




DNA and histone modifications as potent diagnostic and therapeutic targets to advance non-small cell lung cancer management from the perspective of 3P medicine

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Abstract

Lung cancer has a very high mortality in females and males. Most (~85%) of lung cancers are non-small cell lung cancers (NSCLC). When lung cancer is diagnosed, most of them have either local or distant metastasis, with a poor prognosis. In order to achieve better outcomes, it is imperative to identify the molecular signature based on genetic and epigenetic variations for different NSCLC subgroups. We hypothesize that DNA and histone modifications play significant roles in the framework of predictive, preventive, and personalized medicine (PPPM; 3P medicine). Epigenetics has a significant impact on tumorigenicity, tumor heterogeneity, and tumor resistance to chemotherapy, targeted therapy, and immunotherapy. An increasing interest is that epigenomic regulation is recognized as a potential treatment option for NSCLC. Most attention has been paid to the epigenetic alteration patterns of DNA and histones. This article aims to review the roles DNA and histone modifications play in tumorigenesis, early detection and diagnosis, and advancements and therapies of NSCLC, and also explore the connection between DNA and histone modifications and PPPM, which may provide an important contribution to improve the prognosis of NSCLC. We found that the success of targeting DNA and histone modifications is limited in the clinic, and how to combine the therapies to improve patient outcomes is necessary in further studies, especially for predictive diagnostics, targeted prevention, and personalization of medical services in the 3P medicine approach. It is concluded that DNA and histone modifications are potent diagnostic and therapeutic targets to advance non-small cell lung cancer management from the perspective of 3P medicine.

Keywords Epigenetics · DNA modification · Histone modification · Non-small cell lung cancer (NSCLC) · Predictive diagnosis · Patient stratification · Personalized target therapy · Predictive preventive personalized medicine (PPPM / 3P medicine)

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Introduction

Lung cancer-caused health problem

There were more than 2.2 million cases of newly diagnosed lung cancers worldwide and 1.9 million cases of deaths in 2020; thus, it was one of the most prevalent malignant tumors [1]. Lung cancers were grouped into two types: small cell lung cancer (SCLC; 15–20%) and non-small cell lung cancer (NSCLC; 80–85%). NSCLC includes squamous cell carcinoma (LUSC), adenocarcinoma (LUAD), and large-cell carcinoma [2]. Although many advances have been made in early diagnosis and treatment, approximately 40% of NSCLC cases have metastasis when they are first diagnosed, with poor prognosis [3].

Importance of epigenetics in lung cancer

Epigenetics, coined by Conrad Waddington, refers to heritable modifications of a cellular phenotype, which is distinct from changes in DNA sequences, including DNA methylation, gene silencing, maternal effects, and RNA modifications [4]. Epigenetic changes are responsible for regulating all DNA-based functions, including transcription, DNA repair, and replication. Typically, epigenetic dysfunction is caused by aberrant DNA and histone alterations. Several studies demonstrate that aberrant chromatin regulator expression patterns and genomic changes can induce carcinogenesis in NSCLC. The whole-genome sequencing of NSCLC produced a database of recurrent somatic mutations in several epigenetic regulators.

Roles of modifications in DNA and histone in NSCLC

Genetic information plays a significant role in the occurrence, development, and prognosis of NSCLC [4]. Modifications in DNA and histone are the most studied epigenetics, which do not change the gene sequence but change the gene expressions. DNA and histone modifications also play important roles in the processes of tumorigenesis, progression, and metastasis. Studies found that DNA and histone modifications provide potentials for early detection and diagnosis, progression, distant metastasis, therapies, prognosis, and screening recurrence of NSCLC in the framework of 3P medicine.

Importance of PPPM approach in improvement of the overall management of lung cancer-caused health problems

Despite great progressions in treatment options of lung cancer including surgery, radiotherapy, and chemotherapy, its survival improvement is still a big challenge, with a low 5-year survival rate (20%). Most (~85%) of lung cancers are non-small cell lung cancers (NSCLC). Upon being first diagnosed, most patients are found with local or distant metastases, with a poor prognosis [1–3], whose main reason is that the early symptoms of lung cancer are commonly ignored causing most patients to be diagnosed in middle or advanced stages [3]. Although targeted therapy and immunotherapy are very effective for NSCLC patients, only a minority of patients with driver gene mutations or PD-L1 high expression have a well-response to these treatments. Basic attributes of PPPM meet the requirements of cancer therapeutic strategy for better prevention, early diagnosis, discovery of the best possible treatment for every patient, and prediction of the patient response [5, 6]. In the context of 3P medicine,

DNA and histone modifications will be helpful in early diagnosis, predicting disease development, progression, patient stratification, individualized therapy, and screening recurrence of NSCLC.

Working hypothesis in the PPPM framework of NSCLC

We hypothesize a series of molecular event and signaling pathway alterations mediated by DNA modifications and histone modifications in NSCLC. We will focus on the regulators of DNA and histone modifications, and modified substrates to discuss the significant roles of DNA and histone modifications, and the corresponding molecular pathway alterations, and discover the key molecules related to NSCLC. It will benefit the clarification of molecular mechanisms of NSCLC, discovery of new therapeutic targets/drugs, and establishment of effective biomarkers based on DNA and histone modifications, which play an important role in predictive diagnostics, targeted prevention, and personalization of medical services [7, 8]. This review aims to introduce advances in modifications in DNA and histones and their significant roles in the diagnosis, therapeutics, and prognosis of NSCLC. This review also aims to explore the connection between DNA and histone modification and the PPPM, which may provide an important contribution to improve the prognosis of NSCLC.

DNA modifications in NSCLC

Living organisms encode genetic information through four nucleobases (adenine, thymine, cytosine, and guanine) as well as modified nucleobases. DNA cytosine methylation (m5C), the most studied epigenetic alteration, controls gene expression in mammalian cells. Besides m5C, animal genomes contain a variety of DNA alterations. N6-methyladenosine (m6A) can be used by prokaryotes and eukaryotes [9]. m6A has been found in a number of mammals [10–12]. Per the earlier literature, most m6A modifications are found in mitochondrial DNA, which is then incorporated into genomic DNA via nucleotide-salvage processes [13–15]. The thymine modification 5-hydroxymethyluracil (5hmU) can be found in mammal genomes [16]. The TET enzymes in mammalian genomic DNA oxidize thymine to produce 5hmU and 5fU [16]. DNA oxidation results in a main product, 8-oxo-7,8-dihydroguanine (OG) [17], which controls gene expressions [17, 18]. Phosphothioate can modify the DNAs of bacteria and archaea, but cannot modify the DNA of eukaryotes [19].

Cytosine modification: DNA methylation

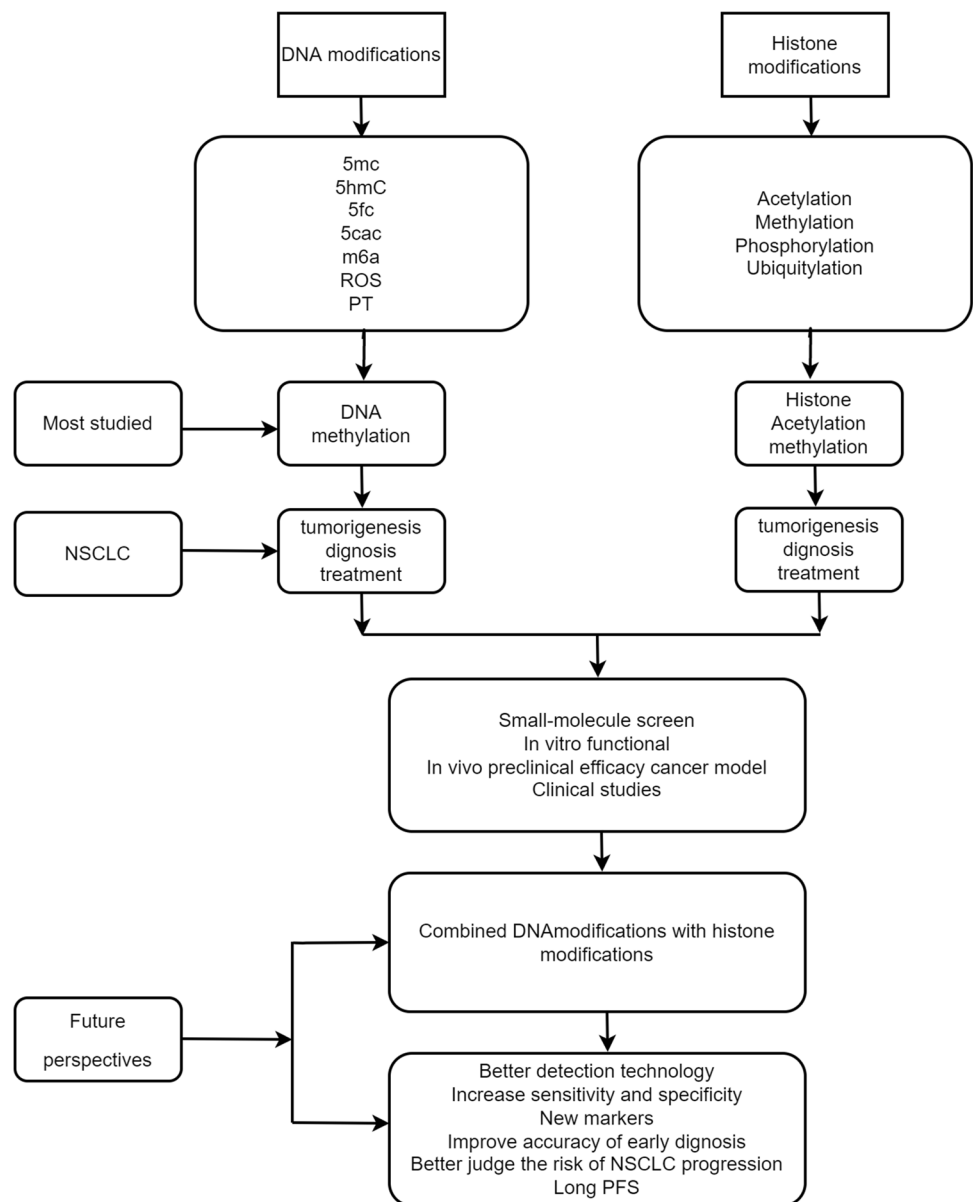
A lot of attentions were paid to cytosine methylation as a DNA alteration, which was recognized as a tuberculinic acid hydrolysis byproduct by Johnson and Coghill in 1925, although it was discovered in 1898 [20]. In the field of epigenetics, DNA methylation has received the most attention because it alters chromatin shape and transcription factor binding to control gene expression. DNA methylation is an addition of a methyl group to the cytosine 5th carbon atom by DNA methyltransferases [20]. Even if the DNA sequence is not altered, DNA methylation can mutate the genes bound to the methyl group (Fig. 1). During DNA methylation, a large number of genes can be methylated or demethylated at

different times in cells [21]. In NSCLC, hypermethylation of local promoters and abnormal hypomethylation of genome-wide DNA are detected [22].

Thymine modifications: 5hmU and 5fu

Numerous eukaryotes, including mammals, contain the thymine alteration 5-hydroxymethyluracil (5hmU) [16], and studies demonstrate that either passive replication-dependent loss or active TET-assisted oxidation could use 5hmC as an intermediary in DNA demethylation [16]. However, some researchers believe that DNA demethylation is not required for 5hmC to exert epigenetic activity [16].

Fig. 1 Epigenetic dysregulation is often caused by DNA and histone modifications. The purpose of this review is to highlight current progress in the identification, management, and prognosis of NSCLC based on DNA and histone alterations



Adenine modifications: N6-methyladenosine

N6-Methyladenosine (m6A) is commonly used to modify DNA by prokaryotes and eukaryotes [23]. It was firstly discovered to play significant roles in prokaryotic restriction-modification mechanisms and gene expression regulation [24] and was later detected in mammals [10, 11]. As a result of the nucleotide-salvage pathway, DNA polymerases incorporated m6A into genomic DNA from the RNA nucleoside of m6A, which was degraded in mitochondrial DNA [11].

DNA methylation in the mechanism of tumorigenesis

DNMT dysregulation

DNMT dysregulation plays an important role in the methylation pathway and is closely associated with cancer pathophysiology. Humans have five known DNMTs with different specificities for methylated and unmethylated DNA: DNMT-1, DNMT-2, DNMT-3A, DNMT-3B, and DNMT-3L [25]. It is possible that aberrant DNMT activities contribute to lung cancer in different ways. In view of the positive correlation between higher DNMT expression and proliferation, DNMT dysregulation can disrupt the cell cycle. Non-small cell lung cancers are found to overexpress DNMTs, such as DNMT1 upregulation, which is independently linked to poor prognosis [26]. Another study found that DNMT3a expression is a marker for prognosis, and the lack of DNMT3a is thought to facilitate tumor progression in LUAD [27]. In the mouse

model of LUAD tumor progression, eliminating DNMT3a promotes tumor growth. Different histological types of lung cancer seem to specifically influence the expression levels of DNMT3a [28], and DNMT1 increased cell proliferation in EGFR-mutated NSCLC by deregulating hMLH1 and hMSH2, which disturb the cell cycle [28].

TET dysregulation

Ten-eleven translocation enzymes (TET) act on m5C to oxidize it, which reverses DNA methylation (DNAm) to erase DNA methylation; thus, this process is irreversible (Fig. 2). TET proteins have three subtypes: TET1, 2, and 3; and they have similar catalytic activities, but differ in the architecture of their domains [29]. One study demonstrated that TET1 is frequently upregulated and serves as an oncogene in NSCLC with lost p53 function and that TET1 might not be repressed by TP53-carrying transversion mutations [30].

Hypermethylation with NSCLC

NSCLC as well as other lung cancers have been extensively studied in terms of hypermethylation targets and roles. The hypermethylation of many CGIs of potential tumor suppressor genes has been consistently identified in NSCLCs [31, 32]. These tumor suppressor genes play important roles in NSCLC cellular functions, including apoptosis, control of the cell cycle, adhesion, invasion, DNA repair, and modulation of signaling pathways. DNA hypermethylation markers are summarized to relate to NSCLC

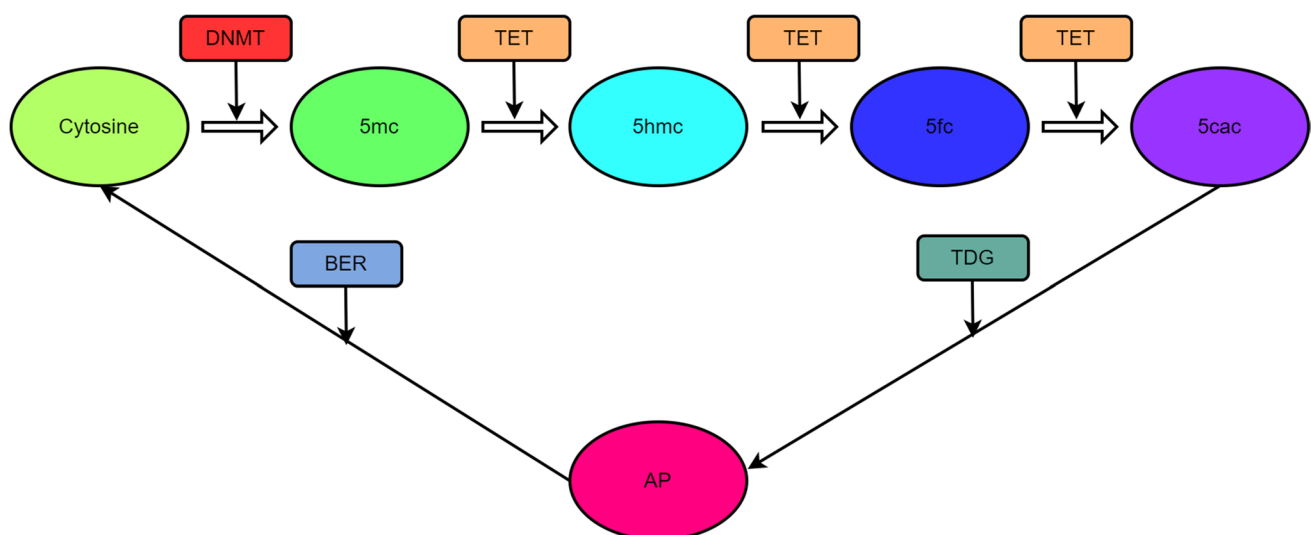


Fig. 2 Methylation and demethylation of DNA. DNA methyltransferases (DNMTs) methylate cytosine (C) at site C5, creating 5-methylcytosine (m5C). Through the proposed demethylation route, ten-eleven translocation enzymes catalyze the repeated oxidation of m5C to 5-(hydroxymethyl)cytosine (5hmC), 5-formylcytosine (5fC),

and 5-carboxycytosine (5caC). In order to create apyrimidinic (AP) sites, 5fC and 5caC are removed by the G/T-mismatch-specific thymine DNA glycosylase, followed by base excision repair (BER) to reinstall the cytosine

pathogenesis, progression, and outcome at the experimental level (Table 1). The silencing of some of these genes by DNAm continues to be confirmed when NSCLC lines are treated with methylation inhibitors [63]. Although methylation does not necessarily inactivate genes, it is proven to be useful as an epigenetic marker in NSCLC [64].

Hypomethylation with NSCLC

Lung cancers exhibit extensive global hypomethylation, particularly at repetitive sequences and segmental duplications [31]. A study found that LUAD patients with LINE-1 hypomethylation had a worse prognosis and progressed to a

more advanced stage regardless of their driver gene mutations [65]. SNCG was also found to associate with advanced cancer cell invasion and migration stages [66]. Another study found that MAGE genes were overexpressed in most of NSCLCs, and such overexpression was related to the loss of methylation [67]. Lung cancer patients with MAGE overexpression had a poor prognosis and were more likely to develop metastasis and tumor growth [62]. Moreover, the increased hypomethylation of LINE-1 and Alu leads to their transcription enhancement and genomic instability increase in NSCLC [66]. Thereby, DNA hypomethylation is involved in the altered microenvironment, mutagenesis, and increased chromosomal instability.

Table 1 Summary of DNA modification markers in the pathogenesis, progression and metastasis, and prognosis of NSCLC

DNA methylation marker	Pathway	Function	Reference
Upregulation			
APC	Cell proliferation, migration, and cell adhesion	Pathogenesis and progression	[33]
CDH1	Cell adhesion	Pathogenesis	[34]
CDH13	Cell adhesion	Pathogenesis	[35]
P16	Cell cycle regulation	Pathogenesis	[36]
DAPK	Apoptosis	Pathogenesis	[37]
DAL-1		Progression and metastasis	[38]
EPHB6		Pathogenesis, progression, and metastasis	[39]
HS3ST2		Pathogenesis, progression, and metastasis	[40]
TMEM88		Progression and metastasis	[41]
MGMT		Progression and metastasis	[42]
HMLH1		Prognosis	[43]
IGFBP-3		Prognosis	[44]
RASSF1A	Cell cycle regulation, genomic-stability maintenance, apoptosis, cell migration, and invasion	Prognosis	[45]
TMEM196		Prognosis	[46]
GRK6		Prognosis	[47]
GSTP1		Pathogenesis	[48]
FHIT		Prognosis	[49]
MLH1	DNA repair	Pathogenesis	[50]
MSH2	DNA repair	Pathogenesis	[50]
PTEN	Cell cycle regulation	NA	[51]
RUNX3	TGF- β /Wnt signaling pathway	Pathogenesis	[52]
SEMA3B	Cell adhesion	Pathogenesis	[53]
RAR β	Cell differentiation and proliferation	Prognosis	[54]
RAR β 2	Cell differentiation and proliferation	Prognosis	[55]
SHOX2	Cell differentiation and proliferation	Prognosis	[56]
TGFBR2	Signaling	Pathogenesis	[57]
TSLC1	Cell adhesion	Progression	[58]
Downregulation			
LINE-1		Prognosis	[59]
ELMO3		Progression and metastasis	[60]
FAM83A		Prognosis	[61]
MAGE	Transcriptional regulation, cancer development, and progression	Pathogenesis	[62]

Smoking and DNA methylation with NSCLC

A study reported that smoking was strongly associated with NSCLC; only 15–25% of NSCLC cases occurred in non-smokers [68]. Another study demonstrated that smoking was also strongly related to lung tumorigenesis via DNAm [69]. Moreover, the hypomethylation of AHRR, 6p21.33, and F2RL3 was related to smoking and the increased risk of developing NSCLC [70]. Three additional hypomethylated CpGs (cg21566642, cg05951221, and cg23387569) were also associated with lung cancer [71]. Several genes (p16, APC, and MGMT) were also found to be upregulated in smoking-associated lung cancer [72–74]. A smoking carcinogen might alter the ubiquitination level of DNMT1 and its degradation mechanisms to control DNMT1 production, which resulted in de novo hypermethylation of TSGs and ultimately caused carcinogenesis in NSCLC [75, 76]. With the increasing reactive oxygen species (ROS) and chronic inflammation, smoking also resulted in aberrant methylation and the activation of silencing complexes [77].

DNA methylation in diagnosis of NSCLC

In the past few years, clinical doctors have had increasing interests in DNA methylation detection. A study demonstrates that DNAm can be used to estimate tumor risk and has great use for tumor risk prevention [78]. DNAm markers in NSCLC early diagnosis are summarized (Table 2).

A study first reported the use of methylation detection in NSCLC early diagnosis [87]. Due to its sensitivity and

stability, DNA methylation was an effective method to compensate for imaging examination defects and improve early diagnosis of lung cancer. Some examples are taken here. Studies also found that the methylated genes such as PTGER4, SHOX2, and RASSF1A were more likely to cause lung cancer [82, 88]. The analysis of alveolar lavage fluid of lung cancer patients revealed that SHOX2 gene methylation was used to distinguish benign from malignant lung tissues with specificity (95%) and sensitivity (68%) and also was used to diagnose LUAD with 82% sensitivity [83]. RASSF1A methylation was substantially correlated with NSCLC, which confirmed that RASSF1A was a tumor suppressor gene [89], and also, RASSF1A might be an indicator of invasive lung cancer because of its high specificity (93%) and sensitivity (17%), as well as its optimal performance within a screening interval of 2 years. A comprehensive analysis of tumor tissue, sputum, and blood samples from 155 lung cancer patients found that TMEM196 was methylated in lung cancer samples but not controls, and TMEM196 methylation was an independent prognostic indicator, which offered new potential clinical application of TMEM196 methylation as biomarkers for early diagnosis and prognosis in NSCLC [46]. The level of RAR2 methylation in stage III lung cancer patients was higher than that in stages I and II, and NSCLC patients had a higher level of RAR2 methylation in their plasma and cell surface-bound cirDNA; thus, cirDNA-based tests might be valuable to confirm methylated DNA markers in lung cancer diagnosis [55]. The promoter methylation index (PMI) varied significantly in lung cancers compared to healthy controls with ROC

Table 2 Summary of DNA methylation markers in lung cancer early diagnosis

Single/combination marker	Tumor type	Sensitivity(%)	Specificity(%)	Sample type	Reference
P16 RARB2	Lung cancer	69	87	Bronchial aspirates	[36]
RASSF1A	Lung cancer	64	100	Carcinoma tissues	[79]
	Lung cancer	60	90	Brushing sample	
RASSF1A	Lung cancer	92	100	Carcinoma tissues	[80]
PCDHGB6+HOXA9	Lung cancer	80	100	Brushing sample	
RASSF1A	NSCLC	87	75	Plasma(cirDNA)	[81]
RARB2	Lung cancer				
SHOX2	Squamous cell carcinoma	60	90	Plasma	[82]
SHOX2	Lung cancer	68	95	Alveolar lavage fluid	[83]
SHOX2	Lung cancer	65.5	90	Plasma	[56]
PTGER4	Lung cancer	56.3	90		
SHOX2+PTGER4	Lung cancer	75.6	84.8		
SHOX2	Lung cancer	67	90	Plasma	[84]
PTGER4	Lung cancer	90	73		
SHOX2+RASSF1A	Lung cancer	81	97.4	Bronchoalveolar lavage fluid	[85]
HOXA9	NSCLC	55.2	74.3	Plasma	[86]

curve analysis ($n = 100$, $AUC = 0.69$, $p = 0.0012$), which shows that MIRA might be a viable non-invasive technique to detect early lung cancers [59]. Hypomethylation of L1RE1 was frequently detected in tumors compared to benign controls, whereas increased methylation of RARB was an independent tumor marker for high-grade lung cancer. RASSF1 hypermethylation was prevalent in NET, which might serve as an auxiliary biomarker to distinguish NSCLC from NET [90]. The combination of L1RE1 and RARB or RASSF1 methylations could serve as biomarkers to distinguish lung cancers from non-cancerous tissues [90]. HOXA9 gene methylation was proven to diagnose LUSC with sensitivity (55.2%) and specificity (74.3%) [86]. Further, the combination detection of two or more gene methylations might significantly increase the sensitivity and specificity. For instance, single-gene methylation analysis revealed that RASSF1A was sensitive in 64% of carcinoma tissues and about 60% in bronchial brushing samples [91]. However, the methylation of HOXA9, PCDHGB6, and RASSF1A was evaluated simultaneously; the sensitivity rose up to 92% in carcinoma tissues and 80% in bronchial brushing samples [91]. Thereby, dual- or multi-gene methylation detection had higher sensitivity and specificity than single-gene methylation detection in lung cancer diagnosis, which is the future direction to reduce contingencies, improve diagnostic accuracy, and lead to earlier diagnosis [64].

DNA methylation in NSCLC therapeutics

DNAm plays important roles in NSCLC treatment. With the determination of the methylation status of biomarkers, physicians are able to more effectively foresee the kind and stage of NSCLC and make a better treatment plan [86]. Several tumor suppressor genes can be demethylated to restore their normal expression and prevent the growth of NSCLC; DNMT might be a primary target of tumor epigenetic medications, with the use of DNA methyltransferase inhibitors (DNMTis) [86]. A study revealed that DNMTis in combination with histone deacetylase inhibitors were a more effective approach to treat NSCLC [92]. NSCLC can be effectively treated with immunotherapy, such as anti-programmed cell death protein 1 (PD1) and anti-programmed cell death ligand 1 (PD-L1) therapies. However, screening for suitable patients for anti-PD1/PD-L1 therapy has become a major challenge. Tumor mutation burden (TMB) is proven to be a predictor for the effectiveness of immunotherapy [93]. Previous studies demonstrate that DNAm is a good marker to predict immunotherapy efficacy, and a substantial increase occurs in DNAm aberrations and copy number variations (CNVs) in high-TMB NSCLCs, thus the association between DNAm and TMB contributes to the prediction of the immunotherapy efficacy of NSCLC [94]. A study demonstrated that hMLH1 gene methylation was a biomarker

for tumor recurrence in NSCLC patients treated with cisplatin-based adjuvant cisplatin, and NSCLC patients without hMLH1 methylation benefited better from cisplatin-based adjuvant treatment [43]. Thus, hMLH1 methylation will become a biomarker that allows NSCLC patients to receive individualized treatment [43]. Also, cisplatin might be less toxic to NSCLC tumor cells when IGFBP-3 expression was decreased due to promoter-hypermethylation [44]. It is possible to identify patients who may benefit from CDDP therapy alone or in conjunction with epigenetic therapy based on IGFBP-3's basal methylation status prior to chemotherapy [44].

Histone modifications in NSCLC

A protein octamer with four core histones (H-2A, -2B, -3, -4) surrounds the DNA to form the structure of the nucleosome in eukaryotic cells [95]. Some studies found that the histone alterations may influence the control of the transcription process [95]. Some studies found that histone modifications affect not only the transcription but also the DNA-templated processes. Histone proteins have tails, which are classic sites for post-translational modifications (PTMs). By altering DNA and histone charge density, DNA methylation and histone PTMs can affect the loosening of the nucleosome, as well as promote transcription factors and RNA polymerase to reach their targets [96–98]. The acetylation of histones was found to contribute to the development of lung cancer by stimulating gene transcription [99, 100]. Studies revealed that a number of histone deacetylase (HDAC) inhibitors were effective treatments for NSCLC in pre-clinical and clinical trials [98, 100].

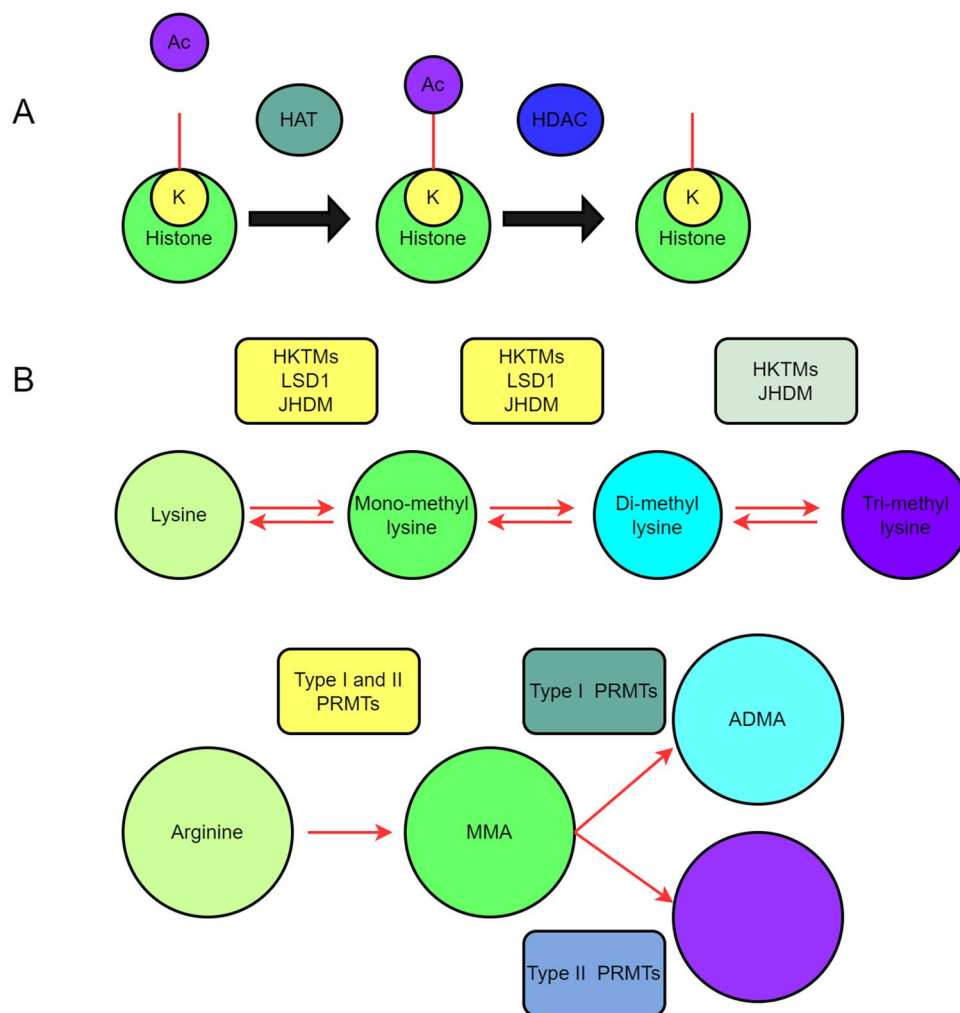
Histone acetylation

Histone acetylation is a major PTM in histones, and this modification occurs when histone deacetylase and acetyltransferase work together [101]. After the acetyl groups are removed from histones, HDACs cause compacted chromatin to reorganize, which in turn decreases gene transcription [102]. Histone lysine acetylation is generally reversible. The acetylated lysine residue can be deacetylated by histone acetyltransferases and histone deacetylases (Fig. 3A).

Histone acetylation in NSCLC

Histone modifications in NSCLC cells differ from normal cells [103]. In addition, most LUSC samples exhibit elevated levels of HDAC3 [104]. In LUAD, a poor prognosis is strongly correlated with higher levels of HDAC1 and HDAC3, and also a poor prognosis in NSCLC is associated with down-expression of HDAC2 [105, 106]. Another study

Fig. 3 Regulators of histone acetylation and methylation. **A** Histone lysine can be acetylated in a highly reversible manner. During histone deacetylation, histone acetyltransferase enzymes (HATs/KATs) (HDACs) add acetylated lysine residues to the histone. **B** The histone is methylated. A histone methyltransferase adds methyl (CH₃) groups to histone lysines or arginines with the help of histone demethylase. Methylation status of lysine or arginine is indicated



found that FLIP was overexpressed in a subset of NSCLCs, FLIP inhibited caspase-8 activation to block the extrinsic apoptosis pathway. Overall survival is significantly reduced when FLIP expression is high in the cytoplasm. In NSCLC but not in healthy cells, an HDACi that targets HDAC1-3 decreases FLIP expression via the post-transcriptional mechanism and causes apoptosis [107].

Histone acetylation in NSCLC therapeutics

Single use of HDACi Despite a substantial amount of preclinical evidence supporting their efficacy in NSCLC, HDACi has a limited clinical efficacy in clinical trials. Only three of 47 patients with advanced NSCLC who had previously received treatment responded partially to pivanex in a phase II study [108]. Vorinostat monotherapy in recurrent NSCLC patients did not show any objective tumor response, but showed obvious toxicity, including tiredness, cytopenias, and one death [109]. The completed and continuing clinical studies for NSCLC treatment based on histone acetylation are summarized (Table 3).

Combination of HDACi with cytotoxic chemotherapy There is overwhelming proof that HDACi in combination with cytotoxic chemotherapy has synergistic effects. The combination of HDACi with paclitaxel exerts synergistic anti-tumor effects by inducing hyperacetylation of p53 and tubulin, and by preventing p21 upregulation [129]. With the combination of HDACi with vinorelbine and platinum, the enhanced expressions of CHK1-2, p21, and p27 led to cell-cycle arrest and increased apoptosis [130]. A study about paclitaxel-resistant NSCLC cells found that HDAC1 was overexpressed, and combination treatment with paclitaxel and HDACi SNOH-3 options overcomes resistance to paclitaxel [131]. Based on this pre-clinical data, a randomized, double-blind, placebo-controlled study was performed to randomly assign patients to two groups and given either normal carboplatin dosages or paclitaxel plus either vorinostat or both. The response rate was greater in vorinostat group than placebo group (34% vs. 12%, $p=0.02$). Progression-free survival (PFS) and overall survival (OS) were marginally better in vorinostat group although there were no statistical differences. In contrast, 3% of patients

Table 3 Histone modification-based completed and ongoing clinical trials for NSCLC

Drugs	Trial phase	Patients	Outcomes	Reference
Completed clinical trials				
Single therapy				
Pivanex	II	47 previously treated advanced NSCLC patients	ORR 6.4%, SD 30%, mPFS 1.5 mo, mOS 6.2 mo	[110]
Romidepsin	II	19 previously treated advanced NSCLC patients	No objective responses	[108]
Vorinostat	II	16 previously treated advanced NSCLC patients	No objective responses. mTTP 2.3 mo, mOS 7.1 mo	[109]
Entinostat	I	previously treated advanced solid tumors (<i>n</i> = 31; 4 NSCLC patients)	No PR or CR. One NSCLC patient had stable disease	[111]
CI-994	I	53 previously treated solid tumors	PR = 1 heavily pre-treated NSCLC patient SD = 3 (1 NSCLC patient)	[112]
Combination with chemotherapy				
Vorinostat 400 mg/day orally or placebo + Chemotherapy (Carboplatin + Paclitaxel)	Randomized phase II	94 previously untreated advanced NSCLC	ORR: 34% with vorinostat vs. 12.5% with placebo (<i>p</i> = 0.02), mPFS: 6 months vs. 4.1 months (<i>p</i> = 0.48), mOS: 13 months vs. 9.7 months (<i>p</i> = 0.17)	[113]
Belinostat (starting at 1000 mg/m ² dose) + Chemotherapy (Carboplatin + Paclitaxel)	I	23 previously untreated advanced NSCLC	MTD 1400 mg/m ² , ORR: 35%, mPFS: 5.7 mo	
Panobinostat orally 3 times a week + (Carboplatin + Etoposide)	I	6 previously treated advanced NSCLC	No objective responses	[114]
Vorinostat + Carboplatin /Paclitaxel	I	28 advanced solid tumor patients	PR = 11 (10 NSCLC), SD = 7 Linear Pharmacokinetics	[115]
CI-994 + Gemcitabine	II	26 NSCLC/174 patients	PR = 8, OR = 12%, MS = 194 days	[116]
CI-994 + Carboplatin + Paclitaxel	I	30 patients with advanced solid tumors	PR = 5 (3 NSCLC)	[117]
Decitabine + valproic acid	I	8 patients with advanced NSCLC with prior chemotherapy	SD = 1	[118]
Decitabine + vorinostat	I	2 patients with NSCLC/44 with advanced tumors	SD = 29%	[119]
Azacitidine + sodium phenylbutyrate	I	1 NSCLC/27 refractory solid tumors	SD = 1, PD = 26	[120]
Hydralazine + magnesium valproate	II	1 NSCLC/17 refractory solid tumors	PR = 4, SD = 8	[121]
Combination with EGFR TKIs				
Panobinostat + Erlotinib	I	15 Previously treated NSCLC patients	Of 12 evaluable patients, 7 had SD and 5 had PD	
Vorinostat + Gefitinib	I	12 BIM deletion polymorphism/EGFR mutation double-positive NSCLC	mPFS: 5.2 mo, 6 weeks DCR: 83.3%	[122]
Erlotinib + Entinostat or Erlotinib + Placebo	Randomized phase II	132 previously treated patients with stage IIIB/IV NSCLC, no prior EGFR-TKIs	ORR: 3% with EE vs. 9.2% with EP (<i>p</i> = 0.13), mPFS: 1.97 months with EE vs. 1.88 with EP (<i>p</i> = 0.98), mOS: 8.9 months with EE vs. 6.7 months with EP (<i>p</i> = 0.39)	[123]
Vorinostat + Sorafenib	I	17 patients with advanced solid tumors	Unconfirmed PR = 2 (1 NSCLC patient)	[124]
Vorinostat + Erlotinib	I/II	33 advanced NSCLC EGFR mutant patients	PFS = 8 weeks, OS = 10.3 months	[125]

Table 3 (continued)

Drugs	Trial phase	Patients	Outcomes	Reference
Panobinostat + Erlotinib	I	35 NSCLC/42 patients with advanced tumors	Disease control rate = 54%, NSCLC PR = 3, SD = 3, PFS = 4.7 months, OS = 41 months, (EGFR mutation)	[126]
Combination with hypomethylating agent Azacitidine + Entinostat	I/II	45 advanced, refractory NSCLC	MS = 6.4 months, CR = 1, PR = 1	[119]
Combination with radiation Vorinostat (200, 300, 400 mg/day) orally for 14 days + SRS for brain metastasis on day 3	I	17 NSCLC with up to 4 brain metastasis, ≤ 2 cm in size	No local failures with median follow-up of 12 months	[127]
Combination with ICI Vorinostat orally + Pembrolizumab	Phase I/IIb	ICI-naïve and ICI-pretreated advanced NSCLC patients in phase I, ICI-naïve patients only in phase Ib (n = 33)	ORR: 13%, SD: 53%, ICI-pretreated patients: ORR 12.5%, SD 42%	[128]
Ongoing clinical trials				
Vorinostat + Pembrolizumab	II			NCT02638090
Entinostat + Pembrolizumab	II			NCT02638090
Entinostat + Azacitidine + Nivolumab	II			NCT01928576
Panobinostat + Anti PD-1 antibody PDR001	I			NCT02890069
Mocetinostat + Nivolumab	II			NCT02954991
ACY-241 + Nivolumab	I			NCT02635061
Abexinostat + Pembrolizumab	I			NCT03590054

in the placebo group developed grade 4 thrombocytopenia, while 18% of patients in the vorinostat group did ($p \leq 0.05$) [113]. In a phase I study, belinostat was tested through combination of paclitaxel and carboplatin, which obtained the objective response rate of 35% (partial responses), and the median PFS of 5.7 months. Although the combination of chemotherapy and HDACi has therapeutic benefits, its toxicity prevents its extensive use in clinical practice [114].

Combination of HDACi with immune checkpoint inhibition Combined with cytotoxic chemotherapy, immune checkpoint inhibition (ICI) is becoming the norm to treat advanced NSCLC. Epigenetic control of the tumor microenvironment may be used to improve responses to ICI [132]. HDACi and ICI were initially investigated in a study that evaluated entinostat and azacitidine for dual epigenetic modulation; as a result, a total of five of the six NSCLC patients had PFS with 6 months, which was a satisfactory outcome for patients who failed to treat with ICI [133]. HDACi have been shown to prime the tumor microenvironment for response to ICI through multiple mechanisms, including upregulation of MHC expression, T cell functionality, tumor antigens, T cell chemokines, and stimulatory effects on T cells [134]. Based on gene signature mappings in NSCLC cancers and investigations of pathways induced by azacitidine in the cancer genome atlas, one found that that azacitidine promoted genetic factors related to the innate and adaptive immune systems, as well as genes implicated in immune evasion; and inhibition of both HDAC and HSP90 decreased gene transcription of PD-L1 in lung cancer cells treated with IFN-gamma, which suggests that it impacts the tumor immunosuppressive potential [135]. A combination of pembrolizumab and vorinostat, an inhibitor of the PD-1 receptor, was utilized in the advanced NSCLC patient group in a phase I/Ib study [128]. After phase I, phase Ib required patients to receive ICI-pretreatment. Every 3 weeks, 200 or 400 mg of vorinostat were given together with 200 or 400 mg of pembrolizumab as part of the therapy. No dose-limiting effects were detected. The most prevalent adverse responses were fatigue (33%) and nausea/vomiting (27%). The ICI-pretreated group contained eleven patients with stable illness, whereas three individuals showed a partial response. For future testing of this mixture in patients who have already received ICI, the findings of this exploratory trial were very positive. We are expecting the long-term results of this trial's participants as well as the outcomes of many other ongoing trials about the combination of additional HDACi with ICI [128].

Combination of HDACi with tyrosine kinase inhibitors The anti-EGFR antibody tyrosine kinase inhibitors (TKI) in a large percentage of advanced NSCLC tumors inhibited the activation of epidermal growth factor receptors to result in

powerful responses to these medications [122]. TKI resistance ultimately develops in the overwhelming majority of patients despite their remarkable initial responses. One of these resistance mechanisms was the Bcl2-like protein 11 (commonly called BIM). In EGFR-mutated lung cancers, the proapoptotic molecule BIM may contribute to mortality [119]. In a phase I clinical study, vorinostat and gefitinib were used to treat NSCLC patients with BIM-deleting polymorphisms and EGFR mutations. Twelve EGFR-mutated NSCLC patients who previously received chemotherapy and an EGFR TKI were given escalating doses of gefitinib and vorinostat, which resulted in a combination's well-tolerated effects, 83.3% disease control rate at 6 weeks, a modest median PFS (5.2 months), and the heartening median OS (22.1 months) [122]. Similarly, a study also demonstrated that the HDACi panobinostat in combination with the third-generation EGFR TKI osimertinib increased apoptosis induction and decreased the continued availability of cell cultures and xenograft models resistant to osimertinib, such as those with C797S alterations, through BIM upregulation [122].

Combination of HDACi with radiation therapy Ionizing radiation can cause the single-strand breaks (SSBs), double-strand breaks (DSBs), and inter-strand crosslinks of DNA, which can produce an anti-tumor effect [136]. When DNA breaks in the double strands, DNA damage response mechanisms are activated, including recombination between homologous and non-homologous ends. Improvement of DNA double-strand breaks might contribute to the resistance to ionizing radiation. A DSB marker, HDACi, is upregulated by ionizing radiation in lung cancer cell lines [136]. RAD51, CHK1, and BRCA2 are downregulated by HDACi, which assist in repairing radiation-caused DNA damage, and the NHEJ pathway is also inhibited by Ku70/80 and XRCC4 acetylation caused by HDAC inhibition [134]. The mixture of HDACi with radiation exposure in NSCLC is now being tested in several clinical studies [137].

Histone methylation

Histone methylation is one of the most extensively studied modifications in histone, which has been shown to activate or inhibit transcription at several gene loci in NSCLC [96]. Methyltransferases and their equivalent demethylases are referred to as heterocyclic methyltransferases because they write and remove residues of histone lysine [96].

The functions of lysine methyltransferases in NSCLC

Lysine methyltransferases (KMTs) can eliminate the methyl groups at the methylated lysine residues in both histone and non-histone substrates (Fig. 3B) [138, 139]. The structural

organization and catalytic domain of KMTs with and without the SET domain (the SET domain is an important domain of histone methyltransferases) are used to categorize KMTs [140, 141]. EZH2 is a homolog of *Drosophila* En (zeste) (PRC2), an element of the Polycomb repressive complex II. Together with cofactors SUZ12 and EED, EZH2 transfers 1, 2, or 3 marks of methylation on H3K27 in a SAM-dependent manner. The EZH2 positive expression was associated with greater resistance to platinum-based treatments compared to the EZH2 negative expression in advanced NSCLC patients [142, 143]. Several malignancies are associated with dysregulation or loss of function of the MLL (KMT2) methyltransferase family [144]. Study found that MLL2 was a type of the most often altered genes in NSCLC patients in China with whole-exome sequencing, negative MLL2 mutations were present in 11.4 percent of NSCLC patients, and lack of MLL2 expression was common in NSCLC [145]. The mono- and di-methylation of H3K9 (H3K9me1, me2) was catalyzed by the G9a KMT [146]. G9a promoted the proliferation and invasion of NSCLC cells by raising H3K9me2 levels close to the CASP1 promoter and inhibiting caspase 1 [147]. A study found that the SET and MYND property 2 methylated the lysine residue in anaplastic lymphoma kinase, and activated oncogenic ALK. Crisotinib (an inhibitor of ALK) and LLY-507 (an inhibitor of SMYD2) both greatly limited the development of NSCLC cells [148]. SETD2 mutations were found in primary NSCLCs [149]. In mice, LUADs in both their early and late stages were accelerated by SETD2 deletion and subsequent H3K36me3 loss [150]. It suggests that it is conceivable for SETD2 to be used as a prognostic or diagnostic indicator for NSCLC. KMT5A, sometimes referred to as SET8, selectively methylates H4K20 and is associated with many cancer-related processes [151]. The tumor suppressor miR382 prevented carcinogenesis and metastasis in NSCLC cells by suppressing SETD8; however, restoring SETD8 might promote proliferation, migration, and invasion of NSCLC cells [152].

The functions of KDMs in NSCLC

Both lysine-specific demethylases and Jumonji (JmjC) domain-containing demethylases may be categorized as KDMs in accordance with the oxidative mechanisms of the demethylation reactions as well as the composition of the catalytic domains. LSD1, which is the first discovered and most extensively studied KDM demethylase, belongs to the LSD family that does not contain JmjC domain [153]. In NSCLC patients, overexpression of LSD1 was strongly related to a shorter overall survival time [154]. Increased tumor proliferation and EMT (epithelial-mesenchymal transition) process were brought about via LSD1 being drawn to the Kruppel-like factor 2 or Ecadherin promoters in NSCLC cells [155]. KDM2 accelerated H3K36 demethylation, which

was associated with gene activation; and lung cancer was linked to KDM2A but not to its homologous gene KDM2B [156]. KDM2A was dysregulated in 54 NSCLC cell lines, and its protein level was significantly higher in primary NSCLC tissues than adjacent healthy control tissues [157]. Furthermore, KDM2A increased transcription of HDAC3 target genes while demethylating H3K36me2 at the HDAC3 promoter in order to reduce transcription of HDAC3 itself, such as NANOS1 that was related to cell invasion, and CDK6 that was related to cell cycle, in NSCLC cells with overexpressed KDM2A [158]. More than 50% of NSCLC patients were discovered to have overexpressed KDM3A, a KDM demethylase specific to the H3K9 protein [159]. By enhancing the expression of the anti-tumor miRNA let-7c and lowering the presence of the tumor-promoting EZH2, KDM3A knockdown prevented tumor growth among NSCLC cell cultures and a xenograft model [160]. KDM6A, an H3K27-specific demethylase that normally opposes EZH2, was investigated in NSCLC cells with conflicting findings about its link to lung cancer: when a spectrum of NSCLC cell lines used its inhibitor GSKJ4 to show antitumor activity, KDM6A epigenetically suppressed the TGF-induced EMT process. Based on human lung cancer tissues and transgenic NSCLC mice models, the KDM6A gene was identified as one of the important tumor suppressor genes [161].

Histone methyltransferase/demethylase inhibitors

Histone methyltransferase or demethylase inhibitors, alone or combined with other drugs, have been extensively documented to have antitumor effects for a variety of malignancies. The roles of typical histone methyltransferase/demethylase inhibitors are summarized (Table 4), along with any possible therapeutic benefits for NSCLC. In NSCLC cells that are resistant to erlotinib (NSCLC cells are resistant because erlotinib inhibits the tyrosine kinase), PRMT1 overexpression decreased E-cadherin function and facilitated EMT [162]. Considerably worse clinical outcomes were linked to significantly higher JMJD6 transcription in LUAD, which was positively associated with the extent and size of the tumor. A high JMJD6 level was also strongly correlated with pT condition, pN condition, and pathological grading, which suggests that it might be used as a prognostic and diagnostic indicator for NSCLC [163]. In NSCLC cells that are resistant to gefitinib, PAD4 expression drastically reduced (the tyrosine kinase inhibitor gefitinib is widely utilized to treat NSCLC). The overexpression of PAD4 inhibits the ETS-domain protein, which controls EMT activity and prevents gefitinib resistance [164]. Studies found that DZNep dose-dependently suppresses the development of NSCLC cell lines [165]. Lung cancers were also more responsive to other inhibitors after pharmacological EZH2

Table 4 Histone methyltransferases and demethylases with reported functions and representative methyltransferase/demethylase inhibitors in lung cancer (including NSCLC)

Enzymes and their inhibitors	Target	Function	Reference
Histone methyltransferases			
MLL2	H3K4	Loss of expression and deleterious mutations in NSCLC	[145]
SMYD2	H3K36	Contributed to NSCLC cell growth	[148]
SETD2	H3K36	Deleterious mutations in primary NSCLC	[149]
DOT1L	H3K79	Contributed to NSCLC cell growth	[167]
PRMTs	Arginine on H3 and H4	Contributed to NSCLC cell growth and overexpressed in TKI-resistant NSCLC	[164]
Histone demethylases			
KDM2A	H3K36me2/me1	Overexpressed in NSCLC	[157]
PAD4	Arginine on H3 and H4	Overexpression led to gefitinib resistance in NSCLC	[164]
KDM3	H3K9me2/me1	Overexpressed in NSCLC	[159]
EZH2 inhibitors			
		Clinical Trial Number	
DZNep	SAH hydrolase inhibitor ($K_i = 50$ pM)	NA	[168]
GSK2816126 (GSK126)	SAM-competitive EZH2 inhibitor ($IC_{50} = 9.9$ nM)	NCT02082977	[169]
EPZ6438 (Tazemetostat)	SAM-competitive EZH2 inhibitor ($K_i = 2.5$ nM) ($IC_{50} = 11$ nM)	NCT01897571 NCT02601950 NCT02601937	[170]
CPI1205	SAM-competitive EZH2 inhibitor	NCT02395601	[171]
LSD1 inhibitor			
RG6016 (ORY-1001)	FAD-dependent irreversible LSD1 inhibitor (IC_{50} b 20 nM)	NCT02913443	[172]

suppression, for example, DZNep or GSK126 administration may increase the anticancer impact in terms of doxorubicin, a topoisomerase II regulator, vs BRG1 and EGFR mutated cancer of lungs [166].

Future perspectives

A few topics are still needed to be further investigated despite recent achievements in DNA and histone modifications. Although cell lines are a good model for cancer research, several studies have demonstrated that DNA and histone information from cell lines could not be comparable to those from actual endogenous tumor cells in cancer tissue. The bulk of DNA and histone alteration markers derived from cell lines do not have enough specificity and sensitivity to be widely used in clinical settings. In addition, progress in DNA and histone alteration studies was hampered by a lack of extremely sensitive and precise detection methods. More methods must be quickly developed for very sensitive and precise detection of DNA and histone changes. Earlier studies demonstrate that the identification of dual-gene or multi-gene alterations is more accurate and precise than the detection of single-gene mutations. Future detection of DNA and histone modifications will likely rely more heavily on

double-gene or multi-gene combinations since these techniques not only reduce the contingency of findings but also enhance diagnostic precision, which is more suitable for early detection.

Moreover, there are at least ten types of modifications occurred in DNA, and many types of modifications occurred in histones [173]. The characteristics of each type of modification are different, which need different analytical approaches. Multiomics including genomics (DNA sequencing) and PTM-proteomics might be the effective methods to deeply reveal DNA and histone modifications in NSCLC, respectively [173, 174]. It would be necessary to study different types of modifications that occur in DNA, and in histones, to completely reveal the biological roles and effects of modifications in DNA and histones in NSCLC pathophysiological processes.

Conclusions and expert recommendation in the framework of 3P medicine

DNA and histone alterations are important molecular events in cancer pathophysiological processes and have become a popular focus of cancer research. This review article discussed the significance of DNA and histone alterations in

NSCLC etiology, early detection, development and metastasis, therapy, and prognosis, as well as the most recent advances in the field. The in-depth investigation of DNA and histone dysregulation in NSCLC leads to identifying potential molecular targets and biomarkers for personalized treatment of NSCLC as well as improves our understanding of the mechanisms behind carcinogenesis and the development of NSCLC. Moreover, NSCLC with specific DNA and histone alteration traits may provide new, accurate classifications, which may ultimately provide more precise personalized treatment for NSCLC patients.

We recommend the emphasis on the research practice of modifications in DNA and histones in NSCLC. We propose further PPPM development and practical application of the DNA and histone modifications in NSCLC, in the following aspects:

- (i) **Predictive medical approach:** It is necessary to clarify the roles of DNA and histone modifications in the predictive approach (mechanism of tumorigenesis for NSCLC). It is possible that aberrant DNMT activity contributes to NSCLC in different ways [26, 27]. The hypermethylation and hypomethylation of many potential tumor suppressor genes have been consistently identified in NSCLCs. Several studies demonstrated that smoking is also strongly related to NSCLC tumorigenesis via DNA methylation [69]. Also, histone acetylation contributes to the development of lung cancer by stimulating gene transcription [99, 100]. Histone methylation can activate or inhibit transcription at several gene loci in NSCLC [96]. An in-depth clarification of DNA modification of these genes and histone modification might offer an effective predictive medical approach for NSCLC.
- (ii) **Targeted prevention:** The DNA and histone modifications have potential utility for targeted prevention, including reducing tumorigenesis (primary prevention) and early diagnosis (secondary prevention) of NSCLC. The study demonstrates that DNA methylation epigenetically can be used to estimate tumor risk and has great use for tumor risk prevention [78]. Previous studies have shown that DNA methylation markers can be used in NSCLC early diagnosis (Table 2). There are relatively few studies on histone modification for early diagnosis of NSCLC; however, future uses of SETD2 as a diagnostic marker for NSCLC are conceivable. It might be a potential biomarker pattern in combination with other markers for early diagnosis of NSCLC.
- (iii) **Personalized treatments:** The DNA and histone modifications have potential utility for NSCLC personalized treatments. DNA methylation also plays important roles in NSCLC treatment. By determining

the methylation status of biomarkers, physicians are better able to foresee the kind and stage of NSCLC and make a better treatment plan [86]. Previous studies have shown that DNA methylation is also a good marker to predict immunotherapy efficacy [91]. A number of HDACis have shown to be an effective treatment for NSCLC in pre-clinical and clinical trials [98, 100]. Histone acetylation in NSCLC therapeutics includes a single use of HDACi, combination of HDACi with cytotoxic chemotherapy, a combination of HDACi with immune checkpoint inhibition, a combination of HDACi along with tyrosine kinase inhibitor, and a combination of HDACi with radiation. Histone methyltransferases/demethylase inhibitors, alone or in combination with other drugs, have been extensively documented to have antitumor effects for a variety of malignancies. Thereby, DNA and histone modifications are the potential effective therapeutic targets for personalized treatment of NSCLC.

Abbreviations APC: Adenomatous polyposis coli; ALK: Anaplastic lymphoma kinase; CASP1: Silenced caspase 1; CNVs: Copy number variations; DSBs: Double-strand breaks; DNMTs: DNA methyltransferases; DNAm: DNA methylation; ELMO3: Engulfment and cell motility 3; EGFR: Epidermal growth factor receptor; FHIT: Fragile histidine triad; HR: Homologous recombination; hMLH1: Human mutL homolog 1; HRM: Methylation-specific high-resolution melting; HDACis: Histone deacetylase inhibitors; HATs: Acetyltransferases; KLF2: Kruppel-like factor 2; LUAD: Lung adenocarcinoma; LOH: Loss of heterozygosity; m6A: N6-methyladenosine; MMP: Matrix metalloproteinase; NSCLC: Non-small cell lung cancer; NHEJ: Non-homologous end joining; OG: 8-Oxo-7,8-dihydroguanine; OS: Overall survival; PT: Phosphorothioate; PD-1: Anti-programmed cell death protein 1; PD-L1: Anti-programmed cell death ligand 1; PTMs: Posttranslational modifications; PFS: Progression-free survival; ROS: Reactive oxygen species; RARBeta: Retinoic acid receptor-beta; SMYD2: SET and MYND domain-containing 2; SCLC: Small cell lung cancer; TMB: Tumor mutation burden; TCGA: The Cancer Genome Atlas; TKIs: Tyrosine kinase inhibitors; TF: Transcription factor; TET: Ten-eleven translocation enzymes; TSGs: Tumor suppressor genes; 5mC: 5-Methylcytosine; 5hmU: 5-Hydroxymethyluracil; 5fU: 5-Formyluracil; 5hmU: 5-Hydroxymethyluracil; 5fU: 5-Formyluracil; 5-Aza-dC: 5-Aza-2'-deoxycytidine; 5-aza-CdR: 5-Aza-2'-deoxycytidine

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the manuscript, and was responsible for the corresponding works. All authors approved the final manuscript.

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