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Author manuscript J Immunol. Author manuscript; available in PMC 2024 January 01.

Published in final edited form as:

J Immunol. 2023 January 01; 210(1): 19–23. doi:10.4049/jimmunol.2200410.

## **Neutrophil complement receptor signaling is required for BAFFdependent humoral responses in mice**

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## **Abstract**

T cell-independent (TI) B cell responses to non-protein antigens involve multiple cues from the innate immune system. Neutrophils express complement receptors and activated neutrophils can release B cell activating factor (BAFF), but mechanisms effectively linking neutrophil activation to TI B cell responses are incompletely understood. Using germline and conditional knockout mice, we found that TI humoral responses involve alternative pathway complement activation and neutrophil-expressed C3a- and C5a-receptors (C3aR1/C5aR1) that promote BAFF-dependent B1 cell expansion and TI antibody production. Conditional absence of C3aR1/C5aR1 on neutrophils lowered serum BAFF levels, led to fewer Peyer's patch germinal center B-cells (GCB), reduced GCB IgA class switching and lower fecal IgA levels. Together, the results indicate that sequential activation of complement on neutrophils crucially supports humoral TI and mucosal IgA responses through upregulating neutrophil production of BAFF.

## **Introduction**

T-cell-independent (TI) humoral immune responses to polysaccharide antigens involve B1 cell activation and differentiation in the absence of germinal centers (GC). B1 cells in secondary lymphoid organs and in close proximity to mucosal surfaces of lungs, gut and peritoneum, are responsible for early IgM response to pathogens and contribute to mucosal IgA immune responses (1, 2).

Supplementallemental Material Supplemental Table I

Supplemental Figures 1–3 with legends

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The complement system is intricately associated with the development of adaptive T cell and B cell immune responses (3). Complement activation initiates via the classical, the mannan binding lectin (MBL) and alternative pathways, which converge to form C3 convertases that cleave C3 into C3a, C3b and ultimately C3dg. C3 convertases also facilitate assembly of C5 convertases that cleave C5 into C5a and C5b, the latter required for C5b-9 membrane attack complex (MAC) formation. Surface-expressed and soluble complement regulators, including decay accelerating factor (DAF, CD55), complement receptor (CR) 1, murine Crry, and membrane inhibitor of reactive lysis (MIRL, CD59) (3), control complement activation.

C3 deficiency results in severe defects in TI and T cell-dependent (TD) immune responses (4–6). Mechanistically, C3dg-coated antigens co-ligate the B cell co-receptor and CR2- CD19-CD81, lowering B cell receptor activation thresholds (6, 7). C3b-opsonized antigen facilitates trafficking to follicular dendritic cells, which employ CR2/C3dg ligations to present the antigens to GC B cells during affinity maturation (8, 9). GC B cells undergo coordinate downregulation of surface-expressed CD55/DAF to permit local complement activation and autocrine C3aR1/C5aR1 signaling required for GC B cell positive selection and antibody affinity maturation (10). The unanticipated role for complement regulators and receptors during TD responses raised the possibility that C3aR1/C5aR1 signaling may also affect TI IgM and mucosal IgA B-cell responses, hypotheses that we addressed herein.

## **Materials and Methods**

#### **Mice**

C57BL/6/J (B6), B6;129S4-C3<sup>tm1Crr</sup>/J (C3<sup>tm1Crr</sup>, C3<sup>-/-</sup>), C57BL/6-Tg(IghelMD4)4Ccg/J (MD4), B6.129P2-Lyz2tm1(cre)Ifo/J (LysM-Cre), B6.Cg-Tg(Cd4-cre)1Cwi/BfluJ (CD4-Cre) and B6.Cg-Tg(S100A8-cre,-EGFP)1Ilw/J (S100-Cre) mice were purchased from Jackson Laboratory (Bar Harbor, ME). We generated B6 C3aR1<sup>-/-</sup>C5aR1<sup>-/−</sup> mice, C3ar1<sup>fl/fl</sup>, C3ar1 $f<sup>1/f1</sup>$  and C3ar1 $f<sup>1/f1</sup>$ C3ar1 $f<sup>1/f1</sup>$  (10, 11). We crossed C5ar1 $f<sup>1/f1</sup>$  and C3ar1 $f<sup>1/f1</sup>$  mice to CD4-Cre+, LySM-Cre+ or S100-Cre+ transgenic mice and intercrossed their progeny to produce  $C3ar1<sup>f1/f1</sup>/C5ar1<sup>f1/f1</sup>xCD4-Cre<sup>+/−</sup> (C3aR1/C5aR1<sup>CD4</sup>), C3ar1<sup>f1/f1</sup>/C5ar1<sup>f1/f1</sup>xLysM-Cre<sup>+/−</sup>$  $(C3aR1/C5aR1$ <sup>LySM</sup>),  $C5ar1<sup>f1/f1</sup>$ <sub>XS</sub>100-Cre<sup>+/-</sup>  $(C5aR1$ <sup>S100</sup>) and C3ar1<sup>fl/fl</sup>/C5ar1<sup>fl/fl</sup>xS100- $Cre^{+/-}$  (C3aR1/C5aR1<sup>S100</sup>) mice. B6 factor B<sup>-/-</sup> (fB, M. Pekna, Gothenburg, Sweden) and CR1/2−/− mice (M Carroll, Harvard Medical School) were kind gifts. We housed all animals in the Center for Comparative Medicine and Surgery at Mount Sinai under Institutional Animal Care in accordance with guidelines of the Association for Assessment and Accreditation of Laboratory Animal Care International (approval IACUC-2018-0014). Experiments were performed with groups of age- (6–12 weeks) and sex- matched (both sexes) mice, using littermates or animals maintained in the same room and co-housed for >2 weeks within the same cages from the age of 4 weeks.

#### **Mouse immunizations**

We immunized mice with 50 μg of 2,4,6, Tri-nitrophenyl-lipopolysaccharide (TNP-LPS; Innovative Research, Peary Court Novi, MI) i.p. (TI-responses) or with 100μg of NP-KLH in Alum (Immject Alum, Thermofischer) i.p (TD-responses). In some experiments, 4×10<sup>7</sup> enriched splenic IgMb+ WT or C3aR1−/−C5aR1−/− B-cells (Magnisort, Stem cell)

were injected into IgMa<sup>+</sup> MD4 BCR-transgenic recipients by retro-orbital injections and immunized with TNP-LPS as above.

#### **ELISA**

We quantified anti-TNP antibodies (10), fecal and serum IgA levels (12) murine BAFF, and anti-PC, anti-CPS 9 and 14 (13) by ELISA.

#### **Immunofluorescence analysis**

Peyer's patches (PP) fixed in 1.6% PFA 20% sucrose at 4°C were washed in PBS, embedded OCT compound (Tissue Tek, Sakura Finetek USA, Torrance, CA, USA), cut into 8μm sections, washed with PBS, blocked with 5% Bovine serum albumin (BSA, Sigma Aldrich) and Fc-block (1:200), then sequentially stained with anti-Ly6G and anti-BAFF antibodies overnight. Sections were then stained with anti-rat F(ab')2-FITC (for Ly6G) and anti-rat IgG-PE (for BAFF) (Jackson Immunology). We acquired images on a Zeiss LSM780 (confocal) and processed them with Zen 2.3 software (Zeiss).

#### **Flow Cytometry**

Single cell suspensions of spleen cells, lavaged peritoneal leukocytes (5 mL PBS-1% FCS), and excised PP cells (incubated at 37°C for 10min in 5mM EDTA, 15mM HEPES, FCS 20% in HBSS) were stained (see Supplemental Table 1 for antibody clones) as indicated. Preps were analyzed by flow cytometry (gating strategy Supplemental Fig 1) using FACS Lyric<sup>™</sup> or Canto<sup>™</sup> II cytometers (BD Biosciences, San Jose CA), and analysis performed with FlowJo software (FlowJo LLC, Ashland OR).

#### **Statistical Analysis**

We used GraphPad Prism Software (version 8.4.2) for all statistical analyses (see figure legends for details). Each figure panel represents ≥2–3 independent experiments with multiple biological replicates (single mice or tissues samples, n>3) and 3–5 technical replicates.

#### **Results and Discussion**

Upon immunization of WT and congenic B6 C3aR1<sup>-/-</sup>, C5aR1<sup>-/-</sup> and C3aR1<sup>-/-</sup>C5aR1<sup>-/-</sup> mice (intraperitoneally) i.p. with TNP-LPS (10 d; Fig 1A, Supplemental Fig 1A–B), we observed lower frequencies of total and TNP-reactive peritoneal B1 B cells, and reduced anti-TNP IgM antibody titers in mice lacking C3aR1, C5aR1 or both, without differences among the knockout strains. We also observed lower titers of natural IgM reactive to phosphatidyl-choline and capsular polysaccharides in unimmunized C3aR1−/−C5aR1−/− mice vs. WT controls (Supplemental Fig 1C). Reduced frequencies of B1 cells and lower antibody titers in immunized  $C3^{-/-}$ ,  $C R2^{-/-}$  and, specifically, fB<sup>-/−</sup> mice (Fig 1B), implicated involvement of the alternative complement pathway.

To determine whether complement regulator and/or receptor levels change on B1 cells upon activation or class switching after immunization [as observed on TD-induced GC B cells (10)], we phenotyped peritoneal B1 cells after TNP-LPS immunization. B1 cells in

naïve mice expressed high levels of DAF (Fig 1C), which underwent only modest and transient reduction 6–12h following immunization. At 24h post-immunization, analyses showed higher expression of C3aR1, C5aR1, CR1/2, and CD59 on B1 cells (Supplemental Fig 1D), all of which returned to baseline levels by day 7.

We tested for a functional role of B cell-expressed C3aR1/C5aR1 in TI humoral immunity by adoptively transferring splenic C3aR1−/−C5aR1−/− or WT B cells into MD4 B cell receptor-(BCR)-transgenic recipients (IgMa+IgDa+ B cells, specific for HEL; do not respond to TNP) and subsequent immunization with TNP-LPS (Fig 1D). Donor B1 cell count and concentration of anti-TNP IgM showed no differences between groups.

To discern which cells require C3aR1/C5aR1 signaling to support TI responses, we immunized mice lacking C3aR1/C5aR1 in myeloid cells (C3aR1/C5aR1 LysM) or neutrophils (C5aR1 $S100$  and C3aR1/C5aR1 $S100$ ). These animals all showed significant defects in B1 responses (Fig 1E) and anti-TNP antibody titers (Fig 1F). Controls showed no differences between mice lacking C3aR1/C5aR1 on T-cells (C3aR1/C5aR1<sup>CD4</sup>) and WT. Marginal zone B cells and follicular B cells in naïve  $C3aR1/C5aR1$ <sup>LySM</sup> and  $C3aR1/C5aR1$ <sup>S100</sup> did not differ from controls (Supplemental Fig. 1E–F). In contrast to TI immunization, TD immunization with TNP-KLH induced equivalent anti-TNP IgG titers in C3aR1/C5aR1<sup>LySM</sup> and control C3aR1/C5aR1<sup>fl/fl</sup> mice (Supplemental Fig 1G). While frequencies of splenic B1 did not differ among the knockout and control strains (Supplemental Fig. 1H), we observed fewer peritoneal B1 cells in naïve C3aR1/ C5aR1  $^{S100}$  vs. naïve S100-Cre<sup>+/−</sup> mice (Fig 1E), suggesting a migratory defect (14). Additional controls showed that the neutrophils (gating strategy Supplemental Fig. 2A) from C3aR1/C5aR1 LysM, C5aR1 S100 and C3aR1/C5aR1 S100 mice lacked C3aR1/C5aR1 while neutrophil-expressed DAF, CD59, CR1/2 and Crry did not differ among strains (Supplemental Fig. 2B–C). Splenic neutrophil counts did not differ among naïve C3aR1/ C5aR1<sup>LysM</sup>, C3aR1/C5aR1<sup>S100</sup> and controls (Supplemental Fig. 2D).

Since B-cell Activating Factor of the TNF family (BAFF) can amplify TI responses, and neutrophils can produce BAFF (15, 16), we tested for potential mechanistic links among neutrophils, C5aR1 and BAFF-dependent antibody production. After TNP-LPS immunization (Fig 2A), intraperitoneal C5a rapidly increased followed by neutrophil influx and an associated increase in serum BAFF. Twelve hours after TNP-LPS immunization, we observed lower neutrophil (Fig 2B, C **top**) and serum (Fig 2C **bottom**) BAFF concentration in C3aR1/C5aR1  $LySM$  and C5aR1  $S100$  mice. Conversely, C3a or C5a i.p. injections increased neutrophil BAFF (Fig 2D, E **top**) and serum BAFF (Fig 2E **bottom**) in control, but not C3aR1/C5aR1 <sup>LySM</sup> mice. Administration of exogenous recombinant BAFF (100) ng/day i.p. for 5 days) to TNP-LPS-immunized (Fig 2F) rescued the antibody defects observed in the C3aR1/C5aR1  $LySM$  and C5aR1  $^{S100}$  mice. These findings mechanistically link C3aR1 and/or C5aR1 signaling on granulocytes/neutrophils to BAFF release, which in turn drives TI B1-cell responses. Previous studies by others (17, 18) had connected neutrophil C5aR1 signaling to NF-kB mediated gene transcription, which is a crucial transcription factor for BAFF production.

Mucosal IgA production in the gastrointestinal tract requires T-dependent (TD) and TI mechanisms involving BAFF and TACI (19–21). Prior evidence also indicated roles for granulocytes: eosinophils support the survival of IgA-secreting cells in the lamina propria (22); and Peyer's patches (PP), which typically support TD IgA production, can contain neutrophils (23). To test whether neutrophil-expressed C3aR1/C5aR1 contributed to steady state mucosal IgA responses, we quantified frequencies of IgA $^+$  GC B cells (gating strategy Supplemental Fig. 3A) and total GC B cell numbers in PPs, and measured concentrations of fecal IgA in WT and various germline knockout mice (Fig 3A–B). These analyses showed that all readouts were reduced in  $C3^{-/-}$  and fB<sup>-/−</sup> animals, and revealed similar defects in  $C3aR1^{-/-}$ ,  $C5aR1^{-/-}$  and  $C3aR1^{-/-}C5aR1^{-/-}$  mice. Antibiotic treatment to limit potential microbiome effects (Supplemental Fig. 2B) reduced PP GCB by ~10-fold while maintaining IgA+ GCB differences between WT and C3aR1−/−C5aR1−/− mice, indicating the C3aR1/ C5aR1 effects are microbiome-independent.

Analyses of PP IgA<sup>+</sup> GCB cells (Fig 3C) and fecal IgA (Supplemental Fig. 3C) from groups of C3aR1/C5aR1  $LySM$  and C5aR1  $S100$  mice phenocopied the findings from the germline C3aR1−/−C5aR1−/− animals, confirming a role for granulocyte-expressed C5aR1 in mucosal IgA production. Serum IgA levels, proportion of  $\text{IgM}^+$  and  $\text{IgG}^+$  GC B-cells in PP of C3aR1/C5aR1 LySM and C5aR1 S100 mice did not differ from controls (Supplemental Fig. 3D–F). BAFF administration rescued PP responses in the C3aR1/C5aR1 LySM and C5aR1 S100 mice to levels observed in control animals (Fig 3C). Immunofluorescence microscopy of PP in WT mice (Supplemental Fig. 3G) showed BAFF co-localizing with Ly6G<sup>+</sup> cells in the subepithelial PP dome. The IF staining suggested reduced BAFF within the Ly6G<sup>+</sup> neutrophils of the C3aR1/C5aR1<sup>LySM</sup> animals, a result confirmed by flow cytometry (Fig 3D, Supplemental Fig. 3H). Thus, C3aR1/C5aR1 signaling on intestinal lamina propria neutrophils is critical for optimal production of BAFF, which Supplementalorts mucosal IgA production and PP responses.

Our new observations are distinct from known mechanisms linking complement to B cell immunity (10). While previous studies suggested that C5aR1 signaling can modulate B1 cell function (14) and neutrophils express C5aR1 and can produce BAFF (24), mechanistic links were not reported previously for B1 responses or for mucosal IgA production. Our findings are also distinct from prior work showing macrophage C3aR1/C5aR1 ligations release GCSF (25), which stimulates neutrophil BAFF production (16).

BAFF regulates B cells (26) by ligating BAFF-R, TACI and BCMA (27, 28) to activate canonical (via TACI) and alternative (via BAFF-R causing class switch recombination) NF-κB-induced survival signals (20, 26, 29). Elevated plasma BAFF levels in TACI−/− mice also associate with an increase in IgA<sup>+</sup> GC B cells and GC size in PP (19), consistent with our results. Since IgA switching is not dependent on TACI in PP, we think that neutrophil-derived BAFF may be mediating its effect through BAFF-R in TD responses in PP. BAFF could also drive TI IgA outside of PP, contributing to the production of fecal IgA.

In sum, our findings newly identify a neutrophil-C5aR1-BAFF axis that crucially Supplementalorts humoral TI and mucosal TD responses. This fundamental mechanism

has implications for designing strategies to improve polysaccharide and mucosal vaccines, and/or for therapy of antibody-mediated diseases.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## **Acknowledgements**

The authors thank the mouse genetics core at the Icahn School of Medicine at Mount Sinai (ISMMS) for their assistance in generating the conditional knockout animals.

The work was funded by R01 AI141434 awarded to PSH and DDS. EC received support by NIH T32 AI078892, EG by Crohn's and Colitis Foundation career development award 877970, and AC received a fellowship grant from the American Society of Transplantation. SMY received support from NIH/NIDDK T32DK007757 and Agena Physician Scientist Training Program at ISMMS. The authors declare no competing financial interests.

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## **Key Points**

- **•** Granulocyte-expressed C3aR1 and C5aR1 drive T-independent humoral responses
- **•** The effects are mediated through upregulation of neutrophil BAFF.
- **•** Analogous mechanisms are required for optimal mucosal IgA production



**Fig 1. T-cell independent responses require alternative complement pathway and C3aR/C5aR on neutrophils.**

Mice were injected with 50μg TNP-LPS i.p, sacrificed on d10 after immunization. A-B. Peritoneal B1 cell counts (top) and serum concentration of anti-TNP IgM antibodies (bottom) were analyzed in (A) WT, C3aR1<sup>-/-</sup>, C5aR1<sup>-/-</sup>, C3aR1<sup>-/-</sup>C5aR1<sup>-/-</sup> and (B) WT, C3−/−, CR2−/−, fB−/− mice. D. Kinetics of DAF expression on peritoneal B1 cells (MFI, mean fluorescence intensity via flow cytometry); n=4/experiment. D. Donor peritoneal B1 cell count (IgMa<sup>−</sup>CD19<sup>+</sup>B220<sup>low</sup>CD11b<sup>+</sup>) and concentration of anti-TNP IgM in MD4<sup>+</sup> mice d10 after transfer of  $4\times10^7$  WT or C3aR1<sup>-/−</sup>C5aR1<sup>-/−</sup>B-cells and TNP-LPS immunization. E-F. Peritoneal B1 cell count (E) and serum anti-TNP IgM concentration (F) in naïve and TNP-LPS immunized groups of mice as indicated. Graphs show mean  $\pm$ SEM from 2 independent experiments with \*p<0.05, \*\*p<0.01, \*\*\*p<0.005, \*\*\*\*p<0.001, and n.s.; not significant, by non-parametric ANOVA with Bonferroni post-test (A-C, E) or Student's t-test (D). In E-F, responses in immunized mice greater than in naïve controls (p<0.001 for each) unless otherwise indicated.



**Fig 2. Neutrophil C3aR1/C5aR1 ligations drive BAFF-dependent IgM responses to TNP-LPS** A. Kinetics of intra-peritoneal C5a (pg/ml  $\times$  ml per lavage), neutrophil (CD11b<sup>+</sup>Ly6G<sup>+</sup>) influx and serum BAFF levels following TNP-LPS immunization of WT mice (n=3–4/ experiment). B-C. Intracellular BAFF expression in neutrophils (MFI, flow cytometry), representative histograms, quantification (B, top) and serum BAFF concentration (C, bottom) 12h after TNP-LPS immunization. D-E. Neutrophil intracellular BAFF MFI, representative histogram (D), quantification (E, top) and serum BAFF levels (E, bottom) 12h after 5μg rmC3a and rmC5a, i.p. (F) Concentration of anti-TNP IgM on d10 after TNP-LPS immunization of groups of mice injected with 100ng recombinant mBAFF daily for 5 days. Graphs show mean  $\pm$  SEM from 2 independent experiments with \*p<0.05, \*\*p<0.01, \*\*\*p<0.005, \*\*\*\*p<0.001 and n.s.: not significant, by non-parametric ANOVA with Bonferroni post-test (B-F).



#### **Fig 3. Normal IgA mucosal responses require neutrophil C3aR1/C5aR1 activation and can be rescued by exogenous BAFF.**

A-C. %IgA+ GCB cells (top row), absolute GCB cell count in Peyer's patches (middle row) and concentration of fecal IgA (bottom row) in (A) WT,  $C3^{-/-}$ , fB<sup>-/−</sup> mice, (B) WT, C3aR1<sup>-/-</sup>, C5aR1<sup>-/-</sup>, C3aR1<sup>-/-</sup>C5aR1<sup>-/-</sup> mice and (C) C3aR1/C5aR1<sup>fl/fl</sup>, C3aR1/  $C5aR1$ <sup>LySM</sup>,  $C5aR1$ <sup>S100</sup> mice. Mice in (C) were injected daily for 5 days with PBS or 100ng mBAFF as indicated and PP % IgA+ GCB (top) and GCB number (bottom) were quantified on d6. D. Intracellular BAFF expression in neutrophils from Peyer's patches of C3aR1/C5aR1<sup>fl/fl</sup> and C3aR1/C5aR1<sup>LySM</sup> mice quantified as MFI by flow cytometry, representative histograms. All graphs show mean  $\pm$  SEM from  $\cdot$  3 independent experiments

with \*\*\*p<0.005, \*\*\*\*p<0.001 and n.s.: not significant, by non-parametric ANOVA with Bonferroni post-test (A-C).