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## Sustained Aurora kinase B expression confers resistance to PI3K inhibition in head and neck squamous carcinoma

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### Abstract

Tumor suppressor mutations in head and neck squamous cell carcinoma (HNSCC) dominate the genomic landscape, hindering the development of effective targeted therapies. Truncating and missense mutations in *NOTCH1* are frequent in HNSCC, and inhibition of PI3K can selectively target *NOTCH1* mutant (*NOTCH1<sup>MUT</sup>*) HNSCC cells. In this study, we identify several proteins that are differentially regulated in HNSCC cells after PI3K inhibition based on *NOTCH1* mutation status. Expression of Aurora kinase B (Aurora B), AKT, and PDK1 following PI3K inhibition was significantly lower in *NOTCH1<sup>MUT</sup>* cell lines than in *NOTCH1<sup>WT</sup>* cells or *NOTCH1<sup>MUT</sup>* cells with acquired resistance to PI3K inhibition. Combined inhibition of PI3K and Aurora B was synergistic, enhancing apoptosis *in vitro* and leading to durable tumor regression *in vivo*. Overexpression of Aurora B in *NOTCH1<sup>MUT</sup>* HNSCC cells led to resistance to PI3K inhibition, while Aurora B knockdown increased sensitivity of *NOTCH1<sup>WT</sup>* cells. Additionally, overexpression of Aurora B in *NOTCH1<sup>MUT</sup>* HNSCC cells increased total protein levels of

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Formal analysis: PAS, LS, QW, JW

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AKT and PDK1. AKT depletion in *NOTCH1*<sup>WT</sup> cells and overexpression in *NOTCH1*<sup>MUT</sup> cells similarly altered sensitivity to PI3K inhibition, and manipulation of AKT levels affected PDK1 but not Aurora B levels. These data define a novel pathway in which Aurora B upregulates AKT that subsequently increases PDK1 selectively in *NOTCH1*<sup>MUT</sup> cells to mediate HNSCC survival in response to PI3K inhibition. These findings may lead to an effective therapeutic approach for HNSCC with *NOTCH1* mutations while sparing normal cells.

## Keywords

NOTCH1; HNSCC; Aurora kinase; PI3K; AKT

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## INTRODUCTION

Head and neck squamous cell carcinoma (HNSCC) is common, lethal, and disfiguring cancer with no biomarker-selected, molecularly targeted therapies available. The most recent genomic information available for this disease has not been translated into clinical care largely because the genomic landscape is dominated by tumor suppressors, including *NOTCH1*, which is mutated in about 18% of HNSCCs (1-4). The pattern of truncating and missense *NOTCH1* mutations and supporting laboratory data demonstrate its role as a tumor suppressor in HNSCC (5).

To target genomic alterations in HNSCC, we recently assessed the degree to which responses to PI3K/mTOR pathway inhibitors were associated with gene mutations, mRNA and protein expression in 59 HNSCC cell lines. Remarkably, HNSCC cell lines harboring *NOTCH1* mutations (*NOTCH1*<sup>MUT</sup>) were significantly more sensitive to six drugs targeting PI3K or PI3K/mTOR than wild-type (WT) *NOTCH1* cell lines (6). Additionally, *NOTCH1*<sup>MUT</sup> HNSCC cells treated with PI3K inhibitors underwent significant apoptosis *in vitro* and *in vivo*. In contrast, HNSCC cells with *PIK3CA* mutations exhibited growth arrest, but not cell death, when treated with PI3K inhibitors (7). A clinical trial testing a dual PI3K/mTOR inhibitor in patients with *NOTCH1*<sup>MUT</sup> HNSCC with recurrent or metastatic disease showed modest single-agent clinical activity (NCT03740100, (8))

Modest clinical responses and acquired resistance (AR) are leading causes of failure for otherwise promising and well-tolerated molecular targeted therapies, highlighting the importance of understanding molecular mechanisms of drug resistance. Furthermore, mechanisms of AR may overlap with innate resistance in patients with suboptimal initial responses. In this regard, potential mechanisms of resistance to PI3K inhibitors in *NOTCH1*<sup>WT</sup> HNSCC remain unknown, and this represents a major gap in knowledge. We hypothesized that differentially regulated pathways following PI3K inhibition in sensitive and resistant HNSCC cell lines mediate resistance. In the current study, we determined the expression of over 300 proteins and phosphoproteins in both sensitive *NOTCH1*<sup>MUT</sup> and resistant *NOTCH1*<sup>WT</sup> HNSCC cell lines after PI3K inhibition to identify the key downstream pathways involved in PI3K inhibitor-induced apoptosis. We then examined whether *NOTCH1*<sup>WT</sup> HNSCC could be sensitized to PI3K inhibition through simultaneously targeting of key downstream signaling pathways that mediate apoptosis

in drug-sensitive *NOTCH1<sup>MUT</sup>* HNSCC. We further tested whether these combination therapies could maximize killing of drug-naïve *NOTCH1<sup>MUT</sup>* HNSCC and overcome AR that develops after prolonged single-agent PI3K inhibitor treatment.

Aurora kinase B (Aurora B, *AURKB*) was identified as a key effector molecule downstream of PI3K which was downregulated in drug-naïve *NOTCH1<sup>MUT</sup>* HNSCC compared with drug-resistant derivatives. Co-targeting Aurora B together with PI3K enhanced killing of drug-naïve tumors, including *NOTCH1<sup>WT</sup>* HNSCC, and could reverse AR in *NOTCH1<sup>MUT</sup>* HNSCC. Furthermore, we demonstrated a link between downregulation of Aurora B and PDK1, another important mediator of PI3K inhibition-induced apoptosis we previously identified (6).

To the best of our knowledge, this is the first study to identify Aurora B as a mechanism of resistance to PI3K inhibition in any cancer type. Because *NOTCH1* loss-of-function mutations are common in other squamous cell carcinomas, including those of the skin (9), esophagus (10, 11), and lung (12), our findings may be broadly applicable to many patients.

## MATERIALS AND METHODS

### Cell culture

A panel of 56 HNSCC were obtained and maintained in their respective growth media as previously described (13-15). HNSCC cell lines used extensively in this study- HN31, UMSCC22A, PCI-15B, FaDu, MDA1386TU were a kind gift from Dr. Jeffrey Myers (MD Anderson Cancer Center) whereas HEK293 were purchased from ATCC. These cell lines were cultured in high glucose DMEM supplemented with 10% FBS, 1% Penicillin and Streptomycin and 2mM L-Glutamine and maintained in a humidified incubator with 5% CO<sub>2</sub>. All cell lines were genotyped by short tandem repeat analysis and were mycoplasma-free at the time of testing according to the Mycoplasma Detection Kit (LT07-705, Lonza, Walkersville, MD).

### RPPA analysis

We measured protein levels using reverse phase protein array (RPPA) with a panel of 304 antibodies (Supplementary Table S1) (16) as previously described and performed immunoblotting as explained briefly below. For RPPA data processing, scanned images after hybridization and labeling were quantified using commercial software, MicroVigene (<http://www.vigenetech.com/MicroVigene.htm>) and SuperCurve (17). Our method iteratively fit joint logistic models to all data on a slide and returned summary estimates of log<sub>2</sub> protein expression values for each sample. The expression values were normalized across slides using a median centering approach to adjust for variability in sample loading, inducing consistent differences affecting all arrays in a set.

### Plasmids and reagents

Doxycycline-inducible lentiviral vector for FOXM1b expression in mammalian cells (pCW57.1-FOXM1b) was obtained from Addgene. HA-tagged Aurora B plasmid was a kind gift from Dr. Ramon Parsons (Icahn School of Medicine, Mt. Sinai, NY). The drugs used

in the current study were purchased from Selleck Chemicals (Houston, TX) and MedChem Express (NY; Supplementary Table S2).

### Animal studies

All animal studies were approved by the Institutional Animal Care and Use Committee at The University of Texas MD Anderson Cancer Center and are detailed in the supplementary methods. PASS 13 power analysis and sample size software (2014; NCSS, LLC, Kaysville, UT) was used for the power/sample-size analysis, and investigators carried out these studies unblinded. Subcutaneous xenograft models were generated by injecting *NOTCH1<sup>MUT</sup>* cells into athymic nude mice. Briefly, HN31 ( $0.75 \times 10^6$  cells) or UMSCC22A ( $3 \times 10^6$  cells) were injected subcutaneously on the right flank of the mice. Once the tumor volume reached  $60 \text{ mm}^3$ , mice were randomized in their respective treatment groups. Mice injected with UMSCC22A cells were treated intraperitoneally with 10 mg/kg copanlisib (BAY806946) three times per week. Mice bearing HN31 tumors received 14 mg/kg copanlisib (BAY841236) intraperitoneally, 30 mg/kg alisertib by oral gavage, 50mg/kg barasertib intraperitoneally, or a combination of copanlisib with alisertib or barasertib for 5 days per week for 21 days.

### Apoptosis assay

Apoptosis assays were performed as described previously (6). Briefly, TUNEL staining was carried out with either APO-BRDU (556405, BD Biosciences, San Diego, CA) or APO-DIRECT kit (556381, BD Biosciences), and Annexin V/PI staining was performed with a FITC-Annexin V apoptosis detection kit (556547, BD Biosciences) or PE-Annexin V apoptosis kit (559763, BD Biosciences) at indicated times. Samples were processed at the MD Anderson Flow Cytometry and Cell Imaging Core laboratory with a 3-laser, 10-color Gallios flow cytometer (Beckman Coulter) and analyzed using Kaluza software (Beckman Coulter).

### Western Blotting

Cells were lysed with ice-cold 1X RIPA buffer supplemented with protease and phosphatase inhibitors, and the lysates were centrifuged at  $20,000g$  for 10 minutes at  $4^\circ\text{C}$ . Lysates containing equal amounts of protein were resolved using SDS-PAGE, transferred to PVDF membranes, and incubated with primary antibody overnight. Protein expression was detected using a horseradish peroxidase-conjugated secondary antibody (Bio-Rad) and electrochemiluminescence reagent (32106, ThermoFisher Scientific; or 1705062, Bio-Rad). The primary antibodies used in the study are listed in Supplementary Table S3.

### RNA sequencing

RNA was isolated using the Qiagen RNeasy kit after treating cells with 200nM copanlisib or vehicle for 18 hours. Biological triplicate RNA samples underwent next-generation sequencing at Psomagen to generate an average of approximately 9 million reads per sample, which were mapped, aligned, and quantitated using the RSEM method. Upper quartile normalized fragments per kilobase of transcript per million mapped reads (FPKM<sub>UQ</sub>) values were then calculated using the formula

$$FPKM_{UQ} = \frac{(RSEM) \times 10^6}{\text{effective size} \times 75^{\text{th}} PCTL}$$

Log<sub>2</sub> FPKMUQ values were calculated, and replicate samples were grouped by cell line and treatment. Low-expression genes were removed by filtering out any gene whose maximum group average across all treatments and cell lines was <2 (log<sub>2</sub> space). Differences in gene expression between drug-treated and vehicle-treated values for each cell line were examined for statistical significance by performing multiple *t* tests and applying the Benjamini-Hochberg correction to control the false discovery rate (FDR), and differences with an FDR <0.1 were considered significant. Genes with significant differences were dichotomized into those upregulated (i.e., increased) or downregulated (i.e., decreased) following treatment with copanlisib to identify disjoint and common differentially expressed genes across cell lines.

### Cell viability assay

As described previously (6, 16), cells were treated with DMSO or inhibitors as indicated at seven different concentrations (0-2μM) for 72 hours. Luminescence was measured using CellTiter-Glo (G7570, Promega, Madison, WI) according to the manufacturer's instructions. For the combination screening in 56 HNSCC cell lines, the drexplorer R package with a best fit dose response model was used to calculate inhibitory concentration values (18). The CI values were calculated using the Chou-Talalay method (19-21) using Calcsyn software (Biosoft). CI values <1 are considered synergistic.

### Colony formation assay

Colony formation assay was performed in triplicates as previously described (6). Briefly, cells were seeded in 6-well plates and treated with DMSO or the indicated drugs. After 48 hours of treatment, media was replaced with drug-free media and cells were cultured for 14-21 days. The cell colonies were fixed and stained with crystal violet and analyzed using image J software (NIH, Bethesda, MD).

### Reactive oxygen species experiments

CellROX™ Green Flow Cytometry Assay kit (C10492, ThermoFisher Scientific) was used for the detection of reactive oxygen species in live cells according to the manufacturer's instructions.

### Gene knockdown and overexpression

Cells expressing inducible FOXM1b were generated using lentiviral transduction and selected with 0.5μg/ml puromycin. FOXM1b expression was induced with 100ng/ml doxycycline. Cells constitutively expressing HA-tagged Aurora B, Aurora A, and AKT1 were generated using lentiviral particles obtained from the Functional Genomics Core laboratory at MD Anderson. Cells overexpressing HA-tagged Aurora B were selected with 2 μg/mL puromycin and cells with Aurora A and AKT1 overexpression were selected with 10 μg/mL blasticidin. For gene knockdown in HNSCC cells, siRNA was

transfected with Lipofectamine RNAiMAX (13778-075, ThermoFisher Scientific) according to the manufacturer's instructions. Two specific siRNAs directed against *AURKB* and *AKT1* were obtained from Horizon Discovery (*AurB (1)* -L-003326-00-0005; *AKT1 (1)* -L-003000-00-0005, siRNA SMARTpools), Invitrogen (*AurB (2)* – 4390824) and Santa Cruz Biotechnology (*AKT1 (2)* – sc-44198).

### Statistical analysis

RPPA data analyses were performed using R (version 2.6.3). The two experimental factors in the RPPA data were phenotypes (*NOTCH1<sup>WT</sup>/NOTCH1<sup>MUT</sup>* cell lines) and PI3K treatment (vehicle/omipalisib; Fig. 1A). The limma package in Bioconductor (<https://bioconductor.org/packages/release/bioc/html/limma.html>) was used to compare changes in *NOTCH1<sup>WT</sup>* and *NOTCH1<sup>MUT</sup>* cell lines after treatment, and changes between the two (to identify differentially expressed proteins for treatment between phenotypes), which was the interaction term of the linear model. The Benjamini-Hochberg method (22) was applied to the resulting *P* values to control FDR. All statistical analyses were performed using R version 4.0.1 (R Core Team, 2020) or GraphPad Prism 7. An unpaired *t* test was used to compare the mean of two different groups when the distribution of the population was normal. One-way and two-way analysis of variance were used to compare the means of three or more groups under the assumption of normal distribution, and the Dunnett test or Tukey honestly significant difference test was applied for multiple comparisons. Kruskal-Wallis rank sum test was used to compare the means of more than two groups which didn't follow normal distribution. The Wilcoxon rank sum tests with Benjamini & Hochberg method were used to compare the pairwise groups after Kruskal-Wallis. All *P* values were two-tailed and for all analyses, *P* = 0.05 was considered statistically significant, unless otherwise specified.

### Data availability

The data generated in this study are available within the article and its supplementary data files. Derived data supporting the findings of this study are available from the corresponding author upon request.

## RESULTS

### PI3K inhibition leads to differential expression of Aurora kinases and AKT in *NOTCH1<sup>MUT</sup>* and *NOTCH1<sup>WT</sup>* HNSCC cell lines

To investigate potential mechanisms mediating resistance to PI3K inhibition, we measured the levels of 304 proteins and phosphoproteins using RPPA analysis in three resistant *NOTCH1<sup>WT</sup>* (FaDu, MDA1386TU, SCC25) and three sensitive *NOTCH1<sup>MUT</sup>* (HN31, HN4, UMSCC25) cell lines after 4 and 24 hours of treatment with 50nM omipalisib (Fig. 1A), which is below its peak plasma concentration of 90nM (23). Omipalisib is a potent dual PI3K/mTOR inhibitor that was in clinical development at the time RPPA was performed. Many proteins were affected by PI3K/mTOR inhibition in both *NOTCH1<sup>MUT</sup>* and *NOTCH1<sup>WT</sup>* cell lines, including the expected changes in the PI3K/AKT/mTOR pathway, confirming appropriate and durable pathway inhibition (Supplementary Fig. S1A).



After 24 hours of treatment, 16 proteins were differentially expressed as a result of omipalisib treatment and *NOTCH1* mutation status (interaction  $P < 0.05$  for treatment effect for *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup>) at FDR of 0.01, and 50 proteins were differentially expressed at FDR of 0.05 (Supplementary Fig. S1B, S2A). Differentially regulated proteins included expected markers of apoptosis (Mc11, MDM2) and proliferation (p16, cyclin E1, PCNA, CDK1, Rb) in addition to Aurora B, forkhead box M1 (FOXM1), and several proteins involved in metabolism (glutamate D1, G6PD, ACC1). After 4 hours of treatment, 10 proteins were differentially regulated at an FDR of 0.05 (Supplementary Fig. S1C), but we did not study these because the magnitude of changes in these proteins was small and similar in *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> cells.

For further study, we focused on proteins that were significantly altered after omipalisib treatment only in the *NOTCH1*<sup>MUT</sup> cell lines and proteins that were regulated in opposite directions in the *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> cell lines (Fig. 1B). We used immunoblotting to validate the RPPA findings in two *NOTCH1*<sup>WT</sup> (FaDu, MDA1386TU) and three *NOTCH1*<sup>MUT</sup> (HN31, UMSCC22A, PCI-15B) cell lines and confirmed consistent differences in the regulation of Aurora B and FOXM1 based on *NOTCH1* mutational status following treatment with 50nM omipalisib for 24 hours (Fig. 1C, D). We also examined Aurora kinase A (Aurora A, *AURKA*), although it was not included in the RPPA, because Aurora A is known to regulate Aurora B (24). We detected similar changes in Aurora A protein levels in all *NOTCH1*<sup>MUT</sup> HNSCC cell lines (Fig. 1C, D).

A recent study demonstrating destabilization of Aurora B levels through AKT degradation in *PI3K/PTEN* pathway mutant and WT *KRAS/BRAF* cancers (25) prompted us to investigate the total levels of AKT as well. We identified a remarkable decrease in total AKT levels across all *NOTCH1*<sup>MUT</sup> HNSCC cell lines upon PI3K/mTOR inhibition (Fig. 1C, D). Consistent with our previous findings, we found both a significant downregulation in PDK1 levels (Fig. 1C, D) and evidence of apoptosis in *NOTCH1*<sup>MUT</sup> lines treated with omipalisib (Fig. 1C) (6). Moreover, RPPA showed significant changes in the levels of AKT and phospho-PDK1 selectively in *NOTCH1*<sup>MUT</sup> HNSCC cell lines, although the interaction  $P$  value was  $>0.05$  for total AKT (Supplementary Fig. S2B). In contrast, several proteins identified by RPPA were differentially regulated in some, but not all, of the cell lines based on *NOTCH1* mutation status according to immunoblotting (Supplementary Fig. S1D).

To determine if these expression changes are specific to PI3K and confirm the robustness of our findings, we treated *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> HNSCC cell lines in parallel with omipalisib or copanlisib, which is a potent, well-tolerated (26) pan-PI3K and US Food and Drug Administration–approved drug. Both omipalisib and copanlisib reduced levels of Aurora A/B, FOXM1, PDK1, and total AKT to a much greater extent in *NOTCH1*<sup>MUT</sup> than in *NOTCH1*<sup>WT</sup> cell lines (Fig. 1E, F). Additionally, copanlisib caused a significant increase in apoptosis in *NOTCH1*<sup>MUT</sup> cells (Fig. 1G). Furthermore, we assessed the antitumor efficacy of copanlisib in a subcutaneous model of *NOTCH1*<sup>MUT</sup> HNSCC (UMSCC22A) and found significantly lower tumor volumes compared with the vehicle-treated mice (Fig. 1H). These findings confirm that both pan-PI3K and dual PI3K/mTOR inhibitors cause apoptosis in *NOTCH1*<sup>MUT</sup> HNSCC cells *in vitro* (6) and show that pan-PI3K inhibition is effective *in*

*vivo*. For subsequent mechanistic experiments, we chose to continue with the more specific and clinically relevant drug, copanlisib.

### **Pan-PI3K inhibition leads to reduced Aurora kinase and AKT levels selectively in *NOTCH1*<sup>MUT</sup> HNSCC**

We determined the dynamics of the differentially altered proteins through time-course analyses. Although the PI3K pathway was inhibited as early as 4 hours after drug treatment in all cell lines, protein levels of Aurora A/B, total AKT, FOXM1, and PDK1 were not significantly decreased until 15 hours (Fig. 2A, B; Supplementary Fig. S3A-C), and further decreased through 24 hours of drug treatment in the *NOTCH1*<sup>MUT</sup> lines. Furthermore, PI3K inhibition led to a more profound and sustained effect on the levels of these proteins in the *NOTCH1*<sup>MUT</sup> lines than in the *NOTCH1*<sup>WT</sup> lines (Fig. 2B; Supplementary Fig. S3B, C). In contrast, although AKT protein levels initially dropped modestly in *NOTCH1*<sup>WT</sup> cells at 4-8 hours, AKT levels stabilized at 15 and 24 hours after PI3K inhibition in these cells (Fig. 2A, B; Supplementary Fig. S3A-C). Altogether, these data show that apoptosis in *NOTCH1*<sup>MUT</sup> HNSCC cell lines correlates with decreased levels of Aurora A/B, FOXM1, AKT, and/or PDK1 as a result of PI3K pathway inhibition.

We next determined if these proteomic alterations were at the transcriptional level using RNA sequencing in two *NOTCH1*<sup>MUT</sup> and one *NOTCH1*<sup>WT</sup> cell line. We found a significant reduction in *AURKA*, *AURKB*, and *FOXM1* mRNA levels in all HNSCC cells treated with copanlisib for 18 hours (Fig. 2C). In contrast, *PDPK1* (PDK1), *AKT1* and *AKT2* mRNA levels were unaffected by PI3K inhibition, suggesting that the changes in their protein levels are post-translational (Fig. 2C).

To gain further insight into how these concordantly regulated proteins interact with the PI3K pathway, we inhibited the individual molecules using kinase inhibitors: SNS-510 (PDK1), MK-2206 (AKT), rapamycin (mTOR), alisertib (Aurora A), and barasertib (Aurora B) at target-specific concentrations. As expected, protein levels of PDK1, AKT, FOXM1, and Aurora A/B decreased substantially following treatment with the dual PI3K/mTOR inhibitor (omipalisib) and pan-PI3K inhibitor (copanlisib) only in *NOTCH1*<sup>MUT</sup> cells (Fig. 2D; Supplementary Fig. S3D-E). However, other kinase inhibitors targeting the PI3K pathway, including those affecting PDK1, mTOR, AKT, or PI3K $\alpha$  (Supplementary Fig. S3E), did not affect levels of Aurora A/B, FOXM1, AKT, or PDK1. Likewise, Aurora A/B kinase inhibition also did not affect levels of any of these proteins (Fig. 2D; Supplementary Fig. S3D, E).

### **Concurrent inhibition of Aurora A/B and PI3K is synergistic in HNSCC cell lines *in vitro* and *in vivo***

Given its differential regulation, we hypothesized that the maintenance of Aurora A/B expression in *NOTCH1*<sup>WT</sup> HNSCC contributed to resistance to PI3K inhibition. To test this hypothesis, we combined the pan-Aurora inhibitor danusertib (0-2 $\mu$ M) with the dual PI3K/mTOR inhibitor omipalisib (0-200nM) at a fixed 1:1 ratio in 56 HNSCC cell lines for 72 hours and tested cell viability. The combination index (CI) was less than 1, indicating synergy, in 46 of 56 HNSCC cell lines (82%) at an effect size of 0.5 and in 49 of 56 cell



lines (88%) at an effect size of 0.75 (Fig. 3A). Among the 13 *NOTCH1*<sup>MUT</sup> HNSCC cell lines, all had CI values less than 1 at an effect size of 0.75, suggesting that inhibiting the residual Aurora kinases in *NOTCH1*<sup>MUT</sup> can also enhance cell death.

We also tested the effects of more specific inhibitors of PI3K (copanlisib), Aurora A (alisertib), and Aurora B (barasertib) at clinically relevant concentrations. Alisertib (MLN8237) inhibits catalytic activity of Aurora A, and at higher concentrations can also inhibit Aurora B both *in vitro* and *in vivo* (27). Barasertib (AZD2811, AZD1152) is a potent and selective inhibitor of Aurora B (28, 29) that is currently in clinical development (NCT02579226). We treated *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> HNSCC cell lines with alisertib, barasertib, or danusertib alone or combined with copanlisib for 24 hours and detected significantly increased induction of apoptosis as measured by cleaved PARP, cleaved caspase 3, and Annexin V and propidium iodide (PI) staining in the combinations compared with the single agents (Fig. 3B-D Supplementary Fig. S4A-D). We found varying sensitivities to Aurora A/B inhibitors alone across all cell lines, with HN31 exhibiting the highest sensitivity and FaDu the lowest. However, the combined inhibition of PI3K and Aurora A/B not only led to increased apoptosis of *NOTCH1*<sup>MUT</sup> HNSCC cell lines but also sensitized otherwise resistant *NOTCH1*<sup>WT</sup> HNSCC cell lines. Furthermore, when we combined barasertib with omipalisib or copanlisib in four *NOTCH1*<sup>WT</sup> cell lines for 72 hours and measured cell viability, the CI values were less than 1 in all four cell lines, indicating synergy (Supplementary Fig. S4E). We used the HEK293 cell line as a non-transformed control and found no significant apoptosis with either single agents or combined PI3K and Aurora kinase inhibition (Supplementary Fig. S4 F, G). These *in vitro* findings strongly suggest that combined inhibition of PI3K and Aurora A/B enhanced PI3K-induced apoptosis of *NOTCH1*<sup>MUT</sup> HNSCC cell lines and sensitized *NOTCH1*<sup>WT</sup> HNSCC cell lines.

We then tested these combinations *in vivo* using a xenograft model of *NOTCH1*<sup>MUT</sup> HNSCC (HN31) and administered copanlisib and alisertib or barasertib for 21 days. When compared with vehicle-treated group (1466% ± 422%; Fig. 3E, Supplementary Fig. S4H), mice receiving copanlisib or alisertib alone demonstrated significantly smaller tumor volumes (copanlisib: 156% ± 61%, *P* < 0.05; alisertib: 540% ± 129%, *P* < 0.05) compared to baseline, whereas the combined treatment led to tumor regression (-78% ± 6%, *P* < 0.01) at day 19. Similarly, mice treated with a combination of copanlisib and barasertib (-51% ± 18%, *P* < 0.001; Fig 3F, Supplementary Fig. S4I) showed significant reduction in tumor size, whereas mice receiving copanlisib or barasertib alone exhibited substantial smaller tumor volumes (copanlisib: 187% ± 69%, *P* < 0.001; barasertib: 223% ± 61%, *P* < 0.001) when compared to the vehicle treated group (2182% ± 304%) at day 21. However, alisertib was better tolerated than barasertib and therefore the mice treated with the combination of alisertib and copanlisib underwent a second cycle of treatment and exhibited prolonged and durable tumor regression (Fig. 3E, Supplementary Fig. S4H).

### Aurora kinases mediate AR to PI3K inhibition

To investigate mechanisms of AR, we exposed the HN31 cell line to increasing concentrations of copanlisib over time until resistance emerged. Subsequently, single-cell clones were established after cell sorting, and the resulting clones (CAR2, CAR10) were

tested for sensitivity to copanlisib and omipalisib by cell viability assay and FITC-dUTP/PI staining (Fig. 4A, B; Supplementary Fig. S5A, B). The AR clones had a significant shift in half-maximal inhibitory concentrations ( $IC_{50}$ ) compared with the parental cells (Fig. 4A, Supplementary Fig. S5A) and did not exhibit any significant apoptosis or changes in the cell cycle upon PI3K inhibition (Fig. 4B, C, E; Supplementary Fig. S5B). Moreover, following PI3K/mTOR inhibition, total levels of Aurora B, PDK1, AKT, and FOXM1 decreased more substantially in the parental cells than in the AR clones (Fig. 4C, D; Supplementary Fig. S5C). However, the AR clones still retained copanlisib-induced changes in these proteins, suggesting that they may also engage in additional, novel mechanisms of resistance. To determine whether these protein changes were as a result of changes at the mRNA level, we conducted RNA sequencing in the AR clones and HN31 parental cells. Similar to the findings from other *NOTCH1<sup>MUT</sup>* cell lines UMSCC22A and PCI-15B in Fig. 2C, we found > 2 fold reduction in *AURKA*, *AURKB*, and *FOXM1* mRNA levels in the parental cells but not in the AR clones treated with copanlisib for 18 hours (Supplementary Fig. S5D). Furthermore, the mRNA levels of *PDPK1* (PDK1), *AKT1* and *AKT2* mRNA levels were unaffected by PI3K inhibition in both parental and the AR clones, suggesting that the observed changes in their protein levels are post-translational (Supplementary Fig. S5D).

To test the hypothesis that mechanisms of innate resistance in *NOTCH1<sup>WT</sup>* HNSCC and AR in *NOTCH1<sup>MUT</sup>* HNSCC may both depend upon Aurora kinases, we inhibited PI3K and Aurora kinases simultaneously in the AR clones and analyzed for apoptosis. We observed increased cleavage of PARP and caspase 3 and higher Annexin V staining (Fig. 4F, G; Supplementary Fig. S5E, F) in the cells treated with combined inhibitors compared with vehicle or single-agent copanlisib. Sensitivity to single-agent Aurora kinase inhibitors appeared to be reduced in the AR clones compared with the parental cells, indicating that resistance to PI3K inhibition may alter sensitivity to Aurora kinase inhibitors (i.e., comparing parental HN31 from Fig. 3D with CAR data from Fig. 4G and Supplementary Fig. S5F).

### **FOXM1, reactive oxygen species, and Aurora A do not mediate PI3K inhibition-induced apoptosis in HNSCC**

AKT positively regulates the oncogenic transcription factor FOXM1 and phosphorylates a FOXM1 inhibitor (FOXO3a) on a negative regulatory site that was affected after PI3K inhibition only in *NOTCH1<sup>MUT</sup>* cells (Supplementary Fig. S6A). These data led us to hypothesize that the canonical regulation of FOXO3a and FOXM1 is uncoupled from AKT activation in *NOTCH1<sup>WT</sup>* cells, explaining their drug resistance. In support of this hypothesis, FOXM1 can positively regulate genes required for mitosis (30), glycolysis (31, 32), and reactive oxygen species homeostasis (33) so disruption to any or all these processes may contribute to loss of viability. Consistent with this model, significant differences between drug-sensitive *NOTCH1<sup>MUT</sup>* and resistant *NOTCH1<sup>WT</sup>* cell lines were observed in drug-induced levels of key enzymes regulating glucose metabolism and cellular redox homeostasis (Supplementary Fig. S1B). To test this hypothesis, we manipulated levels of FOXM1 and scavenged reactive oxygen species in HNSCC cells treated with omipalisib and determined the effects on cell survival. Knockdown of FOXM1 in *NOTCH1<sup>WT</sup>* cells did not sensitize them to omipalisib-mediated apoptosis (Supplementary Fig. S6B).

Overexpression of FOXM1 did not rescue *NOTCH1<sup>MUT</sup>* cells from omipalisib-induced apoptosis (Supplementary Fig. S6C, D). Although reactive oxygen species increased after treatment with omipalisib in *NOTCH1<sup>MUT</sup>* cells and was effectively scavenged by N-acetyl cysteine, treatment with N-acetyl cysteine did not rescue apoptosis in *NOTCH1<sup>MUT</sup>* cells (Supplementary Fig. S6E-G).

It was previously reported that Aurora A contributes to resistance to PI3K inhibition in breast cancer (34). We examined this possibility in our HNSCC models by overexpressing Aurora A in *NOTCH1<sup>MUT</sup>* cells. Subsequent treatment with copanlisib for 24 hours did not reverse the apoptotic phenotype of *NOTCH1<sup>MUT</sup>* cells, suggesting that an alternate pathway is responsible for drug sensitivity (Supplementary Fig. S6H).

### **Aurora B dictates sensitivity to PI3K inhibition via regulation of AKT and PDK1 in *NOTCH1<sup>MUT</sup>* HNSCC**

Because Aurora A and FOXM1 did not modulate sensitivity to PI3K inhibition in *NOTCH1<sup>MUT</sup>* HNSCC, we then tested the effect of altering Aurora B. Overexpression of Aurora B in *NOTCH1<sup>MUT</sup>* HNSCC cells partially rescued copanlisib-induced apoptosis, as demonstrated by markedly reduced cleaved PARP and caspase 3 proteins and Annexin V positive cells (Fig. 5A,B). AKT and PDK1 levels were also upregulated when Aurora B was overexpressed in *NOTCH1<sup>MUT</sup>* HNSCC (Fig. 5A). In *NOTCH1<sup>WT</sup>* HNSCC cells, siRNA-mediated Aurora B knockdown significantly enhanced PI3K inhibition-induced apoptosis (Fig. 5C,D, Supplementary Fig. S7A,B,C), but not to the same extent as we observed in *NOTCH1<sup>MUT</sup>* HNSCC (HN31) cells despite a similar level of Aurora B expression following treatment with copanlisib (Fig. 5C). Likewise, total levels of AKT and PDK1 were not significantly affected by Aurora B knockdown in *NOTCH1<sup>WT</sup>* HNSCC cells (Fig. 5C, Supplementary Fig. S7A).

Because total AKT levels were upregulated upon Aurora B overexpression in *NOTCH1<sup>MUT</sup>* HNSCC cells with concordant protection from PI3K inhibition-induced apoptosis, we examined the effect of total AKT on PI3K inhibition-induced apoptosis. We manipulated AKT1, the predominant isoform of AKT. Overexpression of AKT1 in *NOTCH1<sup>MUT</sup>* HNSCC cells significantly reduced PI3K inhibition-induced apoptosis (Fig. 5E,F). Moreover, we observed corresponding changes in the PDK1 protein levels but not Aurora B levels upon AKT1 overexpression in *NOTCH1<sup>MUT</sup>* HNSCC cells (Fig. 5E). Additionally, AKT1 knockdown in *NOTCH1<sup>WT</sup>* HNSCC cells treated with PI3K inhibition resulted in markedly higher cell death (Fig. 5G,H, Supplementary Fig. S7C,D).

These findings illustrate that total Aurora B governs the expression of total AKT, which subsequently regulates PDK1 levels in *NOTCH1<sup>MUT</sup>* HNSCC cells, in which Aurora B, AKT, and PDK1 are crucial effectors that determine cell survival in response to PI3K inhibition. However, in *NOTCH1<sup>WT</sup>* HNSCC cells, Aurora B does not regulate total AKT or PDK1 levels (Fig. 5I).

## DISCUSSION

To address the need for biomarker-selected targeted therapy for HNSCC, we previously demonstrated that PI3K inhibition caused apoptosis selectively in *NOTCH1<sup>MUT</sup>* HNSCC, but the mechanisms of resistance in *NOTCH1<sup>WT</sup>* HNSCC were unknown. In this study, we show that PI3K inhibition leads to reduced Aurora B levels, which in turn regulate total AKT protein levels exclusively in *NOTCH1<sup>MUT</sup>* HNSCC in a kinase-independent manner. Subsequently, AKT affects PDK1 protein levels, also independent of kinase activity. Total AKT and PDK1 loss mediates apoptosis after PI3K inhibition in *NOTCH1<sup>MUT</sup>* HNSCC. In addition, the pathways involving maintenance of protein levels of Aurora kinases in response to PI3K inhibition contribute to both innate resistance and AR to PI3K inhibition in HNSCC. In our study, concurrent Aurora kinase and PI3K inhibition led to increased cell death *in vitro* and *in vivo*. In contrast, reduced Aurora A and FOXM1 levels were associated with, but did not control the apoptotic phenotype in *NOTCH1<sup>MUT</sup>* HNSCC cells.

The mechanism we propose identifies several previously unrecognized interactions between the PI3K/AKT pathway and Aurora kinases. Our discovery raises several questions for future studies that would dissect specific interactions within this pathway, including understanding how Aurora B levels are differentially regulated in *NOTCH1<sup>WT</sup>* and *NOTCH1<sup>MUT</sup>* HNSCC in response to PI3K inhibition. Because Aurora B levels are altered at both mRNA and protein levels, it is important to determine the molecular factors responsible for these changes. One potential mediator may be FOXO3a. We observed significantly lower levels of phosphorylated FOXO3a in response to PI3K inhibition in *NOTCH1<sup>MUT</sup>* HNSCC cells than in *NOTCH1<sup>WT</sup>* cells. FOXO3a undergoes AKT-mediated phosphorylation at S235 and is rendered inactive, thus being unavailable to bind to the promoters of its numerous targets, including Aurora B, and repress their transcription (35). Involvement of FOXO3a may contribute to the regulation of *AURKB* mRNA levels. For identification of posttranscriptional regulators of Aurora B, it will be imperative to determine the protein half-life of Aurora B in *NOTCH1<sup>WT</sup>* and *NOTCH1<sup>MUT</sup>* HNSCC cells with and without PI3K inhibition.

Another striking finding from the current study is the sustained, depleted protein levels of total AKT in *NOTCH1<sup>MUT</sup>* HNSCC in response to PI3K inhibition. Unlike Aurora B, AKT is clearly regulated at the posttranscriptional level. Moreover, the effect of Aurora B protein expression on AKT is not solely dependent upon kinase activity. Therefore, it will be intriguing to investigate how Aurora B and AKT interact with each other in a kinase-independent manner. Furthermore, our time course studies showed that AKT protein levels initially decrease in *NOTCH1<sup>WT</sup>* and *NOTCH1<sup>MUT</sup>* cells with more marked, durable changes in *NOTCH1<sup>MUT</sup>*. Further work is warranted to understand the mechanism behind AKT protein downregulation. One potential candidate to mediate this differential effect is BRCA1. *BRCA1* mutant cells accumulate nuclear phospho-AKT and consequently inactivate the transcription functions of FOXO3a, a main nuclear target of phospho-AKT (36). Additionally, NOTCH1 activation further compensates for *BRCA1* deficiency and promotes survival of triple-negative breast cancer (37). Furthermore, BRCA1 phosphorylation is regulated by the PI3K pathway, and thereby its subcellular localization and functions (38). In summary, the differential sensitivity to PI3K inhibition

in *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> HNSCC could be due to the differential activation of BRCA1, which could also explain the proteomic alterations in AKT and Aurora B (39).

Another aspect that remains to be understood is how total AKT1 regulates PDK1 levels in *NOTCH1*<sup>MUT</sup> but not in *NOTCH1*<sup>WT</sup> HNSCC. A recent study showed that CK1- and GSK3 $\beta$ -mediated phosphorylation of PDK1 led to its ubiquitination and degradation by E3 ubiquitin ligase speckle type BTB/POZ protein (SPOP) (40). We speculate that increased AKT levels lead to inactivation of GSK3 $\beta$ , which then fails to phosphorylate PDK1, leading to its degradation by SPOP. It is possible that knockdown of *AKT1* alone in *NOTCH1*<sup>WT</sup> HNSCC does not alter PDK1 to a significant extent by itself, because the other AKT isoforms could function as redundant proteins in this context. However, when the PI3K pathway is inhibited in *AKT1* knockdown cells, activated GSK3 $\beta$  could potentially mediate PI3K degradation in *NOTCH1*<sup>WT</sup> HNSCC. This mechanism could potentially explain our observation of differential PDK1 levels upon AKT1 manipulation in *NOTCH1*<sup>MUT</sup> and *NOTCH1*<sup>WT</sup> HNSCC in response to PI3K inhibition.

We showed that the combination of PI3K and Aurora kinase inhibition is synergistic and leads to increased apoptosis in most HNSCC cells independent of mutation status, including those with innate and AR to PI3K inhibitors. These *in vitro* findings were validated in our *in vivo* models, which showed robust tumor regression in mice receiving combined therapy. Our findings suggest that this combination would be broadly effective against HNSCC in patients who may have heterogeneous tumors. In addition, it is rational to target a pathway that mediates AR initially to achieve a more durable response to therapy (41).

The mechanism that underlies the synergy between PI3K and Aurora kinase inhibition is likely distinct from the model we propose to explain the resistance of *NOTCH1*<sup>WT</sup> HNSCC to PI3K inhibitors, which is independent of Aurora A and the kinase activity of Aurora B. One possible explanation for the synergy is that because PI3K inhibition leads to reduced total levels of Aurora kinases, these cells are more dependent on the remaining activity of Aurora kinases for mitotic progression. Additionally, prolonged inhibition of Aurora A can lead to inhibition of Aurora B (27). A second possible explanation for the synergy hinges on the finding that PI3K inhibition leads to decreased Rb protein expression in both *NOTCH1*<sup>MUT</sup> and *NOTCH1*<sup>WT</sup> HNSCC cell lines. Two independent studies have shown that cancer cells with loss of *RBI* are hyper-dependent on Aurora A and Aurora B for survival (42, 43).

Donnella, *et al.* demonstrated a decrease in total *AURKA* mRNA and protein 24 hours following PI3K inhibition in sensitive breast cancer cell lines (34). The combination of a PI3K inhibitor with alisertib was synergistic in 38% of breast cancer lines. They demonstrated that *MYC*-driven *AURKA* expression maintains AKT and mTOR activity; inhibition of Aurora A enhances PI3K inhibition by contributing to the complete suppression of AKT/mTOR signaling. In contrast to our model in HNSCC, they found that *AURKB* was not significantly associated with sensitivity to PI3K inhibitors in breast cancer.

The mechanisms of AR to PI3K inhibition in *NOTCH1*<sup>MUT</sup> HNSCC could be driven by additional mechanisms that do not overlap with mechanisms of innate resistance

in *NOTCH1*<sup>WT</sup> HNSCC. As is the case with targeted therapies in non-small cell lung cancer, there are several distinct mechanisms of AR in epidermal growth factor (*EGFR*) mutant and anaplastic lymphoma kinase (ALK) positive subsets. They either involve on-target mechanisms involving gene/target amplification that enables continuous downstream signaling or off-target effects which results in activation of bypass signaling (44).

Because both PI3K (e.g., paxalisib, umbralisib, pascalisib, copanlisib, and duvelisib) and Aurora kinase inhibitors (alisertib, barasertib) are in clinical development, our work could be rapidly translated to clinical testing. Alternatively, AKT and Aurora kinase-specific proteolysis-targeting chimeras (PROTACS), which are in the process of development and validation, might be an effective therapeutic option in cases where PI3K inhibitors fail (25, 45, 46). Notably, our recent clinical trial testing a dual PI3K/mTOR inhibitor in *NOTCH1*<sup>MUT</sup> HNSCC patients with recurrent or metastatic disease showed modest single-agent clinical activity (NCT03740100), indicating that combination therapy could be an effective approach (8, 47).

These findings collectively show that sustained Aurora B expression via AKT and PDK1 levels drives resistance to PI3K inhibition-induced apoptosis in *NOTCH1*<sup>WT</sup> HNSCC. We have defined a mechanism that drives sensitivity and resistance to PI3K inhibitors in *NOTCH1*<sup>MUT</sup> HNSCC and propose combined PI3K and Aurora kinase inhibition to maximize clinical efficacy and overcome innate and AR to PI3K inhibitors, thereby establishing a foundation for future clinical trials.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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**Statement of significance:**

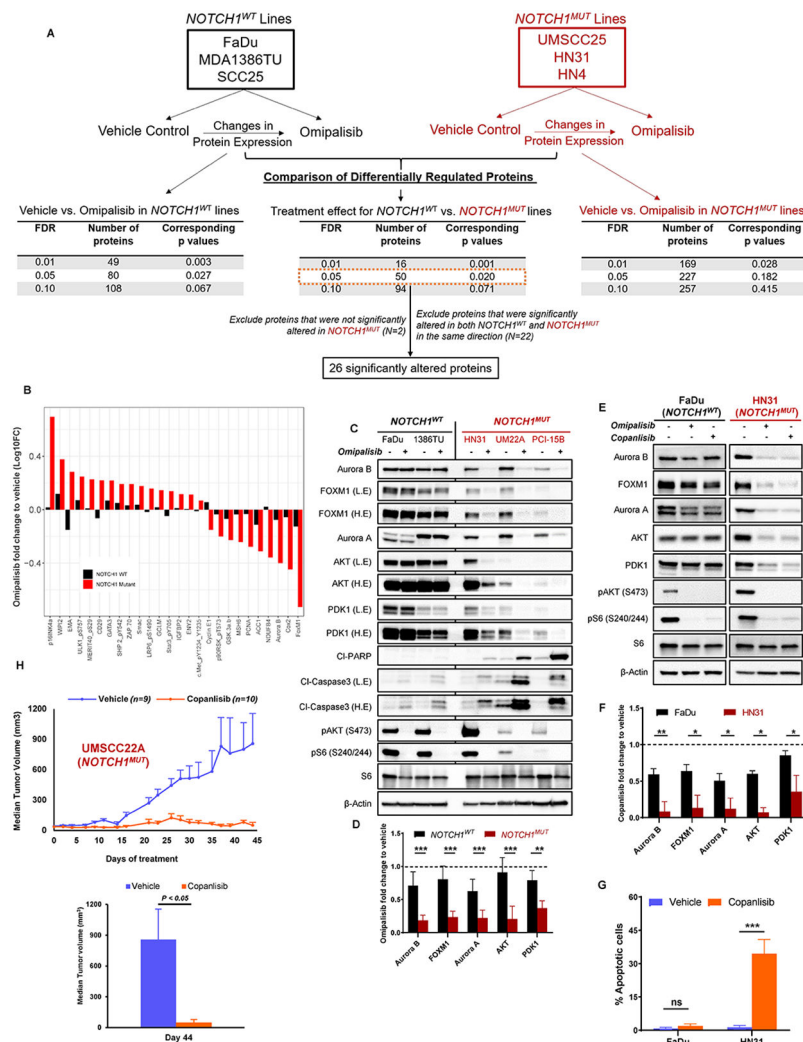
Aurora B signaling facilitates resistance to PI3K inhibition in head and neck squamous cell carcinoma, suggesting that combined inhibition of PI3K and Aurora kinase is a rational therapeutic strategy to overcome resistance.

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**Fig. 1. Aurora kinases, AKT, PDK1, and FOXM1 are downregulated in *NOTCH1* mutant (*NOTCH1<sup>MUT</sup>*) head and neck squamous cell carcinoma (HNSCC) cells upon PI3K inhibition.** (A) Schematic showing experimental design and the number of differentially expressed proteins according to reverse phase protein array (RPPA) analysis in *NOTCH1<sup>WT</sup>* and *NOTCH1<sup>MUT</sup>* HNSCC upon PI3K/mTOR inhibition using 50nM omipalisib at 24 hours. FDR, false discovery rate. (B) Waterfall plot showing the 26 differentially expressed proteins that were significantly altered as a treatment effect of omipalisib as determined by RPPA. Immunoblots of differentially expressed proteins and apoptosis markers in *NOTCH1<sup>WT</sup>* and *NOTCH1<sup>MUT</sup>* HNSCC cell lines when treated for 24 hours with 50nM omipalisib (C) or 200nM copanlisib (E). (D,F) Protein quantification of (C-E) by Image J, normalized using  $\beta$ -Actin as a control, and subsequent fold change to treatment with vehicle. Cl, cleaved; L.E, low exposure; H.E, high exposure. Bars indicate mean  $\pm$  SD from two *NOTCH1<sup>WT</sup>* cell lines and three *NOTCH1<sup>MUT</sup>* cell lines and three biological replicates: \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , two-tailed Student *t* test. (G) Apoptosis measured by FITC-dUTP/propidium iodide staining in FaDu and HN31 cells treated with 200nM copanlisib for 48 hours. The percentages of apoptotic cells are expressed as the mean  $\pm$  SD from three independent experiments: \*\*\* $P < 0.001$ , ns, nonsignificant, two-tailed Student *t* test. (H)

The *NOTCH1<sup>MUT</sup>* cell line UMSCC22A was injected subcutaneously into athymic nude mice. After tumors reached  $>60 \text{ mm}^3$ , the mice were randomized to receive either vehicle control (PEG400/acidified water) or 10 mg/kg copanlisib (BAY806946) by intraperitoneal injection three times per week for 6 weeks. Tumor sizes were measured, and tumor volumes were calculated at the indicated times and plotted  $\pm$ SEM (unpaired Student *t* test), n, number of mice examined in each group.

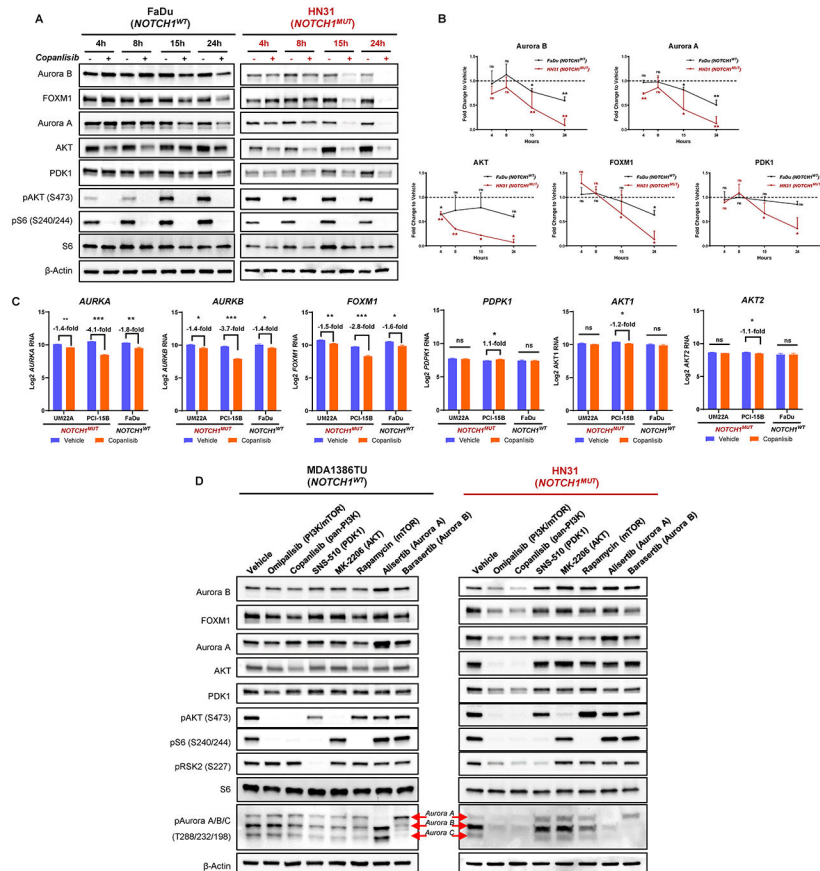
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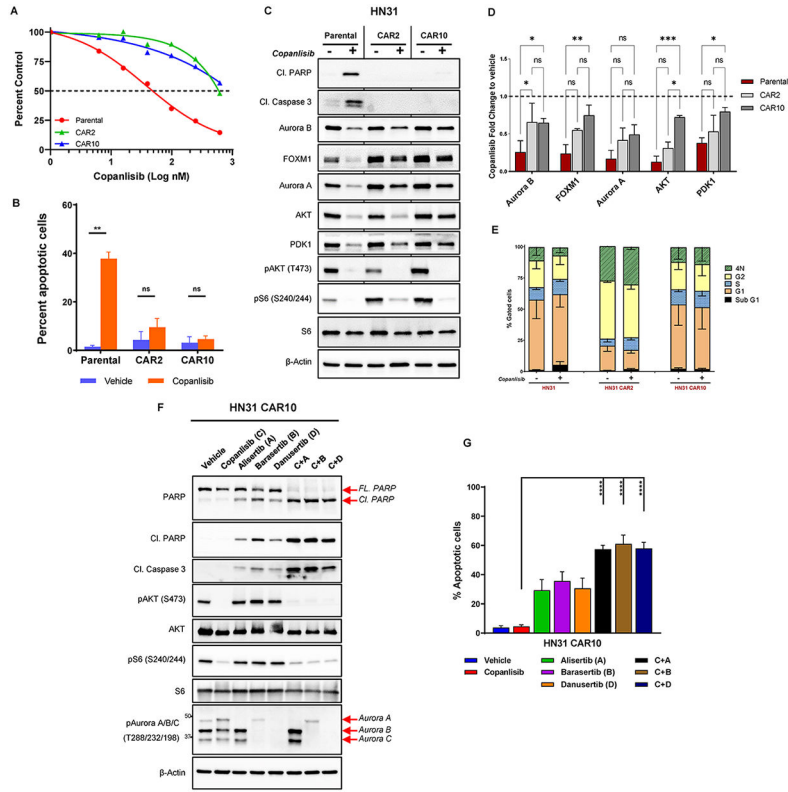


**Fig. 2. PI3K inhibition results in considerable proteomic alterations selectively in *NOTCH1* mutant (*NOTCH1*<sup>MUT</sup>) head and neck squamous cell carcinoma (HNSCC).**

(A) Representative Western blot analysis images of *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> HNSCC cell lines at indicated time points after treatment with 200nM copanlisib (h, hours). (B) Quantification of the Western blot images shown in (A) from at least two independent experiments (mean  $\pm$  SD); ns, nonsignificant, \* $P$  < 0.05, \*\* $P$  < 0.01, two-sample  $t$  test. (C) Graphs showing mRNA levels measured by RNA sequencing of indicated genes in *NOTCH1*<sup>MUT</sup> (UMSCC22A, PCI-15B) and *NOTCH1*<sup>WT</sup> (FaDu) cell lines after treatment with 200nM copanlisib at 18 hours. Data are presented as the mean  $\pm$  SD of at least three independent experiments; \* $P$  < 0.05, \*\* $P$  < 0.01, \*\*\* $P$  < 0.001, ns, nonsignificant, multiple  $t$ -tests with Benjamini-Hochberg correction. (D) Western blot analysis of protein changes in *NOTCH1*<sup>WT</sup> and *NOTCH1*<sup>MUT</sup> HNSCC cell lines after treatment with indicated drugs (50nM omipalisib, 50nM barasertib, 200nM copanlisib, 200nM alisertib, 250nM rapamycin, 300nM SNS-510, 400nM MK-2206) for 24 hours.



either as single agent or in combination for 24-36 hours. The percentage of apoptotic cells is expressed as the mean  $\pm$  SD from three independent experiments; \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ , one-way analysis of variance corrected for multiple comparisons with the Tukey test. (E, F) The *NOTCH1*<sup>MUT</sup> cell line HN31 was injected subcutaneously into athymic nude mice. After tumors reached 60 mm<sup>3</sup>, the mice were randomized to receive either vehicle (0.9% NaCl, 2-hydroxypropyl- $\beta$ -cyclodextrin or 1M Tris pH 9.0), 14 mg/kg copanlisib (BAY 841236) by IP, 30mg/kg alisertib (MLN8237) by oral gavage (E) or 50mg/kg barasertib (AZD1152) by IP (F) and a combination of copanlisib with alisertib (E) or barasertib (F) for five days a week for 3 weeks. Tumor sizes were measured, and tumor volumes plotted  $\pm$  SEM and the indicates times,  $P$ -values were calculated using one-way ANOVA Kruskal-Wallis rank sum test followed by pairwise Wilcoxon rank sum tests, Type I error rate is controlled by Benjamini & Hochberg method (FDR). Purple arrows indicate end of treatment and red arrow indicates restart of drug treatment, n-number of mice examined in each group.



**Fig. 4. Aurora kinases mediate acquired resistance to PI3K inhibition in *NOTCH1* mutant (*NOTCH1<sup>MUT</sup>*) head and neck squamous cell carcinoma.** (A) HN31 parental and copanlisib acquired resistant (CAR) cells were treated with increasing concentrations of copanlisib for 72 hours, and cell viability was measured with the CellTiter-Glo assay. CAR2 and CAR10 represent two different clones of resistant cells, and the dotted line denotes 50% cell population. (B) Apoptotic cells measured by FITC-dUTP/propidium iodide (PI) staining in HN31 parental and CAR cells treated with 200nM copanlisib for 48 hours. The values indicate mean  $\pm$  SD from three independent experiments: \*\* $P < 0.01$ , ns, nonsignificant, two-tailed Student *t* test. (C) Western blot analysis of HN31 parental and CAR cells upon treatment with 200nM copanlisib for 24 hours. (D) Quantification of protein expression from the Western blot analysis shown in (C) using Image J and normalized using  $\beta$ -Actin as a control; subsequent fold change to vehicle was calculated. Bars indicate mean  $\pm$  SD of two biological replicates; \* $P < 0.05$ , \*\* $P < 0.01$ , two-way analysis of variance corrected for multiple comparisons with the Tukey test. (E) Cell cycle analysis of HN31 parental and CAR cells treated with 200nM copanlisib for 48 hours measured from PI staining as mean  $\pm$  SD of three independent experiments. (F) Western blot analysis of HN31 CAR10 cells treated with indicated drugs (200nM copanlisib, 200nM alisertib, 50nM barasertib, 500nM danusertib) either as single agent or in combination for 24 hours. FL, full length; CI, cleaved. (G) Percentage of apoptotic cells measured from Annexin V/PI staining in HN31 CAR10 cells upon treatment with indicated drugs (200nM copanlisib, 200nM alisertib, 50nM barasertib, 500nM danusertib) for 24 hours. The percentage of apoptotic cells is expressed as the mean  $\pm$  SD from three

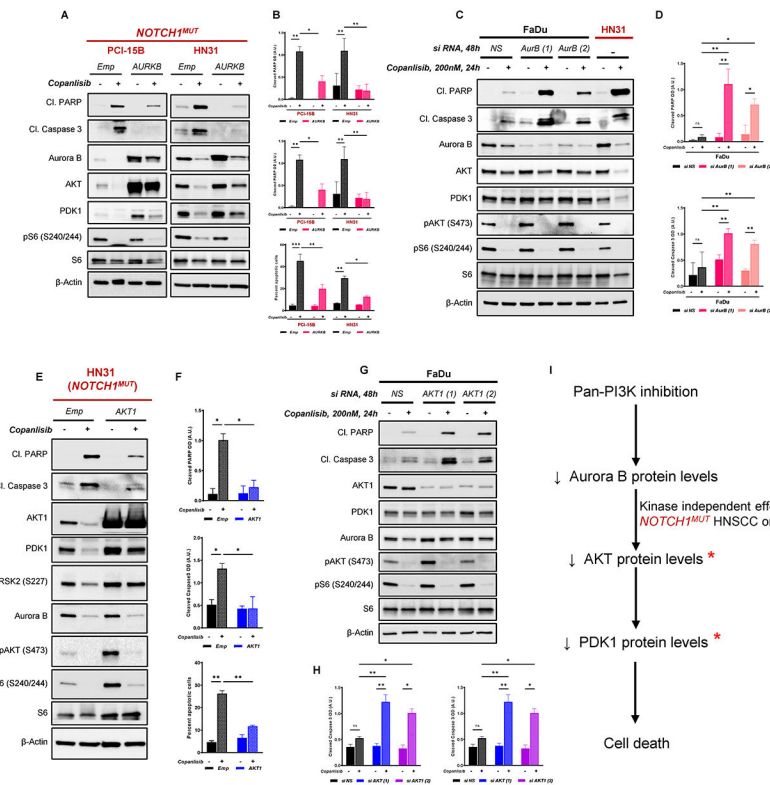
independent experiments; \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ , one-way analysis of variance corrected for multiple comparisons with the Tukey test.

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**Fig. 5. Aurora kinase B (Aurora B) protein levels dictate sensitivity to PI3K inhibition by stabilizing AKT and PDK1 levels.**

(A) Representative Western blot analysis from three independent experiments of *NOTCH1<sup>MUT</sup>* (PCI-15B and HN31) HNSCC cells stably overexpressing empty vector (Emp) or Aurora B (*AURKB*) treated with 200nM copanlisib for 24 hours. (B) Bar graphs showing quantification of cleaved PARP, cleaved Caspase 3 from western blots in (A) and percent apoptotic cells as measured by Annexin V/PI staining in Aurora B overexpressing cells. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , one-way analysis of variance corrected for multiple comparisons with the Tukey test. (C) Representative immunoblotting analysis from three independent experiments in *NOTCH1<sup>WT</sup>* (FaDu) cells depleted of Aurora B by siRNA-mediated knockdown using two specific siRNAs – *AurB(1)* and *AurB(2)* treated with 200nM copanlisib at indicated times. (D) Quantification of cleaved PARP, cleaved Caspase 3 from Western blots in (C). \* $P < 0.05$ , \*\* $P < 0.01$ , ns, nonsignificant; one-way analysis of variance corrected for multiple comparisons with the Tukey test. (E) Representative Western blot analysis from three independent experiments of *NOTCH1<sup>MUT</sup>* (HN31) HNSCC cells stably overexpressing empty vector (Emp) or AKT1 (*AKT1*) treated with 200nM copanlisib for 24 hours. (F) Quantification of cleaved PARP, cleaved Caspase 3 from Western blots in (E) and percent apoptotic cells as measured by Annexin V/7AAD staining in AKT1 overexpressing cells. \* $P < 0.05$ , \*\* $P < 0.01$ , one-way analysis of variance corrected for multiple comparisons with the Tukey test. (G) Representative immunoblotting analysis from three independent experiments in *NOTCH1<sup>WT</sup>* (FaDu) cells depleted of AKT1 by siRNA-mediated knockdown using two specific siRNAs – *AKT1(1)* and *AKT1(2)* treated with 200nM copanlisib at indicated times. (H) Quantification of cleaved PARP, cleaved Caspase 3 from Western blots in (G). \* $P < 0.05$ , \*\* $P < 0.01$ , ns, nonsignificant; one-way



analysis of variance corrected for multiple comparisons with the Tukey test. (I) Proposed model illustrating the mechanism of PI3K-mediated inhibition and its effects on Aurora B and downstream mediators AKT and PDK1. \* indicates components that are important for survival against PI3K inhibition. Cl, cleaved; Emp, empty vector; NS, nonspecific siRNA.

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