



Quantitative Parameters from OCT Angiography in Patients with Diabetic Retinopathy and in Those with Only Peripheral Retinopathy Compared with Control Participants

Ruth E. Hogg, PhD,¹ David M. Wright, PhD,¹ Rosa Dolz-Marco, MD,² Calum Gray, PhD,³ Nadia Waheed, MD,⁴ Michel M. Teussink, PhD,² Timos Naskas, PhD,¹ Jennifer Perais, BSc(Hons),¹ Radha Das, MD, PhD,⁵ Nicola Quinn, PhD,¹ George Bontzos, MD,⁶ Constantinos Nicolaou, MD,¹ Kaushik Annam, MD,⁷ Ian S. Young, MD, PhD,¹ Frank Kee, MD, PhD,¹ Bernadette McGuinness, MD, PhD,¹ Gareth Mc Kay, MD, PhD,¹ Tom MacGillivray, PhD,³ Tunde Peto, MD, PhD,¹ Usha Chakravarthy, MD, PhD¹

Purpose: To describe the differences in a range of quantitative OCT angiography (OCTA) metrics across early stages of diabetic retinopathy (DR), providing robust effect estimates as well as sensitivity and specificity.

Design: Cross-sectional study with population-based sampling.

Participants: Four hundred forty-one eyes from 296 individuals: 328 control eyes (no diabetes mellitus [DM] and no DR), 55 eyes with DM and no DR, and 58 eyes with early nonproliferative DR.

Methods: Multimodal retinal imaging included color fundus photography, color Optomap ultra-widefield imaging, and spectral-domain OCT (Spectralis OCT2; Heidelberg Engineering GmbH) with the OCTA module. All images were graded for the presence and severity of DR features. OCTA images were assessed manually for inclusion based on quality. Binary OCTA metrics were assessed after 3-dimensional projection artifact removal including from the nerve fiber layer vascular plexus, superficial vascular plexus (SVC), and deep vascular plexus (DVC) by Early Treatment Diabetic Retinopathy Study (ETDRS) grid, foveal avascular zone (FAZ) area, FAZ minimum and maximum diameter, perimeter length, and circularity.

Main Outcome Measures: Diabetes mellitus and DR status and presence or absence of DR in the retinal periphery.

Results: The reduction in vessel densities in participants with DM and manifest DR compared with control participants tended to be twice that of those with DM, but no DR, compared with control participants. Some evidence of spatial heterogeneity in vessel reductions was found in those yet to develop DR, whereas those with manifest DR had significant reductions across the ETDRS grid. The FAZ perimeter and circularity were impacted most significantly by DM, and those with DR showed decreased multispectral fractal dimensions compared with control participants. Eyes with peripheral DR had reduced vessel density compared with those with DM and no DR only in the superior outer, temporal inner, and temporal outer regions in the DVC and SVC. The area under the receiver operating characteristic curve ranged between 0.48 and 0.73.

Conclusions: Significant differences in OCTA metrics can be found in those with DM before manifest DR using commercially available equipment with minimal image postprocessing. Although diagnostic performance was poor, these metrics may be useful for measuring change over time in clinical trials. *Ophthalmology Science* 2021;1:100030 © 2021 Published by Elsevier Inc. on behalf of the American Academy of Ophthalmology. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).



Supplemental material available at www.ophtalmologyscience.org.

Diabetic retinopathy (DR) is the most common complication of diabetes mellitus (DM) and is a leading cause of sight loss worldwide.¹ Traditionally, DR has been considered to be a vasculopathy mainly affecting the arteriolar and capillary components of the retinal vascular bed.² Initially, fluorescein angiography with videography was used to study vascular

morphologic features, retinal blood flow, and transit times and clearly showed morphologic and functional DM-induced pathologic features.^{3,4} Over the years, additional methodologies were developed, including laser Doppler velocimetry,⁵ color Doppler imaging,⁶ Doppler OCT,⁷ and adaptive optics scanning laser ophthalmoscopy.⁸ These

highly specialist devices were used almost exclusively within research settings, yet some of the findings in early DR literature were conflicting.⁹ More recently, the complex interplay between neuronal components of the retina and its circulatory bed arising as a consequence of the metabolic perturbations of DM has entered the scientific dialog driven by the better detection of the earliest manifestations resulting from improvements in noninvasive retinal imaging. OCT angiography (OCTA) now offers a more widely applicable method for investigating the integrity of blood flow in health and disease by using changes in the reflectance signal between repeated B-scans at a specific location to construct a map of blood flow. Because bulk motion from the patient is eliminated from the signal by eye tracking or image processing, the main source of motion between repeated scans is assumed to represent erythrocyte movement in the choroidal and retinal blood vessels.¹⁰ It offers comparable qualitative and quantitative microvascular details to adaptive optics scanning laser ophthalmoscopy and fluorescein angiography, but with the capability of applying sophisticated real-time image processing algorithms and 3-dimensional representations. All traditional clinical signs of nonproliferative DR such as microaneurysms, intraretinal microvascular abnormalities, areas of nonperfusion, and neovascularization, are visible on OCTA,¹¹ with additional capacity to localize these features precisely to specific vascular layers¹² based on segmentation of the retinal layers. Most commercial devices also offer various quantitative measures such as vessel density, vessel tortuosity, fractal dimension and area, and dimensions of the foveal avascular zone (FAZ), which could be helpful in the identification and staging of DR.¹¹

Many groups have sought to characterize the early vascular manifestations of DR before the development of clinical features in patients with DM using technologies that have been available for many years (color fundus photography, OCT, fluorescein angiography). OCT angiography has been used to compare retinal vascular morphologic features in patients with DM without DR with those with manifest DR and with control participants without DM, with reported lower retinal vessel densities in the macula among patients with DM.^{9,13} Palochak et al⁹ undertook a retrospective analysis of OCTA images obtained from the TIME-2b Study and showed the presence of vascular abnormalities in both the superficial capillary plexus and deep capillary plexus, as well as reporting that FAZ area and temporal peripapillary vessel density were predictors for DR progression during 12 months of follow-up. Because the retinal capillary bed can exhibit changes resulting from aging and is affected by conditions such as cardiovascular disease and hypertension,¹⁴ carefully designed prospective studies incorporating a substantial control population are necessary to ensure appropriate accounting for variation resulting from known and unknown factors. Furthermore, substantial interest exists in using quantitative parameters from OCTA as clinical trial end points in intervention trials,¹⁵ but accurate spatial characterization of such parameters along with age and gender-related effects will be needed to ensure precise sample size calculations. To the best of our knowledge, this type of population-based data currently are

not available. Also, concerns exist regarding OCTA-specific artifacts such as those related to projection, local signal loss, and eye motion that lead to limited reliability of vessel segmentation and erroneous metrics that have hampered the adoption and use of quantitative parameters in both clinical practice and trials.¹⁶

To address these gaps in knowledge, we exploited the ongoing study of aging in Northern Ireland (the Northern Ireland Cohort for the Longitudinal Study of Aging [NICOLA]), in which we were able to design prospectively and to include acquisition of OCTA data in specific groups of participants. Participants were recruited using unbiased community-based sampling, and all underwent multimodal retinal imaging, with a subsample invited to return for additional functional tests and retinal imaging, including OCTA. A contemporaneous clinic-based sample with DR also was recruited because it was apparent that manifest DR was present in a low proportion of participants in the NICOLA sample. The aims of this study were (1) to provide robust estimates of differences quantitative OCTA metrics depending on DM and DR status and presence or absence of DR in the retinal periphery and (2) to assess diagnostic performance of each metric.

Methods

Participants

Data were collected as part of a prospective study of early imaging and functional biomarkers of DR and age-related macular degeneration in NICOLA. The NICOLA study is a population-based epidemiologic study of aging that involved a computer-assisted home interview followed by a health assessment at the Northern Ireland Clinical Research Facility. The health assessment included an eye component comprising best-corrected visual acuity, intraocular pressure measurement, autorefractometry, and multimodal retinal imaging (color fundus photography, ultra-widefield imaging, and spectral-domain OCT). Retinal images were assessed systematically by trained graders within the Network of Ophthalmic Reading Centres UK for the presence of various common retinal diseases. Based on the retinal grading, the following participants were invited to return for a second visit comprising a battery of further retinal imaging and functional tests, including OCTA: (1) no sign of retinal disease and (2) a self-reported a history of DM at either the home interview or health assessment.

Ethical approval for the study was obtained from the School of Medicine, Dentistry and Biomedical Sciences Ethics Committee, Queen's University Belfast (reference, 12/23; reference, 16.37v2). The study adhered to the tenets of the Declaration of Helsinki, and all participants gave informed written consent.

Retinal Imaging

Participants underwent pupil dilation using 1% tropicamide before retinal imaging. Color fundus photography of the disc and macula with 50° field of view was performed using a Canon CX-1 Digital Fundus Camera (Canon USA, Inc). Color ultra-widefield images centered on the fovea were captured using the Optomap Panoramic 200Tx scanning laser ophthalmoscope (Optos PLC).

Image capture of multicolor, spectral-domain OCT, and OCTA imaging was performed with the Spectralis HRA+OCT2 (Heidelberg Engineering GmbH). Training was provided by the

manufacturer both before and at regular intervals throughout the data acquisition phase. Fovea-centered multicolor images (768 × 768 pixels) had a 30° field of view and a resolution of approximately 11 μm per pixel. Each macular OCT volume scan comprised 61 horizontal B-scan lines with a spacing of approximately 125 μm on a 30° × 25° (horizontal × vertical) scan angle and was acquired using TruTrack Active Eye Tracking, for automatic real-time averaging of 8 scans per B-scan. Fovea-centered OCTA images were acquired on a 10° × 10° scan angle, automatic real-time (ART) 7 and 512 × 512 pixels at an isotropic resolution of 5.7 μm. The onboard clinical software was used for automated retinal layer segmentation of 11 boundaries in the OCT and OCTA scans. Following the manufacturer's definition of the retinal vascular plexuses, the superficial vascular complex (SVC) was delimited by the retinal nerve fiber layer and a 17-μm offset anterior to the inner plexiform layer (IPL) boundaries, whereas the deep vascular complex (DVC) was delimited by a 17-μm offset anterior to the inner plexiform layer and the outer plexiform layer boundaries.

Multimodal Diabetic Retinopathy Grading

Disc and macula color images were assessed for characteristic DR features and then staged using the national screening for DR system for England, Wales, and Northern Ireland into 4 levels: none (R0), background (R1), preproliferative (R2), and proliferative (R3). Maculopathy and photocoagulation scars were graded as absent (M0, P0) or present (M1, P1). Ultra-widefield retinal images underwent transformation using Optos stereographic projection software (ProView), which considers the optical imaging system and the ocular geometry to map each pixel to a consistent spherical geometry. This allows accurate representation of retinal features throughout the image, compensating for distortions resulting from the retinal curvature and enabling subsequent measurements made on the images in pixels to be converted to millimeter equivalents.¹⁷ Inaccurately projected images resulting from quality, gaze angle, or both were reprojected manually using Image J software (National Institutes of Health), finding the x- and y- coordinates of the fovea. Optos V2 Vantage Dx Review version 2.5.0.135 was used to view the images, and DR was graded using the system outlined by Silva et al.^{18,19} Diabetic retinopathy features were recorded within the Early Treatment Diabetic Retinopathy Study (ETDRS) 7 fields, and the retina outside this area was divided into 5 peripheral fields. For this analysis, presence of DR features only in the area outside the ETDRS 7 fields was recorded as peripheral DR only.

OCT Angiography Image Quality Grading and Postprocessing

OCT angiography images were viewed using Spectralis software version 6.9 and assessed individually for quality by 1 grader (R.E.H.) and a subset checked by another grader (U.C.) and classified according to the proportion of the microvasculature in the SVC slab that was seen clearly and not obscured by artifact, using this quality scale: grade 5, 100%; grade 4, 99% to 80%; grade 3, 80% to 60%; grade 2, 60% to 40%; and grade 1, less than 40%. Images that were classified as grades 4 and 5 were imported using the manufacturer supplied prototype OCTA Analytics software (Spectralis viewing module version 6.12.4.710) for OCTA post-processing and analysis of microvascular density by various quantitative parameters. Grade 3 was considered borderline and reviewed individually before extraction of OCTA metrics. Grade 1 and 2 images were excluded. Examples from each grade are shown in Figure 1.

OCTA quality scores produced by the device indicate the average signal-to-noise ratio of the OCTA volume, but do not account for, for example, focus errors, suboptimal optical alignment, and erroneous layer segmentation. The quality scores therefore were insufficient to determine overall image quality as evidenced by several cases in which blink, movement, and segmentation artifacts, or otherwise poor visibility of the microvasculature, were reasons for exclusion, despite high quality scores (Supplemental Fig 1).

Using the OCTA Analytics software, images were post-processed using a proprietary 3-dimensional projection artifact removal algorithm, which incorporates a 3-dimensional vessel shape estimate and corrects for 3-dimensional projection tails of OCTA signal into the retinal tissue.

Vessel Density Assessment

We exported grid-wise the quantitative OCTA parameters of each vascular slab according to the fovea-centered modified ETDRS 123 grid, which uses ring diameters of 1, 2, and 3 mm and measures 9 sectors. The prototype exploratory software uses proprietary algorithms to provide a large range of vessel density parameters. For comparison purposes, we selected only the binary vessel density parameter and the nerve fiber layer vascular plexus (NFLVP), SVC, and DVC slabs. The binary metric is the most consistent with binarized vessel density parameters used in previous publications because it classifies whether the A-scan in the vascular slab includes a vessel.

Fractal Dimensions and Foveal Avascular Zone Characteristics

Custom designed software written in MATLAB (MathWorks) was used to analyze the superficial vascular layer OCTA images. We used the multifractal analysis method previously described by Stosic and Stosic.²⁰ In multifractal analysis, the image first is binarized to segment the vessels. The retinal vasculature is characterized as a spectrum of dimensions at different scales, with fractal dimension being a metric ranging between 1 and 2, with higher values reflecting increased complexity of a vascular network. For the Fourier fractal analysis method, the image was transformed to the Fourier spectrum and plotted against the frequency in a log–log scale. No prior segmentation was performed, and the technique was applied directly to the grayscale image. The FAZ region was segmented and FAZ area, minimum and maximum diameter, and the perimeter length were measured (Supplemental Fig 2). Foveal avascular zone circularity was estimated using the formula: circularity = $4\pi(\text{area} / \text{perimeter}^2)$, where a value of 1.0 indicates a perfect circle and a value approaching 0.0 indicates an increasingly elongated polygon.

Statistical Analysis

Associations between OCT Angiography Metrics and Diabetes Mellitus and Diabetes Retinopathy Status. Analyses were conducted in R software version 3.6.2 (R Foundation for Statistical Computing). The quantitative parameters were reported as means and standard deviations. To estimate differences in OCTA metrics between DM and DR groups, a hierarchical linear regression was fitted for each metric in each slab. In each regression, the OCTA metric was the response variable with DM and DR status as the main predictor and compared with those without diabetes (control participants). Interaction terms between DM and DR status and ETDRS 123 sectors 1, 2, and 3 were specified as fixed effects to estimate simultaneously within each region the mean value among

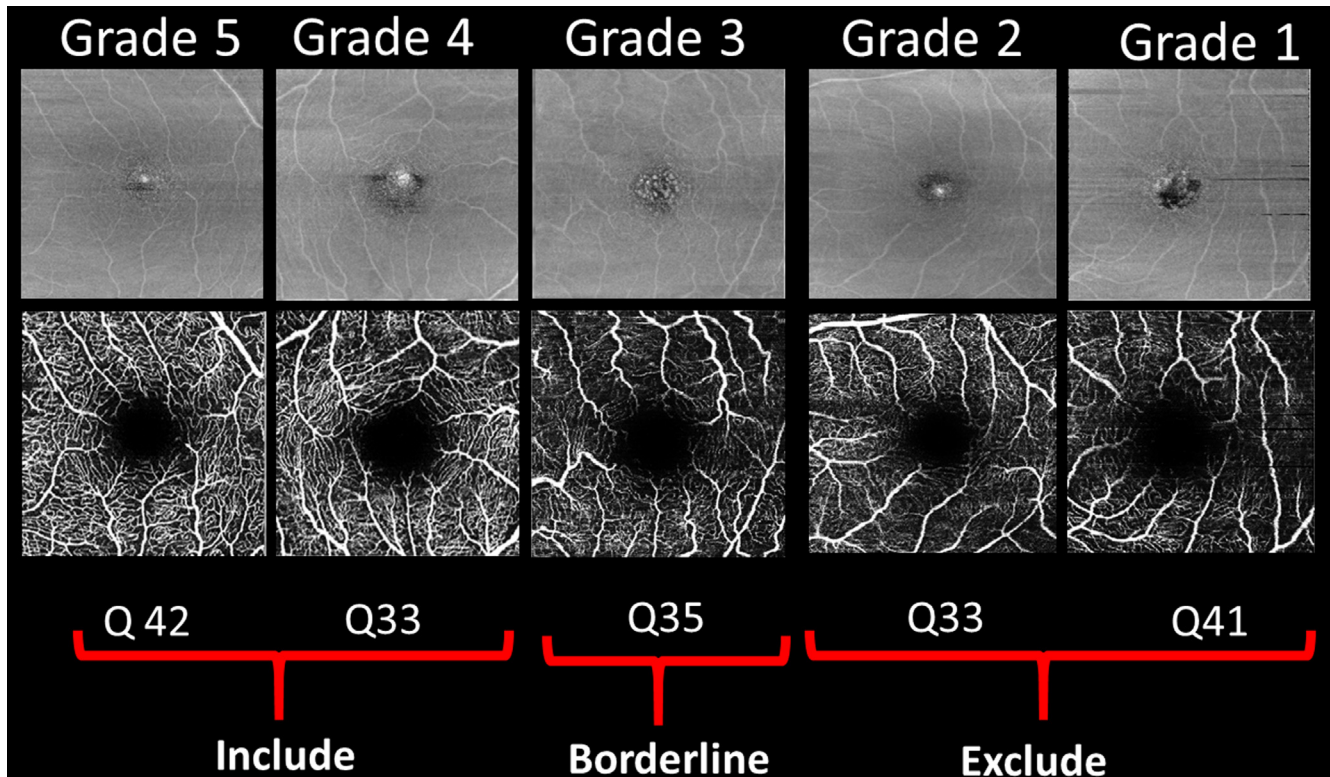


Figure 1. Example images of quality (Q) assessment of macular OCT angiography scans.

control participants and the differences associated with DM and DR status.

Random effects for the intercept were fitted for eyes nested within participants to allow for correlations among regions within eyes and between eyes within individuals. Analysis was conducted first with borderline cases excluded, and then included trends were similar in both analyses. Therefore, they were retained to increase the power. Two sets of models were fitted, the first adjusting for age and sex alone, with fixed effects for sex using linear and quadratic functions of age, and a second set additionally adjusted for potential covariates (spherical equivalent, hypertension history, and smoking status).

Additional models were fitted to investigate whether associations existed between OCTA metrics and presence of DR in the periphery among those with DM. A comparison was made between those with DM and no DR (anywhere) and those with DR in the periphery only (detected using ultra-widefield imaging).

Diagnostic Performance of OCT Angiography. Diagnostic performance of each OCTA metric was assessed for 2 classification tasks: control participants versus patients with DM and no DR, and control participants versus patients with DR. For each task, the OCTA metric was the sole predictor used in the classification. Area under the receiver operating characteristic curve (AUC) statistics were calculated from receiver operating characteristic curves. The AUC was calculated by slab and ETDRS region for the binary vessel metric and globally for the other metrics (e.g., FAZ area).

Results

In total of 686 eyes were imaged with OCTA. Exclusions included 15 eyes because of other pathologic features, 83 eyes

because of poor-quality images, and 147 eyes because of presence of early or intermediate age-related macular degeneration. The final sample consisted of 441 eyes from 296 individuals. Table 1 shows the clinical characteristics of 3 groups: control participants, patients with DM and no DR, and patients with DR. There were 328 control eyes (no diabetes and no retinal disease), 55 eyes with DM and no DR, and 58 eyes with DR. All except 3 eyes with DR showed mild nonproliferative DR without diabetic macular edema. See Figure 2 for examples of the 3 classes of participants.

Analysis was focused on 3 slabs: NFLVP, SVC, and DVC. Distribution of OCTA metrics within each of these slabs was unimodal within each ETDRS region (Fig 3). For both the SVC and DVC, the central region, C0, showed markedly lower values compared with other regions because it includes the FAZ with a bimodal distribution and a heavy tail to the left.

Associations between OCT Angiography Metrics and Diabetes Mellitus and Diabetes Retinopathy Status

Table 2 provides the mean, standard deviation, and selected quantiles for the NFLVP, SVC, and DVC for the binarized vessel metric. Hierarchical linear regression for comparisons of vessel density between control participants and the 2 DM groups, without DR and with DR, are shown in Table 3. Statistically significant differences between control participants and patients with DM and no DR were few and

Table 1. Cohort Characteristics

Characteristic	All	Control Group	Diabetes Mellitus and No Diabetic Retinopathy	Nonproliferative Diabetic Retinopathy	Peripheral Diabetic Retinopathy Only*
No. of eyes	441	328	55	58	11
No of individuals	296	222	39	44	9
Age, yrs	66.1 ± 8.1	65.6 ± 8.3	68.6 ± 7	66.2 ± 7.5	68.3 ± 5.2
HbA1c (%)	6.1 ± 1.4	5.5 ± 0.3	7.2 ± 1.3	7.8 ± 2.4	7.2 ± 2
Duration of diabetes (mos)	120 (60–180)	N/A	96 (60–180)	120 (60–180)	120 (54–144)
Diabetes type					
I			1 (1.8)	7 (12.1)	0 (0.0)
II			43 (78.2)	51 (87.9)	11 (100.0)
Unknown			11 (20.0)	0 (0.0)	0(0.0)
Spherical equivalent	0.7 ± 2	0.7 ± 2	1 ± 2	0.8 ± 2.4	0.1 ± 2.3
Sex					
Male	167 (37.9)	149 (45.4)	6 (10.9)	12 (20.7)	1 (9.1)
Female	274 (62.1)	179 (54.6)	49 (89.1)	46 (79.3)	10 (90.9)
History of hypertension					
Negative	249 (56.5)	218 (66.5)	18 (32.7)	13 (22.4)	1 (9.1)
Positive	192 (43.5)	110 (33.5)	37 (67.3)	45 (77.6)	10 (90.9)
Smoking status					
Never	232 (52.6)	182 (55.5)	27 (49.1)	23 (39.7)	4 (36.4)
Former	176 (39.9)	125 (38.1)	22 (40.0)	29 (50.0)	7 (63.6)
Current	21 (4.8)	9 (2.7)	6 (10.9)	6 (10.3)	0 (0.0)
Unknown	12 (2.7)	12 (3.7)	0 (0.0)	0 (0.0)	0 (0.0)

HbA1c = hemoglobin A1c; N/A = not applicable.

Data are presented as mean±standard deviation, median (interquartile range), or no. (%).

*Subgroup of diabetes retinopathy group.

effect sizes were small, but the associations were in the expected direction of decreased density (−0.3% to −4.7% for SVC) in those with DM. Vessel densities were significantly lower (i.e., negative values for comparison

estimates) in eyes from the DR group across most of the ETDRS regions in both DVC and SVC slabs. Most ETDRS inner sectors (1, 2, and 3) in the DVC showed reduced vascular density compared with control participants. In the

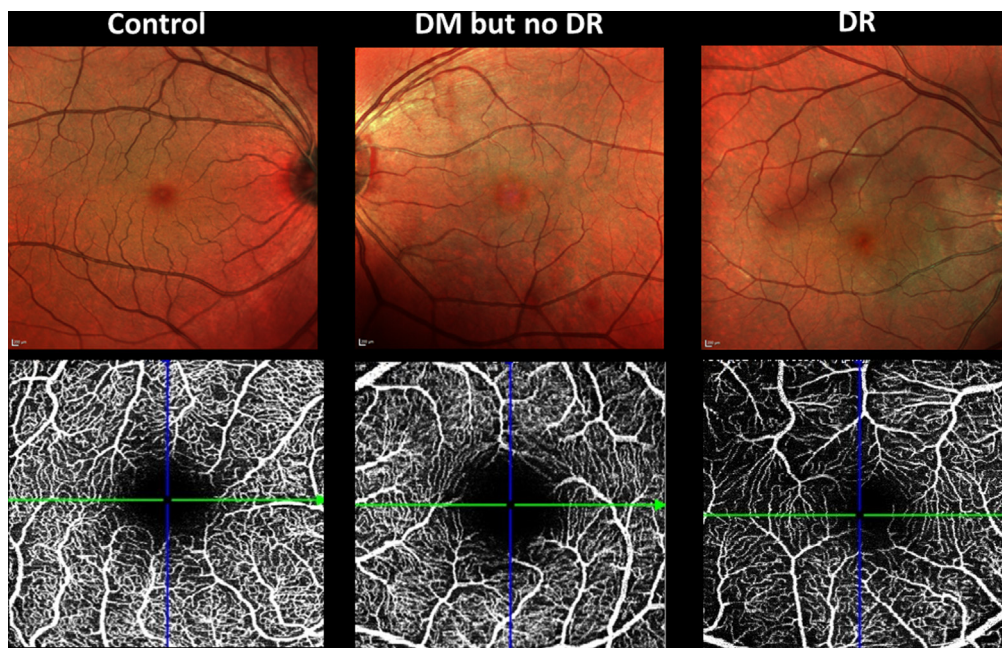


Figure 2. Example images from the 3 groups: control participants (no diabetes mellitus [DM] and no diabetic retinopathy [DR]), DM but no DR, and DR. **Top row**, Confocal multicolor images. **Bottom row**, OCT angiography showing the superficial vascular complex.

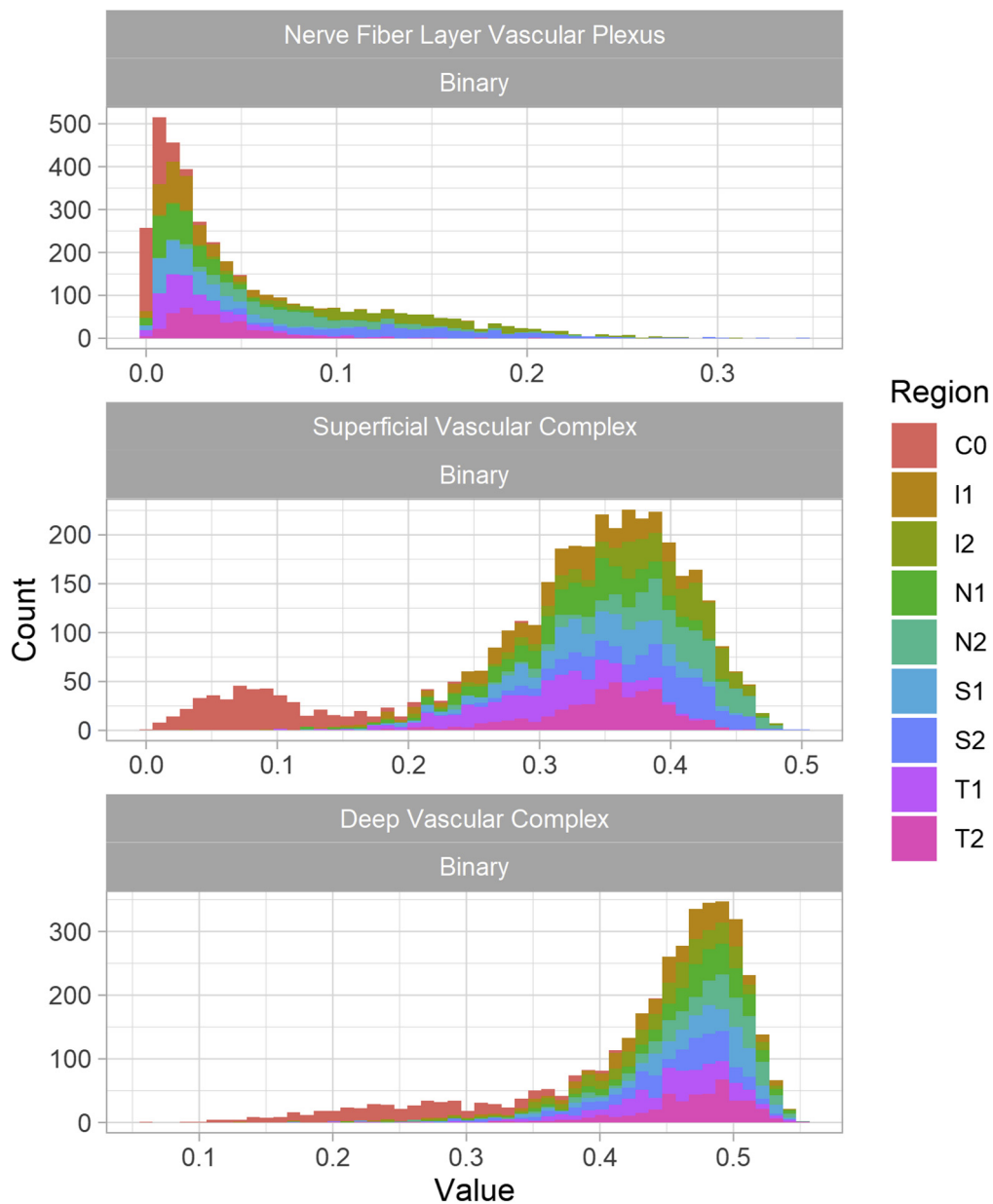


Figure 3. Graphs showing the distribution of OCT angiography vessel density according to layer and Early Treatment Diabetic Retinopathy Study segment.

NFLVP slab, only 2 regions (I2 and S2) showed lower vessel densities in the DR group compared with the control group. The magnitude of differences between eyes with DR versus those of control participants was at least twice that of the difference between control participants and the DM with no DR group (-6.7% to -9.7% vs. -4.7 to 1.4 in SVC). In the DM without DR group of eyes, the outer sectors of the ETDRS grid showed significant differences in the inferior and nasal regions (Table 3).

Table 4 shows the association between OCTA FAZ characteristics and whole image fractal metrics and DM and DR status. Compared with control participants, significant differences in FAZ perimeter length and circularity were seen in eyes from patients with DM and no DR and from

patients with DR. No significant differences were seen for FAZ area or the minimum or maximum diameter. Both DM groups showed smaller FAZ perimeter when compared with control participants, whereas FAZ circularity was greater even in the fully adjusted models for both groups. Fourier average fractal dimension was increased in patients with DM with no DR compared with control participants, consistent with a more complex arrangement of vessels, and was sparser and less dense in those with DR. Only the DM with no DR versus control association was significant in the fully adjusted model for average fractal dimension. After full adjustment, only those with DR showed a significantly sparser multispectral fractal dimension compared with control participants.

Table 2. Distribution of OCT Angiography Binary Metric for Selected Slabs and Regions

Slab	Region	Diabetes Mellitus and Diabetic Retinopathy Status	Mean	Standard Deviation	Quality Score					
					2.5%	25%	50%	75%	97.5%	
Nerve fiber layer vascular plexus	C0	Control	0.006	0.007	0.000	0.001	0.004	0.008	0.026	
	C0	DM and no DR	0.007	0.009	0.000	0.002	0.005	0.009	0.028	
	C0	DR	0.008	0.008	0.000	0.003	0.006	0.010	0.028	
	I1	Control	0.027	0.023	0.003	0.012	0.021	0.035	0.094	
	I1	DM and no DR	0.026	0.018	0.006	0.011	0.023	0.039	0.068	
	I1	DR	0.027	0.021	0.002	0.013	0.020	0.036	0.072	
	I2	Control	0.139	0.054	0.043	0.103	0.134	0.170	0.257	
	I2	DM and no DR	0.124	0.063	0.016	0.082	0.113	0.167	0.239	
	I2	DR	0.128	0.054	0.013	0.100	0.122	0.166	0.220	
	N1	Control	0.023	0.019	0.003	0.010	0.019	0.030	0.076	
	N1	DM and no DR	0.029	0.026	0.003	0.011	0.021	0.037	0.091	
	N1	DR	0.025	0.028	0.003	0.010	0.019	0.027	0.092	
	N2	Control	0.087	0.044	0.023	0.055	0.079	0.110	0.194	
	N2	DM and no DR	0.082	0.047	0.023	0.046	0.066	0.108	0.193	
	N2	DR	0.078	0.037	0.031	0.052	0.072	0.094	0.157	
	S1	Control	0.027	0.021	0.003	0.012	0.022	0.035	0.088	
	S1	DM and no DR	0.033	0.021	0.005	0.016	0.031	0.047	0.072	
	S1	DR	0.029	0.023	0.005	0.012	0.020	0.038	0.087	
	S2	Control	0.142	0.055	0.048	0.104	0.138	0.176	0.252	
	S2	DM no DR	0.138	0.056	0.048	0.098	0.139	0.173	0.258	
	S2	DR	0.132	0.054	0.049	0.092	0.127	0.165	0.245	
	T1	Control	0.025	0.023	0.004	0.012	0.019	0.032	0.091	
	T1	DM and no DR	0.032	0.026	0.004	0.014	0.026	0.044	0.074	
	T1	DR	0.030	0.030	0.001	0.009	0.019	0.039	0.112	
	T2	Control	0.037	0.025	0.008	0.019	0.031	0.048	0.096	
	T2	DM and no DR	0.045	0.027	0.010	0.022	0.039	0.064	0.099	
	T2	DR	0.040	0.032	0.007	0.020	0.032	0.048	0.121	
	Superficial vascular complex	C0	Control	0.089	0.045	0.020	0.058	0.083	0.112	0.200
		C0	DM and no DR	0.078	0.049	0.017	0.041	0.066	0.113	0.178
		C0	DR	0.093	0.056	0.024	0.053	0.084	0.116	0.255
		I1	Control	0.328	0.060	0.202	0.289	0.335	0.371	0.419
		I1	DM and no DR	0.307	0.062	0.160	0.274	0.311	0.350	0.405
		I1	DR	0.302	0.077	0.147	0.245	0.313	0.365	0.403
		I2	Control	0.382	0.057	0.240	0.354	0.387	0.425	0.462
		I2	DM and no DR	0.382	0.051	0.258	0.364	0.398	0.414	0.440
		I2	DR	0.363	0.060	0.234	0.325	0.375	0.408	0.443
N1		Control	0.332	0.054	0.217	0.301	0.340	0.368	0.420	
N1		DM and no DR	0.307	0.064	0.179	0.267	0.315	0.353	0.411	
N1		DR	0.304	0.068	0.150	0.279	0.322	0.348	0.410	
N2		Control	0.400	0.046	0.296	0.375	0.405	0.432	0.470	
N2		DM and no DR	0.382	0.050	0.286	0.350	0.394	0.417	0.454	
N2		DR	0.371	0.057	0.247	0.337	0.379	0.414	0.452	
S1		Control	0.340	0.054	0.228	0.310	0.346	0.379	0.429	
S1		DM and no DR	0.326	0.056	0.216	0.280	0.336	0.369	0.412	
S1		DR	0.316	0.065	0.176	0.278	0.328	0.370	0.402	
S2		Control	0.383	0.053	0.262	0.350	0.395	0.421	0.462	
S2		DM and no DR	0.373	0.053	0.273	0.335	0.386	0.413	0.455	
S2		DR	0.356	0.061	0.245	0.316	0.356	0.402	0.451	
T1		Control	0.305	0.051	0.211	0.269	0.308	0.340	0.392	
T1		DM and no DR	0.282	0.057	0.129	0.251	0.298	0.315	0.374	
T1		DR	0.283	0.064	0.147	0.242	0.285	0.343	0.372	
T2		Control	0.353	0.046	0.245	0.330	0.359	0.385	0.428	
T2		DM and no DR	0.348	0.047	0.256	0.318	0.353	0.386	0.418	
T2		DR	0.323	0.057	0.197	0.285	0.336	0.364	0.399	
Deep vascular complex		C0	Control	0.263	0.069	0.132	0.217	0.263	0.312	0.397
		C0	DM and no DR	0.240	0.065	0.130	0.191	0.231	0.280	0.358
		C0	DR	0.255	0.079	0.117	0.195	0.247	0.307	0.408
		I1	Control	0.456	0.053	0.321	0.431	0.468	0.493	0.527
		I1	DM and no DR	0.445	0.049	0.360	0.420	0.451	0.477	0.508
		I1	DR	0.422	0.062	0.275	0.413	0.432	0.457	0.502
		I2	Control	0.444	0.061	0.278	0.415	0.458	0.488	0.525
		I2	DM and no DR	0.444	0.044	0.354	0.417	0.450	0.475	0.505

(Continued)

Table 2. (Continued.)

Slab	Region	Diabetes Mellitus and Diabetic Retinopathy Status	Mean	Standard Deviation	Quality Score				
					2.5%	25%	50%	75%	97.5%
	I2	DR	0.425	0.061	0.265	0.397	0.435	0.466	0.513
	N1	Control	0.473	0.045	0.354	0.458	0.483	0.502	0.530
	N1	DM and no DR	0.446	0.059	0.280	0.433	0.462	0.478	0.510
	N1	DR	0.444	0.054	0.326	0.418	0.453	0.482	0.516
	N2	Control	0.482	0.044	0.377	0.463	0.492	0.510	0.536
	N2	DM and no DR	0.459	0.057	0.296	0.442	0.472	0.492	0.522
	N2	DR	0.450	0.045	0.350	0.430	0.454	0.481	0.509
	S1	Control	0.473	0.046	0.360	0.451	0.485	0.504	0.533
	S1	DM and no DR	0.454	0.046	0.349	0.427	0.466	0.490	0.516
	S1	DR	0.446	0.058	0.305	0.416	0.460	0.487	0.513
	S2	Control	0.455	0.052	0.325	0.432	0.468	0.489	0.519
	S2	DM and no DR	0.437	0.053	0.318	0.400	0.456	0.476	0.515
	S2	DR	0.422	0.061	0.296	0.385	0.432	0.474	0.500
	T1	Control	0.457	0.043	0.364	0.433	0.463	0.486	0.525
	T1	DM and no DR	0.439	0.053	0.303	0.424	0.454	0.474	0.505
	T1	DR	0.427	0.053	0.300	0.400	0.432	0.465	0.504
	T2	Control	0.471	0.041	0.372	0.454	0.479	0.496	0.525
	T2	DM and no DR	0.464	0.037	0.390	0.444	0.467	0.493	0.512
	T2	DR	0.443	0.058	0.287	0.421	0.454	0.479	0.508

DM = diabetes mellitus; DR = diabetic retinopathy.

Associations between Central OCT Angiography Metrics and Presence of Diabetes Retinopathy in the Periphery

Of those with DR present, 11 showed peripheral disease only. Eyes with peripheral DR showed only reduced binarized vessel density compared with those with DM and no DR in the S2, T1, and T2 regions in the DVC and SVC (Table 5).

Diagnostic Performance of OCT Angiography

Area under the receiver operating characteristic curve statistics calculated from receiver operating characteristic curves ranged from 0.48 to 0.73 when comparing control participants versus patients with DM and no DR and control participants versus patients with DR, with the latter comparison performing slightly better in general (Tables 3 and 4). Within each ETDRS region, the highest AUC values were derived from measurements of the DVC, followed by the SVC. Measurements from the NFLVP performed no better than a random classifier in most regions for both comparisons.

Discussion

This study demonstrated that spatially specific quantitative differences in OCTA vessel density can be identified between control participants and those with DM even before the onset of clinically overt DR. The measurements were made without prior manual segmentation using a prototype OCTA Analytics software package on a commonly used imaging platform demonstrating easy clinical applicability. Although the magnitude of change in vessel density was modest, the decrease in eyes without overt DR remained detectable, showing the potential for OCTA-based vessel

density quantification as an outcome measure in prophylaxis and interventional trials. Although other studies have reported quantitative changes in this disease, this study reports effect size differences between control participants versus patients with DM and no DR, and control participants versus patients with early DR after projection artefact removal using the device manufacturer's OCTA Analytics software while correcting for multiple covariates. We showed that the differences between patients with DR and control participants is approximately twice that between control participants and patients with DM and no DR. Longitudinal studies will be required to determine the significance of these findings.

Vessel density analysis has been reported in patients with diabetes with different retinopathy stages.^{21,22} In our study, patients with DR showed lower values for the OCTA metrics across most of the central ETDRS grid sectors when analyzing the DVC. Regarding the SVC, fewer sectors showed significant differences, and none on the NFLVP. These results in general are agreement with those of previous publications.^{21,22} Early detection of ophthalmoscopically nonvisible neurovascular changes with OCTA has been tested in patients with diabetes before the first clinical signs of DR appear.^{23–25} In this context, a recent meta-analysis showed that a decrease in the vascular density values could be detected on OCTA images in eyes without overt DR.²³ However, it is notable that our study showed that the differences in vessel density between groups is small, detecting a reduction in vessel density of approximately 5% in the patients with DM and no DR and of 10% in the patients with DM and DR.

Characterization of the FAZ is one of the most commonly reported metrics in studies evaluating OCTA and DR.^{26–33} Most studies have used small control groups, which limits their efforts to adjust for the population-based

Table 3. Associations between OCT Angiography Binary Metric and Diabetes Mellitus and Diabetic Retinopathy Status

Metric	Control Group vs. Diabetes Mellitus and No Diabetic Retinopathy Group*									Control Group vs. Diabetic Retinopathy Group								
	C0	I1	I2	N1	N2	S1	S2	T1	T2	C0	I1	I2	N1	N2	S1	S2	T1	T2
Nerve fiber layer vascular plexus																		
Reference	0.002	0.023	0.135	0.019	0.083	0.023	0.138	0.021	0.033	0.002	0.023	0.135	0.019	0.083	0.023	0.138	0.021	0.033
Comparison	0.002	-0.002	-0.019	0.005	-0.008	0.005	-0.008	0.006	0.007	0.002	-0.001	-0.012	0.001	-0.009	0.000	-0.017	0.004	0.003
Difference (%)	0.0	-8.7	-14.1	26.3	-9.6	21.7	-5.8	28.6	21.2	0.0	-4.3	-8.9	5.3	-10.8	0.0	-12.3	19.0	9.1
P value	0.670	0.690	0.001	0.410	0.150	0.410	0.193	0.270	0.220	0.650	0.840	0.033	0.830	0.100	0.940	0.003	0.490	0.590
AUC (95% CI)	0.55 (0.47, 0.63)	0.51 (0.43, 0.60)	0.58 (0.48, 0.67)	0.56 (0.47, 0.64)	0.55 (0.46, 0.63)	0.60 (0.52, 0.68)	0.48 (0.39, 0.56)	0.60 (0.52, 0.69)	0.59 (0.51, 0.68)	0.59 (0.51, 0.67)	0.49 (0.41, 0.58)	0.55 (0.46, 0.63)	0.52 (0.44, 0.60)	0.55 (0.47, 0.63)	0.49 (0.40, 0.57)	0.55 (0.47, 0.64)	0.49 (0.40, 0.59)	0.52 (0.44, 0.60)
Superficial vascular complex																		
Reference	0.096	0.335	0.389	0.338	0.407	0.347	0.390	0.311	0.360	0.096	0.335	0.389	0.338	0.407	0.347	0.390	0.311	0.360
Comparison	-0.003	-0.012	0.010	-0.016	-0.009	-0.005	-0.001	-0.013	0.005	0.009	-0.031	-0.026	-0.030	-0.031	-0.031	-0.035	-0.027	-0.035
Difference (%)	-3.1	-3.6	2.6	-4.7	-2.2	-1.4	-0.3	-4.2	1.4	9.4	-9.3	-6.7	-8.9	-7.6	-8.9	-9.0	-8.7	-9.7
P value	0.730	0.130	0.224	0.047	0.250	0.570	0.880	0.120	0.57	0.290	<0.001	0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001
AUC (95% CI)	0.59 (0.50-0.68)	0.60 (0.52-0.68)	0.49 (0.42-0.57)	0.61 (0.53-0.70)	0.60 (0.53-0.68)	0.57 (0.48-0.65)	0.56 (0.48-0.64)	0.61 (0.53-0.68)	0.53 (0.45-0.62)	0.50 (0.41-0.58)	0.59 (0.50-0.68)	0.59 (0.52-0.67)	0.61 (0.53-0.69)	0.65 (0.57-0.73)	0.60 (0.52-0.68)	0.63 (0.55-0.72)	0.58 (0.50-0.67)	0.65 (0.57-0.73)
Deep vascular complex																		
Reference	0.262	0.455	0.443	0.472	0.480	0.472	0.453	0.456	0.470	0.262	0.455	0.443	0.472	0.480	0.472	0.453	0.456	0.470
Comparison	-0.020	0.013	0.024	-0.004	0.000	0.004	0.006	0.006	0.017	-0.005	-0.027	-0.012	-0.018	-0.018	-0.017	-0.023	-0.023	-0.021
Difference (%)	-7.6	2.9	5.4	-0.8	0.0	0.8	1.3	1.3	3.6	-1.9	-5.9	-2.7	-3.8	-3.8	-3.6	-5.1	-5.0	-4.5
P value	0.017	0.12	0.004	0.654	0.963	0.628	0.463	0.502	0.042	0.529	<0.001	0.132	0.028	0.027	0.044	0.006	0.006	0.012
AUC (95% CI)	0.61 (0.53-0.69)	0.59 (0.52-0.67)	0.54 (0.47-0.61)	0.68 (0.61-0.75)	0.64 (0.56-0.72)	0.64 (0.56-0.72)	0.61 (0.53-0.69)	0.60 (0.52-0.68)	0.57 (0.49-0.65)	0.54 (0.45-0.62)	0.69 (0.63-0.76)	0.61 (0.54-0.69)	0.68 (0.61-0.75)	0.73 (0.67-0.79)	0.65 (0.58-0.73)	0.67 (0.59-0.75)	0.68 (0.60-0.75)	0.67 (0.60-0.75)

AUC = area under the receiver operating characteristic curve; CI = confidence interval.

Reference rows indicate the adjusted mean value of the OCT angiography metric in the control group, comparison rows indicate the estimated difference between the mean for the relevant group (diabetes mellitus and no diabetic retinopathy or diabetic retinopathy) and the control group mean. Comparisons between the control group and diabetes mellitus and diabetic retinopathy group with $P < 0.05$ appear in boldface. The AUC values are from models classifying diabetes mellitus and diabetic retinopathy status using OCT angiography metrics as the sole predictor.

*Adjusted for age, sex, hypertension, smoking status, and refractive error.

Table 4. Associations between OCT Angiography Foveal Avascular Zone and Whole Image Fractal Metrics and Diabetes Mellitus and Diabetic Retinopathy Status

Metric	Parameter Estimate	95% Confidence Interval	P Value	Area under the Receiver Operating Characteristic Curve	95% Confidence Interval
FAZ diameter minimum (mm)					
Reference	0.470	0.417–0.523	<0.001		
DM and no DR	0.015	–0.026 to 0.057	0.477	0.59	0.52–0.67
DR	0.004	–0.036 to 0.045	0.828	0.53	0.45–0.61
FAZ diameter maximum (mm)					
Reference	0.674	0.614–0.733	<0.001		
DM and no DR	–0.002	–0.051 to 0.047	0.935	0.53	0.45–0.62
DR	–0.017	–0.064 to 0.031	0.493	0.53	0.45–0.61
FAZ perimeter (mm)					
Reference	2.490	2.160–2.819	<0.001		
DM and no DR	–0.318	–0.601 to –0.036	0.028	0.59	0.51–0.66
DR	–0.358	–0.636 to –0.080	0.012	0.61	0.54–0.69
FAZ circularity					
Reference	0.627	0.555–0.698	<0.001		
DM and no DR	0.141	0.079–0.203	<0.001	0.71	0.64–0.79
DR	0.099	0.037–0.161	0.002	0.64	0.56–0.72
FAZ area (mm ²)					
Reference	0.223	–0.170 to 0.617	0.267		
DM and no DR	–0.058	–0.326 to 0.209	0.670	0.56	0.47–0.64
DM and DR	–0.048	–0.316 to 0.219	0.723	0.53	0.45–0.60
Fourier average fractal dimensions (slope)					
Reference	–1.987	–2.029 to –1.944	<0.001		
DR	0.037	0.002–0.073	0.038	0.59	0.50–0.68
DM and no DR	–0.022	–0.056 to 0.012	0.212	0.58	0.49–0.66
Multispectral fractal dimensions (slope)					
Reference	1.856	1.851–1.861	<0.001		
DM and no DR	0.001	–0.003 to 0.005	0.723	0.57	0.49–0.65
DR	–0.005	–0.009 to –0.001	0.007	0.61	0.53–0.69

DM = diabetes mellitus; DR = diabetic retinopathy; FAZ = foveal avascular zone.

Adjusted for age, sex, hypertension, smoking status, and refractive error. Reference rows indicate the adjusted mean value of the OCT angiography metric in the control group (i.e., the estimated model intercept). Other rows indicate the estimated difference between the mean for the relevant group and the control group mean. The area under the receiver operating characteristic curve values are from models classifying DM and DR status using OCT angiography metrics as the sole predictor. Boldface indicates statistical significance.

variation in normal foveal architecture that has been shown to be substantial,³⁴ and rarely correct for potential confounding variables. Most studies have reported an enlargement in FAZ diameter and area in eyes of persons with DM compared with control participants.^{26–29} We found that the perimeter decreased in both DM groups compared with control participants, whereas the circularity increased, which is at odds with the findings of others. This may have arisen because we analyzed only the SVC, whereas others used the DVC, which is more likely to exhibit FAZ abnormalities early in the disease.^{26,29} Our data also differ from reports that have provided the FAZ measurement from the inner retina slab that did not distinguish the SVC from the DVC.^{27,28} Therefore, we contend that differences in segmentation and our choice of slab likely explain the differences that we observed.³⁵ However, our use of a large control population drawn from a cohort of community-based sampling and an older age group provides a better representation of the normal variation in foveal architecture, and therefore, differences that may have arisen by chance, particularly in studies with small sample sizes, are avoided.

Fractal analysis quantifies the complexity or density of the vessel branching pattern visible in a retinal image in terms of a fractal dimension and generates a single value that summarizes how complex or sparse the vascular pattern is. Variations in the fractal dimension are an indicator of deviation away from the normal or optimized network, and so a potential marker of disease. In multifractal analysis, the retinal vasculature is characterized as a spectrum of dimensions at different scales having first segmented the vessels. The measurement “fractal dimensions” in multifractal analysis is a metric ranging between 1 and 2, with higher values reflecting increased complexity of a vascular network. Although several studies have reported that fractal dimensions are altered in the presence of DR,^{36,37} we found differences only in multispectral dimensions between control participants and those with manifest DR. Restricting analysis for FAZ to the SVC may have contributed to this finding, because others have reported greater effects seen in the DVC.³⁶

Our data are the first to show subtle macular microcirculatory abnormalities in eyes with peripheral DR only. The importance of DR features in the peripheral retina has been shown to be an important predictor for worsening of DR.¹⁹ Both

Table 5. Associations between OCT Angiography Metrics and Presence versus Absence of Diabetic Retinopathy in the Periphery

Slab	Adjusted for Age and Sex									Adjusted for Age, Sex, Hypertension, Smoking Status, and Refractive Error								
	C0	I1	I2	N1	N2	S1	S2	T1	T2	C0	I1	I2	N1	N2	S1	S2	T1	T2
Nerve fiber layer																		
vascular plexus																		
Reference	-0.004	0.015	0.112	0.017	0.070	0.021	0.126	0.020	0.033	0.006	0.024	0.120	0.028	0.078	0.031	0.134	0.031	0.043
Comparison	-0.004	-0.004	-0.005	-0.011	-0.017	-0.004	-0.006	-0.009	-0.010	-0.003	-0.003	-0.008	-0.009	-0.019	-0.007	-0.022	-0.010	-0.011
P value	0.730	0.780	0.680	0.420	0.200	0.770	0.650	0.500	0.450	0.790	0.850	0.560	0.520	0.190	0.610	0.120	0.500	0.420
Superficial vascular complex																		
Reference	0.071	0.301	0.376	0.300	0.375	0.319	0.367	0.275	0.342	0.080	0.307	0.383	0.307	0.382	0.327	0.373	0.283	0.349
Comparison	-0.009	-0.004	-0.006	0.001	-0.006	-0.005	-0.030	-0.010	-0.036	-0.014	-0.001	-0.015	0.011	-0.005	-0.011	-0.044	-0.013	-0.044
P value	0.650	0.800	0.740	0.970	0.760	0.790	0.098	0.600	0.048	0.530	0.950	0.450	0.590	0.810	0.570	0.028	0.520	0.027
Deep vascular complex																		
Reference	0.248	0.453	0.453	0.454	0.467	0.462	0.446	0.447	0.472	0.276	0.482	0.481	0.482	0.495	0.490	0.473	0.476	0.501
Comparison	0.003	-0.007	-0.004	-0.009	-0.016	-0.038	-0.059	-0.038	-0.050	0.006	-0.003	-0.008	0.003	-0.001	-0.033	-0.061	-0.045	-0.057
P value	0.860	0.720	0.820	0.640	0.390	0.045	0.002	0.047	0.009	0.780	0.880	0.710	0.890	0.950	0.110	0.004	0.034	0.007

Reference rows indicate the adjusted mean value of the OCT angiography metric in the control group. Comparison rows indicate the estimated difference between the mean for the relevant group (diabetes mellitus and no diabetic retinopathy or diabetic retinopathy) and the control group mean. Comparisons between the control group and diabetes mellitus and diabetic retinopathy group with $P < 0.05$ appear in boldface.

the presence and extent of predominantly peripheral lesions were associated with an increased risk of progression of proliferative DR independent of baseline DR severity and hemoglobin A1c levels.^{18,19} However, we recognize that the number of eyes with only peripheral lesions ($n = 11$) was very small, and therefore the results need to be interpreted accordingly. A recent comparison between severity of DR lesions using ultra-widefield imaging and OCTA in a larger sample used a predominantly peripheral lesions category, rather than exclusively peripheral lesions, in which lesions could be present in the ETDRS fields, although to a lesser extent. The study found a poor relationship between OCTA vessel density and DR severity and concluded that eyes with predominantly peripheral lesions had DR severity associated with primarily peripheral, rather than posterior, nonperfusion.³⁸ The differences in categorization make direct comparisons difficult.

Among the strengths of this study is the use of data from a large control population because it is already known that a variety of factors beyond retinal disease impact quantitative OCT parameters. Therefore, it is unlikely that matching using a 1:1 ratio for age would be sufficient to capture the natural variation in the general population. All participants originated from the population-based NICOLA study (<https://nicola.qub.ac.uk/>). This is an advantage compared with most clinical studies, which tend to recruit opportunistic control samples from university or hospital staff or their friends and family. The quality of a case-control study is contingent on the quality of the control population in terms of representativeness to the general population. The current study had a 1:4 case-to-control ratio, which from a statistical standpoint is considered optimal in terms of the precision of parameter estimates.³⁹ We also controlled for the main known confounders of OCTA quantitative parameters (age,⁴⁰ hypertension,¹⁴ smoking status,^{41,42} and refractive error⁴³). The relatively wide normal variation of parameters among the control participants even after correction for known confounders is reflected in the lower AUC than reported in other studies.^{44,45} The study also benefits from the characterization of DR using multimodal retinal imaging in addition to OCTA and the characterization of DR in the periphery using Optomap imaging using standardized reading center protocols.

Several weaknesses in the study are also worth considering when interpreting the results. Looking for differences among 9 segments and 3 layers results in a large number of comparisons being undertaken. Strict Bonferroni correction would require only those of less than 0.002 to be retained as statistically significant, which, it could be argued, is too conservative.⁴⁶ However, some of the comparisons barely reached the less than 0.05 threshold, hence, our focus on the effect sizes and directions of observed effects rather than the P values alone. The numbers of eyes with DR and in particular peripheral-only DR is very small and therefore may be underpowered to detect associations. This should be considered when interpreting the results. The initial assessment of quality of images was carried out by a single grader (R.E.H.) and no reliability metrics were assessed; however, general agreement with the machine-generated quality score and also eyes or segments in which the machine failed to return a density measurement because of poor quality provided confidence in the

assessment. We also were constrained to the segmentation slabs provided by the algorithm; other studies have suggested that the mid capillary plexus should be considered.⁴⁷

In summary, significant differences in OCTA metrics can be found in those with DM before manifest DR using commercially available equipment with minimal image manipulation. Although diagnostic performance was poor, these metrics may be useful for measuring change over time in clinical trials. Longitudinal studies are warranted to

explore further the usefulness of OCTA vessel metrics in clinical practice and interventional studies.

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¹ Centre for Public Health, Queen's University Belfast, Belfast, United Kingdom.

² Heidelberg Engineering GmbH, Heidelberg, Germany.

³ Queen's Medical Research Institute, University of Edinburgh, Edinburgh, United Kingdom.

⁴ School of Medicine, Tufts University, Boston, Massachusetts.

⁵ Moorfields Eye Hospital, London, United Kingdom.

⁶ University of Crete, Crete.

⁷ School of Medicine, Louisiana State University, New Orleans, Louisiana.

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Author Contributions:

Conception and design: Hogg, Wright, Dolz-Marco, Gray, Waheed, Teussink, Naskas, Perais, Das, Quinn, Bontzos, Nicolaou, Annam, Young, Kee, McGuinness, Mc Kay, MacGillivray, Peto, Chakravarthy

Analysis and interpretation: Hogg, Wright, Dolz-Marco, Gray, Waheed, Teussink, Naskas, Perais, Das, Quinn, Bontzos, Nicolaou, Annam, Young, Kee, McGuinness, Mc Kay, MacGillivray, Peto, Chakravarthy

Data collection: Hogg, Wright, Dolz-Marco, Gray, Waheed, Teussink, Naskas, Perais, Das, Quinn, Bontzos, Nicolaou, Annam, Young, Kee, McGuinness, Mc Kay, MacGillivray, Peto, Chakravarthy

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Abbreviations and Acronyms:

AUC = area under the receiver operating characteristic curve; **DM** = diabetes mellitus; **DR** = diabetic retinopathy; **DVC** = deep vascular plexus; **ETDRS** = Early Treatment Diabetic Retinopathy Study; **FAZ** = foveal avascular zone; **NFLVP** = nerve fiber layer vascular plexus; **NICOLA** = Northern Ireland Cohort Study for the Longitudinal Study of Aging; **OCTA** = OCT angiography; **SVC** = superficial vascular plexus.

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Diabetes mellitus, Diabetic retinopathy, Foveal avascular zone, OCTA, OCT angiography, Retinal periphery, Vessel density, Vessel segmentation.

Correspondence:

Ruth E. Hogg, PhD, Institute of Clinical Sciences, Block A, Centre for Public Health, Queen's University Belfast, Belfast BT12 6BA, United Kingdom. E-mail: r.e.hogg@qub.ac.uk.

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