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Peritoneal cell-free tumor DNA as biomarker for peritoneal surface malignancies

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Abstract

Background: Disease burden in patients with peritoneal carcinomatosis (PC) is difficult to estimate. We evaluated whether peritoneal cell-free tumor DNA can be used as a measure of disease burden.

Methods: Malignant ascites or peritoneal lavage fluids were collected from patients with PC under approved IRB protocol. Cell-free DNA was extracted from peritoneal fluid. Droplet digital PCR (ddPCR) was performed using a commercially available *KRAS G12/G13* screening kit. Mutant allele frequency (MAF) was calculated based on the numbers of *KRAS* wild-type and mutant droplets. Clinicopathological, treatment and outcome data were abstracted and correlated with MAF of cell-free *KRAS* mutant DNA.

Results: Cell-free *KRAS* mutant DNA was detected in 15/37 (40%) malignant peritoneal fluids with a MAF of 0.1% to 26.2%. While peritoneal cell-free *KRAS* mutant DNA was detected in all the patients with *KRAS* mutant tumors (N=10), 3/16 (19%) patients with *KRAS* wild-type tumors also had peritoneal cell-free *KRAS* mutant DNA. We also found that 71% (5/7) of patients with disease amenable to cytoreductive surgery (CRS) had a MAF of < 1% (median: 0.5%, range: 0.1-4.7%), while 75% (6/8) of patients with unresectable disease had a MAF of > 1% (median: 4.4%, range: 0.1-26.2%).

Conclusions: This pilot proof-of-principle study suggests that peritoneal cell-free tumor DNA detected by ddPCR may enable prediction of disease burden and a measure of disease amenable to CRS in patients with PC.

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Conflict of interest: Author PMK: Consultancy/Advisory board (Taiho, Ipsen, Foundation Medicine, Natera); Honoraria (AstraZeneca, Foundation Medicine, Natera). Foundation Medicine, a company focusing on genomics and ctDNA in blood/tissue, is not directly related to the submitted work, but related to ctDNA testing. Natera, a company focusing on ctDNA for stage II/III colorectal cancer, is not directly related to the submitted work, but it is related to the field of liquid biopsies. All other authors declare that they have no conflicts of interest.

Keywords

Cell-free tumor DNA; Droplet digital PCR; *KRAS* mutation; Peritoneal carcinomatosis; Peritoneal carcinomatosis index score

Introduction

Peritoneal carcinomatosis is associated with poor prognosis and shortened long-term survival. It is challenging to estimate the burden of disease in patients with peritoneal carcinomatosis. Most imaging studies underestimate the extent of disease due to limitations in detection of peritoneal disease.^{1,2} These methods are also not reproducible and lack reliability and inter-rater consistency. Available treatments for peritoneal carcinomatosis include systemic chemotherapy, cytoreductive surgery (CRS) and hyperthermic intraperitoneal chemotherapy (HIPEC).

Circulating cell-free tumor DNA (ctDNA) is released from necrotic and apoptotic tumor cells in the body and can be detectable in blood.³⁻⁵ ctDNA is increased in many cancers and can provide insights into therapeutic responses to treatments.⁶⁻⁸ Next-generation sequencing (NGS) is the most commonly used platform to detect ctDNA; however, droplet digital PCR (ddPCR) has a greater sensitivity for detection of mutant DNA copies of specific gene and may be more cost effective.⁹ These methods provide the opportunity for liquid biopsy via a minimally invasive approach to enable detection of tumor-specific mutations.¹⁰⁻¹² *KRAS* mutations are common in many cancers, including metastatic colorectal cancer and pancreatic cancer, and have important prognostic and predictive implications.^{9,13-16} High quantities of *KRAS* mutant ctDNA reflects disease burden in some cancers^{13,15,17,18} and also can provide insights into the mutational landscape in patients with peritoneal carcinomatosis.¹⁹⁻²¹

The goals of this study were to provide pilot data toward testing of the following hypotheses: 1) *KRAS* mutations can be detected in peritoneal fluids obtained from patients with peritoneal carcinomatosis using ddPCR; 2) high mean allele frequency (MAF) of cell-free *KRAS* mutant DNA will correlate with high surgical and radiologic peritoneal cancer index (PCI) scores; and 3) high MAF will be associated with nonresectable disease and shortened overall survival.

Materials and Methods

Patient population and sample collection.

Patients with peritoneal surface malignancies were approached and consented under an IRB-approved protocol (Gastrointestinal Molecular Epidemiology Resource, IRB#201202743) at the University of Iowa Hospitals and Clinics between 2016 and 2019. Clinical data including patient demographics, clinicopathological, treatment, and outcome data, were abstracted from the electronic medical records (EMR). Tumor *KRAS* mutational status was abstracted from pathology reports based on Sanger DNA sequencing methods and tumor molecular profiling data based on NGS methods available on EMR. Malignant ascites fluids were collected using suction device during surgery or percutaneous drainage catheter during

ultrasound-guided paracentesis. In patients with minimal ascites fluids, peritoneal lavage was performed and fluid was collected using suction device during surgery after instilling 100-300 ml of sterile saline into the peritoneal cavity during initial surgical exploration.

Radiologic and surgical peritoneal cancer index scoring.

A board-certified radiologist (MR) reviewed computed tomography abdominopelvic scans obtained immediately prior to the fluid collection for radiologic PCI scoring. Surgical PCI score was obtained at the time of the initial operation by a board-certified surgical oncologist (CHFC).²²

Sample preparation and droplet digital PCR.

Freshly collected peritoneal fluids were centrifuged at 1200 rpm for 5 minutes at 4°C to remove cells and debris. Supernatants were stored at -80°C until use. Cell free DNA was isolated from peritoneal fluids using QIAamp DNA Blood Midi kit (QIAGEN) and quantified using NanoDrop (ThermoFisher). Using the ddPCR™ *KRAS G12/13* screening kit (BioRad), 50 ng of DNA was mixed with 10 µl of 2x ddPCR™ Supermix for Probes (no dUTP), 1 µl of 20x multiplex primers/probes (FAM + HEX) and 10 U of MseI for a 20 µl reaction. After vortex thoroughly, 20 µl of the reaction mix was loaded into the sample well of the QX200 Droplet Generator cartridge and mixed with 70 µl of Droplet Generation Oil. Droplets were then generated per manufacturer's protocol. Droplets were then transferred to a 96-well plate for PCR reaction (10 minutes at 95°C, followed by 40 cycles of denaturation at 94°C for 30 seconds and annealing/extension at 55°C for 1 minute, and followed by 10 minutes at 98°C). The 96-well plate was then analyzed using QX200 Droplet Reader and the data analyzed using QuantaSoft software. Samples with less than 10,000 droplets generated were excluded for analysis. HEX (*KRAS* WT) or FAM (*KRAS* mutant) positive droplets were enumerated. MAF was calculated by FAM-positive droplets/(FAM-positive + HEX-positive droplets) x 100%.

Outcome measures and statistical analysis.

Follow up was the interval between the date of fluid collection and the last date of clinical follow up or death. Associations of MAF with patient survival after fluid collection were assessed with Kaplan Meier curves and log-rank tests. Continuous variables were analyzed using unpaired two-tailed Student t-tests. Linear regression was used for analyzing correlation. P-values > 0.05 were considered significant. Figures and statistical analysis were done using Prism 8 (Graphpad Software).

Results

The mean age of the cohort was 54-years-old (Supplemental Table 1). 57% of the patients were male and 43% were female. 54% (20/37) of patients underwent pre-collection chemotherapy or immunotherapy. 84% (31/37) of patients underwent surgical exploration at the time of peritoneal fluid collection, where 28 of the 31 patients had surgical PCI scores documented. For overall therapy, 49% (18/37) received treatment with chemotherapy alone, and 41% (15/37 patients in each group) had CRS +/- HIPEC, while 11% (4/37) had no treatment. Appendiceal cancer predominated at 41% (15/37), followed by colon cancer

primary at 27% (10/37). 57% of patients remained alive and 43% were deceased at median follow up of 5.4 months.

Detection of peritoneal ctDNA in patients with peritoneal surface malignancies.

Of 37 patients with peritoneal carcinomatosis, 26 patients (70%) had tumor *KRAS* status. Sixteen of them were wild-type; 10 were mutant; 11 were unknown (Supplemental Table 1). We tested whether cell-free *KRAS* mutant DNA could be detected in the peritoneal fluids in patients with peritoneal carcinomatosis using ddPCR. Of these 37 patients with peritoneal carcinomatosis, 40% (15/37) were positive for *KRAS* mutant DNA in peritoneal fluid while 60% were negative. *KRAS* mutant DNA was detected in all patients with *KRAS* tumors (N=10) and in 19% (3/16) with *KRAS* wild-type tumors (Table 1). Two of 11 tumors with unknown *KRAS* status were also positive for cell-free *KRAS* mutant DNA. This finding suggests the presence of tumor heterogeneity.

Peritoneal ctDNA correlates with disease burden, but not survival.

We hypothesized that MAF would be associated with higher disease burden. In patients with detectable peritoneal cell-free *KRAS* mutant DNA, 71% (5/7) of patients with disease amenable to CRS had MAF less than 1%, while 75% (6/8) of patients with unresectable disease had MAF greater than 1% (Table 1). Thus, MAF of less than 1% may predict disease amenable to CRS in patients with peritoneal carcinomatosis.

Next, we tested whether a high surgical or radiological PCI score would predict high MAF. Peritoneal malignancies with MAF greater than 1% had a significantly higher surgical PCI score (Mean \pm SEM: 27 ± 4.6), compared to those with MAF of less than 1% (12.8 ± 3.7) (Figure 1a, P=0.037). Radiologic PCI scores trended higher in peritoneal fluids with MAF greater than 1% (10.8 ± 1.5 vs. 5.9 ± 2.0), but did not reach statistical significance (Figure 1b, P=0.072). Additionally, radiologic PCI scores had a more limited range, suggesting potential to underestimate disease burden. Surgical PCI score correlated significantly with MAF and had a moderate positive association (Figure 2a, P=0.02), while radiologic PCI score demonstrated no association or correlation with MAF (Figure 2b). There was also no direct relationship between radiologic and surgical PCI score (Figure 2c). This is not surprising since radiologic PCI tend to underestimate the disease burden in patients with peritoneal carcinomatosis. This suggests that radiologic PCI score has a limited ability to detect disease burden and thus may not be an accurate measure.

We hypothesized that higher MAF would be associated with worse survival. However, there was no significant effect of MAF on overall survival after peritoneal fluid collection (Figure 3). Notably, our sample size was small and our patient population was very heterogenous. Thus, it is possible that this effect could become significant if more patients with similar disease type were included in our study, since there appears to be a separation between groups by MAF.

Discussion

We have found that cell-free *KRAS* mutant DNA can be detected in peritoneal fluids and that *KRAS* wild-type tumors can also have *KRAS* mutant DNA detected by ddPCR,

suggesting tumor heterogeneity that is not detected by tumor DNA sequencing using either Sanger or NGS methods with solid tumor biopsy samples.^{23,24} Discrepancy between mutational status detected in liquid biopsies compared to tumor biopsies may be due to sampling bias from tumor biopsy or tumor cellularity, while liquid biopsies rely on tumor shedding. In our study, all patients with *KRAS* mutant tumors had a positive *KRAS* mutant ctDNA and approximately 20% of patients with *KRAS* wild-type tumors also had a positive *KRAS* mutant ctDNA. Thus, ctDNA testing for *KRAS* mutation in liquid biopsy may be more sensitive to mutational testing than in tumor tissues, as reported in other studies.^{25,26}

Alternatively, the detection of cell-free *KRAS* mutant DNA in patients with wild-type tumors could also be due to clonal selection after systemic therapy, particularly for patients receiving anti-EGFR therapy, such as panitumumab. In our cohort, 10 of the 16 patients with wild-type *KRAS* tumor status received systemic therapy, including 2 with panitumumab, 3 with bevacizumab and 1 with pembrolizumab, prior to the testing of peritoneal cell-free DNA for *KRAS* mutants. While none of the 6 patients without prior chemotherapy had positive *KRAS* mutant DNA detected by ddPCR, 3 of the 10 patients who received prior systemic therapy including 2 with bevacizumab had mutant *KRAS* DNA detected in their peritoneal fluid. This finding suggests the theory of clonal selection of the heterogeneous tumors with systemic treatment is plausible. A larger cohort with pre- and post-treatment ctDNA testing will however be required to evaluate this hypothesis.

Studies are being undertaken to monitor ctDNA serially as patients undergo therapeutic intervention to better understand the disease state. Interestingly, monitoring of *KRAS* status in patients with pancreatic ductal adenocarcinoma during chemotherapy supports increases in MAF concordant to disease burden and longer progression-free survival with loss of *KRAS* mutant status during chemotherapy.^{15,27} Similar findings were also present in metastatic colorectal cancer.¹⁹ Additionally, mutant *KRAS* ctDNA may correlate with high numbers of regulatory T cells and poor survival in pancreatic cancer.²¹

There are multiple methods available to detect ctDNA. ddPCR carries advantages of being more sensitive to detect a specific mutation compared to NGS²⁸ and is more cost effective, but ddPCR is more restricted to specific hotspot mutations. Liquid biopsy and ctDNA testing are less invasive approach to obtain information on tumor disease status than testing a tumor biopsy sample. Furthermore, detection of ctDNA in blood or plasma is believed to have limited sensitivity for patients with isolated peritoneal disease. This is where peritoneal fluid ctDNA may have clinical utility. Most liquid biopsies are performed using serum or plasma which has a short half-life of ctDNA of 2.5 hours;^{17,29,30} however, ctDNA in peritoneal fluid has a longer half-life and better detection rates.^{31,32} Thus, peritoneal fluid ctDNA is more likely to reflect the true burden of peritoneal disease. In this study, cell-free *KRAS* mutant DNA detected by ddPCR in peritoneal fluid may serve as a predictor of disease burden and a measure of disease amenable to CRS in patients with peritoneal carcinomatosis. MAF obtained from ddPCR correlated with surgical PCI score, and low MAF values were associated with disease amenable to CRS (71% of patients with MAF less than 1% achieved complete cytoreduction and 75% of patients with MAF greater than 1% did not). Thus, peritoneal ctDNA testing may serve as a biomarker to assess disease burden and indicate resectability in peritoneal carcinomatosis. However, ddPCR bears some technical limitations

including single-gene testing and genes with limited mutational hotspots. These limitations can be overcome by customizing ctDNA testing based on known tumor mutational profiles, as demonstrated conceptually by other studies.^{33,34}

This study was limited by small sample size and single-institution setting. Another major limitation was the lack of tumor *KRAS* status in 11 (30%) cases in this study. Two of these “unknown” cases were pancreatic cancers. Obtaining *KRAS* mutation status or tumor mutation profiling had not been routine during the study period at our institution. These two cases were most likely *KRAS* mutants since approximately 90% of pancreatic cancer has *KRAS* mutations.³⁵ The remaining 9 cases either had tissue blocks at outside hospitals or patients were deceased, making requesting tumor *KRAS* status very difficult. The question on tumor heterogeneity would have been better evaluated if the tumor *KRAS* mutation status was available for the remaining cases. Also, this pilot study only includes single-gene testing and a single time-point for ctDNA testing. The heterogenous patient population and different pre-collection treatments ultimately had a significant impact on survival analysis. A larger cohort of more homogenous patient population with a more standardized fluid collection method and available surgical PCI scores will be needed to validate the use of peritoneal ctDNA testing. Future studies will also monitor ctDNA using multiple common mutational hotspots of several genes to gain better understanding of the tumor mutational landscape for each patient through the course of various treatments.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Synopsis:

Cell-free *KRAS* mutant DNA can be detected in peritoneal fluids of patients with peritoneal carcinomatosis using droplet digital PCR method. Its mutant allele frequency can be a predictive biomarker for peritoneal disease burden.

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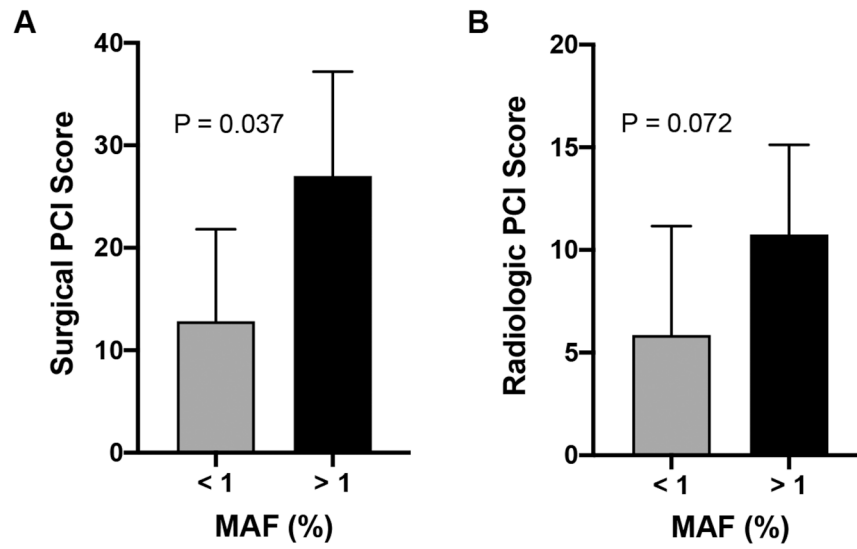


Figure 1: Relationship between MAF and PCI scores.

A) Patients with MAF > 1% had significantly higher surgical PCI scores (27 vs. 13, $P = 0.037$). **B)** Patients with MAF > 1% tended to have higher radiological PCI scores (11 vs. 6, $P = 0.072$).

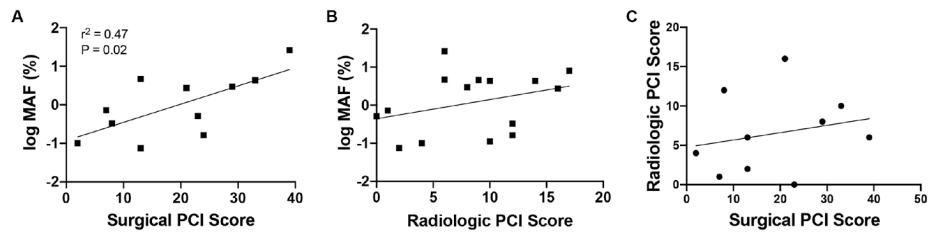


Figure 2: Correlations between MAF, surgical PCI scores and radiological PCI scores.
A) Correlation between log MAF and surgical PCI scores ($R^2 = 0.47$, $P = 0.020$). **B)** Correlation between log MAF and radiological PCI scores ($R^2 = 0.11$, $P = 0.225$). **C)** Correlation between surgical and radiological PCI scores ($R^2 = 0.05$, $P = 0.536$).

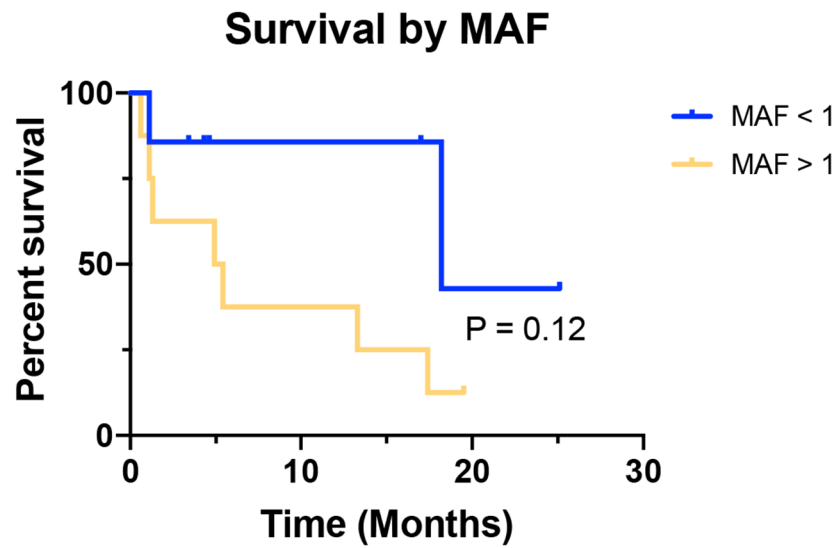


Figure 3: Overall survival based on MAF.

Kaplan Meier curves with survival data for MAF greater or less than 1%. Median overall survivals were 18.2 months and 5.2 months for MAF < 1% (blue line) and MAF > 1% (yellow line), respectively (P = 0.12).

Table 1:

Patients with positive *KRAS* mutant ctDNA by ddPCR

Subject ID	Age	Sex	Primary Site	Histology Type ¹	<i>KRAS</i> Status ²	Surgical PCI ³	Radiologic PCI ⁴	ddPCR MAF (%)	Pre-collection treatment ⁵	Surgery ⁶	Post-collection treatment ⁵	Follow up (Months) ⁷	Status at last follow up
GI0585	56	F	Colon	AD	WT	13	2	0.075	FOLFIRI	CRS-HIPEC	FOLFOX+Bev	18.2	Dead
GI0611	52	M	Colon	MAD	G12V	33	10	4.350	None	DL	FOLFOX/FOLFIRI+Bev	17.2	Dead
GI0701	61	F	Appendix	GCC	G12D	23	0	0.512	None	CRS-HIPEC	FOLFOX+Bev	25.1	Alive
GI0764	63	M	Appendix	GCC	WT	29	8	2.957	FOLFOX+Bev	CRS-HIPEC	None	13.3	Dead
GI0767	37	F	Jejunum	AD	G12D	13	6	4.680	CAPOX	CRS-HIPEC	CAPOX+Bev	19.5	Alive
GI0791	62	F	Colon	AD	G12V	2	4	0.101	5FU+Bev	CRS	FOLFOX+Bev	17.0	Alive
GI0812	51	F	Pancreas	MAD	G12D	NA	9	4.593	None	None	Partial CRS	4.9	Dead
GI0825	54	M	Appendix	AD-SRC	G12V	NA	14	4.350	FOLFOX/Cis/Reg	None	None	1.1	Dead
GI0828	69	M	Pancreas	MAD	UNK	8	12	0.327	None	DL	Gem+Pac+Ascorbate	4.3	Alive
GI0830	64	F	Pancreas	AD	UNK	NA	17	7.995	FOLFIRINOX/ Gem+Pac	None	None	0.6	Dead
GI0877	59	M	Unknown	AD	G12V	NA	10	0.112	FOLFOX	None	None	1.1	Dead
GI0955	40	F	Ileum	AD	WT	24	12	0.163	FOLFOX+Bev	CRS-HIPEC	Cap/5FU	4.6	Alive
GI1050	60	F	Pancreas	MAD	G12D	21	16	2.735	None	DL	Gem+Pac/FOLFIRINOX	5.4	Dead
GI1107	47	F	Colon	AD	G12V	7	1	0.725	FOLFOX	CRS	None	3.4	Alive
GI1130	46	F	Appendix	MAD	G12D	39	6	26.246	FOLFOX/FOLFIRI/ HIPEC	DLI	None	1.3	Dead

¹ AD: Adenocarcinoma, AD-SRC: Adenocarcinoma with signet ring cells, GCC: Goblet cell carcinoma, MAD: Mucinous adenocarcinoma

² *KRAS* Status: Wild-type (WT), mutant (G12V, G12D), unknown (UNK)

³ Peritoneal Carcinomatosis Index (PCI) during surgical exploration. NA: Not available (for patients without surgery)

⁴ Radiologic Peritoneal Carcinomatosis Index (PCI) was estimated using pre-collection CT scans by a board-certified radiologist

⁵ Treatment within 6 months of collection. 5FU: 5-Fluorouracil; Bev: Bevacizumab; Cap: Capecitabine; Oxaliplatin; Cis: Cisplatin; CRS: Cytoreductive surgery; FOLFIRI: 5FU, leucovorin, irinotecan; FOLFOX: 5FU, leucovorin, oxaliplatin; FOLFIRINOX: 5FU, leucovorin, irinotecan, oxaliplatin; Gem: Gemcitabine; Pac: Nab-Paclitaxel; Reg: Regorafenib.

⁶ Surgery at the time of fluid collection. CRS: Cytoreductive surgery; DL: Diverting loop ileostomy; HIPEC: Hyperthermic intraperitoneal chemotherapy.

⁷ Follow up: Time interval between fluid collection and last follow-up or death.