

The Efficacy and Safety of Short-Term Tolvaptan Usage in Patients with Acute Decompensated Heart Failure

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Background: Patients admitted with acute decompensated heart failure (ADHF) have a poor prognosis and poor quality of life due to dyspnea and edema. Tolvaptan, a vasopressin V2 receptor antagonist, is an effective water diuretic. This study aimed to evaluate the efficacy and safety of a short course of tolvaptan to treat volume overload in patients with ADHF.

Methods: We conducted a phase III, multicenter, randomized, double-blind, placebo-controlled study to evaluate the efficacy and safety of a short course of tolvaptan (15 mg/day for 4 days) in hospitalized ADHF patients with volume overload despite the use of conventional diuretics. The primary end-point was the change in body weight after 4 days of treatment. The secondary end-points were the change in intake/output balance, change in serum sodium/potassium concentrations, physician/patient assessed signs and symptoms of heart failure after 4 days of treatment, and all-cause mortality in 1 month.

Results: A total of 110 patients were screened, and 91 were randomized to receive 15 mg/day of tolvaptan for 4 days (n = 46) or matching placebo (n = 45). Compared to the placebo-treated patients, tolvaptan significantly reduced body weight (-1.36 ± 2.13 kg in the tolvaptan group vs. -0.59 ± 1.27 kg in the placebo group, $p = 0.0394$). The tolvaptan group also had a negative intake/urine volume balance compared to the placebo group (-509.3 ± 2788.2 ml vs. 975.5 ± 1903.1 ml, $p = 0.0059$). The safety profile of tolvaptan was acceptable.

Conclusion: Tolvaptan significantly reduced volume overload in hospitalized ADHF patients with volume overload despite the use of conventional diuretics.

Key Words: ADHF • Diuretic • Heart failure • Tolvaptan

Received: December 8, 2021 Accepted: April 22, 2022

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INTRODUCTION

Heart failure (HF) is a disease which causes high morbidity and mortality despite current state of the art therapies.¹ In a recent registry of patients with HF reduced ejection fraction in Taiwan, the in-hospital mortality rate was 2.4%.² However, at 1 year after hospital discharge, the all-cause mortality and cardiovascular mortality rates were 15.9% and 10.5%, respectively, and the rehospitalization rate was 38.5%.³ In addition, HF is associated with high healthcare expenditure, with hospitalizations for HF costing over \$20 billion each year in the USA.⁴

During admission, patients with acute decompensated heart failure (ADHF) usually display signs and symptoms of vascular and interstitial congestion, such as jugular venous distention, ascites, dyspnea, orthopnea, pulmonary and peripheral edema. Consequently, fluid removal is one of the major therapies to relieve symptoms and improve oxygenation. To achieve this, diuretic therapy should be initiated without delay, and early diuretic interventions have been associated with better symptom relief for patients hospitalized with ADHF⁵ and improved outcomes.⁶ Loop diuretics such as furosemide act as venodilators and diuretic agents and are first-line treatments. In addition, they inhibit sodium–potassium–chloride cotransport in the thick ascending limb of Henle's loop, and induce natriuresis, chloruresis and kaliuresis.⁷ Therefore, loop diuretics stimulate water loss by producing hypo to isotonic urine, and may induce serum electrolyte imbalance such as hyponatremia and hypokalemia.^{7,8} In addition, diuretic resistance is common in patients with ADHF, and it may be associated with adverse outcomes in this population.⁷

Tolvaptan is an orally active selective arginine vasopressin (AVP)-receptor antagonist which acts by inhibiting the action of vasopressin V2 receptors in renal collecting ducts to induce aquaresis (free water clearance).⁹ By promoting aquaresis, tolvaptan has been shown to increase urine output and serum sodium concentration in a variety of hyponatremic conditions including syndrome of inappropriate antidiuretic hormone, liver cirrhosis and chronic HF.¹⁰ In patients with ADHF, tolvaptan has been shown to be beneficial in reducing body weight and improving congestive symptoms.¹¹

This study aimed to evaluate the efficacy and safety

of a short course of tolvaptan to treat volume overload in patients with ADHF. The primary objective of the study was to evaluate the efficacy of tolvaptan in stabilized ADHF patients through fluid removal and body weight reduction compared to placebo-treated patients.

MATERIAL AND METHODS

This was a randomized, multicenter, parallel-group, placebo-controlled and double-blind study. Patients were observed for 3 days following a 4-day treatment period and 1-month follow-up period. This study was approved by the Institutional Review Board of each study site prior to initiation.

Patients

Eligible patients were aged from 20 to 85 years with a history of chronic HF who had been hospitalized due to worsening HF with signs or symptoms of volume congestion. Other inclusion criteria were having HF symptoms at rest or during minimal exertion and signs of congestion (ex. lower limb edema, jugular venous distention, or pulmonary congestion) at the time of randomization, and receiving any of the following oral diuretic therapies without any changes in the dose or mode of administration during the observation period: an oral loop diuretic at a daily dosage equivalent to ≥ 40 mg of oral form furosemide; concomitant administration of an oral loop diuretic and a thiazide diuretic (at any dose); and the concomitant administration of an oral loop diuretic and mineralocorticoid receptor antagonist (at any dose). In addition, the patients had to keep variations in their body weight to within 1.0 kg during the 2 days prior to starting treatment. The study protocol and informed consent documents for this study were approved by an appropriate Institutional Review Board for each participating center. This study was registered at ClinicalTrials.gov (Identifier: NCT01618448). Written informed consent to participate in the study was obtained from all patients.

Patients with any of the following were excluded from this study: (1) cardiac surgery within 60 days of enrollment; (2) with an assisted cardiac mechanical device; (3) receiving cardiac resynchronization therapy within 60 days of enrollment; (4) suspected of having a de-

crease in circulatory blood flow; (5) refractory end-stage HF (patients considered to require mechanical circulatory support, continuous intravenous positive inotropic therapy, referral for cardiac transplantation, or hospice care); (6) cardiac valvular disease with significant heart valve stenosis, sustained ventricular tachycardia or ventricular fibrillation within 30 days prior to the screening examination; (7) acute myocardial infarction within 30 days prior to the screening examination; (8) cerebrovascular disorders within 6 months prior to the screening examination (other than asymptomatic cerebral infarction); (9) with a definite diagnosis of active myocarditis or amyloid cardiomyopathy; (10) poorly controlled diabetes mellitus (HbA1c \geq 10%); (11) anuria (urinary output < 100 ml per day); (12) history of hyperthyroidism, impaired urination due to urinary tract stricture, urinary calculus, tumor in the urinary tract, or other cause, hemofiltration or dialysis; (13) unable to sense thirst, inappropriate response to thirst or impaired oral fluid intake; (14) with a history of hypersensitivity or idiosyncratic reaction to benzazepine derivatives such as mozavaptan hydrochloride or benazepril hydrochloride; (15) severely obese patients [body mass index (BMI) > 35 kg/m²]; (16) with systolic blood pressure in the decubitus position < 90 mmHg; (17) with any of following abnormal laboratory values: total bilirubin > 3.0 mg/dL, hemoglobin < 9 g/dL, serum creatinine > 3.0 mg/dL, serum sodium > 147 mEq/L, or serum potassium > 5.5 mEq/L; (18) female patients who were pregnant, possibly pregnant, or lactating, or who planned to become pregnant; (19) who

received any investigational drug other than tolvaptan within 30 days prior to the screening examination; and (20) with a general physical condition which may have confounded the results of the study, posed additional risks or precluded evaluations and assessments in this study.

Study protocol (Figure 1)

The subjects underwent screening tests, and the eligible subjects were enrolled for a 3-day observation period (Day -3 to -1). After being evaluated during the observation period, the subjects who met the entry criteria were randomized to receive either tolvaptan (15 mg) or a placebo, once daily after breakfast for 4 consecutive days (Day 1-4). Drug efficacy was assessed on Day 5 by using body weight as the primary endpoint. Post-study follow-up examinations on adverse events and/or death were also performed on Day 15-21 and Day 29-35. The patients received post-treatment follow-up for 1 month (Figure 1).

Endpoints and criteria for evaluation

The primary endpoint was the body weight change from Day 1 (baseline) to Day 5 (after 4 days of treatment, measured at the post-dosing examination visit). The secondary endpoints were the change in intake/output balance, serum sodium concentration, serum potassium concentration from Day 1 to Day 5, change in physician-assessed signs and symptoms of HF after 4 days of treatment, change in patient-assessed global clinical status after 4 days of treatment, change in patient-assessed

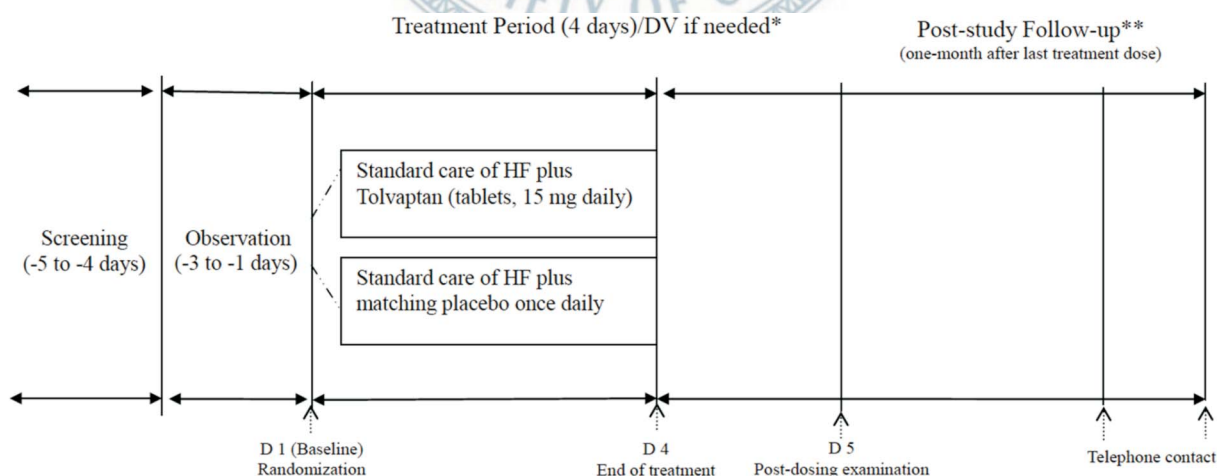


Figure 1. The flow chart of the study. * DV: protocol deviation. Only for patients who were withdrawn early from study treatment prior to day 4. Examinations were performed at any time but no later than 3 days after the last dose of the investigational drug. ** Follow-up for the occurrence of serious adverse events 14 (\pm 3) days and 28 (\pm 3) days after receiving the final study drug dose by telephone contact. HF, heart failure.

dyspnea status after 4 days of treatment, and all-cause mortality rate in the 1 month after last treatment dose.

The physician-assessed signs and symptoms of HF at baseline and 4 days after treatment including jugular venous distention, lower limb edema, pulmonary congestion, and pulmonary rales were determined and compared between the two groups using a proportional odds model. The distribution of jugular venous distension was graded as 0: absent; 1: < 6 cm; 2: 6-9 cm; 3: 10-15 cm; and 4: > 15 cm. The severity of lower limb edema was graded as 0: absent (no pitting), 1: slight (very slight pitting), 2: moderate (definite pitting), and 3: marked (considerable pitting) as judged by the physician. The distribution of pulmonary congestion severity was graded as 0: absent; 1: slight; 2: moderate; and 3: marked. Pulmonary rales were assessed by auscultation and were graded as 0: no rales, 1: rales only in bases of lungs, 2: bases to 50% way up the lungs, and 3: bases to > 50% way up the lungs. Changes in these variables from baseline to post-treatment day were determined and compared between the two groups using a proportional odds model. The improvement rate (percentage of patients with an improvement by one grade based on all patients) and the resolution rate (percentage of patients with a grade of 0 after treatment based on patients with higher grades between baseline and the post-dosing examination) were determined and compared between the two groups using Fisher's exact test.

Safety was assessed by evaluating the incidence of treatment-emergent adverse events (TEAEs), laboratory data, vital signs, and electrocardiograms. A TEAE was defined as a new adverse event experienced by a study subject which occurred after the initiation of the investigational medicinal product administration; an event or pre-existing medical problem that changed adversely in nature or severity from baseline in a study subject while receiving the investigational medicinal product.

Statistical analysis

In the analyzed population, the intent-to-treat (ITT) population was defined as all subjects who were randomized to receive treatment and took at least one dose of the study medication (tolvaptan or placebo), and had at least one follow-up efficacy endpoint evaluation regardless of their compliance with the protocol. This was considered to be the primary analysis population. The per-

protocol (PP) population was defined as all subjects who underwent any study treatment and had no major protocol violations affecting their efficacy assessments. The safety population included all randomized subjects who received at least one dose of the study medication.

Sample size calculation was performed using a test for superiority based on the mean change from baseline in body weight. At least 74 evaluable ITT patients were required to detect a difference of -1 kg in the change in body weight from baseline between two groups under 80% statistical power and two-sided type I error rate of 0.05. Assuming a drop-out rate of 15% who may not satisfy the ITT definition, approximately 88 patients were required.

The primary endpoint was the change in body weight from Day 1 (baseline) to Day 5 (defined as the end of the study: the post-dosing examination visit after 4 days of treatment). The full analysis set (ITT group) was used to study drug efficacy. The last observation carried forward (LOCF) approach was used to impute missing data at the end of the study. Changes from baseline were compared between two groups using ANCOVA, with treatment as the main effect and baseline body weight as a covariate; 95% confidence intervals were also calculated. Other secondary endpoints in terms of changes in severity from baseline for HF symptoms were compared between two groups using a proportional odds model with treatment as the main effect and baseline as a covariate, or using Fisher's exact test to compare differences in proportions and incidence of mortality between two groups. For safety, Fisher's exact test was used to test for between-treatment group differences for each TEAE coded according to the Medical Dictionary for Regulatory Activities (MedDRA version 17.1). For chemistry, hematology, and vital sign variables, group changes from baseline to end of treatment were analyzed using ANCOVA with treatment as the factor and baseline (pre-treatment) level as the covariate. For baseline data, Fisher's exact test and two sample t-tests were used for categorical and continuous data, respectively.

RESULTS

Patients' characteristics

This study was conducted from 12 July 2012 to 05

May 2014. A total of 110 HF subjects were screened and provided informed consent, of whom 103 were eligible to proceed to the pretreatment observation period while receiving standard HF therapy. Of the 103 subjects, 12 were withdrawn from the study during the pretreatment observation period. The remaining 91 subjects were then randomized into the tolvaptan group (46 subjects) and

the placebo group (45 subjects). A total of 85 subjects completed the treatment phase, including 44 in the tolvaptan group and 41 in the placebo group. All 91 randomized subjects (ITT group) were followed for 4 weeks after the final dose of the study drug. The baseline characteristics of the 91 patients are listed in Table 1. Two subjects in the placebo group died during the post-study

Table 1. Summary of baseline characteristics

Item/category	Tolvaptan (N = 46)	Placebo (N = 45)	p value
Demographic characteristics			
Age, years	68.0 (12.3)	65.6 (15.6)	0.4030
Male	33 (71.7%)	33 (73.3%)	1.0000
Hypertension	23 (50.0%)	28 (62.2%)	0.2930
Diabetes mellitus	26 (56.5%)	26 (57.8%)	1.0000
Coronary artery disease	16 (34.8%)	20 (44.4%)	0.7116
Pacemaker	0 (0%)	0 (0%)	1.0000
Implanted cardiac defibrillator	0 (0%)	0 (0%)	1.0000
Valvular heart disease	11 (23.9%)	12 (26.7%)	0.9276
Arrhythmia	18 (39.1%)	15 (33.3%)	0.6641
Weight at screening, kg	65.37 (14.68)	68.93 (14.59)	0.2495
Weight at baseline, kg	64.26 (14.65)	68.03 (14.48)	0.2206
Height, cm	162.77 (8.51)	164.46 (7.84)	0.3261
Body mass index (kg/m ²)	24.58 (4.72)	25.37 (4.52)	0.4219
HbA1c (%)	6.68 (1.15)	6.74 (1.11)	0.8008
Creatinine (mg/dL)	1.44 (0.55)	1.41 (0.61)	0.8425
Serum sodium (mmol/L)	137.0 (4.8)	137.5 (3.7)	0.5618
Serum potassium (mmol/L)	3.95 (0.81)	4.16 (0.57)	0.1720
Congestive symptoms and signs at baseline			
New York Heart Association			0.1811
Class II	20 (43.5%)	22 (48.9%)	
Class III	22 (47.8%)	23 (51.1%)	
Class IV	4 (8.7%)	0 (0.0%)	
Jugular venous distention			0.6990
Absent	18 (39.1%)	17 (37.8%)	
< 6 cm	10 (21.7%)	15 (33.3%)	
6-9 cm	9 (19.6%)	8 (17.8%)	
10-15 cm	6 (13.0%)	3 (6.7%)	
> 15 cm	3 (6.5%)	2 (4.4%)	
Lower limb edema			0.5098
Absent	9 (19.6%)	15 (33.3%)	
Slight	23 (50.0%)	18 (40.0%)	
Moderate	10 (21.7%)	9 (20.0%)	
Marked	4 (8.7%)	3 (6.7%)	
Pulmonary congestion			0.1891
Absent	7 (15.2%)	8 (17.8%)	
Slight	16 (34.8%)	24 (53.3%)	
Moderate	19 (41.3%)	12 (26.7%)	
Marked	4 (8.7%)	1 (2.2%)	
Dyspnea			0.2436
None	5 (10.9%)	1 (2.2%)	
Seldom	27 (58.7%)	32 (71.1%)	
Frequent	13 (28.3%)	12 (26.7%)	
Continuous	1 (2.2%)	0 (0.0%)	
Pulmonary rales			0.6831
No rales	20 (43.5%)	22 (48.9%)	
Bases	23 (50.0%)	22 (48.9%)	
Bases to 50% way up	3 (6.5%)	1 (2.2%)	

follow-up period. According to the definition of the PP population, 10 subjects were excluded from the ITT population. The PP population consisted of 81 subjects (42 in the tolvaptan group, 39 in the placebo group). The details of the 10 randomized subjects excluded from the ITT population are shown in Supplement Tables 1 and 2. The use of diuretics and dose of oral loop diuretics were balanced between the two groups on the first day of trial drug administration (Table 2).

Efficacy evaluation

Of the 91 subjects randomized into the 4-day daily treatment period (ITT group), there were no significant differences in age, sex, BMI, causes of HF, types of HF, or distribution of New York Heart Association class between the two groups. After 4 days of treatment, a significantly greater body weight reduction was observed in the tolvaptan group (-1.45 ± 2.16 kg) than in the placebo group (-0.66 ± 1.31 kg), group difference: -0.81 kg, 95% confidence interval (CI): -1.62 to -0.01 kg, $p = 0.0476$. In LOCF

analysis, a significantly greater body weight reduction was still observed in the tolvaptan group (-1.36 ± 2.13 kg) than in the placebo group (-0.59 ± 1.27 kg) after 4 days of treatment, group difference: -0.78 kg, 95% CI: -1.52 to -0.04 kg, $p = 0.0394$ (Figure 2A, Table 3). In the PP group ($n = 81$), the trend in body weight reduction was similar to the ITT group; however, the p value was non-significant ($p = 0.0614$) (Supplement Table 3). The urine volume increased daily compared with baseline in the tolvaptan group, and most of the patients achieved a significant difference (Table 4). A significant trend of an increase in daily urine volume was observed in the tolvaptan group compared with the placebo group in both cumulative value ($p = 0.0036$) and mean daily urine output ($p = 0.0041$). The cumulative change in input/output balance between the two groups was significant starting from Day 1 (tolvaptan: -450.7 ± 1167.2 mL vs. placebo: 277.1 ± 749.4 mL; $p = 0.0015$) to the end of the study (tolvaptan: -509.3 ± 2788.2 mL vs. placebo: 975.5 ± 1903.1 mL; $p = 0.0059$). The mean daily fluid intake/urine volume balance was

Table 2. Diuretics used on the First Day of Trial Drug Administration

Item/category	Tolvaptan (N = 46)	Placebo (N = 45)	p value
Use of diuretic			0.6216
Loop diuretic alone	21 (45.7%)	18 (40.0%)	
Loop + Spironolactone	19 (41.3%)	24 (53.3%)	
Loop + Spironolactone + Thiazide	3 (6.5%)	2 (4.4%)	
Loop + Thiazide	3 (6.5%)	1 (2.2%)	
Dose of loop diuretic*			0.3585
< 40 mg/day	6 (13.0%)	9 (20.0%)	
40 mg/day-80 mg/day	36 (78.3%)	29 (64.4%)	
≥ 80 mg/day	4 (8.7%)	7 (15.6%)	

* Flurosemide oral form equivalence.

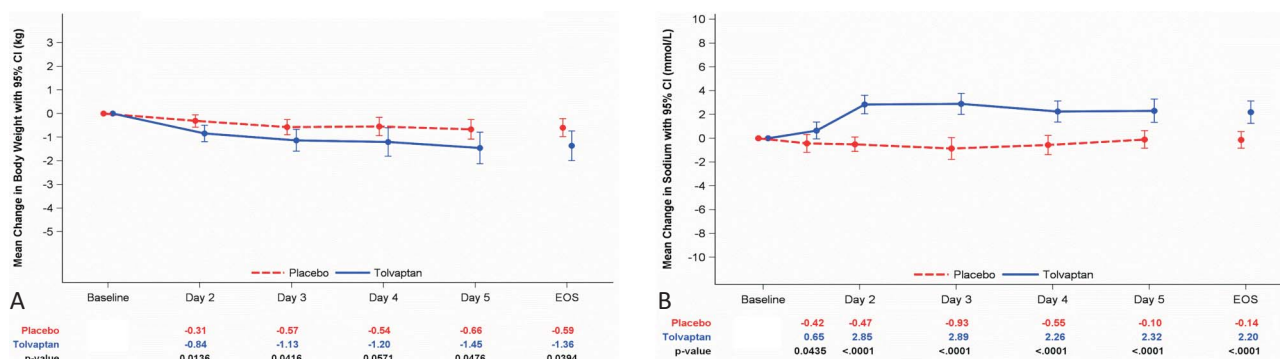


Figure 2. The efficacy of tolvaptan for fluid management. (A) Body weight change. (B) Serum sodium concentration change in the two groups. Data are expressed as means with 95% confidence intervals. EOS, end of study, the data represented with the result after 4-day tolvaptan treatment analyzed with the last observation carried forward (LOCF) method.

Table 3. Mean change in body weight from baseline to each post-baseline observation (ITT population)

Treatment day Unit: kg	Tolvaptan (N = 46)			Placebo (N = 45)			Adjust group difference (95% CI)	p value
	N	Mean (SD)	Change (SD)	N	Mean (SD)	Change (SD)		
Day 1 (baseline)	46	64.3 (14.7)	--	45	68.0 (14.5)	--	-3.77 (-9.84, 2.30)	0.2206
Day 2	46	63.4 (14.7)	-0.84 (1.17)*	45	67.7 (14.3)	-0.31 (0.86)*	-0.55 (-0.98, -0.12)	0.0136
Day 3	45	62.6 (14.2)	-1.13 (1.52)*	43	67.9 (14.7)	-0.57 (1.06)*	-0.59 (-1.15, -0.02)	0.0416
Day 4	42	62.9 (14.2)	-1.20 (1.92)*	43	67.9 (14.7)	-0.54 (1.26)*	-0.69 (-1.40, -0.02)	0.0571
Post-dosing Day 5	43	62.1 (14.7)	-1.45 (2.16)*	40	67.8 (15.0)	-0.66 (1.31)*	-0.81 (-1.62, -0.01)	0.0476
EOS	46	62.9 (14.8)	-1.36 (2.13)*	45	67.4 (14.4)	-0.59 (1.27)*	-0.78 (-1.52, -0.04)	0.0394

Definition: EOS, end of study, the data represented with the result after 4-day tolvaptan treatment analyzed with the last observation carried forward (LOCF) method. 95% CI, confidence interval; SD, standard deviation.

p value: pair t-test for intragroup comparison; Post-Baseline ANCOVA Model: outcome = treatment + baseline level.

* With significant mean change compared to baseline value (intra p value < 0.05).

Table 4. Mean daily urine volume changed from baseline (ITT population)

Treatment period Unit: mL	Tolvaptan (N = 46)			Placebo (N = 45)			Adjust group difference (95% CI)	p value
	N	Mean (SD)	Change (SD)	N	Mean (SD)	Change (SD)		
Day -1 to Day 1*	45	1682.7 (861.3)	--	45	1568.2 (666.7)	--	114.53 (-208.13, 437.18)	0.4824
Day 1 to Day 2	45	2386.4 [#] (1252.7)	703.65 (1325.39)	45	1671.6 (619.6)	103.35 (605.37)	665.77 (272.08, 1059.45)	0.0012
Day 2 to Day 3	44	2268.8 [#] (1005.1)	565.55 (1169.79)	43	1689.8 (572.3)	158.27 (602.44)	522.21 (185.44, 858.99)	0.0028
Day 3 to Day 4	42	1988.4 (908.3)	297.91 (1133.40)	43	1673.4 (579.2)	141.87 (606.76)	267.79 (-48.33, 583.91)	0.0958
Day 4 to Day 5	42	1992.9 [#] (748.8)	302.48 (894.75)	40	1654.9 (548.6)	115.34 (708.68)	289.64 (18.89, 560.38)	0.0363
EOS	45	1902.1 (800.6)	219.32 (928.74)	45	1632.5 (539.9)	64.23 (697.82)	232.49 (-36.07, 501.05)	0.0889
Cumulative value (Day 1 to EOS)	45	8320.7 (3690.0)	--	45	6356.3 (2141.1)	--	1820.5 (612.95, 3027.98)	0.0036
Mean daily urine	45	2109.4 [#] (871.8)	426.61 (1020.07)	45	1668.4 (451.9)	100.23 (525.93)	400.68 (130.41, 670.95)	0.0041

Definition: EOS, end of study, the data represented with the urine volume from Day 4 to Day 5 analyzed with the last observation carried forward (LOCF) method. 95% CI, confidence interval; SD, standard deviation.

* The urine volume collected from the day before treatment Day 1 was defined as baseline.

[#] With significant mean change compared to baseline value (intra p value < 0.05).

p value: pair t-test for intragroup comparison; Post-Baseline ANCOVA Model: outcome = treatment + baseline level.

-97.5 ± 748.8 mL in the tolvaptan group and 262.1 ± 517.7 mL in the placebo group (p = 0.0131).

Improvements in physician-assessed congestive symptoms and signs and patient-assessed global clinical status are shown in Table 5, Supplement Tables 4 and 5. Global clinical status score was based on a visual analog scale.¹² There was no significant difference in the percentage of improvement in physician-assessed congestive symptoms and signs between the two groups. Patients in both groups

had significant improvements in mean scores of self-assessed global clinical status in both groups after 4 days of treatment (tolvaptan: 18.26 vs. placebo: 23.32). No significant difference between groups was observed at baseline (tolvaptan: 51.4 ± 23.6 score and placebo: 50.0 ± 21.1 scores) or at end of the study. None of the subjects in the ITT population died during the treatment period; a total of two subjects (4.4%) in the placebo group died during the follow-up period (p = 0.2418).

Table 5. Improvements in physician assessed congestive symptoms and signs and patient self-assessed global clinical status after 4-day of treatment

	Tolvaptan (N = 46)	Placebo (N = 45)	p value*
Physician assessed heart failure symptoms and signs			
Jugular venous distension	14 (30.4%)	11 (24.4%)	0.6398
Lower limb edema	31 (67.4%)	26 (57.8%)	0.3905
Pulmonary congestion	22 (47.8%)	19 (42.2%)	0.6751
Pulmonary rales	17 (37.0%)	14 (31.1%)	0.6595
Physician assessed dyspnea	34 (73.9%)	30 (66.7%)	0.2087
Patient self-assessed heart failure symptoms			
Mean change of global clinical status score from baseline [#]	18.26 ± 23.89	23.32 ± 25.42	0.3565
Patient self-assessed dyspnea	41 (89.1%)	36 (80.0%)	0.7717

* The distribution of congestive symptoms severity grading at baseline and at the end of study, and corresponding changes from baseline were determined and compared between the two groups by proportional odds model.

[#] Global clinical status score was based on a visual analog scale.

Safety evaluation

In the tolvaptan group, a significant increase in serum sodium concentration from baseline was noted starting from Day 2 (mean change: 2.85 ± 2.62 mEq/L) to the end of study (mean change: 2.20 ± 3.18 mEq/L, Figure 2B). All increases in serum sodium concentration remained within the normal range, and the biggest change from baseline to each post-baseline value in the tolvaptan group was 11 mEq/L. A significant difference in serum sodium concentration was observed at the end of the study between the two groups (mean group difference: 2.16 mEq/L, $p < 0.001$). For serum potassium concentration, neither intragroup nor intergroup analysis revealed a significant difference at baseline or the end of the study.

A total of 123 adverse events including 27 adverse events occurred during the screening/observation period, and 96 TEAEs occurred after study drug administration (Supplement Table 6). There were 23 serious adverse events, one of which occurred during the screening/observation period, and 22 serious TEAEs occurred after study drug administration. Two patients died in the placebo group, and none died in the tolvaptan group. The incidence rate of TEAEs was non-significantly higher in the tolvaptan group ($p = 0.0590$), and most included mild TEAEs. Only 19.7% (12/61) of the TEAEs in the tolvaptan group and 13.3% (6/45) of those in the placebo group were considered to be study drug related.

DISCUSSION

In this study, we demonstrated that 4 days of tol-

vaptan treatment in ADHF patients with persistent volume overload despite treatment with conventional diuretics significantly reduced body weight. However, there was no significant difference in congestive symptoms and signs between the patients who received tolvaptan and a placebo, which may be due to the small number of patients in this clinical trial.

Patients admitted due to ADHF often have a poor prognosis.² In ADHF, there are two categories of HF symptoms according to their etiology; fluid retention (“wet” presentation), and low cardiac output (“cold” presentation).^{2,13} On admission, clinically wet presentations are much more common than cold presentations. This is supported by a recent registry in Taiwan enrolling 1509 ADHF patients with reduced ejection fraction (TSOC-HFrEF Registry), in which wet presentations were common and included pulmonary congestion and pulmonary rales in 63.5% of the patients, peripheral edema in 49.3%, pleural effusion in 28.8% and an engorged jugular vein in 23.9% of the cases.² Therefore, to treat congestive symptoms, the use of diuretics to remove fluid is essential in the management of ADHF.

In the TSOC-HFrEF Registry, intravenous diuretics were used in 62.6% of the patients. The median duration of intravenous diuretics therapy was 4 days, and the patients had a median body weight change of -2.1 kg.² Loop diuretics inhibit sodium–potassium–chloride cotransport in the thick ascending limb of Henle’s loop and stimulate water loss by producing hypo to isotonic urine, and they may induce conditions that affect serum electrolytes such as hyponatremia and hypokalemia.^{7,8} The 2021 European Society of Cardiology (ESC) heart

failure guidelines suggest using loop diuretic as first-line management for acute HF patients with fluid overload and congestion.¹⁴ Aggressive diuresis with loop diuretics is frequently needed during the initial management of ADHF regardless of the left ventricular ejection fraction (LVEF), however the optimal dosing, timing, and method of administration are still unclear.¹⁴ However, diuretic resistance, which is common in patients with ADHF, may limit the effect of loop diuretics, and it is also associated with worse outcomes.^{7,15} Overcoming loop diuretic resistance may require escalating the dose of diuretics, the addition of a thiazide diuretic, or the use of ultrafiltration.^{16,17} However, thiazide diuretics may worsen hyponatremia. Compared with loop diuretics, tolvaptan is a selective, competitive vasopressin receptor 2 (V_2) antagonist that inhibits inappropriate elevation of vasopressin, and it thus has emerged as a promising agent to mediate fluid retention.^{9,18,19} Tolvaptan was initially used in the treatment of euvolemic or hypervolemic hyponatremia, and it has been shown to be safe and effective at promoting aquaresis and raising serum sodium levels.^{10,20}

Congestive HF is a common cause of hyponatremia with elevated plasma AVP levels.²¹ AVP stimulates both V_{1A} and V_2 receptors. V_{1A} receptors are expressed in vascular smooth muscle cells and lead to vasoconstriction, and V_2 receptors are expressed on the basolateral side of the principal cells in cortical collecting ducts. In addition, activated V_2 receptors will increase aquaporin-2 channels to facilitate free water absorption in collecting tubules, a process which is blocked by the competitive V_2 receptor antagonist, tolvaptan.^{22,23} Several clinical trials have been conducted to evaluate the safety and efficacy of tolvaptan in HF patients.^{11,24,25} In 2004, the Acute and Chronic Therapeutic Impact of a Vasopressin Antagonist in Congestive Heart Failure (ACTIV in CHF) trial demonstrated the short- and intermediate-term effects of tolvaptan in decreasing body weight without inducing hypokalemia or worsening renal function.²⁴ In that study, the median body weight changes were -1.8 (-3.85 to -0.5), -2.1 (-3.10 to -0.85) and -0.60 (-1.60 to 0.00) kg at Day 1 in patients receiving 30, 60 and 90 mg of tolvaptan, respectively. In the current study, we used a lower dose of tolvaptan (15 mg), and the mean body weight change was about -0.84 kg at Day 1 after receiving tolvaptan. In 2007, the Efficacy of Vasopressin An-

tagonism in Heart Failure Outcome Study with Tolvaptan (EVEREST) study showed that tolvaptan significantly improved congestive symptoms including patient-assessed dyspnea and edema. The congestive symptoms and signs were also improved in our study but did not reaching statistical significance, possibly due to the small number of cases. However, there was no beneficial effect on long-term mortality or HF-related morbidity in patients receiving tolvaptan for ADHF in the EVEREST study.²⁶ In addition, the Targeting Acute Congestion with Tolvaptan in Congestive Heart Failure randomized control trial demonstrated that treatment with 30 mg tolvaptan in ADHF patients in the United States did not improve dyspnea but resulted in greater weight loss and net fluid loss compared with placebo at 24 hours after medication use.²⁷ These results would limit its use in long-term HF management. However, in the hyponatremia subgroup analysis of the EVEREST study, tolvaptan was associated with more favorable outcomes in ADHF patients with pronounced hyponatremia ($Na < 130$ mEq/L) compared with standard therapy.²⁸ The 2021 ESC guidelines suggest that tolvaptan can be considered to increase serum sodium and diuresis in patients with persistent hyponatremia and congestion.¹⁴ Consequently, tolvaptan may still play a role in the initial stage of ADHF management, especially in selected patients with fluid overload, hyponatremia, and diuretic resistance.

Our study demonstrated that tolvaptan significantly improved fluid overload in hospitalized ADHF patients with volume overload despite the use of conventional diuretics. A recent meta-analysis also concluded that adding tolvaptan to standard care therapy could benefit hospitalized patients with ADHF by reducing body weight and improving serum sodium levels.²⁹ In the current study, we enrolled ADHF patients regardless of their LVEF, which is a confounder, especially for those who had HF with preserved ejection fraction. Recently, Kinugawa et al. reported a prospective, multicenter, post-marketing surveillance study of tolvaptan which showed that tolvaptan was effective and safe for treating fluid retention in patients with HF with preserved ejection fraction, as well as HF with midrange ejection fraction and HF with reduced ejection fraction.³⁰ Tamaki et al. also demonstrated that adjunctive tolvaptan use may provide rapid decongestion without worsening sympathetic nerve activity as with loop diuretics in patients with acute de-

compensated HF with preserved ejection fraction.³¹ In the current study, we demonstrated that low dose tolvaptan 15 mg was safe and effective in hospitalized ADHF patients regardless of LVEF. Even for extremely old patients, tolvaptan has been shown to be safe and effective in the management of ADHF as a complementary therapeutic option.^{32,33}

There are some limitations to the study. First, we did not have LVEF data in this study. Therefore, we do not know how many of the patients had a reduced or preserved ejection fraction, which may limit the analysis. Second, the follow-up period was 1 month, so we could not assess the long-term safety of tolvaptan. However, the safety of long-term usage has already been demonstrated in a previous study.²⁶ Third, the study was performed in an inpatient setting, and further studies are needed to evaluate the safety of tolvaptan in an outpatient setting.

CONCLUSIONS

In this randomized control trial in Taiwanese ADHF patients, daily 15 mg tolvaptan use could significantly improve volume overload despite the use of conventional diuretics. In ADHF patients with diuretic resistance or hyponatremia under conventional diuretic treatment, tolvaptan can be an alternative option to improve volume overload.

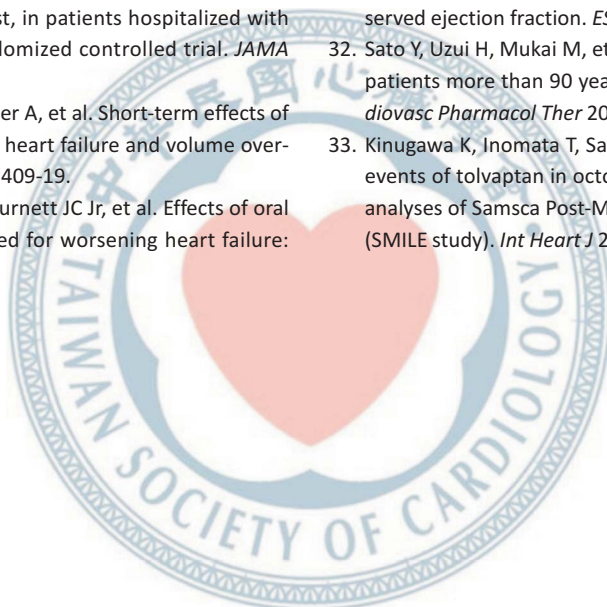
DECLARATION OF CONFLICT OF INTEREST

This study was funded by Otsuka Pharmaceutical Co., Ltd. The funding source had no role in data interpretation and manuscript preparation. All the authors declared no other competing interests.

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SUPPLEMENTARY MATERIALS

Supplement Table 1. List the reason for withdrawal

Patient No.	Group	Comment
S02D02	Placebo	Protocol compliance becomes impossible due to a newly emergent disease or symptom or worsening of clinical laboratory test findings. (Diuretic IV injection received before withdrawal)
S17L05	Tolvaptan	Compliance with the study protocol becomes impossible or the investigator judge withdrawal to be necessary. (Adverse Event: Pneumonia)
S20L07	Placebo	Compliance with the study protocol becomes impossible or the investigator judge withdrawal to be necessary. (Non-compliance the standard control during the treatment period)
S03F03	Tolvaptan	Protocol compliance becomes impossible due to a newly emergent disease or symptom or worsening of clinical laboratory test findings. (Serious Adverse Event: Ischaemic Hepatitis)
S02N02	Placebo	A major deviation is discovered.
S04K04	Placebo	The patient requested to withdraw from the study.

Supplement Table 2. List of major deviations in the trial

Subject No.	Group	Comment
S25I08	Tolvaptan	Violated exclusion criteria #8 (hemoglobin was 8.7 g/dL at screening visit).
S05B03	Tolvaptan	Perform the thoracentesis during the treatment period.
S13B10	Placebo	Perform the thoracentesis during the treatment period.
S02D02	Placebo	Diuretic IV injection during the treatment period.
S20L07	Placebo	Violated exclusion criteria #4 (HbA1c at screening period was 11.8%). Non-compliance the standard control during the treatment period.
S02H02	Placebo	Violated inclusion criteria #3 (no heart failure symptoms at rest or signs of congestion). Violated exclusion criteria #8 (all laboratory tests were not performed).

Supplement Table 3. Mean change in body weight from baseline to each post-baseline observation (PP population)

Treatment day Unit: kg	Tolvaptan (N = 42)			Placebo (N = 39)			Adjust group difference (95% CI)	p value
	N	Mean (SD)	Change (SD)	N	Mean (SD)	Change (SD)		
Day 1 (baseline)	42	64.3 (14.5)	--	39	68.0 (15.0)	--	-4.00 (-10.51, 2.52)	0.2254
Day 2	42	63.1 (14.5)	-0.87 (1.20)*	39	67.6 (14.8)	-0.36 (0.88)*	-0.53 (-1.00, -0.06)	0.0292
Day 3	42	62.8 (14.3)	-1.18 (1.54)*	39	67.4 (15.0)	-0.58 (1.07)*	-0.63 (-1.23, -0.04)	0.0379
Day 4	41	63.3 (14.1)	-1.20 (1.94)*	39	67.4 (15.0)	-0.60 (1.29)*	-0.64 (-1.38, -0.11)	0.0918
Post-dosing Day 5	42	62.5 (14.6)	-1.45 (2.19)*	39	67.3 (14.9)	-0.69 (1.31)*	-0.78 (-1.60, 0.04)	0.0614
EOS	42	62.5 (14.6)	-1.45 (2.19)*	39	67.3 (14.9)	-0.69 (1.31)*	-0.78 (-1.60, 0.04)	0.0614

Definition: Post-Dosing Day 5: treatment measurement at post Day 4 dosing examination visit. EOS, end of study, the data represented with the result of post-dosing day 5 analyzed with the last observation carried forward (LOCF) method.

p value: pair t-test for intragroup comparison; Post-Baseline ANCOVA Model: outcome = treatment + baseline level.

* With significant mean change compared to baseline value (intra p value < 0.05).

Supplement Table 4. Severity change in physician assessed congestive symptoms and signs after 4-day of treatment

	Tolvaptan (N = 46)	Placebo (N = 45)	p value
Jugular venous distension			
Severity at baseline, n (%)			0.5694
Absent	18 (39.1%)	17 (37.8%)	
< 6 cm	10 (21.7%)	15 (33.3%)	
6-9 cm	9 (19.6%)	8 (17.8%)	
10-15 cm	6 (13.0%)	3 (6.7%)	
> 15 cm	3 (6.5%)	2 (4.4%)	
Change from baseline at end of study, n (%)			0.9493
3-level improved	0 (0.0%)	1 (2.2%)	
2-level improved	4 (8.7%)	2 (4.4%)	
1-level improved	10 (21.7%)	8 (17.8%)	
No change	31 (67.4%)	34 (75.6%)	
1-level worsened	1 (2.2%)	0 (0.0%)	
Lower limb edema			
Severity at baseline, n (%)			0.2560
Absent	9 (19.6%)	15 (33.3%)	
Slight	23 (50.0%)	18 (40.0%)	
Moderate	1 (21.7%)	9 (20.0%)	
Marked	4 (8.7%)	3 (6.7%)	
Change from baseline at end of study, n (%)			0.5164
2-level improved	5 (10.9%)	3 (6.7%)	
1-level improved	26 (56.5%)	23 (51.1%)	
No change	15 (32.6%)	19 (42.2%)	
Pulmonary congestion			
Severity at baseline, n (%)			0.0689
Absent	7 (15.2%)	8 (17.8%)	
Slight	16 (34.8%)	24 (53.3%)	
Moderate	19 (41.3%)	12 (26.7%)	
Marked	4 (8.7%)	1 (2.2%)	
Change from baseline at end of study, n (%)			0.8638
3-level improved	1 (2.2%)	0 (0.0%)	
2-level improved	5 (10.9%)	1 (2.2%)	
1-level improved	16 (34.8%)	18 (40.0%)	
No change	22 (47.8%)	24 (53.3%)	
1-level worsened	2 (4.4%)	1 (2.2%)	
2-level worsened	0 (0.0%)	1 (2.2%)	
Pulmonary rales			
Severity at baseline, n (%)			0.4844
No rales	20 (43.5%)	22 (48.9%)	
Bases	23 (50.0%)	22 (48.9%)	
Bases to 50% way up	3 (6.5%)	1 (2.2%)	
Change from baseline at end of study, n (%)			0.8386
2-level improved	2 (4.4%)	0 (0.0%)	
1-level improved	15 (32.6%)	14 (31.1%)	
No change	28 (60.9%)	31 (68.9%)	
1-level worsened	1 (2.2%)	0 (0.0%)	
2-level worsened	0 (0.0%)	1 (2.2%)	
Physician assessed dyspnea			
Severity at baseline, n (%)			0.8384
None	5 (10.9%)	1 (2.2%)	
Seldom	27 (58.7%)	32 (71.1%)	
Frequent	13 (28.3%)	12 (26.7%)	
Continuous	1 (2.2%)	0 (0.0%)	
Change from baseline at end of study, n (%)			0.2087
3-level improved	1 (2.2%)	0 (0.0%)	
2-level improved	4 (8.7%)	4 (8.9%)	
1-level improved	29 (63.0%)	26 (57.8%)	
No change	10 (21.7%)	13 (28.9%)	
1-level worsened	2 (4.4%)	2 (4.4%)	

Definition: End of study, the data measured at the post-dosing examination visit after 4-day treatment.
 Proportional odds model at Post-Baseline Visit: outcome = treatment + baseline severity (ordinal).

Supplement Table 5. Severity change in patient self-assessed global clinical status after 4-day of treatment

	Tolvaptan (N = 46)	Placebo (N = 45)	p value
Patient self-assessed global clinical status			
Baseline			
Mean	51.4 ± 23.6	50.0 ± 21.1	0.7789
End of study			
Mean	69.8 ± 28.2	73.3 ± 19.5	
Mean change from baseline	18.26 ± 23.89	23.32 ± 25.42	0.3536
Patient self-assessed dyspnea			
Status at baseline, n (%)			0.8243*
Yes	30 (65.2%)	31 (68.9%)	
No	16 (34.8%)	14 (31.1%)	
Change from baseline at end of study, n (%)			0.7717
Markedly better	9 (19.6%)	8 (17.8%)	
Moderately better	19 (41.3%)	19 (42.2%)	
Minimally better	13 (28.3%)	9 (20.0%)	
No change	3 (6.5%)	9 (20.0%)	
Moderately worse	2 (4.3%)	0 (0.0%)	

Definition: End of study, the data measured at the post-dosing examination visit after 4-day treatment.

p value: pair t-test for intragroup comparison; Post-Baseline ANCOVA Model was used in patient self-assessed global clinical status: outcome = treatment + baseline level; proportional odds model at Post-Baseline Visit was used in patient self-assessed dyspnea: outcome = treatment + baseline level (ordinal).

* The p value was tested by Fisher's Exact Test.

Supplement Table 6. Overview of treatment emergent adverse events (safety population)

	Tolvaptan (N = 46)		Placebo (N = 45)		p value
	No. of events	Subjects (%)	No. of events	Subjects (%)	
Treatment emergent adverse event (TEAE)*	61	30 (65.2%)	35	20 (44.4%)	0.0590
Serious TEAE	12	8 (17.4%)	10	8 (17.8%)	1.0000
Mild TEAE	35	22 (47.8%)	18	12 (26.7%)	0.0511
Moderate TEAE	17	12 (26.1%)	8	8 (17.8%)	0.4489
Severe TEAE	9	5 (10.9%)	9	6 (13.3%)	0.7582
TEAE related to study drug	12	8 (17.4%)	6	4 (8.9%)	0.3538
TEAE leading to discontinuation	2	2 (4.3%)	0	0 (0.0%)	0.4945
TEAE resulted to death	0	0 (0.0%)	3	2 (4.4%)	0.2418

* Definition of TEAE (treatment emergent adverse event): TEAE is a new AE experienced by a study subject that occurs after initiation of investigational medicinal product administration; an event or pre-existing medical problem that has changed adversely in nature or severity from baseline in subject while receiving investigational medicinal products.