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## Evaluation of *SORL1* in Lewy body dementia identifies no significant associations

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Lewy body dementia (LBD) is a clinically heterogeneous neurodegenerative disorder characterized by parkinsonism, visual hallucinations, fluctuating mental status, and REM sleep behavior disorder<sup>1,2</sup>. LBD lies along a spectrum between Parkinson's disease and Alzheimer's disease, and recent evidence suggests that the genetic architectures of these age-related syndromes are intersecting<sup>3</sup>.

Numerous investigations into the role of amyloid precursor protein (APP) pathway genes have implicated an intracellular transmembrane protein, sortilin-related receptor 1 (encoded by the *SORL1* gene), to be associated with an increased risk of Alzheimer's disease. Pathogenic loss-of-function and missense mutations in *SORL1* have been postulated to affect APP shuttling between the trans-Golgi network and early endosomes, leading to disease<sup>4</sup>. Interestingly, several studies have also found rare *SORL1* mutations in patients with Alzheimer's disease with concomitant LBD, suggesting that *SORL1* may be a pleiotropic risk gene<sup>4–6</sup>. Here, we assessed the possible association of *SORL1* variants with risk for LBD.

We used our previously published whole-genome sequencing data generated on 2,591 European-ancestry LBD patients and 4,027 neurologically healthy individuals<sup>3</sup>, which has good coverage of the *SORL1* gene (mean coverage 38x, range=22x–83x). Patients with LBD included in this dataset were diagnosed with pathologically definite or clinically probable disease according to consensus criteria.<sup>1,3</sup> Controls were selected based on a lack of evidence of cognitive decline in their clinical history and absence of neurological deficits on neurological examination. We first reviewed a common *SORL1* risk variant for Alzheimer's disease (rs74685827) in our previous LBD GWAS data,<sup>3</sup> which found no association (beta=0.02, standard error=0.14, *p*-value=0.90). Next, we extracted 6,617 rare variants in *SORL1* (minor allele frequency < 0.01 in the European, non-Finnish population; Supplementary Table 1) and annotated them with variant effect predictor<sup>7</sup>, then filtered the data to only include loss-of-function and missense mutations. This step identified 167

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variants on which we performed a gene burden analysis using SKAT-O, as implemented in RVTTests (v.2.1.0)<sup>8</sup>. The model included age, sex, and four principal components as covariates. We also explored the rare single-variant association tests for each observed missense and loss-of-function mutation using Fisher's exact tests, as implemented in PLINK (v.1.9) (Supplementary Table 2).

Our gene burden analysis did not identify a significant association of rare damaging variants with an increased risk of developing LBD (SKAT-O  $p$ -value=0.24). Additionally, none of the single-variant associations were significant after correction for multiple testing (Supplementary Table 2). We observed eight missense mutations that have been previously described in patients with mixed dementias<sup>4-6</sup>. However, these mutations were either found in cases and controls or were more frequent in controls. None of these variants were nominally associated with disease (Table 1). Furthermore, a recent report examining *SORL1* mutations in a large Greek family with Parkinson's disease, Parkinson's disease dementia and mixed dementia reported a rare missense variant (p.G379W) segregating with disease<sup>6</sup>. We observed the same mutation in one LBD patient of Greek ancestry. From our data, we cannot conclude that this mutation is disease-causing or simply a variant present in the Greek population.

In summary, we did not find a significant enrichment of rare, damaging *SORL1* mutations in our well-powered LBD cohort. Our dataset is, to our knowledge, the largest genome-sequence cohort in this understudied disease. While it is possible that an association was missed due to allelic heterogeneity, our findings indicate that caution should be exercised when interpreting *SORL1* mutations in LBD, as current evidence does not conclusively support an association with disease risk.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Author Roles:

A.R. wrote the first draft. A.R., P.R., Z.S. performed or contributed to the statistical analysis. S.W.S. conceptualized, designed and supervised the project. All authors edited and reviewed the final manuscript.

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Single-variant associations of rare *SORL1* mutations reported in the literature in patients with dementia

**Table 1.**

Position (hg38)	REF	ALT	dbSNP	AA Change	gnomAD MAF (all population)	gnomAD MAF (NFE)	No. of LBD Patients	No. of Controls	p-value	Previous Reports
chr11:121514222	A	C	rs150609294	p.N371T	0.0014	0.0031	8	8	0.2458	Alzheimer's disease with FTLD
chr11:121514245	G	T	-	p.G379W	-	-	1	0	0.3915	Parkinson's disease and mixed dementia
chr11:121550604	G	A	rs148430425	p.D734N	0.0006	0.0002	0	3	0.2853	Alzheimer's disease with DLB
chr11:121558767	C	T	rs143571823	p.T947M	0.0042	0.00011	0	1	1	Late-onset Alzheimer's disease with DLB
chr11:121586253	C	G	rs1699102	p.N1246K	0.00002	0.00005	0	1	1	Alzheimer's disease
chr11:121595662	G	A	rs776117825	p.R1470Q	0.00001	0.00004	0	2	0.5235	Alzheimer's disease with DLB
chr11:121625107	A	T	rs140327834	p.D2065V	0.0022	0.0037	25	42	0.8029	Alzheimer's disease, DLB, FTLD
chr11:121627591	C	T	rs142884576	p.T2134M	0.0005	0.0008	4	5	0.7441	Early-onset Alzheimer's disease with DLB

Rare loss-of-function and missense mutations in *SORL1* reported in patients with mixed dementia. The minor allele frequencies (MAF) in non-Finnish European ancestry (NFE) population are reported from the Genome Aggregation Database v3.1.2 (gnomAD)(<https://gnomad.broadinstitute.org/>). Abbreviations: reference allele (REF), alternate allele (ALT), amino acid change (AA change), Frontotemporal dementia (FTLD), Dementia with Lewy bodies (DLB).