid and collagen-mediated human and mouse platelet aggregation, decreased P-selectin surface expression and Akt activation, resulting in diminished clot strength and increased clot lysis by TEG. ApoA-I also decreased platelet aggregate size formed on a collagen surface under flow. *In vivo*, apoA-I

Disclosure Statement

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delayed vessel occlusion in an arterial thrombosis model and conferred a survival advantage in a pulmonary embolism model. SR-BI KO mice significantly reduced apoA-I inhibition of platelet aggregation versus wild type platelets. Exogenous human apoA-I inhibits platelet activation, decreases clot strength and stability, and protects mice from arterial and venous thrombosis via the SR-BI receptor.

#### Keywords

Thrombelastography; platelet inhibition; microfluidics; SR-B1 receptor; hyperfibrinolysis

# Introduction

Trauma-induced coagulopathy (TIC) presents in approximately 25% of severely injured patients, new injury severity scores (NISS) >15 and lead to increased morbidity and mortality.<sup>1–5</sup> Mechanistically TIC has been attributed to altered thrombin generation, endothelial dysfunction, reductions in serpins and clotting factors by activated protein C, circulating membrane vesicles, hypoperfusion and ischemia resulting in increases in tissue plasminogen activator (tPA), and of importance to the presented work, alterations in platelet activity.<sup>3,6–13</sup> The pathogenesis of TIC is likely directly affected by circulating intracellular proteins released by traumatic tissue injury.<sup>3,6–13</sup> Such mediators typically do not affect coagulation or fibrinolytic activity because they are not normally present in the plasma or circulate at higher concentrations following injury and may become moonlighting mediators that directly affect coagulation and fibrinolysis.<sup>14–17</sup>

Apolipoprotein A-I (ApoA-I) is a 28-kDa protein product of the *APOA1* gene synthesized in the liver and small intestine, is the primary protein moiety in high-density lipoprotein (HDL) complexes, along with cholesterol and phospholipids.<sup>18,19</sup> ApoA-I plays a central role in reverse cholesterol transport, with a plasma concentration of 96–183 mg/dL in healthy humans.<sup>18–20</sup> Infusion of human apoA-I into wild-type (WT) mice decreases the risk for venous thrombus formation, and HDL-mediated inhibition of platelet activation is primarily due to the binding of oxidized HDL to the scavenger receptor class B member 1 (SR-BI) on vascular endothelium, increasing endothelial nitric oxide synthase (eNOS) activity.<sup>21–24</sup> However, recent platelet aggregation data from *eNOS*<sup>-/-</sup>, *AKT1*<sup>-/-</sup>, *and AKT2*<sup>-/-</sup> mice suggest that HDL directly inhibits platelet activity independent of the eNOS/Akt pathway.<sup>25–29</sup> We hypothesize that apoA-I circulates at elevated concentrations in severely injured patients and directly inhibits platelet function, predisposing patients to systemic hyperfibrinolysis, a lethal form of TIC.<sup>9,12,13</sup>.

#### Materials and Methods

#### Materials.

ApoA-I was purchased from Calbiochem (San Diego, CA) or PromoCell (Pittsburgh, PA). Collagen, arachidonic acid (AA), ristocetin, adenosine triphosphate (ATP) standard, Chrono-Lume® and the Chrono-Log 700 aggregometer were all obtained from CHRONO-LOG Corporation (Havertown, PA). HDL isolated from human plasma was purchased from

Athens Research and Technology (Athens, GA). Recombinant human SR-BI protein and anti–SR-BI antibody were obtained from Novus (Littleton, CO). Rabbit IgG was purchased from Thermo Scientific (Waltham, MA). Murine platelet aggregations were performed on the Biodata Platelet Aggregation Profiler PAP 8 v 2.0 (Horsham, PA). Antibodies for flow cytometry: CD41, PAC-1, and CD62P were obtained from BD Biosciences (San Jose, CA) and an FC-500 flow cytometer was purchased from Beckman-Coulter (Brea, CA). Thrombelastography (TEG) was completed on a TEG 5000 Thrombelastograph (Haemonetics Inc., Niles, IL) and TEG supplies: kaolin, Platelet Mapping® and Functional Fibrinogen reagents were gifted by Haemonetics Corporation (Braintree, MA). ApoA-I ELISA assays were obtained from Abcam (Cambridge, MA).

#### **Blood Samples and Platelet Concentrates.**

Human whole blood (WB) was collected by venipuncture from healthy volunteers after obtaining informed consent under the auspices of the Colorado Multiple Institutional Review Board (COMIRB #09-0816), Aurora, CO. Washed platelets (WP) and platelet-rich plasma (PRP) were prepared as described.<sup>14,30–32</sup> Citrated plasma samples from WB samples of injured patients were collected at the scene or immediately upon arrival to the Emergency Department at Denver Health Medical Center (DHMC) an urban level-1 trauma center from 2010–2017 under waiver of consent as approved, COMIRB #13-3087.<sup>14,33</sup> Basic demographic data and clinical findings, including: injury pattern, shock, and outcome were also gathered.

#### TEG/Platelet Mapping/Citrated Functional Fibrinogen and ApoA-I Levels.

Citrated kaolin-TEGs (CK-TEG) were completed as described, with the addition of apoA-I [50–300 µg/dl] or normal saline (NS) prior to activation.<sup>34,35</sup> The TEG parameters measured included the activated clotting time (ACT) (min), angle (degrees), maximum amplitude (MA, mm), clot strength (G, derived from the MA) and clot lysis at 30 minutes following the MA (LY30, percent).<sup>34,35</sup> The maximum amplitude (MA) is the maximum width of the TEG tracing and corresponds with maximum clot strength. G (dynes/cm<sup>2</sup>) is a measure of shear elastic modulus strength derived according to the formula G=(5,000\*MA)/ (100-MA).<sup>36,37</sup> Citrated Functional Fibrinogen was evaluated with abciximab (a GPIIb/IIIa monoclonal blocking antibody) to eliminate platelet function and examine fibrin-based clot formation.<sup>38,39</sup> This assay has been previously demonstrated to closely correlate with von Clauss and Clauss fibrinogen assays. <sup>38</sup> Platelet mapping studies were completed employing reptilase and factor XIII to activate fibrin with arachidonic acid (AA) and adenosine diphosphate (ADP) to activate platelets  $\pm$  pre-incubation with apoA-I [300 µg/ml] or vehicle control.<sup>32</sup> ApoA-I levels were measured in four consecutive severely injured patients with systemic hyperfibrinolysis because of their clot instability using a commercial enzyme-linked immunosorbent assay (ELISA).

#### Platelet Aggregations.

Platelet aggregations were performed on both washed platelets (WP) and platelet-rich plasma (PRP) via standard light-transmission aggregometry.<sup>30</sup> The samples were incubated with NS or apoA-I [50–300  $\mu$ g/ml] for 5 minutes at 37°C and then activated with collagen [1 $\mu$ g/mL] or ristocetin [1.25 mg/mL]. Platelet samples were also pre-incubated with human

plasma-derived HDL, recombinant human SR-BI protein, or anti–SR-BI antibody or nonimmune isotype control antibody for 5 minutes, followed by incubation with apoA-I [300  $\mu$ g/ml] or vehicle for five minutes at 37°C and stimulated with collagen [1  $\mu$ g/mL]. Platelet aggregation was also performed with WP from wild type (WT) mice and SR-BI knockout mice after pre-treatment with either apoA-I or NS (control) for 5 minutes at 37°C.

#### Flow Cytometry.

Human WP were prepared and diluted to a platelet concentration of  $200-250 \times 10^{3}$ /µL.<sup>30</sup> Samples were then incubated with NS or apoA-I [300 µg/ml] for 5 minutes at 37°C. The platelets were then activated with AA [0.5 mM] or convulxin [10 ng/mL] for 10 minutes at 37°C, incubated with antibodies to CD41, PAC-1, or P-selectin for 5 minutes at 37°C, and fixed with cold 2% paraformaldehyde.<sup>30</sup>

#### Microfluidic Flow Assay.

Whole blood was incubated with NS or apoA-I [300  $\mu$ g/ml] for 5 minutes at room temperature (RT) and perfused through a polydimethylsiloxane (PDMS) microfluidic channel flow device (W 500  $\mu$ m × H 50  $\mu$ m channels) by syringe pump at a wall shear rate of 600 s<sup>-1</sup> over type I fibrillar equine collagen [0.25 mg/mL].<sup>30,31</sup> Platelet adhesion was captured with a CCD camera (Hamamatsu Orca-R2) using relief contrast microscopy (40X, NA 0.6) on an inverted microscope (Olympus IX81) at a frame rate of 1 s<sup>-1</sup>. Platelet aggregates were counted by hand, and grouped by number of platelets per aggregate, using the brightfield image at the end of the run

#### Akt Phosphorylation.

Human WP were pre-incubated with NS or apoA-I [300  $\mu$ g/ml] for 5 minutes at RT and 37°C as a temperature control.<sup>30</sup> Platelets were then activated with convulxin [10 ng/mL], incubated for 1–10 minutes, lysis buffer was added, the proteins separated by polyacrylamide gel electrophoresis, transferred to nitrocellulose, and incubated with an antibody to phosphorylated Akt.<sup>30</sup> Densitometry was completed using ImageJ software.<sup>40</sup>

#### Murine Model of FeCl<sub>3</sub>-Induced Carotid Artery Thrombosis.

All animal procedures were approved by the University of Colorado Institutional Animal Care and Use Committee (IACUC #00501). Briefly, twelve-week-old, male C57BL/6 mice (The Jackson Laboratory, Bar Harbor, ME) were anesthetized, intubated, mechanically ventilated under a dissecting microscope, and maintained at a rectal temperature of  $37\pm1^{\circ}$ C.<sup>30</sup> The mice were given NS or apoA-I [300 µg/ml]<sub>FINAL</sub> and incubated for 10 min, and an ultrasound probe was attached to the exposed carotid artery which was injured with 6% FeCl<sub>3</sub>.<sup>30</sup> Mean blood flow was monitored for 30 minutes past the time of first vessel occlusion, the experiment was terminated, and the mice euthanized.<sup>30,41–43</sup>

#### Murine Model of Pulmonary Embolism.

Twelve-week old C57BL/6 mice were anesthetized, NS or apoA-I [ $300 \mu g/ml$ ]<sub>FINAL</sub> were injected and allowed to circulate for 10 minutes, followed by injection of collagen [0.28mg/kg] and epinephrine [0.029 mg/kg]. <sup>30,41-44</sup> The time to breathing cessation

was measured for 30 minutes, and mice were euthanized following the procedure.<sup>30,41–43</sup> All animal studies were reviewed and approved by Institutional Animal Care and Use Committees.

#### Statistical Analysis.

Data are expressed as the mean±the standard error of the mean (SEM) or the median±the interquartile. All statistical analyses were done using either StatView 5.0 (SAS, Cary, NC USA) or GraphPad Prism version 7.05 (GraphPad Software, La Jolla, CA USA). Comparisons were completed using a paired analysis of variance (ANOVA) followed by a Bonferroni/Dunn test for multiple comparisons (based upon the equality of variance), or, if appropriate, via a paired t-test; p<0.05 denoted statistical significance unless otherwise stated. Platelet aggregation was evaluated by surface area coverage and aggregate size, compared with a paired t-test and chi-square test, respectively. Surface area coverage and platelet aggregate size in the microfluidic platelet aggregation analysis were compared using ImageJ software with a paired t-test and chi-square test used for comparisons, respectively.<sup>40</sup> Because of the distribution of TEG values and the apoA-I plasma concentrations, comparisons were completed using a Mann-Whitney test; p<0.01 denoted statistical significance.

## Results

#### Severe injury caused increased ApoA-I and a decrease in hemostatic potential.

ApoA-I levels were significantly elevated in 4 severely injured (NISS 27–50), male trauma patients in the DHMC Trauma Activation Protocol (TAP) study as compared to 121 healthy male controls (median 248 µg/ml, range 237–268 µg/ml vs. 128 µg/ml, range 125–133 µg/ml, p=.005) (Table 1).<sup>14</sup> All 4 patients had delayed clot formation with a prolonged activating clotting times and systemic hyperfibrinolysis (Table 1).<sup>45–47</sup> Three of these patients had suffered blunt trauma and one a penetrating gunshot wound. Of the blunt trauma patients, one died of traumatic brain injury and the other two developed pneumonia 4 days post-injury. Seventy five percent (3/4) of these patients had decreased MA, a measure of clot stability, and all had normal angles (Table 1).

#### ApoA-I Inhibits Clot Formation and Increases Clot Instability.

Whole blood samples from healthy controls were pre-treated with apoA-I [300  $\mu$ g/ml] or NS and a Kaolin-TEG was performed. An apoA-I concentration of 300  $\mu$ g/mL caused a significant reduction in G (n=20) and a similar decrease in MA (n=20) and increased LY30 (n=20) (Figs.1A–B). Importantly, this apoA-I concentration is similar to concentrations seen in the 4 injured patients' plasma with systemic hyperfibrinolysis: 300  $\mu$ g/ml vs. 237–268  $\mu$ g/ml. The ApoA1 concentrations of the healthy controls was 74±7 (range 34–101)  $\mu$ g/ml, and all WB samples had normal K-TEG values (Fig.1A–B).

#### Fibrin Formation is not affected by ApoA-I.

The addition of apoA-I [300  $\mu$ g/ml] did not affect fibrin formation in the citrated functional fibrinogen (CFF) TEG assay,<sup>38</sup> which measures fibrin formation independent from platelet activities contribution (n=6) (Fig.1C). The addition of tPA in the CK-TEGs induced an

expected significant decrease in G whether ApoA1 was present or not (n=6). Moreover, apoA-I alone did not alter the LY30 (Fig.1D). As expected, tPA added to the CK–TEG assay resulted in a dramatic increase in Ly30 (n=6), while the addition of both tPA + apoA-I did not cause a significant increase in Ly30 versus tPA alone (n=6).

#### ApoA-I Abrogates TxA<sub>2</sub>-Induced Platelet Clot Formation.

TEG platelet mapping, traditionally used to evaluate inhibitory contribution of various anti-platelet agents, was employed to delineate the specific effect of apoA-I on the platelet component of clot formation.<sup>32,39</sup> ApoA-I [300  $\mu$ g/ml] significantly inhibited the TxA<sub>2</sub>-induced clot strength of human WB samples (n=6) (Fig.1E).

#### ApoA-I Inhibits Platelet Aggregation and Dense Granule Release.

ApoA-I [300 µg/ml] significantly decreased collagen-mediated [1µg/mL] maximal aggregation of PRP compared to NS-treated controls (n=5) (Fig.2A). Neither pre-treatment with native HDL nor recombinant human SR-BI protein induced any changes in collagen-induced aggregation compared to NS-treated PRP, HDL, or SR-BI protein (n=5 for both). Addition of apoA-I to the SR-BI protein significantly decreased collagen-induced aggregation compared to SR-BI pretreatment alone (n=5) (Fig.2A). Samples pretreated with an anti-SR-BI antibody alone (n=3) and the SR=BI antibody + apoA-I (n=3) significantly decreased collagen-induced aggregation compared to NS-treated with an anti-SR-BI antibody alone (n=3) and the SR=BI antibody + apoA-I (n=3) significantly decreased collagen-induced aggregation compared to NS-treated controls (n=5). The addition of apoA-I to the anti-SR-BI antibody did not significantly alter the collagen-induced aggregation versus PRP pretreated with anti-SR-BI antibody alone (p>0.1) (Fig.2A).

Similar results were seen with PRP activated by 1.25 mg/mL ristocetin demonstrating a trend towards decreased agglutination in samples pre-treated with apoA-I compared to NS-treated controls (n=5) (Fig.2A). Neither native HDL nor recombinant human SR-BI pretreatment of PRP samples, was associated with a significant difference in ristocetin-induced agglutination when compared to vehicle controls (n=5). As opposed to the decrease noted in collagen-induced aggregation described in the preceding paragraph, addition of apoA-I to the SR-BI protein was not associated with a significant decrease in ristocetin-induced agglutination compared to SR-BI pretreatment alone: apoA-I + SRBI: 84.2 $\pm$ 7.3% vs. SRBI: 89.0 $\pm$ 6.6% (*p*>0.1, n=5). Samples pretreated with anti-SR-BI antibody alone exhibited significantly decreased ristocetin-induced agglutination compared to vehicle-treated controls.(n=5). Addition of apoA-I to the anti-SR-BI antibody did not significantly alter the ristocetin-induced agglutination compared to samples pretreated with anti-SR-BI antibody alone (*p*>0.1, n=3).

In WP, apoA-I significantly inhibited collagen-elicited aggregation  $[1\mu g/mL]$  as compared to vehicle controls apoA-I (n=5) (Fig.2A). Neither native HDL nor recombinant human SR-BI protein pretreatment affected collagen-induced WP aggregation when compared to controls (n=5) (Fig.2A). However, the addition of apoA-I to the SR-BI protein significant decreased in collagen-mediated aggregation compared to SR-BI alone (n=5) (Fig.2A). Pretreatment of WP with SR-BI antibodies or the SR-BI antibodies + apoA-I significantly decreased collagen-induced aggregation compared to vehicle-treated controls (n=3) (Fig.2A). Similar

to the results obtained with PRP, pretreatment with both apoA-I and SR-BI antibodies did not further in decrease collagen-induced WP aggregation compared to pretreatment with SR-BI antibodies alone (Fig.2A).

In PRP apoA-I pretreatment also inhibited collagen-induced dense granule mobilization, ATP release, as measured by mean ( $\pm$  SEM) ATP release, was significantly decreased in apoA-I-treated samples compared to vehicle-treated controls (n=5) (Fig.2B). Neither native HDL nor recombinant human SR-BI protein pretreatment of PRP affected collageninduced ATP release vs. controls (n=5) (Fig.2B). Addition of apoA-I to the SR-BI protein significantly decreased collagen-induced ATP release versus SR-BI pretreatment alone (n=5) (Fig.2B). Pretreatment with SR-BI antibodies exhibited significantly decreased collageninduced ATP release compared to controls (n=5). Pretreatment with both apoA-I and SR-BI antibodies did not significantly alter the collagen-induced ATP release compared to pretreatment with SR-BI antibodies alone (n=3) (Fig.2B).

ApoA-I also inhibited ristocetin-mediated ATP release in PRP as compared to vehicletreated controls (n=5) (Fig.2B). Neither pretreatment with native HDL nor recombinant human SR-BI protein pretreatment of PRP samples, was associated with a difference in ristocetin-induced ATP release when compared to controls (n=5) (Fig.2B). Preincubation with both apoA-I and the SR-BI protein resulted in a significant decrease in ristocetininduced ATP release compared to SR-BI pretreatment alone (n=5) (Fig.2B).

#### ApoA-I Inhibits Granule Release but Does Not Affect Integrin $\alpha_{IIb}\beta_3$ Activation.

ApoA-I significantly inhibited both convulxin [10 ng/ml]- and arachidonic acid (AA, 0.5 mM)-elicited  $\alpha$ -granule release as measured by the mean fluorescence intensity (MFI) of P-selectin surface expression by flow cytometry versus vehicle-treated controls (n=4) (Fig.3A). However, apoA-I pre-incubation did not affect convulxin- or AA-induced PAC-1 binding to the activated  $\alpha_{IIb}\beta_3$  integrin in comparison to controls (*p*>0.1, n=4 for both) (Fig.3B).

# ApoA-I Limits Platelet Spreading on Collagen Fibers, Decreases the Size of Platelet Aggregates Bound to Collagen under Flow, and inhibits Akt phosphorylation.

Figure 4A demonstrates a qualitative difference in the human platelets pretreated with apoA-I (resting, round) compared to those pretreated with vehicle control (stimulated, flattened and spread). Microfluidic assays were employed to study apoA-I-mediated functional changes under physiologic flow (Fig.4B–C). Preincubation of human WB with apoA-I [300 µg/ml] did not affect initial platelet adhesion to collagen at a wall shear rate of 650 s<sup>-1</sup> but did confer a significant decrease in the formation of intermediate and large platelet aggregates in favor of small aggregates compared to vehicle-treated WB (Fig.4B–C). Small aggregates (3–10 platelets/aggregate) formed by vehicle-treated platelets comprised 31.8±3.1% of total aggregates, while small aggregates of apoA-I-treated platelets represented a significantly higher proportion (n=4), of the total (Fig.4B). ApoA-I significantly inhibited the formation of Intermediate (51–100 platelets/aggregate) and large (>100 platelets/aggregate) platelet aggregates versus vehicle controls (n=4). Lastly, compared to NS-treated controls, apoA-I [300 µg/ml] decreased the convulxin-induced phosphorylation of Akt in WP with quantification by densitometry (Fig.5).

#### ApoA-I inhibits thrombus formation in vivo.

In a 6% ferric chloride (FeCl<sub>3</sub>) carotid artery injury, apoA-I [300 µg/ml] preincubation significantly delayed the time to vessel occlusion in mice compared to NS-treated control animals (n=5, Fig.6A).<sup>30,41–43</sup> Following initial vessel occlusion, reperfusion was not noted in the controls, but was occasionally seen in apoA-I treated animals (Fig 6A). A second murine model of venous thrombosis was employed in which the venous injection of collagen [0.28 mg/kg] and epinephrine [0.029 mg/kg] causes venous thrombosis leading to pulmonary embolus (PE) with a 30 minute experimental observation time, during which no reperfusion or resumption of breathing was noted after the time of initial breathing cessation was noted.<sup>44</sup> Incubation of mice with apoA-I [300 µg/ml] completely inhibited PE formation and all apoA-I treated mice survived as compared to saline-injected controls that all died (n=5, Fig.6B).<sup>30,41–43</sup>

#### The SR-B1 receptor is Essential for the Inhibitory Effect of ApoA-I in vivo.

Preincubation with apoA-I [300  $\mu$ g/ml] of WP from WT mice significantly inhibited collagen-elicited aggregation as compared to NS-treated controls (n=4) (Fig.6C). When the identical experiments were performed in SR-BI receptor knockout mice, apoA-I did not affect collagen-induced platelet aggregation (n=3) (Fig.6C).

## Discussion

Severe injury (NISS=27-50) caused increased circulating concentrations of apoA-I with delayed clot formation, increased ACT values, and systemic hyperfibrinolysis: increased Ly30 values with insufficient clot strength. Using the blood from healthy volunteers, who had normal K-TEGs, addition of exogenous apoA-I caused significant increases in LY30 and decreases in G, a measure of clot strength derived from the MA, without affecting fibrin formation via CFF-TEGs. Apolipoprotein A-I significantly inhibited TxA<sub>2</sub>-induced clot strength in the TEG platelet mapping assay. Furthermore, apoA-I directly diminished platelet activity by significantly decreasing 1) platelet aggregation to collagen and 2) ATP and P-selectin release from both dense and  $\alpha$ -granules, respectively, to both ristocetin and collagen without affecting  $\alpha_{IIb}\beta_3$ -integrin activity. Under physiologic flow rates apoA-I significantly decreased the formation of intermediate and large platelet aggregates without affecting the initial collagen binding. Apolipoprotein AI activity in vitro was mimicked by antibodies to the SR-BI receptor (which acted as activating ligands, rather than inhibitors), and apoA-I also decreased convulxin-induced Akt phosphorylation. In vivo apoA-I delayed the time to carotid artery occlusion after ferric chloride-induced vessel injury and inhibited the cessation of breathing in WT mice following venous injection of collagen/epinephrine, but did not inhibit aggregation in platelets from the SR-BI receptor KO mice, suggesting apoA-I inhibition requires the SR-BI receptor.

Clots formed in the presence of apoA-I [300  $\mu$ g/ml] were less stable and more susceptible to lysis, which are consistent with the inverse correlation of apoA-I with lysis time, measured by spectrophotometry.<sup>48</sup> Importantly, apoA-I did not affect fibrin clot formation and did not significantly enhance plasmin activity induced by the addition of exogenous tPA. The dose-dependent increase in Ly30 is likely due to apoA-I-mediated reduction in clot strength

resulting in an increased susceptibility to plasmin cleavage due to its direct inhibition of platelet activity. However, maximal agglutination induced by 1.25 mg/mL ristocetin was not different in PRP treated with apoA-I compared to vehicle-treated controls.

Formation of a stable thrombus *in vivo* depends on inside-out signaling to activate the  $\alpha_{IIb}\beta_3$ -integrin and outside-in signaling, occurring after ligand-occupied integrins form clusters.<sup>49–51</sup> Receptor-specific platelet activation signaling pathways converge into common signaling events that induce the inside-out signaling process, leading to activation of the ligand-binding function of the integrin, platelet shape change, and granule secretion.<sup>50</sup> Upon ligand binding,  $\alpha_{IIb}\beta_3$  integrins cluster and trigger outside-in signaling that stabilizes the aggregate and supports responses, resulting in platelet spreading, additional granule secretion, stabilization of platelet adhesion and aggregation, and clot retraction.<sup>50–52</sup> ApoA-I interrupts outside-in signaling and blocks platelet granule release as seen in the decreased ATP release from dense granules and the decreased P-selectin expression from  $\alpha$ -granules. Interestingly, PAC-I binding, a marker of integrin  $\alpha_{IIb}\beta_3$  activation, was not inhibited, suggesting apoA-I does not completely block inside-out signaling. This pattern is consistent with previous data in which apoA-I decreased the storage lesion and extracellular vesicle release in stored platelet concentrates.<sup>53</sup>

Intracellular signaling is vital for platelet activation, and the phosphorylation of Akt is a key signaling step for multiple agonists, including collagen and AA.<sup>54–56</sup> Activated platelets release agonists, like TxA<sub>2</sub>, ADP, and serotonin, further amplifying their responsiveness, and recruiting circulating platelets.<sup>54–56</sup> These agonists activate platelets via G-protein-coupled receptors (GPCRs) that also promote outside-in signaling.<sup>50</sup> These GPCRs involve multiple feedback loops and crosstalk between different pathways, including the phosphorylation of Akt.<sup>56</sup> Apolipoprotein A-I demonstrated partial inhibition of Akt phosphorylation in platelets, which may explain the inhibitory effect on granule release without concomitant blockage of  $\alpha_{IIb}\beta_3$  activation.

The apoA-I induced inhibition of platelet activation was consistent irrespective of the different agonists employed and was documented in both isolated platelets and WB and resulted in the formation of an unstable clot (a dose-dependent increased LY30). This increased LY30 is not due to enhanced plasmin activity, but rather to diminished clot strength because apoA-I did not enhance tPA-induced fibrinolysis in either WB or fibrin clots. Diminished clot strength is also the likely result of apoA-I inhibition of large and intermediate platelet aggregates under physiologic flow. These data are consistent with the inhibitory effect of HDL and apoA-I on the self-association of von Willebrand Factor (VWF) and decreased platelet adhesion to VWF fibers, limiting aggregate size.<sup>57</sup> Thus, platelets treated with exogenous apoA-I are still able to adhere to exposed collagen through GPVI or  $\alpha_2\beta_1$  receptors. ApoA-I also inhibits platelet function by decreasing autocrine/ paracrine stimulation through the reduction in granule release, decreased P-selectin surface expression. ApoA-I also had inhibitory effects on thrombus formation in vivo, using two commonly accepted murine models (ferric chloride-induced carotid artery thrombosis, and cessation of breathing following venous injection of collagen/epinephrine), which are consistent with a role of apoA-I as an inhibitor of platelet aggregation and possibly a blocker of VWF self-association.57

Oxidized HDL binds to the SR-BI receptor on platelets and inhibits platelet activation, which was thought to be mediated by a different pathway not involving the eNOS/Akt pathway, based on platelet aggregation data from *eNOS<sup>-/-</sup>*, *AKTI<sup>-/-</sup>*, *and AKT2<sup>-/-</sup>* mice.<sup>27,28</sup> Conversely, exogenous apoA-I partially inhibited Akt phosphorylation and may account for the differences in HDL and apoA-I inhibitory activity. The lack of apoA-I-mediated aggregation antagonism in platelets from SR-BI KO mice revealed the necessity of this receptor for apoA-I inhibition.

ApoA-I, within the HDL molecule, inhibits platelets; however, prior studies attributed this inhibition to the entire HDL molecule and its lipid content.<sup>24,57,58</sup> ApoA-I Milano, a rare naturally occurring mutant apoA-I in which arginine 173 is substituted by cysteine, causes decreased arterial thrombus formation in rats without a concomitant increase in HDL or total cholesterol levels.<sup>59</sup> Congruent with the presented data, the direct anti-platelet effect of apoA-I Milano may represent the inhibitory effects of the native protein. Additionally, apoA-I immune complexes have been associated with adverse cardiovascular events in humans.<sup>60</sup> Null mutations of apoA-I in human populations are also associated with early coronary heart disease (CHD); whereas; overexpression of apoA-I in transgenic mice protects against atherogenesis.<sup>61,62</sup> Thus, the apoA-I subunit of HDL may be a key driver in preventing the formation of a stable thrombus by partial antagonism of Akt inhibiting platelet granule release leading to the platelet dysfunction associated with TIC.<sup>32</sup> Although we cannot rule out that there is an independent mechanism for the apoA-I observed effect, the fact that the deletion of the SR-BI receptor (which has been shown to be a receptor for HDL/apoA-I), abrogates the inhibitory effect of apoA-I strongly suggests an SR-BI dependent mechanism.

One limitation of our study is the use of normal saline (the solvent for apoA-I in our study) as a negative control, rather than a different non-apoA-I protein. The number of different static and dynamic platelet activation assays in which the difference between apoA-I and saline vehicle is clearly demonstrated, however, which reduces the likelihood that nonspecific platelet binding would produce the consistent effect we observed across these various experiments. This decision is similar to that made in other related papers, such as the work by Barret, et al, in which the authors demonstrate apoA-I promotes atherosclerosis regression in diabetic mice by suppressing mhelopoiesis and plaque inflammation, using vehicle controls.<sup>63</sup> Another limitation is the relatively low number of patients in whom apoA-I levels were measured, with only four consecutive severely injured patients having levels measured because of their clot instability. Most TAP patients at our institution are not severely injured and samples on the severely injured with systemic hyperfibrinolysis are not easily found, however, which led to the decision to use these samples. A final limitation is the fact that necropsies were not performed on the mice used in the in vivo experiments to demonstrate that the mice who ceased breathing during the experiment definitively had larger thrombus burden following the venous injection of collagen and epinephrine compared to those mice that survived the initial experimental period. This model has been previously described as decreasing systemic platelet count and leading to pulmonary embolism,<sup>43,64</sup> and has been used by our group before, with the initial studies including such histologic confirmation following necropsy.<sup>30,44</sup> While the injection of collagen/epinephrine induces systemic clotting, when taken together with the other data presented herein, it is more likely that the apoA-I treated mice demonstrated increased embolization (leading

to the increased rate of breathing cessation) due to decreased clot strength, rather than generating a lower initial thrombus burden.

We believe the full length HDL likely does not, itself, inhibit platelet activation due to steric hindrance of the interaction between apoA-I and platelets by lipid moieties. ApoA-I may lack this steric hindrance, thereby executing its inhibitory effect directly on to platelets. Indeed, many proteins circulate in injured patients in forms that are not normally present in the plasma, such as intracellular proteins, enzymes, and constituents of circulating complexes like HDL that have been radically changed by tissue injury and/or hemorrhagic shock. Further work must be completed to define the possible role of ApoA1-induced platelet inhibition resulting in the hyperfibrinolysis form of TIC and establish methods to reverse or modulate such platelet inhibition.

The direct interaction between apoA-I and platelets may have additional clinical implications beyond TIC in cardiac pathophysiology.<sup>65</sup> Increased HDL levels correspond to decreased thromboembolic events, while low HDL levels are associated with increased CHD risk.<sup>58,66–70</sup> HDL levels are also a predictor of platelet-dependent thrombus formation, independent of platelet aggregation and circulating prothrombotic factors.<sup>71</sup> Data to delineate the specific role of apoA-I in the reduction of thrombosis are required.

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WLJ, CRR, and BRB performed all experiments. WLJ and CRR wrote the initial drafts of the manuscript and BRB performed the bulk of writing as well as planning and helping to design the experiments. EEM provided data analyses and clinical correlation with injured patients. KCH performed experiments and identified apoA-I. JC and MK performed experiments and analyzed the TEG data. KBN assisted with experiments and reviewed/ edited the manuscript. CCS synthesized and provided data from trauma patient samples, contributed to overall conceptualization of the project, and reviewed/edited the manuscript. AB contributed to overall conceptualization of the project and reviewed/edited the manuscript. JDP provided equipment, supplies, and reagents, as well as contributing to overall conceptualization of the project, experiment planning, and reviewing/editing the manuscript. All authors have reviewed, and approved, the manuscript for submission.

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#### Figure 1: Clot Strength and Stability.

Evaluation of thrombus strength and stability in 3.2% citrate-treated human whole blood (**A-D**) or heparinized (17 U/mL) human whole blood (**E**), demonstrating median +/- interquartile range. **A.** Clot strength, G (Dynes/cm<sup>2</sup>), as determined by Kaolin-TEG after pre-treatment with vehicle control (black bar) or increasing concentrations of apoA-I (white, gray; and charcoal gray bars). Pretreatment with 300 mg/ml of ApoA-1 significantly decreased clot strength vs. NS-treated controls (\*=p<0.01). **B.** Clot dissolution after 30 minutes, Ly30 (%), as determined by Kaolin-TEG following pre-treatment with vehicle

control (black) or increasing concentrations of apoA-I ((white, gray; and charcoal gray bars). Preincubation with apoA-I at 300 mg/ml significantly increased Ly30 versus controls (\*=p<0.01). C. Clot strength (Dynes/cm<sup>2</sup>) was evaluated by the TEG citrated functional fibrinogen (CFF) assay in samples pre-treated with vehicle control (black), 300 µg/ml apoA-I (white), 100 ng/mL tPA (gray), or combination of tPA and apoA-I (charcoal gray). Preincubation with tPA inhibited formation of the functional fibrinogen clot vs. controls and apoA-I (\*=p<0.01) and pre-treatment with the combination of apoA-I and tPA caused significant decrease in the functional fibrinogen clots versus both NS-treated controls and pre-incubation with apoA-I (=p<0.01 vs. NS; =p<.01 vs. apoA-I). **D.** Clot dissolution (%) was evaluated by the TEG CFF assay in samples pre-treated with vehicle control (black, no detectable value), 300 µg/ml apoA-I (clear, no detectable value), 100 ng/mL tPA (gray), or combination of tPA and apoA-I (charcoal gray) Both tPA and tPA+ apoA-I treatment induced significant amounts of CFF clot lysis as compared to NS-apoA-I treated samples (\*=p < 0.01). E. Clot strength (Dynes/cm<sup>2</sup>) was evaluated by thrombin-independent platelet mapping studies using factor XIIIa, reptilase, and arachidonic acid as agonists in vehicle controls (black) compared to samples treated with apoA-I (white). ApoA-I inhibited the clot strength in the platelet mapping assay (\*=P<0.01). Statistical significance was determined by Mann Whitney; \*=p<0.01.





A. The maximum light transmission aggregometry (percent) of PRP or WP stimulated with collagen (1 µg/mL) or ristocetin (1.25 mg/mL) after pre-treatment with vehicle control (NS, n=5), apoA-I (n=5), HDL (n=5), SR-BI±apoA-I (n=5), and anti-SR-BI ( $\alpha$ -SR-BI) antibody±apoA-I (n=3) expressed as the mean±SEM. ApoA-I, SR-BI + apoA-I,  $\alpha$ -SR-BI and  $\alpha$ -SR-BI+ apoA-I pretreatments inhibited PRP platelet aggregation to collagen as compared to NS-treated controls (\*=p<0.05). Pretreatment of platelets from PRP with  $\alpha$ -SR-BI inhibited aggregation to ristocetin vs. controls (\*=p<0.05). This inhibition by

a-SR-BI inhibition was partially ameliorated by the addition of apoA-I and was significantly increased (‡=p<0.05 vs. α-SR-BI). Preincubation with ApoA-1, SR-BI+apoA-I, α-SR-BI, and  $\alpha$ -SR-BI+apoA-I of washed platelets (right) stimulated with collagen showed a significant inhibition vs. controls (\*=p<0.05). SB-RI+apoA-I pre-incubation inhibited collagen-induced platelet aggregation compared to SR-BI preincubation alone (†=p<0.05). B. ATP release is shown as the mean±SEM (nM) from PRP samples stimulated with collagen (1µg/mL, left) or ristocetin (1.25 mg/mL, right) after pre-treatment with control (saline, n=5, black bars), apoA-I [300 µg/ml] (n=5, light gray bars), HDL (n=5, darker gray bars), SR-BI +/- apoA-I (n=5, white and darkest gray bars). In response to collagen apoA-I inhibited ATP release as compared to controls (\*=p<0.05), SR-BI +apoA-I together inhibited platelet ATP release vs. SAR-BI treated platelets alone ( $\dagger$ =p<0.05), and anti-SR-BI antibody-treated platelets released significantly less ATP than control platelets. Ristocetin activation demonstrated that only platelet treated with SR-BI+ ApoA-1 released less ATP than SR-BI-treated platelets alone (†=p<0.05) and antibodies to SR-BI + ApoA-1 released less ATP than controls (\*=p<0.05). Statistical significance was determined by Kruskal-Wallis one-way ANOVA with Dunn's multiple corrections. C. and D. Representative light-transmission aggregation tracings demonstrate reaction after addition of collagen or ristocetin, respectively, of apoA-I treated human samples compared to vehicle treated controls. PRP, platelet rich plasma; WP, washed platelets.



Figure 3. ApoA-I inhibits human the surface expression P-selectin surface expression but not that of  $\alpha_{iib}\beta_{iii}$ -integrin in washed human platelets.

A. P-selectin surface expression quantified by Mean fluorescence intensity (MFI)±SEM of anti-P-selectin antibody (arbitrary units, AU) on platelets stimulated with convulxin or AA after pre-treatment with NS (black bar) or apoA-I (n=4, charcoal gray bar). ApoA-I pretreatment inhibited the convulxin- or AA-induced increase in P-selectin surface expression (\*=p<0.05). **B.**  $\alpha_{iib}\beta_{iii}$ -integrin (PAC-1) surface expression (MFI) expressed as the mean±SEM on platelets stimulated with convulxin or AA after pre-treatment with normal saline (black) or apoA-I (charcoal gray) apoA-I preincubation did not alter PAC-1 surface expression to either convulxin or AA.



Figure 4. ApoA-I inhibits platelet spreading on fibrillary collagen, and limits the formation of large platelet aggregates on collagen under physiologic flow conditions. Panel A: representative scanning electron microscopy of NS- (top row of micrographs) or ano A. I. pretreated (bottom row of micrographs) platelets forming aggregates on fibrillary

or apoA-I-pretreated (bottom row of micrographs) platelets forming aggregates on fibrillar collagen at different magnifications: 1,000X, 2,000X, and 5,000X. ApoA-I visually reduced the size of the human platelet aggregates on collagen fibrils versus the NS-treated controls. **Panel B:** two representative bright-field microscopic images of platelet aggregates: NS (vehicle)-treated controls on the left and apoA-I [300  $\mu$ g/ml]-treated platelets on the right (100X). The ApoA-1 pretreatment diminished the size of the aggregates vs. the NS-treated

controls. **Panel C**: Percentage of the total aggregates represented by the different size groups of various platelets per aggregate. Controls (black bars) and apoA-I-pre-treated samples (light gray bars) are divided into groups of various aggregate sizes along the x-axis, and corresponding proportions of the surface area covered by aggregates of each size (percent) shown on the y-axis. ApoA-I caused an increased in platelet aggregates of 3–10 and decreased the large aggregates 51–100 and >100 platelets on collagen versus NS-pretreated controls (\*=p<0.05).



Figure 5. ApoA-I inhibits Akt phosphorylation.

A representative western blot comparing phosphorylated Akt from NS-pre-treated human platelet controls (–) to platelets pre-treated with ApoA-1 (+) for 5 min at 37°C and activated with convulxin [10 ng/mL] over a time course of 1–10 min at 37°C. Apolipoprotein A-I pretreatment decreased the amounts of Akt phosphorylation over the entire time course as seen both visually (upper panel) and by densitometry (lower panel) with statistical significance between total and phosphorylated Akt present at 5 min (\*=p<0.05, n=3).

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Figure 6. ApoA-I inhibits thrombosis in mice and SR\_BI is necessary for apoA-I inhibition. A. Time (minutes) to vessel occlusion in a 6% ferric chloride-induced carotid artery injury model with vehicle control-treated WT mice (black bar, n=5) compared to those treated with apoA-I (gray bar). ApoA-I preincubation significantly prolonged the time to vessel occlusion versus NS-pretreated control mice (n=5; \*=p<0.05). B. Survival time (minutes) following pulmonary embolism induced by intravenous injection of collagen and epinephrine in mice treated with vehicle control (black, n=5) or apoA-I (red, n=5). All the NS-treated controls died via a pulmonary embolus within 5 minutes following injury. ApoA-

I pre-treated mice survived and did not develop pulmonary emboli during the observation period. Statistical significance determined by Mann-Whitney test; \*=p<0.05. C. Maximal aggregation (%) was compared between experimental groups with no difference seen in SR-BI KO mice treated with apoA-I (n=3) or vehicle (normal saline, n=3), but significant decrease in aggregation seen in WT mice treated with apoA-I (n=4) compared to controls treated with vehicle only (n=7, \*=p<0.05).

#### Table 1.

Traumatically-injured patients with increased ApoA-I plasma concentrations.

Ethnicity& Age (years)	NISS	ACT (sec)	Angle (°)	MA (mm)	Ly30 (%)	Mechanism	Hosp/ICU/ Vent Days	Outcome
W 28.3	38	238	49.4	47.5	41.6	Blunt Auto-Ped	1/1/1	Died of TBI
WH 48.9	27	136	70.2	59.4	7.8	Blunt MVC occupant	23/14/11	Pneumonia Discharged
W 28.3	50	160	72.7	56	11.6	Blunt Auto-Ped	18/8/7	α-hemolytic strep Pneumonia Discharged
NA 24.5	27	136	71.1	54.5	3.6	Penetrating GSW	7/4/3	Discharged
Controls 21–54 (n=121)		117 (113– 121)	71.4 (68.0– 74.2)	61.5 (58.2– 64.8)	2.6 (1.6– 3.5)			

W = White, H = Hispanic, NA = Native American, NISS = New Injury Severity Score, ACT = activated clotting time, MA = maximal amplitude, Ly30 = lysis time 30 after achieving MA, auto = automobile, Ped = pedestrian, MVC = motor vehicle crash, GSW = gunshot wound, Hosp = Hospital days, ICU = Intensive Care Unit days, Vent = ventilator days, TBI = traumatic brain injury. Controls are healthy, male volunteers on no medications that would inhibit platelet function.