# **Estimation of Occupational Noise–Induced Hearing Loss Using Kurtosis-Adjusted Noise Exposure Levels**

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**Objectives:** Studies have shown that in addition to energy, kurtosis plays an important role in the assessment of hearing loss caused by complex noise. The objective of this study was to investigate how to use noise recordings and audiometry collected from workers in industrial environments to find an optimal kurtosis-adjusted algorithm to better evaluate hearing loss caused by both continuous noise and complex noise.

**Design:** In this study, the combined effects of energy and kurtosis on noise-induced hearing loss (NIHL) were investigated using data collected from 2601 Chinese workers exposed to various industrial noises. The cohort was divided into three subgroups based on three kurtosis  $(\beta)$ levels (K<sub>1</sub>: 3 ≤ β ≤ 10, K<sub>2</sub>: 10 <β ≤ 50, and K<sub>3</sub>: β > 50). Noise-induced permanent threshold shift at test frequencies  $\tilde{3}$ , 4, and 6kHz (NIPTS<sub>346</sub>) was used as the indicator of NIHL. Predicted NIPTS $_{346}$  was calculated using the ISO 1999 model for each participant, and the actual NIPTS was obtained by correcting for age and sex using non-noise-exposed Chinese workers (n = 1297). A kurtosis-adjusted A-weighted sound pressure level normalized to a nominal 8-hour working day  $(L_{Aea,8h})$  was developed based on the kurtosis categorized group data sets using multiple linear regression. Using the NIPTS<sub>346</sub> and the  $L_{Aeq,8h}$  metric, a dose-response relationship for three kurtosis groups was constructed, and the combined effect of noise level and kurtosis on NIHL was investigated.

**Results:** An optimal kurtosis-adjusted L<sub>Aeq,8h</sub> formula with a kurtosis<br>adjustment coefficient of 6.5 was established by using the worker data. The kurtosis-adjusted L<sub>Aeq,8h</sub> better estimated hearing loss caused by<br>various complex noises. The analysis of the dose-response relationships among the three kurtosis groups showed that the NIPTS of  $\mathsf{K}_\mathrm{2}$  and  $\mathsf{K}_\mathrm{3}$ groups was significantly higher than that of  $\mathsf{K}_{_{\!1}}$  group in the range of 70  $\,$ dBA  $\leq$  L<sub>Aeq,8h</sub>  $\lt$  85 dBA. For 85 dBA  $\leq$  L<sub>Aeq,8h</sub>  $\leq$  95 dBA, the NIPTS<sub>346</sub> of the three groups showed an obvious  $K_3 > K_2 > K_1$ . For  $L_{Aeq,8h} > 95$  dBA, the NIPTS $_{_{346}}$  of the K $_{_2}$  group tended to be consistent with that of the K $_{_{1}}$ group, while the NIPTS $_{\rm 346}$  of the K $_{\rm 3}$  group was significantly larger than that of the K<sub>1</sub> and K<sub>2</sub> groups. When L<sub>Aeq,8h</sub> is below 70 dBA, neither continuous noise nor complex noise produced significant  $NIPTS_{\text{346}}$ .

**Conclusions:** Because non-Gaussian complex noise is ubiquitous in many industries, the temporal characteristics of noise (i.e., kurtosis) must be taken into account in evaluating occupational NIHL. A kurtosis-adjusted  $_{\rm{2h}}$  with an adjustment coefficient of 6.5 allows a more accurate prediction of high-frequency NIHL. Relying on a single value (i.e., 85 dBA)

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as a recommended exposure limit does not appear to be sufficient to protect the hearing of workers exposed to complex noise.

**Key words:** Complex noise, Impact/impulse noise, Kurtosis-adjusted noise exposure level, Kurtosis of noise, Noise-induced hearing loss, Noise-induced permanent hearing threshold.

(Ear & Hearing 2022;43;1881–1892)

Researchers have long found that impulsive noise or complex noise with impulse/impact components is more hazardous to hearing than continuous steady state (Gaussian) noise at similar noise exposure levels (e.g., Nilsson et al. 1977; Evans and Ming 1982; Taylor et al. 1984; Theiry and Meyer-Bisch 1988; Lataye and Campo 1996). Current noise standards (such as ISO 1999) are based on hearing loss due to continuous steady-state noise. As a result, they underestimate the damage to hearing caused by non-Gaussian complex noise with equivalent sound pressure levels (Zhao et al. 2010; Zhang et al. 2021). Two earlier versions of the ISO 1999 document have mentioned corrections to the estimated noise exposure level to account for the increased hazard of noise with complex temporal characteristics, specifically noise containing impulsive components. The ISO 1999:1971 specified a correction of 10-dB, and the ISO 1999:1990 proposed a correction of 5-dB for impulsive/impact noise to compensate for the greater hazard of complex noise. However, the ISO 1999:2013 contained no mention of possible corrections. This may be due to the lack of a precise quantitative definition of impulse noise in previous versions, making such adjustments less feasible. Moreover, it has been found that for the same exposure level, complex noise can produce up to 30 to 40 dB more noise-induced hearing loss (NIHL) than Gaussian noise in animal models (Hamernik et al. 2003; Qiu et al. 2006, 2007). Thus, a 5- or 10-dB correction may not adequately address the greater hazard associated with non-Gaussian complex noise.

Complex noise consists of regular or irregular impulsive/ impact components embedded in continuous Gaussian background noise and is very common in certain industrial (such as manufacturing and construction) and military settings. How to properly measure or characterize the great diversity of non-Gaussian noise found in industry is a challenging task. Erdreich (1986) proposed to use kurtosis to distinguish noise with impulsive components from steady-state noise. Inspired by Erdreich's work, Hamernik and his colleagues designed a series of animal (chinchilla) experiments in which different groups of animals were exposed to noise with the same energy but different kurtosis values (Lei et al. 1994; Hamernik et al. 2003, 2007; Qiu et al. 2006, 2007, 2013). The results show that for a fixed noise level, there is a monotonic relation between noise-induced hearing loss and kurtosis, and the hearing loss (defined as a permanent hearing threshold shift or loss of outer/inner hair cells) increases with the increase of kurtosis value. In other words, the kurtosis

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can differentiate the degree of hearing damage caused by noise with different temporal structures at the same noise exposure level in animals. These findings were also validated by human data in subsequent epidemiological studies (Zhao et al. 2010; Davis et al. 2012; Xie et al. 2016; Zhang et al. 2021). Although it is impossible to directly count hair cell loss in humans, noiseinduced permanent hearing threshold shifts were verified in noise-exposed subjects.

Kurtosis is a statistical measure that defines how heavily the tails of distribution differ from the tails of a Gaussian distribution. For noise, kurtosis can be used to describe whether there is the presence of a high-amplitude sound (impact/impulse) that is different from the underlying continuous steady-state (Gaussian) noise and the degree of the impulsiveness of the noise (Qiu et al. 2020). A Gaussian noise has a kurtosis of 3. Noise with impulsive components embedded in Gaussian background noise will have kurtosis greater than 3. In general, the higher the kurtosis value, the stronger the noise impulsivity. It should be emphasized that kurtosis can only be used as an adjunct metric to energy in the assessment of NIHL. In other words, the application of kurtosis must be based on the energy of noise. If the equivalent sound pressure level of noise exposure is very low, then kurtosis has no effect on hearing loss (Qiu et al. 2006).

Goley et al. (2011) proposed a scheme to apply kurtosis to adjust the measured equivalent A-weighted, 8-hour, noise exposure level  $(L_{\text{Aea,8h}})$  with the equation as follows:

$$
L'_{Aeq,8h} = L_{Aeq,8h} + \lambda \log_{10} \frac{\beta_N}{\beta_G} \tag{1}
$$

where  $\beta_N$  is the kurtosis of noise and  $\beta_{\rm G}$  is the kurtosis of Gaussian noise, which is equal to 3. One of the most attractive features of the Goley et al. model is that it directly corrects the measured noise energy using the kurtosis of noise. It can be seen that using the kurtosis adjustment method is equivalent to adding a penalty, determined by the second term in the formula, to the overall sound pressure level  $(L_{\text{Aea,8h}})$ . Because the kurtosis of complex noise ( $\beta_N$ ) is higher than that of  $\beta_G$ , it has a positive correction term indicating that the risk of complex noise is higher. In the formula,  $\lambda$  is a key adjustment coefficient. Although the coefficient is not scaled in dB, the correction can be expressed that way. In the case of fixed noise kurtosis, it determines the degree of kurtosis adjustment for  $L_{Aeq.8h}$ . Goley et al. (2011) determined this coefficient to be 4.02 based on the results of animal (chinchilla) experiments. Due to the differences in the auditory system between animals and humans (such as the different frequency sensitivity range to sound) and the complexity of industrial noises in the real world, this coefficient may not be appropriate for humans. The validity of the adjustment factor for humans in the Goley model can only be validated by data from workers exposed to a variety of industrial noises.

In this study, we collected a large database of 3898 participants, including shift-long noise recordings and hearing levels of 2601 workers from various Chinese industries, and a control group of 1297 participants with no history of occupational noise exposure. The noise environments in these industries had a wide range of noise levels and kurtosis values that allowed for a comprehensive evaluation of the role of kurtosis in assessing NIHL. The objective of this study was to investigate how workers' and control data could be used to find an optimal adjustment coefficient  $(\lambda)$  for humans by studying the combined effects of noise level and kurtosis on high-frequency hearing loss, and to determine whether a kurtosis-adjusted  $L_{Aeq,8h}$  using the Goley model improves the accuracy of prediction of hearing loss due to complex noise.

#### **MATERIALS AND METHODS**

This cross-sectional study was conducted in Zhejiang and Jiangsu provinces, eastern China. The study protocol was approved by the Ethics Committee of Zhejiang and Jiangsu Provincial Centers for Disease Control and Prevention (approval reference number: ZJCDC-T-043-R and JSCDCLL-2017-025).

#### **Recruitment of Participants**

A total of 4916 subjects were initially introduced to the purpose of the study and invited to participate between 2008 and 2018. This cohort included 3244 noise-exposed and 1672 nonnoise-exposed workers. All participants signed an informed consent form. For inclusion in the study, all participants had to satisfy the following three criteria: (1) no history of genetic or drug-related hearing loss, head wounds, or ear diseases; (2) no history of military service or shooting activities; and (3) good conditions of the external auditory canal, tympanic membrane, and the middle ear on otoscopic examination. Noiseexposed participants needed to satisfy additional criteria: (1) consistently worked in the same job category and at the same worksite (noise exposure area) for the period from the beginning of a worker's career to the date of the investigation; (2) a minimum of at least one year of employment in their current position; (3) having an A-weighted noise exposure level  $(L_{Aeq})$ at their jobs between 70 and 95 dBA. As a result, a total of 2601 noise-exposed participants and 1297 non-noise-exposed participants (control) were included from the original pool of 3244 and 1672, respectively.

The reason for choosing workers exposed to  $L_{\text{Aea,8h}}$  between 70 and 95 dBA is that a previous study (Zhang et al. 2021) indicated that the recommended exposure limit of 85 dBA, as an 8-hour time-weighted average (NIOSH 1998), may not be a safe noise exposure limit, especially for complex noise with impulsive components and, therefore, it may be necessary to observe the biological effects of lower noise exposure levels on hearing. It was observed that when the  $L_{Aeq,8h}$  was less than 95 dBA, workers rarely used hearing protection devices; when the noise  $L_{\text{Aea,8h}}$  was equal to or greater than 95 dBA, the proportion of hearing protection devices used increased significantly. Because an accurate unprotected dose-response relationship is the basis of this study, we needed to exclude data of workers exposed to higher than 95 dBA.

Most participants still did not use a hearing protection device (HPD) despite the implementation of hearing conservation programs on a wide scale in China starting in 2012. The use of HPDs, usually earplugs, both on and off the job, was assessed through field observations by the researchers and in the questionnaire and reported to be low and infrequent. At high noise exposure levels, that is, ~95 dBA and above, the use of HPDs was observed to be sporadic. The inclusion of these participants would, to some extent, have an effect on the relationship between noise level and noise-induced permanent threshold shift (NIPTS). We expected this effect to occur primarily in the participants exposed to noise above 95 dBA. For

those participants who have never used HPDs, the members of the research team recommended the use of appropriate HPDs after data collection. During this study, workers in the investigated factories received training on how to properly use HPDs.

#### **Questionnaire Survey**

All participants were required to complete a noise exposure and health questionnaire, which was followed by a face-toface interview by an occupational hygienist for quality control. The questionnaire included the following information: general demographic information (age, sex, etc.); occupational history (factory, worksite, job description, length of employment, duration of daily noise exposure, and history of using hearing protection); and overall health status (including any history of ear disease and/or ototoxic drug exposure).

#### **Audiometric Evaluation**

Each participant underwent an otologic and audiometric examination. Otoscopy was carried out initially to ensure participants had no external ear abnormalities. Air conduction pure-tone hearing threshold levels were tested at 0.5, 1, 2, 3, 4, 6, and 8 kHz in each ear by an experienced audiologist. Testing was conducted in a double-walled audiometric booth using an audiometer (Madsen OB40, Denmark) with an air conduction headphone (Sennheiser HDA 300). The tests were conducted manually, and the measurement was based on the threshold determination methods of the American Speech-hearing-Language Association (ASHA 2005). Before the implementation of the project, the audiometer and headphone were calibrated by the Zhejiang Institute of Metrology of China, according to the Chinese national standard (GB4854-84). During the duration of the project, a bioacoustic check and a listening check of the headphone were performed daily. The noise floor of the booth was compliant with ANSI S3.1-1999 specifications from 125 to 8000 Hz (ANSI 2008). Audiograms were measured at least 16 hours after the participants' last occupational noise exposure.

#### **Noise Data Collection**

A digital noise recorder (ASV5910-R, Hangzhou Aihua Instruments Co., Ltd., China) was used to record a shift-long personal noise exposure for each participant. The instrument uses a ¼-inch pre-polarized condenser microphone with a broad response frequency (20 to 20 kHz) and high-sensitivity level  $(2.24 \text{ mV/Pa})$ . The measurement ranges from 40 dB(A) to 141 dB(A). The recorder can work continuously for 23 hours under full charge. One full-shift recording of each participant's noise exposure was captured by the ASV5910-R at 32-bit resolution with a 48-kHz sampling rate and saved in a raw audio format (WAV file). The noise record was saved on a 32 GB micro SD card and transferred to a portable hard disk for subsequent analysis. It was performed one time for each participant. Before recording, a hygienist confirmed with each participant that this was the noise they were typically exposed to on an average working day. The members of the research team monitored the noise collection of individual participants in the workplace. The microphone was placed on the shoulder of each participant at the start of the work shift and collected at the end of the shift. The participants were trained to wear the recorder properly.

#### **Calculation of Noise Metrics**

Two noise metrics were used in this study: (1) the A-weighted equivalent sound pressure level normalized to a nominal 8-hour working day ( $L_{Aeq,8h}$ ); (2) the kurtosis of noise exposure ( $\beta_N$ ). A program using MATLAB (The MathWorks, R2017) software was developed for analyzing the full-shift noise waveforms that were collected on each participant. The program was designed to extract the  $L_{\text{Aea,8h}}$  and kurtosis, i.e.,

(1)  $L_{Aeq,8h}$  level, in A-weighted decibels, is given by the formula (ISO 1999, 2013):

$$
L_{Aeq,8h} = L_{Aeq,T_e} + 10\log(T_e / T_0)
$$
 (2)

where  $L_{Aeq,Te}$  is the A-weighted equivalent continuous sound pressure level for  $T_e$ ;  $T_e$  is the effective duration of the working day in hours, and  $T_0$  is the reference duration  $(T_0 = 8$ -hour).

(2) Calculation of the kurtosis of noise exposure in a typical work day  $(β_{\nu})$ 

The kurtosis of the recorded noise signal was computed over consecutive 60-second time windows without overlap over the shift-long noise record using a sampling rate of 48 kHz for noise recording (Tian et al. 2021). For a sample of *N* values, the kurtosis is calculated as:

$$
\beta = \frac{1}{N} \sum_{i=1}^{n} (x_i - \overline{x})^4 / \left( \frac{1}{N} \sum_{i=1}^{n} (x_i - \overline{x})^2 \right)^2 \tag{3}
$$

where  $x_i$  is the  $i^{\text{th}}$  value of noise amplitude and  $\bar{x}$  is the sample mean. The average of the measured kurtosis values  $(\beta_j)$  at every 60 seconds is used as the kurtosis of noise exposure  $(\beta_{y})$ , which is calculated as

$$
\beta_{\rm N} = \frac{1}{N} \sum_{j=1}^{N} \beta_j
$$
\n(4)

where *N* is the number of kurtosis values of the full-shift noise exposure.

# **Noise-Induced Permanent Threshold Shift Estimation**

The analysis focused on the noise-sensitive frequency range of 3 to 6 kHz because the noise-induced hearing loss from continuous noise occurs predominantly in this range initially (Lie et al. 2016). The actual NIPTS for each noiseexposed participant at test frequencies 3, 4, and 6 kHz were obtained by subtracting normal median hearing threshold levels by age- and sex-matched populations of the control group collected in this study. The thresholds of the better ear were determined for all participants across the test frequencies. The better ear was used because this was the criteria for establishing median hearing threshold levels of the control group in ISO 1999:2013 (Hoffman et al. 2010). Because the participants were typically exposed to only one type of highlevel occupational noise throughout their working life, and since their working environments were consistent over the course of their employment, the observed hearing-loss estimates were likely attributable to the measured industrial noise exposures.

The actual value of NIPTS of each individual participant was compared with the median NIPTS predicted by ISO 1999

(2013), and the accuracy of ISO 1999 predictions for NIPTS was evaluated statistically. The ISO 1999 median NIPTS prediction for each participant was determined using the equations described in the ISO 1999 document as follows:

$$
NIPTS = \begin{cases} \left[ u + v \log \left( \frac{t}{t_0} \right) \right] \left( L_{Aeq, 8h} - L_0 \right)^2, & 10 \le t \le 40\\ \frac{\log(t+1)}{\log(11)} \left[ u + v \log \left( \frac{10}{t_0} \right) \right] \left( L_{Aeq, 8h} - L_0 \right)^2, t < 10 \end{cases} \tag{5}
$$

where  $L_{\text{Aea,8h}}$  is the noise exposure level normalized to a nominal 8-hour working day; *t* is noise exposure duration in years,  $t_0 = 1$  year;  $L_0$  is the reference sound pressure level in Table 1 of ISO 1999 (2013); *u* and *v* are coefficients given as a function of audiometric test frequency in Table 1 of ISO 1999 (2013).

#### **Kurtosis Categories**

To analyze the effect of kurtosis on NIPTS, we grouped the data according to the noise kurtosis value  $(\beta_N)$  that each worker was exposed to. The kurtosis group should be divided so that the mean NIPTS of workers within this group is significantly different from that of other groups. The participants were partitioned into one of three groups based on the kurtosis value of their noise exposure:

- 1.  $K_i: 3 \leq \beta_N \leq 10;$
- 2.  $K_2$ : 10 <  $\beta_N \le 50$ ;
- 3.  $K_3$ :  $\beta_N$  > 50

Based on our analysis of individual noise data collected from more than 3000 workers, the kurtosis of industrial noise can be as high as about 1000. More details on the kurtosis grouping described above are available in the Discussion section.

# Kurtosis-Adjusted L<sub>Aeq,8h</sub>

The kurtosis adjustment was calculated according to Eq. 1 (Goley et al. 2011). Taking actual NIPTS as the dependent variable and  $L_{\text{Aeq,8h}}$  and  $\log_{10}(\beta_{\text{N}}/3)$  as independent variables, the coefficient  $\lambda$  was calculated by multiple linear regression model:

NIPTS = 
$$
b_0 + b_1 L_{Aeq,8h} + b_2 log_{10} (\beta_N / 3) + \varepsilon
$$
 (6)

where  $b_0$  is the NIPTS-intercept;  $b_1$  and  $b_2$  are the regression coefficients representing the change in NIPTS relative to a one-unit change in  $L_{Aeq,8h}$  and  $log_{10}(\beta_{N}/3)$ , respectively;  $\varepsilon$  is the model's random error (residual) term. The regression analysis obtains the optimal values for  $b_{\theta}$ ,  $b_{\theta}$ , and  $b_{\theta}$  that minimizes  $\varepsilon$ , and  $\lambda = b_2/b_1$ . The dependent variable is actual NIPTS<sub>346</sub>, that is, the average of actual NIPTS at 3, 4, and 6 kHz. The model was validated by comparing the difference between actual  $NIPTS<sub>346</sub>$ and estimated NIPTS $_{346}$  (with or without kurtosis adjustment) using the ISO 1999:2013 formula.

#### **Statistical Analysis**

Noise exposure level  $(L_{Aea,8b})$ , duration of exposure, kurtosis, age, and sex were summarized in Table 2 as count, mean, and standard deviation or range (minimum to maximum). The actual measured  $NIPTS<sub>346</sub>$  and the difference between the actual  $NIPTS<sub>346</sub>$  and the ISO 1999 predicted  $NIPTS<sub>346</sub>$  were analyzed using a mixed model where the NIPTS $_{346}$  or the NIPTS<sub>346</sub>

difference served as the dependent variable, and noise level  $(L<sub>Ae</sub><sub>0</sub>$ <sub>8h</sub>), kurtosis, and their interaction served as independent variables. The group means for noise level and kurtosis, and their 95% confidence interval (CI) were calculated. A significance level of  $p < 0.05$  was applied to the overall test for all factors and their interaction. Pairwise comparisons were processed among  $NIPTS<sub>346</sub>$  and kurtosis groups. For all pairwise comparisons, Bonferroni adjustment was applied in evaluating significance. The analyses were performed using IBM® SPSS Statistics (version 22).

## **RESULTS**

#### **Demographics of Experimental Groups**

The 1297 participants in the control group had no history of exposure to high-level workplace noise. They are factory office workers, technology company programmers, and health care workers working in environments with noise levels below 70 dBA. Table 1 shows selected values of the statistical distribution of hearing threshold levels in decibels of the control group according to frequency classified by age and sex. The median hearing thresholds were used to estimate the actual NIPTS of noise-exposed workers.

Data were collected on 2601 workers exposed to various industrial noises. The workers were classified into three groups according to the kurtosis value of noise they were exposed to. Table 2 presents a breakdown of typical noise sources, sex, average age, noise exposure level, and exposure duration corresponding to workers in three noise kurtosis categories.

# **Scatter Plots of NIPTS**<sub>346</sub> Raw Data

Some perspective on the relationship between  $NIPTS<sub>346</sub>$  and  $L_{\text{Aea,8h}}$  can be obtained by plotting actual NIPTS<sub>346</sub> for each noise exposure level (from 70 to 95 dBA). Figure 1 shows the resulting scatter plot for the entire data pool of noise-exposed workers ( $n = 2601$ ). Large variations were observed for each kurtosis category across the  $\mathcal{L}_{\text{Aeq,8h}}$  range.

The effects of different kurtosis categories are evident when the noise exposure level  $(L<sub>Area 8h</sub>)$  measurements are collapsed into 1-dB bins, and the mean noise level within each bin is plotted against the mean actual NIPTS $_{346}$  for that bin. These effects are shown in Figure 2. In this figure, the abscissa represents the mean  $L_{\text{Aea,8h}}$ , and the ordinate is the mean NIPTS<sub>346</sub> for the data points belonging to a specific kurtosis category within the 1-dB bin. The figure clearly shows a positive relationship between the  $L_{\text{Aea,8h}}$  and NIPTS<sub>346</sub> for each kurtosis category. Because the data shown in Fig. 2 suggest both linear and nonlinear relations among the  $L_{\text{Aea,8h}}$  and NIPTS<sub>346</sub>, a logistic function that would allow nonlinear and nearly linear descriptions of the data of the form NIPTS =  $a/[1+e^{(b-LAeq)/c}]$  was chosen to describe the results of the three kurtosis categories. For the appropriately set parameters a, b, and c, this relation allows NIPTS to approach a positive number close to zero as  $L_{Aeq,8h}$  approaches 0 dBA, and NIPTS to a ceiling value as  $L_{\text{Aea,8h}}$  approaches a high level (e.g., greater than 95 dBA). Note: As can be seen in Figure 1, for the  $K<sub>1</sub>$  group (solid black circles), due to the small sample size of  $L_{\text{Aea,8h}}$  when it is less than 80 dBA, there are not enough samples in the 1-dB interval, so the samples of  $L_{\text{Aea,8h}}$  in the 70 to 79 dBA region are divided into two groups to ensure a certain number of samples in each group. The samples of  $L_{Aeq,8h}$  in the range of 70 to 75 dBA were averaged to get an average point, and the

							Hearing Threshold Level (dB)								
	Age* (yrs)														
		20			30			40			50			60	
	Percentages														
Frequency (Hz)	10	50	90	10	50	90	10	50	90	10	50	90	10	50	90
Male															
500	3	6	10	$-1$	6	12	0	6	13		8	15	3	11	17
1000		6	12	$-2$	4	11	$-2$	6	15		7	15	2	9	17
2000	$-2$	4	11	$-2$	4	12	$-2$	6	15	0	8	18	2	13	24
3000		5	12	$-2$	6	12	$-2$	8	19		11	12	5	15	32
4000	$-3$	6	12	$-3$	5	12	$-3$	8	18	4	13	26	$-2$	15	41
6000	3	12	25	$\overline{4}$	13	25	4	17	28	10	23	44	14	28	57
Female															
500	2	6	12	$-1$	5	11	0	6	13	2	8	15	4	12	25
1000	0	6	12	$-2$	4	11	$-2$	5	13	0	7	17	4	12	27
2000	$-1$	5	12	$-2$	5	12	$-2$	5	13	2	9	18	5	14	27
3000	$-2$	5	12	$-2$	5	13	$-2$	6	16		10	18	5	19	34
4000	$-3$	4	12	$-4$	3	12	$-4$	5	15	-1	8	17	3	19	34
6000	7	13	22	3	13	21	3	15	27	8	19	33	11	31	52

TABLE 1. Selected values of the statistical distribution of hearing threshold levels in decibels of the control group according to frequency classified by age and sex

*\*Age is grouped in 10-yr intervals; that is, "30" represents ages 25 to 34 yrs, etc.*

data samples in the range of 76 to 79 dBA were averaged to get another data point, as shown in Figure 2. Similarly, for the  $K<sub>2</sub>$ group (hollow red circles in Fig. 1), sample averages within the range of 70 to 75 dBA and 76 to 78 dBA were taken to obtain two data points with  $L_{Aeq,8h}$  less than 79 dBA in the  $K_3$  group. For the  $K_2$  group (hollow green circles in Fig. 1), since there are enough sample points at each 1-dB interval, all the average points in Figure 2 can be obtained by averaging the sample points at each 1-dB interval.

# **Multiple Linear Regression**

Initially, the regression analyses used the average NIPTS at 3, 4, and 6kHz as the dependent variable, with age, sex, duration,  $L_{\text{Aea,8h}}$  and  $\log_{10}(\beta_{N}/3)$  as the independent variables. As mentioned above, actual NIPTS of each noise-exposed worker were obtained by subtracting normal median hearing threshold levels by age- and sex-matched populations of the control group. As a result, the correlation between NIPTS and age or sex was reduced. The inclusion of age and sex as independent variables did not significantly improve the model fitting using the multiple linear regression analysis. The data points in Figure 2 were used for multiple regression. As shown in Figure 2, the 1-dB bin analysis method highlighted the relationship between  $L_{Aea,8h}$  and  $NIPTS<sub>346</sub>$  functions under each kurtosis category but smoothened out the influence of exposure duration on exposure duration multiple linear regression. Consequently, the inclusion of the duration as an independent variable did not significantly improve the model's performance. Eventually,  $L_{Aeq,8h}$  and  $log_{10}(\beta_N/3)$  were used as independent variables of the multiple linear regression equation, controlling for the effects of age, sex, and exposure duration. Table 3 shows the results of two regression models, one using  $L_{\text{Aea,8h}}$  as the exposure variable and the other using the kurtosis-adjusted  $L_{Aea,8h}$ . It is clear from Table 3 that the  $L_{Aea,8h}$ alone (Model 1 in Table 3) is a fairly strong predictor of hearing loss with a coefficient of determination  $R^2 = 0.75$ , whereas the kurtosis-adjusted model (Model 2 in Table 3) has an  $R^2 = 0.88$ (an increase of 0.13 over the  $\mathbb{R}^2$  value in Model 1). The difference in  $\mathbb{R}^2$  between the two models is significant ( $p < 0.001$ ). This significant change in the overall model fit indicates that the model attribution of hearing loss has an important change from  $L_{Aeq,8h}$  to kurtosis-adjusted  $L_{Aeq,8h}$  (i.e.,  $L'_{Aeq,8h}$ ). In other words, the kurtosis-adjusted  $L_{Aeq,8h}$  can significantly improve the accuracy of noise-induced hearing loss assessment. Using the human data collected in China, the coefficients  $b_1$  and  $b_2$  in Model 2 were obtained as 0.56 and 3.64, respectively. Consequently, the adjustment coefficient can be calculated as  $\lambda = b_2/b_1 = 6.50$ .

TABLE 2. A breakdown of typical noise sources, sex, average age, noise exposure level, and exposure duration corresponding to workers in three noise kurtosis categories

		Participants			Noise Exposure		
Kurtosis Category	<b>Typical Noise Sources</b>				Male (n) Female (n) Age (yrs) Duration (yrs) $L_{Aeq}$ (dBA)		
$3 \leq \beta_{\rm N} \leq 10$	Spinning, weaving, pulping	377	140	$36.4 \pm 9.4$	$9.9 \pm 7.6$	$88.6 \pm 4.6$	
$10 < \beta_{N} \le 50$	Punching, stamping, metalworking, heat treating, assembly, drilling	1125	412	$36.3 \pm 9.0$	$9.7 \pm 7.8$	$87.2 \pm 5.1$	
$\beta_{N} > 50$	Woodworking, nail gunning, assembly	463	84	$34.6 \pm 9.9$	$6.5 \pm 6.5$	$87.9 \pm 4.8$	

*Note: age, duration, and L<sub>Aeg</sub>: mean±1 SD.* 



Fig. 1. Scatter plot showing noise-induced hearing loss (NIPTS $_{346}$ ) as a function of noise exposure level L<sub>Aeq,8h</sub>. The kurtosis value ranges are K<sub>1</sub>:3 ≤ β<sub>N</sub> ≤ 10;  $K_2$ : 10 < β<sub>N</sub> ≤ 50; K<sub>3</sub>: β<sub>N</sub> > 50.

# Application of Kurtosis-Adjusted  $L_{\text{Aec,8h}}$  to the **Estimation of NIPTS**

The kurtosis-adjusted  $L_{Aeq,8h}$  (i.e.,  $L'_{Aeq,8h}$ ) was used to estimate  $NIPTS<sub>346</sub>$ , and the results were compared with those from unadjusted  $L_{Aea,8h}$ . According to the above multiple linear regression results, the following equation was used for  $L'_{Aeq, 8h}$ .

$$
L'_{Aeq,8h} = L_{Aeq,8h} + 6.5 * log_{10}(\beta_N / 3)
$$
 (7)

The NIPTS $_{346}$  of each individual noise-exposed worker was estimated by ISO prediction formula [Eq. (5)] using either  $L_{Aeq,8h}$  (un-adjusted) or  $L'_{Aeq,8h}$  (kurtosis-adjusted). The values of estimated NIPTS<sub>346</sub> using  $L_{Aeq,8h}$  or  $L'_{Aeq,8h}$  were compared with corresponding actual NIPTS $_{346}$ , respectively. The mixed model analysis showed that there was a significant adjustment effect (df = 1,  $F = 346.6$ ,  $p < 0.001$ ), and kurtosis by adjustment interaction effect (df = 2,  $F = 40.3$ ,  $p < 0.001$ ) on the NIPTS<sub>346</sub> difference. The estimated marginal mean (EMM) for each group is summarized in Table 4.

Figure 3 displays the EMM of underestimated NIPTS $_{346}$ for each kurtosis level before and after kurtosis adjustment. The results show that, for unadjusted  $L_{Aeq,8h}$ , the ISO 1999 formula underestimates NIPTS $_{346}$  by an average of 3.72 dB for kurtosis group  $K_1$ ; by 6.35 dB for group  $K_2$ ; 10.24 dB for group  $K_3$ . After the noise levels  $(L_{Aeq,8h})$  were adjusted for kurtosis using Equation 7, the ISO 1999 predictions underestimated NIPTS by an average within 1.23 dB for kurtosis group  $K_1$ ; within 0.08 dB for group  $K_2$ ; and within -0.96 dB for group  $K_3$ . Figure 3 demonstrates that a kurtosis-adjusted noise exposure level  $(i.e., L'_{Aea,8h})$ using adjustment coefficient of  $\lambda = 6.5$  can effectively correct the ISO formula's underestimates due to complex noise with high kurtosis values. As a comparison, another adjustment coefficient  $\lambda = 4.02$ , derived from chinchilla data by Goley et al. (2011), was used to calculate kurtosis-adjusted  $L_{Aea,8h}$ . The EMM of underestimated NIPTS $_{346}$  for each kurtosis level after kurtosis adjustment using  $\lambda = 4.02$  was also shown in Figure 3. The results showed that after the noise levels were adjusted for kurtosis using  $\lambda = 4.02$ , the ISO 1999 predictions underestimated NIPTS by an average of 1.6 dB for kurtosis group  $K_i$ ; by 2.8 dB for group  $K_2$ ; and by 4.5 dB for group  $K_3$ . While kurtosis-adjusted  $L_{Aeq,8h}$  using  $\lambda = 4.02$  could correct underestimations of NIHL due to complex noise exposure to a certain extent, its correction degree is insufficient for human data.



Fig. 2. Scatterplot showing noise-induced hearing loss (NIPTS $_{346}$ ) as a logistic function of noise exposure level  $L_{Aea,8h'}$  and kurtosis category using 1-dB noise-level bins. The kurtosis value ranges are  $K_i: 3 \leq \beta_N \leq 10$ ;  $K_2$ : 10 < β<sub>N</sub> ≤ 50; K<sub>3</sub>: β<sub>N</sub> > 50.

### **DISCUSSION**

# **The Classification of Noise Kurtosis**

One method to analyze the effect of kurtosis on NIHL is to make a reasonable clustering of data according to the kurtosis values of noise exposed by individual workers and then compare the differences of NIPTS $_{346}$  in each data class under a similar noise level. The data used in this study between 85 and 95 dBA are the same as those used in the previous study (Zhang et al, 2021). The kurtosis  $(β)$  was classified into four categories in Zhang's study (2021), that is,  $3 \le \beta \le 10$  (K<sub>1</sub><sup>\*</sup>),  $10 < \beta \le 30$  (K<sub>2</sub><sup>\*</sup>),  $30 < \beta \le 75$  ( $K_3^*$ ), and  $\beta > 75$  ( $K_4^*$ ). In this study, we initially divided data into the same four categories as above. Figure 4A shows the EMM of NIPTS $_{346}$  obtained from these four groups. For group  $K_1^*$ , the EMM of NIPTS<sub>346</sub> was 11.5 dB, which was significantly lower than the 13.4 dB in group  $K_2^*(p = 0.01)$ , the 14.1dB in group  $K_3^*(p = 0.001)$ , and the 17.3 dB in group  $K_4^*$  ( $p < 0.001$ ). There was no significant difference in NIPTS<sub>346</sub> between group  $K_2^*$  and group  $K_3^*(p = 0.24)$ , but their EMMs of NIPTS<sub>346</sub> were significantly smaller than that of group  $K_4^*$  $(p < 0.001$  for both  $K_2^*$  -  $K_4^*$  and  $K_3^*$ - $K_4^*$  group pairs). Thus, the groups  $K_2^*$  and  $K_3^*$  can be considered to be merged as one group. Due to the high kurtosis values that were included in group  $K_3^*$  and the large span of this group, group  $K_3^*$  was further divided into two subgroups:30  $\leq \beta \leq 50$  (K<sub>3\_1</sub>)</sub> and 50  $\leq$  $\beta \le 75$  ( $K_{3/2}^*$ ), as shown in Fig. 4B. The EMM of NIPTS<sub>346</sub> in group  $K_{3-1}^{*}$  was 13.5 dB, which was very close to that of group  $\mathring{K}_2^*$  ( $p=0.9$ ), while EMM of NIPTS<sub>346</sub> in group  $K_{3-2}^*$  was 15.8 dB, which was significantly higher than that of group  $K_{3-1}^*$  $(p = 0.03)$ , but there was no significant difference between group  $K_4^*$  and group  $K_{3-1}^*(p = 0.06)$ . Thus, it is reasonable to merge  $K_2^*$  and  $K_{3-1}^*$  into one group and merge  $K_{3-2}^*$  and  $K_4^*$  into another group. Eventually, the three groups of kurtosis were classified as shown in Figure 4C, that is,  $3 \le \beta \le 10$  $(K_1)$ ;10 <  $\beta$  ≤ 50  $(K_2)$ , and  $\beta \ge 50$   $(K_3)$ . The EMMs of NIPTS<sub>346</sub> in these three groups were 11.5, 13.4 dB, and 16.6 dB, respectively. The NIPTS $_{346}$  differences among these three groups were statistically significant, with  $p$  values as follows:  $p = 0.007$  for  $K_1$ -  $K_2$  group pair,  $p < 0.001$  for  $K_1$  to  $K_3$  and  $K_2$  to  $K_3$  group pairs. Based on the above kurtosis classification, the combined effects of noise level and kurtosis on high-frequency NIPTS were analyzed, and the Goley model was studied.

	Coefficients B	$\lambda$ (b /b )	t Stat	p value	B Lower 95%	B Upper 95%
Model 1: $NIPTS_{346} = b_0 + b_1 L_{Aeq,8h}$		N/A			$R^2 = 0.75$	$F = 182.20$
Intercept	$-36.25$		$-10.02$	< 0.0001	$-43.30$	$-29.02$
$L_{Aea}$	0.57		13.50	< 0.0001	0.49	0.66
Model 2: $NIPTS_{346} = b_0 + b_1L_{Aeq,8h} + b_2log_{10}(\beta_N/3)$		6.50			$R^2 = 0.88$	$F = 225.81$
Intercept	$-38.64$		$-15.41$	< 0.0001	$-43.66$	$-33.62$
$L$ <sub>Aeq</sub>	0.56		19.31	< 0.0001	0.50	0.62
$log_{10}(\beta_{N}/3)$	3.64		8.22	< 0.0001	2.79	4.40

TABLE 3. Results of regression models using L $_{\sf Aea,8h}$  and kurtosis-adjusted L $_{\sf Aea,8h}$  to estimate NIPTS $_{\sf 346}$ 

*Note: the effects of age, sex, and duration were controlled in the models.*

# **Types of Work and Their Kurtosis Distributions**

Table 5 displays the kurtosis distribution information of some work tasks in the manufacturing industry and corresponding correction values for measured  $L_{Aea,8h}$ . The kurtosis value of a work type in Table 5 was calculated by averaging the kurtosis of individuals of the same work type. The correction value was calculated by 6.5\*log<sub>10</sub> $(\beta/3)$ . Table 5 lists the mean, standard deviation, and maximum and minimum values of each work type's kurtosis values. As shown in Table 5, the primary sources of steady-state noise are textile mills and paper mills. However, non-Gaussian complex noise is more common than steady-state noise in the manufacturing industry. Among these noises, the complex noise with a kurtosis of  $10 < \beta_{N} \le 50$  accounts for the majority, such as stamping, drilling, casting, metal processing, etc. In this study, the highly impulsive complex noises ( $\beta_{N} > 50$ ) mainly existed in the workplaces of wood processing, nail gunning, and assembly in various manufacturing plants (including automobile, furniture, and electronic machinery manufacturers). It is worth noting that many work types have a wide range of kurtosis values, some spanning two kurtosis categories, some even three kurtosis categories. Examples include stamping, drilling, casting, metalworking, etc., with kurtosis values ranging from 7 to 86. The kurtosis and level of noise received by individual workers can largely depend on such factors as the position of work, the frequency of tool use, and the intensity of background noise. Therefore, the kurtosis of the noise exposure of individual workers should be calculated according to the actual noise exposed for each worker.

In this study, most workers did not wear hearing protection devices, and a small population of workers with high noise exposure ( $L_{Aeq}$ ~95 dBA) may wear devices. A recent study of 385 workers at an automobile manufacturing plant in China (Gong et al. 2021) found that earplug use had no significant effect on the prevalence of high-frequency hearing loss among study participants, despite the requirement to wear earplugs at all times during work. There are many reasons for this, such as poor training, poor fit, and workers not wearing earplugs all the time. Workers in this study had the same problem even when they used earplugs. Therefore, the overall reliability of the doseresponse relationship was not affected by the fact that very few people in this data had worn earplugs.

Based on the data analysis of 2601 workers in this study, 19.9% of workers were exposed to steady-state noise ( $3 \leq \beta_{\text{N}}$ )  $\leq$  10), 59.1% of workers to complex noise with low or moderate impulsive components ( $10 < \beta_{N} \le 50$ ), and 21% of workers to complex noise with high kurtosis ( $\beta_N > 50$ ). Because non-Gaussian complex noise is common in the manufacturing industry, and the current noise standards (e.g., ISO 1999:2013) are based solely on steady-state noise data, kurtosis adjustment is a promising method to correct the noise level so as to accurately identify the risk of NIHL.

# **The Combined Effect of Noise Level and Kurtosis on NIHL**

A logistic function was used to fit the dose-response data shown in Figure 2 for three kurtosis categories. The general expression of the logistic function is as follows:

$$
NIPTS_{346} = \frac{a}{2} \left( 1 + e^{(b - L_{Aeq,8h})/c} \right)
$$
 (8)

Figure 2 shows that there was a good relationship between  $L_{\text{Aeq,8h}}$  and NIPTS<sub>346</sub> using logistic function fitting in each

TABLE 4. The estimated marginal means and standard errors of NIPTS<sub>346</sub> difference between the actual measured NIPTS<sub>346</sub> and the ISO 1999 predicted NIPTS $_{346}$  for BAA and kurtosis by BAA groups

				95% Confidence Interval			
Effect	Group	<b>Estimated Mean</b>	<b>Standard Error</b>	Lower Bound	Upper Bound		
BAA <sup>*</sup>	Unadjusted (UA)	6.77	0.26	6.27	7.27		
	ΚA	0.03	0.26	$-0.47$	0.53		
Kurtosis×BAA†	K,×KA	1.23	0.51	0.23	2.23		
	K, xUA	3.72	0.51	2.73	4.72		
	$K_{0}$ $\times$ KA	0.08	0.29	$-0.50$	0.66		
	$K_{2} \times UA$	6.35	0.29	5.77	6.92		
	$K_{2}$ $\times$ KA	$-0.96$	0.49	$-1.93$	0		
	$K_{\alpha} \times UA$	10.24	0.49	9.27	11.21		

*BAA, before-and-after-adjustment; KA, Kurtosis-adjusted.*

*\*p value for NIPTS*<sub>346</sub> difference between KA and UA is <0.001.

*†p values for NIPTS346 difference between (Ki ×KA) and (Ki ×UA) pairs (i = 1, 2, and 3) are <0.001.*



Fig. 3. The estimated marginal mean of underestimated NIPTS $_{346}$  by ISO 1999:2013 model for three kurtosis levels under un-adjusted and kurtosisadjusted noise levels. Error bars: standard error. The kurtosis value ranges are K<sub>1</sub>:3 ≤ β<sub>N</sub> ≤10; K<sub>2</sub>: 10 < β<sub>N</sub> ≤ 50; K<sub>3</sub>: β<sub>N</sub> > 50.

kurtosis category (coefficient of determination  $R^2 > 0.9$  for all three curves). For the sake of discussion, the three equations reflecting  $L_{Aeq,8h}$  and NIPTS<sub>346</sub> were named after the kurtosis category, which is the  $K_1$  curve,  $K_2$  curve, and  $K_3$  curve, respectively.

The  $K<sub>1</sub>$  curve (the black line) in Figure 2 reflects the relationship between continuous steady-state noise and high-frequency hearing loss (NIPTS<sub>346</sub>), and its fitting curve equation is *NIPTS*<sub>346</sub> = 20.6 /[1 +  $e^{(85.6^{2}L_{\text{deg},8h})/7.4}$ ]. Based on this equation, the NIPTS<sub>346</sub> can be calculated when  $L_{Aeq,8h}$  is at a specific level. Considering the situation when  $L_{Aeq,8h} = 75$  dBA, where the calculated value of NIPTS<sub>346</sub> is 3.9 dB, this is very close to the actual value (i.e., 3.7 dB at  $L_{Aeq,8h} = 74$  dBA) in Fig. 2. When  $L_{\text{Aeq,8h}}$  = 78 dBA, the calculated NIPTS<sub>346</sub> is 5.4 dB, showing an increased hearing shift (hearing loss) at the high frequencies, which is consistent with ISO 1999:2013. The ISO 1999:2013 specifies a damage risk threshold  $L_{Aeq,8h}$  equal to 75 dBA (at 4kHz); however, NIPTS is not predicted until the exposure level reaches 78 dBA. When  $L_{Aeq,8h} = 80$  dBA, the calculated value of NIPTS<sub>346</sub> will be 6.6 dBA according to the  $K_1$  curve. The exposure level of 80 dBA was set as the action level (need to wear hearing protection devices) by the European Union (Directive 2003/10/EC). When the  $L_{Aeq,8h}$  equals 85 dBA, the calculated NIPTS $_{346}$  is 9.9 dB. High-frequency hearing loss is

apparent at this level. This level was set as recommended exposure level (REL) by the US National Institute for Occupational Safety and Health (NIOSH 1998) and Action Level by the U.S. Occupational Safety and Health Administration (OSHA 1983).

The  $K_2$  curve (the green line) in Figure 2 presents the relationship between non-Gaussian noise with low or moderate impulsive components ( $10 \le \beta \le 50$ ) and high-frequency hearing loss (NIPTS<sub>346</sub>). The equation of this curve is: *NIPTS*<sub>346</sub> = 21.9/[1+ $e^{(82.4-3\frac{\mu G}{L_{\text{deg},8h}})/9.7}$ ]. As shown in Figure 2, when  $L_{Aeq,8h} = 70$  dBA, the calculated value of NIPTS<sub>346</sub> is 4.7 dB. When the  $L_{Aeq,8h}$  is 75 dBA, the calculated value of NIPTS<sub>346</sub> is 7 dB. From Figure 2, it can be seen that the actual NIPTS $_{346}$ values of group  $K_2$  were all about 7 dB within the range of 72 to 77 dBA, which is near twice the magnitude of the shifts at this level in group  $K_i$ . When the  $L_{Aeq,8h}$  equals 80 dB, the calculated value of NIPTS $_{346}$  is 9.6 dB, indicating that the non-Gaussian complex noise had begun to produce significant  $NIPTS<sub>346</sub>$  at this exposure level. It is worth noting that when the exposure level of complex noise is 80 dBA, and the kurtosis value was greater than ten and less than 50, the high-frequency hearing loss caused by complex noise is comparable to that induced by continuous steady state noise at 85 dBA (NIPTS $_{346}$  = 9.6 versus 9.9 dB). Therefore, for complex noise ( $\beta$  > 10), the NIOSH noise exposure REL and OSHA Action Level may need to be lowered from 85 dBA to 80 dBA in the United States and elsewhere. It is worth noting that Smoorenburg (2003) suggested an exposure limit of 80 dBA for impulse sounds. An interesting trend in the  $K_1$  and  $K_2$  curves is that they converge  $L_{Aeq,8h}$  increases. When  $L_{\text{Aea,8h}} \ge 100 \text{ dB}$ , the difference of NIPTS<sub>346</sub> between the curves is only 0.3 dB. This convergence suggests that hearing loss from complex noise with moderate kurtosis values (10 < *β*  $\leq$  50) tends to produce a comparable level of hearing loss when the noise level reaches a fairly high level (100 dBA). However, when  $L_{A_{eq}$ <sub>sh</sub> was less than 100 dBA, especially in the range of 70 to 90 dBA, for a fixed exposure level, the NIPTS $_{346}$  in group  $K_2$  was significantly higher than that in group  $K_1$ .

The  $K<sub>3</sub>$  curve (the red line) in Figure 2 demonstrates the relationship between  $NIPTS<sub>346</sub>$  and complex noise with high kurtosis values ( $\beta > 50$ ). The fitting curve equation is as follows: *NIPTS*<sub>346</sub> = 24.7 /  $[1 + e^{(82.7 - L_{deg,8h})/7.5}]$ . When L<sub>Aeq,8h</sub> is equal to 70 dBA, the calculated value of NIPTS<sub>346</sub> is 3.8 dB. When  $L_{\text{Aea,8h}}$  = 75 dBA, the calculated NIPTS<sub>346</sub> is 6.5 dB. It is worth noting that the  $K_3$  curve and  $K_2$  curve intersect around  $L_{Aeq,8h}$  = 78 dBA. When the noise level is greater than 78 dBA, the NIPTS<sub>346</sub> difference between groups  $K_3$  and  $K_2$  becomes



Fig. 4. The estimated marginal mean of NIPTS<sub>346</sub> at each kurtosis category. A, Four kurtosis categories ( $K_1^*, K_2^*, K_3^*,$  and  $K_4^*$ ) in Zhang et al. (2021); (B) five kurtosis categories; and (C) three kurtosis categories (K<sub>1</sub>, K<sub>2</sub>, and K<sub>3</sub>) used in the current study. Error bars: standard error. n: number of workers in the kurtosis category.



TABLE 5. The kurtosis distribution information of some work types in the manufacturing industry [n: the number of workers investigated in the kurtosis analysis in the corresponding work type; correction value = 6.5\*log<sub>10</sub>(β/3), where the β is the mean of the kurtosis values of all the workers in that work type]

larger and larger. Especially when  $L_{Aeq,8h} \geq 85$  dBA, the NIPTS<sub>346</sub> in group  $K_3$  was significantly higher than that in groups  $K_2$  and  $K<sub>1</sub>$ . At the range between 85 and 95 dBA, the higher the noise level, the greater the difference in  $NIPTS<sub>346</sub>$ . According to the equations of the three fitting curves, it can be found that when  $L_{\text{Aea,8h}}$  is greater than 100 dBA, the NIPTS<sub>346</sub> difference between  $K_3$  group and  $K_1/K_2$  group tends to be stable (about 4 dB).

It is worth mentioning that, as we pointed out in our previous study, kurtosis is an adjunct metric to energy in the evaluation of NIHL (Qiu et al. 2006); that is to say, energy is the primary metric. If the noise energy does not reach a certain "threshold," then kurtosis will not have much effect on NIHL. As can be seen from the previous discussion, if  $L_{Aeq,8h}$  is below 70 dBA, neither continuous noise nor complex noise can produce significant NIPTS $_{346}$ . Therefore, we can infer that the noise level of  $L_{\text{Aea,8h}}$  = 70 dBA is the "threshold" for the effect of kurtosis. When  $L_{Aeq,8h}$  < 70 dBA, the value of kurtosis does not have an impact on NIHL evaluation.

# **Animal Versus Human Adjustment for Kurtosis**

Animal and epidemiological studies have shown that the temporal structure of noise (kurtosis) plays a vital role in NIHL evaluation (Lei et al. 1994; Qiu et al. 2006; Hamernik et al. 2007; Zhao et al. 2010). Based on these animal and epidemiological studies' findings, Goley (2011) proposed a scheme to correct the measured noise level  $(L_{Aeq,8h})$  using kurtosis and derived the adjustment coefficient of  $\lambda = 4.02$  from an analysis of chinchilla noise-exposure data. However, it is essential to note that the NIHL results observed in chinchillas are different from those observed in humans, where chinchillas are more susceptible to developing hearing loss following noise exposures. Therefore, the adjustment coefficient  $(\lambda)$ obtained from the chinchilla noise data does not necessarily apply to humans. As a comparison, we directly applied  $\lambda$  = 4.02 to workers' data, and the results are shown in Figure 3. It can be seen that, although using this coefficient can reduce the underestimation of NIHL caused by complex noise to some extent, for example, the  $K_2$  group's underestimation was reduced from the original average of 6.35 dB to 2.8 dB, the underestimation degree of  $K<sub>3</sub>$  group was reduced from the original average of 10.24 dB to 4.5 dB, but the degree of hearing damage caused by noise with high kurtosis value was still vastly underestimated.

Since human hearing is not as sensitive as that of chinchilla, it can be seen from adjustment formula (7) that to suffer a fixed NIHL, the adjustment coefficient of the human model should be larger than that of chinchilla. In other words, humans need to receive more noise energy than chinchillas do to suffer a comparable NIHL. Using data collected from the industrial and non-noise population in China and the ISO 1999 prediction formula for NIPTS, we derived an optimum adjustment coefficient ( $\lambda = 6.5$ ) that could be applied practically to protect the hearing of workers by using Goley's correction formula. As can be seen from Figure 3, after the adjustment of  $L_{Aeq,8h}$  by kurtosis, (1) for workers exposed to steady state noise ( $\beta \le 10$ , group  $K_1$ ), the underestimation of NIPTS<sub>346</sub> by ISO 1999 decreased significantly from 3.72 dB to 1.23 dB; (2) for workers exposed to complex noise with medium kurtosis ( $10 < \beta_{\rm N} \le 50$ , group  $K_2$ ), the underestimation of NIPTS<sub>346</sub> by ISO 1999 decreased significantly from  $6.35$  dB to  $0.08$  dB. It is clear that after kurtosis adjustment, ISO 1999 was able to accurately

predict high-frequency hearing loss of workers in the  $K<sub>2</sub>$ group. Considering that most occupational noises belong to this type of non-Gaussian complex noise (59.1% of the total number of workers exposed to this type of noise in our collected data), the adjustment of kurtosis to  $L_{A_{eq}}$  is of great significance for the correction of the ISO 1999 prediction formula. (3) For workers exposed to complex noise with high kurtosis  $(\beta_{N} > 50, \text{ group } K_3)$ , the underestimation of NIPTS<sub>346</sub> by ISO 1999 decreased significantly from 10.24 dB to −0.96 dB. This result shows that kurtosis has a significant adjustment on  $L_{Aeq}$ with greater impulsive content ( $\beta$  > 50), although the overall adjustment effect is slightly over-adjusted (about 1 dB overestimation for  $NIPTS_{346}$ ). It is worth pointing out that in the Introduction of the ISO 1999:2013 document, it is particularly emphasized that: "Throughout this International Standard, the term NIPTS is applied to changes in the noise-induced permanent threshold shift of statistical distributions of groups of people; it is not to be applied to individuals." Similarly, the evaluation of the kurtosis adjustment effect on NIPTS in this study is also based on groups of people rather than individuals.

The reason for choosing 3 to 6kHz for investigating NIPTS in this study is that hearing loss initially occurs mainly in this frequency range under stable noise exposure conditions. Therefore, from the perspective of hearing protection, we should study the dose-response relationship in the frequency band where it is the most sensitive to NIHL and find the optimal kurtosis adjustment algorithm to evaluate NIHL better to prevent hearing loss to the greatest extent. However, the NIPTS produced by complex noise may have different trajectories in frequency from continuous noise. In addition, NIPTS of other test frequencies (e.g., 1, 2, and 8 kHz) should also be studied, as these bands are important for speech recognition and understanding. The above topics are beyond the scope of this study and will be of great significance as future research work.

# **ISO 1999 Implications and Kurtosis Application**

In the formulation and revision of ISO1999 over the years, researchers have taken into account the different effects of impulsive noise and steady-state noise on hearing. Therefore, in the ISO1999:1971, it was pointed out that a correction of 10-dB should be added on the basis of the measured  $L_{Aee}$  for impulsive noise. In ISO 1999:1990, the correction value was changed to 5 dB. Since no specific method is given to distinguish steadystate noise from impulsive noise, such correction is arbitrary. Using the kurtosis correction formula of Equation 7 with the adjustment coefficient  $\lambda = 6.5$ , one may find that a correction of 5 dB corresponds to a moderately impulsive complex noise with a moderate kurtosis value (e.g.,  $\beta = -20$ ), and a correction of 10-dB corresponds to a highly impulsive complex noise with a high kurtosis value ( $\beta$  > 75). Therefore, the kurtosis correction formula can be explicitly used to evaluate the hearing loss of complex noise with different impulsive components, which can help government agencies develop better noise standards and hearing protection programs. Once there is an international standard to address what should be measured, how it is measured, and how it can be applied, adding a kurtosis metric is a straightforward modification to the software included in a sound level meter or dosimeter.

Meanwhile, we should pay attention to the application scope of kurtosis. Since the kurtosis metric is an adjunct to energy in the evaluation of trauma from complex noise exposure, the

validity of kurtosis depends on the noise exposure level. If the equivalent energy level of the noise exposure is low (e.g., less than 70 dB), it will not contribute to hearing loss no matter how high the value of kurtosis is. On the other hand, if the peak level of an impulse noise exceeds 140 dB SPL, the mechanisms of hearing damage include both mechanical and strains. The use of kurtosis would be questionable because there are no data about its effectiveness in this area. In order to greatly reduce the dose-response bias due to the wearing of hearing protection devices, the noise exposure range of this study was 70 to 95 dBA. In addition, due to the insufficient sample size at 70 to 78  $dBA$  (especially for  $K_1$  and  $K_3$  groups), more data are needed to explore the relationship between kurtosis and energy interaction in this region.

# **Consideration of Race/Ethnicity Influences on the Outcomes**

The database in this study was collected from a population of Chinese workers. There can be concern about the extent to which the results are applicable to populations of other non-Chinese ethnicities. Evans and Ming (1982) investigated 300 subjects exposed to industrial noise and 200 non-exposed (control) subjects in Hong Kong. Their results indicated that there was no evidence for ethnic differences between Western groups and Cantonese Chinese either for general hearing levels or for response to prolonged exposure to industrial noise. Furthermore, in the Chinese national standard document GZB 49-2014 (2014), the table showing the statistical distribution of median hearing thresholds as a function of age for an otologically screened population is the same as Annex A in ISO 1999 (1990). Also, Korea recently conducted the Korean National Health and Nutrition Examination Survey (KNHANES) 2010 to 2012 (Park et al. 2016). Median hearing thresholds between the KNHANES 2010 to 2012 and the USA National Health and Nutrition Examination Survey 1999 to 2004 were compared across age groups and gender. No difference in hearing thresholds between the USA population and the Korean population was found. From these studies, it was found that the hearing threshold of Chinese people was not significantly different from that of Americans or Westerners. It follows that the outcomes of this study can be applied to different ethnic groups.

# **CONCLUSIONS**

In this study, the combined effects of noise exposure level and kurtosis on NIHL were analyzed by using data collected from 2601 Chinese workers exposed to various industrial noises in comparison to non-noise exposed workers ( $n = 1297$ ). The Goley model was re-investigated, and the adjustment coefficient, that is,  $\lambda$ , was recalculated. The following conclusions can be addressed:

- 1. Because non-Gaussian complex noise is present in a wide range of industries, the temporal characteristics of noise (i.e., kurtosis) must be considered when evaluating occupational NIHL.
- 2. For non-Gaussian complex noise ( $\beta$  > 10), NIHL may occur when  $L_{Aeq}$  is greater than 70 dBA, and NIHL is pronounced when  $L_{Aeq}$  is larger than 80 dBA. Therefore, any singular occupational REL will be insufficient to

protect the hearing of workers unless kurtosis adjustment is applied.

3. A kurtosis-adjusted  $L_{Aea,8h}$  with an adjustment coefficient of 6.5 allows a more accurate prediction of highfrequency NIHL in the region of 70 dBA  $\leq L_{\text{Aeg}} \leq 95$ dBA, which is very important for the hearing protection of workers exposed to various complex noises.

# **ACKNOWLEDGMENTS**

The authors wish to thank those who agreed to participate in the study for their time, interest, and cooperation. Drs. David Byrne, Thais Morata, and Christa Themann from the US National Institute for Occupational Safety and Health, Dr. Alice Suter, and Mr. Barry Lempert provided helpful critiques of the manuscript. This work was sponsored by Grant 200-2015-M-63857, 200-2016-M-91922 from the National Institute for Occupational Safety and Health, USA; Grant N00014-17-1-2198 from Office of Naval Research, USA; Zhejiang province key research and development project (2015C03039), China; Zhejiang Provincial Program for the Cultivation of High-level Innovative Health Talents, China; Health Commission of Zhejiang Province (2019KY057), China. Grant No. 81771936 from National Natural Science Foundation, China; The Program of Occupational Health Risk Assessment of China NIOHP (Grant No. 13103109000160004); Preliminary Program on Occupational Health Standards of China NIOHP (No. 2021 10102); and Jiangsu Provincial Outstanding Medical Academic Leader and Innovation Team (CXTDA2017029) from the Province Health Commission of Jiangsu Province, China.

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention.

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The authors have no conflicts of interest relevant to this article to disclose.

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Received June 27, 2021; accepted February 28, 2022; published online ahead of print April 21, 2022.

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