FI SEVIER

Contents lists available at ScienceDirect

Clinical and Translational Radiation Oncology

journal homepage: www.sciencedirect.com/journal/clinical-and-translational-radiation-oncology



Immunotherapy with or without radiotherapy for metastatic or recurrent esophageal squamous cell carcinoma: A real-world study



Xiaoyue Wu¹, Yanqi Li¹, Kunning Zhang, Zhoubo Guo, Yang Li, Fangdong Zhao, Tian Zhang, Xi Chen, Hui Wei, Wencheng Zhang^{*}, Ping Wang^{*}, Qingsong Pang^{*}

Department of Radiation Oncology, Tianjin Medical University Cancer Institute and Hospital, National Clinical Research Center for Cancer, Key Laboratory of Cancer Prevention and Therapy, Tianjin's Clinical Research Center for Cancer, Tianjin, China

ARTICLE INFO

Keywords: Immunotherapy Radiotherapy Esophageal squamous cell carcinoma Progression-free survival Overall survival

ABSTRACT

Background and purpose: To evaluate the efficiency and safety of immunotherapy combined with or without radiotherapy (RT) for metastatic or recurrent esophageal squamous cell carcinoma (ESCC). *Methods:* We retrospectively reviewed data of 127 patients with metastatic or recurrent ESCC, who received immunotherapy with or without RT at Tianjin Medical University Cancer Institute between 2017 and 2021. *Results:* The median follow-up time was 15.7 months (95 % confidence interval (CI): 12.42–18.99). The median PFS of the RT and NRT groups was 5.45 months (95 % CI: 2.89–8.28) and 4.60 months (95 % CI: 3.75–7.06), respectively (P = 0.660). The median OS was 11.9 (95 % CI: 8.61–19.2) and 10.3 (95 % CI: 7.56–15.8) months, respectively (P = 0.890). The median PFS of locoregional recurrence patients in the RT and NRT groups was 11.27 months (95 % CI: 2.64–5.71), respectively (P = 0.081). The median OS of locoregional recurrent patients in the RT and NRT group and 3.0 % of patients in the RT group experienced an improvement in dysphagia (P = 0.033). No significant increase in treatment-related toxicity was observed in the RT group compared with the NRT group, except for some hematological complications.

Conclusions: Locoregional recurrent patients gained survival benefits from immunotherapy combined with RT. The combination of immunotherapy and RT was safe in metastatic/recurrent ESCC patients. RT for the esophagus leads to the improvement of dysphagia compared to immunotherapy alone.

Introduction

Esophageal cancer is an aggressive disease. Almost half of the patients had metastatic disease at the time of diagnosis, and the majority of patients treated with curative intent developed locoregional or distant metastases [1]. For those patients, pembrolizumab was recommended for the first-line treatment in combination with fluoropyrimidine plus platinum-based chemotherapy, the second-line treatment as a single agent, and maintenance treatment after the first-line treatment [2].

In metastatic or recurrent ESCC, RT is frequently used for the relief of symptoms, such as pain, dysphagia, dyspnea, and tumor bleeding [3]. Results of several preclinical and clinical studies have suggested that RT can enhance the systemic antitumor immune response through multiple

mechanisms. For example, RT leads to cell death and subsequent release of antigens and pro-inflammatory mediators called damage-associated molecular patterns (DAMPs), which can trigger the recruitment and activation of antigen-presenting cells (APC) and initiate tumor antigenspecific T cell responses [4]. Combined radiation and immunotherapy have gained survival benefits in various cancers including locally advanced ESCC [5–7]. However, the efficacy of this strategy in metastatic or recurrent esophageal cancer patients remains unclear.

In advanced ESCC patients, dysphagia is the most common complaint, which may lead to nutritional compromise and deterioration of quality of life [8]. EBRT has been an effective method of palliation of dysphagia and carries the advantage of being a non-invasive procedure. Chemotherapy alone takes several weeks to achieve symptom relief, and

https://doi.org/10.1016/j.ctro.2022.10.011

Received 8 August 2022; Received in revised form 26 October 2022; Accepted 27 October 2022 Available online 2 November 2022

2405-6308/© 2022 The Authors. Published by Elsevier B.V. on behalf of European Society for Radiotherapy and Oncology. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

^{*} Corresponding authors at: Radiotherapy department, 12th Floor, Apartment A, Tianjin Medical University Cancer Institute and Hospital, Tiyuanbeihuanhuxi Street, Hexi District, Tianjin, China.

E-mail address: pangqingsong2013@163.com (Q. Pang).

¹ These authors contributed equally to this work.

patients in poor general condition are not fit enough to undergo effective chemotherapy [9]. Nowadays, with immunotherapy being widely used in advanced ESCC patients, we'd like to know the effect of immunotherapy combined with or without RT on dysphagia relief.

We therefore did a real-world study to investigate whether adding RT to immunotherapy could improve the prognoses and palliation of dysphagia, and the safety in patients with metastatic or recurrent ESCC.

Materials and methods

Patients

The medical records of metastatic/recurrent ESCC patients treated with immunotherapy with or without RT at Tianjin Medical University Caner Institute between January 2017 and July 2021 were screened. The inclusion criteria for this study were as follows: (1) histological diagnosis of ESCC, (2) diagnosis with metastatic or recurrent disease, (3) treatment with immunotherapy with or without RT, and (4) Karnofsky performance status \geq 70. Patients with other malignancy histories, and/ or serious heart, liver, lung, kidney, or blood system diseases were excluded.

Metastatic disease was defined as non-regional lymph node metastases and any distant organ metastases. The recurrent disease after definitive chemoradiotherapy, surgery-based comprehensive therapy, or chemotherapy includes both locoregional and distant recurrence. Tumor staging was performed according to the 8th edition of the International Union against Cancer (UICC) TNM classification. The study was approved by the Ethics Committee of Tianjin Medical University Cancer Institute and Hospital.

Treatments

Radiotherapy

A total of 48 RT courses were delivered to 40 patients: 21 palliatives (dose 30–48 Gy in 10–24 fractions), 4 ablatives (36–50 Gy in 4–6 fractions), and 23 conventional radical doses (dose: 50–60 Gy in 25–33 fractions; mostly as initial treatment in locally advanced setting). The median equivalent dose in 2 Gy fractions (EQD2) was 56 Gy (range: 32.5-93.75 Gy) using the linear-quadratic model with a/b = 10 Gy. And all patients received involved field radiation rather than extended field radiation.

Immunotherapy

The anti-PD-1 antibodies used as systemic immunotherapy included pembrolizumab, nivolumab, camrelizumab, sintilimab, and tislelizumab. A median (range) of 4 (1–21) and 4(1–35) cycles of anti-PD-1 antibodies were administered in the RT and NRT groups, respectively (P = 0.830).

As for the sequence of immunotherapy and radiotherapy, both synchronous and sequential treatment were included in our study. In fact, 8 patients received synchronous treatment without interruption of immunotherapy during radiotherapy, and the other 32 patients received sequential treatment with radiotherapy before or after immunotherapy.

Chemotherapy

77.0 % of patients in the NRT group and 87.5 % of patients in the RT group received systemic chemotherapy consisted of docetaxel, taxane, platinum, fluoropyrimidine or irinotecan.

Follow up

Patients attended regularly scheduled follow-up physical examinations, blood tests, chest and abdominal CT scans, barium esophagography, and ultrasonography at 6-weeks intervals for the first two years, every 6 months for the next three years, then annually. All patients were followed up by telephone or clinical visits.

Statistical methods

The primary endpoints of this study were OS and PFS. OS was defined as the time from the start of immunotherapy to the date of death or last follow-up. PFS was defined as the time from the start of immunotherapy to the date of the first documented disease progression, death, or last contact (whichever occurred first). The second endpoint was the improvement of dysphagia and the safety of immunotherapy with or without radiotherapy in the metastatic/recurrent ESCC. Dysphagia relief rate in the metastatic or recurrent patients was defined as the number of patients with complete or partial dysphagia relief as a percentage of the total number of patients with dysphagia after the initiation of immunotherapy.

The Immune-related Response Criteria (irRC) was used to determine the response and progression after the initiation of immunotherapy [10]. Adervse effects (AEs) were graded according to National Cancer Institute Common Terminology Criteria for Adverse Events, version 4.03 (NCI CTCAE v4.03).

 $\chi 2$ or Fisher's exact test was used to analyze differences in categorical variables and the Mann-Whitney U test for differences in continuous variables. Survival analysis was performed using the Kaplan-Meier approach with a log-rank test and the median follow-up was calculated using the reverse Kaplan-Meier approach. The univariate and multivariate analyses were performed using the Cox proportional hazard regression model. Variables with a P \leq 0.25 in the univariate analyses were subjected to multivariate analyses. All statistical analyses were conducted using GraphPad Prism V.9.3.0 (GraphPad Software, San Diego, California, USA, https://www.graphpad.com) or R V.4.1.2 (The R Foundation for Statistical Computing, https://www.R-project.org). And P value < 0.05 was statistically significant.

Results

A total of 127 patients with metastatic/recurrent ESCC who met the inclusion criteria were included in the study. As of March 13, 2022, the median follow-up time was 15.7 months (95 % CI:12.42–18.99). The patient's characteristics are detailed in Table 1. 35.4 % (45/127) patients presented with metastatic disease at diagnosis, and others showed recurrent disease. There were 6.7 % (3/45) patients with distant lymph nodes metastasis in the chest wall or axillary in the primary metastatic patients, and 93.3 % (42/45) patients had metastatic lesions involving one or more organs. We grouped the total patients into two groups according to whether they received RT or not (RT and NRT group). The RT group included 31.5 % (40/127) patients who received immunotherapy but not RT. The clinical characteristics were well balanced between the two groups except for the lines of immunotherapy (P = 0.011) (Supplement Table 1).

For the entire cohort, the median PFS was 4.67 months (95 % CI: 3.84–7.06) (Supplement Fig. 1A) and the median OS was 11.30 months (95 % CI: 8.71–13.90) (Supplement Fig. 1B). Univariate analysis showed using immunotherapy as first-line therapy was positively associated with PFS and OS. Consistantly, multivariate analysis revealed that using immunotherapy as first-line therapy independently predicted better PFS and OS (Table 2).

Progression events occurred in 90.0 % (36/40) patients in the RT group and 79.3 % (69/87) patients in the NRT group. The sites of progression in the RT and NRT groups were shown in Supplement Fig. 2. The proportion of progression in locoregional sites was slightly lower in the RT group compared with that in the NRT group (33.3 % vs 44.5 %), despite without significant difference (P = 0.282). Median PFS was 5.45 months (95 % CI: 2.89–8.28) in the RT group versus 4.60 months (95 %

Table 1

Clinical characteristics of all patients.

Characteristics	No. of patients (%)
n	127
Age (median [IQR])	59.0 [55.0, 65.0]
Sex	
Female	9.4 % (12/127)
Male	90.6 % (115/127)
Drinking history	
No	20.5 % (26/127)
Yes	70.9 % (90/127)
Unknown Clinical T Store	8.6 % (11/127)
Chilical I Stage	70 = 0((101/107))
11-15 T4	79.5 % (101/12/) 20 5 % (26/127)
14 Clinical N Stage	20.3 % (20/12/)
NO N2	80 3 % (102/127)
N3	10.7 % (25/127)
No Cancer type	19.7 % (23/127)
Primary metastatic disease	35 4 % (45/127)
Recurrent disease	64.6%(82/127)
Treatment type	01.0 /0 (02/12/)
RT	31.5 % (40/127)
NRT	68.5 % (87/127)
Lines of immunotherapy	
1	70.9 % (90/127)
≥ 2	29.1 % (37/127)
Site of metastases or recurrence	
Distant	69.3 % (88/127)
Locoregional	30.7 % (39/127)
No. of involved organs	
0	33.1 % (42/127)
1	51.2 % (65/127)
≥ 2	15.7 % (20/127)
Site of metastases(PMD)	
Lung only	17.8% (8/45)
Liver only	44.4% (20/45)
Bone only	4.4% (2/45)
Other single organ	4.4% (2/45)
Two or more organs	22.2% (10/45)
Distant lymph node	6.7% (3/45)
Site of progression(RD)	
Locoregional	47.6% (39/82)
Distant	9.8% (8/82)
Both	42.7% (35/82)
Irradiated tumour site	
Locoregional	17
Lymph node	17
Bone	9
Chest wall	3
Adrenal gland	3

IQR- Interquartile range, RT- Radiotherapy, NRT- Non-radiotherapy, PMD-Primary metastatic disease, RD- Recurrent disease.

CI: 3.75–7.06) in the NRT group (P = 0.660) (Fig. 1A). The 12- and 24month PFS rate was 21.7 % (95 %CI: 22.5 %-53.1 %), 7.2 % (95 % CI: 5.7 %-31.6 %) in the RT group, respectively, and 24.8 % (95 % CI: 17.1 %-35.9 %) and 17.4 % (95 % CI: 10.0 %-30.2 %) in the NRT group, respectively.

Death events occurred in 67.5 % (27/40) patients in the RT group and 62.1 % (54/87) patients in the NRT group. Median OS was 11.9 months (95 % CI: 8.61–19.2) in the RT group versus 10.3 months (95 % CI: 7.56–15.8) in the NRT group (P = 0.890) (Fig. 1B). The 12- and 24month OS rate was 49.2 % (95 % CI: 50.0 %-80.9 %), 20.6 % (95 % CI: 11.0 %-47.7 %) in the RT group, respectively, and 48.7 % (95 % CI: 39.0 %%-60.9 %), 23.6 % (95 % CI: 14.0 %-39.9 %) in the NRT group, respectively.

We then performed exploratory subgroup analysis between the RT and NRT group. In the subgroup analysis of PFS, no subgroup patients benefitted from the combination of immunotherapy and RT (Fig. 2A). In the subgroup analyses of OS, patients with locoregional recurrence (HR: 0.34, P = 0.033), and those without distant organ metastasis (HR: 0.33,

P = 0.026) had significantly prolonged OS in the RT group (Fig. 2B).

We did a survival analysis for patients with locoregional recurrence. The median PFS were 11.27 months (95 % CI: 2.45–20.09) and 4.17 months (95 % CI: 2.64–5.71) in the RT and NRT group (Fig. 3A). The median OS were 19.48 months (95 % CI: 8.37–30.60) and 7.69 months (95 % CI: 3.45–11.93) in the RT and NRT group (Fig. 3B).

To investigate the impact of different RT timing on patients' prognosis, we subdivided patients into three groups: Group A-patients with RT within 90 days prior to or after ICI use, Group B-patients with RT outside 90 days prior to or after ICI use, and Group C-patients without RT. Results showed that patients in Group A had a significant longer PFS (median PFS: 7.43 months vs 2.76 months; P (Group A vs Group B) = 0.033) (Supplement Fig. 3A) and OS (median OS: 13.24 months vs 7.85 months; P (Group A vs Group B) = 0.049) (Supplement Fig. 3B) than patients in Group B. The median PFS and OS in Group C was 4.60 months and 10.32 months, respectively. And there was no significant difference in PFS and OS between Group C and other groups.

87 % (39/45) of patients with primary metastatic disease at diagnosis and 52 % (14/27) of local recurrence patients complained of dysphagia. The grade of dysphagia was determined by the dysphagia score shown in Supplement Table 2. Of these 53 patients who had dysphagia, 12 patients were not assessable for dysphagia relief due to missing data, nasogastric tube insertion or esophagectomy after immunotherapy, leaving 41 patients assessable to analysis. The clinical characteristics in patients with dysphagia were well balanced between the RT and NRT groups (Supplement Table 3). In the RT group, 78.6 % of patients (11/14) received radical RT (dose 50.4-60 Gy in 28-30 fractions), and 21.4 % of patients (3/14) received palliative radiation (dose 39.6-46 Gy in 22-23 fractions). The median (range) cycle of anti-PD-1 antibodies administered in the RT and NRT groups was 4 (2-14) and 4 (1–21), respectively (P = 0.758). The median (range) cycle of chemotherapy administered in the RT and NRT groups was 4 (1-10) and 4 (1-16), respectively (P = 0.986).

64 % (9/14) patients in the RT group and 30 % (8/27) patients in the NRT group achieved dysphagia relief (P = 0.033). 36 % (5/14) patients in the RT group and 55 % (15/27) patients in the NRT group had no change in the dysphagia score. 15 % (4/27) patients in the NRT group developed worse dysphagia, but no one did in the RT group. There were 3 patients who had a relapse of dysphagia in the NRT group and no one in the RT group by the end of the last follow-up time.

In terms of treatment-related toxicities of patients with dysphagia, the incidence of thrombocytopenia (71 % vs 30 %; P = 0.026) and lymphopenia (93 % vs 52 %; P = 0.023) were higher in the RT group than that in the NRT group (Supplement Table 4). As for AEs associated with RT, there was 1 patient having an interruption of treatment after receiving 46 Gy radiation due to the risk of esophageal fistula, 3 patients experienced 2-grade radiation esophagitis, and 1 patient had late esophagus stricture in the RT group.

The most common AEs in the entire corhort reported were hematological complications (anemia, leukopenia, thrombocytopenia, and lymphopenia) and nausea. The incidence of anemia (80 % vs 48 %; P =0.002), leukopenia (65 % vs 36 %; P = 0.004), thrombocytopenia (60 % vs 33 %; P = 0.008), and lymphopenia (95 % vs 52 %; P < 0.001) was higher in the RT group than that in the NRT group. Rates of grade 3 or higher neutrophilic granulopenia (28 % vs 11 %; P = 0.046) and lymphopenia (60 % vs 25 %; P < 0.001) were higher in the RT group versus the NRT group. 8 % and 5 % of patients had pneumonitis in the RT group and NRT group, the difference was not statistically significant (P =0.805) (Table 3), and there was only one patient who developed grade 3 pneumonitis in the RT group. The majority of toxicities were grade 1 to 2. No grade 5 toxicity was recorded.

We also investigated the AEs of patients with RT within 90 days and outside 90 days prior to or after ICI use in the RT group, the results showed that there were no differences between the two groups for allgrade and grade 3 or higher AEs (Supplement Table 5). Considering the effect of different radiation doses on safety, we explored the AEs

Table 2

Univariable and multivariable analysis of covariables associated with progression-free survival and overall survival in all patients.

	Progression-free s Univariate analys	survival (n = 1 sis	127) Multivariate analysis		Overall survival ($n = 127$) Univariate analysis		Multivariate analysis	
Characteristics	HR (CI95)	Р	HR (CI95)	Р	HR (CI95)	HR (CI95)	HR (CI95)	Р
Age years								
$\geq 60 \text{ vs} < 60$	0.89 (0.6–1.3)	0.538			0.88 (0.57–1.37)	0.574		
Sex								
Female vs male	1.13 (0.59–2.18)	0.714			0.92 (0.4–2.11)	0.836		
Drinking history								
No vs yes	1.00 (0.61–1.64)	0.992			0.95 (0.53–1.69)	0.866		
Clinical T Stage								
T1-T3 vs T4	0.69 (0.43–1.09)	0.112	0.74 (0.46–1.18)	0.205	0.51 (0.3–0.84)	0.009	0.53 (0.32–0.90)	0.018
Clinical N Stage								
N0-N2 vs N3	0.72 (0.45–1.14)	0.163	0.76 (0.48–1.21)	0.243	0.62 (0.37–1.04)	0.072	0.66 (0.39–1.11)	0.114
Cancer type								
PMD vs RD	0.87 (0.58–1.31)	0.510			0.81 (0.51–1.3)	0.382		
Lines of immunotherapy								
$1 \ vs \geq 2$	0.55 (0.37–0.83)	0.005	0.58 (0.38–0.88)	0.010	0.56 (0.36–0.89)	0.014	0.61 (0.38–0.96)	0.034
Site of metastases or recurrence								
Locoregional vs distant	0.99 (0.66–1.5)	0.977			1.15 (0.72–1.83)	0.569		
No. of involved organs								
$0 \ vs \geq 2$	1.20 (0.66–2.18)	0.546			1.56 (0.78–3.12)	0.213	1.38 (0.68 – 2.79)	0.372
$1 \ vs \geq 2$	1.17 (0.66–2.08)	0.581			1.36 (0.69–2.68)	0.367	1.15 (0.58–2.29)	0.690
Treatment type								
RT vs NRT	1.10 (0.73–1.64)	0.656			0.97 (0.61–1.54)	0.894		

PMD- Primary metastatic disease, RD- Recurrent disease, RT-Radiotherapy.



Fig. 1. Progression-free survival (A) and overall survival (B) for all patients in RT and NRT groups.

between palliative doses group (14 patients received palliative radiotherapy only) and radical doses group (23 patients received radical radiotherapy with or without palliative or stereotactic ablative radiotherapy), 3 patients who received stereotactic ablative radiotherapy only were not analyzed. The results showed only vomiting and cough were higher in the palliative doses group (Supplement Table 6). We also analyzed the AEs of different irradiated sites, and patients were divided into three groups: Group 1-patients who only received locoregional radiation, Group 2-patients who received radiation only to lymph nodes, and Group 3-patients who received radiation to distant organs or multiple sites. It turned out that the AEs were evenly distributed in the three groups (Supplement Table 7).

Discussion and conclusions

To the best of our knowledge, this retrospective study is the first

Characteristics	No. of Events	3	HR (95%CI)	P Value
	/No. of Patient	s		
All parents	105/127	H e I	1.1 (0.73-1.64)	0.656
Age years				
<60	58/70	⊢,	0.95 (0.56-1.61)	0.847
≥60	47/57	⊢ ∎−−−4	1.21 (0.65-2.27)	0.543
Sex				
Male	95/115	⊢∎−−4	1.09 (0.72-1.68)	0.677
Female	10/12	⊢	→ 1.09 (0.3-3.91)	0.896
Drinking history				
Yes	74/90	⊢ <mark>⊢●</mark> −−−4	1.36 (0.83-2.24)	0.227
No	21/26	⊢●┼─┤	0.64 (0.24-1.69)	0.366
Clinical T Stage				
T1-T3	82/101	⊢ ∎—1	1.25 (0.8-1.94)	0.334
T4	23/26		0.65 (0.22-1.95)	0.446
Clinical N Stage				
N0-N2	82/102	⊢ <mark>–</mark> ●–––↓	1.31 (0.83–2.09)	0.245
N3	23/25	⊢ ∎— <mark>I</mark>	0.45 (0.19-1.07)	0.073
Cancer presentation				
Primary metastatic disease	36/45		1 .71 (0.86-3.4)	0.127
Recurrent disease	69/82	⊢● <mark>→</mark> →	0.85 (0.51-1.4)	0.512
Lines of immunotherapy				
1	70/90	⊢ •−−1	1.07 (0.63-1.8)	0.801
≥2	35/37	⊢ ● <mark> </mark>	0.81 (0.41-1.61)	0.552
Site of metastases or progress	sion			
Locoregional	36/42	H e -H	0.5 (0.22-1.11)	0.088
Distant	69/85	⊢ ●−−−1	1.5 (0.93-2.41)	0.094
No. of involved organs				
0	36/42	⊢ ∎—	0.47 (0.21-1.05)	0.065
1	53/65		1.5 (0.85-2.65)	0.161
≥2	16/20	⊢⊢	→ 2.04 (0.76-5.5)	0.158



	HR<1 means 'With RT' better							
Characteristics	No. of Events	;	HR (95%CI)	P Value				
	/No. of Patient	s						
All parents	81/127	H -	0.97 (0.61-1.54)	0.894				
Age years								
<60	45/70	⊢●┼-1	0.77 (0.41-1.44)	0.419				
≥60	36/57		1 .23 (0.6–2.52)	0.566				
Sex								
Male	75/115	⊢•	0.91 (0.56-1.48)	0.704				
Female	10/12	H	● →2.61 (0.43-15.92)	0.299				
Drinking history								
Yes	58/90	⊢ ●−−−1	1.17 (0.66-2.07)	0.582				
No	15/26		0.57 (0.18-1.84)	0.346				
Clinical T Stage								
T1-T3	61/101	⊢●1	1.13 (0.68-1.89)	0.641				
Τ4	20/26		0.61 (0.18-2.13)	0.441				
Clinical N Stage								
N0-N2	62/102	⊢ ∎—1	1.14 (0.67-1.94)	0.636				
N3	19/25	⊢ ∎—∔I	0.43 (0.16-1.1)	0.079				
Cancer presentation								
Primary metastatic disease	26/45	⊢	→ 1.94 (0.87-4.35)	0.107				
Recurrent disease	55/82	⊢ ∎∔1	0.67 (0.38-1.2)	0.177				
Lines of immunotherapy								
1	52/90	⊢↓	1.05 (0.57-1.91)	0.886				
≥2	29/37	⊢ ∎ ∔ −1	0.66 (0.31-1.42)	0.29				
Site of metastases or progres	sion							
Locoregional	26/39	H I	0.34 (0.12-0.91)	0.033				
Distant	52/82		1.43 (0.83-2.46)	0.193				
No. of involved organs								
0	29/42	He	0.33 (0.12-0.88)	0.026				
1	40/65	⊢ – –	- 1.4 (0.72–2.69)	0.319				
>2	12/20		2.46 (0.73-8.23)	0.145				

Fig. 2. Forest plots show factors associated with progression-free survival (A) and overall survival (B) in all patients.



Fig. 3. Progression-free survival (A) and overall survival (B) for patients with locoregional recurrence in RT and NRT groups.

Table 3AEs of all patients in the NRT and RT group.

	All No. (%)				$Grade \geq 3 N$	o. (%)		
	RT	NRT	P-Value	All patients ($n = 127$)	RT	NRT	P-Value	All patients $(n = 127)$
	(n = 40)	(n = 87)			(n = 40)	(n = 87)		
Fatigue	5(13)	9(10)	0.956	14(11)	0(0)	2(2)	0.842	2(2)
Anorexia	8(20)	15(17)	0.899	23(18)	0(0)	1(1)	1.000	1(1)
Hypoalbuminemia	12(30)	22(25)	0.733	34(27)	0(0)	0(0)	NA	0(0)
Nausea	17(43)	34(39)	0.865	51(40)	0(0)	2(2)	0.842	2(2)
Vomiting	11(28)	23(26)	1.000	34(27)	0(0)	1(1)	1.000	1(1)
Diarrhea	3(8)	3(3)	0.583	6(5)	1(3)	0(0)	0.689	1(1)
Abdominal Pain	0(0)	2(2)	0.842	2(2)	0(0)	0(0)	NA	0(0)
Radiation esophagitis	9(53)			9(7)	0(0)			0(0)
Hypothyroidism	2(5)	1(1)	0.485	3(2)	0(0)	0(0)	NA	0(0)
Adrenal insufficiency	1(3)	2(2)	1.000	3(2)	0(0)	0(0)	NA	0(0)
Hyperthyroidism	0(0)	1(1)	1.000	1(1)	0(0)	0(0)	NA	0(0)
Anemia	32(80)	42(48)	0.002	74(58)	4(10)	4(5)	0.441	8(6)
Leukopenia	26(65)	31(36)	0.004	57(45)	3(8)	4(5)	0.805	7(6)
Neutrophilic granulopenia	19(48)	26(30)	0.084	45(35)	11(28)	10(11)	0.046	21(17)
Thrombocytopenia	24(60)	29(33)	0.008	53(42)	3(8)	1(1)	0.175	4(3)
Lymphopenia	38(95)	45(52)	< 0.001	83(65)	24(60)	22(25)	< 0.001	46(36)
Elevated liver enzymes	9(23)	18(21)	1.000	27(21)	2(5)	1(1)	0.485	3(2)
Blood creatinine increased	1(3)	3(3)	1.000	4(3)	0(0)	0(0)	NA	0(0)
Hepatitis	0(0)	1(1)	1.000	1(1)	0(0)	1(1)	1.000	1(1)
Dyspnea	1(3)	2(2)	1.000	3(2)	0(0)	0(0)	NA	0(0)
Cough	4(10)	9(10)	1.000	13(10)	0(0)	2(2)	0.842	2(2)
Hemoptysis	3(8)	3(3)	0.583	6(5)	0(0)	0(0)	NA	0(0)
Pneumonitis	3(8)	4(5)	0.805	7(6)	1(3)	0(0)	0.689	1(1)
Pruritis	0(0)	1(1)	1.000	1(1)	0(0)	0(0)	NA	0(0)
Rash	1(3)	1(1)	1.000	2(2)	0(0)	0(0)	NA	0(0)
Cutaneous capillary hemangioma	0(0)	4(5)	0.406	4(3)	0(0)	0(0)	NA	0(0)
Radiation dermatitis	1(3)			1(1)	0(0)			0(0)
Peripheral sensory neuropathy	2(5)	3(3)	1.000	5(4)	1(3)	0(0)	0.689	1(1)

large-scale study to demonstrate the efficacy of the combination of immunotherapy and RT in patients with metastatic or recurrent ESCC. The results revealed that there was no survival benefit in the RT group for the entire cohort. However, patients with locoregional recurrence had significantly improved OS with immunochemotherapy combined with RT compared to immunochemotherapy. The combination of immunochemotherapy and RT was safe and tolerable, despite a relatively higher number of hematological AEs than the immunochemotherapy group.

Of the entire cohort in our study, the median PFS and OS were 4.67 and 11.30 months, respectively. The median PFS and OS of advanced ESCC patients treated with immunochemotherapy were 6.3 and 12.6 months in the KEYNOTE-590 study, and 6.9 and 15.3 months in the

ESCORT-1 s study [11–12]. The median PFS and OS of the patients who received immunotherapy as the first-line therapy (70.9 %) in our study were 6.90 (95 % CI: 5.23–8.57) and 13.24 (95 % CI: 10.83–15.65) months, which was similar to the results of the KEYNOTE-590 study.

In our study, immunochemotherapy combined with RT significantly prolonged OS in patients with locoregional recurrence compared with immunochemotherapy. The OS of these patients in the RT group was 19.43 months. Several studies have been reported of locoregional recurrent esophageal cancer treated with radiotherapy or chemo-radiotherapy, with an OS of 7.0–24.3 months [13–19]. The OS in our study was estimated from the initiation of immunotherapy not the start of radiation or the date of relapse like the studies mentioned above, so we recalculated the OS of locoregional recurrence patients in the RT

group from the first date of radiation, and the results was 22.60 months (95 % CI: 12.58–32.62), which was only inferior to the 24.3 months in Kobayashi's study (whose OS was also defined from the start of radio-therapy) [15]. As Jeene et al. reported, patients with only lymphnode recurrence had significantly longer survival than patients with recurrence at anastomosis. And patients with only lymphnode recurrence treated with radiotherapy were 63.6 % in ours, which was relatively less than the 73.8 % in Kobayashi's study, which may explain the slightly shorter OS in our study [19]. So it is imperative that large scale trials be conducted to investigate the efficiency of immunotherapy combined with local therapy in locoregional recurrent ESCC.

We invested the impact of different RT timing on the survival of all patients, and it turned out that without increased AEs, patients with RT within 90 days of ICI use had a significantly longer OS and PFS than patients with RT outside 90 days of ICI use. So it would be necessary to add RT within 3 months of ICI use to prolong the survival of metastatic/ recurrent ESCC patients.

Our study revealed that immunochemotherapy combined with RT had greatly improved dysphagia compared to immunochemotherapy. 64 % of patients in the RT group had an improvement in dysphagia, which was similar to some existing studies, of which 69 %-75 % of patients had dysphagia relief treated with palliative RT [20–22]. The ESCORT study and the phase 3 ESCORT-1st study showed that camrelizumab combined with or without chemotherapy was associated with statistically significant improvements in some health-related quality-of-life metrics compared with chemotherapy [12,23]. However, neither of these studies showed an advantage of immunotherapy in improving dysphagia. This highlights the important role of RT in improving dysphagia in advanced patients.

Natasja's and Halla's study revealed that the dysphagia relief rate showed no difference in patients treated with 30–39 Gy in 10–13 fractions and 20 Gy in 5 fractions [22,24]. But there was a long time to second intervention and fewer re-interventions in the higher doses group. A few early studies revealed that doses above 45 Gy were shown to provide a longer duration of symptom relief [25–27]. With the survival being significantly prolonged in the era of immunotherapy, a more aggressive but convenient RT strategy may be preferred to obtain a more sustainable duration of response. While a definitive dose of radiation may be inappropriate for advanced ESCC due to the AEs such as radiation esophagitis, so the optimal radiation dose for palliation of dysphagia needs further investigation.

The safety profile observed in our study was consistent with previous published prospective ICI trials for patients with advanced ESCC [28–29]. There was no increased toxicity with the addition of RT other than some hematological complications. The esophagitis rate in the RT group was similar to previous chemoradiotherapy combined with or without immunotherapy trials for locally advanced ESCC [6,30–31]. The pneumonitis rate was low and tolerable in our study, which was consistent with other studies for locally advanced ESCC patients treated with immunotherapy with RT.

Our study has some limitations that should be addressed. Firstly, this study was a retrospective single-center research, and more prospective multi-center studies are needed for external validation. Secondly, an inherent selection bias existed because of the retrospective design, although the only variable that differed significantly was the lines of immunotherapy between the RT and NRT groups. Thirdly, we didn't analyze the impact of the level of PD-L1 expression on survival due to lacking detection of PD-L1 expression in most patients. Thus, further well designed randomized studies are needed to investigate the subsets of patients with metastatic or relapsed ESCC who would benefit from RT combined with immunotherapy.

In conclusion, our study shows that RT combined with immunotherapy is effective for locoregional recurrent ESCC patients. Immunotherapy combined with RT was safe in metastatic or recurrent ESCC patients. And adding local RT to immunotherapy was associated with better resolution of dysphagia for metastatic or recurrent ESCC.

Funding

This work was supported by the National Nature Science Foundation of China under Grant [number 81872462, 82073348].

Data sharing

Data is available upon reasonable request.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgments

Conception and design: PW, QP, WZ. Administrative support: PW, QP, WZ. Provision of study materials or patients: QP, WZ, PW. Collection and assembly of data: XW, YL. Data analysis and interpretation: XW, YL. Manuscript writing: All authors. Final approval of manuscript: All authors. All authors contributed to the article and approved the submitted version.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.ctro.2022.10.011.

References

- Krug S, Michl P. Esophageal Cancer: New Insights into a Heterogenous Disease. Digestion 2017;95:253–61. https://doi.org/10.1159/000464130.
- [2] National Comprehensive Cancer Network. Esophageal and Esophageagastric Junction Cancers (Version 2,2022), <<u>https://www.nccn.org/professionals/physician.gls/</u>pdf/esophageal.pdf> (2022).
- [3] National Health Commission Of The People's Republic Of, C. Chinese guidelines for diagnosis and treatment of esophageal carcinoma 2018 (English version). Chin. J. Cancer Res. = Chung-kuo yen cheng yen chiu 31, 223-258, doi:10.21147/j.issn.1000-9604.2019.02.01 (2019).
- [4] Menon H, Ramapriyan R, Cushman TR, Verma V, Kim HH, Schoenhals JE, et al. Role of Radiation Therapy in Modulation of the Tumor Stroma and Microenvironment. Front Immunol 2019;10. https://doi.org/10.3389/ fimmu.2019.00193.
- [5] Park S, Oh D, Choi Y-L, Chi SA, Kim K, Ahn M-J, et al. Durvalumab and tremelimumab with definitive chemoradiotherapy for locally advanced esophageal squamous cell carcinoma. Cancer 2022;128(11):2148–58.
- [6] Zhang, W. et al. Safety and Feasibility of Radiotherapy Plus Camrelizumab for Locally Advanced Esophageal Squamous Cell Carcinoma. The oncologist 26, e1110e1124, doi:10.1002/onco.13797 (2021).
- [7] Zhang W, Yan C, Zhang T, Chen Xi, Dong J, Zhao J, et al. Addition of camrelizumab to docetaxel, cisplatin, and radiation therapy in patients with locally advanced esophageal squamous cell carcinoma: a phase 1b study. Oncoimmunology 2021;10 (1). https://doi.org/10.1080/2162402x.2021.1971418.
- [8] Watt E, Whyte F. The experience of dysphagia and its effect on the quality of life of patients with oesophageal cancer. Europ. J. Cancer care 2003;12:183–93. https:// doi.org/10.1046/j.1365-2354.2003.00376.x.
- [9] Grünberger B, Raderer M, Schmidinger M, Hejna M. Palliative chemotherapy for recurrent and metastatic esophageal cancer. Anticancer Res 2007;27:2705–14.
- [10] Wolchok, J. D. et al. Guidelines for the Evaluation of Immune Therapy Activity in Solid Tumors: Immune-Related Response Criteria. *Clinical Cancer Research* 15, 7412-7420, doi:10.1158/1078-0432.CCR-09-1624 %J Clin Cancer Res (2009).
- [11] Kato, K. et al. KEYNOTE-590: Phase III study of first-line chemotherapy with or without pembrolizumab for advanced esophageal cancer. 15, 1057-1066, doi: 10.2217/fon-2018-0609 (2019).
- [12] Xu, R.-h. et al. ESCORT-1st: A randomized, double-blind, placebo-controlled, phase 3 trial of camrelizumab plus chemotherapy versus chemotherapy in patients with untreated advanced or metastatic esophageal squamous cell carcinoma (ESCC). 39, 4000-4000, doi:10.1200/JCO.2021.39.15_suppl.4000 (2021).
- [13] Jingu K, Matsushita H, Takeda K, Umezawa R, Takahashi C, Sugawara T, et al. Long-term bresults of radiotherapy combined with nedaplatin and 5-fluorouracil for postoperative loco-regional recurrent esophageal cancer: update on a phase II study. BMC Cancer 2012;12(1). https://doi.org/10.1186/1471-2407-12-542.
- [14] Shioyama Y, Nakamura K, Ohga S, Nomoto S, Sasaki T, Yamaguchi T, et al. Radiation Therapy for Recurrent Esophageal Cancer after Surgery: Clinical Results and Prognostic Factors. Jpn J Clin Oncol 2007;37(12):918–23.

X. Wu et al.

- [15] Kobayashi R, Yamashita H, Okuma K, Shiraishi K, Ohtomo K, Nakagawa K. Salvage radiation therapy and chemoradiation therapy for postoperative locoregional recurrence of esophageal cancer. Dis Esophagus 2014;27(1):72–8.
- [16] Zhao K, Si Y, Sun L, Meng X, Yu J. Efficacy and toxicity of re-irradiation for esophageal cancer patients with locoregional recurrence: a retrospective analysis. Radiat Oncol 2020;15(1).
- [17] Yamashita H, Jingu K, Niibe Y, Katsui K, Matsumoto T, Nishina T, et al. Definitive salvage radiation therapy and chemoradiation therapy for lymph node oligorecurrence of esophageal cancer: a Japanese multi-institutional study of 237 patients. Radiat Oncol 2017;12(1).
- [18] Chen J, Yin W, Yao H, Gu W. Salvage treatment for lymph node recurrence after radical resection of esophageal squamous cell carcinoma. Radiat Oncol 2019;14(1).
- [19] Jeene PM, Versteijne E, van Berge Henegouwen MI, Bergmann JJGHM, Geijsen ED, Muller K, et al. Definitive chemoradiation for locoregional recurrences of esophageal cancer after primary curative treatment. Diseas Esoph Off J Int Soc Diseases of the Esophagus 2016. https://doi.org/10.1111/dote.12539.
- [20] Murray LJ, Din OS, Kumar VS, Dixon LM, Wadsley JC. Palliative radiotherapy in patients with esophageal carcinoma: A retrospective review. Pract Radiat Oncol 2012;2:257–64. https://doi.org/10.1016/j.pro.2011.12.002.
- [21] Kassam Z, Wong RKS, Ringash J, Ung Y, Kamra J, DeBoer G, et al. A phase I/II study to evaluate the toxicity and efficacy of accelerated fractionation radiotherapy for the palliation of dysphagia from carcinoma of the oesophagus. Clin Oncol (Roy Coll Radiol (Great Britain)) 2008;20(1):53–60.
- [22] Walterbos NR, Fiocco M, Neelis KJ, van der Linden YM, Langers AMJ, Slingerland M, et al. Effectiveness of several external beam radiotherapy schedules for palliation of esophageal cancer. Clin Transl Radiat Oncol 2019;17:24–31.
- [23] Huang J, Xu J, Chen Y, Zhuang Wu, Zhang Y, Chen Z, et al. Camrelizumab versus investigator's choice of chemotherapy as second-line therapy for advanced or metastatic oesophageal squamous cell carcinoma (ESCORT): a multicentre, randomised, open-label, phase 3 study. Lancet Oncol 2020;21(6):832–42.

- [24] Ólafsdóttir HS, Klevebro F, Ndegwa N, Alexandersson von Döbeln G. Short-course compared to long-course palliative radiotherapy for oesophageal cancer: a single centre observational cohort study. Radiat Oncol 2021;16(1).
- [25] Caspers RJ, Welvaart K, Verkes RJ, Hermans J, Leer JW. The effect of radiotherapy on dysphagia and survival in patients with esophageal cancer. Radiother Oncol J Europ Soc Therapeut Radiol Oncol 1988;12:15–23. https://doi.org/10.1016/0167-8140(88)90188-0.
- [26] Albertsson M, Ewers S-B, Widmark H, Hambraeus G, Lillo-Gil R, Ranstam J. Evaluation of the palliative effect of radiotherapy for esophageal carcinoma. Acta oncologica (Stockholm, Sweden) 1989;28(2):267–70.
- [27] Kellokumpu-Lehtinen P, Huovinen R, Nikkanen V. Survival and esophageal passage after radiotherapy of inoperable esophageal carcinoma. A retrospective study of 106 cases. Acta oncologica (Stockholm Sweden) 1990;29:175–8. https:// doi.org/10.3109/02841869009126541.
- [28] Sun J-M, Shen L, Shah MA, Enzinger P, Adenis A, Doi T, et al. Pembrolizumab plus chemotherapy versus chemotherapy alone for first-line treatment of advanced oesophageal cancer (KEYNOTE-590): a randomised, placebo-controlled, phase 3 study. Lancet (London, England) 2021;398(10302):759–71.
- [29] Luo H, Lu J, Bai Y, Mao T, Wang J, Fan Q, et al. Effect of Camrelizumab vs Placebo Added to Chemotherapy on Survival and Progression-Free Survival in Patients With Advanced or Metastatic Esophageal Squamous Cell Carcinoma: The ESCORT-1st Randomized Clinical Trial. JAMA 2021;326(10):916.
- [30] Brenner B, Ilson DH, Minsky BD, Bains MS, Tong W, Gonen M, et al. Phase I trial of combined-modality therapy for localized esophageal cancer: escalating doses of continuous-infusion paclitaxel with cisplatin and concurrent radiation therapy. J Clin Oncol Off J Am Soc Clin Oncol 2004;22(1):45–52.
- [31] Chen Y, Wu X, Bu S, He C, Wang W, Liu J, et al. Promising outcomes of definitive chemoradiation and cetuximab for patients with esophageal squamous cell carcinoma. Cancer Sci 2012;103(11):1979–84.