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Neuroprotective Effects of σ_2 R/TMEM97 Receptor Modulators in Neuronal Model of Huntington's Disease

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Abstract

Huntington's disease (HD) is a genetic neurodegenerative disease caused by an expanded CAG repeat in the *Huntingtin* (*HTT*) gene that codes for an expanded polyglutamine (polyQ) repeat in exon-1 of the human mutant huntingtin (mHTT) protein. The presence of this polyQ repeat results in neuronal degeneration for which there is no cure nor treatment that modifies disease progression. In previous studies we have shown that small molecules that bind selectively to σ_2 R/TMEM97 can have significant neuroprotective effects in models of Alzheimer's disease, traumatic brain injury and several other neurodegenerative diseases. In the present work we extend these investigations and show that certain σ_2 R/TMEM97-selective ligands decrease mHTT induced neuronal toxicity. We first synthesized a set of compounds designed to bind to σ_2 R/TMEM97 and determined their binding profiles (K_i values) for σ_2 R/TMEM97 and other proteins in the central nervous system. Modulators with high affinity and selectivity for σ_2 R/TMEM97 were then tested in our HD cell model. Primary cortical neurons were cultured in vitro for seven days and then co-transfected with either a normal HTT construct (Htt N-586–22Q/GFP) or the mHTT construct Htt-N586–82Q/GFP. Transfected neurons were treated with either σ_2 R/TMEM97 or σ_1 R modulators for 48 h. After treatment, neurons were fixed and stained with Hoechst, and condensed

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Author Contributions

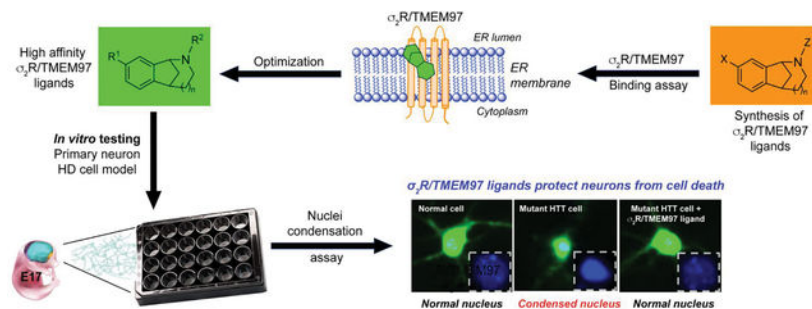
J.J.S., Y.L., K.T.L., T.R.H. and S.F.M. designed and synthesized the σ_2 R/TMEM97 modulators. N.A., J.J., A.T., and R.W. performed experiments with transfected cortical neurons and data analysis for the cell death assay. J.J., S.F.M., J.J.S., and N.A. constructed Figures. J.J., S.F.M. and C.A.R. wrote the manuscript, and S.F.M. and C.A.R. supervised experiments.

Competing Interests Statement. S.F.M., J.J.S., K.T.L., and T.R.H. report being co-inventors on patents and pending patent applications related to work described in this article, and S.F.M. and J.J.S. report being co-founders of NuvoNuro, Inc.

Supporting Information. Tables of σ_2 R/TMEM97 and σ_1 R binding affinities, binding profiles at non- σ R sites, supplementary figures, copies of ¹H and ¹³C NMR spectra of new compounds.

nuclei were quantified to assess cell death in the transfected neurons. Significantly, σ_2 R/TMEM97 modulators reduce the neuronal toxicity induced by mHTT, and their neuroprotective effects are not blocked by NE-100, a selective σ_1 R antagonist known to block neuroprotection by σ_1 R ligands. These results indicate for the first time that σ_2 R/TMEM97 modulators can protect neurons from mHTT-induced neuronal toxicity, suggesting that targeting σ_2 R/TMEM97 may lead to a novel therapeutic approach to treat patients with HD.

Graphical Abstract



Keywords

Huntington's disease; σ_2 R/TMEM97; neuroprotection; neuronal survival; nucleus condensation

INTRODUCTION

Huntington's disease (HD) is an autosomal-dominant neurodegenerative disease for which there is neither a cure nor an approved treatment that slows or reverses its progression. HD patients typically develop symptoms at mid-adulthood, and the associated disabilities worsen over time ending in death within 10–20 years following the onset of symptoms.¹ This devastating disease is caused by an abnormal expansion of CAG repeats in exon-1 of the human Huntingtin gene (*HTT*) coding for a mutant huntingtin protein (mHTT) with an elongated polyglutamine (polyQ) sequence.² This mHTT preferentially affects the striatum and deep cortical pyramidal neurons of HD patients, and the disease is manifested as progressive movement disorders including chorea, cognitive decline, and emotional alterations.³ Although some symptomatic treatments are available, there is no disease modifying treatment for HD, so there is an urgent need for neuroprotective drugs or other therapies.^{4, 5}

Small molecules have a rich history in drug discovery because of their ability to selectively target and inhibit or activate proteins involved in pathogenic pathways. In this context, compounds that bind to sigma receptors (σ R) are gaining prominence.^{6, 7} The sigma 1 receptor (σ_1 R), which shows no homology with any other mammalian protein, is located in the endoplasmic reticulum (ER) where it is enriched in the mitochondria-associated membrane subregion and is involved in calcium modulation.^{8, 9} Small molecules that bind to the σ_1 R have been shown to exhibit promising attributes in neurodegenerative and neurological disorders,^{10–13} and several σ_1 R ligands have neuroprotective effects in animal models of HD,^{14–16} including pridopidine that is in human clinical trials.^{17–20}

The sigma 2 receptor (σ_2R), which is biochemically distinct from σ_1R , was initially associated with cancer diagnosis and therapy,^{21–24} but it has more recently been implicated in neurological disorders.^{25, 26} Compounds that bind to σ_2R have been shown to affect intracellular Ca^{2+} levels and signaling.^{27, 28} The molecular identity of σ_2R was an enigma from its discovery until several years ago, when it was cloned and identified as the ER-resident transmembrane protein 97 (TMEM97),²⁹ herein referred to as σ_2R /TMEM97. Although the biological function of σ_2R /TMEM97 is not well characterized, it is known to play a role in cholesterol trafficking and homeostasis,^{30, 31} and 20(*S*)-hydroxycholesterol has recently been identified as an endogenous ligand.³² σ_2R /TMEM97 appears to be a partner of the lysosomal cholesterol transporter NPC1,³³ a mutation in which results in Niemann-Pick disease type C, and several other proteins including progesterone membrane component 1 (PGRMC1)^{31, 34–36} and the low-density lipoprotein receptor (LDLR).^{31, 36} Small molecules that modulate σ_2R /TMEM97-mediated pathways show beneficial effects in different disease contexts, including cancer,^{37, 38} neuropathic pain,³⁹ traumatic brain injury (TBI),⁴⁰ alcohol use disorder,^{35, 41} and Alzheimer's disease (AD).^{34, 36, 42, 43} Moreover, a putative σ_2R /TMEM97 antagonist is in Phase II clinical trials for treating AD.⁴⁴

The findings that modulating σ_2R /TMEM97 exhibits neuroprotection in several models of neurodegenerative disease prompted us to query whether compounds that bind to σ_2R /TMEM97 might provide beneficial effects in an HD model. Toward testing this hypothesis, we evaluated a small panel of compounds with differing affinities and selectivities for σ_2R /TMEM97 and σ_1R in a primary neuron model of HD. These compounds include racemic **AMA-1127**, **DKR-1051**, **DKR-1677**, **UKH-1114**, **JJS-1678**, **BJM-1679**, **EES-1686**, **BEA-1687**, **MPC-1154**, and **HLJ-1560** (Figure 1), some of which have been previously tested in other disease models.^{34, 39, 40} In this study, we used an HD cell model to assess the effects of these compounds upon mHTT-induced neuronal toxicity. Briefly, primary neurons were co-transfected with plasmid expression of a 586 N-terminal Htt polypeptide with either normal Q (Htt-N586–22Q) or expanded Q (Htt-N586–82Q) repeats and green fluorescent protein (GFP). Compounds that are selective for σ_2R /TMEM97 showed strong protective effects on mHTT-induced neuronal cell death as did several different compounds having σ_1R selectivity. To exclude the possible involvement of σ_1R modulation as a possible mechanism of action for the σ_2R /TMEM97 ligands, the σ_1R -selective antagonist, NE-100, was used. Notably, the protective effect of σ_2R /TMEM97-selective compounds is not blocked by NE-100, clearly demonstrating that neuroprotection by these small molecules is mediated by their interaction with σ_2R /TMEM97, not σ_1R . These studies are the first to demonstrate that compounds that bind selectively to σ_2R /TMEM97 are neuroprotective in an HD model, and they support further mechanistic studies of the function of σ_2R /TMEM97 in mHTT protection as a possible new approach to treat HD patients.

MATERIALS AND METHODS

Chemical Synthesis and Characterization.

Acetonitrile was dried by filtration through two columns of activated molecular sieves, and toluene was dried by filtration through one column of activated, neutral alumina followed by one column of Q5 reactant. Methylene chloride and diisopropylethylamine (Hünigs base)

were distilled from calcium hydride immediately prior to use. Dioxane was distilled from sodium metal and benzophenone prior to use. All solvents were determined to have less than 50 ppm H₂O by Karl Fischer coulometric moisture analysis. All reagents were reagent grade and used without purification unless otherwise noted. All reactions involving air or moisture sensitive reagents or intermediates were performed under an inert atmosphere of nitrogen or argon in glassware that was flame or oven dried. Solutions were degassed using three freeze-thaw cycles under vacuum. Reaction temperatures refer to the temperature of the cooling/heating bath. Volatile solvents were removed under reduced pressure using a Büchi rotary evaporator at 25–30 °C. Thin layer chromatography was performed using run on pre-coated plates of silica gel with a 0.25 mm thickness containing 60F-254 indicator (Merck). Chromatography was performed using forced flow (flash chromatography) and the indicated solvent system on 230–400 mesh silica gel (E. Merck reagent silica gel 60). Radial Preparative Liquid Chromatography (radial plc) was performed on a Chromatotron[®] using glass plates coated with Merck, TLC grade 7749 silica gel with gypsum binder and fluorescent indicator. All compounds submitted for *in vivo* testing were >95% purity as determined by LC via AUC at 214- and 254 nm. Proton nuclear magnetic resonance (¹H NMR) and carbon nuclear magnetic resonance (¹³C NMR) spectra were obtained at the indicated field as solutions in CDCl₃ unless otherwise indicated. Chemical shifts are referenced to the deuterated solvent and are reported in parts per million (ppm, δ) downfield from tetramethylsilane (TMS, δ = 0.00 ppm). Coupling constants (*J*) are reported in Hz and the splitting abbreviations used are: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet; comp, overlapping multiplets of magnetically nonequivalent protons; br, broad; app, apparent. Racemic intermediates **1**,⁴⁵ **2**,⁴⁶ **5**,⁴⁷ **9**,³⁹ and **10**,⁴⁸ as well as (±)-**MPC-1154**⁴⁹ were prepared as previously described. New compounds were prepared according to the reactions summarized in Schemes 1–5.

(±)-4-Fluorobenzyl-8-(4-methylpiperazin-1-yl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepine-2-carboxylate (AMA-1127).—4-Fluorobenzyl

chloroformate was prepared by slowly adding a solution of phosgene (111 μL of 15 wt % in toluene, 0.155 mmol) to a stirred solution of 4-fluorobenzyl alcohol (21 mg, 0.163 mmol) and diisopropylethylamine (30 mg, 41 μL, 0.233 mmol) in toluene (1 mL) at 0 °C. A solution of amine **1** (19 mg, 0.075 mmol) in toluene (0.5 mL) was then added with stirring, the cooling bath was removed, and the solution was stirred for 1 h. The mixture was diluted with aqueous NaOH (1 M, 10 mL), and the aqueous mixture was extracted with EtOAc (3×10 mL). The combined organic extracts were washed with brine (1×10 mL), dried (Na₂SO₄), and concentrated under reduced pressure. The residue was purified via flash column chromatography (SiO₂), eluting with MeOH/CH₂Cl₂ (2% v/v), to afford 12 mg (39%) of **AMA-1127** as a pale yellow oil. ¹H NMR (500 MHz) (rotamers) δ 7.46–7.28 (comp, 2 H), 7.11 (d, *J* = 8.1 Hz, 1 H), 7.10–7.00 (comp, 2 H), 6.97 (brs, 0.5 H), 6.81 (dd, *J* = 8.1, 2.0 Hz, 1 H), 6.77 (brs, 0.5 H), 5.45 (dd, *J* = 2.9 Hz, 0.5 H), 5.31 (dd, *J* = 2.9 Hz, 0.5 H), 5.23–5.02 (comp, 2 H), 3.85–3.70 (m, 1 H), 3.25–3.10 (comp, 5 H), 2.60 (t, *J* = 4.9 Hz, 4 H), 2.52–2.38 (m, 1 H), 2.37 (s, 3 H), 2.25–2.12 (m, 1 H), 2.03–1.89 (m, 1 H), 1.87–1.80 (m, 1 H), 1.62–1.50 (m, 1 H). ¹³C NMR (125 MHz) (rotamers) δ 155.1, 154.8, 151.2, 142.3, 141.9, 137.7, 133.2, 132.9, 130.1, 130.1, 130.0, 129.9, 123.3,

123.2, 116.3, 115.9, 115.6, 115.4, 112.6, 112.2, 66.4, 58.2, 57.9, 55.3, 49.8, 46.2, 43.9, 39.1, 38.8, 30.6; HRMS (ESI) m/z calcd for $C_{24}H_{28}N_3O_2F$ (M+H)⁺, 410.2238; found 410.2242.

(±)-tert-Butyl 4-(8-(4-(trifluoromethyl)phenyl)-2,3,4,5-tetrahydro-1H-1,5-methanobenzo[c]azepine-2-carbonyl)piperidine-1-carboxylate (3).—EDCI·HCl (52 mg, 0.27 mmol) and hydroxybenzotriazole (42 mg, 0.27 mmol) were added to a solution of 1-(*tert*-butoxycarbonyl)piperidine-4-carboxylic acid (69 mg, 0.30 mmol) in CH_2Cl_2 (3.0 mL). A solution of the amine **2** (75 mg, 0.24 mmol) and Hünig's base (74 mg, 100 μ L, 0.54 mmol) in CH_2Cl_2 (0.95 mL) was then added, and the solution was stirred for 12 h. The mixture was concentrated under reduced pressure, and the crude mixture product was purified via radial preparative layer chromatography, eluting with hexanes \rightarrow hexanes/EtOAc (9/1 \rightarrow 1/3 \rightarrow 1/1) to provide 102 mg (80%) of the carbamate **3** as a colorless oil. ¹H NMR (400 MHz) δ 7.51 (d, J = 8.0 Hz, 1 H), 7.49–7.42 (comp, 3 H), 7.42–7.37 (m, 1 H), 7.37–7.31 (m, 1 H), 7.22–7.16 (m, 1 H), 6.01 (d, J = 4.0 Hz, 0.6 H), 5.20–5.17 (m, 0.4 H), 4.32 (dd, J = 14.0, 7.0 Hz, 0.4 H), 4.28–4.02 (comp, 2 H), 3.60 (dd, J = 14.0, 7.0 Hz, 0.6 H), 3.43–3.36 (m, 1 H), 2.94–2.80 (m, 1 H), 2.72 (td, J = 12.0, 5.3 Hz, 2 H), 2.56–2.46 (m, 1 H), 2.38–2.19 (m, 1 H), 2.07–1.97 (m, 1 H), 1.86–1.77 (comp, 2 H), 1.75–1.63 (comp, 2 H), 1.63–1.52 (comp, 2 H), 1.51–1.40 (comp, 9 H); ¹³C NMR (100 MHz) δ 172.4, 154.7, 149.7, 146.5, 144.4, 142.0, 139.2, 129.3 (q, J_{C-F} = 25.5 Hz), 127.5, 127.4, 127.2, 125.7 (q, J_{C-F} = 3.0 Hz), 123.4, 123.3, 123.1, 122.8, 122.3, 79.5, 54.6, 44.0, 43.2, 39.8, 38.9, 31.1, 29.7, 28.6, 28.4. HRMS (ESI) m/z calcd for $C_{29}H_{33}F_3N_2NaO_3$ (M+Na)⁺, 537.2335; found 537.2339.

(±)-Piperidin-4-yl(8-(4-(trifluoromethyl)phenyl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepin-2-yl)methanone (4).—A solution of 4 N HCl in 1,4-dioxane (3.5 mL) was added to a solution of carbamate **3** (88 mg, 0.17 mmol) in 3.0 mL 1,4-dioxane at room temperature and stirring was continued for 24 h. The solution was concentrated under reduced pressure at room temperature, and the residue was dissolved in CH_2Cl_2 (5 mL). The mixture was made basic by the addition of aqueous NaOH (1 M, 3.0 mL), the organic layer was separated, and the aqueous mixture was extracted with CH_2Cl_2 (3 \times 5 mL). The combined organic extracts were dried (Na_2SO_4), filtered, and concentrated under reduced pressure. The residue was dissolved in Et_2O , filtered, and concentrated under reduced pressure to give 65 mg (92%) of amine **4** that was of sufficient purity to be used in subsequent reactions. ¹H NMR (400 MHz, CD_3OD) δ 7.78–7.72 (comp, 2 H), 7.71–7.66 (comp, 2 H), 7.61–7.59 (m, 1 H), 7.59–7.57 (m, 0.5 H), 7.55–7.53 (m, 0.5 H), 7.40–7.36 (m, 1 H), 5.87 (d, J = 4.0 Hz, 0.6 H), 5.42 (d, J = 4.0 Hz, 0.4 H), 4.19 (dd, J = 12.0, 6.0 Hz, 0.4 H), 3.78–3.59 (comp, 1.6 H), 3.38–3.33 (m, 1 H), 3.29 (pent, J = 2.0 Hz, 0.6 H), 3.16–3.04 (comp, 1.4 H), 3.04–2.94 (m, 1 H), 2.79–2.49 (comp, 2.6 H), 2.35–2.28 (m, 0.4 H), 2.26–2.12 (m, 1 H), 2.09–1.95 (m, 1 H), 1.92 (d, J = 12.0 Hz, 0.4 H), 1.85–1.79 (m, 1 H), 1.81 (d, J = 12.0 Hz, 0.6 H), 1.75–1.62 (comp, 3 H), 1.62–1.46 (m, 1 H); ¹³C NMR (100 MHz) δ 171.1, 170.9, 146.6, 146.4, 144.3, 141.7, 140.7, 139.4, 139.3, 128.3, 127.8, 127.4, 127.3, 125.7 (q, J_{C-F} = 3.0 Hz), 123.4, 123.2, 122.8, 122.4, 59.1, 44.4, 43.8, 40.2, 39.7, 39.6, 36.7, 35.1, 31.1, 30.4. HRMS (ESI) m/z calcd for $C_{24}H_{26}F_3N_2O$ (M+H)⁺, 415.1992; found 415.2004.

(±)-(1-Propylpiperidin-4-yl)(8-(4-(trifluoromethyl)phenyl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepin-2-yl)methanone (JJS-1678).—

1-Bromopropane (22 mg, 0.18 mmol) was added to a mixture of **4** (25 mg, 0.060 mmol) and K_2CO_3 (34 mg, 0.24 mmol) in CH_3CN (800 μ L). The mixture was heated at 45 °C for 20 h, cooled to room temperature, and concentrated under reduced pressure. The crude residue was purified via flash column chromatography (SiO_2), eluting with EtOAc \rightarrow MeOH/EtOAc (1/19) to give 11 mg (41%) of **JJS-1678** as an off white foam. 1H NMR (400 MHz) δ 7.71–7.62 (comp, 4 H), 7.57–7.54 (m, 0.6 H), 7.51 (dd, J = 8.0, 2.0 Hz, 1 H), 7.43–7.40 (m, 0.4 H), 7.35 (t, J = 4.0 Hz, 1 H), 6.02 (J = 4.0 Hz, 0.6 H), 5.18 (J = 4.0 Hz, 0.4 H), 4.32 (dd, J = 12.0, 4.0 Hz, 0.4 H), 3.59 (dd, J = 12.0, 4.0 Hz, 0.6 H), 3.42–3.35 (m, 1 H), 3.13–2.95 (comp, 2 H), 2.71 (td, J = 26.0, 4.0 Hz, 1 H), 2.48–2.11 (comp, 5 H), 2.08–1.77 (comp, 6 H), 1.73–1.64 (m, 1 H), 1.62–1.48 (comp, 2 H), 0.95–0.89 (m, 1 H), 0.89 (t, J = 12.0 Hz, 3 H); ^{13}C NMR (100 MHz) δ 172.2, 171.9, 146.6, 146.4, 144.4, 141.9, 140.9, 139.3, 139.2, 128.2, 127.7, 127.4, 127.3, 125.7 (q, J_{C-F} = 3.0 Hz), 123.4, 123.2, 122.7, 122.3, 59.5, 59.4, 44.4, 43.8, 40.1, 39.8, 39.7, 36.4, 31.1, 30.4, 29.67, 18.4, 18.3, 11.6, 11.5. HRMS (ESI) m/z calcd for $C_{27}H_{32}F_3N_2O$ (M+H) $^+$, 457.2461; found 457.2465.

(±)-(1-(2-Hydroxyethyl)piperidin-4-yl)(8-(4-(trifluoromethyl)phenyl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepin-2-yl)methanone (EES-1686).—

Bromoethanol (15 mg, 0.12 mmol) was added to a mixture of **4** (25 mg, 0.060 mmol) and K_2CO_3 (34 mg, 0.24 mmol) in CH_3CN (800 μ L), and then the mixture was heated at 50 °C for 20 h. The mixture was cooled to room temperature and concentrated under reduced pressure, and the crude residue was purified via flash column chromatography (SiO_2), eluting with hexanes \rightarrow hexanes/EtOAc (1/1) \rightarrow EtOAc \rightarrow CH_2Cl_2 \rightarrow MeOH/ CH_2Cl_2 (1:9) to give 22 mg (81%) of a **EES-1686** as a light yellow oil. 1H NMR (400 MHz) δ 7.71–7.62 (comp, 4 H), 7.55 (br s, 0.6 H), 7.52 (dd, J = 8.0, 2.0 Hz, 1 H), 7.42 (br s, 0.4 H), 7.35 (t, J = 8.0 Hz, 1 H), 6.02 (d, J = 4.0 Hz, 0.6 H), 5.18 (d, J = 4.0 Hz, 0.4 H), 4.31 (dd, J = 12.0, 8.0 Hz, 0.4 H), 3.71–3.61 (comp, 2 H), 3.58 (dd, J = 12.0, 8.0 Hz, 0.6 H), 3.43–3.36 (m, 1 H), 3.16–2.98 (comp, 2 H), 2.87–2.78 (m, 1 H), 2.73 (td, J = 26.0, 4.0 Hz, 1 H), 2.66 (t, J = 4.0 Hz, 0.7 H), 2.61 (t, J = 4.0 Hz, 1.3 H), 2.53–2.19 (comp, 4 H), 2.08–1.80 (comp, 5 H), 1.78–1.64 (comp, 2 H), 0.92–0.78 (m, 1 H); ^{13}C NMR (100 MHz) δ 171.8, 171.5, 146.6, 146.3, 144.3, 141.7, 140.7, 139.4, 139.3, 128.3, 127.8, 127.4, 127.3, 125.7 (q, J_{C-F} = 3.0 Hz), 123.5, 123.3, 122.7, 122.3, 60.7, 59.1, 56.4, 51.6, 44.4, 43.8, 40.3, 39.7, 39.6, 36.5, 31.1, 29.7. HRMS (ESI) m/z calcd for $C_{26}H_{30}F_3N_2O_2$ (M+H) $^+$, 459.2263; found 459.2254.

(±)-Benzyl-8-(3-(trifluoromethoxy)phenyl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepine-2-carboxylate (6).—

A solution of carbamate **5** (148 mg, 0.45 mmol), 3-trifluoromethoxyphenylboronic acid (186 mg, 0.90 mmol), Cs_2CO_3 (294 mg, 0.90 mmol), $Pd[(t\text{-butyl})_3P]_2$ (12.0 mg, 0.02 mmol) in degassed 1,4-dioxane (2.0 mL) was stirred for 24 h at 100 °C. The reaction was cooled to room temperature, and EtOAc (3 mL) was added. The mixture was filtered through a pad of Celite[®] and the filter cake rinsed with EtOAc (10 mL), and the combined filtrate and washings were concentrated under reduced pressure to provide the crude product, which was purified via flash column chromatography (SiO_2) eluting with hexanes \rightarrow EtOAc/hexanes (1:4) to give 137 mg (67%) of **6** as a colorless oil. 1H NMR (400 MHz) δ 7.60–7.23 (comp, 11

H), 7.23–7.16 (m, 1 H), 5.55 (br d, $J = 48.0$ Hz, 1 H), 5.34–5.06 (comp, 2 H), 3.96–3.77 (m, 1 H), 3.37–3.31 (m, 0.9 H), 3.30–3.24 (m, 0.1 H), 2.60–2.38 (m, 1 H), 2.35–2.15 (m, 1 H), 2.13–1.97 (m, 1 H), 1.94 (d, $J = 12.0$ Hz, 0.9 H), 1.88 (d, $J = 12.0$ Hz, 0.1 H), 1.74–1.52 (m, 1 H); ^{13}C NMR (100 MHz) δ 154.9, 149.6, 146.2, 143.2, 141.9, 139.0, 136.9, 130.0, 128.5, 128.0, 127.9, 127.4, 125.4, 123.1, 122.5, 121.5, 119.6, 119.4, 67.0, 57.4, 43.6, 39.5, 38.6, 30.21. HRMS (ESI) m/z calcd for $\text{C}_{26}\text{H}_{22}\text{F}_3\text{NNaO}_3$ ($\text{M}+\text{Na}$) $^+$, 476.1444; found 476.1449.

(±)-8-(3-(Trifluoromethoxy)phenyl)-2,3,4,5-tetrahydro-1H-1,5-methanobenzo[c]azepine (7).—A solution of **6** carbamate (137 mg,

0.30 mmol) in ethanol (5 mL) was purged with argon ($\times 2$), whereupon 10% Pd/C (100 mg) was added, and the flask was purged and re-filled with H_2 gas ($\times 3$). The mixture was stirred for 2 h under an atmosphere of H_2 gas (balloon). The catalyst was removed by filtration through a pad of Celite[®], and the filter cake was rinsed with EtOH (3 mL). The combined filtrate and washings were concentrated under reduced pressure, and the crude product was purified via flash column chromatography (SiO_2), eluting with hexanes \rightarrow EtOAc \rightarrow MeOH/EtOAc (1:19) to give 75 mg (78%) of **7** as a light yellow oil. ^1H NMR (400 MHz, CD_3OD) δ 7.63–7.61 (m, 1 H), 7.56–7.55 (m, 1 H), 7.53–7.49 (comp, 3 H), 7.31 (d, $J = 8.0$ Hz, 1 H), 7.25–7.21 (m, 1 H), 4.22 (d, $J = 4.0$ Hz, 1 H), 3.26–3.22 (m, 1 H), 2.66 (dd, $J = 12.0, 4.0$ Hz, 1 H), 2.29 (td, d, $J = 12.0, 4.0$ Hz, 1 H), 2.23–2.16 (m, 1 H), 2.04–1.95 (m, 1 H), 1.98 (d, $J = 8.0$ Hz, 1 H), 1.63–1.53 (m, 1 H); ^{13}C NMR (100 MHz) δ 149.6, 146.2, 143.4, 142.9, 138.8, 130.0, 127.0, 125.4, 122.8, 122.0, 119.6, 119.3, 58.67, 44.8, 39.8, 38.9, 30.6; HRMS (ESI) m/z calcd for $\text{C}_{18}\text{H}_{17}\text{F}_3\text{NO}$ ($\text{M}+\text{H}$) $^+$, 320.1257; found 320.1266.

(±)-tert-Butyl 4-(8-(3-(trifluoromethoxy)phenyl)-2,3,4,5-tetrahydro-1H-1,5-methanobenzo[c]azepine-2-carbonyl)piperidine-1-carboxylate (8).—EDCI·HCl

(23 mg, 0.15 mmol) and hydroxybenzotriazole (23 mg, 0.15 mmol) were added to a solution of 1-(*tert*-butoxycarbonyl)piperidine-4-carboxylic acid (37 mg, 0.16 mmol) in CH_2Cl_2 (3.0 mL) at room temperature. A solution of the secondary amine **7** (43 mg, 0.13 mmol) and Hünig's base (38 mg, 52 μL , 0.30 mmol) in CH_2Cl_2 (800 μL) was added, and stirring was continued for 12 h. The mixture was concentrated under reduced pressure, and the crude product was purified via radial plc, eluting with hexanes \rightarrow hexanes/EtOAc (9/1 \rightarrow 1/3 \rightarrow 1/1) to provide 54 mg (76%) of carbamate **8** as a colorless oil. ^1H NMR (400 MHz) δ 7.56–7.30 (comp, 6 H), 7.19 (d, $J = 6.4$ Hz, 1 H), 6.02 (br s, 0.5 H), 4.26–4.02 (comp, 2 H), 3.62 (br s, 0.5 H), 3.39 (s, 1 H), 2.95–2.17 (comp, 5 H), 2.07–1.96 (m, 1 H), 1.92–1.52 (comp, 7 H), 1.46 (s, 9 H); ^{13}C NMR (100 MHz) δ 172.4, 154.7, 149.7 (q, $J_{\text{C-F}} = 1.5$ Hz), 146.5, 143.1, 139.1, 130.1, 127.5, 127.4, 125.4, 123.6, 123.1, 122.6, 121.5, 119.6, 119.5, 119.4, 79.5, 54.7, 44.0, 43.3, 39.8, 38.9, 31.1, 29.7, 28.7, 28.4. HRMS (ESI) m/z calcd for $\text{C}_{29}\text{H}_{33}\text{F}_3\text{N}_2\text{NaO}_4$ ($\text{M}+\text{Na}$) $^+$, 553.2285; found 553.2288.

(±)-Piperidin-4-yl(8-(3-(trifluoromethoxy)phenyl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepin-2-yl)methanone (BJM-1679).—Prepared from carbamate

8 (54 mg, 0.10 mmol) according to the procedure described above for the preparation of **4** to give 30 mg (70%) of **BJM-1679** as a white foam. ^1H NMR (400 MHz) δ 7.53–7.36 (comp, 5 H), 7.31 (t, $J = 8.0$ Hz, 1 H), 7.21–7.14 (m, 1 H), 5.99 (d, $J = 4.0$ Hz, 0.6 H), 5.18 (d, $J = 4.0$ Hz, 0.4 H), 4.30 (dd, $J = 12.0, 8.0$ Hz,

0.4 H), 3.59 (dd, $J = 12.0, 8.0$ Hz, 0.6 H), 3.40–3.32 (m, 1 H), 3.27–3.07 (comp, 2 H), 2.92–2.46 (comp, 3 H), 2.38 (br s, 1.4 H), 2.35–2.16 (comp, 1.6 H), 2.05–1.94 (m, 1 H), 1.89 (d, $J = 8.0$ Hz, 0.4 H), 1.86–1.76 (m, 1 H), 1.81 (d, $J = 8.0$ Hz, 0.6 H), 1.77–1.53 (comp, 3 H), 0.91–0.77 (m, 1 H); ^{13}C NMR (100 MHz) δ 172.7, 172.4, 149.7, 146.6, 146.4, 143.2, 143.1, 142.1, 141.0, 139.1, 139.0, 130.1, 130.0, 128.0, 127.4, 125.5, 125.3, 123.3, 123.0, 122.7, 122.2, 121.5, 119.7, 119.6, 119.5, 119.4, 58.7, 44.4, 43.8, 39.85, 39.82, 39.7, 38.6, 36.4, 31.2, 30.5. HRMS (ESI) m/z calcd for $\text{C}_{24}\text{H}_{26}\text{F}_3\text{N}_2\text{O}_2$ (M+H) $^+$, 431.1941; found 431.1949.

(±)-2-Cyclohexyl-9-(4-(trifluoromethyl)phenyl)-1,2,3,4,5,6-hexahydro-1,6-methanobenzo[c]azocine (BEA-1687).—Cyclohexanone (22 mg, 0.22 mmol) was added to a solution of **9** (23 mg, 0.072 mmol) in 1,2-dichloroethane at room temperature, and the solution was stirred for 30 min. Sodium triacetoxyborohydride (47 mg, 0.22 mmol) and acetic acid (100 μL) were added sequentially, and the mixture was stirred 12 h at room temperature. Aqueous saturated NaHCO_3 solution (2 mL) was then added, and the mixture was stirred for 10 min. The layers were separated, and the aqueous layer was extracted with CH_2Cl_2 (3×15 mL). The combined organic layers were washed with brine (3 mL), dried (MgSO_4), filtered and concentrated under reduced pressure. The crude residue was purified via radial preparative layer chromatography, eluting with hexanes hexanes/EtOAc (100/0 \rightarrow 95/5) to provide 20 mg (70%) of **BEA-1687** as a light yellow oil. ^1H NMR (400 MHz, CD_3OD) δ 7.80 (d, $J = 12.0$ Hz, 2 H), 7.71 (d, $J = 12.0$ Hz, 2 H), 7.56–7.54 (comp, 1.5 H), 7.53 (d, $J = 4.0$ Hz, 0.5 H), 7.27 (d, $J = 8.0$ Hz, 1 H), 4.43–4.39 (m, 1 H), 3.39–3.34 (m, 1 H), 3.13 (t, $J = 12.0$ Hz, 1 H), 2.65 (m, 1 H), 2.60–2.52 (m, 1 H), 2.20–2.10 (comp, 3 H), 2.00 (br d, $J = 12.0$ Hz, 1 H), 1.93–1.85 (comp, 2 H), 1.82 (pent, $J = 4.0$ Hz, 2 H), 1.71–1.64 (m, 1 H), 1.64–1.52 (m, 1 H), 1.47–1.12 (comp, 5 H), 1.07–0.94 (m, 1 H). ^{13}C NMR (100 MHz) δ 146.6, 143.3, 139.5, 129.7, 129.4, 129.1, 127.9, 125.7 (q, $J_{\text{C-F}} = 3.0$ Hz), 125.4, 123.8, 123.2, 66.3, 62.3, 50.5, 42.7, 34.9, 33.8, 29.7, 25.4, 25.3, 25.2. HRMS (ESI) m/z calcd for $\text{C}_{25}\text{H}_{29}\text{F}_3\text{N}$ (M+H) $^+$, 400.2247; found 400.2253.

(±)-Benzyl-7-(4-ethylpiperazin-1-yl)-1,3,4,5-tetrahydro-2H-1,5-methanobenzo[c]azepine-2-carboxylate (HLJ-1560).—A mixture of K_2CO_3 (15 mg, 0.106 mmol), **10** (20 mg, 0.053 mmol), and ethyl bromide (7 mg, 4.7 μL , 0.064 mmol) in acetone (1 mL) was stirred at room temperature for 24 h. The mixture was filtered, and the filtrate was concentrated under reduced pressure. The residue was purified via flash column chromatography (SiO_2), eluting with MeOH/ CH_2Cl_2 (2% v/v) to afford to afford 8 mg (37%) of **HLJ-1560** as a clear oil. ^1H NMR (400 MHz) (rotamers) δ 7.48–7.26 (comp, 5 H), 7.21 (d, $J = 7.8$ Hz, 0.5 H), 7.10 (d, $J = 7.5$ Hz, 0.5 H), 6.84 (d, $J = 2.2$ Hz, 1 H), 6.78–6.71 (m, 1 H), 5.44 (brs, 0.5 H), 5.33 (brs, 0.5 H, 5.23–5.05 (comp, 2 H), 3.87–3.73 (m, 1 H), 3.27–3.17 (comp, 5 H), 2.62 (t, $J = 4.9$ Hz, 4 H), 2.49 (q, $J = 7.1$ Hz, 2 H), 2.51–2.38 (m, 1 H), 2.24–2.12 (m, 1 H), 2.02–1.80 (comp, 2 H), 1.64–1.49 (m, 1 H), 1.13 (t, $J = 7.1$ Hz, 3 H). ^{13}C NMR (125 MHz) (rotamers) δ 155.0, 154.9, 152.1, 147.8, 137.3, 137.1, 132.4, 132.2, 128.6, 128.0, 127.9, 124.5, 124.3, 114.6, 111.0, 67.0, 57.3, 57.1, 53.0, 52.5, 49.6, 44.1, 40.4, 38.7, 30.5, 12.1; IR (neat) 2937, 1695, 1418, 1237, 1096 cm^{-1} ; HRMS (ESI) m/z calcd for $\text{C}_{25}\text{H}_{31}\text{N}_3\text{O}_2$ (M+H) $^+$, 406.2489; found 406.2503.

Preparation of solutions of σ_2 R/TMEM97 modulators.

Stock solutions of σ_2 R/TMEM97 modulators were prepared by dissolving the compound in DMSO to a concentration of 10 mM. For the *in vitro* assays, the stock solution was diluted with culture medium (1:1000) to a working concentration of 10 μ M of σ_2 R/TMEM97 modulator. Serial dilutions were then performed using culture medium to prepare other concentrations of the modulator. The final DMSO concentration is less than 0.1%. The vehicle group was performed using 0.1% DMSO in culture medium.

Receptor binding assays.

Receptor binding assays for compounds determined by LC-MS to be >95% pure were performed by the Psychoactive Drug Screening Program (PDSP) at Chapel Hill, North Carolina.⁵⁰ Briefly, binding affinities, K_i , for σ_2 R/TMEM97 (rat PC12 cells) were determined through competition binding assays using the radioligand [³H]-ditolylguanidine in the presence of (+)-pentazocine to block σ_1 R binding sites, whereas binding affinities, K_i , for σ_1 R (guinea pig brain) were determined through competition binding assays with [³H]-(+)-pentazocine. Binding affinities, K_i , for σ_2 R/TMEM97 (human clone transiently expressed in HEK293 cells) were determined through competition binding assays using the radioligand [³H]-ditolylguanidine in the presence of (+)-pentazocine to block σ_1 R binding sites, and binding affinities, K_i , for σ_1 R (human clone transiently expressed in HEK293 cells) were determined through competition binding assays with [³H]-(+)-pentazocine. K_i values are calculated from best-fit IC₅₀ determinations performed in triplicate. The K_i values for other proteins in the central nervous system (CNS) of neuroprotective σ_2 R/TMEM97 modulators were also determined by the PDSP, and these binding profiles may be found in the Supporting Information. Detailed experimental protocols are available on the NIMH PDSP website at <https://pdspdb.unc.edu/pdspWeb>.

Primary cortical neuron preparation.

Primary neurons were isolated from E17 embryos of CD1 mice in accord with published procedures.^{51, 52} Animals used in this study were cared for following the National Institutes of Health guidelines for the use of experimental animals. All experimental protocols involving animals were approved by Johns Hopkins Institutional Animal Care and Use Committee. Briefly, E17 embryonic mouse brain were removed, and the neocortex was dissected under stereomicroscope by removing midbrain and hippocampus and striatum. Cortex tissue was digested using trypsin for 15 min and then digested with DNase for 2 minutes. Cortical tissue was dissociated by pipetting, and single cell suspension was achieved filtering digested tissue through cell strainer. Cortical neurons were plated at 10⁶ cells/mm² in 24-well plates coated with poly -D-Lysine and laminin. Neurons were maintained at 37°C / 5% CO₂ in Neurobasal medium containing 2% B27, 2 mM Glutamax and 1 % Pen/strep. All cell culture supplies were obtained from Corning, and all media were from ThermoFisher Scientific.

Co-transfection of primary neurons.

Neurons were co-transfected using Lipofectamine 2000 (ThermoFisher Scientific) at day *in vitro* (DIV) 6 according to our previously published protocol.^{51, 52} Cells were co-transfected

with GFP and a plasmid expressing 586 N-terminal amino acids of human huntingtin with either 22 or 82 polyglutamines in exon 1. Neurons were treated with or without test compounds at transfection. At 48 h, neurons were fixed using 4% PFA. Nuclei were stained with Hoechst.

Nuclear condensation assay.

Cell toxicity experiments in primary cortical neurons were conducted according to the established protocol.^{51, 52} After fixing with PFA, nuclei were stained using Hoechst (0.2 $\mu\text{g}/\text{mL}$ in PBS for 5 min). Automated picture acquisition was performed using a Zeiss Axiovert 200 inverted microscope with a 20x objective, and mosaic images were obtained. Automatic quantification of the nuclear intensity of transfected cells was performed using Volocity. Cells were considered dead when their nuclear intensity was higher than the average intensity by two standard deviations. Each condition was performed in quadruplicate within each experiment, and each experiment was repeated in at least six independent neuronal preparations unless specifically indicated. Data were represented as mean \pm SEM.

Statistical analysis.

Statistical analysis was conducted using GraphPad Prism software version 8 (GraphPad, San Diego, CA, USA). Two-way ANOVA was used to analyze data. Results were considered significant if the *p* value was <0.05 . Error bars indicate SEM in all figures.

RESULTS

Receptor binding profiles

The binding affinities (K_i) of all synthetic compounds for $\sigma_2\text{R}/\text{TMEM97}$ and $\sigma_1\text{R}$ were determined at the Psychoactive Drug Screening Program. Prior to the identification of $\sigma_2\text{R}$ as TMEM97, K_i values were measured using $\sigma_2\text{R}$ sourced from rat PC12 cells and $\sigma_1\text{R}$ sourced from guinea pig brain, but subsequently $\sigma_2\text{R}/\text{TMEM97}$ and $\sigma_1\text{R}$ binding isotherms were determined using human protein obtained by transfection in HEK293T cells. Examination of the K_i values for **AMA-1127**, **DKR-1051**, **DKR-1677** and **UKH-1114**, which were obtained using rat $\sigma_2\text{R}/\text{TMEM97}$ and guinea pig $\sigma_1\text{R}$ proteins, show that each of these compounds has high affinity and good selectivity for $\sigma_2\text{R}/\text{TMEM97}$ versus $\sigma_1\text{R}$ (Figure 1A). Similarly, the K_i values for **JJS-1678**, **BJM-1679**, **EES-1686** and **BEA-1687**, which were obtained using human $\sigma_2\text{R}/\text{TMEM97}$ and $\sigma_1\text{R}$ proteins, also display high affinity and good selectivity for $\sigma_2\text{R}/\text{TMEM97}$ vs $\sigma_1\text{R}$ (Figure 1B). The structures of new $\sigma_2\text{R}/\text{TMEM97}$ -selective compounds comprise the pharmacophoric elements of a basic amino group and a hydrophobic group that is characteristic of structural classes and similar compounds we have previously reported.^{45, 46, 48} Although the two molecular scaffolds differ by the presence of an extra methylene group in the methanobenzazocines **DKR-1051** and **UKH-1114**, two distinct chemotypes are also represented. Namely, **AMA-1127** and **DKR-1677** have a basic piperazine group on the aromatic ring of the B-norbenzomorphan core, whereas all of the other compounds have an aryl substituent at this position on the parent molecular framework. We have also identified compounds that are selective for $\sigma_1\text{R}$ (guinea pig) relative to $\sigma_2\text{R}/\text{TMEM97}$ (rat), and we used two of these, **MPC-1154** and **HLJ-1560** (Figure 1C), as controls. **MPC-1154** represents a completely different

class of compounds selective for σ_1 R,⁴⁹ whereas **HLJ-1560** differs from the σ_2 R/TMEM97-selective ligands **AMA-1127** and **DKR-1677** by the orientation of the piperazine ring on the B-norbenzomorphan core.^{45, 46, 48}

Compounds binding selectively to σ_2 R/TMEM97 protect cortical primary neurons from mHTT-induced toxicity.

To evaluate the extent to which selective σ_2 R/TMEM97 modulators exhibit neuroprotective effects in neurodegenerative processes associated with HD, we used a HD neuronal model as previously described.⁵¹ Briefly, primary cortical neurons were isolated from embryonic day 17 mouse brains and cultured in neurobasal medium for seven days. The neurons were then co-transfected with plasmids expressing HTT and GFP. Plasmids expressing the 586 N-terminal amino acids of the human huntingtin gene with either 22 polyglutamine (Htt-N586–22Q) or 82 polyglutamine (Htt-N586–82Q) repeats within exon 1 were used. Parallel experiments were performed in which neurons were simultaneously treated with σ_2 R/TMEM97 or σ_1 R modulators, and neurons treated with vehicle were used as controls. Forty-eight hours after transfection, neurons were fixed, and the effects of the various sigma receptor modulators on neuronal cell death were evaluated. Representative images of GFP+ neurons transfected with 22Q, 82Q and 82Q with σ_2 R/TMEM97 modulators show that mHTT (82Q) transfected neurons had condensed nuclei, whereas the mHTT (82Q) transfected neurons that were treated with σ_2 R/TMEM97 ligands **AMA-1127**, **DKR-1051**, **UKH-1114**, **EES-1686** and **BEA-1687** had relatively normal nuclei (Figure 2A and B). Similarly, mHTT (82Q) transfected neurons treated with the selective σ_1 R ligand **HLJ-1560** also had relatively normal nuclei (Figure 2B).

The effects of selective σ_2 R/TMEM97 modulators on mHTT-induced neuronal toxicity were then assessed using a nuclear condensation assay (Figure 2C–H).⁵¹ Neurons were treated with σ_2 R/TMEM97 modulators at concentrations varying from 0.01–10 μ M, and those compounds having protective effects are **AMA-1127** (Figure 2C), **DKR-1051** (Figure 2D), **UKH-1114** (Figure 2E), **BJM-1679** (Figure 2F), **EES-1686** (Figure 2G) and **BEA-1687** (Figure 2H). These compounds provide significant neuroprotection at concentrations that range from a low of 10 nM for **EES-1686** (Figure 2G) to a high of 5 μ M for **BJM-1679** (Figure 2F). Importantly, the observed neuroprotective effects were dose dependent with higher concentrations of the σ_2 R/TMEM97 modulator having greater protective effects (Figure 2C–H). Because these compounds have no effect on neurons transfected with Htt-N586–22Q, none appear to exhibit any intrinsic toxicity. Of the σ_2 R/TMEM97 modulators tested in these experiments, only **DKR-1677** (Figure S1A) and **JJS-1678** (Figure S1B) had no notable protective effect on neurons transfected with Htt-N586–82Q.

We also examined the effects of several selective σ_1 R modulators on neuron survival using the same assays, and both **MPC-1154** (Figure 3A) and **HLJ-1560** (Figure 3B) protected neurons from mHTT-induced cell toxicity at levels of 1 μ M. The neuroprotective effects of these compounds are also dose dependent (Figure 3A, B).

NE-100 did not block the neuroprotective effect of σ_2 R/TMEM97 ligands on mHTT-induced toxicity.

The binding profiles of **AMA-1127**, **DKR-1051**, **UKH-1114**, **BJM-1679**, **EES-1686**, and **BEA-1687** show that they are all selective for σ_2 R/TMEM97 relative to σ_1 R and other CNS proteins (see Supporting Information), suggesting that their protective effect arises from modulating a pathway involving σ_2 R/TMEM97. However, the function of σ_2 R/TMEM97 is not well understood, and because there are no ligands that are confirmed σ_2 R/TMEM97 antagonists, we employed an established σ_1 R antagonist, NE-100, to block any σ_1 R pathway that might be operative.^{53, 54} NE-100 had no effect on the protective attributes of the σ_2 R/TMEM97-selective modulators **AMA-1127**, **DKR-1051**, **UKH-1114**, **EES-1686** and **BEA-1687**, whereas NE-100 treatment abolished the neuroprotective effects of the selective σ_1 R modulators **HLJ-1560** (Figure 4) and **MCP-1154** (Figure S2). These results support the hypothesis that compounds that selectively bind to σ_2 R/TMEM97 mitigate mHTT-induced neuronal toxicity by a pathway that is distinct from interacting with σ_1 R.

DISCUSSION

Research using cell and animal models has resulted in significant progress toward understanding the etiology and pathology of HD, but treatments that slow disease progression have been elusive. mHTT is specifically toxic to striatal medium spiny neurons causing neuronal death in the striatum.^{1, 55, 56} The mechanism of neuronal death includes mHTT-related transcriptional dysregulation, neurotrophic factor deficit, abnormal mitochondrial function, energy and cholesterol metabolic abnormalities, and impaired protein degradation.⁵⁷ Although numerous attempts to discover drugs that reduce or reverse mHTT-induced toxicity have been unsuccessful, genetic modification of mHTT expression has emerged as a promising strategy, albeit one limited by the need for CNS delivery of large molecules and the accompanying toxicity or the toxicity of small molecule splicing modifiers.^{58, 59}

The present findings are significant because they are the first to demonstrate that small molecules that bind selectively to σ_2 R/TMEM97 are neuroprotective in an HD model. In particular, each of the σ_2 R/TMEM97 ligands **AMA-1127**, **DKR-1051**, **UKH-1114**, **EES-1686** and **BEA-1687** protects neurons from mHTT-induced toxicity in a dose-dependent manner at concentrations as low as 10 nM. We also show that the σ_1 R-selective ligands **MPC-1154** and **HLJ-1560** are neuroprotective, but this is not surprising because σ_1 R agonists have been shown to be neuroprotective in HD cell and animal models.^{14–16} Indeed, the σ_1 R agonist pridopidine is in clinical trials for treating patients with HD.^{17–19}

Compounds **AMA-1127**, **DKR-1051**, **UKH-1114**, **EES-1686** and **BEA-1687** have high affinity for σ_2 R/TMEM97, and each has excellent selectivity for σ_2 R/TMEM97 relative to approximately 45 receptors, transmembrane proteins, and neurotransmitter transporters in the CNS (see Supporting Information). We thus surmise that modulation of σ_2 R/TMEM97 is responsible for their ability to protect neurons from mHTT-induced toxicity. Unfortunately, the standard pharmacological technique of blocking their activity with other σ_2 R/TMEM97 ligands is not a meaningful approach to demonstrate target engagement because little is known about the function of σ_2 R/TMEM97, and there is no reliable method to assign

agonist or antagonist activity. Accordingly, we turned to an alternative approach to gather evidence supporting the neuroprotective role of σ_2 R/TMEM97. Because each of these ligands has some affinity for σ_1 R, we wanted to exclude the possibility that σ_1 R binding was involved in reducing mHTT-induced toxicity. Toward this end, co-transfected neurons were treated with **AMA-1127**, **DKR-1051**, **UKH-1114**, **EES-1686** and **BEA-1687** together with the known σ_1 R antagonist NE-100;^{53, 54} the σ_1 R ligand **HLJ-1560** served as a positive σ_1 R control. NE-100 blocked the protective effect of the σ_1 R ligand **HLJ-1560**, but it did not block the effects of **AMA-1127**, **DKR-1051**, **UKH-1114**, **EES-1686** or **BEA-1687**. These results exclude the possibility that the observed neuroprotective effects of these compounds arise from binding to σ_1 R.

It is notable that **DKR-1051** and **UKH-1114**, which are neuroprotective in this HD model, also relieve mechanical hypersensitivity in an animal model of neuropathic pain,³⁹ but neither mitigates behavioral deficits in a model of alcohol withdrawal.³⁵ This is not the first time we have observed that σ_2 R/TMEM97 modulators that are active in one bioassay or disease model may be inactive in another. For example, **DKR-1677** reduces axonal degeneration in a blast model of TBI and improves survival of cortical neurons and oligodendrocytes in the controlled cortical impact injury model of TBI;⁴⁰ however, it has no neuroprotective activity in this model of HD. These observations suggest that biological outcomes arising from interactions of σ_2 R/TMEM97 with structurally distinct ligands can vary depending upon the pathology of the neurological condition. Understanding the effects of modulating σ_2 R/TMEM97 with small molecules is further complicated by findings that the benefits of a bioactive σ_2 R/TMEM97 ligand in one disease model can be blocked by another compound that binds to σ_2 R/TMEM97 and is active in a different model. For example, **DKR-1051** induces a rapid Ca^{2+} transient in human SK-N-SH neuroblastoma cells that is blocked by pretreating the cells with **SAS-0132**, the norfluoro analog of **AMA-1127**. **SAS-0132** does not induce significant Ca^{2+} release at similar concentrations, but it does have significant neuroprotective effects in AD models.³⁴ We have also shown that **SAS-0132**, which has no antinociceptive effects, blocks the antinociceptive properties of **UKH-1114**.³⁹ Collectively, these results demonstrate that the functional activities of bioactive σ_2 R/TMEM97 ligands do not fall into well-defined categories such as agonist or antagonist.

There is accumulating evidence that modulating σ_2 R/TMEM97 with small molecules may induce pleiotropic effects, and changes, sometimes relatively minor, in the structure of the ligand can have a profound influence upon biological outcomes, which appear to be dependent upon the etiology of the disease or condition. Based upon what little is known about the function of σ_2 R/TMEM97 in cells, regulating cholesterol and/or Ca^{2+} levels in some way may be an essential component of its mechanism of action.^{27, 28, 30, 31} It also appears that interactions of σ_2 R/TMEM97 with other membrane proteins including PGRMC1, NPC1, and the LDLR are important,^{31, 33–36} but details of the roles of such protein-protein interactions are lacking. Similarly, the role of any endogenous ligands such as (20S)-hydroxycholesterol must be clarified.³² Before one can understand the downstream effects of small molecule binding to σ_2 R/TMEM97, the function of σ_2 R/TMEM97 in stressed cells must be elucidated.

Work toward developing a better understanding of the mechanism and function of σ_2 R/TMEM97 are ongoing, but the results presented herein are significant because they show for the first time that compounds modulating σ_2 R/TMEM97 are neuroprotective in a HD cell model. **EES-1686**, the most potent compound studied, is a promising lead compound for advancing to *in vivo* experiments. Although further studies are needed, these investigations suggest that targeting σ_2 R/TMEM97 may be a novel therapeutic strategy for developing HD treatments.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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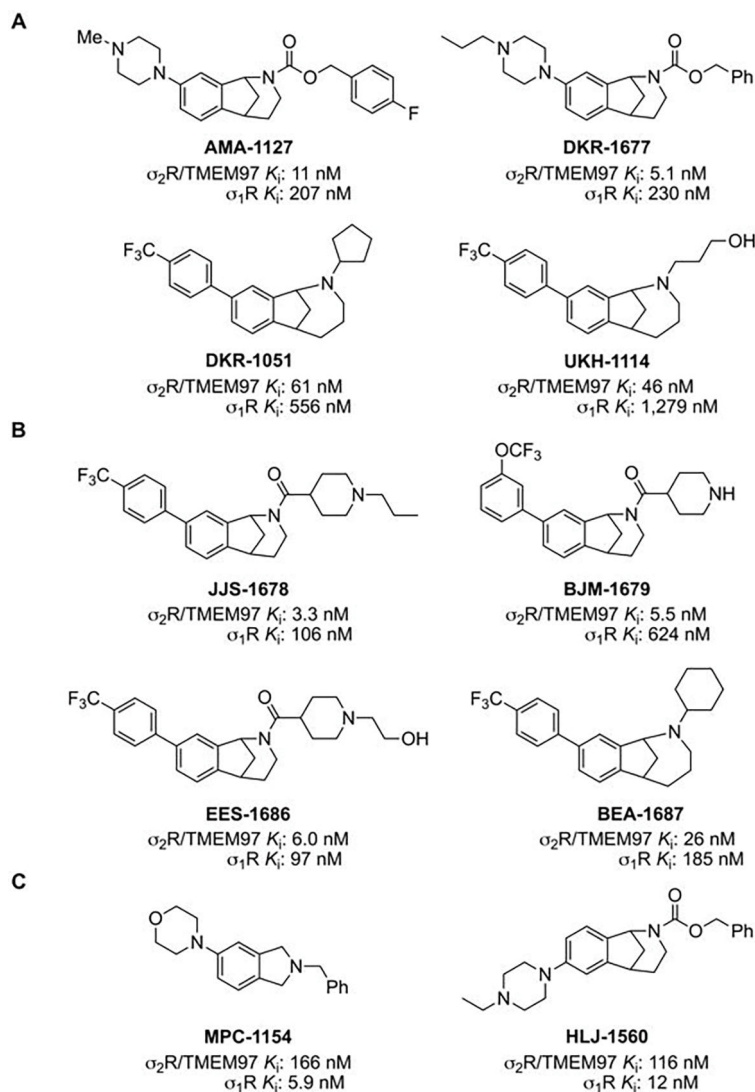


Figure 1. Structure of $\sigma_2R/TMEM97$ -selective modulators and their binding affinities.
A. Structures of racemic B-norbenzomorphans (**AMA-1127** and **DKR-1677**) and methanobenzazocines (**DKR-1051** and **UKH-1114**) that are selective modulators of $\sigma_2R/TMEM97$ and their binding affinities. K_i for $\sigma_2R/TMEM97$ (rat) and σ_1R (guinea pig).
B. Structures of racemic B-norbenzomorphans (**JJS-1678**, **BJM-1679**, and **EES-1686**) and methanobenzazocines (**BEA-1687**) that are selective modulators of $\sigma_2R/TMEM97$ and their binding affinities. K_i for $\sigma_2R/TMEM97$ (human) and σ_1R (human).
C. Structures of σ_1R -selective modulators **MPC-1154** and racemic **HLJ-1560** and their binding affinities, K_i for $\sigma_2R/TMEM97$ (rat) and σ_1R (guinea pig).

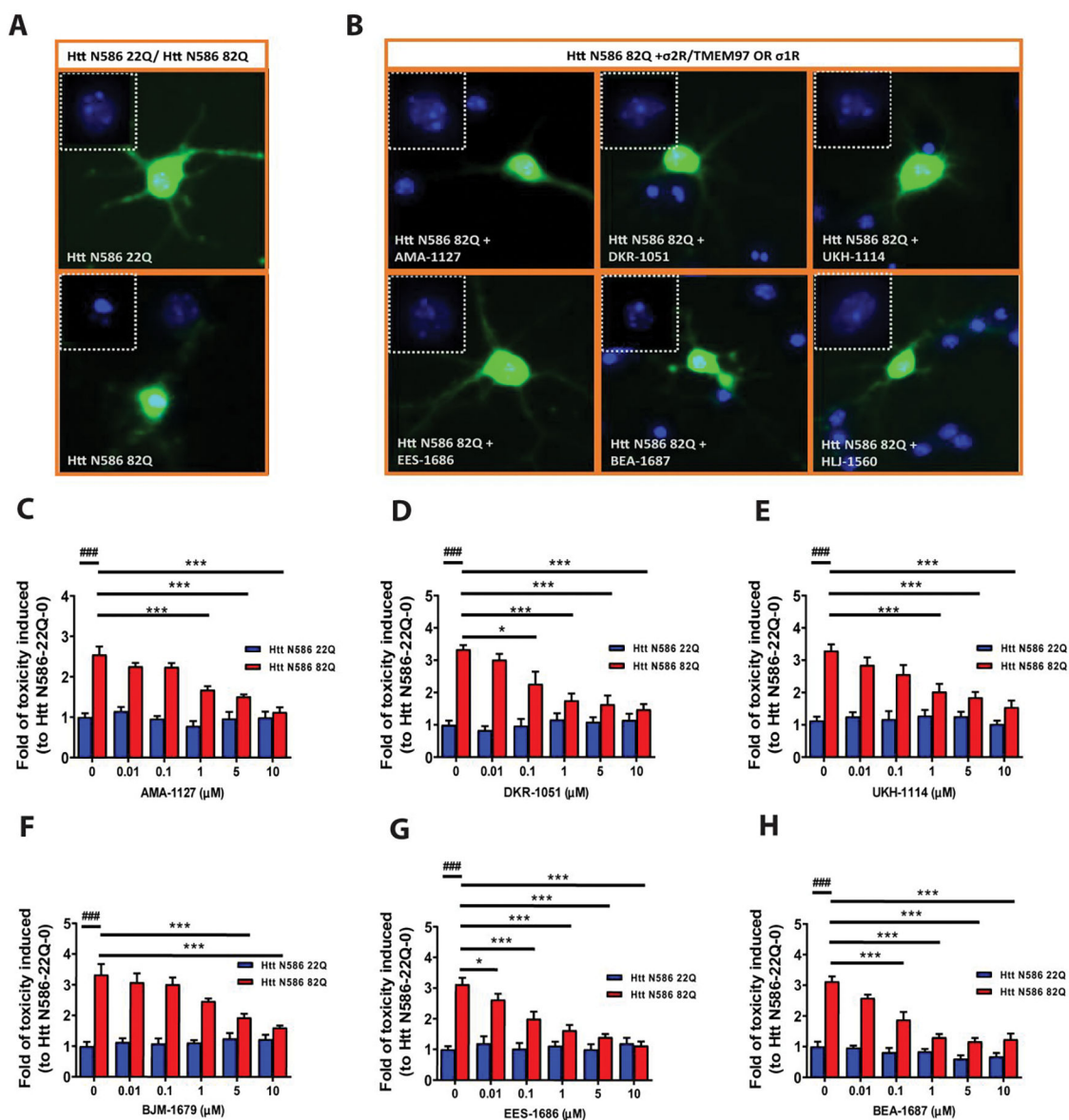


Figure 2. Neuroprotective effect of σ ₂R/TMEM97-selective modulators on mHTT induced toxicity.

Primary cortical neurons were co-transfected with either Htt-N586–22Q or Htt-N586–82Q and GFP. Four hours after transfection, neurons were treated with either σ ₁R or σ ₂R/TMEM97 modulators for 48 h, whereupon neurons were fixed, and nuclei were stained with Hoechst. Cells with condensed nuclei were counted as dead cells. Only neurons transfected with plasmid were counted. **A.** Representative pictures for neurons transfected with Htt-N586–22Q or Htt-N586–82Q and GFP. **B.** Representative pictures for neurons transfected with Htt-N586–82Q/GFP and treated with σ ₂R/TMEM97 or σ ₁R modulators. Neurons were treated with 1 μ M of the indicated modulators. Insert boxes indicated viable cells with normal nucleic morphology. **C–H.** σ ₂R/TMEM97-selective modulators tested in HD cell model showing neuroprotection. These compounds are **AMA-1127 (C)**, **DKR-1051 (D)**, **UKH-1114 (E)**, **BJM-1679 (F)**, **EES-1686 (G)**, and **BEA-1687 (H)**. ### $p < 0.0001$ vs Htt

N586–22Q with 0. * $p < 0.05$, *** $p < 0.0001$ vs Htt N586–82Q with 0. $n = 6–8$ independent experiments.

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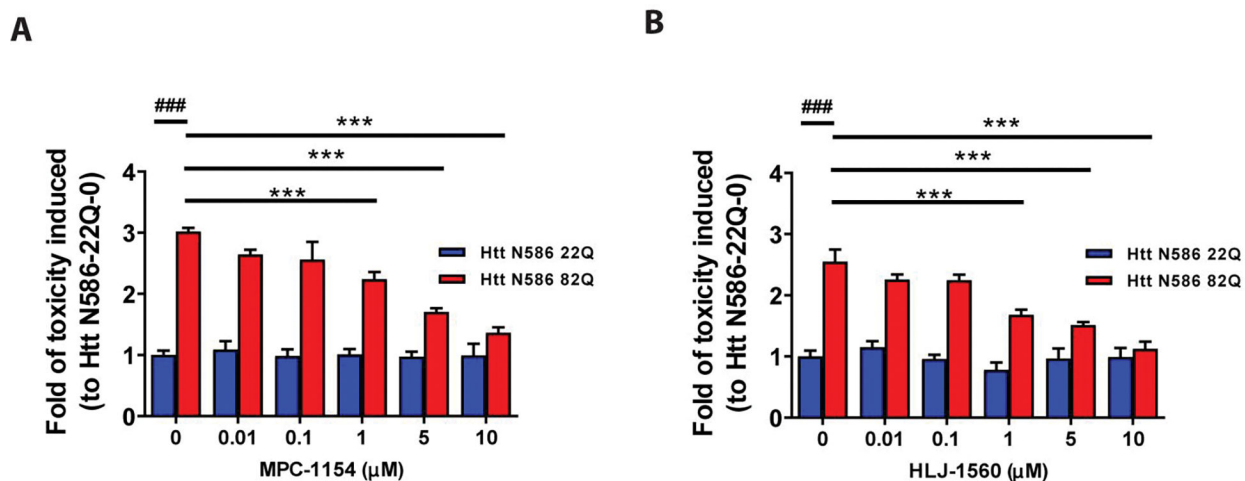


Figure 3. Neuroprotection effect of σ_1 R-selective modulators on mHTT induced toxicity. Primary cortical neurons were co-transfected with either Htt-N586–22Q or Htt-N586–82Q and GFP. Four hours after transfection, neurons were treated with or without σ_1 R modulators at different concentrations. σ_1 R-selective modulators tested in HD cell model were as follows: **MPC-1154 (A) or HLJ-1560 (B)**. ### $p < 0.0001$ vs Htt N586–22Q with 0. *** $p < 0.0001$ vs Htt N586–82Q with 0. $n = 6–8$ independent experiments.

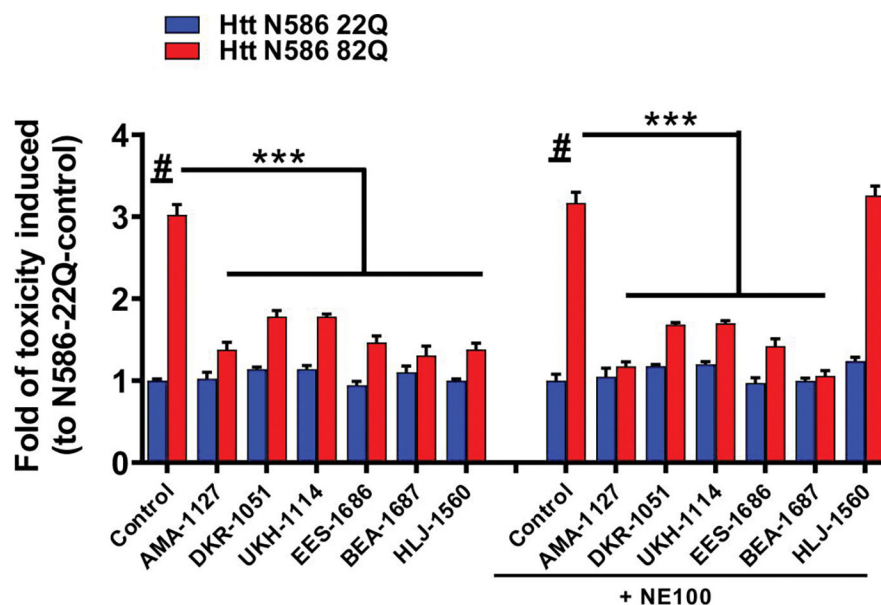
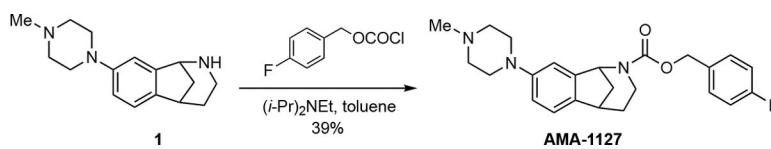
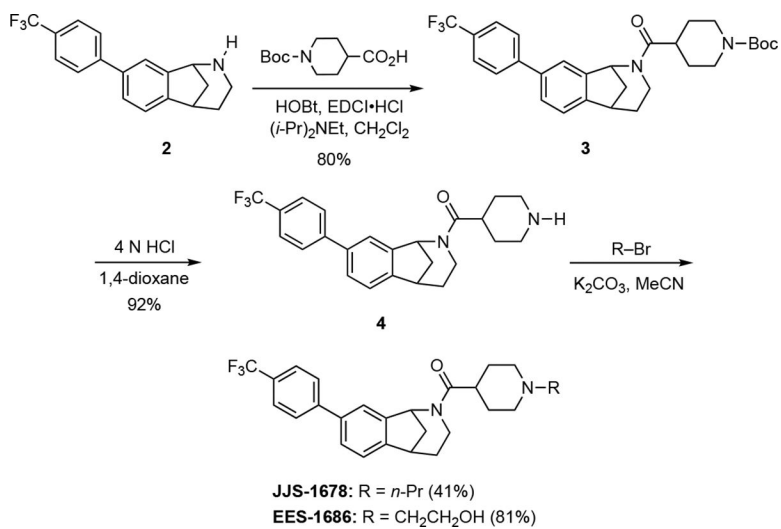


Figure 4. Specificity of σ_2 R/TMEM97 modulators.

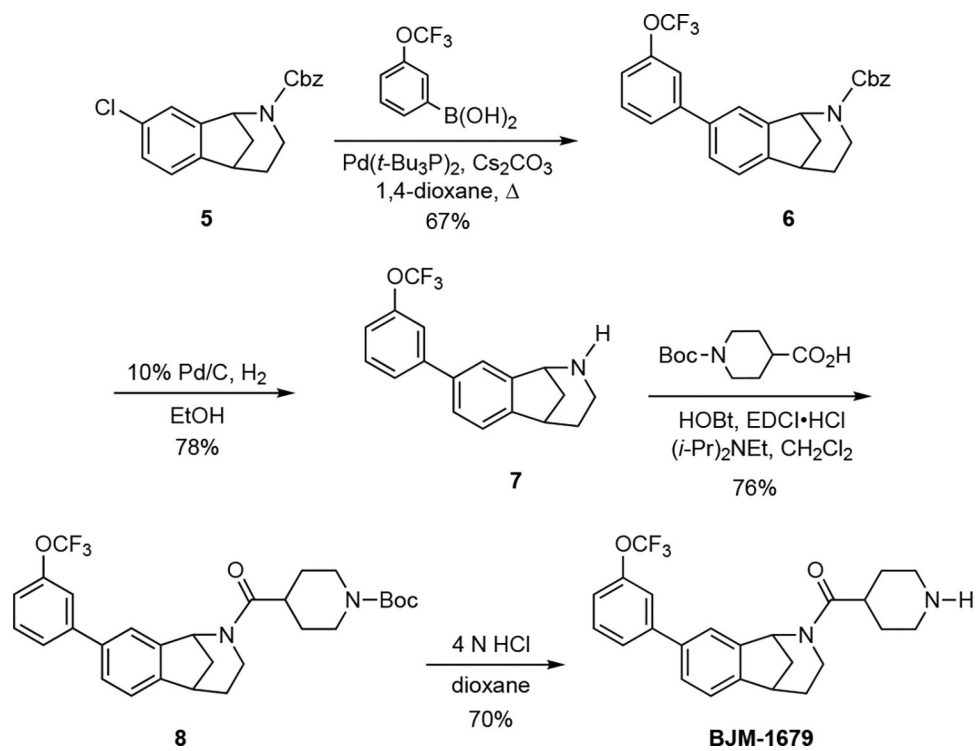
To further explore the specificity of σ_2 R/TMEM97 modulators, selective σ_1 R antagonist, NE-100 was used in the primary cortical neurons treated σ_1 R or σ_2 R/TMEM97 modulators (10 μ M). Primary cortical neurons were co-transfected with either Htt-N586-22Q or Htt-N586-82Q and GFP. Four hours after transfection, neurons were treated with modulators with or without a pretreatment with 10 μ M of NE-100. After 48 h, neurons were fixed and nuclei were stained. We included one σ_1 R-selective modulator, **HLJ-1560**, as a positive control, which its effect will be blocked by NE-100. Cell death were quantified using a nuclei condensation assay. NE-100 abolished the protective effect of the σ_1 R modulator, HLJ-1560, but it did not influence the effects of σ_2 R/TMEM97 modulators. *** $p < 0.0001$ vs Htt N586-82Q, # $p < 0.001$ vs Htt N586-22Q. $n = 4-6$ independent experiments.



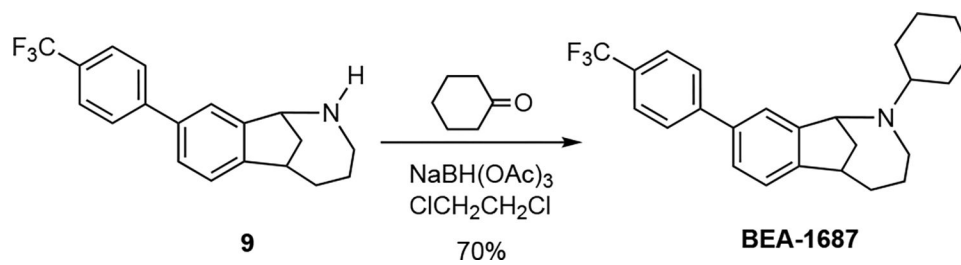
Scheme 1.
Synthesis of **AMA-1127**



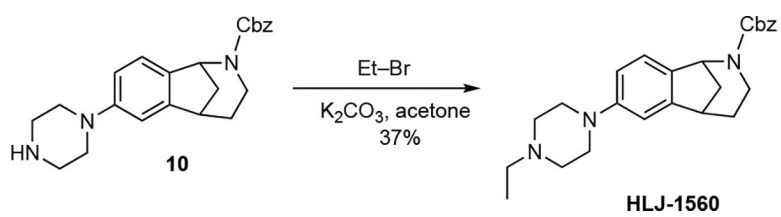
Scheme 2.
Syntheses of **JJS-1678** and **EES-1686**



Scheme 3.
Synthesis of **BJM-1679**



Scheme 4.
Synthesis of **BEA-1687**.



Scheme 5.
Synthesis of **HLJ-1560**.