## **Original Article**

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# What are the intra-arch risk factors for palatally displaced maxillary canine? – Results of a case–control study

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#### Abstract:

**INTRODUCTION:** This study aimed to identify the intra-arch risk factors for palatally displaced canine by comparing the maxillary transverse dimensions, palatal depth (PD), and arch length (AL) of the subjects with and without impacted canine using cone-beam computed tomography (CBCT).

**METHODS:** In this prospective case–control study, 79 CBCT images of gender- and skeletal feature-matched subjects (25 cases and 54 controls) were compared. Based on the CBCT images, maxillary transverse widths at four levels (molar basal, molar alveolar, premolar basal, and premolar alveolar), maxillary PD, and maxillary AL were measured. Group comparisons were assessed using analysis of variance (ANOVA), followed by post-hoc Scheffe's test, and risk factors were identified using univariate and multivariate logistic regression.

**RESULTS:** The impacted canine group showed significantly smaller molar alveolar width, premolar alveolar width, PD, and greater AL compared to the control group (P = 0.046, P < 0.001, P = 0.003, and P = 0.001, respectively). No significant difference was observed in the molar and premolar basal width measurements between the two groups. Multivariate analysis showed that impacted maxillary canine was influenced by premolar alveolar width (odds ratio (OR): 0.669), PD (OR: 0.532), and AL (OR: 1.739).

**CONCLUSION:** Intra-arch risk factors, such as reduced maxillary premolar transverse alveolar width, PD, and greater AL, are associated with palatally displaced canine.

#### **Keywords:**

Arch length, CBCT, palatal depth, transverse width

Maxillary canine impaction is a clinical condition frequently encountered in orthodontics, and the underlying etiological factors have been studied extensively.<sup>[1-5]</sup> Several morphological variations of the dentoskeletal structures of the maxilla are associated with the occurrence of impacted canine.<sup>[6-8]</sup>

The incidence of the upper canine impaction is 1–2.2%.<sup>[9]</sup> Compared to the mandibular canine, the maxillary canines are 10–20 times more commonly

This is an open access journal, and articles are distributed under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike 4.0 License, which allows others to remix, tweak, and build upon the work non-commercially, as long as appropriate credit is given and the new creations are licensed under the identical terms. impacted.<sup>[10,11]</sup> Among the maxillary impacted canines, palatally displaced canines (PDC) are more prevalent than buccally impacted canines.<sup>[12,13]</sup> Two theories have been proposed to explain the occurrence of palatal displacement of maxillary canine: guidance theory<sup>[6]</sup> and genetic theory.<sup>[1]</sup>

However, the exact risk factors for canine impaction are not yet clear. Previous studies<sup>[2,9]</sup> have demonstrated that the presence of excess space in the maxillary arch could lead to palatal canine impaction, whereas buccal canine impaction is associated with the lack of space.<sup>[2]</sup>

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Many studies<sup>[6–8,14]</sup> evaluated the skeletal features of the impacted canine but did not find any significant association between canine impaction and sagittal skeletal pattern,<sup>[6,15]</sup> whereas a few reported an increased prevalence of PDC in class I and class II division 2 malocclusions and buccally displaced canines in class III skeletal pattern.<sup>[7,8]</sup>

Regarding transverse dimension also there are differing views. Some studies identified an association between impaction and transverse maxillary deficiency,<sup>[3,16-19]</sup> whereas others observed an excessive maxillary width<sup>[7,13]</sup> in the impacted patients, and some others could not detect any correlation with maxillary width.<sup>[18,20]</sup> However, studies have been undertaken to alter the transverse dimension at an early age to intercept maxillary canine impaction.<sup>[21,22]</sup> Hence, the association of canine impaction and maxillary transverse dimension is yet controversial. In addition, palatal depth (PD), arch length (AL), and their roles in maxillary canine impaction have not been studied extensively.

Cone-beam computed tomography (CBCT) provides a precise method to study the intra-arch risk factors compared to two-dimensional (2D) radiographs.<sup>[23]</sup> Therefore, the objective of this prospective case–control study was to identify the intra-arch risk factors associated with palatally displaced maxillary canines compared to matched controls using CBCT.

## **Materials and Methods**

The prospective case–control study was conducted in accordance with STROBE guidelines, approved by the Institutional Ethics Committee (IEC/M/19/2020/DCK). The sample size was calculated based on an expected minimum mean difference in the intermolar width of 3.9 mm between the bilateral impacted canine and the control groups with a level of significance of 0.05, as described previously.<sup>[24]</sup> Thus, a minimum of 11 bilateral PDC cases were required for the study.

Subjects aged between 15 and 40 years with unilateral or bilateral PDC, no history of previous orthodontic treatment, and anterior dental crowding  $\leq 2 \text{ mm}$  reported for the treatment and had a willingness to participate were included as cases in this study, whereas the control group comprised subjects without canine impaction necessitating CBCT scan for other purposes (impacted third molars and airway assessment). Patients with cleft lip and palate, other dentofacial anomalies, and dental agenesis other than third molars were excluded from both groups. Standard records, including photographs and study models were obtained from cases and controls. CBCT scans were acquired using a Planmeca Promax 3D machine (Helsinki, Finland). For cases wherein a large field of view scan was indicated, no additional lateral cephalogram or OPG (Orthopantomogram) was obtained to reduce the radiation dose, and later, a CBCT-synthesized lateral cephalogram was generated. For cases and controls wherein the scan was limited to the maxilla, an additional lateral cephalogram was either available or was obtained while reporting was utilized. The digital imaging and communication in medicine files of CBCT images were imported into Planmeca Romexis viewer software (version 4.6.2R, Helsinki, Finland) for evaluation.

The CBCT images of 25 patients with PDC (13 unilateral and 12 bilateral as cases) and 54 subjects without canine impaction (controls) were included (case: control = 1:2) over a period of 1.5 years. Two groups of cases (unilateral and bilateral) and controls were frequency-matched for gender and skeletal characteristics. Conventional or CBCT-synthesized lateral cephalograms were used to match the skeletal characteristics. The maxillary sagittal position was assessed using the sella-nasion-A point angle (SNA) as normal, retrusive, and protrusive and classified into class I, II, and III according to the A point-nasion-B point angle (ANB). The growth pattern was classified as normodivergent, hypodivergent, and hyperdivergent based on Frankfort-mandibular plane angle (FMA). Arch perimeter analysis was performed to assess the arch length (AL) tooth-size discrepancy. The transverse maxillary measurements on CBCT were based on the method described by Podesser et al.<sup>[25]</sup>

The transverse dimensions were measured at four levels in the coronal section of CBCT: first molar basal width (MBW), first molar alveolar width (MAW), first premolar basal width (PMBW), and first premolar alveolar width (PMAW) [Table 1]. The coronal slice of CBCT showing buccal root furcation with horizontal palatal plane was used for molar measurements. The line that connects the most inferior point on the right and left nasal floor was considered the reference plane for the measurement of the basal width of the molar [Figures 1a] and distance between the right and left tip of the molar alveolar process considered as the molar alveolar width [Figure 1b]. Similar to molar measurements, the premolar measurements were made on the coronal slice of CBCT, showing the center of the root canal along the reference line [Figures 1c and 1d].

PD was measured on the axial view by locating the coronal plane on the sites of the upper first molars on both the left and right sides and ensuring its passage through the center of the tooth [Figure 2a].

AL was measured as the distance from incisal foramina to the line that connects the distal ends of right and left first molars on an axial plane [Figure 2b].



Figure 1: Maxillary width measurements: (a) MBW, first molar basal width; (b) MAW, first molar alveolar width; (c) PMBW, first premolar basal width; (d) PMAW, first premolar alveolar width.

# Table 1: Definitions of the Cone Beam Computed Tomography measurements used in the study

Cbct measurements

MBW	The maxillary first molar basal width dimension was measured as distance between the lateral limits of the right and left sides of the maxilla along the nasal floor reference plane.
MAW	The maxillary first molar alveolar width dimension was measured as the distance between the right and left tip of the maxillary first molar alveolar process on the coronal slice.
PMBW	The maxillary first premolar basal width dimension was measured as the distance between the lateral limits of the right and left sides of the maxilla along the nasal floor reference plane.

PMAW The maxillary first premolar alveolar width dimension was measured as the distance between the right and left tip of the maxillary first molar alveolar process on the coronal slice.

PD Palatal depth was measured on the axial view by locating the coronal plane on the sites of the upper first molars in both the left and right sides and ensuring that it will be passed through the center of the tooth. Then a line was drawn between the cemento-enamel junctions (C.E.J) of the two first molars. From the mid-point of this line, another perpendicular line was drawn up to the palate AL Maxillary arch length (AL) was measured on an axial

AL Maxillary arch length (AL) was measured on an axial plane as the distance from incisal foramina to the line that connects the distal ends of right and left first molars

#### **Statistical analysis**

Statistical analyses were performed using SPSS software for Windows (version 22; IBM, Armonk, NY, USA). The normality of the data was assessed using Shapiro–Wilk test. Descriptive statistics were represented as mean and standard deviation (SD). The intergroup comparison of gender and age was performed using Chi-square test and analysis of variance (ANOVA), respectively. The group comparisons for skeletal parameters, such as SNA, ANB, and FMA, were performed using ANOVA, followed by post-hoc Scheffe's test. The intergroup



Figure 2: (a) PD, Palatal Depth; (b) AL, Arch length.

comparison of growth pattern (normodivergent, hypodivergent, and hyperdivergent), skeletal sagittal correlation (class I, class II, and class III), and maxillary sagittal position (normal, retrusive, and protrusive) was carried out using Chi-square tests. Similarly, the comparison of maxillary transverse dimensions (MAW, MBAW, PMBW, and PMAW), maxillary AL, and PD was performed with ANOVA and post-hoc Scheffe's test.

A univariate analysis followed by backward stepwise multivariate logistic regression model was used to determine the association of maxillary canine impaction with intra-arch risk variables. If the *P* value for the association variable was <0.1 based on univariate analysis, it was included in the multiple regression analysis. Statistical significance was set at P < 0.05 for all the tests.

### Results

This study consisted of 39 males and 40 females, and all the groups were similar with respect to gender distribution [P = 0.072; Table 2]. The mean age of the participants in this study was 22.72 ± 8.68 years. A statistically significant difference was detected in age among the control, unilateral, and bilateral PDC groups (P = 0.007). Conversely, the skeletal characteristics were comparable in the case and control groups (P > 0.05), whereas no significant differences were observed among groups regarding skeletal parameters [Table 3], such as SNA (P = 0.518), ANB (P = 0.149), and FMA (P = 0.153).

Transverse maxillary measurements, MAW and PMAW were significantly greater in the control group compared to the unilateral and bilateral groups (P = 0.046 and P < 0.001, respectively). However, no significant difference was observed in MBW (P = 0.327) and PMBW (P = 0.145) measurements among the three groups [Table 4].

PD and AL measurements showed a significant difference in the control, unilateral, and bilateral groups [P = 0.003 and P = 0.001, respectively; Table 4].

	Control	Unilateral	Bilateral	Total	Р
Sex					0.072
Male	31 (79.5%)	3 (7.7%)	5 (12.8%)	39 (100.0%)	
Female	23 (57.5%)	10 (25.0%)	7 (17.5%)	40 (100.0%)	
Age					0.007
Mean (SD)	24.74 (9.40)	19.31 (5.266)	17.33 (3.651)	22.72±8.68	

Table 3: Intergroup comparison of growth pattern, skeletal sagittal relationship, and maxillary sagittal osition (Chi-square test)

position (cni-square test)					
	Control (n=54)	Unilateral (n=13)	Bilateral (n=12)	Total	P
Maxillary sagittal position					0.995
Normal	30 (68.2%)	7 (15.9%)	7 (15.9%)	44 (100.0%)	
Retrusion	14 (70.0%)	3 (15.0%)	3 (15.0%)	20 (100.0%)	
Protrusion	10 (66.7%)	3 (20.0%)	2 (13.3%)	15 (100.0%)	
Sagittal skeletal relationship					0.697
CLASS I	32 (74.4%)	5 (11.6%)	6 (14.0%)	43 (100.0%)	
CLASS II	13 (61.9%)	5 (23.8%)	3 (14.3%)	21 (100.0%)	
CLASS III	9 (60.0%)	3 (20.0%)	3 (20.0%)	15 (100.0%)	
Growth pattern					0.808
Normo-divergent	31 (68.9%)	7 (15.6%)	7 (15.6%)	45 (100.0%)	
Hyperdivergent	14 (60.9%)	5 (21.7%)	4 (17.4%)	23 (100.0%)	
Hypodivergent	9 (81.8%)	1 (9.1%)	1 (9.1%)	11 (100.0%)	

Statistically significant at P<0.05

#### Table 4: Intergroup comparisons of maxillary transverse width, palatal depth and arch length (ANOVA followed by post hoc Scheffe tests)

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	Control (n=54)		Unilatera	l ( <i>n</i> =13)	Bilateral ( <i>n</i> =12)		Р	
	Mean	SD	Mean	SD	Mean	SD		
MBW	63.94	2.91	61.98	4.23	63.55	4.11	0.327	
MAW	58.12 <sup>A</sup>	3.05	56.69	2.24	56.05 <sup>B</sup>	2.82	0.046	
PMBW	37.25	6.61	33.43	5.17	36.93	5.49	0.145	
PMAW	46.47 <sup>A</sup>	2.86	42.37 <sup>B</sup>	3.05	44.73	4.53	0.000	
PD	12.80 <sup>A</sup>	2.04	10.69 <sup>в</sup>	2.70	10.91 <sup>₿</sup>	2.94	0.003	
AL	24.77	3.01	27.16 <sup>в</sup>	2.36	27.63 <sup>₿</sup>	2.27	0.001	

Different alphabets indicate statistically significant differences according to Post hoc Scheff'e test; statistically significant at P<0.05. MBW, Maxillary first molar basal width; MAW, maxillary first molar alveolar width; PMBW, maxillary first premolar basal width; PMAW, maxillary first premolar alveolar width; PD, palatal width; AL, arch length.

Univariate logistic regressions showed that PDC was influenced by MAW, PMAW, PD, and AL. Furthermore, MAW, PMAW, and PD have a negative association with PDC (odds ratio (OR): 0.813, 0.751, and 0.674, respectively), whereas AL showed a positive association with PDC (OR: 1.405) [Table 5]. The stepwise backward multivariate logistic regression analyses showed that PDC was influenced by PMAW (OR: 0.669, CI: 0.498-0.899), PD (OR: 0.532, CI: 0.319-0.887), and AL (OR: 1.739, CI: 1.217-2.483) (R<sup>2</sup> 0.679 indicates that 67% of the data fit to the regression model) [Table 6].

### Discussion

This case-control study aimed to identify the intra-arch risk factors for PDC by comparing the maxillary transverse width at the molar and premolar regions at two levels, PD and AL in subjects with and without canine impactions. The CBCT scans of 79 participants were obtained, and they were matched and divided into case and control groups for assessment. The case-control studies are suitable to study the risk factors and the recommended case: control ratio is  $\geq 1:2$ .<sup>[26]</sup>

The results of this study indicated a statistically significant difference in age between the three groups [Table 2]. The bilaterally impacted group was the youngest among the three with a mean age of  $17.33 \pm 3.6$  years, which could be attributed to the fact that subjects with bilaterally impacted maxillary canines tend to seek treatment early because of grave concerns.<sup>[24]</sup> The mean age of the unilateral and control groups was  $19.31 \pm 5.2$  and 24.74 ± 9.4 years, respectively. However, the differences in age between the groups did not influence the transverse measurements because these dimensions were defined before 13 years.<sup>[27]</sup>

Although there is a high prevalence among females for PDC,<sup>[6,9]</sup> this study did not show any significant gender difference, ensuring that the group was comparable for intra-arch risk factor assessment. A tertiary-level referral hospital-based convenient sampling might be the reason for the lack of a gender difference among samples.

Skeletal characteristics, such as maxillary sagittal position, sagittal skeletal relation, and growth pattern, were distributed similarly among the groups [Table 3].

Table 5:	Univariate	logistic	regressio	on mod	lels for
variables	associated	l with n	naxillary	canine	impaction.

Variables	S.E	Р	Unadjusted Odds Ratio	Confidence Interval	
				Lower limit	Upper limit
AGE	0.050	0.004	0.867	0.786	0.956
MBW	0.059	0.240	0.933	0.834	1.048
MAW	0.089	0.020	0.813	0.682	0.968
PMBW	0.039	0.167	0.947	0.876	1.023
PMAW	0.092	0.002	0.751	0.628	0.899
PD	0.128	0.002	0.674	0.524	0.865
AL	0.105	0.001	1.405	1.143	1.727
SNA	0.075	0.259	0.919	0.794	1.064
ANB	0.107	0.574	1.062	0.861	1.311
FMA	0.056	0.252	1.066	0.956	1.190
Maxilla- Normal	Reference				
Maxillary retrusion	0.586	0.884	0.918	0.291	2.894
Maxillary protrusion	0.636	0.914	1.071	0.308	3.728
Class I Reference					
Class II	0.632	0.295	0.516	0.149	1.781
Class III	0.693	0.908	0.923	0.238	3.587
Normo- divergent Reference					
Hyperdivergent	0.536	0.509	1.423	0.499	4.062
Hypodivergent	0.845	0.402	0.492	0.094	2.580

 Table 6: Multivariate logistic regression model for

 variables associated with maxillary canine impaction

Variables	S.E	Р	Adjusted odds	Confidence Interval			
				Lower limit	Upper limit		
Age	0.069	0.056	0.876	0.764	1.003		
PMAW	0.151	0.008	0.669	0.498	0.899		
PD	0.261	0.016	0.532	0.319	0.887		
AL	0.182	0.002	1.739	1.217	2.483		
SNA	0.292	0.066	0.585	0.330	1.036		
Normo- divergent	Reference						
Hyperdivergent	1.251	0.037	0.074	0.006	0.854		
Hypodivergent	1.348	0.316	0.259	0.018	3.632		
PMAW, maxillary first premolar alveolar width; PD, palatal width; AL, arch							

length.  $R^2$ -0.679

The evaluation of cephalometric values did not show any significant difference between the groups (SNA, P = 0.518; ANB, P = 0.149; and FMA, P = 0.153). In addition, regression analyses did not show any significant influence on the transverse dimensions based on these skeletal parameters.

This study identified that transverse maxillary alveolar width in the molar (unilateral:  $56.69 \pm 2.24$  mm, bilateral:  $56.05 \pm 2.82$  mm) and premolar (unilateral:  $42.37 \pm 3.05$  mm, bilateral:  $44.73 \pm 4.53$  mm) regions was smaller in the impacted group than the control group (MAW:  $58.12 \pm 3.05$  mm, PMAW:  $46.47 \pm 2.8$  mm) [Table 4]. Previous studies reported similar findings using study casts and radiography

and thus associated maxillary canine impaction with transverse deficiency.<sup>[3,16]</sup>

Furthermore, a statistically significant reduction was detected in the transverse dimension only at the alveolar region of molars and premolars (MAW, P = 0.046; PMAW, P < 0.001). The transverse width of the molars and premolars in the basal region (MBW, P = 0.327; PMBW, P = 0.145) did not show any significant difference between the impacted and control groups [Table 4]. However, in a similar but cross-sectional study, Arboleda-Ariza *et al.*<sup>[24]</sup> reported that both maxillary transverse basal and alveolar dimensions were smaller in the impacted group than in the control group.

The univariate analysis showed a significant association between transverse dimensions (MAW, OR: 0.813; PMAW, OR: 0.751) and canine impaction [Table 5]. The final multivariate logistic regression model could identify only PMAW as the intra-arch risk factor [OR: 0.669; Table 6].

In a split-mouth CBCT study, D'Oleo-Aracena *et al.*<sup>[19]</sup> reported that maxillary transverse deficiency was increased on the impacted side. Yan *et al.*<sup>[18]</sup> used CBCT and showed that buccal canine impaction was associated with maxillary transverse deficiency, whereas PDC was not associated with transverse deficiency. However, Kim *et al.*<sup>[17]</sup> demonstrated that the palatally impacted canine group showed a transverse discrepancy compared to the buccally impacted canine group.

In contrast to the findings of this study, some studies used dental casts and 2D radiography and reported that canine impaction was associated with greater maxillary transverse width,<sup>[9,13]</sup> whereas others could not demonstrate an association between maxillary canine impaction and transverse deficiency.<sup>[18,20]</sup> This difference in results might be because of the lack of true skeletal comparison between groups based on the CBCT images.

In the final regression model established in this study, MAW value did not show any significant influence on the canine impaction, indicating that only anterior maxillary transverse dentoalveolar deficiency is associated with canine impaction. Similarly, McConnel *et al.*<sup>[16]</sup> also demonstrated that canine impaction was associated with transverse anterior maxillary deficiency.

A previous study by Fattahi *et al.*<sup>[28]</sup> compared palatal height index, arch width, and AL in palatal and buccal canine impaction and matched control groups and found significant difference only in the AL. However, this study showed that PD and AL measurements have a significant difference among control, unilateral, and bilateral impaction groups [P = 0.003 and P = 0.001,

respectively; Table 4]. Furthermore, univariate and multivariate regression analysis confirmed that PDC was influenced by PD and AL such that the impacted group was associated with decreased PD and increased AL (OR: 0.532 and 1.739, respectively) [Table 4]. Kim *et al.*<sup>[17]</sup> demonstrated that the palatal vault of the PDC group was narrower and deeper compared to the buccally impacted canine group.

Although the results showed an association between age and the occurrence of canine impaction, the final model revealed that age acts as a confounder. Some studies have reported that transverse maxillary deficiency is defined at an early age (between 8 and 10 years).<sup>[26,29]</sup> Furthermore, the mean age for the eruption of the maxillary canine is 10.5 years in girls and 11.5 years in boys (with an individual variation of 3–4 years).<sup>[30]</sup> Since the transverse width in the maxilla and canine impaction were established before 13 years of age, the difference in the groups with respect to age would not interfere with the results.

Several studies<sup>[3,16,24]</sup> demonstrated an association between the maxillary canine impaction and the transverse deficiency of the maxilla; thus, the early diagnosis of transverse deficiency would guide clinicians to perform interceptive procedures when necessary.<sup>[24]</sup> Therefore, maxillary expansion could be performed as an interceptive procedure to correct the transverse deficiency and decrease the probability of canine impaction.<sup>[21]</sup>

Furthermore, a randomized clinical trial (RCT) by Baccetti et al.<sup>[22]</sup> revealed that subjects treated with rapid maxillary expansion (RME) have a high rate of successful eruption of PDC (65.7%) and concluded that maxillary expansion is effective as an interceptive procedure to prevent impaction of maxillary canines with palatal displacement in the early mixed dentition. Because canine impaction was associated with a transverse deficiency at the alveolar level, the use of RME as an interceptive procedure for canine impaction is controversial. However, the improvement in the intraosseous position of PDC may be a justifiable reason for using RME. Pereira *et al.*<sup>[31]</sup> compared the effects of RME and slow maxillary expansion (SME) in the transverse maxillary expansion and concluded that only RME produced maxillary skeletal expansion, and both maxillary expansion modalities efficiently promoted the maxillary transverse dimension at the alveolar level.<sup>[31]</sup> Previous studies have also been in concordance with this finding.<sup>[32,33]</sup> However, Caprioglio *et al.*<sup>[34]</sup> found that RME treatment improved the canine position significantly compared to the SME.

Because this study showed that maxillary canine impaction was associated with transverse maxillary

dentoalveolar deficiency and normal maxillary basal bone width, SME is adequate for the increase in alveolar transverse width and prevention of canine impaction. This phenomenon indicated that RME is not essential for the interceptive treatment of maxillary canine impaction. High-quality RCT using SME to intercept maxillary canine impaction is essential to address this issue.

Because the multifactorial etiology of canine impaction is yet unclear in the literature, additional studies are essential to elucidate the correlation between transverse deficiency of maxilla and canine impaction. It is hoped that this study provides a preliminary insight for future experimental studies.

## Conclusions

- Maxillary arch width at the alveolar level (not basal width) in subjects with unilateral and bilateral canine impactions is smaller compared to those of controls.
- Subjects with unilateral and bilateral canine impaction have smaller PD compared to subjects without canine impaction.
- Unilateral and bilateral canine impaction groups have greater AL compared to the control group.

Thus, a reduced maxillary arch width at the alveolar level, smaller PD, and increased AL were identified as risk factors leading to potential maxillary palatal canine impaction such that one unit increase in PMAW and PD reduced the risks of impaction by 33% and 46%, respectively, and one unit increase in AL increased the risk of impaction by 73%.

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## **Conflicts of interest**

There are no conflicts of interest.

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