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# New insights into *Xenopus* sex chromosome genomics from the Marsabit clawed frog *X. borealis*

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# Abstract

In many groups, sex chromosomes change frequently but the drivers of their rapid evolution are varied and often poorly characterized. With an aim of further understanding sex chromosome turnover, we investigated the polymorphic sex chromosomes of the Marsabit clawed frog, *Xenopus borealis*, using genomic data and a new chromosome-scale genome assembly. We confirmed previous findings that 54.1 Mb of chromosome 8L is sex-linked in animals from east Kenya and a lab strain, but most (or all) of this region is not sex-linked in natural populations from west Kenya. Previous work suggests possible degeneration of the Z chromosomes in the east population because many sex-linked transcripts of this female heterogametic population have female-biased expression, and we therefore expected this chromosome to not be present in the west population. In contrast, our simulations support a model where most or all of the sex-linked portion of the Z chromosome from the east acquired autosomal segregation in the west, and where much genetic variation specific to the large sex-linked portion of the W chromosome from the east is not present in the west. These recent changes are consistent with the hot potato model, wherein sex chromosome turnover is favoured by natural selection if it purges a (minimally) degenerate sex-specific sex chromosome, but counterintuitively suggest natural selection failed to purge a Z chromosome that has signs of more advanced and possibly more ancient regulatory degeneration. These findings highlight complex evolutionary dynamics of young, rapidly evolving Xenopus sex chromosomes, and set the stage for mechanistic work aimed at pinpointing additional sex determining genes in this group.

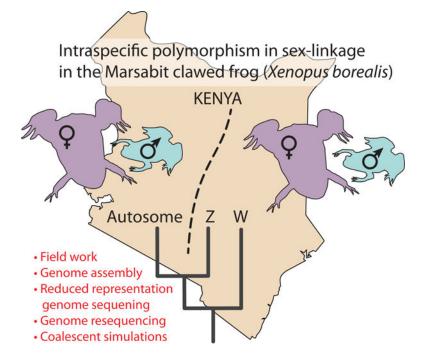
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Data accessibility

Genomic data from four wild-caught *X. borealis* individuals is deposited in the NCBI SRA (BioProject PRJNA616217). Sanger sequences are deposited in GenBank (accession numbers: OM436355 – OM436380; OM489937 – OM490010). The draft genome assembly for *X. borealis* and the associated sequencing data were deposited into the NCBI Genbank and SRA databases, respectively, under BioProject PRJNA827809. Genotype files are deposited in Dryad: https://doi.org/10.5061/dryad.3tx95×6k0

## **Graphical Abstract**

In most or all frogs, sex is genetically determined but the genes that control this crucial process evolve rapidly. To understand how, we explored an example of intraspecific polymorphism in sex chromosomes in the Marsabit clawed frog throughout its range in Kenya. Genome sequences, a new chromosome-scale genome assembly, and simulations suggest that the large sex-linked region in east Kenya is not present in west Kenya and that most or all of the Z chromosome of the east population segregates autosomally in the west. The sex chromosomes of the west population thus may be recently evolved and/or contain a small region of sex-linked recombination suppression. The findings illustrate how rapid evolution of genetic triggers for sex determination can have genome-wide effects on recombination in structured populations.



### Keywords

Recombination suppression; rapid evolution; sex chromosome turnover; amphibians

### Introduction

### Sex chromosome turnover and sex-linked recombination suppression

Sex chromosomes evolve from autosomes that acquire genetic variation that initiates sexual differentiation. Sex determination is a developmental gateway for reproduction whose initiation is triggered by genes that are highly conserved in eutherian mammals (Graves, 2008), birds (Zhou et al., 2014), and lepidopterans (Fraisse et al., 2017, Yoshido et al., 2020). However, sex chromosomes of other groups such as squamate reptiles (Gamble et al., 2015, Pennell et al., 2018), teleost fish (Pennell et al., 2018), and amphibians (Evans et al., 2012, Jeffries et al., 2018, Ma & Veltsos, 2021, Pennell et al., 2018) change frequently; some – and perhaps many – of these changes are also associated with origins of novel

triggers for sex determination. This contrasts sharply with highly conserved developmental control of several other traits such eyes (Onuma et al., 2002), limbs (Cass et al., 2021), and non-gonadal organs (Irie & Kuratani, 2011), raising the question of why there is such diversity in the genetic basis of sex determination (Bachtrog et al., 2014, Palmer et al., 2019).

Multiple factors may favour sex chromosome turnover and the origin of novel triggers for sex determination. These factors include genetic drift (Bull & Charnov, 1977), sexual antagonism (van Doorn & Kirkpatrick, 2007, van Doorn & Kirkpatrick, 2010), selection against the genetic load of sex-linked mutations (Blaser et al., 2013, Blaser et al., 2014), selection against unbalanced sex ratios (Bull, 1983), including in association with meiotic drive (Jaenike, 2001, Úbeda et al., 2015, Yoshida & Kitano, 2012), and selection against balanced sex ratios under conditions with inbreeding (Werren & Hatcher, 2000).

Some of these factors also could lead to the origin and expansion of recombination arrest in sex-linked regions, including expansion being favored by natural selection or occurring due to neutral evolution (Jeffries et al., 2021, Charlesworth & Wall, 1999, Fisher, 1931, Bull, 1983, Rice, 1987). Natural selection could favour recombination suppression if sexlinkage resolves genomic conflict associated with mutations with sexually antagonistic fitness effects (Fisher, 1931, Charlesworth & Charlesworth, 1980, Bull, 1983, Rice, 1987), or to avoid sterile or intersex progeny (Zou et al., 2021), compartmentalize genes with sex-specific function (Bachtrog et al., 2014), or ward off effects of deleterious recessive mutations in the heterogametic sex (Charlesworth & Wall, 1999). Neutral evolution could lead to expansion of recombination arrest by inversions or nucleotide substitutions (Ironside, 2010, Jeffries et al., 2021). Recombination arrest also could be a pre-existing (ancestral) condition of genomic regions that favors the origin of sex-determining genes (Sun et al., 2017, Sardell et al., 2018). Pre-existing recombination arrest could be linked to natural variation between the sexes in the rates and locations of recombination that stems from fundamental differences in male and female meiosis (Brandvain & Coop, 2012), including the possibility of sex-specific achiasmy (i.e., no recombination in one sex). Factors that counterpose expansion of non-recombining regions include an inability to evolve dosage compensation mechanisms (Adolfsson & Ellegren, 2013) or, in the absence of neutral expansion, a dearth of potential drivers of expansion (e.g., few mutations with sexually antagonistic fitness effects or resolution of genomic conflict by other mechanisms such as sex-biased expression).

# African clawed frogs (*Xenopus*) as models of rapid evolution of sex determination and sex chromosomes

Used in the early 20th century for pregnancy tests (Gurdon & Hopwood, 2000, Weisman & Coates, 1941), African clawed frogs have since been transformed into powerful model organisms for biological inquiry. Being vertebrates, these frogs have extensive homology in genetic function with humans. They are diverse and characterized by frequent allopolyploidization (Supplement), are readily maintained and propagated in captivity, have external fertilization and embryonic development, and a myriad of biological resources have been developed, including chromosome-scale genome assemblies and methods for gene

editing (Harland & Grainger, 2011, Mitros et al., 2019, Session et al., 2016, Tandon et al., 2016, Cannatella & de Sá, 1993, Hellsten et al., 2010). Especially in the last decade, another exciting utility of *Xenopus* has come into focus: this group has high variation in (evolutionarily) young genetic sex determination systems that offer unique insights into how new sex chromosomes and sex determination pathways evolve.

Cytogenetic studies indicate that *Xenopus* species have cytogenetically indistinguishable (homomorphic) sex chromosomes (Tymowska, 1991). Mapping of genetic variation from reduced representation genome sequencing (RRGS) and microsatellites to high quality genome assemblies (Hellsten et al., 2010, Session et al., 2016, Mitros et al., 2019) has identified sex-linked regions in the diploid *X. tropicalis* (chromosome 7, hereafter Chr7) and the allotetraploid *X. mellotropicalis* (one of the homeologous copies of Chr7), *X. laevis* (Chr2L), and *X. borealis* (Chr8L; Furman et al., 2016, Furman et al., 2020, Olmstead et al., 2010, Wells et al., 2011, Roco et al., 2015, Cauret et al., 2020, Mitros et al., 2019, Mawaribuchi et al., 2017, Yoshimoto et al., 2008, Furman & Evans, 2018, Song et al., 2020). Using the same approach, the sex chromosomes of two other diploid species from the same family (Pipidae) also have been identified: *Hymenochirus bottgeri* and *Pipa parva* (Chr4 and Chr6, respectively; Cauret et al., 2020). With the exception of *X. tropicalis*, which has a complex system for sex determination discussed below, all *Xenopus* species thus far have heterogametic (ZW) females; *H. bottgeri* also has heterogametic females whereas *P. parva* has heterogametic males (Cauret et al., 2020).

#### Sex chromosomes of the Marsabit clawed frog, Xenopus borealis

The Marsabit clawed frog, *Xenopus borealis*, is an allotetraploid species (Supplement) with geographically structured variation in newly evolved sex chromosomes (Song et al., 2020, Furman & Evans, 2016, Furman & Evans, 2018). In *X. borealis* from east Kenya and a lab strain, females are heterogametic and there is a large female-linked region spanning the first ~54Mb of Chr8L (Furman & Evans, 2016, Furman & Evans, 2018, Song et al., 2020). The lab strain was inferred to have originated from central Kenya based on analysis of ancestry components (Li, 2011) of RRGS data (Song et al., 2020). However, in *X. borealis* from west Kenya a large sex-linked region is not evident and it is unclear whether males or females are heterogametic (Song et al., 2020). This difference in sex-linkage corresponds with inferred population structure based on analyses of molecular variation in the mitochondrial and nuclear genomes (Song et al., 2020). In multiple tissue types and developmental stages of the lab strain, sex-linked transcripts tend to be more highly expressed in females than males (Song et al., 2020). One of several possible explanations is that the Z chromosome of this strain once was a degenerate Y chromosome (Song et al., 2020).

Several scenarios could account for the intraspecific variation in sex-linkage in *X. borealis*. Here we consider three scenarios that differ in whether the sex chromosomes in the east are ancestral to or derived from those in the west, whether Chr8L is sex-linked in one or both populations, and whether the sex determining locus is the same in these populations (Fig. 1).

• Scenario 1: the sex determining gene is the same in both populations and the region of suppressed recombination expanded in the east. Both populations have female heterogamy and the large female-specific region in the east is derived

from a much smaller region in the west (e.g., due to an inversion on the W chromosome in the east). A prediction associated with Scenario 1 is that W- and Z- linked variants from the east are present in the west but mostly are not sex-linked.

- Scenario 2: the sex chromosomes in the east are newly evolved and not homologous to the sex chromosomes in the west. This could happen via "nonhomologous" sex chromosome turnover (van Doorn & Kirkpatrick, 2010), where the location of the ancestral sex chromosomes in the west population was somewhere other than the first ~54 Mb on Chr8L, or via a "homologous" sex chromosome turnover where the location of the ancestral sex chromosomes in the west population is within the first ~54 Mb on Chr8L but involves a mechanism of sex determination in the west (e.g. a male-determining gene or a different female-determining gene) that is different from the one in the east. Heterogametic females would be expected in the west if an ancestral W chromosome from the west was lost in the east population (Bull & Charnov, 1977). Heterogametic males would be expected in the west if an ancestral X chromosome from the west was lost in the east population (Bull & Charnov, 1977). Similar to Scenario 1, a prediction associated with Scenario 2 is that W- and Z-linked variants in the east are present in the west but are not sex-linked.
- Scenario 3a: the sex chromosomes in the west are newly evolved, the W chromosome from the east went extinct in the west, and the sex-linked portion of the Z chromosome from the east acquired autosomal segregation in the west. Following the reasoning of (Bull & Charnov, 1977), the new system in the west should have heterogametic females with a dominant female-determining factor on a new W chromosome. This scenario could involve a homologous or non-homologous sex chromosome turnover in the west. A prediction associated with Scenario 3a is that many Z-linked SNPs in the sex-linked region from the east are fixed in both sexes in the west.
- Scenario 3b: the sex chromosomes in the west are newly evolved, the Z chromosome from the east went extinct in the west, and the sex-linked portion of the W chromosome from the east acquired autosomal segregation in the west. The new system in the west should have heterogametic males with a male-determining factor on a new Y chromosome that is dominant over the female determining factor on the ancestral W chromosome. This scenario could involve a homologous or non-homologous sex chromosome turnover in the west. A prediction associated with Scenario 3b is that many W-linked SNPs in the sex-linked region from the east are fixed in both sexes in the west.

Each of these scenarios has distinct genomic predictions, and the power to evaluate them with genomic data depends on (i) the extent of recombination suppression surrounding the sex-linked region in the west, (ii) the extent of divergence between regions of recombination suppression, and (iii) the nature of the data (specifically, the genome-wide density of variable positions, the proportion of these genomic positions that are genotyped in each individual, and the number of individuals genotyped).

To evaluate these scenarios, we generated a new draft genome assembly for *X. borealis* and used it to re-analyze reduced representation genome sequences (RRGS) from a lab strain and wild caught individuals. We also collected and analyzed new genomic data from male and female individuals from the west and east populations of *X. borealis* and a lab strain, and we followed up inferences using Sanger sequencing of wild caught *X. borealis* and individuals of *X. fraseri*, which is closely related to *X. borealis*. We also performed simulations to test the fit of different evolutionary scenarios to the genomic data. Our results evidence variation between *X. borealis* populations in the extent of sex-linked recombination suppression. They further support an intraspecific turnover event in which much of the sex-linked portion of the Z chromosome from the east segregates as an autosome in the west, and where much of the genetic variation on the sex-linked portion of the W chromosome from the east is absent in the west. Below we discuss these findings in the context of current understanding of sex chromosome genomics in *Xenopus* and argue that functional studies of sex-determining genes are a crucial next step for understanding the biology of *Xenopus* sex chromosomes.

### Methods

### A draft genome assembly for X. borealis

DNA was extracted from lysed blood of a female frog that was obtained from Nasco (Fort Atkinson, WI, USA). Short insert Nextera and TruSeq libraries were prepared, respectively, by Jessica B. Lyons and by the Functional Genomics Laboratory at the University of California Berkeley, and then sequenced on the Illumina HiSeq 2500 (NCBI-SRA:SRR18802894-SRR18802896) by the Vincent J. Coates Genomics Sequencing Laboratory at the University of California Berkeley (VCGSL). Nextera mate pair libraries were prepared and sequenced on the Illumina HiSeq 2500 (NCBI-SRA:SRR18802888 and SRR18802889) by the HudsonAlpha Institute for Biotechnology. A Chicago in vitro proximity ligation library was prepared by Dovetail Genomics and sequenced on the Illumina HiSeq 2500 (NCBI-SRA:SRR18802892 and SRR18802893) by the VCGSL. Using a liver sample from a male frog, also from Nasco, a DpnII Hi-C library was prepared by Dovetail Genomics and sequenced on the Illumina HiSeq 2500 (NCBI-SRA:SRR18802890 and SRR18802891) by the VCGSL. The short insert data were adapter trimmed with ea-utils fastq-mcf version 1.04.807–18-gbd148d4 (Aronesty, 2013). The mate pair data were adapter trimmed and split with NxTrim version 0.4.1-53c2193 (O'Connell et al., 2015) and filtered using nxtrim\_pipeline.sh version 1.0 (Bredeson et al., 2021).

Trimmed data were then assembled with Meraculous version 2.2.4 (Chapman et al., 2011). This assembly was scaffolded with Chicago and Hi-C data using the Dovetail Genomics HiRise algorithm (Putnam et al., 2016). The mitochondrial genome was assembled from adapter trimmed data using organelle\_pipeline.py version 1.0 (Bredeson et al., 2021) and NOVOPlasty version 2.6.3 (Dierckxsens et al., 2017), starting with other Pipidae mitochondrial assemblies available on NCBI as input seeds. The assembly was screened with general\_decon.sh version 1.0 (Mudd et al., 2020) to identify archaea, bacteria, virus, and vector contaminants using the respective RefSeq and UniVec databases, queried using mt\_decon.sh version 1.0 (Mudd et al., 2020) against the mitochondrial assembled sequence, and filtered using nt\_decon.sh version 1.0 (Bredeson et al., 2021) against the

NT database and other completed frog assemblies. The assembly was then run through align\_pipeline.sh version 1.0 (Bredeson et al., 2021) to identify and remove duplicate haplotype sequences. Scaffolds smaller than one kb were removed from the final assembly with Seqtk version 1.3-r106 (https://github.com/lh3/seqtk). Chromosomes were named according to the corresponding chromosomes in *X. tropicalis* version 9 (Mitros et al., 2019) and *X. laevis* version 9 (Session et al., 2016) based on alignment using MUMmer version 4.0.0 (Marcais et al., 2018), and scaffolds were numbered in order of decreasing size using SeqKit version 0.7.2-dev (Shen et al., 2016). The above sequencing reads and draft assembly are deposited under NCBI BioProject PRJNA827809. Assembly statistics were calculated using the Genome Assembly Annotation Service (GAAS) (https://github.com/NBISweden/GAAS).

### RRGS data and analysis of sex-linkage

RRGS data from a lab strain and wild-caught individuals were obtained from GenBank, including 49 X. borealis lab strain individuals (PRJNA319044; Furman & Evans, 2016) and 54 X. borealis wild-caught individuals (PRJNA616217; Song et al., 2020). These data were trimmed using Trimmomatic version 0.39 (Bolger et al., 2014), and mapped to the draft X. borealis genome assembly using Bwa version 0.7.17. The HaplotypeCaller, CombineGVCFs, and GenotypeGVCF functions of the Genome Analysis Toolkit (GATK) version 4.1 (McKenna et al., 2010) were used to call genotypes for each sample and combine them into a joint genotype file. The VariantFiltration and SelectVariants functions of GATK were used to filter low quality genotypes. Positions with the following attributes were removed: QD > 2.0, QUAL < 20, SOR > 3.0, FS > 60.0, MQ < 30.0, where these acronyms respectively refer to variant confidence/quality by depth (QD), genotype quality (QUAL), Symmetric Odds Ratio of 2×2 contingency table to detect strand bias (SOR), Fisher exact test for strand bias (FS), and map quality (MQ). PLINK version 1.9 (Purcell et al., 2007) was used to assess sex-linkage of single nucleotide polymorphisms (SNPs) from the RRGS data that mapped to any of the 18 chromosome assemblies; data from unplaced scaffolds was excluded.

#### New whole genome sequencing (WGS) data from geographic isolates

New genomic data were generated from a male and female *X. borealis* individual from east Kenya (field identification numbers BJE4536 and BJE4515, respectively, both from Wundanyi, Kenya) and a male and female individual from west Kenya (BJE4442 and BJE4441, respectively, both from Lukhome, Kenya). Specimens and genetic samples for these individuals are deposited at the Museum of Comparative Zoology at Harvard University, USA (MCZ Herpetology A-153183, MCZ Herpetology A-153181, MCZ Herpetology A-153148, MCZ Herpetology A-153147, respectively). These data have been deposited in the NCBI-SRA (BioProject PRJNA616217). The new genomic data were obtained using PCR-free library prep and sequencing each on 1/6<sup>th</sup> of a lane of a Novaseq S4 machine with paired-end 150 base pair reads. We analyzed these new data along with published genomic data from a female and male individual from our lab strain (BioProject PRJNA421481). We mapped these genomic data to the draft *X. borealis* genome and called genotypes using the same procedures detailed above for the RRGS data, except that a

de-duplication step was performed for the genomic data using Picard (Development\_team, 2019) before genotyping. Coverage of the six individuals ranged from 30–46X.

# Analysis of sex chromosome turnover in the west using genomic data and Sanger sequences

To evaluate the degree to which genetic variation in the sex-linked portion of the W or Z chromosome from the east is present in the west, a Perl script was used to identify W-linked and Z-linked SNPs in the sex-linked region of Chr8L of the two wild individuals from the east population (one female, one male) and the two lab strain individuals (one female, one male), and then evaluate genotypes at these positions in the two west individuals (one female, one male). The females from the east and lab strain have a large sex-linked region spanning the first 54.1 Mb of Chr8L (Results; Furman & Evans, 2016, Furman & Evans, 2018, Song et al., 2020). W-linked SNPs were defined as being present in heterozygous genotypes of both females and not present in homozygous genotypes of the males from the east and lab strain in the first 54.1 Mb of Chr8L; and Z-linked SNPs were defined as being the other nucleotide at positions that had a W-linked SNP. Positions with more than two variants in the six individuals were not considered. For this analysis, we required high quality genotypes that passed our genotype filters for all six individuals. Then, for each homologous position in the west on Chr8L below 54.1 Mb, we tabulated the frequencies of genotypes comprised of W-linked and Z-linked SNPs in the genotypes in the male and female individual from the west. We classified them as being either homozygous for an east W-linked nucleotide, homozygous east Z-linked nucleotide, or heterozygous for these nucleotides. These data were analyzed in 100,000 bp windows for the entire 54.1 Mb region of Chr8L. For comparison we repeated this exercise on the non-sex-linked portion (above 54.1 Mb) of Chr8L.

To explore whether the WGS data provided discernable signals of sex-linkage in other genomic regions, pairwise nucleotide diversity of polymorphic sites (hereafter  $\pi$ ) was calculated in 100,000 bp windows using the scripts in the general\_genomics repository (Martin, 2021). This statistic was calculated from RRGS data and from variable positions only; consequently, the values of  $\pi$  are higher than the actual pairwise nucleotide diversity (which would also include invariant sites) and standardizing these values by the size of the genomic window (which we do not attempt here) is expected to lead to downward biased estimates (Korunes & Samuk, 2021).

As detailed in the Supplement, Sanger sequencing of wild caught individuals was used to follow up regions of Chr8L that were potentially sex-linked in the west and on Chr7S in the east which, as discussed below, delivered a false signal of sex-linkage in RRGS data from a small number of individuals.

### Simulations

We performed coalescent simulations using ms (Hudson, 2002) to explore the plausibility of evolutionary scenarios discussed above and in the Supplement (Supplementary Methods, Fig. 1). For these simulations, the relative effective population sizes of the east and west populations were based on analysis of pairwise nucleotide diversity of polymorphic sites

in the genomic data from wild caught individuals from these populations after excluding the region below 54.1 Mb on Chr8L, which is sex-linked in the east population and lab strain (described further in the Supplement). The relative effective populations sizes of the W and Z chromosomes in the east population was assumed to be 1:3. The likelihood of these models was evaluated using a rejection sampling approach (Weiss & von Haeseler, 1998), as described the Supplement.

### Results

### A new draft genome assembly for X. borealis

The draft genome assembly for *X. borealis* was 2.75 Gb, including 558 Mb of Ns, in a total of 23,147 contigs that were greater than 1 kb, and 1,481 contigs that were greater than 10 kb. The N50, N90, L50, and L90 statistics were 145,564,450, 105,895,007, 8, and 17 respectively, where these statistics indicates the size of the contig along with all larger contigs that comprise 50% or 90% of the total genome length, and the number of contigs that make up 50% or 90% of the total genome size. These statistics indicate that more than 90% of the genome is contained within 17 chromosome-scale scaffolds. The GC content not counting Ns was 38.7%.

### Sex-linkage of Chr8L in the east but not the west based on RRGS data

With the new draft genome assembly as a reference, we were able to replicate previous findings that used the *X. laevis* genome sequence as a reference (Furman & Evans, 2016, Furman & Evans, 2018, Song et al., 2020), including female heterogamy and sex-linkage below 54.1 Mb of Chr8L in the east population and a lab strain of *X. borealis*, and a genome-wide absence of a large sex-linked region in the west population of *X. borealis* (Fig. 2).  $\pi$  of the sex-linked region of Chr8L (<54.1 Mb, Fig. 3 in red) in *X. borealis* from east Kenya is consistently higher in females compared to the non-sex-linked region of the same chromosome (Fig. 3 in blue) and compared to both of these regions in males (Fig. 3, right side), suggesting divergence between the female-specific and sex-shared portions of the W and Z chromosome, respectively. Within females,  $\pi$  in the sex-linked region is more modest in individuals with lower coverage (Individuals BJE4516, BJE4541; Figs. 3, S1), although still consistently higher than the non-sex-linked region. Divergence between the sex-linked portions of the W and Z chromosomes is also evidenced by higher heterozygosity in the sex-linked region in east females, which are ZW, compared to east males, which are ZZ, but similar levels of heterozygosity in other parts of Chr8L (Fig. S1).

#### A spurious signature of sex-linkage on Chr7S in the east

Using the new draft genome for *X. borealis* as a reference, linkage analysis of genotypes from RRGS data from wild-caught *X. borealis* enabled us to detect a previously unidentified region on the first 23.0 Mb on Chr7S in the east population of *X. borealis* with an unexpected signature of sex-linkage (Fig. 2). This region of Chr7S has high  $\pi$  in some individuals from each sex (three females, two males; Fig. S2), and is not sex-linked in the laboratory strain or in the west population of *X. borealis* (Fig. 2).

When a subset of five east individuals with high  $\pi$  were analyzed, there was no evidence of substantial sex-linkage below 23 Mb on Chr7S, but when only the other five individuals with low  $\pi$  below 23 Mb on Chr7S were considered, this region was still strongly sex-linked in the remaining two females and three males (not shown). Inspection of significantly sex-linked sites in the second subset revealed that the signature of sex-linkage was because the two females were mostly homozygous for one haplotype block below 23 Mb on Chr7S, whereas the three males were mostly homozygous for a different diverged haplotype block below 23 Mb on Chr7S. This pattern is evidenced in a plot of RRGS genotypes on Chr7S in the east population of *X. borealis* (Fig. S3).

We followed up these inferences from RRGS data by Sanger sequencing two variable regions below 23 Mb on Chr7S in wild caught individuals from the east (six females and five males for both regions, Table S1). Genotype inferences were identical to the RRGS data and again were inconsistent with a pattern of sex-linkage where one sex was heterozygous and the other was homozygous. Overall, these results are inconsistent with the possibility that this region is a neo-sex chromosome because we were unable to identify sex-specific SNPs. Instead, it appears that sex-linkage of this portion of Chr7S is a combined consequence of a relatively small sample size (ten individuals) coupled with an unusually large region of linkage disequilibrium that, while carried by both sexes, also happened to have different overall frequencies in a small number of individuals from each sex (two females, three males). Possible explanations for this large haplotype block are discussed further below.

# W-specific and Z-specific variation from the east are present in genomic data from both sexes in the west

Previous analyses using RRGS data from wild caught *X. borealis* and the *X. laevis* genome assembly as a reference failed to identify a signal of sex-linkage in *X. borealis* from west Kenya (Song et al., 2020), raising the question of where in the genome the sex determining locus in this population resides. Using genomic data from six individuals (one female and one male from the east, west and a lab strain), we identified W-linked and Z-linked SNPs in the east and lab females and then evaluated how frequently these SNPs and their genotypes occurred in a female and male from the west. For comparison, we also performed this analysis on the non-sex-linked (pseudoautosomal) portion of Chr8L.

In the individuals from the east and lab strain, as expected, far more SNPs had a pattern consistent with W- and Z-linkage in the sex-linked region below 54.1 Mb on Chr8L (n = 101,543) compared to the non-sex-linked region above 54.1 Mb on Chr8L (n = 1,456; Table 1). This is because the region below 54.1 Mb on Chr8L has many divergent sites between the sex-linked portions of the W and Z chromosomes, whereas the region from 54.1 – 123.8 Mb is the pseudoautosomal (recombining) portion of the sex chromosome where SNPs are not sex-linked in the east or lab strain. For convenience hereafter, genomic positions that satisfy our criteria for sex-linkage in the east and lab strain (see Methods) are called "eligible" to distinguish them from other variable positions that did not satisfy our criteria for sex-linkage. We refer to the variants at eligible positions above 54.1 Mb with a W-linked genotype pattern as "pseudo-W-linked", because these pseudoautosomal variants

are not actually sex-linked, and we do the same for the corresponding "pseudo-Z-linked" variants.

In principle, eligible positions could have any of three possible genotypes in the genomic data from each of the two individuals from west Kenya: homozygous W-linked, homozygous Z-linked, or heterozygous W/Z-linked. Below 54.1 Mb, the frequencies of each of these three genotypes were essentially identical in the west male and female, which is consistent with no sex-linked variation on most or all of Chr8L in the west. The proportion of eligible positions below 54.1 Mb that were homozygous for east W-linked SNPs in both west individuals (15%) was similar to the proportion of sites above 54.1 Mb on Chr8L that were homozygous for east pseudo-W-linked SNPs in both west individuals (14%; Table S2). However, the proportions of eligible positions below 54.1 Mb that were homozygous for east Z-linked SNPs in both west individuals was far higher (76%) than the proportions of eligible positions above 54.1 Mb on Chr8L that were homozygous for east pseudo-Z-linked SNPs in both west individuals (50%; Table S2). A substantially lower proportion of eligible positions below 54.1 Mb were heterozygous for east W- and Z-linked SNPs in both west individuals (2%) compared to the proportion of genotypes above 54.1 Mb were heterozygous for east pseudo-W- and pseudo-Z-linked SNPs in both west individuals (12%). Similarly, lower proportions of eligible positions were heterozygous for east W- and Z-linked SNPs in one of the two west individuals below 54.1 Mb (range: <1-2%) compared to above 54.1 Mb for east pseudo-W- and pseudo-Z-linked SNPs (range: <1-9%).

The ratio of female to male polymorphism in 100kb genomic windows for each of the three pairs of individuals from the east, lab strain, and west supports several inferences from the RRGS data discussed above (discussed in the Supplement; Fig. S4). Variation in this ratio and principal components analysis of the WGS data (Fig. S5) highlights variation between the male and female lab strain individual that is also evidenced by analysis of ancestry components when the number of components is greater than three (Song et al., 2020). Together, this is consistent with a complex history of the lab strain that may include ancestry from multiple founder populations and episodes of inbreeding (Supplement).

# Simulations support autosomal segregation in the west of much of the genetic variation in the sex-linked portion of the east Z chromosome

Using neutral coalescent simulations, we simulated evolution of sex-linkage below 54.1 Mb on Chr8L using three models (Fig. 1) that coarsely match the Scenarios discussed above. We analyzed these simulations in the same way that we did for the WGS data, in that we searched for W-linked and Z-linked variants in simulated individuals from the east and lab strain, and then quantified the frequencies of genotypes at these positions in simulated individuals from west. With several caveats presented below, the simulations provide the strongest support for Scenario 3a (Figs. 1, 4) in which sex-linkage of this region in the east as aucosomal segregation in the west, and the sex-linked portion of the Z chromosome from the east has autosomal segregation in the west. The natural logarithm of the maximum likelihood values for Scenario 1&2 (Fig. 4, left), Scenario 3a (Fig. 4, center) and Scenario 3b (Fig. 4, right) are -9.115, -7.370, and -8.740. The maximum likelihood parameter values for  $\tau_0$  and  $\tau_1$  (in units of

 $4N_A$ , where  $N_A$  is the effective population size of the ancestral lineage in each model; Supplement) for Scenario 1&2 are 0.3 and 0.3, for Scenario 3a are 3.1 and 1.3, and for Scenario 3b are 0.5 and 0.4. Based on Akaike Information Criterion weights (Wagenmakers & Farrell, 2004), Scenario 3a is 6.1 times more likely to be the best fitting model than Scenario 3b, and 8.8 times more likely to be the best fitting model than Scenarios 1&2.

### Sanger sequencing does not identify sex-linked variation on Chr8L in the west population

Although the coalescent simulations provide the strongest support for Scenario 3a, we nonetheless further explored the possibility that X. borealis from east and west Kenya both have a homologous sex-determining region on Chr8L but with differently sized sex-linked regions of recombination suppression, which would be consistent with Scenario 1 (Fig. 1). Using the genomic data as a guide, we attempted to identify a sex-linked portion of Chr8L in the west female that is homologous to the sex-linked regions of the east and lab female. In 100,000 base pair genomic windows we searched for heterozygous genotypes below 54.1 Mb on Chr8L in the genomic data from the west female that were also heterozygous in genomic data from females from the east and the lab strain, but homozygous in the three male genomes (one each from the east, lab strain and the west population). One region was identified (27-30 Mbs) with a high density of genotypes that matched this pattern, and a weaker signal was also present from 37-40 Mbs (Fig. S6). However, Sanger sequencing of six amplicons (Table S3, Fig. S6) in 6–29 wild caught females and 3–19 wild caught males failed to recover female-linked SNPs in the west, suggesting that these regions in fact are not sex-linked in the west population. Instead, these regions appear to be genomic patches that happened to have low heterozygosity in the male individual from the west that we sequenced.

### No signal of sex-linkage in one region of Chr8L in X. fraseri

*Xenopus borealis* is sister to a clade that includes *X. fischbergi* and *X. fraseri* (Evans et al., 2015, Evans et al., 2019). If the sex-linked region in the west population were newly evolved relative to the east population (Scenarios 3a or 3b, Fig. 1), it is possible that other species that are closely related to *X. borealis* might have a sex-linked region on Chr8L that is homologous to the east population of *X. borealis*. As a preliminary exploration of this possibility, we sequenced a portion of *sox3*, which is located at 37.85 Mb on Chr8L in the new *X. borealis* genome assembly, in nine *X. fraseri* females and four *X. fraseri* males. We identified four segregating polymorphisms (three SNPs and a one base pair insertion/ deletion), but all of these variants were present in both sexes. These preliminary findings do not support sex-linkage of this gene in *X. fraseri*. Interpretations of these findings are discussed below.

### Low variation in depth of coverage on Chr8L and Chr7S

To explore the possibility that portions of Chr8L or Chr7S that were sex-linked or spuriously sex-linked, respectively, in the east population were duplicated or deleted, depth of coverage was quantified for the genomic data for each of the six individuals. The median coverages of each portion of each chromosome were similar within each individual (Figs. S7, S8) and minor variation in depth of coverage was generally population-specific rather than sex-specific (Figs. S9, S10). These results are inconsistent with sex-linked variation in

large scale duplications or deletions on either of these chromosomes. Analysis of depth of coverage on unplaced scaffolds also failed to recover evidence of substantially sized, sex-specific differences in the WGS data from the west individuals (Supplement).

### Discussion

# *Xenopus borealis* has intraspecific variation in sex chromosomes with small (west population) or large (east population) regions of sex-linked recombination suppression

Using a new chromosome-scale genome assembly for X. borealis, new genomic data, and previously published RRGS data, we confirmed previous findings that used the X. laevis genome assembly as a reference (Furman & Evans, 2016, Furman & Evans, 2018, Song et al., 2020) that a large sex-linked region exists below 54.1 Mb on Chr8L in individuals from east Kenya (Figs. 2, 3) and in a lab strain. Also consistent with previous findings (Song et al., 2020), in the west population we found no evidence of a substantially-sized sex-linked region anywhere in the genome, suggesting that the sex-linked region of this population is small. We detected diverged haplotype blocks below 23 Mb on Chr7S that delivered a false signal of sex-linkage in a small sample of individuals (Fig. 2; S2). This large haplotype block is evidenced by atypically high heterozygosity in individuals that were heterozygous for two diverged blocks and atypically high homozygosity in individuals that were homozygous for one block (Fig. S3). In frogs, 11 Y-chromosome/autosome fusions have been identified, but there are no known examples of a fusion between a W-chromosome and a portion of an autosome (Ma & Veltsos, 2021). Thus, it is perhaps unsurprising that our efforts were unable to authenticate sex-linkage of any region of Chr7S in the east population of X. borealis. Plausible mechanisms behind the haplotype block on Chr7S in the east population of X. borealis include introgression and a segregating inversion. Currently available genomic data reported here include short read WGS and RRGS data from east individuals. There are technical challenges to genotyping large structural variants with short read data, including regions of reference genomes with unknown sequence (and thus no mapped reads) and repetitive regions. Further studies with long reads or cytogenetic approaches are needed to evaluate evidence of inversions on Chr7S and Chr8L.

### The ancestral state of X. borealis sex chromosomes

Using genomic data, RRGS data, and Sanger sequencing of wild caught individuals, our efforts to identify a sex-linked region of Chr8L in *X. borealis* from west Kenya were unsuccessful. This indicates that Scenario 1 (Fig. 1) is only plausible if a sex-linked region on Chr8L is very small. In the genomic data from the two west individuals, genotypes below 54.1 Mb on Chr8L that are homozygous for Z-linked variants from the east are substantially more common (78% of eligible sites) than genotypes above 54.1 Mb on Chr8L that are homozygous for genetic variants from the east (59% of eligible sites; Table 1). Compared to the other scenarios discussed above (Fig. 1), simulations suggest that these genotype frequencies are far better explained by a coarse model of Scenario 3a (Fig. 1, right-center) in which most genetic variation from the sex-linked portion of the Z chromosome from the east population has autosomal (or pseudoautosomal) segregation in the west population (Fig. 4, center).

One question raised by these results asks why so many W-linked variants from the east were found in homozygous genotypes (17%) in the west below 54.1 Mb on Chr8L when Scenario 3a posits that the W chromosome went extinct in the west. The simulations provide an explanation. Many of these positions were initially (ancestrally) invariant across the W and Z chromosome in the east. Many Z-linked variants in the east and lab individuals then arose through new mutations on the east Z chromosome that occurred after the Z-linked portion of this chromosome had already begun segregating as an autosome in the west. Put another way, a substantial portion of the W-linked variants in the east and west are ancestral to derived Z-linked variants in the east. Moreover, the maximum likelihood estimate of the timing of the onset of autosomal segregation of the east Z chromosome in the west  $(1.3*4N_A)$ generations) is ~40% of the estimated timing of the onset of recombination suppression between the W and Z chromosome in the east  $(3.1*4N_A \text{ generations}; \text{Fig. 4})$ . This indicates that fixation of much of the genetic variation of the Z-linked portion of Chr8L in the west occurred many generations ago and that a substantial proportion of east and lab strain Z-linked variation is specific to the east population and lab strain (and not found in the west population).

There are several caveats to these simulations. One is that recombination in the west population was not simulated. Inclusion of recombination in the west population is expected to reduce the variance in coalescent times of segregating mutations, but not the mean coalescent time (Hudson, 1990). Clearly there are alternative demographic models, especially ones with more parameters, that are possible and that may provide better fits to the data. For example, we did not consider the possibility that recombination suppression on Chr8L arose in an ancestor of the west and east population prior to the origin of population structure and subsequent loss of extensive Chr8L sex-linkage in the west. Additionally, demographic changes in nature generally do not occur instantaneously, the effects of natural selection were not incorporated in these simulations, and the simulations may not capture nuances associated with recombination suppression between the sex-linked portions of the W and Z chromosomes in the east, such as incremental expansion of recombination suppression. We did not attempt to assess the effect of these simplifying assumptions. These caveats in mind, our simulations allowed us to evaluate the relative strengths of the models that we considered, but clearly do not prove that any particular model is correct.

Unfortunately, our efforts to identify a sex-linked region in the west were unsuccessful, which could have provided further evidence for or against the evolutionary scenarios considered here. If there is a very small sex-linked region <54.1 Mb on Chr8L in the west population that is shared with the east population, gene flow between the east and west could influence the distribution of genetic variation in these populations in ways that are not captured by the simulations. If this is the case, the female-linked region in the east is expected to be situated on the end of a chromosome where recombination in females tends to be lower compared to the centers of the chromosomes (discussed further in the Supplement; Furman & Evans, 2018, Sardell & Kirkpatrick, 2020). Further scrutiny of genomic variation in natural populations of *X. borealis* in the west will permit testing of these expectations, and a more robust evaluation of intraspecific sex chromosome demography in *X. borealis*. In this study we also report hints that the closely related species *X. fraseri* may have a different and/or smaller sex-linked region compared to the east

population of *X. borealis*. Additional study of sex-linked regions in *X. fraseri* and other closely related species (*X. muelleri*, *X. fischbergi*) may offer insights origin and age of sex-linked recombination suppression in the east population of *X. borealis*.

In another *Xenopus* species – *X. tropicalis* – there are three functionally distinct sex chromosomes: W, Y, and Z, and the W chromosome is dominant for femaleness over the Z, and the Y chromosome is dominant for maleness over the W and Z (Roco et al., 2015). Female *X. tropicalis* have either of two sex chromosome genotypes: WZ or WW and males have three: ZZ, WY, or ZY. Analysis of molecular variation suggests that the sex determining regions of the W and Y chromosome are in similar genomic locations on one end of Chr7 (Furman et al., 2020, Mitros et al., 2019). Interestingly, all three *X. tropicalis* sex chromosomes naturally co-occur in at least two populations in Ghana even though some crosses result in offspring with a skewed sex ratio (Furman et al., 2020, Roco et al., 2015). Further study of *X. borealis* on a fine geographic scale in nature is needed to identify the sex-linked region in the west and east populations geographically co-occur, and if so, whether there is a dominance hierarchy for sex determination among them, and whether certain crosses produce offspring with a skewed sex ratio.

To the extent that Scenario 3a is indeed a reasonable approximation of the true demography of X. borealis sex chromosomes, why the west population of X. borealis might have experienced a sex chromosome turnover event remains unclear. Under the hot potato model, sex chromosome turnover is favored by natural selection because it purges a "degenerate" sex-chromosome with impaired function due to reduced efficacy of natural selection in non-recombining genomic regions (Blaser et al., 2013, Blaser et al., 2014). Sex chromosome degeneration generally involves sex-specific sex chromosomes (e.g., the W or Y chromosomes) rather than sex-shared sex chromosomes (e.g., the Z or X chromosomes), and the recent loss of the east W chromosome in the west population matches the expectations of this model. Counterintuitively however, sex-linked transcripts of a lab strain of X. borealis tend to have female-biased expression at multiple developmental stages and tissue types, which suggests degeneration of the Z rather than the W chromosome, perhaps when the east Z chromosome previously was a Y chromosome in an ancestor with male heterogamy (Song et al., 2020). In this context, autosomal segregation of the east Z chromosome is not predicted by the hot potato model, and points to other explanations such as genetic drift (Bull & Charnov, 1977), sex-specific advantages of a new system for sex determination (Bull & Charnov, 1977, Vuilleumier et al., 2007), selection on offspring sex-ratio (Kozielska et al., 2006), or sexual antagonism (van Doorn & Kirkpatrick, 2007).

# A digression on the sex determining gene of *X. laevis*, and future directions for *Xenopus* sex chromosome genomics

In many animals, sex determination is triggered by sex-specific heterozygosity (Bull & Charnov, 1977, Ohno, 1967), such as a dominant-sex specific allele or sex-specific differences in gene dosage (Graves, 2013). A striking discovery in the biology of *Xenopus* is the female-determining gene *dm-w* in the allotetraploid species *X. laevis* (Yoshimoto et al., 2008). *Dm-w* is a partial duplicate of the male-related gene *dmrt1*–specifically the

homeolog of *dmrt1* that resides in the S-subgenome (*dmrt1S*) even though *dm-w* resides in the L subgenome on Chr2L (please see the Supplement for further discussion of *Xenopus* subgenomes)(Yoshimoto et al., 2008, Bewick et al., 2011). Surveys using PCR and targeted capture sequencing identified orthologs of *dm-w* in several species in subgenus *Xenopus* but not species from subgenus *Silurana* (Bewick et al., 2011, Cauret et al., 2020), indicating that this gene evolved recently in *Xenopus*. These same assays demonstrate that *dm-w* was lost in several species in subgenus *Xenopus* after it arose, including *X. borealis* (Bewick et al., 2011, Cauret et al., 2020).

Dm-w appears to occur exclusively in females in wild-caught X. laevis and X. gilli, suggesting a homologous *dm-w*-based mechanism of female sex determination in this pair of species, However, in several *Xenopus* species *dm-w* is found in both male and female individuals. In X. itombwensis, dm-w occurs in all individuals of both sexes and appears to have autosomal segregation, and in several other species (X. pygmaeus, X. clivii, and X. victorianus) dm-w occurs relatively frequently in males (>15%), and in at least two species (X. pygmaeus, X. clivii) dm-w does not occur in some females (>10%) (Cauret et al., 2020). This pattern suggests that *dm-w* had a dynamic functional evolutionary history that includes gene loss (e.g., X. borealis), sidelining on an autosome (X. itombwensis), a potential role as an "influencer" of female sex determination that sometimes segregates as a female-specific allele (e.g., X. pygmaeus, X. clivii, X. victorianus), and eventual empowerment in the ancestor of X. laevis and X. gilli where it is a completely sex-linked master regulator of female differentiation that segregates as a female-specific allele (Cauret et al., 2020). The absence of *dm-w* in at least 11 species in subgenus *Xenopus* points to variation in the mechanisms that sexual differentiation in these species that extends beyond that the species whose trigger for sex determination has been mapped to specific sex chromosomes (discussed above; Cauret et al., 2020). New findings reported here suggest that the west population of X. borealis may be added to the list of Xenopus with novel and uncharacterized sex determining systems.

Future work is needed to build on the fascinating discovery of *dm-w* by mapping sex-linked regions of other *Xenopus* species (and populations), and by identifying what variation within these regions is responsible for orchestrating sexual differentiation. The first part of this can be accomplished using genomic approaches such as those in this study, but is challenged by the difficulty of obtaining live animals from remote parts of Africa for captive breeding, which is helpful for identifying very small sex-linked regions, such as that of the west population of *X. borealis.* The second part can be accomplished using gene editing (Tandon et al., 2016), and the best species/populations to work with are ones that have a small region of sex-linkage, such as *X. tropicalis*, the west population of *X. borealis*, and possibly others, because these have a small number of candidate sex-determining genes. Insights gained from these efforts are many, including making possible the evaluation that sex-biases in recombination influence the genomic locations of sex-determining genes (Sardell & Kirkpatrick, 2020), genetic mechanisms for developmental systems drift of sex determination (True & Haag, 2001), and evaluation of theoretical expectations associated with selection on sex-ratio in nature (Hamilton, 1967, Charnov, 1982).

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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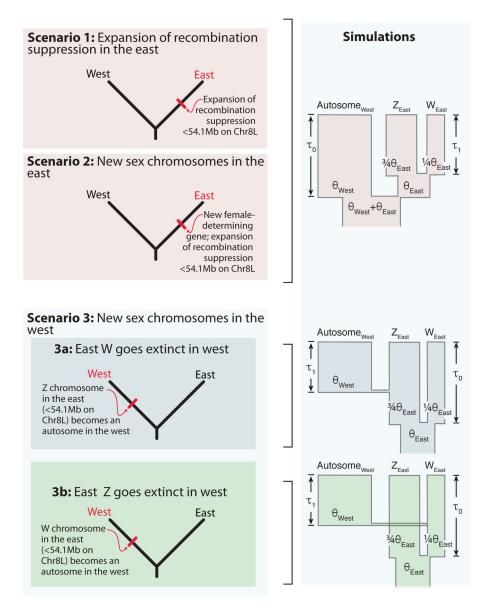
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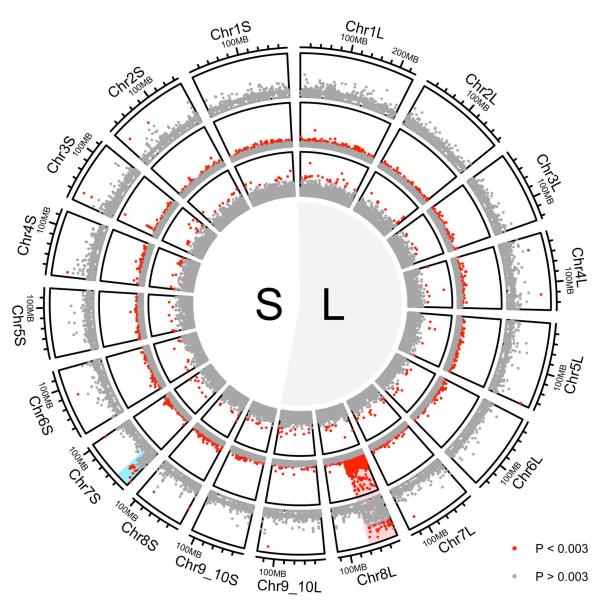
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#### Figure. 1.

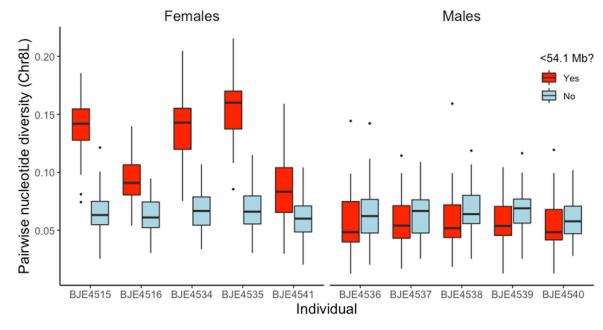
Evolutionary scenarios (left) for the origin of geographically structured variation in X. borealis sex chromosomes with further details provided in the main text. Red font indicates the population with the newest or most recent change in sex chromosomes. Simulations corresponding to these scenarios are depicted on the right with further details provided in the main text and Supplement.  $\theta$  refers to the population polymorphism parameter of the east (East) and west (West) populations, which are equal to  $4N_{e}\mu$ , where  $N_{e}$  is the effective population size and  $\mu$  is the mutation rate, and  $\tau_{0}$  and  $\tau_{1}$  refer to divergence times in  $4N_{e}$  generations.



### Figure 2.

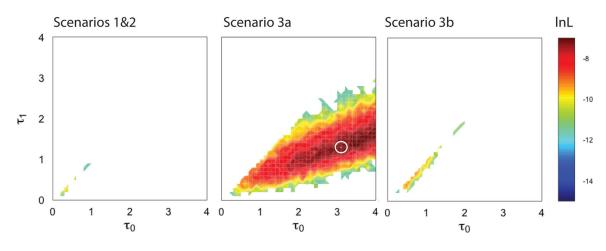
Using the new draft genome assembly for X. borealis and previously published RRGS data, we replicated the finding of sex-linkage of the first 54.1 Mb of Chr8L, highlighted in red, in the east population (outer ring) and lab strain (central ring) (Furman & Evans, 2016, Furman & Evans, 2018) but not the west population (inner ring; Song et al., 2020). We additionally identify a spurious signal of sex linkage below 23 Mb on Chr7S in the east population, highlighted in blue. Colors of dots indicate the negative natural logarithm of the probability of association of genetic variation with sex as indicated in the legend. The sample sizes are five females and five males from east Kenya, 24 females and 22 males from the lab strain, and 16 females and 15 males from the west. S and L refer to X. borealis subgenomes (see Supplement). For the west population, similar results are recovered when RRGS data from four wild caught females and four wild caught males from Njoro (in central Kenya) are added

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### Figure 3.

Pairwise nucleotide diversity of polymorphic sites in 2 Mb genomic windows of the sexlinked (<54.1 Mb, in red) and non-sex-linked (blue) portions of Chr8L in X. borealis from east Kenya based on RRGS data. Genomic data were collected from the left-most female and left-most male.



### Figure 4.

Contour plot of the natural log likelihoods of combinations of parameter settings for Scenario 1&2 (left), Scenario 3a (center) and Scenario 3b (right). Model parameters ( $\tau_0$ ,  $\tau_1$ ) correspond to the time of population subdivision between the east and west and recombination cessation between the W and Z chromosome in the east population as defined in Fig. 1. In all models,  $\tau_1 = \tau_0$  (hence the triangular contour plots). The parameter combinations with the best fit to the observed data are warmer colors; parameter combinations with no accepted simulations are white and a white circle indicates the maximum likelihood parameter combination of the best fit model.

### Table 1.

Counts of genotypes at eligible positions in WGS data from two west individuals above and below 54.1 Mb on Chr8L. Counts of each genotype are followed by percentages in parentheses.

Below 54.1 Mb	Homozygous W-linked	Homozygous Z-linked	Heterozygous	Total eligible positions
West female (BJE4441)	17332 (17%)	78996 (78%)	5215 (5%)	101543
West male (BJE4442)	17606 (17%)	79263 (78%)	4674 (5%)	101543
Above 54.1 Mb	Homozygous pseudo-W-linked	Homozygous pseudo-Z-linked	Heterozygous	Total eligible positions
West female (BJE4441)	257 (18%)	857 (59%)	342 (23%)	1456
West male (BJE4442)	265 (18%)	857 (59%)	334 (23%)	145