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CoronaVac: A review of efficacy, safety, and immunogenicity of the inactivated vaccine against SARS-CoV-2

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ABSTRACT

CoronaVac, also known as the Sinovac inactivated SARS-CoV-2 vaccine, has been widely implemented in combating the COVID-19 pandemic. We summarized the results of clinical trials and real-world studies of CoronaVac in this review. The overall efficacy for the prevention of symptomatic COVID-19 (before the emergence of variants of concern) using two doses of 3 µg CoronaVac was 67.7% (95% Cl, 35.9% to 83.7%). Effectiveness in preventing hospitalizations, ICU admissions, and deaths was more prominent than that in preventing COVID-19. A third dose inherited the effectiveness against non-variants of concern and increased effectiveness against severe COVID-19 outcomes caused by omicron variants compared to two doses. Most adverse reactions were mild. Few vaccine-related serious adverse reactions have been reported. Moreover, three-dose regimen significantly increased the seroconversion levels of neutralizing antibodies against omicron as compared to two-dose regimen. This review of CoronaVac may provide a scientific basis for optimizing global immunization strategies.

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KEYWORDS

COVID-19; inactivated vaccine; vaccine efficacy; safety; immunogenicity

Introduction

The biggest vaccination campaign in history is underway to provide acquired immunity against SARS-CoV-2, the virus that causes COVID-19. Globally, there have been more than 460 million confirmed cases of COVID-19, including nearly 6.06 million deaths (up to 18 March 2022) and counting. More than 10.9 billion vaccine doses have been administered and more than 5 billion persons vaccinated with at least one dose as of 17 March 2022.¹ Vaccination is expected to be the ultimate weapon to end this pandemic. CoronaVac is an inactivated whole virus, aluminumhydroxide-adjuvanted COVID-19 vaccine, created from African green monkey kidney cells (Vero cells) that have been inoculated with SARS-CoV-2 (CN02 strain).^{2,3} The vaccine was developed by the Chinese company Sinovac Biotech. The primary vaccination regimen for CoronaVac is two doses with an interval of 2 to 4 weeks.³ Injections should be made in the deltoid muscle 2-3 finger widths below the medial lateral process of the acromion. The raw material and final product for formulating CoronaVac can be refrigerated and transported at 2-8°C without the need to be frozen, which is importantly helpful for global distribution.⁴ On 1 June 2021, WHO validated the vaccine for emergency use. As of 17 March 2022, over two billion doses of CoronaVac have been administered in 52 countries and jurisdictions, most of which are low or middle-income areas.⁵ Therefore, CoronaVac vaccine has been enormously important in fighting the COVID-19 pandemic.

Recently, the protection offered by the vaccine has been questioned. Firstly, the emergence of five SARS-CoV-2 variants of concern since September 2020 is concerning, particularly B.1.1.529 (South Africa, Omicron). The emerging variants with multiple mutations in the spike protein or RBD are capable of escaping neutralization by vaccine-induced humoral immunity.⁶ Secondly, virus neutralizing antibody response, regarded as a proxy for protection from infection in humans with virus, from the two-dose regimen wanes over time,^{7,8} and the protection provided to the elderly and immunocompromised persons is limited. In October 2021, WHO recommended an additional dose for older adults and immunocompromised persons who have received two-dose CoronaVac to ensure sufficient protection.^{7,9} Hence, we reviewed the efficacy, safety, and immunogenicity of CoronaVac against SARS-CoV-2 in clinical trials and realworld studies for providing a basis for the optimization of global immunization programs.

Efficacy

Efficacy in clinical trials

Vaccine efficacy refers to the proportion of reduction of a disease among vaccinated persons during a clinical trial.¹⁰ There were three reported phase 3 clinical trials of CoronaVac. One was conducted at 24 centers in Turkey from September 2020 to January 2021, and included 10,214 adults aged 18–59 years (before the emergence of variants of concern). Individuals were

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Table 1. Efficacy of CoronaVac in clinical trials.

Efficacy	Age	Symptomatic COVID-19	Hospitalization	Requiring assistance	Moderate/severe disease
In Turkey ¹¹	18–59 years	83.5(65.4-92.1)	100.0(20.4-100.0)	NR	NR
In Brazil ¹²	≥18 years	50.7(35.9–62.0)	NR	83.7(58.0-93.7)	100.0(56.4–100.0)
In Indonesia ^{13,14}	18–59 years	65.0(20.0-85.0)	NR	NR	NR
Overall	_	67.7(35.9–83.7)		_	—

Data are efficacies (%) with 95% confidence intervals for the prevention of symptomatic COVID-19 14 days or more after dose 2 before the emergence of variants of concern. NR=not reported.

randomly assigned to receive two doses of CoronaVac or placebo (vaccinations were 14 days apart).¹¹ Another was conducted at 16 centers in Brazil from July 21 to 16 December 2020 (before the emergence of variants of concern) and included 12,396 healthcare workers aged 18–59 and 60 years or older (\geq 14 days apart).¹² The third one was conducted in Indonesia involving 1620 healthy participants aged 18–59 years (14 days apart) from 11 August 2020 to 21 October 2020 (before the emergence of variants of concern).^{13,14}

The efficacies for the prevention of symptomatic COVID-19 14 days or more after the second dose were 83.5% (95% CI, 65.4% to 92.1%) in Turkey,¹¹ 50.7% (95% CI, 35.9%-62.0%) in Brazil,¹² 65% (95% CI, 20% to 85%) in Indonesia.^{13,14} We estimated a pooled value using inverse variance-weighted random effect models using log-transformed effect size estimates from each phase 3 trial. The pooled efficacy for the prevention of symptomatic COVID-19 was 67.7% (95% CI, 35.9% to 83.7%) (Table 1). That is, compared to placebo recipients, twodose CoronaVac reduced the risk of COVID-19 by 67.7%.

The efficacy for the prevention of COVID-19-related hospitalization (in Turkey), cases requiring assistance and moderate/ severe cases (in Brazil) was 100.0% (95% CI, 20.4% to 100.0%),¹¹ 83.7% (95% CI, 58.0% to 93.7%) and 100.0% (95% CI, 56.4% to 100.0%), respectively (Table 1).¹² Obviously, CoronaVac are more effective in preventing severe COVID-19 outcomes than in preventing infection with SARS-CoV-2.

Effectiveness in the real world

Vaccine effectiveness refers to how well the vaccines work to protect communities as a whole in the real world, differing from the efficacy measured in a clinical trial.¹⁰

Effectiveness against non-variants of concern

In Chile, a prospective national cohort included approximately 10.2 million persons aged 16 years or older from February 2 to 1 May 2021 (lack of representative data for variant types). CoronaVac was administered using a two-dose schedule (28 days apart). The vaccine effectiveness for the prevention of COVID-19, hospitalization, ICU admission, and COVID-19-related death was 65.9% (95%CI, 65.2%-66.6%), 87.5% (95%CI, 86.7%-88.2%), 90.3% (95%CI, 89.1%-91.4%), 86.3% (95%CI, 84.5%-87.9%), respectively, at 14 days or above following the second dose. These effectiveness were significantly higher than those after the first dose (Table 2).¹⁵ The subgroup results showed that the effectiveness of two doses in preventing hospitalization and ICU admission was reduced in adults aged \geq 60 years as compared to that in adults aged 16–59 years (Table 2).¹⁵

However, a cross-sectional study in an intensive care unit in Turkey found discouraging results at least 14 days after the second dose (no sampling for variant type). ICU and hospital stay, ICU and hospital mortality were similar between the vaccinated and unvaccinated groups. But the sample size in this study was only 90, and the data were from COVID-19 patients over 65 years old.¹⁶

Effectiveness against SARS-CoV-2 variants of concern

SARS-CoV-2 variants of concern have increased transmissibility or detrimental change compared to the original virus. Alpha variant (B.1.1.7) was estimated to be 1.4- to 1.9- fold more transmissible than the wild-type SARS-CoV-2.¹⁷ A retrospective cohort study was conducted among 4067 healthcare workers in Turkey between March 1 and 31 May 2021 when alpha variant was dominant. The median follow-up period was 104 days after the second dose. Two-dose CoronaVac were 39% (95% CI, 20%-64%) effective in preventing alpha variant infection (Table 2).¹⁸

Gamma variant (P.1) first discovered in Manaus in early 2021, showed 1.7- to 2.4-fold more transmissible than the ancestral virus.¹⁹ A matched, test-negative case-control realworld study was conducted in Brazil from January 17 to 29 April 2021, including 22,177 individuals aged ≥70 years across 645 cities using two-dose vaccination (28 days apart). In the setting with extensive transmission of the gamma variant, when ≥ 14 days following the second dose, the adjusted effectiveness for the prevention of symptomatic COVID-19, hospitalization and COVID-19-related death was 46.8% (95% CI, 38.7% to 53.8%), 55.5% (95% CI, 46.5% to 62.9%) and 61.2% (95% CI, 48.9% to 70.5%) respectively (Table 2).²⁰ Furthermore, a retrospective longitudinal study of more than 25 million CoronaVac vaccinees in Brazil from January 18 to 24 July 2021 (P.1 dominated) showed that vaccine effectiveness 14 days and above after the second dose against hospitalization, ICU admission, and death were lower in adults ≥ 60 years as compared to that in adults aged <60 years (Table 2).²¹ In a descriptive observational study in Colombia from 24 February 2021, to 10 August 2021 among 7856 inhabitants aged 18 years and above (P.1 circulates), two-dose CoronaVac was 94.3% to prevent mild cases, and 99.9% effective in preventing moderate, severe forms and deaths. Duration after the second immunization was not provided in this study.²² However, a test-negative case-control study from 1 October 2020 to 13 April 2021 among 53,153 healthcare workers in Brazil showed less than 50% effectiveness against symptomatic gamma infection 14 days or more after receiving the second dose (vaccine effectiveness: 36.8% [95% CI, -54.9%

Effectiveness	Doses	COVID-19	Symptomatic COVID-19	Hospitalization	ICU admission	COVID-19-related dea
		COVID-19	COVID-19	Hospitalization		COVID-19-related de
gainst non-variants of	≥14 days after dose 1					
concern in adults ^{15,31}	≥16 years	17.1(15.5–18.7)	NR	42.9(38.9–46.1)	44.6(38.9–49.9)	42.0(25.6–54.8)
	≥14 days after dose 2					
	≥16 years	65.9(65.2–66.6)	NR	87.5(86.7-88.2)	90.3(89.1–91.4)	86.3(84.5-87.9)
	16–59 years	63.5(62.4–64.6)	NR	91.9(90.2–92.2)	94.6(92.2–96.3)	85.8(69.6–93.4)
	≥60 years	66.6(65.4–67.8)	NR	85.3(84.3-86.3)	89.2(87.6–90.6)	86.5(84.6-88.1)
	At 14 days after dose 3					ND
	Unreported age range	70.9(65.0–75.8)	73.6(67.5–78.5)	80.8(72.6-86.5)	85.1(70.4–92.5)	NR
gainst alpha variants in	Mean 104 days after dose 2					
healthcare workers ¹⁸	Unreported age range	39(20-64)	NR	NR	NR	NR
gainst gamma in adults	≥14 days after dose 1					
in a longitudinal	<60 years	31.9(29.5-34.2)	NR	54.3(47.1–60.6)	53.3(37.7-65.0)	56.1(34.3-70.7)
study ²¹	60–69 years	22.0(18.5–25.3)	NR	37.5(31.5-43.0)	38.4(28.6–46.9)	40.4(31.1-48.5)
study	70–79 years	36.8(34.1–39.4)	NR	41.9(37.3–46.1)	41.1(33.7–47.7)	44.4(38.1–50.0)
	80–89 years	22.9(16.9–28.4)	NR	28.9(20.3–36.6)	33.6(19.4–45.4)	30.7(20.1–39.9)
	≥90 years	17.1(2.9–29.2)	NR	17.2(-3.8-33.9)	11.4(-34.7-41.7)	19.1(-6.5-38.5)
	≥14 days after dose 2	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		.,		12.1 (0.2 20.2)
	<60 years	50.0(48.4–51.4)	NR	82.8(80.0-85.1)	84.1(78.6.0-88.2)	84.8(77.1-89.9)
	60–69 years	59.1(57.4–60.8)	NR	79.6(77.6–81.4)	80.0(76.7-82.7)	82.9(80.1-85.3)
	70–79 years	59.5(58.0–60.9)	NR	72.7(70.8–74.4)	73.8(70.9–76.4)	77.5(75.3–79.5)
	80–89 years	48.8(45.5–52.0)	NR	58.2(53.9–62.1)	58.5(51.2-64.7)	63.5(58.7–67.7)
			NR			, ,
	≥90 years	36.5(27.5–44.4)	INK	42.4(30.2–52.4)	36.0(8.5–55.3)	48.6(35.0–59.3)
gainst gamma variants	≥14 days after dose 1					
in older adults in	≥70 years	NR	12.5(3.7–20.6)	16.9 (5.7–26.8)	NR	16.9(5.7–26.8)
a case-control study ²⁰	≥14 days after dose 2					
	≥70 years	NR	46.8(38.7-53.8)	55.5 (46.5–62.9)	NR	61.2(48.9-70.5)
	70–74 years	NR	59.0(43.7-70.2)	77.6(62.5-86.7)	NR	83.9(59.2–93.7)
	75–79 years	NR	56.2(43.0-66.3)	66.6(51.8-76.9)	NR	78.0(58.8-88.3)
	≥80 years	NR	32.7(17.0-45.5)	38.9(21.4-52.5)	NR	44.0(20.3-60.6)
gainst gamma variants	≥14 days after dose 1					
in healthcare workers ²³	≥18 years	NR	49.6(11.3–71.4)	NR	NR	NR
in nearthcare workers	≥14 days after dose 2		(F.1,7=7,1.7)		INIX	
	\geq 14 days after dose 2 \geq 18 years	NR	36.8 (-54.9-74.2)	NR	NR	NR
	,	INIA	50.0 (-54.9-74.2)	INIA	INIA	INIA
gainst delta variants in	1–2 months after dose 2					
adults ²⁵	≥18 years	74.5(70.6–78.0)*	NR	NR	56.0(51.2–60.2)	79.2(76.8–81.4)
	18–39 years	73.9(68.4–78.5)*	NR	NR	81.9(75.1–86.8)	88.3(81.1–92.7)
	40–59 years	70.5(64.1–75.7)	NR	NR	71.2(66.1–75.5)	85.5(82.1-88.3)
	≥60 years	78.6(74.4–82.2)	NR	NR	46.1(37.1–53.8)	76.3(72.7–79.4)
	3–5 months after dose 2					
	≥18 years	30.4(18.8-40.3)*	NR	NR	28.7(12.2-42.1)	76.2(68.8-81.9)
	18–39 years	67.3(60.1-73.3)*	NR	NR	43.5(-5.1-69.6)	59.5(-11.5-85.3)
	40–59 years	32.4(16.7-45.2)	NR	NR	38.3(10.9-57.2)	82.6(63.6-91.7)
	≥60 years	38.6(68.4-14.1)	NR	NR	30.3(7.7-47.4)	75.4(66.7-81.9)
gainst omicron	≥14 days after dose 1					
variants ²⁸	20−59 years	NR	NR	2.1(-53.3-37.5) ^a	60.9(40.6–74.3) ^b	65 1/29 6 70 1)
variants	60–69 years	NR	NR	2.1(-33.3-37.3) NR ^a	55.1(30.9, 70.9) ^b	65.4(38.6, 79.4) 70.2(51.3, 81.7)
				NR ^a		
	70–79 years	NR	NR		33.9(8.1, 52.5) ^b	48.9(28.1, 63.7)
	≥80 years	NR	NR	NR ^a	35.0(8.8, 53.7) ^b	40.5(14.9, 58.4)
	≥14 days after dose 2				ar star a stab	
	20–59 years	NR	NR	17.9(-18.0, 42.9) ^a	91.7(87.8, 94.4) ^b	94.0(89.6, 96.5)
	60–69 years	NR	NR	NR ^a	82.6(74.2, 88.2) ^b	87.6(80.9, 91.9)
	70–79 years	NR	NR	NR ^a	80.8(72.8, 86.5) ^b	84.4(77.5, 89.2)
	≥80 years	NR	NR	NR ^a	60.2(43.9, 71.8) ^b	66.8(51.9, 77.0)
	≥14 days after dose 3					
	20–59 years	NR	NR	42.3(11.4, 62.4) ^a	98.5(95.2, 99.5) ^b	NR
	60–69 years	NR	NR	NR ^a	98.5(95.3, 99.6) ^b	98.7(94.4, 99.7)
	70–79 years	NR	NR	NR ^a	96.7(92.3, 98.6) ^b	97.2(92.3, 99.0)
	•	NR	NR	NR ^a	98.6(94.3, 99.7) ^b	99.2 (94.3, 99.9)

Table 2. Effectiveness of CoronaVac in the real world studies.

Data are effectiveness (%) with 95% confidence intervals. Superscript letters a and b indicate the effectiveness against mild/moderate disease, severe/fatal disease, respectively.* indicates effectiveness in persons with a lower age limit of 15. Variants of concern that were not reported are not listed. NR=not reported.

to 74.2%]. Even, during the 0–13 days after the first vaccination, vaccinated healthcare workers were more likely to be infected than unvaccinated individuals.²³

Delta (B.1.617.2) variant demonstrated 60% more transmissible than the alpha variant.²⁴ An observational study involving 9.92 million individuals was conducted in Malaysia from 1 September 2021 to 30 September 2021, during which the delta variant was predominant. Vaccine effectiveness against COVID-19 decreased from 74.5% (95% CI, 70.6% to 78.0%) at 1-2 months after the second dose to 30.4% (95% CI, 18.8% to 40.3%) at 3-5 months after the second dose in persons aged ≥15 years. Also, the effectiveness against ICU admission decreased from 56.0% (95% CI, 51.2% to 60.2%) to 28.7% (95% CI, 12.2% to 42.1%). Effectiveness against death remained stable (Table 2).²⁵ Similarly, a test-negative study from 18 January 2021 to 11 November 2021 involving almost 14 million people in Brazil estimated the effectiveness of CoronaVac over time. The effectiveness of CoronaVac in adults aged ≥18 years against COVID-19 (1-2 months after second dose: 51.7% [95% CI, 51.1%-52.4%]; 4-5 months after second dose: 41.8% [95% CI, 40.8% to 42.8%]; > 6 months after second dose: 34.7% [95% CI, 33.1% to 36.3%]), hospitalization or death (1–2 months: 82.6% [95%CI, 82.1% to 83.2%]; 4-5 months: 77.0% [95%CI, 76.1% to 77.8%]; > 6 months: 72.6% [95% CI, 71.0% to 74.2%]) waned over time, particularly for the elderly.²⁶

Omicron (B.1.1.529) variant multiplied around 70-fold faster than the Delta variant in the bronchi.²⁷ An ecological study effectiveness was conducted vaccine from 31 December 2021 to 8 March 2022 during a omicron variant associated epidemic of COVID-19 in Hong Kong, China and 14,861 persons aged 20-69 years with confirmed SARS-CoV-2 infection were analyzed. The most critical results of this study were that CoronaVac offered protection against severe/fatal disease or mortality after infection with omicron, and even one dose was effective. Of course, two doses offered a higher level of protection against severe/fatal disease or mortality compared to one dose.²⁸ These effectiveness of two-dose schedule for the prevention of symptomatic COVID-19, hospitalization, severe/fatal disease and deaths decreased with age (Table 2).^{20,28}

Effectiveness after a third dose of CoronaVac

Since evidence has shown that vaccine effectiveness wanes over time,²⁹ and as SARS-CoV-2 variants emerge,^{20,30} a third booster vaccination of CoronaVac was implemented to optimize immunity. As reported on 25 October 2021 in Chile, a third dose consistently prolonged the effectiveness of the second dose in general population in Chile. Effectiveness for the prevention of COVID-19, symptomatic COVID-19, hospitalization, and ICU admissions 14 days after the third dose were 70.89% (95%CI, 65.02% to 75.78%), 73.58% (95%CI, 67.50% to 78.52%), 80.77% (95%CI, 72.57% to 86.51%) and 85.10% (95%CI, 70.35% to 92.52%) respectively. The viral strains circulating at that time were not reported.³¹ Encouragingly, in the real world study in Hong Kong mentioned above, a third dose was not only inherited, but also improved the effectiveness against omicron of the second dose, and the effectiveness against severe/fatal or death was about 98%, whether under 60, over 60, or even over 80 years old (Table 2).²⁸

Safety

Total adverse events/reactions

Adverse reactions, also known as side effects, are considered vaccine-related. An adverse event can be a true adverse reaction or a coincidental event that happened following vaccination.³² Values of incidence reported in different studies vary. Incidence of adverse events within 7 days after each dose in the vaccine group was higher than that in the placebo group in the phase 3 trials in Turkey (18.9% versus 16.9%, p = .01) and adverse reactions within 7 days after each injection in Brazil as well (77.1% versus 66.4%, p < .001).^{11,12} However, in a phase 3 trial in Indonesia, after two-dose immunization, there was no significant difference in the frequency of mild adverse events within 28 days after the second dose between the vaccine and control groups (47.9% versus 42.9%, p = .317).¹³ Anyway, most of the adverse reactions were mild.^{11–13}

A phase 4 trial in Brazil included 910 patients with autoimmune rheumatic diseases (ARD) and 182 healthy controls and showed that the total adverse events within 28 days after the first dose and 6 weeks after the second dose were more frequent in patients than that in the healthy adults (50.5% versus 40.1%, p = .011).³³ A crosssectional survey reported that among 22 CoronaVac recipients with autoimmune and inflammatory rheumatic diseases (AIIRD), the incidence of adverse events within 6 months prior to study initiation was 54.5% and the vast majority were common local reactions or system response.³⁴ Among cancer patients receiving active systemic therapy, the cumulative rate of adverse reactions after one dose and two doses was 19% and 32% respectively, and both were mild or moderate (grade 1 or 2).35 In addition, the incidence of adverse reactions within one week following the first/second immunization among 1673 medical workers in China was 15.6%/14.6%³⁶ and the incidence of adverse events in 144 medical interns in Indonesia was 38%/35%.³⁷ No gender differences were observed in the two surveys. However, Riad et al. surveyed 780 healthcare workers in Turkey who received either one or two doses of CoronaVac and found that women had a higher frequency of adverse reactions than men (67.9% versus 51.4%, p <.001).³⁸ Moreover, a third booster dose after 6-8 months of primary immunization also showed a good safety profile. Adverse reactions did not increase following the third dose compared with the second dose.^{39,40}

Other adverse events like cutaneous allergic reactions,^{41–43} reactive arthritis,⁴⁴ subacute thyroiditis,^{45,46} acute thyroiditis and bilateral optic neuritis,⁴⁷ transient focal neurological deficit mimicking stroke,⁴⁸ and shoulder injury⁴⁹ were also reported. Most, in case reports, wereconsidered self-limiting or discharged after receiving medical care.

Injection site pain was the most common adverse reaction/ event (mostly greater than 10%) in clinical trials or real-world surveys.^{11–13–33–35–37–40,41–50} Fatigue (mostly greater than 10%) was the most frequently reported in phase 3 trial in Turkey,¹¹ cancer patients,³⁵ and healthcare workers.^{36,38,50} The most frequent systemic reactions/events in the phase 3 and phase 4 trials in Brazil were myalgia¹² and headache,³³ respectively. In general, the most reported systemic reaction was fatigue. Most adverse reactions/events occurred in less than 10% of CoronaVac recipients (Table 3).

Serious adverse events

Serious adverse events were reported in 11 (0.1%) of the 10,214 participants, including six (0.1%) of 6646 in the vaccine group and five (0.1%) of 3568 in the placebo, during a median followup period of 43 days after the second dose of CoronaVac in the interim results of phase 3 trial in Turkey.¹¹ In the phase 3 trial in Brazil, during a median follow-up of two months after the second dose, 64 (0.5%) of the 12,396 participants reported serious adverse events, including 33 (0.5%) of 6195 in the vaccine group and 31 (0.5%) of 6201 in the placebo group.¹² In the phase 3 trial in Indonesia, 9 (0.6%) of the 1620 subjects reported serious adverse events during a median period of 2.5 months after the second dose.¹³ Of the three real-world studies among healthcare workers, one showed 4 (0.5%) of 878 reported serious adverse events requiring medical care within four weeks after vaccination,³⁸ and the other two showed none.^{36,50} No serious adverse events following vaccination were seen in the phase 4 trial in Brazil,³³ and in real world investigations in patients with autoimmune and inflammatory rheumatic diseases,³⁴ and patients with cancer undergoing treatment.³⁵ After the third dose (injected 8 months after the second dose), 5 (5.0%) of 101 participants reported serious adverse events from the beginning of immunization to 28 days after the third dose (Table 3).⁴⁰

There were a total of five serious adverse reactions after evaluation among the serious adverse events reported above,^{11,38} and the others were deemed not related to vaccination.

Immunogenicity

Immunogenicity in healthy population

Immunogenicity is the ability of a vaccine to provoke an immune response in the human body.⁵¹ In the phase 3 trial in adults aged 18–59 years in Turkey, two-dose CoronaVac induced anti-RBD antibodies in 89.7% of serum whereas 4.4% was observed in the placebo group.¹¹ In the phase 3 trial in adults aged 18–59 years in Indonesia, neutralizing antibody seroconversion was defined as conversion from titer <1:8 to titer \geq 1:8 or 4-fold increase if baseline titer \geq 1:8 14 days after two doses. The seroconversion rate in the vaccine group was higher than that in the placebo group (87.15% [95% CI, 83.50–90.09] versus 0.00% [95% CI, 0.00–2.81]) with the geometric mean titer (GMT) of 15.76 (95% CI, 14.57–17.04) versus 2.02 (95% CI, 1.98–2.05) (Figure 1a).¹³

Immunogenicity in special populations

Immunogenicity in patients with autoimmune rheumatic diseases (ARD)

Patients with ARD are at high risk for infectious diseases due to immune dysregulation, emphasizing the importance of the vaccine for this group of patients in reducing transmission. In the phase 4 trial in Brazil, neutralizing antibody positivity was defined as neutralization activity \geq 30% and anti-SARS-CoV-2 IgG seroconversion was defined as post-vaccination serology of \geq 15.0 UA/ml with a negative pre-vaccination serology at 6 weeks after two-dose schedule. Neutralizing antibody positivity rate (79.3% versus 56.3%, *p* < .001) and IgG seroconversion rate (95.5% versus 70.4%, *p* < .001) were lower in patients with ARD than those in healthy adults. Median neutralization activity (58.7% versus 64.5%, *p* = .013) in patients with ARD was lower compared to healthy adults, as were IgG titers (12.1 versus 29.7, *p* < .001).³³

Immunogenicity in pregnant woman

As a risk factor for severe COVID-19, pregnancy appears to worsen the clinical course of SARS-CoV-2 infection, although it does not increase the risk of acquiring SARS-CoV-2 infection.⁵² The cord serum/maternal serum transfer ratio of anti-RBD antibodies was 1.04 (764/734 AU/ml) at 45 days after a mother received two doses of CoronaVac (Figure 1f).⁵³ Moreover, human milk is the external secretion with the highest IgA concentrations, conferring protection to the newborn infants.⁵⁴ Human milk samples collected from 16 healthy mothers showed elevated anti-SARS-CoV-2 specific IgA levels after two doses compared to before vaccination.⁵⁵ The continued breastfeeding of infants after the mother has been vaccinated with CoronaVac, even after infection with SARS-CoV-2, should be emphasized.⁵⁵

Immunogenicity in kidney transplant recipients

The COVID-19 mortality rate for kidney transplant recipients is not optimistic.⁵⁶ Vaccination against COVID-19 is critical for this immunocompromised population.⁵⁷ In a study of 85 kidney transplant recipients in Turkey, only 18.8% developed anti-SARS-CoV-2 IgG antibodies against nucleocapsid and spike antigens at 28 days after the second dose of CoronaVac, with median values of 52.50 and 2.04 IU/ml in the seropositive and seronegative groups, respectively (Figure 1g). The older the age, the higher the creatinine level, and the worse the antibody response.⁵⁸

Immunogenicity in patients with cancer

Cancer patients are at higher risk for COVID-19 and related mortality than the healthy population.⁵⁹ In a prospective observational study conducted in Turkey, seroconversion was defined as SARS-CoV-2 antibody ≥ 1 IU after vaccination and <1 IU before vaccination. After receiving 2 doses of CoronaVac, 30 (63.8%) of 47 cancer patients had seroconversion of SARS-CoV-2 total antibodies (including IgG and IgM). Seroconversion was 59.5% (25/42) in patients receiving at least one cytotoxic drug, and 100% (5/5) in patients receiving monoclonal antibodies or immunotherapy alone.³⁵

					Vacci	Vaccine recipients (%)	ts (%)						Placebo r	Placebo recipients (%)	
Adverse events/reactions	Healthy adults in phase 3 in Turkey ^{a 11}	Healthy adults in phase 3 in Brazil ^{b 12}	Healthy adults in phase 3 in Indonesia ^{c 13}	Healthy adults in phase 4 in Brazil ^{d 33}	ARD in phase 4 in Brazil ^{e 33}	Patients with AIIRD ^{f 34}	Patients with cancer ^{g 35}	Healthcare workers in China ^{h 36}	Healthcare workers in Turkey ^{i 38}	Medical clerkship students ^{j 37}	Healthy adults in following the third dose ^{k 40}	Healthy adults in phase 3 in Turkey ^{a 11}	Healthy adults in phase 3 in Brazil ^{b 12}	Healthy adults in phase 3 in Indonesia ^{c 13}	Healthy adults in following the third dose ^{k 40}
Total adverse events	18.9	1.77		40.1			18.9	15.6		38.0	15.0	16.9	66.4		8.0
or reactions Local adverse events	2.7	61.5	NR	19.8	23.4	36.4	8.4	9.6	45.0	22.9	NR	1.5	34.6	NR	NR
or reactions	Ċ		1 66	0 1	001			, ,	L			Ţ	1 66		Ċ
rain	4.4	00.3 0.0	53.5 0.0	0.71	8.61	30.3 0.0	4.4	0.62	0.14 0.0	4.71	0.21		5.25 0.0	23./ 2.0	0.0
Erytnema	0.2 0.2	0.0	0.0	7.7	7.8	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0
Paraesthesia	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0
Swelling	0.1	5.8	2.2	9.9	4.7	0.0	2.1	<9.6	2.6	2.1	2.0	0.1	2.1	0.7	0.0
Induration	0.1	3.8	8.4	2.2	6.2	0.0	0.0	<9.6	0.0	0.0	0.0	0.1	1.1	4.4	0.0
Pruritus	0.0	4.2	0.0	2.2	3.1	0.0	2.1	<9.6	0.0	1.4	2.0	0.1	2.9	0.0	0.0
Redness	0.0	3.9	6.2	0.0	0.0	0.0	0.0	<9.6	1.4	2.1	0.0	0.0	1.4	3.7	0.0
Bruising	0.0	0.0	0.0	3.3	3.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Systemic adverse	17.7	48.4	NR	33.5	43.3	31.8	10.5	NR	71.0	25.0	NR	16.0	47.6	NR	NR
events or reactions															
Fatigue	8.2	0.0	17.3	7.7	10.9	13.6	4.2	8.3	23.6	5.6	2.0	7.0	0.0	8.9	0.0
Headache	5.9	34.3	0.0	11.0	20.2	13.6	2.1	<6.0	18.7	1.1	2.0	5.9	34.8	0.0	4.0
Myalgia	4.0	11.7	25.6	5.5	8.9	18.1	2.1	8.1	11.2	0.0	0.0	3.0	10.5	12.6	0.0
Chill	2.5	5.0	0.0	0.0	0.0	9.0	0.0	0.0	2.6	0.7	0.0	1.8	5.1	0.0	0.0
Fever	1.8	0.2	2.4	2.7	2.8	4.5	2.1	2.9	3.0	1.1	2.0	1.5	0.1	0.0	4.0
Diarrhoea	1.6	7.9	0.0	4.9	6.2	0.0	0.0	<1.6	0.0	0.0	2.0	1.7	8.1	0.0	0.0
Cough	0.8	5.5	0.0	4.4	6.9	0.0	0.0	<1.2	0.0	0.0	0.0	0.7	5.2	0.0	0.0
Arthralgia	0.7	5.7	0.0	6.0	13.5	0.0	0.0	0.0	5.9	0.0	0.0	0.5	5.2	0.0	0.0
Nausea	0.7	7.9	0.0	2.2	6.1	9.0	0.0	4. ¹	5.3	0.0	0.0	0.2	8.4	0.0	0.0
Vomiting	0.3	0.1	0.0	c.0 , ,		0.0	0.0	0.1×	0.0	0.0	0.0	0.2	0.1	0.0	0.0
Kash	0.1	0.8	0.0	1.6	0.1	0.0	0.0	<1.0	۲.۲ د و	0.0	0.0	0.2	0.7	0.0	0.0
Allergic reaction	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Appetite impaired	0.0	τ.υ Ο Ο	0.0	x, x	4.1	0.0	0.0		0.0	0.0	0.0	0.0	0.0 0	0.0	0.0
Hypersensiuvity Malaice	0.0	0.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.9	0.0	0.0
Somnolance		0.0	0.0	104	13.6 13.6			0.0	0.0	00	0.0	0.0	0.0	0.0	0.0
Abdominal nain	0.0	0.0	0.0	8.6	4.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Vertiao	0.0	0.0	0.0	4.9	7.0	0.0	0.0	<6.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Tremor	0.0	0.0	0.0	0.5	2.4	0.0	0.0	0.0	0.0	0.7	0.0	0.0	0.0	0.0	0.0
Sweating	0.0	0.0	0.0	1.1	5.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Muscle weakness	0.0	0.0	0.0	3.8	7.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Back pain	0.0	0.0	0.0	4.9	9.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Sneezing	0.0	0.0	0.0	4.9	8.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Coryza	0.0	0.0	0.0	7.1	8.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Stuffy nose	0.0	0.0	0.0	4.4	5.7	0.0	0.0	<0.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Sore throat	0.0	0.0	0.0	3.8	7.4	0.0	0.0	<1.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Shortness of breath	0.0	0.0	0.0	3.3	3.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Conjunctivitis	0.0	0.0	0.0	0.0	1.3 1.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Leg pain	0.0	0.0	0.0	0.0	0.0	9.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
DVSDIEd	0.0			0.0	0.0										

					Vacci	Vaccine recipients (%)	nts (%)						Placebo r	Placebo recipients (%)
	Healthy	Healthy	Healthy	Healthy	ARD in	:	:	3	3	-	Healthy	Healthy	Healthy	Healthy
		adults in		adults in	phase 4	Patients	Patients	Healthcare	Healthcare	Medical	adults in	adults in	adults in	adults in
Adverse events/reactions	phase 3 in Turkey ^{a 11}	phase 3 in Brazil ^{b 12}	phase 3 in Indonesia ^{c 13}	phase 4 in Brazil ^{d 33}	in Brazil ^{e 33}	with AIIRD ^{f 34}	with cancer ^{g 35}	workers in China ^{h 36}	workers in Turkey ^{i 38}	clerkship students ^{j 37}	following the third dose ^{k 40}	phase 3 in Turkey ^{a 11}	phase 3 in Brazil ^{b 12}	phase 3 in fo Indonesia ^{c 13} th
Lymphadenopathy	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.7	6.0	0.0	0.0	0.0	0.0	0.0
Oropharyngeal pain	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.0	0.0	0.0	0.0
Oral diseases	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	11.3	0.0	0.0	0.0	0.0	0.0
Acne	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0	0.0	0.0
Urticaria	0.0	0.0	0.0	0.0	0.0	0.0	0.0	<1.0	0.8	0.0	0.0	0.0	0.0	0.0
Dysphagia	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.4	0.0	0.0	0.0	0.0
Serious adverse	0.1	0.5	<0.6	0.0	0.0	0.0	0.0	0.0	0.5	0.0	5.0	0.1	0.5	<0.6

Table 3. (Continued).

Healthy adults in following the third dose^{k 40}

Superscript letters indicate: ^aadverse events after one and two doses, ^bsolicited adverse events after one dose, ^eadverse events after three doses. ARD=autoimmune rheumatic diseases. AllRD=autoimmune and inflammatory rheumatic diseases. NR=not reported.

events

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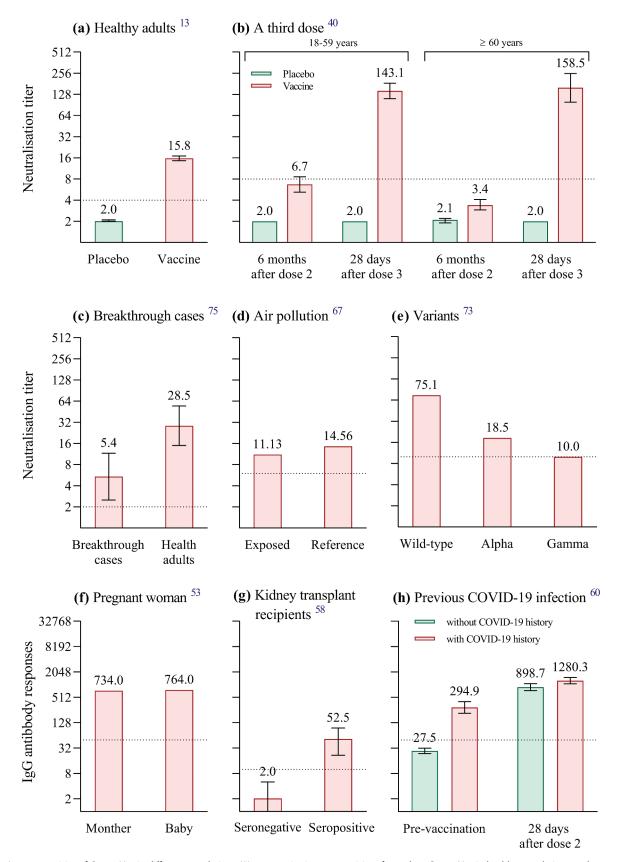


Figure 1. Immunogenicity of CoronaVac in different populations. We summarize immunogenicity of two-dose CoronaVac in healthy population aged 18–59 years (a) and three-dose CoronaVac in healthy population aged \geq 18 years (b); immunogenicity of two-dose CoronaVac in breakthrough cases (c), in participants exposed to air pollution (d), against SARS-CoV-2 variants (e), in pregnant woman (f), in kidney transplant recipients (g) and in healthcare workers with previous COVID-19 infection (h). Numbers above the bars in panels a-h are geometric mean titers of neutralizing antibodies against SARS-CoV-2 (a-c, e), median of neutralizing antibody titers (Au/ml) (d), anti-RBD antibody levels (Au/ml) (f), median of anti-SARS-CoV-2 IGG levels (Iu/ml) (g), geometric mean titers IgG antibody of viral spike protein (h). The error bars in panels a-c, h indicate 95% confidence intervals, while those in panel g indicate interquartile ranges. All dotted line denotes the cutoff level for positivity.

Immunogenicity in healthcare workers with previous COVID-19 infection

A prospective observational study in Turkey included 148 healthcare workers (74 with previous COVID-19 infection and 74 with not). The IgG antibody titers against SARS-CoV -2 spike protein induced by two-dose CoronaVac in healthcare workers with previous SARS-CoV-2 infection were higher than those in healthcare workers without COVID-19 history (GMT: 1280.3 versus 899.7 AU/ml, p < .001) (Figure 1h).⁶⁰ This result has been verified in multiple studies.⁶¹⁻⁶³

Immunogenicity in participants exposed to air pollution

Exposure to air pollutants has been shown to be a critical risk factor for vaccine antibody levels⁶⁴ and for COVID-19 cases.^{65,66} In a cross-section study conducted at 6 weeks after the second dose from China, grouped according to the combined toxic effects of air pollutants, plasma neutralizing antibody titers were lower in the high daily exposure dose group, compared with the low daily exposure group (median: 11.13 AU/mL versus 14.56 AU/mL, p < .05) (Figure 1d). Furthermore, increases in individual daily exposure to air pollutants were associated with decreases in plasma neutralizing antibody titers ($r_s = 0.652$, p < .01).⁶⁷

Immunogenicity against SARS-CoV-2 variants

More transmissible SARS-CoV-2 variants have emerged recently that may reduce vaccine effectiveness.⁶⁸ The serum at 14 days after two-dose CoronaVac showed an average 1.51-, 5.27- and 3.92-fold reduction in neutralizing alpha, beta, and gamma variants compared with the activity against wild-type strains, respectively.⁶⁹ At the same sampling time point in another similar study, plasma from two-dose CoronaVac recipients showed an average 2.9-, 5.5-, 4.3-, 3.4- and 12.5-fold reduction in neutralizing alpha, beta, gamma, delta and omicron variants when compared with the wild-type virus respectively.⁷⁰ A study from Hong Kong, China found among two-dose CoronaVac recipients, at 56 days after the first dose of CoronaVac, 100%, 68%, 0% and 0% of serum specimens had detectable neutralizing antibody titer (≥ 10) against wild-type strains, delta variant, beta variant and omicron variant, respectively.⁷¹ The neutralizing ability against both P.1 isolates (P.1/28 and P.1/30) was significantly lower than that against lineage B isolate.⁷² Neutralizing antibody titers against alpha (GMT = 18.5) and gamma (GMT = 10.0) variants were lower than that against wild-type virus (GMT = 75.1) at 60 days after the second immunization of CoronaVac (Figure 1e).73

Immunogenicity after the third dose of CoronaVac

Although two-dose CoronaVac reduces the risk of disease, breakthrough cases may still occur defined as the detection of SARS-CoV-2 after completion of the vaccination schedule.⁷⁴ In a Chilean clinical trial, 45 (1.99%) of 2263 subjects developed breakthrough infections following two-dose CoronaVac. The GMT of neutralizing antibodies against wild-type strains in the breakthrough cases was about 4-fold lower than that in CoronaVac recipients without COVID-19 (5.4 [95%CI, 2.5–11.6] versus 28.5 [95%CI, 15.0–54.6]) (Figure 1c).⁷⁵

At one month after the third dose (given at approximately 5 months after 2 doses), the median titers of IgG-S (glycoprotein) and IgG-N (nucleocapsid protein) increased by 1.7- and 1.8-fold compared to one month after the second dose respectively.⁷⁶ Neutralizing antibody titers against wild-type virus dropped significantly below the seropositivity cutoff value (a titer of 8) at 6 months after two doses and a third dose (given at 8 months after dose 2) recalled specific immune responses to SARS-CoV-2 in healthy adults aged 18-59 years and elderly aged 60 and over (Figure 1b).40 Compared with wild-type strains, neutralizing titers against delta and omicron were 3.3-fold and 16.5-fold lower at 28 days after three doses of CoronaVac, respectively. Three-dose CoronaVac recipients had significantly higher seroconversion rates (defined as the geometric mean half-maximal neutralizing titers >8 after vaccination) of neutralizing antibody against omicron variants, compared with the two-dose vaccine regimen (95% versus 0%).⁷⁷ In addition, as shown in the meeting materials reported on 25 October 2021 by WHO, neutralizing antibody titer against wild-type virus (GMT: 50) of 6 months after the third dose was comparable to the peak of two-dose immunization (GMT: 48.4).³⁹

Discussion

Our review showed that a two-dose regimen of CoronaVac conferred 67.7% protection against symptomatic COVID-19. Effectiveness of two doses waned with age and time after vaccination and was better than a single dose. A third dose inherited the effectiveness against non-variants of concern and increased effectiveness against severe COVID-19 outcomes caused by omicron variants compared to two doses. Effectiveness in preventing hospitalizations, ICU admissions, and deaths was more prominent than that in preventing COVID-19. Most of the adverse reactions following CoronaVac were mild. A two-dose regimen demonstrated a better immunogenicity profile in healthy populations compared to that in patients with autoimmune rheumatic diseases, kidney transplant recipients, patients with cancer, or individuals exposed to air pollution. A third dose enhanced immune responses to omicron variants as compared to two-dose CoronaVac.

Although two-dose CoronaVac met the 50% or more efficacy requirements approved for emergency use by the WHO,¹⁰ and the probability of true vaccine efficacy greater than 30% exceeded the minimum FDA criteria for authorization,⁷⁸ CoronaVac was less effective in preventing infection with SARS-CoV-2 than in preventing severe COVID-19 outcomes. Therefore, the efficacy of CoronaVac to prevent SARS-CoV-2 virus into the human body needs to be improved. This also suggested that non-vaccine interventions are still needed for people vaccinated with CoronaVac.

Of note, humoral immunity is integral but probably not unique to the prevention of COVID-19. On the one hand, the poor performance of neutralizing antibodies in breakthrough cases relative to vaccine-protected individuals suggested that neutralizing antibodies may be indispensable in the prevention of COVID-19. On the other hand, vaccination with CronaVac could reduce the risk of severe illness or death after infection with Omicron, and even one dose was effective. However, none of the serum specimens after two-dose regimen showed neutralizing antibody seroconversion against omicron, implying the possibility that humoral immunity may represent not all protection against severe COVID-19 outcomes. It is likely that there are other potential factors worth exploring that can resist the invasion of the Omicron variant in the human body.

Surprisingly, Hitchings et al found in Brazil that vaccinated healthcare workers were more susceptible to gamma virus infection than unvaccinated individuals shortly after the first dose of CoronaVac.²³ However, this conclusion was only for one dose and less than 14 days after vaccination, after all, it took time for neutralizing antibodies to develop after vaccination. Importantly, in their study, healthcare workers who prioritize vaccinations had a slightly higher rate of previous positive RT-PCR or antigen tests, meaning that vaccinated individuals were at higher risk of exposure than unvaccinated individuals. There was also a reason that previous natural infections in Brazil may have provided protection for unvaccinated individuals.²³

Adverse reactions/events varied across studies due to differences in study protocols including different sample sizes. There was approximately a four-fold difference in total adverse reactions/events between the Turkish and Brazilian phase 3 trials. Uniform standards are needed for the collection of safety data before clinical trials. Also, few vaccine-related serious adverse reactions were reported in studies with large sample sizes, suggesting that surveillance for serious adverse reactions needs to be intensified during the trial process. Some cases, such as cutaneous allergic reactions, reactive arthritis, and thyroiditis, although rarely reported in clinical trials or realworld studies, need to be vigilant.

Findings of immunogenicity in special populations indicated that disease status, environmental pollution and previous COVID-19 infection that need to be considered in future CoronaVac strategies. Moreover, the durability of the antibody response induced by the third dose requires further observation. Germline origin, evolution and decay of dominant neutralizing antibodies following CoronaVac vaccination needs further study.

Currently, it is not clear what level of antibody titer can ensure protection from SARS-CoV-2. The weakening of antibody responses over time is a natural process of humoral immunity. In the future, for individuals who have been vaccinated with two doses of CoronaVac as primary immunization, the third booster immunization is recommended. For individuals with weakened immunity, new immunization schemes, such as heterologous prime-boost immunization, can be considered. Additionally, continuous monitoring for SARS-CoV-2 variants is warranted.

There are some limitations in this review. Firstly, we did not review heterologous prime-boost studies, although we included the effectiveness of a homologous prime-boost with CoronVac. Secondly, we summarized the effectiveness of CoronaVac in the adult and geriatric populations, but not in the pediatric population, which was rarely reported. Thirdly, we did not directly compare the results of humoral immune responses in different studies due to different test kits used. Finally, we summarized the efficacy, safety, and immunogenicity of CoronaVac without comparing it to other vaccine candidates. However, this review of CoronaVac may provide a scientific basis for optimizing global immunization strategies.

Disclosure statement

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