IMMUNOLOGY

Krüppel-like factor 4 regulates the cytolytic effector function of exhausted CD8 T cells

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Exhausted CD8 T cells during chronic inflammatory responses against viral infections and cancer are phenotypically and functionally heterogeneous. In particular, CD8 T cells with cytolytic effector function have been recently identified among the exhausted CD8 T cell subsets. However, the regulation of their differentiation and function remains largely unknown. Here, we report that Krüppel-like factor 4 (KLF4) is a critical regulator of the exhaustion process, promoting the cytolytic effector function of exhausted CD8 T cells. KLF4-expressing CD8 T cells in exhaustion contexts showed the features of transitory effector CD8 T cells. Enforced KLF4 expression increased CD8 T cell differentiation into transitory effector subsets and enhanced their antitumor immunity. We further demonstrated that KLF4 also showed a capacity of reinvigorating exhausted CD8 T cells. Last, high KLF4 expression was positively correlated with a favorable prognosis in human patients with cancer. Our study highlights the potential impacts of KLF4 on CD8 T cell exhaustion and antitumor immune therapy.

INTRODUCTION

Antigen-specific CD8 T cells reach a severely dysfunctional state during chronic inflammatory responses against viral infections and cancer, which is described as exhaustion (1-3). Exhausted CD8 T cells are phenotypically and functionally heterogeneous (4-11). Recent studies have identified progenitor exhausted CD8 T cells and terminally exhausted CD8 T cells and their characteristics (4, 7, 10, 11). Progenitor exhausted CD8 T cells highly express T cell factor 1 (TCF1) and CXCR5 and show a stem-like phenotype with an increased proliferative capacity. In contrast, terminally exhausted CD8 T cells down-regulate TCF1 and CXCR5 and up-regulate PD1 (Programmed cell death protein 1), TIM3 (T cell immunoglobulin and mucin domain containing protein 3), and other inhibitory receptors. Notably, the number of progenitor exhausted CD8 T cells increases in response to anti-PD1 therapy, which appears to be a critical factor for the successful control of chronic viral infection and cancer (5-7, 10, 12).

Effective immune responses exerted by the progenitor exhausted CD8 T cells imply that cells with effector functions may develop from the progenitor cells. CD8 T cells with effector characteristics in the exhaustion contexts have recently been identified (13-15). In chronic viral infections, stem-like TCF1+ CD8 T cells initially differentiated into transitory CD101⁻CX3CR1⁺TIM3⁺ cells that exert effector-like transcriptional signatures and later converted into CD101⁺CX3CR1⁻TIM3⁺ cells (13). CX3CR1⁺ CD8 T cells are found not only in chronic infections but also in the tumor microenvironment and show effector-like functions (14). Moreover, four different subsets of exhausted CD8 T cells are identified in chronic viral infections: Tex^{prog1} (CD69⁺Ly108⁺), Tex^{prog2} (CD69⁻Ly108⁺), Tex^{int} (CD69⁻Ly108⁻), and Tex^{term} (CD69⁺Ly108⁻) (15). Tex^{int} cells display effector-like signatures including the expression of CX3CR1, proinflammatory cytokines, and granzyme B (GzmB), and they eventually convert into Texterm. Thus, the functional shift from the effector-like CD8 T cells to the terminally exhausted CD8 T cells may be the true nature of exhaustion, suggesting that

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increasing the number and/or function of effector-like CD8 T cells may be an ideal strategy for immune therapy of viral infections and cancer. However, little is known about the characteristics of the effector-like CD8 T cells in the context of exhaustion, especially in terms of their genetic regulation.

Here, we report that Krüppel-like factor 4 (KLF4) is a hallmark of cytolytic effector—like CD8 T cells during the exhaustion process, which we term as transitory effector CD8 T cells in this study. We found that KLF4 promotes the CD8 T cell differentiation into transitory effector subsets. In consequence, KLF4 expression in CD8 T cells increased antitumor immunity and provided a great advantage in controlling tumor growth. Moreover, we demonstrated that KLF4 expression could reinvigorate the effector function of exhausted CD8 T cells. Last, we showed that high KLF4 expression also correlated with increased survival of human patients with cancer. Thus, the potential impact of KLF4 on CD8 T cell exhaustion can be underscored in terms of antitumor immune therapy.

RESULTS

KLF4 is a potential regulator of CD8 T cell effector function during the exhaustion process and is specifically increased by chronic TCR stimulation

To identify the factors that regulate CD8 T cell effector function during the exhaustion process, we first examined consensus motifs and their expected binding factors within the promoter regions of inhibitory receptors including *Pdcd1* (PD1), *Ctla4* [CTLA4 (Cytotoxic T lymphocyte associated protein 4)], *Lag3* [LAG3 (Lymphocyte activation gene 3)], and *Havcr2* (TIM3), which can be regarded as hallmarks of exhaustion (fig. S1, A to C). The list of identified factors was overlapped with potential binding factors on differentially methylated regions (DMRs) that are highly enriched in effector CD8 T cells (*16*). We identified EGR1 (Early growth response 1), EGR2, and SP1 (Sp1 transcription factor) as candidate factors binding to demethylated DMR-enriched motifs, while FLI1 (Friend leukemia integration 1 transcription factor), CTCFL (CCCTC-binding factor like), SMAD3 (SMAD family member 3), NEUROD1 (Neuronal differentiation 1),

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KLF4, and ZNF263 (Zinc finger protein 263) as factors binding to methylated DMR-enriched motifs (fig. S1D). Among these, it has been recently shown that KLF4 is positively correlated with T-bet, a well-known regulator of CD8 T cell effector function, during chronic viral infections (*15*).

To check the relatedness between KLF4 and an exhaustion process, we adopted an in vitro system to induce exhaustion by repetitive peptide stimulation on OT-I CD8 T cells, as previously described (fig. S2A) (17). Compared to CD8 T cells treated with cytokines [cytokine only (C cells)] or stimulated by a single treatment with ovalbumin (OVA) peptide for 2 days [single stimulation (SS cells)], cells repeatedly stimulated with OVA peptide [repeated stimulation (RS cells)] up-regulated PD1 and TOX (Tox) expression (fig. S2, B to D). Klf4 expression significantly increased in RS cells compared to that in C and SS cells (fig. S2E). In addition, we sought to validate our in vitro exhaustion model whether in vitro generated CD8 T cells show known features of reported in vivo exhausted CD8 T cells. After day 3, we observed a gradual increase of PD1 and TOX with a decrease of tumor necrosis factor– α (TNF- α), which are typical phenotypes of exhaustion (fig. S2, F to H). Moreover, we found that most of the cells were Texterm (CD69+Ly108-) on day 5, while Tex^{int} (CD69⁻Ly108⁻) cells appeared on day 3 and their proportion was gradually decreased (fig. S2I). Thus, our in vitro exhaustion model resembles in vivo exhaustion, suggesting that KLF4, which is specifically increased by chronic T cell receptor (TCR) stimulation, is a potential regulator of CD8 T cell effector function during the exhaustion process.

KLF4 is highly expressed in the transitory effector subset of exhausted CD8 T cells with cytolytic effector function

To further investigate Klf4 expression in tumor-infiltrating CD8 T cells, we analyzed single-cell RNA sequencing (scRNA-seq) data of CD8⁺ tumor-infiltrating lymphocytes (TILs) isolated from B16F10 melanoma-bearing C57BL/6 mice (18). Pdcd1-expressing CD8+ TILs were filtered and grouped into six clusters on the basis of their expression of distinct markers (progenitor exhausted: Slamf6 and Gzmk; central memory: Tcf7, Lef1, and Sell; terminally exhausted: Tox, Tigit, and Pdcd1; cycling: Stmn1, Cks1b, and Mki67; memory-like anergic: Ccr7, Xcl1, and Nrn1; and transitory effector: Junb, Fosb, and Nr4a1; Fig. 1A and fig. S3). To validate whether cluster 5 shows known features of transitory/intermediate exhausted subsets, we scored the expression level of their signature genes (Tbx21, Tnf, Cx3cr1, Ets1, Klrk1, Ifng, Klrd1, Klrg1, S1pr1, Klf3, Foxj3, Hoxb4, Nfyb, Atf2, Sp3, and Maz) and compared it with other clusters. We found that the score was the highest in cluster 5 (transitory effector) cells (Fig. 1, B and C). In addition, we further validated phenotypes of transitory effector cells by gene set enrichment analysis (GSEA) using Tex^{int} and Tex^{term} marker genes described by Beltra et al. (15). When GSEA was performed between cluster 2 (terminally exhausted) and cluster 5 (transitory effector) cells, Tex^{int} marker genes were significantly enriched in cluster 5 cells, whereas Texterm marker genes were significantly enriched in cluster 2 cells (fig. S4, A and B). Moreover, cluster 5 cells showed high expression of *Cx3cr1* and *Havcr2* (fig. S4, C and D), which are also known phenotypes of transitory/intermediate exhausted subsets (13-15). Moreover, cluster 5 cells showed a low Tox expression with the highest level of Tbx21 and Tnf expression among the clusters (Fig. 1, D to F). Thus, we defined the cells in cluster 5 as transitory effector subset of tumor-infiltrating CD8 T

cells. The expression level of *Klf4* was the highest in cluster 5 cells (Fig. 1G).

To validate the results of the single-cell analysis, we verified Klf4 expression among different subsets of exhausted CD8 T cells obtained from tumor tissue of MC38 tumor-bearing mice. According to previous reports (13-15) and our data, we grouped CD8⁺ TILs into three subsets: progenitor (Ly108⁺), TIM3-expressing transitory effector (CD69⁻Ly108⁻TIM3⁺), and terminally exhausted cells (CD69⁺Ly108⁻TIM3⁺; fig. S5, A to E). Then, we isolated CD44^{low} (naïve) and CD44^{high} (effector/memory) CD8 T cells from the spleen and each subset of the exhausted CD8 T cells from the tumor tissue and compared their gene expression patterns (Fig. 1H). The expression of *Tox* gradually increased during the exhaustion process (Fig. 1I). In contrast, the Tbet/Eomes ratio, a signature of CD8 T cell effector function (15, 19), was the highest in transitory effector cells and decreased in terminally exhausted cells (Fig. 1J). In addition, while gene expression of Tcf7, Havcr2, and Gzmb was comparable between the two subsets (fig. S5, F to H), gene expression of Ifng, Tnf, and Cx3cr1 was the highest in transitory effector cells and decreased in terminally exhausted cells (fig. S5, I to K). Klf4 expression was the highest in the transitory effector cells, showing similar kinetics to the effector signature genes (Fig. 1K). Together, our data demonstrated that Klf4 is highly expressed in the transitory effector subset and its expression decreased in the terminally exhausted subset of tumor-infiltrating CD8 T cells.

KLF4 enhances effector function and characteristics of transitory effector CD8 T cells

To identify the role of KLF4 on exhausted CD8 T cells, we retrovirally transduced Klf4 into OT-I CD8 T cells on day 1 of in vitro exhaustion model and repeatedly treated OVA peptide to induce exhaustion (fig. S6A). We found that CD8 T cells transduced with *Klf4* showed a significantly increased proportion of GzmB⁺ and interferon- γ -positive (IFN- γ^+) cells compared to that of cells transduced with the MigRI control (Fig. 2, A and B). We further analyzed the gene expression profiles of these transduced cells by RNA-seq. Among the 1435 differentially expressed genes (DEGs), genes related to the effector program (Tbx21, Prdm1, and Jun), cytotoxic molecules (Prf1, Gzmb, Gzmc, and Ifng), and T cell migration and localization (Klf3, S1pr1, Runx3, and Cxcr6) were upregulated by Klf4 expression. In contrast, Tcf7 and Slamf6, which are known to be down-regulated during effector CD8 T cell differentiation (20-22), were decreased by Klf4 expression. In addition, the expression of genes encoding inhibitory receptors (Ctla4, Cd200, Cd101, and Cd160) and the exhaustion state (Tox) decreased (Fig. 2, C and D). Gene Ontology (GO) enrichment analysis showed that genes involved in leukocyte differentiation, leukocyte migration, and regulation of cytokine production were highly enriched within the DEGs (Fig. 2E). In addition, the result showed that the genes involved in the mitogen-activated protein kinase cascade pathway and the guanosine triphosphatase (GTPase) activity, both of which are closely related to c-Jun (23–25), were significantly enriched within DEGs. GO analysis also showed that the transcriptional network regulated by Klf4 shared prominently with Junregulated processes (Fig. 2F). CD8 T cells transduced with Klf4 highly increased the expression of Jun and AP-1 (Activator protein 1) subunit factors Junb, Fosl1, and Fosl2 (Fig. 2G). Moreover, CD8 T cells transduced with Klf4 showed an increased phospho-c-Jun level, which is critical for its activity (Fig. 2H)



Fig. 1. KLF4 is highly expressed in the transitory effector subset of exhausted CD8 T cells with cytolytic effector function. (**A** to **G**) scRNA-seq data from B16F10 melanoma–infiltrating CD8⁺ TILs (GSE116390) were analyzed. *Pdcd1*-expressing CD8⁺ TILs were filtered. (A) Clustering and uniform manifold approximation and projection (UMAP) visualization of *Pdcd1*-expressing CD8 T cells from B16F10 melanoma tumor (n = 2652 cells) on day 15. Colors denote transcriptional clusters, labeled with functional annotations. (B) UMAP visualization of transitory signature score within *Pdcd1*-expressing CD8⁺ TILs. (C) Violin plot of transitory signature score within clusters. Cluster 5 denotes transitory effector cells. (D to G) Violin plot of the expression level of (D) *Tox*, (E) *Tbx21*, (F) *Tnf*, and (G) *Klf4* within clusters. Cluster 5 denotes transitory effector cells. (D to G) Violin plot of the expression level of (D) *Tox*, (E) *Tbx21*, (F) *Tnf*, and (G) *Klf4* within clusters. Cluster 5 denotes transitory effector cells. (H to **K**) Five different CD8 T cell subpopulations were isolated from MC38 tumor–bearing C57BL/6 mice on day 18. (H) Schematic design of the experiment. (I) Histogram of *Tox* mRNA expression on five different CD8 T cell subpopulations (n = 5 per group). (J) Histogram of ratio *T-bet/Eomes* (mRNA) on five different CD8 T cell subpopulations (n = 5 per group). (J) Histogram of *Klf4* mRNA expression on five different CD8 T cell subpopulations (n = 5 per group). All data are means ± SEM. Statistical analysis was performed using Student's *t* test. ns (nonsignificant), P > 0.05; *P < 0.05; **P < 0.01; ****P < 0.001.

(26). In line with a previous report that the expression of c-Jun enhances effector function and counteracts exhaustion in CAR T cells (27), our results suggest that c-Jun activity increased by *Klf4* expression may contribute to enhancing CD8 T cell effector function.

To consolidate the role of KLF4 during the exhaustion process, we compared the RNA-seq data with published data through GSEA. We found that signature genes for circulating T cells (28) were highly enriched in CD8 T cells transduced with *Klf4* (Fig. 2I),

whereas signature genes for naïve T cell quiescence (29) were highly enriched in cells transduced with MigRI (Fig. 2J). Detailed analysis of four subsets (Tex^{prog1} , Tex^{prog2} , Tex^{int} , and Tex^{term}) of exhausted CD8 T cells (15) revealed that genes down-regulated in Tex^{int} were highly enriched in the cells transduced with MigRI (Fig. 2K), while genes up-regulated in Tex^{int} were highly enriched in cells transduced with *Klf4* (Fig. 2L). Furthermore, genes up-regulated in Tex^{term} were significantly enriched in the cells transduced



Fig. 2. KLF4 enhances effector function and characteristics of transitory effector CD8 T cells. (A to N) OT-I CD8 T cells were retrovirally transduced with MigRI/*Klf4* on day 1 during in vitro exhaustion process and were harvested on day 5. GFP⁺ cells were gated for all analyses. (A) Representative flow cytometry plot of GzmB expression and the proportion of GzmB⁺ cells in CD8 T cells transduced with MigRI/*Klf4* (n = 6 per group). (B) Representative flow cytometry plot of IFN- γ expression and the proportion of IFN- γ^+ cells in CD8 T cells transduced with MigRI/*Klf4* (n = 6 per group). (C to N) CD8 T cells transduced with MigRI/*Klf4* were isolated and RNA-seq was performed. (C) Volcano plot highlighting differential transcripts between CD8 T cells transduced with MigRI/*Klf4* (n = 3 per group). (D) RNA expression heatmap of DEGs from CD8 T cells transduced with MigRI/*Klf4* (n = 3 per group). (E) Gene Ontology (GO) (pathway and process) analysis of DEGs. (F) GO (transcriptional regulation network) analysis of DEGs. (G) RNA expression heatmap of AP-1 subunit genes from CD8 T cells transduced with MigRI/*Klf4* (n = 4 per group). (I to M) GSEA between CD8 T cells transduced with MigRI/*Klf4* using gene sets of (I) Tcirc signature, (J) naïve T cell quiescence, (K) genes down-regulated in Tex^{int}, (L) genes up-regulated in Tex^{int}, and (M) genes up-regulated in Tex^{term}. (N) Histogram of normalized enrichment score from GSEA using various gene sets. (A, B, and H) Data are means \pm SEM. Statistical analysis was performed using Student's t test. **P* < 0.05; *****P* < 0.0001. Log₂FC, log₂ fold change; FDR, false discovery rate; NES, normalized enrichment score; FSC-H, forward scatter height.

Nah and Seong, Sci. Adv. 8, eadc9346 (2022) 25 November 2022

with MigRI (Fig. 2M). In addition, genes related to the effector function of CD8 T cells in various contexts (*30–32*) were significantly enriched in cells transduced with *Klf4* (Fig. 2N). These data indicated that *Klf4*-expressing CD8 T cells show transcriptional parallelism with transitory effector cells, as well as resistance to quiescence and terminal exhaustion. Collectively, all these data support that *Klf4*-expressing CD8 T cells in the exhaustion contexts resemble transitory effector CD8 T cells with increased effector function.

CEACAM1 is highly expressed in transitory effector CD8 T cells during the exhaustion process

Our RNA-seq analysis revealed that Ceacam1 was the gene with the highest fold change among 1435 DEGs in CD8 T cells transduced with *Klf4* compared to the control (Fig. 2C). The majority (61.3 \pm 0.9%) of CD8 T cells transduced with Klf4 were CEACAM1 (CEA cell adhesion molecule 1)⁺ (Fig. 3A). In the CD8⁺ TILs, Ceacam1 was expressed at the highest level in the transitory effector subset but down-regulated in the terminally exhausted subset (fig. S5L), which is similar to the expression pattern of Klf4 (Fig. 1K). To check CEACAM1 protein level in exhausted CD8 T cells, we analyzed the PD1+CD8+ TILs from MC38 tumor-bearing C57BL/6 mice. The cells were grouped into four different subsets depending on the expression of CEACAM1 and TIM3: CEACAM1-TIM3-, CEACAM1+TIM3-, CEACAM1+TIM3+, and CEACAM1-TIM3+. The majority (92.2 \pm 0.3%) of PD1⁺CD8⁺ TILs were CEACAM1⁻ (with TIM3⁺ and TIM3⁻), and the proportion of CEACAM1⁺⁻ TIM3⁺ cells was low (4.6 \pm 0.2%; Fig. 3B). When the effector features were compared among the four groups, the highest proportion of $GzmB^+$ and $TNF-\alpha^+$ cells was observed in CEACAM1⁺TIM3⁺ cells, and the proportion was decreased in CEACAM1⁻TIM3⁺ cells (Fig. 3, C and E). The proportion of IFN- γ^+ cells was also the highest in CEACAM1⁺TIM3⁺ cells; however, it did not show a significantly decreased level in CEACAM1⁻TIM3⁺ cells (Fig. 3D). In addition, CEACAM1⁺TIM3⁺ cells contained the highest proportion of CX3CR1⁺ cells, which are known as effector-like CD8 T cells during exhaustion, while the proportion decreased in CEACAM1⁻TIM3⁺ cells (Fig. 3F). Furthermore, CEACAM1⁺TIM3⁺ cells showed relatively lower level of TOX (Fig. 3G), whereas the levels of Tbet/Eomes ratio and phospho-c-Jun were the highest among the subpopulations (Fig. 3, H and I). Similarly, the profiles of the effector signatures shown by the subpopulations matched well with Klf4 expression (Fig. 3J). Together, we concluded that CEACAM1+TIM3+ cells, which show the highest effector features among the exhausted subsets, are in the transitory effector stage, whereas CEACAM1⁻TIM3⁺ cells are in the terminally exhausted stage.

KLF4 enhances CD8 T cell differentiation into transitory effector subsets and the antitumor function

To investigate the function of KLF4 during CD8 T cell exhaustion in vivo, we induced a tumor in *Rag2* knockout (KO) mice by injecting MC38-gp100 cells, which could be recognized by CD8 T cells derived from PmelI TCR transgenic mice (*33*). PmelI CD8 T cells were transduced with MigRI control or *Klf4* and then adoptively transferred into mice bearing the tumor (fig. S6B). Mice that received CD8 T cells transduced with *Klf4* showed a decreased tumor volume compared to that in control mice (Fig. 4A). The proportion of proliferative Ki-67⁺ cells within the CD69⁻Ly108⁻ and CD69⁺Ly108⁻ subsets also significantly increased in CD8 T cells

transduced with *Klf4* (Fig. 4B). In addition, the proportion of GzmB⁺, IFN- γ ⁺, and TNF- α ⁺ cells significantly increased in CD8 T cells transduced with *Klf4* (Fig. 4, C to E). Notably, CEACAM1⁺⁻ TIM3⁺ cells, which showed the highest effector function among the tumor-infiltrating CD8 T cells, were highly enriched in CD8 T cells transduced with *Klf4* (Fig. 4F).

To further investigate the in vivo function of KLF4, we analyzed gene expression profiles of tumor-infiltrating CD8 T cells transduced with MigRI or Klf4 by SMART (Switching mechanism at 5' end of RNA template) sequencing (SMART-seq). CD8 T cells transduced with MigRI or Klf4 were adoptively transferred on day 7 (fig. S7A), instead of day 1, to increase overall tumor sizes, as well as the total number of CD8 T cells from tumor tissues, against to the model described in fig. S6B. GO enrichment analysis showed that genes involved in regulation of cytokine production, cell adhesion, and immune cell differentiations were highly enriched within DEGs (Fig. 4G), similarly to the results from in vitro experiments (Fig. 2E). In addition, GSEA showed that genes up-regulated in Tex^{int} were highly enriched in CD8 T cells transduced with Klf4, whereas genes up-regulated in Texterm and signature genes for naïve T cell quiescence were highly enriched in CD8 T cells transduced with MigRI (Fig. 4, H to J). These results indicated that CD8 T cells transduced with *Klf4* displayed similar transcription profiles to transitory effector subsets. We found that the proportion of TIM3⁺CX3CR1⁺, CD69⁻Ly108⁻, and CEACAM1⁺TIM3⁺ cells, which are considered as transitory effector subsets, were all significantly increased in CD8 T cells transduced with Klf4 (fig. S7, B to F). In particular, the expression of AP-1 family factor Jun and Fos, as well as Klf3 and Prdm1, was highly increased in CD8 T cells transduced with Klf4, while the expression of Tcf7, Eomes, Nr4a2, and Tox was decreased. The expression of cytotoxic molecules (Tnf, Prf1, and Ifng) was also increased by Klf4 overexpression. Moreover, the expression of Ceacam1 and Havcr2 was increased by Klf4 overexpression, whereas the expression of most inhibitory receptors (Tigit, Lag3, Pdcd1, Cd101, Ctla4, Cd160, and Cd200) was down-regulated (Fig. 4K). Consistently, Klf4 overexpression increased the proportion of IFN- γ^+ cells in all CEACAM1-TIM3–defined subsets (fig. S7G). Increased functionality even in terminally exhausted subsets (CEACAM1⁻TIM3⁺) suggests that Klf4 may increase the effector function of CD8 T cells and/or counteracts the exhaustion status in these subsets. Together, these results demonstrated that overexpression of Klf4 in tumor-specific CD8 T cells increases their functionality, by up-regulating effector-related genes and downregulating exhaustion-related genes, which, in turn, promotes differentiation into the transitory effector subsets and counteracts terminal exhaustion.

KLF4 deficiency impairs CD8 T cell differentiation into transitory effector subsets and the antitumor function

Next, we further investigated the effects of the loss of *Klf4* in CD8 T cells on their antitumor response using *Klf4*^{fl/fl}; *E8i*-cre [*Klf4* conditional KO (cKO)] mice. A tumor was induced by subcutaneous injection of MC38 cells into control or *Klf4* cKO mice. The tumor volume and mass increased slightly but significantly in *Klf4* cKO mice compared to that in control mice (Fig. 5, A and B). The proportion of GzmB⁺, IFN- γ^+ , and TNF- α^+ cells also decreased in *Klf4* cKO PD1⁺CD8⁺ TILs (Fig. 5, C to E). Note that although the proportion of CEACAM1⁺TIM3⁺ cells decreased significantly in *Klf4* cKO PD1⁺CD8⁺ TILs (Fig. 5F), the degree of the decrease was



Fig. 3. CEACAM1 is highly expressed in transitory effector CD8 T cells during the exhaustion process. (**A**) Representative flow cytometry plot of CEACAM1 expression and the proportion of CEACAM1⁺ cells in CD8 T cells transduced with MigRI/*Klf4* (n = 5 per group) from in vitro exhaustion experiment. GFP⁺ cells were gated for the analysis. (**B** to **J**) CD8⁺ TILs were analyzed from MC38 tumor–bearing C57BL/6 mice on day 18. (B) Representative flow cytometry plot of CEACAM1 and TIM3 expression, and the proportion of each subpopulation in PD1⁺CD8⁺ TILs (n = 9 per group). (C to F) Representative flow cytometry plot and the proportion of (C) GzmB⁺ (n = 8 per group), (D) IFN- γ^+ (n = 7 per group), (E) TNF- α^+ (n = 6 per group), and (F) CX3CR1⁺ (n = 7 per group) cells in CEACAM1-TIM3–defined subsets. (G to J) Histogram of (G) relative TOX (MFI) ratio (n = 4 per group), (H) relative T-bet/Eomes (MFI) ratio (n = 5 per group), (I) relative phospho–c-Jun (n = 3 per group), and (J) relative expression of *Klf4* (n = 3 per group) in CEACAM1-TIM3–defined subsets. Relative values were calculated on the basis of the level of CEACAM1⁺TIM3⁺ subset. All data are means ± SEM. Statistical analysis was performed using Student's *t* test. ns, P > 0.05; **P < 0.05; **P < 0.001; ****P < 0.0001.

minor when compared to that of the increase observed after *Klf4* overexpression (Fig. 4F). Thus, there appears to be a correlation between a high increase in CEACAM1⁺TIM3⁺ cells and effective control of tumor growth. Similarly, the proportion of transitory effector subsets, defined by various combination of markers, appeared to be decreased by *Klf4* deficiency (fig. S8, A to E).

Although the proportion of progenitor exhausted subsets appeared to increase and that of terminally exhausted subsets was largely unchanged, *Klf4* deficiency greatly reduced the absolute cell number of transitory effector subsets, as well as terminally exhausted subsets, while the number of progenitor exhausted subsets did not change significantly (fig. S8, F to H).



Fig. 4. KLF4 enhances CD8 T cell differentiation into transitory effector subsets and the antitumor function. (**A** to **F**) Pmell CD8 T cells transduced with MigRl/*Klf4* were adoptively transferred into MC38-gp100–inoculated *Rag2* KO mice on day 1. The tumor-infiltrating CD8 T cells were analyzed on day 15. GFP⁺ cells were gated for all analyses. (A) Tumor growth curve of mice received CD8 T cells transduced with MigRl (n = 6)/*Klf4* (n = 7). (B) The proportion of Ki-67⁺ cells in CD8 T cells transduced with MigRl (n = 4)/*Klf4* (n = 3 to 4). (F) Representative flow cytometry plot and the proportion of CEACAM1⁺TIM3⁺ cells in CD8 T cells transduced with MigRl (n = 4)/*Klf4* (n = 3 to 4). (F) Representative flow cytometry plot and the proportion of CEACAM1⁺TIM3⁺ cells in CD8 T cells transduced with MigRl (n = 4)/*Klf4* (n = 3 to 4). (F) Representative flow cytometry plot and the proportion of CEACAM1⁺TIM3⁺ cells in CD8 T cells transduced with MigRl (n = 4)/*Klf4* (n = 3 to 4). (F) Representative flow cytometry plot and the proportion of CEACAM1⁺TIM3⁺ cells in CD8 T cells transduced with MigRl (n = 4)/*Klf4* (n = 3 to 4). (F) Representative flow cytometry plot and the proportion of CEACAM1⁺TIM3⁺ cells in CD8 T cells transduced with MigRl (n = 4)/*Klf4* (n = 5). (**G** to **K**) Pmell CD8 T cells transduced with MigRl/*Klf4* were adoptively transferred into MC38-gp100–inoculated *Rag2* KO mice on day 7. The tumor-infiltrating CD8 T cells transduced with MigRl (from four mice) and *Klf4* (from four mice) were pooled (GFP⁺ cells were sorted) on day 15, and SMART-seq was performed. (G) GO (GO biological process) analysis of DEGs. (H to J) GSEA between CD8 T cells transduced with MigRl/*Klf4* using gene sets of (H) genes up-regulated in Tex^{int}, (I) genes up-regulated in the texter manalysis was performed using Student's *t*



Fig. 5. KLF4 deficiency impairs CD8 T cell differentiation into transitory effector subsets and the antitumor function. (A to L) MC38 cells were subcutaneously injected into the right flank of control/*Klf4* cKO mice. CD8⁺ TILs were analyzed on day 14. (A) Tumor growth curve of control (n = 4)/*Klf4* cKO (n = 5) mice. (B) Histogram of tumor mass of control (n = 4)/*Klf4* cKO (n = 5) mice. (C to E) Representative flow cytometry plot and the proportion of (C) GzmB⁺, (D) IFN- γ^+ , and (E) TNF- α^+ cells in PD1⁺CD8⁺ TILs from control/*Klf4* cKO mice (n = 3 per group). (**F**) Representative flow cytometry plot and the proportion of CEACAM1⁺TIM3⁺ cells in PD1⁺CD8⁺ TILs from control (n = 4)/*Klf4* cKO (n = 5) mice. (**G** to **L**) PD1⁺CD8⁺ TILs from tumor tissues of four control mice and six *Klf4* cKO mice were pooled on day 14, and SMART-seq was performed. (G) GO (GO biological process) analysis of DEGs. rRNA, ribosomal RNA. (H to K) GSEA between control and *Klf4* cKO PD1⁺CD8⁺ TILs using gene sets of (H) adenosine triphosphate (ATP) metabolic process, (I) oxidative phosphorylation, (J) genes down-regulated in Tex^{int}, and (K) genes up-regulated in Tex^{term}. (L) Histogram of fold change of genes between control and *Klf4* cKO PD1⁺CD8⁺ TILs. (A to F) Data are means ± SEM. Statistical analysis was performed using Student's *t* test. **P* < 0.05; ***P* < 0.01.

To better understand the role of KLF4, we analyzed gene expression profile of control and Klf4 cKO PD1+CD8+ TILs by SMARTseq. GO enrichment analysis showed that genes involved in energy production, such as adenosine triphosphate (ATP) metabolic process and oxidative phosphorylation, were highly enriched within DEGs (Fig. 5G). GSEA analysis also showed that genes involved in ATP metabolic process and oxidative phosphorylation were significantly enriched in control PD1+CD8+ TILs (Fig. 5, H and I). It has been known that dysfunctional and terminally exhausted CD8 T cells from tumors display defective oxidative phosphorylation and ATP synthesis (34). Genes down-regulated in Tex^{int} and up-regulated in Tex^{term} were highly enriched in Klf4 cKO PD1+CD8+ TILs (Fig. 5, J and K), indicating that Klf4 deficiency increases the severity of exhaustion. Consistent with this, the expression of AP-1 family (Jun, Fosb, and Fosl2), as well as Prdm1, was decreased in Klf4 cKO PD1+CD8+ TILs, while the expression of exhaustion genes (Nr4a2 and Tox) was increased. Furthermore, the expression of inhibitory receptors such as Cd101, Cd160, Tigit, Pdcd1, and Ctla4 was up-regulated, whereas the expression of Ceacam1 was down-regulated in Klf4 cKO PD1⁺ CD8⁺ TILs (Fig. 5L).

To integrate the results from the analyses of both Klf4 overexpression and Klf4 deficiency, genes up-regulated by Klf4 overexpression and those down-regulated by Klf4 deficiency were overlapped. GO enrichment analysis showed that genes involved in GTPase-mediated signal transductions and regulation of phosphatidylinositol 3-kinase (PI3K) activity were highly enriched within these overlapping genes (fig. S9A). Among the genes that were decreased by Klf4 deficiency, genes involved in small GTPase-mediated signal transduction were highly enriched in CD8 T cells transduced with Klf4 compared to the MigRI control. In contrast, genes that were increased by Klf4 overexpression were decreased in Klf4 cKO PD1⁺ CD8⁺ TILs and highly enriched in the control (fig. S9B). Genes involved in PI3K activity showed a similar tendency (fig. S9C). It was reported that signal transduction by PI3K and Ras small GTPase induces the expression of AP-1 family factors such as c-Jun (35). Thus, there is a possibility that decreased signaling in small GTPase and PI3K pathway by Klf4 deficiency may down-regulate the expression of Jun. To sum, the results revealed that Klf4 deficiency impairs the generation of transitory effector subsets and increases the severity of exhaustion-such as impaired energy metabolism, small GTPase, and PI3K signaling pathways-which, in turn, decreases the antitumor function of CD8 T cells.

KLF4 reinvigorates the effector function of exhausted CD8 T cells

Next, we tested whether *Klf4* can reinvigorate the effector function of exhausted CD8 T cells. For this, Retro-X Tet-One system was used to induce *Klf4* expression in exhausted CD8 T cells through doxycycline treatment. The pRetroX vector was inserted with internal ribosomal entry site (IRES)–green fluorescent protein (GFP; pRetroX-GFP) or *Klf4*-IRES-GFP (pRetroX-*Klf4*), and cells transduced with the constructs were identified by GFP expression (fig. S10A). CD8 T cells were first transduced with pRetroX-GFP or pRetroX-*Klf4* and then induced to be exhausted by repeated antigen stimulation in vitro. The exhausted CD8 T cells transduced with the constructs were then cultured for additional 2 days with or without doxycycline (fig. S10B), and GFP⁺ cells appeared only after the doxycycline treatment (fig. S10C). GFP- or *Klf4*-induced exhausted CD8 T cells (pRetroX-GFP/Dox⁺ or pRetroX-*Klf4*/ Dox⁺ cells) were isolated, and their phenotypes were analyzed. The proportion of IFN- γ^+ cells significantly increased in pRetroX-*Klf4*/Dox⁺ cells compared to that in pRetroX-GFP/Dox⁺ cells (Fig. 6A). The proportion of CEACAM1⁺ cells also markedly increased in pRetroX-*Klf4*/Dox⁺ cells (Fig. 6B). Moreover, pRetroX-*Klf4*/Dox⁺ cells, which showed increased expression of *Klf4* (Fig. 6C), expressed *Tox* and *Tcf7* at a considerably reduced level (Fig. 6, D and E). Conversely, they showed significantly increased expression of genes related to the effector functions including *Tbx21*, *Gzmb*, and *Ifng* (Fig. 6, F to H). Thus, it appears that CD8 T cells exhausted by repeated antigen stimulation were reinvigorated by the induction of *Klf4* expression.

Because exhausted CD8 T cells are known to have a strong epigenetic imprint, which is known as a major obstacle for reinvigoration (36-38), we investigated whether the induced Klf4 expression could change the epigenetic status of the exhausted CD8 T cells. For this, we performed assay for transposase-accessible chromatin with high-throughput sequencing (ATAC-seq) and compared chromatin landscapes between in vitro generated effector, pRetroX-GFP/Dox⁺, and pRetroX-Klf4/Dox+ CD8 T cells. The principal components analysis (PCA) plot showed that the cluster of pRetroX-Klf4/Dox+ cells was closer to the cluster of pRetroX-GFP/Dox⁺ cells than that of the effector cells (Fig. 6I). In addition, the proportion of overlapping differentially accessible regions (DARs) between effector CD8 T cells and pRetroX-GFP/Dox⁺ cells or pRetroX-Klf4/Dox⁺ cells was comparable (59 and 61%, respectively; fig. S10D). When DARs were clustered according to their chromatin accessibility, most were clusters 2 and 5, showing similar patterns between pRetroX-GFP/Dox⁺ cells and pRetroX-Klf4/Dox⁺ cells (fig. S10E). These data suggest that Klf4 expression could partly change the epigenetic status of the already exhausted CD8 T cells. Nevertheless, the PCA plot suggests that effector cells, pRetroX-GFP/Dox⁺ cells, and pRetroX-Klf4/Dox+ cells could be segregated into distinct phenotypic groups (Fig. 6I). The GSEA analysis showed that regions adjacent to the genes up-regulated in Texint appeared to be more accessible in pRetroX-Klf4/Dox+ cells than that in pRetroX-GFP/ Dox⁺ cells. In contrast, regions adjacent to the genes down-regulated in Texint appeared to be more accessible in pRetroX-GFP/Dox+ cells; however, the differences were statistically insignificant (Fig. 6, J and K). We also noticed that regions adjacent to the top 100 upregulated DEGs from RNA-seq (Fig. 2C) were more accessible in pRetroX-Klf4/Dox+ cells (Fig. 6L). In addition, most of the opened DARs were located at promoter regions, and potential binding targets of enriched motifs in the DARs were majorly SP and KLF families (fig. S10, F to I). Although the chromatin accessibility of Tox was comparable between pRetroX-GFP/Dox⁺ cells and pRetroX-Klf4/Dox+ cells (Fig. 6M), pRetroX-Klf4/Dox+ cells showed increased chromatin accessibility of genes related to cytotoxicity (Ifng), effector program (Jun), and T cell migration (Klf3), with decreased chromatin accessibility of inhibitory receptor Cd160 (Fig. 6, N to Q). Together, our data suggest that the exhausted CD8 T cells could be reinvigorated by Klf4 expression through transcriptional and partial epigenetic changes, recapitulating the features of a transitory effector CD8 T cell differentiation.



Fig. 6. KLF4 reinvigorates the effector function of exhausted CD8 T cells. (**A** to **H**) OT-I CD8 T cells were transduced with pRetroX-GFP/pRetroX-*Klf4* on day 1 during in vitro exhaustion process. Exhausted CD8 T cells were harvested on day 5 and cultured with doxycycline for additional 2 days. GFP⁺ cells were gated for all analyses. (A) Representative flow cytometry plot and the proportion of IFN- γ^+ cells in pRetro-GFP/Dox⁺ and pRetro-*Klf4/Dox*⁺ cells (n = 4 per group). (B) Representative flow cytometry plot of CEACAM1 expression and the proportion of CEACAM1⁺ cells in pRetro-GFP/Dox⁺ and pRetro-*Klf4/Dox*⁺ cells (n = 4 per group). (C to H) Histogram of relative mRNA expression of (C) *Klf4* (n = 4 per group), (D) *Tox* (n = 4 per group), (E) *Tcf7* (n = 4 per group), (F) *Tbx21* (n = 3 per group), (G) *Gzmb* (n = 4 per group), and (H) *Ifng* (n = 4 per group) in pRetro-*Klf4/Dox*⁺ cells. (I to **Q**) ATAC-seq was performed on naïve, effector, pRetro-GFP/Dox⁺, and pRetro-*Klf4/Dox*⁺ cells. Effector cells were in vitro generated by peptide stimulation for 2 days. (I) Principal components analysis plot of ATAC-seq data from effector CD8 T cells (n = 3), pRetro-GFP/Dox⁺ (n = 3), and pRetro-*Klf4/Dox*⁺ (n = 2) cells. (J to L) GSEA on chromatin accessibility of genes adjacent to peak regions between pRetro-GFP/Dox⁺ and pRetro-*Klf4/Dox*⁺ cells using gene sets of (J) genes up-regulated in Tex^{int}, (K) genes down-regulated in Tex^{int}, and (L) top 100 genes increased DEGs by *Klf4* overexpression (RNA-seq from in vitro exhaustion model). (M to Q) Representative ATAC-seq genome track of (M) *Tox*, (N) *Ifng*, (O) *Jun*, (P) *Klf3*, (Q) *Cd160* in naïve, effector, pRetro-GFP/Dox⁺, and pRetro-*Klf4/Dox*⁺ cells using exhaustion model). (A to H) Data are means ± SEM. Statistical analysis was performed using Student's *t* test. *P < 0.05; ***P < 0.01; ****P < 0.001;



Fig. 7. High KLF4 expression correlates with a favorable tumor prognosis. (A and **B**) Kaplan-Meier curve of patients with COAD and KIRC based on their (A) *KLF4* and (B) *CEACAM1* expression (upper, 25%; and lower, 25%). Analyzed by OncoLnc. (**C**) Pearson correlation between *KLF4* and *CEACAM1* expression on COAD (n = 275) and KIRC (n = 523) tumor tissue. Analyzed by GEPIA2. (**D**) Pearson correlation between the expression of *GAPDH/KLF4/CEACAM1* and average expression of effector signature genes (top 100 genes induced by *Klf4* overexpression from RNA-seq data) on COAD (n = 275) and KIRC (n = 523) tumor tissue. Analyzed by GEPIA2. (**E**) Correlation of *KLF4* expression and CD8 T cell infiltration score on COAD (n = 458) and KIRC (n = 533) tumor tissue. Analyzed by TIMER2.0. (**F**) *KLF4* fold change after anti-PD1 therapy in CR (complete response)/PR (partial response; n = 5), SD (stable disease; n = 4), and PD (progressive disease; n = 9) group from patients with melanoma. Data are means \pm SEM. Statistical analysis was performed using Student's *t* test. ns, P > 0.05; *P < 0.05. (**G**) Kaplan-Meier curve of melanoma patient groups distinguished by *KLF4* fold change. *P* values less than 0.05 were considered to be significant for the log-rank test and Pearson correlation test.

High *KLF4* expression correlates with a favorable tumor prognosis

To check the clinical relevance between KLF4 expression and tumor prognosis in patients with cancer, we analyzed data from The Cancer Genome Atlas (TCGA) using various analytical tools (39-41). Among the cancer types, the survival rate of patients with colon adenocarcinoma (COAD) and kidney renal clear cell carcinoma (KIRC) was positively correlated with KLF4 expression (Fig. 7A). Similarly, CEACAM1 expression was also positively correlated with the survival rate of the patients (Fig. 7B). In line with the results of mouse experiments, the expression of KLF4 and CEACAM1 was positively correlated in patients with COAD and KIRC (Fig. 7C). Analysis on the average expression of human orthologs of top 100 up-regulated DEGs by Klf4 expression (Fig. 2C) revealed a positive correlation with KLF4 and CEACAM1 expression in these patients, whereas there was no such correlation with the control gene GAPDH (Fig. 7D). The average expression of Tex^{int}, Tcirc, and Teff signature genes was also positively correlated with KLF4 and CEACAM1 expression (fig. S11, A to C). Furthermore, CD8 T cell infiltration was positively correlated with KLF4 expression, in concordance with the survival rates of patients with COAD and KIRC (Fig. 7E).

To further investigate a possibility that CD8 T cells expressing KLF4 actually play a major anticancer function in human, the cell type and their gene expression profiles were analyzed by scRNA-seq analysis. Then, a signature matrix was generated, and the abundance of the cell type within human cancer was calculated by CI-BERSORTx (42). The calculated abundance was used for survival analysis of human patients with cancer (fig. S12A). scRNA-seq data of high-grade serous ovarian cancer (HGSOC) have been deposited (43) and used for the subsequent analysis. CD8 T cells were filtered from the datasets and grouped into six subpopulations (LAYN-CD8, GZMK-CD8, STMN1-CD8, FOSB-CD8, KLF2-CD8, and FOXP3-CD8) on the basis of their highly or exclusively expressed genes (fig. S12, B and C). Among the subpopulations, cluster 4 (FOSB-CD8) cells highly expressed TRANSITORY gene sets that are human orthologs of top 10 marker genes in transitory effector subsets of the mouse CD8 T cells (fig. S12D). When we compared KLF4 expression between clusters, the expression was the highest in cluster 4 (FOSB-CD8) cells (fig. S12E). The results suggest that cluster 4 (FOSB-CD8) cells are human counterparts of mouse transitory effector subsets. Then, the signature matrix of 10 cell types in cancer, including six CD8 subpopulations, was generated using CIBERSORTx (fig. S12F). Using the signature matrix, we calculated the abundances of each cell types from expression data of 532 patients with KIRC and analyzed their survival rates. We found that the abundance of FOSB-CD8 T cells showed a positive correlation with better prognosis in patients with cancer (fig. S13, A to F). In line with that, when the patients were grouped by their prognostic stage (stages I to IV), the abundance of FOSB-CD8 T cells decreased as cancer progressed (fig. S13G). These results indicated that a high abundance of FOSB-CD8 T cells expressing high level of KLF4 correlates positively with better outcomes for patients with KIRC.

Because immune checkpoint blockade therapy enhances the antitumor immunity of CD8 T cells (44), we investigated whether immune checkpoint blockade increases *KLF4* expression in patients with cancer. We analyzed the reported RNA-seq data obtained from patients with melanoma both before and after anti-PD1 therapy (45). Notably, the fold change in KLF4 expression was the greatest in the CR (complete response)/PR (partial response) group, followed by the SD (stable disease) and PD (progressive disease) groups (Fig. 7F). We also found that the higher fold change in KLF4 expression correlated well with the survival rate of the patients (Fig. 7G). All these data strongly suggest that higher KLF4 expression confers a significant advantage in controlling tumor growth and survival rates of patients with cancer.

DISCUSSION

KLF4 is well known as a reprogramming factor that is highly expressed in embryonic stem cells. Ectopic expression of reprogramming factors (Oct4, Sox2, c-Myc, and Klf4) is known to reprogram mouse somatic cells into pluripotent stem cells (46). In T cells, it has been reported that KLF4 negatively controls their proliferation and is rapidly down-regulated after TCR activation (47-49). However, these studies focused on T cell activities for a short period after TCR stimulation, and the role of KLF4 in the context of exhaustion has been unknown. In this study, we showed that Klf4 expression of CD8 T cells specifically increased during the process of exhaustion induced by chronic antigen stimulation. Klf4 is expressed at its highest level in the transitory effector CD8 T cells during the exhaustion process, while it is decreased in the terminally exhausted CD8 T cells. Klf4 overexpression study revealed that high expression of Klf4 in tumor-specific CD8 T cells up-regulates effector program genes such as AP-1 family factor Jun to acquire the properties of transitory effector subsets. c-Jun is known to enhance the effector function of CD8 T cells (50-52). Recent studies have also demonstrated that the expression of c-Jun or BATF (Basic leucine zipper ATF-like transcription factor), the binding partner of c-Jun, enhances effector function and counteracts exhaustion in CAR T cells (27, 53). In line with that, Klf4 expression down-regulated genes associated with terminal exhaustion and T cell quiescence, highlighting the role of *Klf4* in counteracting terminal exhaustion. In contrast, Klf4 deficiency impairs CD8 T cell differentiation into transitory effector subsets and increases the severity of exhaustion, such as impaired energy metabolism, small GTPase, and PI3K signaling pathways, which might, in turn, weaken the effector functions. Thus, our data indicate that high Klf4 expression is important for maintaining functions of transitory effector CD8 T cells, while down-regulation of Klf4 is related to terminal exhaustion.

Several surface molecules have been discovered as signatures of effector CD8 T cells (31, 54). CD69 and CD25 are the most typical markers of activated effector CD8 T cells (55, 56). However, in chronic infections and cancers, these molecules are also up-regulated in exhausted CD8 T cells despite their low-responsive state (15, 57). Thus, identifying previously unknown surface markers for effector CD8 T cells, specifically in the exhaustion contexts, is crucial for understanding their exhaustion process. We found that CEACAM1 was highly induced by *Klf4* expression. CEACAM1 is known to mediate immune synapse formation between CD8 T cells and dendritic cells, thereby enhancing the effector function of CD8 T cells during chronic viral infections (58). In addition, it has been reported that CEACAM1 signaling enhances Ras small GTPase and PI3K pathways (59), both of which induce the expression of AP-1 family factors such as c-Jun (35). Thus, CEACAM1 may play a critical role in the antitumor function of CD8 T cells

as well. Our results show that *Ceacam1* is highly expressed in the transitory effector CD8⁺ TILs. Moreover, CEACAM1⁺TIM3⁺ cells displayed the highest level of effector signatures among CD8⁺ TIL subsets, while the level decreased in CEACAM1-TIM3+ cells, suggesting that CEACAM1⁺TIM3⁺ cells are in the transitory effector stage and CEACAM1⁻TIM3⁺ cells are in the terminally exhausted stage. We also noticed that the proportion of transitory effector (CEACAM1⁺TIM3⁺) cells among the CD8⁺ TILs from normal conditioned mice was even very low, whereas the proportion of terminally exhausted (CEACAM1⁻TIM3⁺) cells was high, implying insufficient control of overwhelming tumor growth. We discovered that Klf4 expression greatly increased the proportion of CEACAM1⁺TIM3⁺ cells and markedly increased their antitumor immunity, suggesting that maintaining a high number and/or proportion of CEACAM1+TIM3+ cells is effective for the control of tumor growth. Our study provides a new window of analyzing exhausted CD8 T cell subsets; however, further studies on detailed characteristics of CEACAM1-TIM3-defined subsets are needed.

Recent studies have shown that terminal exhaustion inherits strong epigenetic scars in CD8 T cells, which could not be cured by "passive" methods such as removal of chronic antigen stimulation (36–38). Rather, more "active" methods are needed to reinvigorate terminally exhausted CD8 T cells. Several studies have shown that CD8 T cells from tumor environment can be reinvigorated by immune checkpoint blockades, gene manipulations, and exogenous cytokine treatments (27, 44, 53, 60, 61). However, most of these studies investigated at the scope of overall antitumor immunity, overlooking the heterogeneity of CD8⁺ TILs. Our data, combining in vitro exhaustion and Retro-X Tet-one system, showed that Klf4 expression could reinvigorate the effector function of exhausted CD8 T cells, in part, by restoring the transcriptional and epigenetic status of genes related to effector functions such as Ifng, Jun, and Klf3. Although we did not dissect whether Klf4 expression causes dedifferentiation and rejuvenation of terminally exhausted CD8 T cells or just strengthens the effector function of the cells, our study suggests that KLF4 may serve as an effective reinvigorating factor for cancer immune therapy. Further studies will be required to understand the mechanistic role of KLF4 in reinvigorating exhausted CD8 T cells and to improve the efficacy of the process.

Last, our TCGA data analyses suggested that KLF4 expression positively correlates with the prognosis of patients with cancer. The expression of KLF4 and CEACAM1 was positively correlated with the expression of effector signature genes and survival rates of patients with COAD and KIRC. Similarly, the abundance of KLF4-expressing human counterparts of transitory effector subsets was also positively correlated with favorable prognosis among patients with KIRC. Although these correlations were observed only in certain types of cancer, our results support that KLF4 plays an important role also in regulating human cancer. Moreover, we found that up-regulation of KLF4 expression by anti-PD1 increased the survival rates of patients with melanoma. The increase of KLF4 by anti-PD1 suggests two possibilities: anti-PD1 directly increases the expression of KLF4 on CD8⁺ TILs or anti-PD1 increases the number of KLF4-expressing transitory effector CD8 T cells. Up-regulated KLF4 expression might increase CD8 T cell differentiation into transitory effector subsets and the effector function. Meanwhile, because anti-PD1 is known to increase the number of progenitor exhausted CD8 T cells, the number of transitory effector CD8 T cells is also likely to increase upon the

treatment. In either case, anti-PD1 therapy may increase the function and/or the number of transitory effector CD8 T cells, thereby effectively controlling human cancer. We also noticed that the increase of *KLF4* was detected only within responders, while the expression did not change within nonresponders. The results imply that the responsiveness of anti-PD1 therapy may positively correlate with increased *KLF4* expression, suggesting that a combinatorial therapy using anti-PD1 with *KLF4* inducers would be an efficient way of treating cancer.

In conclusion, our study demonstrates that KLF4 promotes CD8 T cell differentiation into cytolytic transitory effector CD8 T cells, enhances their effector function during the exhaustion process, blocks reaching into terminal exhaustion, and reinvigorates the exhausted cells (fig. S14). Thus, the potential effect of KLF4 on CD8 T cell exhaustion can be highlighted in terms of antitumor immune therapy. A major limitation of the study is a lack of understanding on the molecular mechanism of how KLF4 expression is regulated during the exhaustion process. Further studies are required to discover any signal and/or factor(s) regulating KLF4 expression during the exhaustion process.

MATERIALS AND METHODS

Study design

The aim of this study was to understand the role of KLF4 on CD8 T cells specifically in the context of exhaustion. To examine the expression of KLF4 on exhausted CD8 T cells, we used in vitro CD8 T cell exhaustion model and mouse tumor model, as well as scRNA-seq analyses. In addition, we combined retroviral transduction of KLF4 on CD8 T cells with the models and used a cKO mice model to figure out the role of KLF4 on antitumor immunity. To investigate whether KLF4 could reinvigorate exhausted CD8 T cells, we used a modified in vitro exhaustion model in which KLF4 could be induced after the exhaustion process, not during the activation stage. Last, to apply the results to human patients with cancer, we analyzed TCGA data by various analytical tools to examine the correlation between gene expression and tumor prognosis.

Mice

 $Klf4^{fl/fl}$ mice were purchased from Mutant Mouse Resource & Research Centers. C57BL/6, *Rag2* KO, OT-I, and *E8i*-cre were purchased from the Jackson Laboratory. PmelI mice were gifted by E. J. Park (Korea National Cancer Center). *Klf4* cKO mice were generated by crossing *Klf4*^{fl/fl} mice with *E8i*-cre mice for deletion of *Klf4* on CD8 T cells. *Klf4*^{fl/fl} or *Klf4*^{fl/fl}, mice were used as control mice for comparison with *Klf4* cKO mice (*Klf4*^{fl/fl}; *E8i*-cre). All mice were bred and maintained in specific pathogen–free barrier facilities at Seoul National University and were used according to protocols approved by Institutional Animal Care and Use Committees of Seoul National University.

Cell culture

Tumor cell lines (MC38, MC38-gp100) were cultured in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS; Gibco, HyClone), streptomycin (100 U/ml), and penicillin. Platinum-E (Plat-E) cells were cultured in DMEM supplemented with 10% FBS (Gibco, HyClone), streptomycin (100 U/ml), and penicillin, and with blasticidin (10 µg/ml) and

puromycin (1 μ g/ml) for selection. All primary cells (CD8 T cells) were cultured in RPMI supplemented with 10% FBS (Gibco, HyClone), streptomycin and penicillin (100 U/ml), and 2-ME (2-mercaptoethanol).

In vitro CD8 T cell exhaustion experiment

In vitro CD8 T cell exhaustion was performed as described (17) with little modification. Briefly, magnetic-activated cell sorting (MACS)purified naïve CD44^{low} CD8 T cells from OT-I mice were cultured with RPMI medium supplemented with mouse interleukin-7 (mIL-7) (5 ng/ml), mIL-15 (5 ng/ml), and OVA peptide (257 to 264; 10 ng/ml). After 24 hours, cells were washed twice with RPMI medium and stimulated with the same condition as stated before. The daily stimulation process was repeated for additional 4 days, and cells were harvested at day 5 for further experiments. To generate cytokine only samples, cells were cultured without OVA peptide; and for single stimulated samples, cells were stimulated with OVA peptide for 2 days and cultured without OVA peptide for another 3 days. In case of retroviral transduction of CD8 T cells, the cells from day 1 (24 hours stimulated) were retrovirally transduced with MigRI, Klf4, pRetroX-GFP, and pRetroX-Klf4 and repeatedly stimulated until day 5. For reinvigoration experiments using Retro-X Tet-one system (Takara Bio), the cells were treated with OVA peptides and doxycycline (500 ng/ml) for additional 2 days.

Transfection and production of retrovirus

A total of 2.5×10^6 Plat E cells were seeded on 60-mm plates in DMEM without antibiotics; and after 6 hours, attached cells were transfected with MigRI, MigRI-*Klf4*, pRetroX-GFP, and pRetroX-*Klf4* (10 µg) according to calcium phosphate transfection methods. Briefly, DNA–calcium chloride mix was added onto 2× HBS (HEPES buffured saline) along with weak vortexing. After 30 min of a resting period, mix was gently pipetted and added to the plate dropwisely. Cells were cultured overnight at 37°C. On the next day, plates were gently washed twice with warm medium or phosphate-buffered saline (PBS) and added 3 ml of fresh DMEM without antibiotics. After 48 hours, viral supernatants were harvested and filtered through 0.45-µm filter (ADVANTEC). Prepared viral supernatants were used directly for transduction or snap-frozen and stored at -70° C.

Retroviral transduction of CD8 T cells

For efficient retroviral transduction of CD8 T cells, we isolated blasting CD8 T cells using Percoll enrichment method as described (62). Briefly, OT-I or PmelI CD44^{low} naïve CD8 T cells were MACSenriched and activated with OVA peptide (10 ng/ml) or gp100 peptide (1 µg/ml), soluble anti-CD28 (2 µg/ml), and mIL-2 (100 U/ml) for 18 to 24 hours. Then, cells were density gradient–centrifuged with 30%/60% Percoll for 20 min at 2000 rpm, 25°C. Cells on the interface layer were harvested and spin-infected for 90 min at 2000 rpm, 30°C in the presence of polybrene (8 µg/ml). After spin infection, cells were incubated at 37°C for 30 min and harvested for further experiments.

Tumor transplantation and CD8 T cell adoptive transfer experiment

A total of 3×10^5 MC38/MC38-gp100 tumor cells (less cultured than 3 weeks from thawed cell stock) were subcutaneously injected into the shaved-right flank of mice. For CD8 T cell adoptive transfer

experiments, 5×10^5 retrovirally transduced PmelI CD8 T cells were transferred into MC38-gp100 tumor–inoculated *Rag2* KO mice through tail vein. Tumor size was monitored every 2 to 3 days, and tumor cells were harvested on days 14 to 18 from inoculation for further analyses.

Isolating TILs from tumor tissue

Tumor tissues were minced using scissors, and digestion solution [RPMI medium supplemented with collagenase IV (1 mg/ml), deoxyribonuclease I (100 μ g/ml), 1% FBS, antibiotics, and 2-ME] was added. Samples were incubated at 37°C for 30 min and stirred with a magnetic stirrer. After that, samples were passed through 70- μ m strainers, and RPMI medium (10% FBS, antibiotics, and 2-ME) was added. Cells were washed and density gradient–centrifuged using 40%/70% Percoll for 20 min at 2000 rpm, 25°C. Interfaced cells were harvested and washed twice with 1× PBS. Then, prepared samples were used for further experiments.

Flow cytometry and cell sorting

Single-cell suspensions were prepared by passing through a strainer to get rid of cell debris. ACK (Ammonium-Chloride-Potassium) lysing buffer was added to the cells and incubated at room temperature for 3 min to remove red blood cells. After that, PBS was added up to 10 ml and washed. In case of using MACS for an enrichment of naïve CD8 T cells, experiments were performed according to the manufacturer's protocol (Miltenyi Biotec). Cells were treated with Fc Block (BD Biosciences) for at least 20 min and stained with monoclonal antibodies in various combinations in 1× PBS for 15 to 30 min. Flow cytometry analyses were performed using FACS-Canto II (BD Biosciences), and Sony Sorter SH-800 (Sony) and FACSAria II (BD Biosciences) were used for cell sorting. Data were analyzed using FlowJo v10 software. The antibodies used are as follows: The following antibody conjugates were purchased from BD Biosciences: Biotin-conjugated mouse lineage (Lin) panel that contains anti-B220 (RA3-6B2), anti-CD3e (145-2C11), anti-Gr-1 (RB6-8C5), anti-CD11b (Mac1, M1/70), and anti-Ter-119 antibodies; CD62L (MEL-14)-PerCP/Cy5.5; IFN-y (XMG1.2)-allophycocyanin (APC); Ly108 (13G3)-Alexa Fluor 647; and Fc Block (2.4G2). The following antibody conjugates were purchased from BioLegend: LAG3 (C9B7W)-PE-Cy7, TIGIT (1G9)-phycoerythrin (PE)-Cy7, TIM3 (RMT3-23)-PE, TIM3 (RMT3-23)-APC, TNF-a (MP6-XT22)-APC-Cy7, CEACAM1 (Mab-CC1)-PerCP/Cy5.5, and CX3CR1 (SA011F11)-PerCP/Cy5.5. The following antibody conjugates were purchased from Miltenyi Biotec: GzmB (REA226)-PE, TOX (REA473)-PE, and TOX (REA473)-APC. The following antibody conjugates were purchased from Invitrogen: CD44 (IM7)-APC, CD45 (HI30)-PerCP-Cy5.5, CD45.1 (A20)-APC eFluor780, CD45.2 (104)-PE-Cy7, CD8 (53-6.7)-fluorescein isothiocyanate (FITC), CD8 (53-6.7)-APC-Cy7, CD8 (53-6.7)-PE; CTLA-4 (UC10-4B9)-Biotin, Eomes (Dan11mag)-PE-Cy7, Ki-67 (SolA15)-Biotin, PD-1 (J43)-FITC, T-bet (4B10)-PE, CD69 (H1.2F3)-PerCP-Cy5.5, and TOX (TXRX10)-PE. TCF1/ TCF7 (C63D9)-Alexa Fluor 647 and Phospho-c-Jun (Ser⁶³, 54B3)-Biotin were purchased from Cell Signaling Technology.

Intracellular staining of cytokine and transcription factors

For intracellular staining of cytokine, cells were treated with phorbol 12-myristate 13-acetate (50 ng/ml), ionomycin (1 μ g/ml), and brefeldin A for 4 hours. In case of OT-I CD8 T cells, the cells

were treated with OVA peptide (10 ng/ml) and brefeldin A for 6 hours. Then, intracellular cytokine staining was performed according to BD Biosciences protocol. For intracellular staining of transcription factors, staining was performed according to the eBioscience protocol. In case of detecting GFP signal, because Fix/Perm from BD Biosciences and eBioscience causes loss of GFP signal, cells were prefixed with 4% paraformaldehyde for 20 min in ice. After that, cells were washed with 1× PBS and added Fix/Perm from BD Biosciences/eBioscience.

Quantitative reverse transcription PCR

Total RNA was extracted from cells using TRI Reagent according to the manufacturer's instructions (Molecular Research Center Inc.). Equivalent quantities of total RNA were reverse-transcribed with the Quantitect Reverse Transcription Kit (QIAGEN). Complementary DNAs (cDNAs) were diluted and were analyzed by quantitative real-time polymerase chain reaction (PCR) analysis (Applied Biosystems, StepOnePlus). The expression of each gene was normalized to *Actb* expression. The primer sets used in experiments are listed in table S1.

Motif analysis

Motif analysis for searching consensus motifs in inhibitory receptor gene promoters was performed using the MEME Suite (63). For comparing motifs against a database of known motifs, TomTom analysis (64) was performed. For discovering motifs from the ATAC-seq peak regions, MEME-ChIP was used (65).

scRNA-seq analysis

Mouse scRNA-seq analysis was performed using data from GSE116390. All data processing and analysis were performed using galaxy (66). Filtered 3574 cells expressing Cd8a, Cd8b1, and Cd2 but not Cd4 were kept for further analysis (processed data available in Gene Expression Omnibus (GEO) entry). Among the cells, Pdcd1-expressing 2652 cells were filtered. Cell size normalization was performed using Scanpy as target sum 10,000, and then, the data matrix was logarithmized. For dimensionality reduction, we identified highly variable genes using Scanpy as Seurat computing method: minimal mean cutoff, 0.0125; maximal mean cutoff, 3; and minimal normalized dispersion cutoff, 0.5. Then, data were scaled to unit variance and zero mean. A total of 1987 highly variable genes were used for dimensionality reduction using PCA. We chose 10 neighbors for a K-nearest neighbor (KNN) graph, the Euclidean distance metrics, and the uniform manifold approximation and projection (UMAP) method to compute the connectivities. Louvain graph clustering method was used to cluster neighborhood graph: vtraag method, resolution 0.3. The expression of *Tbx21*, *Tnf*, Cx3cr1, Ets1, Klrk1, Ifng, Klrd1, Klrg1, S1pr1, Klf3, Foxj3, Hoxb4, Nfyb, Atf2, Sp3, and Maz was scored for the signature genes of transitory/intermediate exhausted CD8 T cells, which are known to positively correlate with Tbx21 expression and CD8 T cell effector functions (15). Marker genes for each cluster were analyzed by comparing each cluster to the union of the rest of the cluster using Wilcoxon rank sum method. UMAP visualization and violin plots were plotted using Scanpy.

Human scRNA-seq analysis was performed using data from GSE184880. Datasets from seven patients with HGSOC were merged and analyzed. Among the cells, *CD14*-expressing monocytes, *CD79A*-expressing B cells, and *EPCAM*-expressing tumor

cells were filtered out, and CD8A-expressing cells were kept for $CD8^+$ TIL analysis (n = 3137). Cell size normalization was performed using Scanpy as target sum 10,000, and then, the data matrix was logarithmized. For dimensionality reduction, we identified highly variable genes using Scanpy as Seurat computing method: minimal mean cutoff, 0.0125; maximal mean cutoff, 3; and minimal normalized dispersion cutoff, 0.5. Then, data were scaled to unit variance and zero mean. A total of 2338 highly variable genes were used for dimensionality reduction using PCA. We chose 22 neighbors for a KNN graph, the Euclidean distance metrics, and the UMAP method to compute the connectivities. Louvain graph clustering method was used to cluster neighborhood graph: vtraag method, resolution 0.45. The expression of TRANSI-TORY gene sets (JUNB, BTG1, TNFAIP3, BTG2, DUSP1, PNRC1, NR4A1, NFKBIA, DUSP2, and ZFP36) was scored for signature genes of transitory effector human counterparts. UMAP visualization and violin plots were plotted using Scanpy.

Bulk RNA-seq library preparation and analysis

OT-I CD8 T cells transduced with MigRI (n = 3)/Klf4 (n = 3) were repeatedly stimulated with OVA peptide in vitro and then harvested. Total RNA was extracted using TRI Reagent according to the manufacturer's instructions (Molecular Research Center Inc.). cDNA libraries were prepared using the TruSeq stranded mRNA Sample Preparation Kit (Illumina, CA, USA). cDNA libraries were quantified with the KAPA library quantification kit (Kapa Biosystems, MA, USA) according to the manufacturer's library quantification protocol. Agilent 2100 BioAnalyzer (Agilent, CA, USA) was used to evaluate the quality of these cDNA libraries. Sequencing was performed as paired-end [2 × 150 base pairs (bp)] using an Illumina NovaSeq6000 (Illumina, CA, USA) by Theragen Bio sequencing service (Theragen Bio Co. Ltd., Seongnam, Gyeonggi, Korea). The ends of the reads less than Phred quality score of 20 and the adapter sequences were trimmed. In addition, the reads shorter than 50 bp were removed by using cutadapt (v2.8) (67). Aligner STAR (v.2.7.1.a) (68) was used to map filtered reads to the reference genome (mm10), and RSEM (v.1.3.1) (69) was used to estimate gene expression. FPKM (Fragments per kilobase of transcript per million mapped fragments), RPKM (Reads per kilobase of transcript per million mapped reads), and TPM (Transcripts per million) values were calculated to normalize the sequencing depth among the samples. The TCC (Tag count comparison) R package (v.1.26.0) (70) was used to normalize and compare the tag count data. To identify physiologically meaningful gene expression changes, genes with read counts lower than 10 and/or RPKM lower than 2 were filtered out. Normalization factors were calculated using the iterative DEseq2 method (71). The DEGs were identified on the basis of the P value less than 0.05 and an absolute value of fold change over 2. GO analysis was performed using Metascape (72). For GSEA, GSEA 4.1.0 software was used.

RNA-seq of tumor-infiltrating CD8 T cells using SMART-seq

PmelI CD8 T cells transduced with MigRI/*Klf4* were adoptively transferred into MC38-gp100-inoculated Rag2 KO mice on day 7. The tumor-infiltrating CD8 T cells transduced with MigRI (from four mice) and *Klf4* (from four mice) were pooled (GFP⁺ cells were sorted) on day 15. For cKO study, PD1⁺CD8⁺ TILs from tumor tissues of four control mice and six *Klf4* cKO mice were pooled on day 14. Total RNA was extracted from the isolated

cells using TRI Reagent according to the manufacturer's instructions (Molecular Research Center Inc.). The RNA isolated from each sample was used to construct sequencing libraries with the SMART-Seq v4 Ultra Low Input RNA Kit for Illumina, following the manufacturer's protocol. cDNA libraries were quantified with the KAPA library quantification kit (Kapa Biosystems, MA, USA) according to the manufacturer's library quantification protocol. Agilent 4200 TapeStation (Agilent, CA, USA) was used to evaluate the quality of these cDNA libraries. Sequencing was performed as single-end (100 bp) using an Illumina NovaSeq6000 (Illumina, CA, USA) by Macrogen sequencing service (Macrogen Inc., Seoul, Korea). Low-quality reads were filtered out, and adaptor sequences were trimmed. HISAT (Hierarchical indexing for spliced alignment of transcripts) (v.2.1.0) (73) was used to map filtered reads to the reference genome (mm10), and StringTie (v.2.1.3b) (74) was used to estimate gene expression. Size factor for read count data was calculated using edgeR (calcNormFactors), and counts were TMM (trimmed mean of M values)-normalized. DEG analysis was performed using edgeR (75). The DEGs were identified on the basis of the P value less than 0.05 and an absolute value of fold change over 2. GO analysis was performed using Metascape (72) and g:Profiler (76). For GSEA, GSEA 4.1.0 software was used.

ATAC-seq library preparation and analysis

A total of 50,000 cells from naïve OT-I CD8 T cells (n = 3), effector OT-I CD8 T cells (n = 3), pRetroX-GFP/Dox⁺ (n = 3), and pRetroX-*Klf*4/Dox⁺ CD8 T cells (n = 2) were prepared for ATAC-seq samples. Naïve OT-I CD8 T cells were treated with OVA peptide (10 ng/ml), anti-CD28 (2 µg/ml), and mIL-2 (100 U/ml) for 2 days to generate effector OT-I CD8 T cells. For generation of gene-induced exhausted CD8 T cells, OT-I CD8 T cells transduced with pRetroX-GFP/ pRetroX-Klf4 were repeatedly stimulated with OVA peptide in vitro. After that, cells were cultured with OVA peptide (10 ng/ ml), anti-CD28 (2 µg/ml), mIL-2 (100 U/ml), and doxycycline (500 ng/ml) for 2 days. Gene-induced cells (GFP⁺) were isolated using flow cytometry. DNA libraries of each group were prepared using an ATAC-Seq kit (Cell Biologics) according to the manufacturer's instructions. DNA libraries were quantified with the KAPA library quantification kit (Kapa Biosystems, MA, USA) according to the manufacturer's library quantification protocol. Agilent High Sensitivity D1000 ScreenTape (Agilent, CA, USA) was used to evaluate the quality of these DNA libraries. Sequencing was performed as paired-end (2 × 150 bp) using an Illumina NovaSeq6000 (Illumina, CA, USA) by Theragen Bio sequencing service (Theragen Bio Co. Ltd., Seongnam, Gyeonggi, Korea). All data processing was performed using galaxy (66). Raw ATAC-seq FASTQ files were trimmed with cutadapt. Samples were aligned to the mm10 reference genome using Bowtie2. Unmapped, unpaired, and mitochondrial reads were removed using BamTools filter. PCR duplicates were removed using Picard MarkDuplicates. Peak calling was performed using MACS2. Then, we combined the peaks of all samples to create union peaks and merged overlapping peaks using bedtools mergeBed. The number of reads in each peak was calculated using BedCov. DARs were identified using DEseq2 and P value cutoff < 0.05. bigWig files were generated from Bam files using bamCoverage with BPM (bins per million) normalization, and genome tracks were visualized using pyGenomeTracks and Integrative Genomics Viewer (v2.11.2). We used MEME-ChIP to search for enriched

Cancer patient data analysis

Data from TCGA were analyzed using various analytic tools (39– 41). Kaplan-Meier survival analysis was performed using OncoLnc (39) and Prism8 (GraphPad software). Gene expression correlation analysis was performed using GEPIA2 (40). Scoring of CD8 T cell infiltration and correlation with gene expression were calculated using TIMER2.0 (41). RNA-seq data and clinical information of patients with melanoma were acquired from the published report (45).

For analyzing abundance of the cell types and survival rates of patients with cancer, count matrix of 10 cell types was generated from the single-cell analysis, and the signature matrix was generated by CIBERSORTx (42). scRNA-seq mode was used with quantile normalization option and min. Expression was set to 0. Then, TPM expression data of 532 patients with KIRC were downloaded from TCGA and were deconvoluted using the signature matrix. The analysis was performed using S-mode batch correction with quantile normalization and absolute mode options. Calculated abundances were used for survival analysis of patients with cancer. All other options in CIBERSORTx were conducted as in default option unless stated otherwise.

Statistical analysis

For calculations of statistical significance, Prism 8 (GraphPad software) was used. Data are presented as means \pm SEM and were analyzed using two-tailed Student's *t* test unless stated otherwise. *P* values less than 0.05 were considered to be significant.

Supplementary Materials

This PDF file includes: Figs. S1 to S14 Table S1

View/request a protocol for this paper from Bio-protocol.

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