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## The Bucharest Early Intervention Project: Adolescent mental health and adaptation following early deprivation

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### Abstract

Over the last 20 years, we have learned much about the extent to which early-life deprivation affects the mental health of children and adolescents. This body of evidence comes predominantly from studies of children raised in institutional care. The Bucharest Early Intervention Project (BEIP) is the only randomized controlled trial designed to evaluate whether the transition to family-based foster care early in development can ameliorate the long-term impact of institutional deprivation on psychopathology during vulnerable developmental windows such as adolescence. In this review, we detail the extent to which early deprivation affects mental health during this period, the capacity of family-based care to facilitate recovery from early deprivation, and the mechanisms underpinning these effects spanning social–emotional, cognitive, stress, and neurobiological domains. We end by discussing the implications and directions for the BEIP and other studies of youth raised in institutions.

### Keywords

early-life deprivation; foster care intervention; institutional raising

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

We know a great deal about how experience influences the course of brain and behavioral development. Not surprisingly, inadequate environmental input (e.g., deprivation) during sensitive periods of brain development can have severe and, in some cases, lasting effects on multiple domains of functioning (Nelson & Gabard-Durnam, 2020; Nelson III et al., 2019). In humans, our understanding of the impact of severe psychosocial deprivation on development comes primarily from studies of children raised in institutions; an estimated 3–9 million children worldwide live in institutions (Desmond et al., 2020). Psychosocial deprivation in institutional care is similar to experiences of severe neglect, the most common form of child maltreatment, which is estimated to affect nearly 500,000 children in the United States annually (U.S. Department of Health and Human Services, 2019).

The Bucharest Early Intervention Project (BEIP), the only randomized controlled trial (RCT) of foster care as an alternative to institutional care for orphaned and abandoned children, is one of the most important studies on the impact of early deprivation on development (van IJzendoorn et al., 2020). In addition to being an RCT, the BEIP has studied children from infancy into young adulthood, permitting causal conclusions about whether and to what extent social enrichment in the form of family care can promote recovery from early deprivation over the first two decades of life.

In this article, we delineate what we have learned about the mechanisms of long-term risk for, and recovery from, psychopathology during the transition to adolescence following early deprivation. We focus on mid-childhood to adolescence, a period of significant social and neuro-biological change and increased vulnerability to mental health problems (Blakemore, 2019). We begin by briefly describing the history of the BEIP, then review studies documenting the level of mental health difficulties during follow-ups at ages 8, 12, and 16 years. We then describe the mechanisms underpinning risk and recovery from early deprivation in relation to common mental health problems (i.e., anxiety, depression, ADHD) during adolescence (for a discussion of attachment-specific problems, see Guyon-Harris et al., 2018, 2019; Humphreys et al., 2017; Rutter et al., 2007; Smyke et al., 2012).

## THE HISTORICAL CONTEXT AND DESIGN OF THE BEIP

During Nicolae Ceaușescu's leadership of Romania (1965–1989), several repressive policies were instituted to force population growth despite rampant nationwide poverty, which in turn gave rise to significant abandonment of children to state-run orphanages. By 1989, more than 170,000 children were being raised in institutional care (Rosapepe, 2001). The institutions were generally overcrowded, understaffed, and insensitive to the individual needs of children—a pattern we describe as gross psychosocial neglect. The BEIP was initiated in the fall of 2000 with the encouragement of Romania's new National Authority for Child Protection and with the cooperation of the Ministry of Health. The Secretary of State for Child Protection wanted data about alternatives to institutional care. Foster care had only recently become legal in Romania and was not widely available when the study began (see Zeanah et al., 2003).

By design, the BEIP compared continued institutional care to high-quality foster care, allowing us to examine the effects of early deprivation on brain and behavioral development,

the remedial benefits of family care, and the possibility of sensitive periods (i.e., age-of-placement effects) in development. Participants included 136 children recruited from six institutions in Bucharest, all from 6 to 31 months old. These children had no discernible genetic or neurological syndromes, nor did they show overt signs of fetal alcohol exposure (see online Supplement for sample characteristics). After comprehensive assessments, the children were randomly assigned to foster care (foster care group, FCG) or to continued institutional care (care-as-usual group, CAUG). Researchers adopted a policy of noninterference during the trial, meaning that children in the CAUG could move into other placements as they became available, and children from both groups changed placements over time (see Figure S1 for CONSORT diagram showing flow of participants over time). Comparisons between the FCG and the CAUG reflect their original placement assignment (i.e., intent to treat), regardless of current placement (unless otherwise stated). Together, the FCG and CAUG are referred to as the ever-institutionalized group (EIG). For further comparison, a group of 72 children living in Bucharest who had never been institutionalized were recruited as community controls (never-institutionalized group, NIG; see Nelson et al., 2014, for details).

The foster care program was multidimensional. First, foster families were given monthly stipends equal to the average per capita income in the country at the time. Second, BEIP social workers closely monitored and supported foster parents, who had access to an on-call pediatrician. In addition, Romanian law at the time required one parent to stay at home with the child, ensuring consistent adult caregiving. In contrast, children assigned to the CAUG typically remained in the institutions outlined earlier, marking a clear distinction between the care trajectories of the FCG and the CAUG.

The trial assessed children at 30, 42, and 54 months, at which point it concluded and management of the BEIP foster care network transferred to local governmental authorities. Prior reviews from our group have covered this period of development (Bos et al., 2011). Follow-up assessments for all three groups of children occurred at ages 8, 12, and 16 years, which are the focus of this review. While children have been evaluated across multiple domains of functioning, in this article, we focus on mental health given the powerful link between early-life adversity and mental health and the dramatic changes in mental health that occur during adolescence.

## ADOLESCENCE AS A POTENTIAL PERIOD OF RECOVERY

Next, we describe findings on the recovery-promoting effect of foster care relative to care-as-usual on mental health difficulties over the course of adolescence. Using a categorical approach that relied on the administration of psychiatric interviews, indications of recovery from deprivation were observed in the BEIP beginning at 12 years, with children in the FCG displaying fewer externalizing symptoms than those in the CAUG, and again at 16 years, with children in the FCG displaying fewer internalizing symptoms than those in the CAUG (Humphreys et al., 2015, 2020). However, a lack of assessments using this approach at 8 years means it is unclear from these studies whether the recovery-promoting effect of foster care had occurred earlier in development, or whether adolescence facilitated this recovery.

To this end, dimensional assessments of psychopathology were conducted at 8, 12, and 16 years. Children and youth in the CAUG had persistently elevated trajectories of general and externalizing-specific psychopathology from 8 to 16 years, while those in the FCG showed modestly declining trajectories of psychopathology over the same period (Wade et al., 2018). The benefit of foster care relative to prolonged institutional care was not observed at 8 years but began to appear at 12 years and, by 16 years, children in the FCG had significantly lower psychopathology than children in the CAUG (see Figure 1). These results suggest that adolescence may open a window for recovery in mental health among those who experienced social enrichment following early deprivation.

## MECHANISMS OF RECOVERY FOLLOWING FAMILY-BASED CARE

These findings demonstrate that placement into family care following deprivation may facilitate recovery in mental health during adolescence. Next, we describe what we know about the potential mechanisms that underlie this recovery effect. Figure 2 provides a visual overview of these mechanisms, as well as those related to long-term risk conferred by early deprivation, which we cover later.

The first factor that appears to facilitate recovery from deprivation is stability in the postinstitutional caregiving environment. Indeed, at both 12 and 16 years, FCG children in stable foster placements (FCG-stable) had lower rates of psychiatric disorders than CAUG and FCG children in disrupted placements (FCG-disrupted). Although overall rates of psychiatric disorders increased from 12 to 16 years among the CAUG and FCG-disrupted children, they decreased slightly over this period for the FCG-stable children. By comparison, in the English and Romanian Adoptees (ERA) study, levels of emotional and conduct problems among individuals raised in institutions were relatively low and stable from 6 to 15 years, with a sharp increase during later adolescence (15 years to young adulthood), particularly among those with more than 6 months of early deprivation (Sonuga-Barke et al., 2017). Studying the BEIP participants in early adulthood will help determine whether stable caregiving continues to buffer against mental health difficulties as these youth navigate the transition to adulthood.

In addition to stability in family care, three other mechanisms appear to operate in the recovery of mental health as a function of foster care. The first is stress reactivity, where we have shown that children in the FCG demonstrated a level of neuroendocrine and sympathetic reactivity to social stress at 12 years that resembles children in the NIG, especially when foster placement occurred prior to age 24 months (McLaughlin et al., 2015). In contrast, children in the CAUG demonstrated persistently blunted reactivity to social stress (also see Wade, Sheridan, et al., 2020), strongly suggesting a sensitive period for recovering the adaptive stress response early in development. Moreover, this may have consequences for how these individuals respond to stressors during adolescence. For example, while the CAUG children showed more externalizing problems in response to stressful life events during adolescence, the FCG children were relatively buffered from these stressors (Wade, Zeanah, et al., 2019).

This resilience-enhancing effect of foster care was also observed for markers of low-grade inflammation (i.e., interleukin-6), suggesting that early foster care may protect against stress-based and inflammatory processes associated with a heightened risk of psychopathology (Tang et al., 2020). Thus, foster care following early deprivation appears to promote recovery in stress reactivity, which in turn enables effective coping with stressors during adolescence. Recent research by other groups has demonstrated that, when postinstitutionalized adolescents live in positive caregiving environments, early blunted cortisol reactivity recalibrates to levels comparable to noninstitutionalized youth (Gunnar et al., 2019), an effect that may be driven by hormonal changes during puberty (Howland et al., 2020). We are testing this hypothesis in the BEIP, where the RCT design will help determine whether early assignment to family care facilitates recalibration to a greater degree compared to prolonged early deprivation.

Another domain through which recovery is possible is self-control. We differentiate this from executive function (described later) as the ability to modulate behavior in social contexts (e.g., resisting peer influence). Using caregivers' and teachers' reports of behavior, we have shown that children in the FCG demonstrated more growth in self-control from 8 to 16 years than did children in the CAUG (Mukerji et al., 2021). Similar to dimensional psychopathology, children in the FCG and the CAUG did not differ on their level of self-control at 8 years, but by 16 years, the FCG children had markedly better self-control than the CAUG children and were, in fact, no different from the NIG children. This demonstrates the possibility of a sleeper effect, with the remedial benefits of family care on self-control not fully realized until the transition to adolescence. Moreover, increased growth in self-control mediated the effect of the intervention on general psychopathology at 16 years.

This finding contrasts with the general lack of recovery in objectively-assessed executive function observed in the BEIP from 8 to 16 years (e.g., Wade, Fox, et al., 2019). Thus, while executive function may be highly disrupted by profound deprivation and less amenable to foster care, children and youth may be able to learn effective strategies for controlling behavior and regulating emotions in social contexts. These behavioral results cohere with recent findings from the BEIP on brain activity, which show that the foster care intervention is associated with improvements in mediofrontal theta power during response inhibition, and these improvements are in turn associated with reduced general psychopathology at 16 years (Buzzell et al., 2020). Strikingly, the level of mediofrontal theta power among the FCG children in this study was comparable to that of the NIG children, suggesting full recovery. This is consistent with the idea that improvements in inhibitory control and self-monitoring following entry into positive caregiving environments may facilitate recovery in mental health among adolescents exposed to early deprivation.

Finally, children in the FCG demonstrated improvements in associative learning and reward responsiveness compared to children in the CAUG, and this, in turn, was associated with reduced symptoms of depression at 12 years (Sheridan et al., 2018). These improvements in associative learning may stem from increased contingent responsiveness that the FCG children received in high-quality caregiving environments. Indeed, at 12 and 16 years, higher-quality caregiving was associated with greater behavioral sensitivity to reward and

lower internalizing and externalizing problems (Colich et al., 2021). Given the known associations between reward processing and mental health in adolescence (McCrory et al., 2017), these findings suggest that improvements in caregiving may be a common pathway to improved reward processing and recovery in psychopathology following early deprivation.

## LONG-TERM RISK OF EARLY DEPRIVATION

While these findings provide compelling evidence that family care following institutionalization is associated with at least partial recovery in mental health during adolescence, this recovery is not absolute. Indeed, at ages 12 and 16 years, rates of psychiatric disorders were higher among both the CAUG and FCG children than among the NIG children, with this gap widening slightly over time (see Figure 1 and Humphreys et al., 2015, 2020). At both ages, the largest difference was for externalizing disorders and ADHD. Similar results were observed using the dimensional approach, where it can be seen in Figure 1 that, while the FCG children showed declining trajectories of general psychopathology from 8 to 16 years, both the FCG and the CAUG children had significantly higher levels of psychopathology than the NIG children at all time points.

Thus, exposure to early deprivation appears to confer a long-term residual risk of mental health difficulties. This contrasts with findings from the ERA, which demonstrated that children adopted before 6 months were usually comparable to noninstitutionalized children on psychopathology later in development. We have not observed strong age-of-placement effects for psychopathology in the BEIP. In part, this may reflect the relatively later age of placement into foster care (average of 22 months) in the BEIP, suggesting that a longer duration of institutional care may limit the extent of recovery possible. However, these cross-study comparisons are complicated by many other considerations (e.g., cohorts who grew up in different countries, different comparison groups, measurement differences) and should therefore be interpreted cautiously.

## MECHANISMS OF LONG-TERM RISK

Next, we discuss factors that explain at least partially the association between early deprivation and continued risk of psychopathology from 8 to 16 years, operationalized as the difference between children in the EIG and children in the NIG. The EIG includes the CAUG and FCG since both were exposed to institutional deprivation despite differing care trajectories and RCT assignment. These mechanisms are summarized in Figure 2.

One contextual predictor of later psychopathology among those exposed to early deprivation is the quality of the later caregiving environment. Among the EIG children, while caregiving quality based on staff reports was often satisfactory (particularly for those in foster care), it was lower, on average, than that of the children in the NIG at 8, 12, and 16 years. In turn, lower caregiving quality was associated with higher levels of internalizing and externalizing problems during this period (Colich et al., 2021). This association persisted even though more than half of the CAUG children and more than three-quarters of the FCG children were in some sort of family placement at each time point. Thus, even after removal from institutional care, caregiving quality remained lower than that of the NIG. This

may be due to the challenges associated with caring for youth exposed to such profound early deprivation and the difficulties they often continue to experience after they leave the institutions. These factors may give rise to more parent–child conflict, more parenting stress, or less optimal forms of parenting that contribute to increased risk for psychopathology (Yan et al., 2021). In addition to poor caregiving quality, placement instability is also hazardous. Specifically, FCG adolescents in disrupted placements had higher rates of psychiatric disorders at 12 and 16 years than adolescents in both the NIG and the FCG who were in stable placements (Humphreys et al., 2015, 2020). Thus, both poor quality of care and disrupted care elevated the risk of continued mental health difficulties for those exposed to early deprivation.

Altered cognitive functioning is another mechanism linking early deprivation to long-term risk of psychopathology during adolescence. One of the most reliable mediators of this risk is executive function. At 8 years, reduced performance on working memory and response inhibition tasks mediated the effect of institutional rearing on ADHD symptoms, but not on internalizing or externalizing problems (Tibu et al., 2016a). This effect was replicated for working memory at 12 years (Tibu et al., 2016b). More recently, we showed that reduced memory and executive function from 8 to 12 years mediated risk of transdiagnostic psychopathology at 16 years (Wade, Zeanah, et al., 2020a). These results are consistent with the idea that rapid development of executive function during adolescence may play an important role in shaping adaptive socioemotional and academic outcomes (Poon, 2018), and that executive processes may be significantly disrupted by early deprivation and more challenging to remediate.

Another pathway toward the continued risk of psychopathology during adolescence is difficulties with social communication, skills crucial for managing social interactions at this time. Reduced social communication skills at 8–10 years in the domains of reciprocal social interaction, communication, and repetitive and stereotyped behaviors partially mediated the association between early deprivation and general psychopathology at 16 years (Wade, Zeanah, et al., 2020b). This is consistent with findings from the ERA that problems with social communication are among the most persistently elevated from childhood to early adulthood (Sonuga-Barke et al., 2017) and forecast long-term emotional problems (Golm et al., 2020). Such difficulties may heighten the risk of psychopathology by limiting opportunities for interpersonal engagement and the scaffolding of self-regulatory abilities that facilitate adaptive coping in the face of stress. Encouragingly, social communication difficulties were partially remediated by family-based foster care (Wade, Zeanah, et al., 2020b), suggesting this is a mechanism of both long-term risk and recovery in mental health.

Finally, alterations in brain structure and function may underpin a heightened risk of psychopathology following early deprivation. Reduced cortical thickness in regions including the orbitofrontal cortex, insula, inferior parietal cortex, and superior temporal gyrus—regions generally involved in salience detection and cognitive control (Menon & D’Esposito, 2022)—mediated the association between institutional rearing and ADHD symptoms at 8–10 years (McLaughlin et al., 2014). At the same age, deprivation-related alterations in white matter integrity of the external capsule (frontostriatal circuitry) and corpus callosum (interhemispheric communication) partially mediated the effect of

institutional deprivation on internalizing problems (Bick et al., 2017). With respect to brain function, persistent alterations in electroencephalogram power have been demonstrated among children in the CAUG through 16 years (Debnath et al., 2020), and in a recent study, institutional deprivation was associated with reduced mediofrontal theta power—a neural correlate of cognitive control—which in turn was associated with elevated general psychopathology at 16 years (Buzzell et al., 2020).

To summarize, these findings underscore three primary modes of long-term risk propagation following early deprivation—the first centered on poor-quality or disrupted caregiving as a result of deprivation, a second focused on social functioning and communication, and a third focused on executive function and its underlying neurobiology. Limited evidence that these domains mediate intervention benefits of foster care suggest that they may constitute mechanisms of long-term mental health risk in the aftermath of early deprivation.

## IMPLICATIONS AND DIRECTIONS

The BEIP was launched more than 20 years ago, and an early adulthood assessment is currently under way. The study is positioned to answer many remaining questions in the years ahead. First, building on work on pubertal recalibration (Gunnar et al., 2019), we hope to answer the central question of what the consequences of recalibration are for mental health. Recent work suggests that, despite what appears to be an adaptive process during adolescence, recalibration may have negative effects on mental health (Perry et al., 2022). The BEIP is well-situated not only to replicate this work, but to determine the downstream impact of recalibration during early adulthood and use the RCT design to uncover whether early experience moderates these effects.

Second, recent work from the ERA has highlighted differences in brain structure during early adulthood between children raised in institutions and those not raised in institutions (Mackes et al., 2020). Individuals with a history of deprivation have a thicker cortex in the inferior temporal gyrus than do noninstitutionalized individuals, and greater duration of deprivation is linked to greater volume and area of medial prefrontal regions. These findings raise the possibility that, among youth raised in institutions, there may be alterations in the typical pruning process that occurs from childhood to adolescence—however, this cross-sectional study was unable to test this possibility directly. In contrast, the BEIP has baseline structural data on participants at 8 years, processed data at 16 years, and planned MRI scans at 21–22 years. Therefore, we will be able to explicitly examine trajectories to test for altered processes of neurodevelopment from mid-childhood to early adulthood.

Third, we know little about the experience of other forms of violence and maltreatment among children and youth raised in large, impersonal institutions or during subsequent placements. We are completing comprehensive assessments of other forms of violence, victimization, and abuse during our early adult follow-up. This work is crucial to understand how early deprivation intersects with other forms of maltreatment in forecasting long-term mental health problems.



## CONCLUSION

The results from the BEIP implicate the importance of early social relationships, and the centrality of stable and supportive family care during childhood *and* adolescence. If there is a single most important legacy to our work, it is to underscore the urgent need to end institutional rearing and promote high-quality and stable family placements, along with evidence-based interventions that target the key mechanisms of risk and recovery for neglected young children throughout the world.

This is especially important in light of the fact that the COVID-19 pandemic has produced more than 5 million new orphans globally over its first 20 months (Unwin et al., 2022). Whether the result of COVID-associated orphanhood, forced parent–child separation in the context of international immigration (Humphreys, 2019), or child abandonment due to sociopolitical factors such as those experienced by children in the BEIP, children who have lost their caregivers require stable, safe, stimulating, and sensitive care to develop along an optimal trajectory. Results from the BEIP provide a strong empirical foundation on which to respond to these local and global problems and safeguard the well-being of children and adolescents.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## FUNDING INFORMATION

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## Abbreviations:

<b>ADHD</b>	Attention-deficit/hyperactivity disorder
<b>BEIP</b>	Bucharest Early Intervention Project
<b>CAUG</b>	Care-as-usual group
<b>EIG</b>	Ever-institutionalized group
<b>ERA</b>	English and Romanian Adoptees
<b>FCG</b>	Foster care group
<b>MRI</b>	Magnetic resonance imaging
<b>NIG</b>	Never-institutionalized group
<b>RCT</b>	Randomized controlled trial

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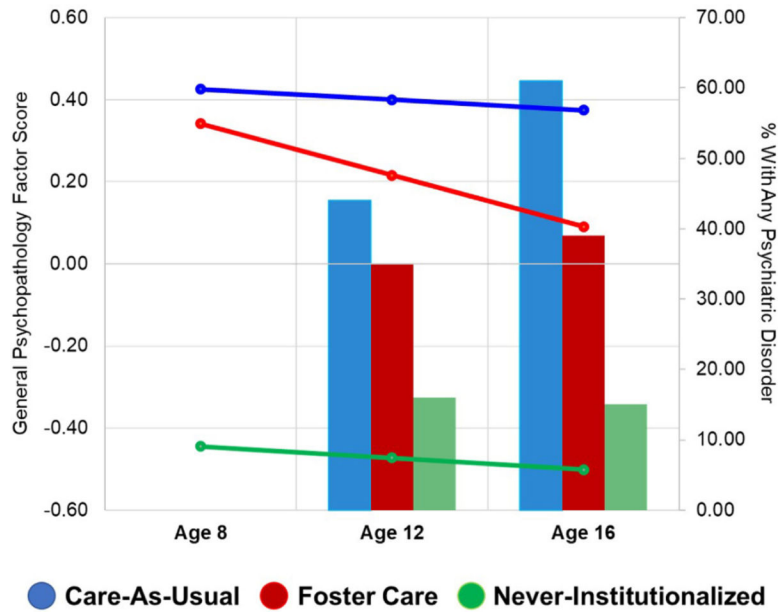
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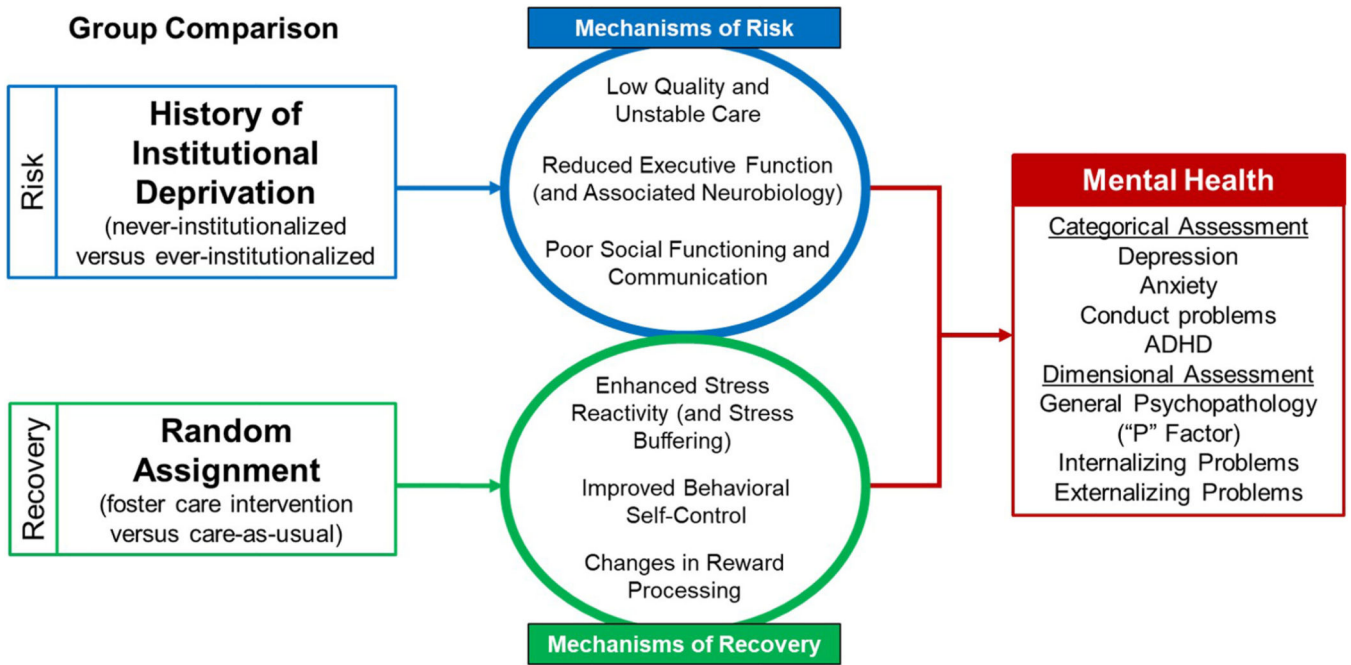
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**FIGURE 1.** Summary of descriptive findings for mental health outcomes at ages 8, 12, and 16 years. Findings for outcomes in the three study groups: care-as-usual (blue), foster care (red), and never-institutionalized (green). Bars represent rates of any psychiatric disorder as a percentage of that group based on categorical assessment using psychiatric interviews (right axis), while lines represent model-estimated trajectories of general psychopathology based on dimensional assessment using teacher/caregiver ratings of behavior (left axis). There are no published data in the BEIP using psychiatric interviews at age 8. Details on the pattern of these findings are presented in the main text. Adapted with permission from Wade et al., 2018, and Humphreys et al., 2015, 2020.



**FIGURE 2.** Mechanisms of risk and recovery in mental health during adolescence within the BEIP. Summary of findings from the Bucharest Early Intervention Project on mechanisms of risk (circled in blue) and mechanisms of recovery (circled in green) following severe early-life deprivation with respect to psychopathology from age 8 to 16 years. *Risk* is defined as the effect of institutional rearing (ever vs. never), while *recovery* is defined as the effect of random assignment to the intervention (foster care vs. care-as-usual).