



# One Health Analysis of *mcr*-Carrying Plasmids and Emergence of *mcr-10.1* in Three Species of *Klebsiella* Recovered from Humans in China

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ABSTRACT The global dissemination of the mobile colistin resistance (mcr) gene illustrates how the use of colistin in veterinary medicine can affect human health, exemplifying the concept of One Health. This study screened for the existence of mcr variants (from mcr-1 to mcr-10) in a 5-year collection of clinical Klebsiella short-read whole-genome sequencing (WGS) data from a tertiary hospital in China (2013 to 2018) and aimed to identify the mechanisms of mcr spread. MICs were measured for the mcr-positive isolates, and long-read sequencing was performed to complete the mcrpositive genome sequences. Six variants (mcr-1.1, mcr-8.1, mcr-8.2, mcr-9.1, mcr-9.2, and mcr-10.1) were identified in 20 genomes, with plasmids from the IncFII<sub>K</sub>, IncHI2, Incl2, and IncX4 groups. Highly similar plasmids (coverage, >75%; nucleotide identity, >98.5%) isolated from silver gulls, chickens, pigs, wastewater treatment plants, and hospital sewage were identified in GenBank. The MICs of the mcr-1- and mcr-8-carrying isolates were  $\geq 4 \,\mu q/mL$ ; however, the MICs of the mcr-9- and mcr-10-carrying isolates ranged from 0.5  $\mu$ g/mL to 1  $\mu$ g/mL (colistin susceptible). The variants mcr-1 to mcr-9 were found only in Klebsiella pneumoniae, while mcr-10.1 was found in K. pneumoniae, Klebsiella quasipneumoniae subsp. quasipneumoniae, and Klebsiella variicola. A pair of inverted repeats (IRs) was identified for hsdSMR-ISEc36-mcr-10.1-xerC; IR-1 (5'-TCAAACGTA) was inside the *attL* site of *xerC*, indicating that *mcr-10.1* was originally integrated by xerC and mobilized by ISEc36 afterwards. In conclusion, this is the first report of mcr-10.1 susceptible to colistin in three species of Klebsiella. This study shows the genetic events that happened to mcr-10.1 in a stepwise manner, with the first step being XerC integration and the second being ISEc36 mobilization. Finally, this study also highlights mcr transmission between humans and nature.

**IMPORTANCE** Reports of *mcr-1* and *mcr-8* are common in China; however, few studies have reported *mcr-9* and *mcr-10*. One reason is that the newly described variants can be phenotypically colistin susceptible and thus may not be identified. This study identified the *mcr*-positive clinical isolates by investigating WGS data for 2,855 *Klebsiella* isolates (including *K. pneumoniae*, *K. quasipneumoniae* subsp. *quasipneumoniae*, and *K. variicola*) and found three *mcr-9* and three *mcr-10* cases (MICs, 0.5  $\mu$ g/mL to 1  $\mu$ g/mL; colistin susceptible). This study also reveals a pair of perfect 9-bp IRs of ISEc36 and the precise *mcr-10.1* integration and insertion events that happened to the IncFII<sub>K</sub> plasmids. A One Health analysis of highly similar plasmid structures from human and nonhuman sources emphasizes the plasmid transmission and evolution process.

**KEYWORDS** mobile colistin resistance, *mcr-10*, *Klebsiella pneumoniae*, China, One Health, colistin resistance, *mcr* 

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Received 19 June 2022 Accepted 28 September 2022 Published 26 October 2022 Colistin (polymyxin E) is extensively used in treating food-producing animals but was banned from human use in the 1970s due to its nephrotoxicity and neurotoxicity (1). However, with the evolution and increase in multidrug-resistant (MDR) bacteria, particularly carbapenem-resistant *Enterobacteriaceae* (CRE), in hospitals around the world, colistin has been reintroduced to clinical medicine. Unfortunately, resistance to colistin subsequently appeared in both human and nonhuman cases, indicating a zoonotic disseminating issue which should be addressed using a One Health perspective (2–4).

Colistin resistance can be caused by either chromosomal mutations or mobile colistin resistance (*mcr*) gene acquisition (5). In *Enterobacteriaceae* strains, *mcr* acquisition is more concerning, as the *mcr* gene can rapidly disseminate resistance via horizontal gene transfer (HGT). The *mcr* gene encodes a phosphoethanolamine transferase that can modify the lipid A component of lipopolysaccharide. The common mobile genetic elements (MGEs) assisting *mcr* mobilization include insertion sequences (ISs; e.g., IS*Apl1*, IS903B, IS*Ecl1*, IS*Kpn26*, etc.), recombinases, integrases, and plasmids from the Incl, IncH, IncX, and IncF incompatibility groups (3, 6).

As of 2021, a total of 10 mcr homologs have been identified (6). The first to be reported was mcr-1 from pigs and chickens in China (7). Since then, mcr-1 has been widely described, especially in Escherichia coli (8, 9). In contrast, the other mcr variants have been reported in a limited number of species (10). In Klebsiella, mcr-8 and mcr-9 have been reported. The mcr-8 gene was initially identified in the K. pneumoniae plasmid pKP91 of swine origin (11), and mcr-9 was detected in silico from a clinical colistinsusceptible Salmonella enterica serotype Typhimurium strain isolated in 2010 in the United States (12). The expression of mcr-9 normally requires exposure to colistin and a gseBC system next to mcr-9 for expression induction (3). Notably, the IncHI2 plasmid is the predominant plasmid type disseminating mcr-9 (13); this plasmid is widely known for its carriage of multiple antimicrobial-resistant genes (ARGs), including the carbapenemase genes (3). The latest identified mcr variant is mcr-10, which was originally detected in the clinical Enterobacter roggenkampii strain 090065 in China, showing colistin MIC values ranging from 2  $\mu$ g/mL (susceptible) to 4  $\mu$ g/mL (resistant) (6). High-level colistin resistance (MIC,  $\geq$ 16  $\mu$ g/mL) of mcr-10 could be screened out using high concentrations of colistin (4  $\mu$ g/mL to 8  $\mu$ g/mL) (2). High mRNA levels of *phoPQ* were observed for the high-level resistance induced by the high colistin pressures. The mcr-10 variants have been reported in IncF plasmids, including the IncFIA, IncFIB, and IncFII groups, in six genera (Enterobacter, Klebsiella, Escherichia, Citrobacter, Kluyvera, and Raoultella) (6).

In this study, we (i) conducted a genome surveillance study of clinical *mcr* variants in *K. pneumoniae* over 5 years, (ii) determined the clinical features and phenotypic impacts of the *mcr*-carrying isolates, (iii) characterized the *mcr*-carrying isolates from species level to the *mcr*-surrounding genetic context, and (iv) linked the *mcr*-carrying plasmids from human sources to plasmids from other sources in nature.

#### RESULTS

**Overview of the 20** *mcr*-carrying *K. pneumoniae* genomes. Of the 2,855 *Klebsiella* genomes, 20 (0.70%) were positive for *mcr*. The median age of the infected patients was 52 years (ranging from 1 month to 78 years), and 17 (85%) were male (Table 1). Eleven patients were hospitalized, and nine were outpatients. The isolates were isolated from sputum (n = 9), blood (n = 7), urine (n = 2), drainage fluid (n = 1), and wound secretions (n = 1). Notably, 18 were MDR with phenotypic resistance to more than three antibiotic categories, and 17 were extended-spectrum beta-lactamase (ESBL) producers. Only four were carbapenem resistant and carried  $bla_{\text{NDM-S}}$ . Comorbidities included cerebral injury (n = 4), malignant spinal tumor (n = 1), severe acute pancreatitis (n = 1), gastric cancer (n = 1), coronary artery disease (n = 1), aplastic anemia (n = 1), and acute lymphoblastic leukemia (ALL; n = 1; died with isolates carrying both *mcr-8.1* and  $bla_{\text{NDM-S}}$ ).

A total of six mcr variants were identified in the 20 genomes, including mcr-1.1 (n = 6), mcr-8.1 (n = 6), mcr-8.2 (n = 2), mcr-9.1 (n = 1), mcr-9.2 (n = 2), and mcr-10.1

									mcr	Colistin				Plasmid
Strain	Yr	Age (yr)	Gender <sup>a</sup>	Specimen type	Hospitalized	MDR	ESBL	CRE	variant	MIC	Sp. <sup>b</sup>	MLST	Inc group <sup>c</sup>	size (kb)
29-72	2014	49	Μ	Sputum	+	-	-	-	1.1	4	Kpl	ST43	X4	34
15-81	2016	78	М	Sputum	+	+	+	-	1.1	4	Kpl	ST1	X4	34
17-6	2016	66	F	Blood	+	+	+	-	1.1	16	Kpl	ST268	12	66
17-66	2016	19	М	Sputum	+	+	+	-	1.1	16	Kpl	ST268	12	66
1-17	2017	38	Μ	Abdominal drainage	-	+	+	+	1.1	16	Kpl	ST5258	FII <sub>K</sub>	66
5-30 <sup>d</sup>	2017	8	М	Sputum	-	+	+	_	1.1	8	Kpl	ST39	HI2	266
19-40	2016	37	Μ	Sputum	+	+	+	+	8.1	8	Kpl	ST685	FII <sub>K</sub>	95
38-73	2016	37	Μ	Blood	+	+	+	+	8.1	8	Kpl	ST685	FII	95
38-72	2016	37	Μ	Blood	+	+	+	+	8.1	8	Kpl	ST685	FIIK	104
1-36	2017	52	М	Urine	-	+	+	-	8.1	>64	Kpl	ST395	FIIK	110
1-44	2017	70	Μ	Blood	-	+	+	_	8.1	>64	Kpl	ST395	FIIK	110
4-35	2017	70	М	Sputum	-	+	+	-	8.1	>64	Kpl	ST395	FIIK	110
29-21	2015	63	М	Blood	+	+	-	-	8.2	4	Kpl	ST39	FIIK	86
11-62	2016	58	Μ	Sputum	-	+	+	_	8.2	4	Kpl	ST1	FII	97
36-80 <sup>d</sup>	2018	68	М	Sputum	-	+	+	_	9.1	1	Kpl	ST412	HI2	242
28-33	2015	44	F	Urine	-	+	+	-	9.2	1	Kpl	ST3687	HI2	248
28-1-0	2015	66	М	Wound	-	+	+	-	9.2	1	Kpl	ST3687	HI2	251
31-62 <sup>d</sup>	2014	66	Μ	Blood	+	_	-	_	10.1	1	Kpl	ST1087	FII <sub>K</sub>	153
25-52	2015	16	F	Blood	+	+	+	_	10.1	1	Kpll-A	ST5281	FIIK	91
15-76	2016	0.1	Μ	Sputum	+	+	+	_	10.1	0.5	KpIII	ST5266	FII <sub>K</sub>	128

TABLE 1 Clinical information and genomic characterization of the 20 mcr-carrying plasmids

<sup>a</sup>Gender: M, male; F, female.

<sup>b</sup>Sp., species complex; Kpl, K. pneumoniae; Kpll-A, K. quasipneumoniae subsp. quasipneumoniae; KpllI, K. variicola.

<sup>c</sup>Inc group, incompatibility group.

<sup>d</sup>Completed by hybrid assembly using both short and long reads.

(*n* = 3). All but two *mcr*-positive genomes were *K. pneumoniae*. The remaining two were *Klebsiella quasipneumoniae* subsp. *quasipneumoniae* and *Klebsiella variicola*, both of which carried *mcr-10.1*. MLST revealed that the *mcr*-carrying genomes were from a variety of clones, indicating that the *mcr* dissemination was not due to clonal spread. Both the *mcr-9*- and *mcr-10*-carrying isolates were colistin susceptible (*n* = 6; MIC,  $0.5 \mu$ g/mL to  $1 \mu$ g/mL), while the MIC values of the remaining 14 were  $\geq 4 \mu$ g/mL. No *qseBC* gene was found in the genomic contigs of the *mcr*-carrying isolates. Chromosomal genes (*phoP/phoQ*, *pmrA/pmrB*, and *mgrB*) known to be associated with colistin susceptibility and *mcr* expression regulation were investigated by amino acid sequences aligned to the reference gene sequences of *K. pneumoniae* MGH 78578 (GenBank accession number CP000647.1) (see Fig. S1 in the supplemental material). *phoQ* and *pmrAB* sequences in *mcr-10.1*-carrying *K. quasipneumoniae* subsp. *quasipneumoniae* and *K. variicola* genomes had more nonsynonymous mutations (*n* = 36) than the sequences in the *mcr-10.1*-carrying *K. pneumoniae* genome (*n* = 1).

Plasmid typing revealed that the most common *mcr*-carrying plasmid type was IncFII<sub>K</sub> (*n* = 12), followed by IncHI2 (*n* = 4), IncI2 (*n* = 2), and IncX4 (*n* = 2). Further investigation of the *mcr* genetic contexts was performed, and relatively consistent genetic patterns were found, i.e., ISApl1 upstream of *mcr*-1.1 and *pap2* (ISApl1-*mcr*-1.1-*pap2*; *n* = 4); IS903B downstream of *mcr*-8.1 with no flanking transposons upstream (*dgkAbeaS-copR-mcr*-8.1-//-IS903B; *n* = 6); ISEcl1 upstream of *mcr*-8.2 (ISEcl1-*mcr*-8.2-//-ISKpn26; *n* = 2); IS903B upstream of *mcr*-9.1 and *mcr*-9.2 (IS903B-*mcr*-9; *n* = 3); and *xerC* upstream of *mcr*-10.1 (*xerC-mcr*-10.1; *n* = 3) (Fig. S2).

**Genetic characterization of the** *mcr*-carrying plasmids. Of the six *mcr*-1.1-positive plasmids, one was carried by an IncHI2 plasmid, two by Incl2 plasmids, two by IncX4 plasmids, and one by an IncFII<sub>K</sub> plasmid. It is interesting to note that pMCR1.1-5-30\_Kpn (completed by hybrid assembly using both short and long reads), identified in this study, shared a similar IncHI2 backbone with pSG17-135-HI2 (GenBank accession number CP048776.1), a plasmid isolated from a gull in Australia (Fig. 1). Both pMCR1.1-5-30\_Kpn and the *mcr*-free plasmid pSG17-135-HI2 carried resistance region 1 (three ARGs) and resistance region 2 (12 ARGs), conferring resistance to aminoglycosides [*aph(3')-la, aph(6)-ld, aadA22,* and *aac(3)-IId*], tetracycline [*tet*(A)], sulfonamides (*sul3* and *sul2*), lincomycin [*Inu*(F)], beta-lactams (*bla*<sub>LAP-2</sub>, *bla*<sub>TEM-1B</sub>, and *bla*<sub>CTX-M-55</sub>), quinolone (*qnrS1*), macrolides [*mph*(A)], rifamycin (*arr-2*), and trimethoprim (*dfrA14*), in addition to colistin (*mcr-1.1*). In addition, three heavy metal resistance operons (*terABCDWXY*, *cusABCDRS-copABCDEFG*-*pcoERS*, and a truncated *merCDEPTR*) and one toxin-antitoxin (TA) system (*hipAB*) were



**FIG 1** Comparison of plasmids harboring *mcr-1.1* obtained in this study with those reported from nonhuman sources. The complete plasmids are grouped by the incompatibility groups IncHI2, IncI2, IncX4, and IncFII<sub>k</sub>. The plasmid name, length in kilobases, species, and isolation information (source, location, and year) are shown above each sequence. Plasmids from this study are labeled in black, and plasmids from nonhuman sources are labeled in blue. §, plasmid sequences that were completed by hybrid assembly;  $\Delta$ , truncated insertion sequences. The *mcr* genes are indicated by a red asterisk (\*), and the *mcr* genetic context arrays are shown next to the asterisk. Heavy metal resistance operons and resistance regions are labeled in orange and red, respectively. Gray shading connects highly similar sequences. cov, coverage; ID, identity.

identified in pMCR1.1-5-30\_Kpn. Shorter *mcr-1.1*-carrying plasmids were found in the other three plasmid incompatibility groups. The closest reference of the Incl2 *mcr-1.1*-carrying plasmids was pCTXM64\_C0967 (KP091735.1), a *bla*<sub>CTX-M-64</sub>-carrying and *mcr*-free plasmid from a chicken source. An average nucleotide identity (ANI) of 99.9% was found between them. The linear map indicated that the colocation of *mcr-1.1* and *bla*<sub>CTX-M</sub> evolved from the *bla*<sub>CTX-M</sub>-carrying plasmid. A 99.9% ANI and 99% coverage were found between the *mcr-1.1*-carrying lncX4 plasmids identified in this study and pA1 (LC477138.1), a *mcr-1.1*-carrying plasmid from a Japanese *E. coli* strain from a wastewater treatment plant. Another plasmid isolated from a wastewater treatment plant in Japan, pWP4-S18-CRE-04\_1 (AP022079.1), was a close match of pMCR1.1-1-17\_Kpn. The copper resistance operon and the TA systems of *vapBC* and *ccdAB* were shared between them; however, no IncFII<sub>K</sub> *tra* region was identified in the genomic contigs of pMCR1.1-1-17\_Kpn.

All eight *mcr-8*-carrying plasmids were from the  $IncFII_{\kappa}$  group. However, the  $IncFII_{\kappa}$  plasmid backbone of the *mcr-8.1*-carrying plasmids differed from that of the *mcr-8.2*-



**FIG 2** Comparison of  $IncFII_{\kappa}$  plasmids harboring *mcr-8* obtained in this study and those reported from nonhuman sources. The plasmid name, length in kilobases, species, and isolation information (source, location, and year) are shown above each sequence. Plasmids from this study are labeled in black, and plasmids from previous studies are labeled in blue. The *mcr* genes are indicated by a red asterisk (\*), and the *mcr* genetic context arrays are shown next to the asterisk. Heavy metal resistance operons and resistance regions are labeled in orange and red, respectively. Gray shading connects highly similar sequences. cov, coverage; ID, identity.

carrying plasmids, indicating that the transmission dynamics of the dominant mcr variants in this study were diversified (Fig. 2). The mcr-8.1-carrying plasmids shared a more conserved backbone with a long tra region, while the mcr-8.2-carrying plasmids shared a less conserved backbone, showing higher genetic plasticity with multiple resistance regions. The clinical mcr-8.1-carrying plasmids in this study showed 99.9% ANI with pKP91 (GenBank accession number MG736312.1), the initial report of mcr-8.1 in K. pneumoniae. The longest mcr-8.1-carrying plasmids (110 kb) were all isolated in 2017 and harbored an additional fragment containing heat shock proteins mobilized by multiple insertion sequences, mainly ISKpn26 and ISKpn38, compared to the shorter mcr-8.1-carrying plasmids isolated in 2016. Plasmid pMCR8.1-38-72\_Kpn possessed an ISKpn28 inserted region composed of the plasmid partition protein ParB, the single-stranded DNA binding protein Ssb, the reverse transcriptase protein LtrA, and the SOS inhibitors PsiA and PsiB. By comparison, the mcr-8.2-carrying plasmids were less conserved, with multiple regions being inserted, and no close match was found in nonhuman sources. The clinical plasmid pMCR8\_095845 (CP031883.1) was similar to pMCR8.2-11-62\_Kpn, with resistance region 5 (four ARGs), ccdAB, antirestriction systems, and one mercury resistance operon (merCDEPTR). In pMCR8.2-29-21\_Kpn, resistance region 3 differed from resistance region 5 (four ARGs) by only one gene [aac(3)-IId in region 3 versus tet(A) in region 5]. Moreover, a larger resistance region 4, constituting nine ARGs, was identified in pMCR8.2-29-21\_Kpn.

Three large IncHI2 plasmids were obtained from the *mcr-9*-carrying genomes. Despite a large fragment reversed between the *mcr-9.1*-carrying pMCR9.1-36-80\_Kpn



**FIG 3** Comparison of IncHI2 plasmids harboring *mcr-9* obtained in this study and a plasmid from a nonhuman source. The plasmid name, length in kilobases, species, and isolation information (source, location, and year) are shown above each sequence. Plasmids from this study are labeled in black, and pSTN0717-64-1 (GenBank accession number AP022511.1) is labeled in blue. §, plasmid sequences that were completed by hybrid assembly. The *mcr* genes are indicated by a red asterisk (\*), and the *mcr* genetic context arrays are shown next to the asterisk. Heavy metal resistance operons and resistance regions are labeled in orange and red, respectively. Gray shading connects highly similar sequences. cov, coverage; ID, identity.

(completed by hybrid assembly) and the *mcr-9.2*-carrying pMCR9.2-28-33\_Kpn and pMCR9.2-28-1-0\_Kpn, all plasmids shared a conserved IncHI2 plasmid backbone (coverage, >83%; ANI, >99.9%) (Fig. 3). Insertion of resistance regions is the main reason for the large plasmid sizes. pMCR9.1-36-80\_Kpn possessed resistance region 6, consisting of five ARGs, and the *mcr-9.2*-carrying plasmids harbored resistance region 7, also composed of five ARGs. Their closest match, pSTN0717-64-1 (GenBank accession number AP022511.1) from hospital sewage in Japan, also contained resistance region 7 and an additional ARG array, ranging from *tet*(A) to *sul1*. No *qseBC* gene was identified in the contigs of the *mcr-9*-carrying genomes.

**Diversified genetic contexts of** *mcr-10.1* **due to insertions.** Three *mcr-10.1*-carrying IncFII<sub>K</sub> plasmids were identified in three *Klebsiella* species. The genetic contexts of *mcr-10.1* were relatively conserved, with an immediately upstream *xerC* and a downstream IS*Ec36* (Fig. S2d). Although the genetic context in pMCR10.1-15-76\_Kpv was interrupted by an IS*Kox1*, the flanking sequences downstream of IS*Ec36* remained unchanged.

The complete sequence of pMCR10.1-31-62\_Kpn was obtained by hybrid assembly. Plasmid pMCR10.1-15-76\_Kpv from *K. variicola* showed 53% coverage with pMCR10.1-31-62\_Kpn from *K. pneumoniae*, while pMCR10.1-25-52\_KPq from *K. quasipneumoniae* subsp. *quasipneumoniae* only shared 19% (Fig. 4A). All three plasmids contained the main genetic elements of the replication-associated genes of IncFII<sub>k</sub>, the ISEc36-mcr-10.1-xerC genetic context, a 3' truncated ISPa38 from the Tn3 family, and parts of the IncFII<sub>k</sub> tra region. The mcr-10.1-carrying plasmids obtained in 2014 and 2016 during this study showed a relatively high diversity compared to the other mcr-carrying plasmids in this study.

To fully understand the integration and insertion events of *mcr-10.1* in our ISEc36-mcr-10.1-xerC-carrying plasmids, a BLAST search for highly similar sequences was performed, and the *mcr*-free lncFll<sub>K</sub> plasmid pRHBSTW-00167\_2 (GenBank accession number CP058119.1) was noted. By carefully comparing pRHBSTW-00167\_2 and pMCR10.1-31-62\_Kpn, a pair of 9-bp perfect IRs were identified (Fig. 4B). IR-1 (5'-<u>TCAAACGTA</u>) was 95 bp upstream of *xerC* and located inside the 32-bp left attachment site (*attL*; 5'-CTACTTTC<u>TCAAACGTA</u>CA TTTTAATAAGTAA), and IR-2 (5'-TACGTTTGA) was located downstream of *mcr-10.1* and a type I restriction-modification system encoded by *hsdSMR*. Two 16-bp *attL* parts contained 11 identical nucleotides. No *attR* was identified in our three *mcr-10.1*-carrying plasmids. The presence of perfect IRs and the absence of *xerC attR* indicated that the ISEc36 insertion event happened after *xerC* integration and destroyed the complete *xerC attR* site. The plasmid linear map further revealed that the *mcr-10.1*-carrying plasmids were diversified by a variety of ISs. However, no additional ARG was found in the *mcr-10.1*-carrying IncFl<sub>K</sub> plasmids. The mcr in Clinical Klebsiella Isolates



**FIG 4** Comparison of the *mcr-10.1*-carrying IncF plasmids. (A) Circular map showing the three *mcr-10.1*-carrying IncFI<sub>k</sub> plasmids identified in this study. All plasmids were aligned to pMCR10.1-31-62\_Kpn (completed by hybrid assembly; 152,983 bp). Functional genes are labeled in different colors. (B) Schematic representation showing the *hsdSMR-ISE36-mcr-10.1-xerC* insertion event and comparison of the *mcr-10.1*-carrying IncFI<sub>k</sub> plasmids. The 9-bp inverted repeats (IRs) are indicated in blue. The two 16-bp parts of the entire 32-bp *xerC attL* sequence are indicated by underlining and a green overline. Identical bases are indicated by asterisks (\*). (C) Phylogenetic tree of the *mcr-10.1*-carrying IncF plasmids. The isolation information (source, location, and year), *mcr-10.1*-carrying IncF plasmids. The isolation information (source, location, and year), *mcr-10.1*-carrying IncF plasmids. The 32-bp *xerC attL* site is indicated by underlining and overlines. The complement sequence of *attL* is also shown.  $\Delta$ , truncated insertion sequences.

mercury-resistant and arsenate-resistant operons were found in the shortest *mcr-10.1*-carrying plasmid, pMCR10.1-25-52\_KPq from *K. quasipneumoniae* subsp. *quasipneumoniae*. In addition, a complete IS*Pa38* (also called Tn*Pa38*) was identified in pMCR10.1-25-52\_KPq, with a pair of 5-bp direct repeats (DRs) of 5'-CGTCA. The closest sequence from a public database was the IncFII<sub>K</sub> plasmid 5 in the *K. pneumoniae* genome of INF133-sc-2279960 (LR890193.1) from Australia. This plasmid also contained the *hsdSMR*-ISEc36-mcr-10.1-xerC genetic context, with the 9-bp IRs present on both sides.

In addition, the phylogenetic structure of *mcr-10.1*-carrying IncF plasmids and additional *mcr-10.1* genetic contexts were inspected to understand *mcr-10.1* evolution. The maximum likelihood trees divided 11 highly related *mcr-10.1*-carrying plasmids into two main groups (Fig. 4C). The *mcr-10.1*-carrying IncFII<sub>K</sub> plasmids from this study were grouped into one with homologous IncFII plasmids from water. The *mcr-10.1*-carrying IncFIA and IncFIB plasmids formed another group. Noticeably, *xerC* was the only conserved MGE adjacent to *mcr-10.1*. The downstream of *mcr-10.1* was divergent. IR-1 located exactly in *xerC attL* was present in all *mcr-10.1*-carrying plasmids, while IR-2 only existed in the *hsdSMR*-ISEc36-mcr-10.1-xerC-carrying sequences.

# DISCUSSION

This study investigated the genetic relatedness of *mcr*-carrying plasmids in *Klebsiella* isolates recovered from humans in China with publicly available plasmids from nonhuman sources using a One Health perspective and aimed to establish potential links to understand the ways in which the plasmids evolved and were disseminated

between animals, humans, plants, and the environment. This study also characterized the prevalence, clinical features, and phenotypic characteristics of the clinical *mcr*-positive *Klebsiella* genomes. It is the first worldwide report of *mcr-10.1* susceptible to colistin in clinically uncommon species of *K. quasipneumoniae* subsp. *quasipneumoniae* and *K. variicola*. The *mcr-10.1* was found embedded in a genetic structure (*hsdSMR*-ISEc36-mcr-10.1-xerC) with a pair of IRs.

This study demonstrates that approximately 0.70% of clinical *Klebsiella* genomes were *mcr* positive in a 5-year period before the implementation of the colistin withdrawal policy in China in 2018 (14). The overall *mcr*-positive rate is comparable with other reports (4, 7). The *mcr-8* homolog is predominant in our collection, with *mcr-1* being the second most common. Unlike the situation in Australia (3), cocarriage of the carbapenemase gene and *mcr* was rare in this study, occurring in only one isolate; however, the infected patient was dead in this study (15).

The identification of *mcr* in both human and nonhuman sources, along with the fact that colistin is heavily used in industry rather than in humans, together propose the transmission of colistin resistance in a One Health manner (4). By including humans, animals, and environmental sectors in *mcr*-carrying plasmid structure analysis, we found highly similar plasmid backbones from a silver gull, food animals, wastewater treatment plants, and hospital sewage. The nonhuman plasmids shared a wide range of genetic elements, including large antibiotic resistance regions and heavy metal-resistant operons, with our *mcr*-carrying plasmids from patients. The shared ARGs, conferring resistance to a broad spectrum of antibiotics not limited to the drugs regularly used clinically, suggest that the presence of *mcr* in our collection is not due to colistin pressure in the hospital but is more likely to be acquired from food animals and waste sources (9). The carriage of multiple large resistance regions in the *mcr*-positive lncHl2 plasmids with *tra* regions indicates that the *mcr*-carrying lncHl2 plasmids pose a greater threat to public health (3).

Our shotgun WGS study demonstrated that WGS is an effective screen for important genes, especially the silent *mcr-9.1*, *mcr-9.2*, and *mcr-10.1* variants (9). Both *mcr-9* and *mcr-10* have been reported as mediating low-level resistance to colistin, although the mechanism remains unclear (3). The activity of *mcr-10* against colistin could be even lower than that of *mcr-9*, as indicated by the colistin killing assay (2). Our susceptibility test results were similar to those of the previous study, with lower colistin MIC values for *mcr-9* and *mcr-10* (0.5  $\mu$ g/mL to 1  $\mu$ g/mL in *K. pneumoniae*, *K. quasipneumoniae* subsp. *quasipneumoniae*, and *K. variicola* versus 2  $\mu$ g/mL to 4  $\mu$ g/mL in *Enterobacter roggenkampii* and *E. coli*) (6). The WGS data provide all gene and promoter sequences of the colistin resistance associated genes and allow for comparative genomic analysis (Fig. 4; see Fig. S1 in the supplemental material). However, due to the lack of *mcr-10*-carrying *Klebsiella* genomes with colistin-resistant phenotypes, further investigation is needed to understand the key reason for the colistin susceptibility of the *mcr-10*-positive *Klebsiella* isolates.

The complementary long-read sequencing technology also helped to elucidate the *mcr*-carrying plasmid structures. The plasmid structures of *mcr-10.1* were divergent (less than 70% coverage) (2), which may be due to the *mcr* carriage on the hyperdivergent IncF-type plasmids (13) and in different species of *Klebsiella*. However, the *mcr-10.1*-adjacent MGE was conserved; an XerC-type recombinase was consistently identified directly upstream of *mcr-10.1* (6). Interestingly, only *xerC attL* was identified in our *mcr-10.1*-carrying plasmids, indicating that the acquisition of *mcr-10.1* might have occurred after the *xerC* integration of *mcr-10.1*. The identification of the perfect 9-bp IR pair further confirmed that *mcr-10.1* in our collection was acquired by IS*Ec36* insertion of the *mcr-10.1*-*xerC* fragment. The insertion of IS*Ec36-mcr-10.1-xerC* may also bring an additional type I restriction modification (RM) system encoded by *hsdSMR*. IS*Ec36* was initially identified in *E. coli* strain W635, carrying the carbapenemase gene *bla*<sub>IMI-2</sub> (16).

**Conclusion.** This study characterized 20 *mcr*-carrying plasmids from a One Health perspective. This study reports the colistin-susceptible *mcr-10.1* variant in *K. quasipneumoniae*  subsp. *quasipneumoniae* and *K. variicola* for the first time and shows a pair of perfect IRs next to *mcr-10.1*, illustrating ISEc36 mobilizing *mcr-10.1-xerC* and *hsdSMR* in a stepwise manner.

### **MATERIALS AND METHODS**

**Bacterial genomes and study design.** A total of 2,855 unique *Klebsiella* genomes from a surveillance study were included (17). Isolates were recovered from patient specimens at a hospital in the central part of China between 5 January 2013 and 24 July 2018. Multilocus sequence typing (MLST) was done using the *Klebsiella* Pasteur MLST database (https://bigsdb.pasteur.fr/klebsiella/). ARGs (including the *mcr* variants) and plasmid incompatibility groups were assigned using ResFinder version 4.0 (18) and PlasmidFinder version 2.1 (19), respectively, via the ABRicate pipeline (https://github.com/tseemann/ abricate).

**Ethics.** Written informed consent was obtained from all participants. This study was approved by the ethics committees under the tracking numbers 201806861 and BGI-IRB 18061-T2.

**Scaffolding of the** *mcr*-carrying plasmids. The sizes of the *mcr*-carrying contigs ranged from 1,948 bp to 59,684 bp (20). The contigs were searched using BLAST against the nonredundant/nucleotide (nr/nt) database to find suitable plasmid references. Scaffolding of the *mcr*-carrying plasmid contigs was completed using assembly\_improvement version 1.7.0 (21) and was manually checked by mapping reads to the scaffolds using Geneious 9.1.8. In addition, three genomes carrying *mcr-1.1* (strain 5-30), *mcr-9.1* (strain 36-80), and *mcr-10.1* (strain 31-62) were sequenced on a MinION device (Oxford Nanopore Technologies, UK). Unicycler version 0.4 was deployed to achieve the hybrid assembly of both the short reads (BGISEQ-500 [MGI, China]) and long reads (MinION) (https://github.com/rrwick/ Unicycler). The *mcr*-carrying scaffolds were also checked and assessed by mapping the raw reads back to the assembled scaffolds.

Antimicrobial susceptibility assays. Antimicrobial susceptibility tests (ASTs), with the exception of colistin, were performed using the Vitek 2 Compact system (BioMérieux, Marcy-l'Etoile, France) according to the manufacturer's instructions. The susceptibility results were interpreted according to the Clinical and Laboratory Standards Institute guidelines (22). The MIC values for colistin were determined using the broth microdilution method in cation-adjusted Mueller-Hinton broth, and the results were interpreted following the European Committee on Antimicrobial Susceptibility Testing (EUCAST) breakpoints (susceptible,  $\leq 2 \mu g/mL$ ; resistant,  $\geq 2 \mu g/mL$ ; https://www.eucast.org/clinical\_breakpoints). No induction assays were performed, as *qseBC* was not identified in the 20 *mcr*-carrying genomes.

**Data availability.** The data that support the findings of this study have been deposited at the China National GeneBank DataBase (CNGBdb) in the CNGB Sequence Archive (CNSA) under accession number CNP0001198 (23, 24).

#### SUPPLEMENTAL MATERIAL

Supplemental material is available online only. **SUPPLEMENTAL FILE 1**, PDF file, 1.2 MB.

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