Review

Current Advances in Adeno‑Associated Virus‑Mediated Gene Therapy to Prevent Acquired Hearing Loss

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ABSTRACT

Adeno-associated viruses (AAVs) are viral vectors that offer an excellent platform for gene therapy due to their safety profle, persistent gene expression in non-dividing cells, target cell specifcity, lack of pathogenicity, and low immunogenicity. Recently, gene therapy for genetic hearing loss with AAV transduction has shown promise in animal models. However, AAV transduction for gene silencing or expression to prevent or manage acquired hearing loss is limited. This review provides an overview of AAV as a leading gene delivery vector for treating genetic hearing loss in animal models. We highlight the advantages and shortcomings of AAV for investigating the mechanisms and preventing acquired hearing loss. We predict that AAV-mediated gene manipulation will be able to prevent acquired hearing loss.

Keywords: AAV-mediated gene therapy, Acquired hearing loss, AAV transduction in vivo

INTRODUCTION

Auditory processing in the cochlea depends largely on the integrity of the sensory hair cells, mechanosensors of the inner ear, located on the basilar membrane. Numerous risk factors for hair cell damage can accumulate over a

lifetime, such as noise trauma, exposure to ototoxic medications, bacterial or viral ear infections, and the aging process [[1\]](#page-7-0). Damage to sensory hair cells is a common pathological feature of acquired sensorineural hearing loss [[2](#page-7-1)–[4\]](#page-7-2). Since mammalian hair cells do not regenerate, such hearing loss is permanent.

The suffering from and cost of acquired hearing loss highlight its prevention as a high-priority health concern [[5\]](#page-7-3). However, no approved pharmaceutical therapy is currently available in the clinic due partly to the complexity of the cell death pathways induced by noxious challenges and the uncertainty of potential drug targets [\[3](#page-7-4), [6](#page-7-5)]. The optimal delivery route presents another major challenge as systemic treatment might require large dosages to achieve therapeutic levels in the inner ear and increase the risk of side effects, even when the protective agents can cross the blood-labyrinth barrier to reach the cochlea [[7](#page-7-6)]. A local application can circumvent these problems but might require surgical intervention.

To date, adeno-associated virus (AAV)-based gene therapy has emerged as a method with great potential to treat inherited hearing loss in several animal experiments showing sustained hearing function improvement up to about [10](#page-7-8) months of age in mice $[8-10]$. In particular, AAV-mediated treatments correct the loss of function of genetic defects by complementary expression of recombinant proteins in animal models [\[9](#page-7-9), [11](#page-7-10)].

AAV is a benign residential virus in humans. Particularly with the second generation of recombinant AAV (rAAV) vector, by designing mutants of the AAV capsid to engineer the best features of the native virus and a deep understanding of the mechanisms of AAV integration, AAV has become one of the leading and most widely adopted gene delivery tools in the laboratory as

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well as in clinical application [\[12,](#page-7-11) [13](#page-7-12)]. Gene replacement therapy via AAV transfection as a novel strategy for treating genetic deafness has been recently reviewed [\[14–](#page-7-13)[16\]](#page-7-14). AAV-based treatment for acquired hearing loss is, however, limited. This review summarizes AAV vectors as a gene therapy platform focusing on acquired hearing loss. Several laboratories, including ours, have successfully delivered naked small interfering RNA (siRNA) onto the round window membrane (RWM) of the middle ear for investigation of the mechanisms of acquired hearing loss in animal models [\[17–](#page-7-15)[20\]](#page-7-16). Our previous publication detected fuorescence-conjugated siRNA in the inner ear 24–48 h after intra-tympanic delivery [[20\]](#page-7-16). However, the activity of naked siRNA has a relatively short half-life, lasting about $4-7$ days [\[21\]](#page-7-17). Combining AAV vectorbased expression with RNAi technology can achieve the goal of stable and long-term silencing.

OVERVIEW OF ADENO‑ASSOCIATED VIRUS

General Information on AAV

The laboratory of Bob Atchison at the University of Pittsburgh identifed AAV as a replication-defective contaminant of adenovirus preparations, hence its name [\[22\]](#page-7-18). Wallace Rowe's laboratory at NIH characterized AAV as a member of the parvovirus family, lacking the ability to replicate independently, and reported its physical, biological, and immunological features [\[22](#page-7-18), [23](#page-7-19)]. AAV packages a single-stranded (ss) DNA of approximately 4.7 kilobases (kb). The small genome encodes three essential genes: *rep* (Replication), *cap* (Capsid), and *aap* (*Assembly*) that generate at least nine products by employing three promoters, alternative translation start sites (aTIS), and alternative splicing [\[24\]](#page-7-20). The *rep* gene encodes nonstructural proteins (Rep78, Rep68, Rep52, and Rep40) required for viral DNA replication and packaging. The c*ap* gene encodes the capsid proteins (VP1, VP1/VP2, and VP3), which form the icosa-hedral capsid [\[25](#page-7-21)] that protects the viral genome and facilitates cell surface receptor binding and entry via endocytosis [[13\]](#page-7-12). The cap locus also encodes *aap*, a nonstructural open reading frame, assembly activating protein (AAP) for protein scaffolding and capsid assembly in several AAV serotypes [[26](#page-7-22)]. However, AAV4, 5, and 11 do not need AAP for capsid assembly [[27\]](#page-7-23). AAP drives the localization of capsid protein to the nucleus in AAV2, but other serotypes localize diffusely in the nucleus [\[13](#page-7-12)].

Two inverted terminal repeats (ITRs) of 145 bases fank the coding sequences in AAV, which drives its replication and integration into a specifc locus on chromosome 19. Chromosome 19 is one of 23 pairs of chromosomes and has the highest gene density of all human chromosomes, with more than double the genome-wide average [[28](#page-7-24)]. The ITR sequence is an essential region required in *cis* for packaging the AAV vector, and a second region — cis-acting Rep-dependent element (CARE) — promotes replication in *cis* [[29\]](#page-7-25). Typical rAAV vectors eliminate the other factors required for DNA replica- τ tion — rep and capsid formation–cap — as these can function in *trans*. After removing the original viral DNA, most rAAV vectors are genetically engineered from naturally existing capsids to enhance the transduction, limit integration, and overcome immunity for broader clinical applications [\[30\]](#page-8-0). In preclinical studies, extensive testing has established AAV's safety providing an excellent starting point for further refnement [\[31\]](#page-8-1).

Serotypes

AAVs mainly interact with carbohydrates to target cells, including sialic acid, galactose, and heparin sulfate [\[32](#page-8-2), [33\]](#page-8-3). AAV *cap* is the major determinant of AAV cell tropism, as capsid sequence variants interact with unique receptors on target cells, making AAV serotypes valuable cell-targeting research tools [[34\]](#page-8-4). Recently, a publication has summarized AAV capsid transduction into the mouse and primate inner ear [\[35\]](#page-8-5). The capsid proteins include conserved parts that maintain basic structural function and artifcially designed elements that enhance transduc-tion efficiency and improve cell targeting [[36](#page-8-6)]. So far, molecular cloning and serologic methods have identifed more than 100 different AAV serotypes in humans, primates, and other species. Of these, current gene therapy viral vectors use 12 different AAV serotypes (AAV1-12) and their derivatives. AAV2 was the frst isolated virus vector showing natural tropism towards neurons, muscles, and hepatocytes. Similarly, AAV8 effectively transfects the liver of rodents [[37](#page-8-7)], and AAV9 delivers genes of interest to the skeletal and cardiac muscle [\[38\]](#page-8-8). To further refine target specificity and immune system avoidance, capsid and other genomic sequences from different AAV pseudotypes are combined to generate new variants. Since AAV2 has been the best-characterized vector, it serves as the basis for most AAV development. For example, the AAV2 plasmid packaged into an Anc80 capsid, defined as (AAV2/Anc80), efficiently targets cardiac and kidney tissue [\[39\]](#page-8-9) and extends its range to the inner ear and optical structures [[40](#page-8-10), [41\]](#page-8-11). Likewise, AAV2 combined with the AAV2 capsid (AAV2/2) preferentially targets the neural system [\[42](#page-8-12)].

To efficiently deliver and express genes in specific regions of interest, such as the cochlear sensory hair cells and the outer retina [[43\]](#page-8-13), in vivo-directed evolution allows the selection of additional useful variants [[44](#page-8-14)]. One such effort injected three AAV libraries containing 7-amino-acid inserts into the vitreous humor and selected for green fluorescent protein (GFP) reporter expression in the outer retina [[45](#page-8-15)]. The approach identified AAV2.7m8 encoding the sequence LALGETTRP after residue 588 of the AAV2 capsid, which infected Chinese hamster ovary cells 100 times more efficiently, and efficiently expressed GFP in all major cell types of the retina, including retinal ganglion cells, bipolar cells, amacrine cells, Müller cells, horizontal cells, rods, cones, and the retinal pigmented epithelial cells. Moreover, AAV2.7m8 successfully treated mouse models of X-linked retinoschisis and Leber's congenital amaurosis. Further evaluation of AAV2.7m8 delivery discovered that it infects the inner pillar cells and inner phalangeal cells along with both outer and inner hair cells, making it an excellent vehicle for gene delivery to all inner ear tissues [[46](#page-8-16)].

AAV Plasmids

AAV vector systems generally use three plasmids for transfection: (1) a transfer plasmid containing the viral genomic elements, (2) Rep/Cap plasmid containing the structural and packaging genes, and (3) a helper plasmid containing the proteins needed for the virus to replicate. All three plasmids are essential for generating and packaging active AAV vectors [[47](#page-8-17)]. The components of the

AAV plasmid include a suitable promoter, a transgene cassette including the gene of interest, such as a short hairpin RNA sequence for silencing or a cDNA sequence for overexpression, a reporter (such as GFP, to follow the transfection), and a termination signal that includes signals for polyadenylation. The vector plasmid backbone contains appropriated cloning sites, such as a polylinker between the promoter and polyadenylation sequence. The Rep/Cap plasmid provides structural (Cap) and nonstructural (Rep) viral protein sequences. The choice of a Rep/ Cap plasmid depends on the targeted cells, as discussed in the "[Serotypes](#page-1-0)" section. In addition to Rep and Cap, AAV requires a helper plasmid containing adenovirus genes (*E4*, *E2a*, and *VA*) to support AAV replication. The schematic diagram illustrates the AAV vector (Fig. [1A](#page-2-0)).

AAV Promoters

AAV vectors transfected in mammalian cells are comprised of several key elements: (1) an inducible or continuous promoter to provide strong transcriptional activity, (2) a transcriptional terminator to stabilize transcriptional products, such as mRNA or shRNA, that prevents posttranscriptional interference, and (3) a suitable reporter to

FIG. 1 Schematic flow diagram of AAV vector use for inner ear therapy. **A** Packaging of plasmids (#1) encoding the gene of interest and a GFP marker requires co-transfection into HEK293T cells with capsid (42) and helper (43) plasmids. The capsid plasmid determines AAV particle structure and subtype, and the helper plas-

mid encodes the adenoviral genes E1, E2, E4, and VA that facilitate viral packaging. **B** Purifed AAV particles are micro-injected into the inner ear of neonatal mice. Imaging and physiological tests evaluate recombinant gene expression, and its functional consequences once the mice reach designated experimental ages

identify infected cells [[48,](#page-8-18) [49\]](#page-8-19). Since AAV only allows packaging of small inserts, elimination of the reporter accommodates a larger insert. The promoter contains elements that drive transcription of the desired insert and can be customized as discussed below. Commonly used promoters such as CMV (cytomegalovirus), EF1a (elongation factor 1a), SV40 (simian virus 40), and CAG (CMV enhancer fused to the chicken β-actin, or rabbit β-globin) drive constitutive gene expression in most host cell types. However, some of these constitutive promoters cannot maintain expression due to silence in certain cell types, for example, CMV promoter silencing in the central nervous system [[50\]](#page-8-20). The CAG promoter is the strongest constitutive promoter in most cell types [\[51\]](#page-8-21). However, CAG is signifcantly larger than other promoters, making it unsuitable for packaging larger transgenes [\[52](#page-8-22)]. Inducible promoters exhibit low basal transcription levels but can have substantial induction by a non-toxic inducer. Inducible promoters are desirable for limiting the expression of toxic proteins or for experimental analysis of differential expression. Since mutation and the consequent loss of specifc gene function lead to sensory hair cell dysfunction in most inherited hearing loss, most studies adopt constitutive promoters to drive continuous gene expression [\[14](#page-7-13)[–16](#page-7-14)].

Packaging AAV Vectors

The vast availability of commercial kits and reconstructed packaging cells have greatly aided the laboratory development of AAV plasmids. Briefly, a workflow of production of an AAV vector includes plasmid design and production, cell expansion and transfection into packaging cells, viral particle production, purifcation, and formulation (Fig. [1](#page-2-0)A). Many AAV plasmid backbones are freely available from commercial companies, such as Addgene (<https://www.addgene.org>), further facilitating design and construction. HEK293T is the most common cell line used in the laboratory to produce AAVs [[53\]](#page-8-23). However, other cells such as Sf9, BHK, and HeLa S3 can substitute for increasing safety or virus yield [\[53](#page-8-23)[–55](#page-8-24)].

During packaging of AAV particles, a common by-product is empty capsids lacking an ITR-fanked transgene. Such empty AAV particles can signifcantly reduce infection efficiency $[56]$. The main approach to removing empty capsids relies on time-consuming density ultracentrifugation, with scale-up challenges for commercial purposes. Another effective method is to adjust the ratio between Rep/Cap and transgene plasmids. AAVspecifc resins that target AAV subtypes based on viral binding specifcity are now available. Anion exchange chromatography is commonly adopted after resin purifcation to remove empty capsids [[18,](#page-7-26) [57\]](#page-8-26). These methods increase the purification efficiency $(10^{13} - 10^{14} \text{ GC/mL})$ while reducing cost.

BENEFITS AND LIMITATIONS

Duration and Stability of Transfection

Most of the experimental results in animals show safe and stable AAV transfection. As a platform for gene therapy, AAV transfection of gene expression depends on AAV serotype, dose, and target cell type, and cap reconstruction enables high infection efficiency in specifc tissues. AAV without Rep proteins has ITRflanked transgenes that can form double-stranded (ds) circular episomes from the linear single-stranded virus DNA in the infected cellular nuclei [[58\]](#page-8-27). AAV expresses stably as an episome in non-dividing cells but actively dividing cells lose expression during cell division and the consequent dilution due to the lack of synchronized replication. The stability and expression in non-dividing cells permit its use in the mammalian auditory sensory hair cells since they constitute stable postmitotic cells. Based on the characteristics of sensory hair cells, AAV is an ideal vector for its stability in transgene expression throughout the entire lifespan of the host cell.

AAV *Rep* proteins (Rep78 and Rep68) are essential for targeted vector integration into chromosomes. They mediate the integration of the virus transgene into human chromosome 19, increasing the stability of the transgene. However, the integration rate is as low as $0.1-0.5$ % in animal experiments [[59\]](#page-8-28). Removing the original *rep* gene blocks the targeted insertion of AAV in chromosome 19 but allows a rare random integration into any chromosome [[60\]](#page-8-29). Such integration poses potential threats to the host genome, including deletion or insertion of genes. For example, experiments using mice detected AAV integration near or into nine oncogenic genes, raising concerns regarding its safety for clinical application [\[61\]](#page-8-30). In fact, in the absence of Rep proteins, viral genome integration into the human genome is rare because of the reduced copy number of the viral genome when host cells replicate [[62\]](#page-8-31).

Packaging Capacity

AAV is a small virus with a defned icosahedral structure that limits the size of the packaged genome to about 4.7 kb [[25](#page-7-21)]. Thus, the packaging capacity of AAVs needs careful consideration for the expression of large proteins. Aside from the particular cDNA sequence, a single AAV transgene must include a suitable promoter, reporter, polyadenylation sequence, and other regulatory elements. However, this is not a major concern for most hearing-related applications, with a median human protein size of 375 amino acids [\[63\]](#page-8-32), since the cDNA of about 78 % of the proteins associated with hereditary hearing loss are under the size limit of the

AAV packaging capacity [[14\]](#page-7-13). When it becomes necessary to express larger proteins, one may consider variants such as dual-AAV or triple-AAV to achieve gene delivery [\[64](#page-8-33)]. For gene silencing, the packaging capacity of AAV is easily suffcient, as described below ("[Gene](#page-5-0) [Silencing"](#page-5-0) section).

Immuno-Compatibility

The body's reaction to rAAV includes innate and acquired immunity [[65](#page-8-34), [66](#page-8-35)]. However, newly developed AAVs have modifed open reading frames (ORFs) to trigger a less robust host immune response [\[67\]](#page-8-36). Low immunogenicity may allow for long-term therapeutic gene expression after a single administration. Capsid and transgene-translated proteins induce the immune response [\[68,](#page-8-37) [69](#page-8-38)]. An effective strategy to alleviate the immune response is suppressing the viral-capsid-related immune reaction by adopting suitable serotype AAVs and suppressing host immunity. Innate immunity is nonspecifc and reacts to the frst exposure to AAVs by directly "catching" AAVs, e.g., by macrophages that engulf AAV particles, without the help of antibodies. This type of immune response is the most relevant for research since animals receive a single AAV injection rather than repeated AAV exposures. The mechanism of innate immune reactions to AAV is still unclear. Acquired immunity is associated with multiple exposures to the same serotype of AAV. Interestingly, AAV-specifc antibodies occur naturally in 30–40 % of the human population, even without clinical AAV infection history [\[70\]](#page-8-39). In 2008, researchers showed that the complement system is essential for innate and acquired immune responses to AAV [[71](#page-8-40)]. One may use several paradigms to limit acquired AAV immunity, such as using single-dose delivery methods or changing the AAV serotype for repeat delivery [\[72](#page-8-41)].

In recent decades, several labs have focused on developing suitable AAV serotypes for hearing research. Assessments of auditory function, such as auditory brainstem response (ABR), distortion product otoacoustic emissions (DPOAE), and counts of sensory hair cells, routinely test the safety of the serotype [[41](#page-8-11), [73,](#page-8-42) [74](#page-9-0)]. So far, research on the immune response in the inner ear is very limited. Many studies have used hematoxylin–eosin (HE) staining to identify infammatory cells, but this may not be very sensitive. Evaluation of infammatory cell infltration into the cochlea revealed that AAV8BP2 causes more cochlear infammation than AAV2.7m8 [[46](#page-8-16)]. Additionally, prolonged overexpression of GFP (5 weeks after Anc80 transfection to adult CBA/CaJ mice) induces inner hair cell (IHC) loss [\[75](#page-9-1)]. Although such damage to IHCs may be due to GFP ototoxicity or a GFP-triggered immune response, one cannot rule out the potential immune responses to AAV-mediated treatment.

STRATEGIES FOR TREATMENT OF HEARING LOSS

Surgical Approach for Delivery of AAV into the Inner Ear

The inner ear is a relatively closed space surrounded by bone. It is comprised of two functionally distinct organs: the vestibular system and the cochlea. The vestibular system is responsible for balance and orientation in three-dimensional space, while the cochlea is dedicated to sound. The spiral-shaped structure of the cochlea separates into three fuid-flled cavities. The scala vestibuli and scala tympani contain perilymph fuid, similar to the cerebrospinal fuid. The scala media has endolymph with a composition distinct from the perilymph. There are two windows (the round and oval) between the middle and inner ear, each sealed by a membrane and are involved in sound transmission. The RWM is located at the end of the scala tympani. Except for the stereocilia and cuticular plate, the sensory hair cell surfaces are immersed in perilymph, allowing substances applied to the perilymph to reach all the inner ear cells [\[76\]](#page-9-2). Moreover, the bone of the lateral wall of the inner ear, semicircular canal, and the cochlear bulla in neonatal mice is soft. It can easily open with a micro-glass tube without much structural damage. The bone continues to ossify with age [[77](#page-9-3)], which increases the difficulty of AAV delivery at later ages. Researchers have tried several methods to effectively deliver AAVs into targeted sensory hair cells to improve infection rates [[15](#page-7-27)]. In general, there are three main methods to administer AAV vectors into the inner ear: (1) RWM approaches, including direct injection through the RWM [[78](#page-9-4)] and RWM gel foam immersion [[79\]](#page-9-5). However, direct injection of AAV through the RWM may confer a risk of injection-induced hearing loss [[80\]](#page-9-6). (2) Posterior semicircular canal approaches, which may have a lower risk of damage to hearing function but has the potential to disturb vestibular function [[73\]](#page-8-42). (3) A cochleostomy approach requires a skillful surgeon to open a window on the lateral wall of the cochlea without incurring further structural damage. This method also has a high risk of damage to hearing function [[80,](#page-9-6) [81\]](#page-9-7).

AAV Delivery Volume and Titer

A high titer of AAV vectors is required for delivery into the inner ear to achieve an adequate infection rate in cells of interest. Typically, one delivers 10^9 to 10^{10} genome copies (GC) in as small a volume as possible $(\sim 1 \mu L)$ into the adult mouse inner ear through different approaches [\[73,](#page-8-42) [81,](#page-9-7) [82](#page-9-8)]. One should avoid the delivery of large volumes of fuid into the inner ear to avoid increasing the perilymph pressure resulting in damage to sensory hair cells [[83](#page-9-9)]. Tao and colleagues reported that hearing loss appears 2 weeks after injection of just $2 \mu L$ of AAV2/Anc80L65 into the adult mouse cochlea via a semicircular canal approach [\[84](#page-9-10)]. Additionally, up to 1.5 µL of AAV particles can be inoculated into postnatal day 1–5 mice without causing damage to hearing function [\[74](#page-9-0)]. To stay within the safe volume range, we need to use a high virus titer to ensure adequate infection and cell coverage [[78](#page-9-4)]. The tolerance of a relatively high volume of AAV in postnatal pups compared to adult mice may be due to developmental changes in rodents. For example, the blood-labyrinth barrier develops and matures in rats after postnatal day 14 [\[85,](#page-9-11) [86\]](#page-9-12).

Targeting Cochlear Sensory Hair Cells

Targeting cochlear sensory hair cells is a key issue for treating hearing loss, including genetic and acquired hearing loss. One of the main common pathological changes in various types of acquired hearing loss, such as noise- and ototoxic-drug-induced hearing loss, is the death of sensory hair cells, with OHCs being more vulnerable to insults than IHCs [[3](#page-7-4), [6\]](#page-7-5). Autopsy evaluation of human temporal bones showed that agerelated hearing loss is strongly associated with loss of sensory hair cells [[4\]](#page-7-2). Therefore, one basic requirement for using AAV as a vector to transduce genes to prevent and treat acquired hearing loss is to attain a good infection rate in sensory hair cells to achieve functional correction or reverse pathological processes. However, the introduction of AAV vectors into the inner ear shows high infection in IHCs compared to OHCs [[78](#page-9-4), [87](#page-9-13), [88\]](#page-9-14). By exploring with AAV vectors with new or revised capsids to increase the infection rate in OHCs, a milestone was reached with the application of Anc80L65 into the inner ear, which shows high infection efficiency in both IHCs and OHCs without damaging hearing function [\[41\]](#page-8-11). Furthermore, more AAV vectors have high transfection into inner and outer sensory hair cells. For example, AAV9- PHP.B successfully infected a mouse model and the nonhuman primate cochlea [[89](#page-9-15)–[91\]](#page-9-16). Additionally, reports show a high infection rate in neonatal and adult mouse OHC and IHCs by AAV2.7m8 [[46\]](#page-8-16) and AAV-ie [[74](#page-9-0)]. These recent discoveries have made AAV vectors a more suitable tool for inner ear research and therapy.

AAV AS A PLATFORM FOR THE TREATMENT OF HEARING LOSS

Mouse Models to Evaluate for Treatment of Acquired Hearing Loss with AAV

Despite the widespread use of mouse models in hearing research [[92](#page-9-17)], no studies assess specifc mouse strains

resistant to AAV infection. The selection of an animal model for AAV delivery depends on the sensitivity of the mouse strain to hearing damage, the fertility of the mice, and the nursing ability of parental mice. CBA mice are well-characterized for studying noise- and aminoglycoside-induced hearing loss due to their lack of the *Ahl* mutation in the cadherin 23 gene, which causes accelerated hearing loss. However, CBA mothers abandon their pups once they are returned after handling and manipulation, regardless of how carefully we take care of the pups. In contrast, FVB/NJ mothers reliably accept their pups after experimental manipulations. FVB/NJ mice show normal baseline hearing for at least 10–12 weeks. Our recent results show that FVB/NJ mice have a similar sensitivity to noise-induced loss of OHCs in the basal turn as CBA/J mice [\[17\]](#page-7-15) in agreement with another report [[93](#page-9-18)]. Since the AAV infection rate is higher in pups than in adults, our lab commonly uses FVB/NJ mice for AAV delivery to mediate gene expression to study NIHL in vivo (Fig. [1B](#page-2-0)) [[94\]](#page-9-19). After AAV delivery and recovery from cold anesthesia, we routinely put FVB/ NJ pups back on their original padding material for a time to make their smell more recognizable by their parents for further nursing [\[94\]](#page-9-19). However, we would like to emphasize that CBA mice can be employed for AAV transfection if an FVB/NJ mother nurses the CBA pups.

Gene Silencing

Aside from AAV-mediated gene expression, which requires inserting cDNA of interest combined with a suitable promoter to perform gene replacement, gene silencing relies on RNA interference (RNAi) or posttranscriptional gene silencing. RNAi-based gene silencing reduces targeted protein expression via a viral or bacterial genome (short hairpin RNA, shRNA) or direct siRNA delivery into targeted cells [[95](#page-9-20)]. siRNA (also known as short interfering RNA or silencing RNA) is a class of double-stranded non-coding RNAs about 21 base pairs in length. It is the most commonly used RNAi tool for the short-term silencing of proteins [[96](#page-9-21)]. The research community has employed RNAi as a new therapeutic modality for diseases involving specifc gene disorders or targeting pathologic gene/protein expression. The FDA approved the frst RNAi drug in 2018 to treat hereditary transthyretin-mediated amyloidosis, a rare and deadly inherited condition [[97\]](#page-9-22). Recently, the FDA approved a siRNA medicine, Inclisiran, which inhibits PCSK9 to treat hyperlipidemia in high-risk cardiovascular patients [\[98](#page-9-23)].

Several laboratories, including ours, have successfully delivered naked siRNA onto the round window membrane of the middle ear to investigate the mechanisms of acquired hearing loss $[17-20]$ $[17-20]$. However, silencing efficiency and duration of siRNA activity still need improvement for better outcomes. Theoretically, RNAi's expression in the targeted cell's genome may achieve long-term silencing by combining RNAi technology with an AAV vector. First, the long-term infection characteristics of AAV vectors enable stable expression of transgenes in targeted cells, making permanent silencing possible in postmitotic cells. In contrast with the long cDNAs, the short hairpin RNA (shRNA) sequence is only about 22nt, making it possible to design a transgene sequence with several shRNA sequences to silence one gene or multiple genes with one AAV vector [\[95](#page-9-20)].

Gene Replacement Therapy for Genetic Deafness

Gene replacement via AAV transduction has been wellreviewed as a strategy for the loss-of-function treatment of genetic deafness [\[14–](#page-7-13)[16\]](#page-7-14). Briefy, the majority of the genes associated with genetic hearing loss are within the size limits of the AAV packaging capacity, and it is possible to package larger genes through dual-AAV [\[10](#page-7-8)] and even triple-AAV systems [\[14,](#page-7-13) [15\]](#page-7-27). In several animal experiments, AAV-based gene replacement therapy has emerged as an important method to treat inherited deafness. However, the efficient rescue of sensory hair cell function and lack of hearing recovery with later-stage treatments remain challenges. Trans-expression of cDNA cannot treat dominant gain-of-function mutant alleles associated with the production of abnormal proteins. Instead, one predicts the need for personalized identifcation of the defects to restore affected pathways or develop allele-specifc attenuators such as mutant-specifc shRNA. Prevention of hearing loss needs further development, including the longterm expression of safe constructs targeting the inner ear sensory hair cells to replace the expression of abnormal proteins with normal ones.

AAV-Mediated Gene Therapy in Acquired Hearing Loss

Environmental factors contribute to acquired hearing loss over a lifespan, which is less predictable. Recent developments improved the AAV gene therapy vector to help prevent and treat acquired hearing loss, including noise- and ototoxic-drug-induced and age-related hearing loss. Although some studies reported possible ototoxicity from AAV-mediated gene overexpression, the development of additional strategies, such as inducible temporary overexpression, aims to improve tolerability. We have searched PubMed for AAV-mediated prevention and treatment of acquired hearing loss in preclinical studies using animals before and while writing this short review. The publications reviewed here fall into this category.

Reports indicate that AAV-mediated neurotrophin-3 (NT-3) overexpression serves to alleviate noise-induced hearing loss [[75,](#page-9-1) [99](#page-9-24)], but NT-3 overexpression may

be harmful to IHCs due to cochlear overstimulation [[75\]](#page-9-1). AAV-mediated gene overexpression of glial cell line-derived neurotrophic factor (GDNF) and activitydependent neurotrophic factor 9 (ADNF-9) showed preventive effects against kanamycin-induced hearing loss [[100,](#page-9-25) [101](#page-9-26)]. However, like NT-3, GDNF overexpression was reported to damage hair cells and spiral ganglion neurons [[102\]](#page-9-27). Thus, the potential ototoxicity of exogenous proteins in the inner ear needs careful evaluation before further development. Additionally, AAV-mediated X-linked inhibitor of apoptosis protein (XIAP) overexpression also attenuated cisplatin-induced hearing loss [[79,](#page-9-5) [103\]](#page-9-28). However, AAV-mediated Cav1.3 silencing appeared to aggravate age-related hearing impairment due to the stimulation of calcium-mediated oxidative stress [[104](#page-9-29)].

A new strategy tagged AAV2 with superparamagnetic iron oxide nanoparticles (quad Y-F), which increased AAVdelivered BDNF expression by magnetic targeting via the RWM and protected against noise-induced temporary hearing loss [[105](#page-9-30)]. Furthermore, using the CRISPR/Cas9 geneediting system via AAV transduction to correct the point mutation of the *Htra2* gene reduced neomycin-induced apoptosis in sensory hair cells and prevented neomycininduced hearing loss [[106\]](#page-9-31). So far, AAV-mediated gene delivery for treating hearing loss, especially for acquired hearing loss in the inner ear, remains in early experimental stages. For example, manipulating AAV-mediated protein expression in sensory hair cells to a physiological state remains elusive. Low expression of AAV encoded proteins may be insuffcient to prevent hearing loss, while exaggerated expression may be ototoxic. An ideal tradeoff between therapeutic expression and cell toxicity may be achieved by measuring the protein levels under physiological conditions and regulating AAV-mediated protein expression close to this point, either by a controllable promoter or by manipulating delivery. However, manipulating delivery may lead to a problem of only infecting a subset of cells, which may express toxic protein levels.

Additionally, not all types of acquired hearing loss can be prevented or treated by manipulating gene expression. For example, increased protein expression may not improve the function of the sensory mechanoelectrical transduction (MET) channel in cochlear sensory hair cells. Furthermore, the treatment time may be critical with developmental regulation or timing in reaction to toxic exposure.

CONCLUSION

Significant progress in the preclinical treatment of genetic hearing loss has been achieved with the recent development of AAV vectors via capsid engineering, resulting in specifc cell and tissue tropism [[107,](#page-9-32) [108\]](#page-9-33). Several newly designed AAVs are also safe and have a

high transfection rate into inner ear cells, including sensory hair cells and supporting cells [[10](#page-7-8)]. In particular, AAV-mediated correction of genetic defects by gain-offunction has shown promise. Consequently, in preclinical studies, AAV-mediated gene therapy has successfully treated genetic hearing loss and prevented acquired hearing loss. However, many challenges remain, such as the lack of detailed data on the fate and impact of empty viral particles, chemicals generated during AAV vector production on hearing function, the need for purifcation and quality control of AAV vectors, batch-to-batch variations of vector titers, and high cost. Nevertheless, given the complete absence of paradigms to prevent and treat either inherited or acquired hearing loss, we expect that AAV-mediated gain-of-function and loss-of-function hold much promise as the next frontier of therapeutics for the prevention and treatment of genetic and acquired hearing loss.

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Declarations

Conflict of Interest The authors declare no competing interests.

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