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## Declines and peaks in NO<sub>2</sub> pollution during the multiple waves of the COVID-19 pandemic in the New York metropolitan area

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### Abstract

The COVID-19 pandemic created an extreme natural experiment in which sudden changes in human behavior and economic activity resulted in significant declines in nitrogen oxide (NO<sub>x</sub>) emissions, immediately after strict lockdowns were imposed. Here we examined the impact of multiple waves and response phases of the pandemic on nitrogen dioxide (NO<sub>2</sub>) dynamics and the role of meteorology in shaping relative contributions from different emission sectors to NO<sub>2</sub> pollution in post-pandemic New York City. Long term (> 3.5 years), high frequency measurements from a network of ground-based Pandora spectrometers were combined with

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**Author contributions.** MT designed the study, collected and analyzed the field datasets, contributed to the satellite data analysis, and wrote the paper with input from the co-authors; CFK contributed to the field and satellite data processing and analysis; DG processed the TROPOMI satellite data; LS and RC provided the STILT model simulations. NA, JJS, and LCV contributed to the Pandora data collection and processing. All co-authors reviewed and edited the paper for clarity.

**Code and data availability.** All Pandora data used in this study can be downloaded freely from the Pandonia Global Network website <https://www.pandonia-global-network.org/> (last access: 4 June 2021). Our gridded satellite NO<sub>2</sub> products and output from our model simulations can be obtained by contacting the corresponding author, Maria Tzortziou (mtzortziou@ccny.cuny.edu).

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TROPOMI satellite retrievals, meteorological data, mobility trends, and atmospheric transport model simulations to quantify changes in NO<sub>2</sub> across the New York metropolitan area. The stringent lockdown measures after the first pandemic wave resulted in a decline in top-down NO<sub>x</sub> emissions by approx. 30% on top of long-term trends, in agreement with sector-specific changes in NO<sub>x</sub> emissions. Ground-based measurements showed a sudden drop in total column NO<sub>2</sub> in spring 2020, by up to 36% in Manhattan and 19%–29% in Queens, New Jersey (NJ), and Connecticut (CT), and a clear weakening (by 16%) of the typical weekly NO<sub>2</sub> cycle. Extending our analysis to more than a year after the initial lockdown captured a gradual recovery in NO<sub>2</sub> across the NY/NJ/CT tri-state area in summer and fall 2020, as social restrictions eased, followed by a second decline in NO<sub>2</sub> coincident with the second wave of the pandemic and resurgence of lockdown measures in winter 2021. Meteorology was not found to have a strong NO<sub>2</sub> biasing effect in New York City after the first pandemic wave. Winds, however, were favorable for low NO<sub>2</sub> conditions in Manhattan during the second wave of the pandemic, resulting in larger column NO<sub>2</sub> declines than expected based on changes in transportation emissions alone. Meteorology played a key role in shaping the relative contributions from different emission sectors to NO with low-speed (< 5 ms<sup>-1</sup>) SW-SE winds enhancing contributions from the high-emitting power-generation sector in NJ and Queens and driving particularly high NO<sub>2</sub> pollution episodes in Manhattan, even during – and despite – the stringent early lockdowns. These results have important implications for air quality management in New York City, and highlight the value of high resolution NO<sub>2</sub> measurements in assessing the effects of rapid meteorological changes on air quality conditions and the effectiveness of sector-specific NO<sub>x</sub> emission control strategies.

## 1 Introduction

The global outbreak of the Coronavirus Disease 2019 (COVID-19) profoundly changed the world. From school closures to remote work and other physical distancing measures, this crisis changed the way we move within our communities, potentially with long-term implications (Barbieri et al., 2021; Przybyłowski et al., 2021). Altered mobility patterns led to sudden and significant worldwide decreases in nitrogen oxide (NO<sub>x</sub>) emissions from the transportation sector, as documented in many studies focusing on air quality changes immediately after the initial lockdowns (e.g., Liu et al., 2020; Goldberg et al., 2020; Gkatzelis et al., 2021). Yet, the impact of multiple pandemic waves over longer time periods, and the role of meteorology and sector-specific emissions as key drivers of high NO<sub>x</sub> pollution episodes that occurred in major cities such as New York – even during, and despite, the most stringent early lockdown periods – remain largely unknown, driving this study.

New York City (NYC), the most populous and most densely populated city in the United States, was hit particularly hard by the pandemic. By late-March 2020, the tri-state region of New York (NY), New Jersey (NJ), and Connecticut (CT) declared a disaster emergency and issued stay-at-home restrictions in response to COVID-19. Almost 8 million New Yorkers sheltered in place, while roughly 5% of New York City residents (about 420 000 people) left the city between March and May (Quealy, 2020; Bounds, 2020). The largest decrease in residential population occurred in Manhattan – with more than 30% reduction in relatively wealthy neighborhoods including Upper West and Upper East Side – while the rest of the

city saw comparably modest losses (Quealy, 2020). The entire New York metropolitan area (approx. 12000km<sup>2</sup>, McCarthy, 2021) remained in lockdown with strict social distancing measures, including school and non-essential business closures, limited transit services, and suspension of public events and gatherings, for more than 2 months, from mid-March through June 2020. Lockdown measures were relaxed and the first phase of reopening began in June with the area progressing to the final stage of reopening in July. Yet, social distancing measures became strict again, including school closures, as the city experienced a surge in COVID-19 cases in late fall 2020 that reached a maximum in mid-January 2021 with more cases reported to NYC Department of Health and Mental Hygiene than during the first wave of the pandemic (Fig. S1). Early studies using satellite data from the Ozone Monitoring Instrument (OMI) and the Tropospheric Monitoring Instrument (TROPOMI) revealed 31 ( $\pm$  14) %, and 28 ( $\pm$  11) % reduction, respectively, in nitrogen dioxide (NO<sub>2</sub>) tropospheric column amount within a 100km radius of New York City during the 3 weeks following the onset of the pandemic compared to the same period in 2019 (Bauwens et al., 2020). Similarly, Goldberg et al. (2020) reported a 20 % drop in TROPOMI NO<sub>2</sub> within a 22 km radius of New York City between 13 March and 30 April 2020.

Emitted to the atmosphere primarily during fossil fuel combustion, nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) are a major source of air pollution and necessary precursors of tropospheric ozone, impacting climate as well as human and ecosystem health (Fares et al., 2013; Duan et al., 2019; Burnett et al., 2004). High NO<sub>2</sub> levels have been associated with lung irritation and reduced lung function, increased asthma attacks, and cardiovascular disorders, as well as lower birth weight in newborns and increased risk of premature death (US EPA 2016). In addition, through wet and dry deposition, the atmosphere is a major source of excess nitrogen in many terrestrial and aquatic ecosystems worldwide (Paerl et al., 2002; Pardo et al., 2011). Prior studies have indicated atmospheric deposition accounts for a third or more of total nitrogen loading in systems such as Chesapeake Bay and Long Island Sound, with important implications for soil biogeochemistry, aquatic biology, development of coastal eutrophication, harmful algal blooms, and hypoxia (e.g., Stacey et al., 2001; Decina et al., 2017, 2020). A combination of strict air quality regulation policies (e.g., Jezouit and Rambo, 2005) and technological improvements over the past two decades has resulted in significant declines in NO<sub>x</sub> emissions over the continental United States (van der A et al., 2008; Duncan et al., 2016; Krotkov et al., 2016). Satellite Aura/OMI observations have captured an approximately 4%yr<sup>-1</sup> decrease in tropospheric column NO<sub>2</sub> levels between 2005 and 2015 over the eastern United States (Krotkov et al., 2016) and a 46% decline in NO<sub>x</sub> emissions has been reported for New York City over the period from 2006 to 2017 (Goldberg et al., 2019a). Despite these improvements, air pollution continues to be the single biggest environmental health risk in the United States and globally today (Burnett et al., 2018; Thakrar et al., 2020; WHO, 2019). With significant NO<sub>x</sub> emissions from various sectors (e.g., transportation, energy, industrial), the New York metropolitan area experiences among the highest national NO<sub>2</sub> levels (Herman et al., 2018) and has the worst nonattainment record of ozone in eastern North America (based on the EPA 2015 standard) (Karambelas et al., 2020).

Restrictions on human and economic activities, particularly reductions in transportation emissions due to the COVID-19 stay-at-home orders, provide a unique opportunity to assess

the importance of different sources of air pollution in New York City and how further sector-specific  $\text{NO}_x$  emission reductions may impact nitrogen pollution in this major urban center. The overarching objective of this study was to examine how  $\text{NO}_2$  dynamics changed in the New York metropolitan area during the multiple phases of the pandemic and across regions experiencing different shifts in mobility patterns. Ground-based measurements conducted over a period of 3.5 years (2017–2021) allowed us to capture interannual variability, impacts of meteorology, and changes in air quality as human behavior changed during the multiple pandemic waves and as vehicle traffic started to return to near pre-pandemic levels a year after the initial lockdown. Combining these high-frequency observations with model simulations and satellite imagery uniquely captured  $\text{NO}_2$  dynamics across multiple scales and highlighted the impact of COVID-19 restrictions not only on  $\text{NO}_2$  column amounts but also on  $\text{NO}_2$  spatiotemporal behavior, including seasonal and weekly cycles.

Meteorological factors have a significant impact on atmospheric chemistry as well as transport, transformation, and dispersion of air pollutants (Xu et al., 2011; Banta et al., 2011; Goldberg et al., 2020). Elucidating the role of meteorology is thus important in assessments of COVID-19 impacts on urban air quality (Gkatzelis et al., 2021). Seasonality and local meteorology were previously reported to drive  $\text{NO}_2$  changes in New York City by as much as a factor of 2 over the course of a year (Goldberg et al., 2020). Although meteorological patterns were especially favorable for low  $\text{NO}_2$  in much of the United States in spring 2020, varying meteorological conditions in New York City were not found to have a biasing effect in TROPOMI estimates of  $\text{NO}_2$  declines during the initial lockdown period (Goldberg et al., 2020). Because our study extended over a longer time period, we explicitly investigated how weather conditions may have impacted observed changes in  $\text{NO}_2$  pollution and the relative contribution of different  $\text{NO}_x$  emissions sectors (i.e., energy versus transportation) during the multiple phases of the pandemic.

## 2 Methods

### 2.1 Ground-based measurements of column $\text{NO}_2$ dynamics

To assess the impact of COVID-19 restrictions on  $\text{NO}_2$  spatiotemporal behavior we used high-frequency (approx. every 1 min) measurements of total column  $\text{NO}_2$  ( $\text{TCNO}_2$ ) from the ground-based Pandonia Global Network (PGN, <https://www.pandonia-global-network.org/>, data last access: 4 June 2021). Sponsored by the National Aeronautics and Space Administration (NASA) and the European Space Agency (ESA), PGN provides real-time, standardized, calibrated, and verified air quality data, and associated uncertainty values, from a network of Pandora spectrometer instruments (PSIs, Herman et al., 2019). The PGN global network serves as a validation resource for UV–visible satellite sensors on low-earth and geostationary orbit, and recent studies have included Pandora measurements for ground-based validation of TROPOMI  $\text{NO}_2$  measurements near New York City and Long Island Sound (Judd et al., 2020; Verhoelst et al., 2021). In the New York metropolitan area, PGN sites include Manhattan, NY (PSI #135), Queens, NY (PSIs #55, #140), New Brunswick, NJ (PSIs #56, #69), and New Haven, CT (PSIs #20, #64) (Table 1, Fig. 1). PSI #135 in Upper West Manhattan, NY, has the longest data record (since December 2017) among these instruments and is located on the Advanced Science Research Center

(ASRC) Rooftop Observatory at the City College of New York campus, an intensive urban air-quality monitoring site. The Pandora sensor in Queens, NY, is located at the CUNY (City University of New York) Queens College, a New York Department of Environmental Conservation (NYDEC) Air Toxics and NCore monitoring site within a dense residential neighborhood and near several major roadways. The Pandora in New Haven, CT, is located at the Connecticut Department of Energy and Environmental Protection (CTDEEP) Photochemical Assessment Monitoring Station (PAMS) in Criscuolo Park, at the confluence of the Mill and Quinnipiac rivers surrounded by a residential neighborhood near the elevated intersection of three major highways and industrial activities across the rivers. The New Jersey Department of Environmental Protection (NJDEP) Photochemical Assessment Monitoring Station (PAMS) in New Brunswick, NJ, includes a Pandora sensor located on the roof of the Rutgers (NJDEP) research shelter, which is dedicated to atmospheric research, on a university research farm in a suburban neighborhood and approximately 20km from the coast.

Pandora is a sun/sky/lunar passive UV/visible spectrometer system, driven by a highly accurate sun tracker that points an optical head at the sun and transmits the received light to an Avantes low stray light CCD spectrometer (spectral range: 280–525nm; spectral resolution: 0.6nm with 4 times oversampling) through a fiber optic cable (Herman et al., 2019; Tzortziou et al., 2014). The spectrometer is temperature stabilized at 20°C inside a weather resistant container. Trace gas abundances along the light path are determined using differential optical absorption spectroscopy (DOAS). The system can operate in both direct-sun and sky-scan mode for retrievals of O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CH<sub>2</sub>O total columns and information on vertical profile (Tzortziou et al., 2018; Herman et al., 2018; Spinei et al., 2018), and is an enhanced monitoring instrument for characterizing upper air pollutants under the US EPA PAMS program (Szykman et al., 2019). The estimated TCNO<sub>2</sub> error in Pandora retrievals is approximately 0.05 DU (1 DU = 2.69 × 10<sup>16</sup> molec cm<sup>-2</sup>) (Herman et al., 2019). Pandora data were filtered here for normalized root-mean square of weighted spectral fitting residuals less than 0.05, uncertainty in NO<sub>2</sub> retrievals less than 0.05DU, and TCNO<sub>2</sub> > 0.

## 2.2 TROPOMI satellite retrievals

Jointly developed by the Netherlands and ESA, TROPOMI is an air quality monitoring sensor onboard the sun-synchronous Copernicus Sentinel-5 Precursor satellite, launched on 13 October 2017 (Veefkind et al., 2012). On a low-earth (825km) orbit, Sentinel-5P has a daily Equator overpass time of approximately 13:30 local time and global daily coverage. TROPOMI has a spatial resolution of 7.2km (5.6km as of 6 August 2019) along-track by 3.6 km across-track at nadir, a significant improvement compared to its predecessors OMI (Ozone Monitoring Instrument) (Levelt et al., 2006) and SCIAMACHY (SCanning Imaging Absorption spectroMeter for Atmospheric CartographY) (Bovensmann et al., 1999). Here, we also used data from OMI due to its long-time record exceeding 17 years. TROPOMI's extended spectral range (270–2385 nm) and high spectral resolution (0.25–1 nm) allow observations of cloud, aerosol properties, and key atmospheric trace gases including O<sub>3</sub>, NO<sub>2</sub>, CO, SO<sub>2</sub>, CH<sub>4</sub> and CH<sub>2</sub>O (Veefkind et al., 2012). NO<sub>2</sub> retrievals from TROPOMI are based on measurements in the 405–465 nm spectral window. Using a DOAS technique,

similar to the Pandora instrument, the top-of-atmosphere spectral radiances are converted into slant column amounts of NO<sub>2</sub> between the sensor and the Earth's surface (Boersma et al., 2018). In two additional steps, subtraction of the stratospheric component and incorporation of an air mass factor, the slant column quantity is converted into a tropospheric vertical column content (Beirle et al., 2019; Dix et al., 2020; Goldberg et al., 2019b; Griffin et al., 2019; Ialongo et al., 2020; Reuter et al., 2019; Zhao et al., 2020). For this analysis, we used the operational “off-line” TROPOMI NO<sub>2</sub> data set, Version 1.02 between 30 April 2018–19 March 2019 and Version 1.03 20 March 2019–28 November 2020. We do not continue the TROPOMI analysis beyond 28 November 2020 due to a significant change in the algorithm (to version 1.04) on 29 November 2020. TROPOMI data are filtered using a quality assurance flag (QA), in which pixels with QA values greater than 0.75 are utilized; no other filter has been applied. Validation of TROPOMI NO<sub>2</sub> V1.02 tropospheric columns over the New York City metropolitan area indicate columns are biased low, varying 19%–33% (Judd et al., 2020).

### 2.3 Satellite-derived NO<sub>x</sub> emissions

We used an inverse statistical modeling technique (Goldberg et al., 2019b; Laughner and Cohen, 2019) to derive the New York City NO<sub>x</sub> emission rates from a combination of TROPOMI satellite data and re-analysis meteorology. This method accounts for daily changes in temperature, sun angle, wind speed, and wind direction by calculating a spatiotemporally specific NO<sub>2</sub> lifetime. In brief, all NO<sub>2</sub> satellite data over New York City were compiled and rotated based on the daily observed wind direction, so that the oversampled plume is decaying in a single direction. We used the closest gridded value without interpolation of the 100m (above the surface) horizontal wind speed and direction from the ERA5 re-analysis data set (Hersbach et al., 2020) generated at 0.25° × 0.25°. Once all daily plumes were rotated to be aligned as an effective horizontal plume and averaged together during a 5-month warm season period (May–September; usually ~75 snapshots), we integrated ± 0.5° along the *y* axis about the *x* axis to compute a one-dimensional line density in units of mass per distance. The line densities, which are parallel to the wind direction, peak near the primary NO<sub>x</sub> emissions source and gradually decay downwind from a combination of atmospheric dispersion, chemical transformation, and deposition. The line densities were fitted to a statistical exponentially modified Gaussian (EMG) model (Beirle et al., 2011; de Foy et al., 2014; Valin et al., 2013; Verstraeten et al., 2018). The five fitted parameters of the statistical fit are the NO<sub>2</sub> background, NO<sub>2</sub> mass perturbed above the background threshold (burden), decay distance, horizontal location of apparent source (ideally at the origin), and sigma of the Gaussian plume. The NO<sub>x</sub> emissions rate from the source can be calculated from the NO<sub>2</sub> burden, decay distance, and NO<sub>x</sub>/NO<sub>2</sub> ratio, which previous work has shown to be 1.33 (Beirle et al., 2011). After accounting for a systematic low bias of TROPOMI in polluted areas (Judd et al., 2020; Verhoelst et al., 2021), the NO<sub>x</sub> emissions compare well with known emissions from power plants (Goldberg et al., 2019b). For this project, we do not correct for TROPOMI low bias, but instead assume the low bias is consistent between years and calculate changes between years. A full description of the method can be found in Goldberg et al. (2019a, b).

## 2.4 STILT model simulations

We used STILT, the Stochastic Time-Inverted Lagrangian Transport model, to calculate the surface influence and contributions from different sources of NO<sub>2</sub> pollution to the city. STILT is a Lagrangian particle dispersion model, in this case driven by NOAA High-Resolution Rapid Refresh (HRRR) meteorology at 3km horizontal resolution, that follows the trajectory of 500 air parcels released from the receptor (measurement site) position backward in time over the previous 24 h. The motion of each parcel is determined by both advection by the large-scale wind fields and random turbulent motion, independent of the other parcels. The proportion of parcels residing in the lower half of the planetary boundary layer determines the influence of surface fluxes on the measured mole fractions. This surface influence is tracked in time and space, which allows for the calculation of a two-dimensional footprint at hourly intervals over the travel period and spatial domain of the particles. The unit of surface influence is defined as the response of each receptor concentration measurement to a unit emission of a trace gas at each grid square (e.g., ppb ( $\mu\text{molm}^{-2} \text{s}^{-1}$ )<sup>-1</sup>). In this study, we ran hourly STILT simulations for the 10h surrounding daily peak NO<sub>2</sub>, for cases of particularly high total column NO<sub>2</sub> amounts (> 1.8 DU, more than three times the average of pre-pandemic levels) measured at the Manhattan and Queens Pandora sites during the COVID-19 lockdown in April 2020 and after the shutdown in October 2020. Simulated particles originated at the elevation of the Pandora instruments. We also performed simulations for one low TCNO<sub>2</sub> case in April 2020 for comparison. The STILT footprints were multiplied by 2015 annual gridded maps of NO<sub>x</sub> emissions ( $\mu\text{molm}^{-2} \text{s}^{-1}$ ) at 0.1° horizontal resolution from the Emissions Database for Global Atmospheric Research (EDGAR) v5.0, which combine atmospheric pollutant data categorized by anthropogenic emissions sector (e.g., power, manufacturing, transportation), to predict the NO<sub>2</sub> concentration enhancement (ppb) that would be expected for each observed hour.

## 2.5 Meteorological Data

Wind speed and direction data from the ERA5 Model (Copernicus Climate Change Service (C3S), 2017) were used to examine the impact of meteorology on TROPOMI retrieved NO<sub>2</sub> column amounts. To downscale the 0.25° × 0.25° grid ERA5 reanalysis, we spatially interpolate daily averaged winds to 0.01° × 0.01° using bilinear interpolation (Goldberg et al., 2020). The average 100m winds during 16:00–21:00UTC (i.e., approximately the TROPOMI overpass time over North America) were used in our analysis. To assess impacts of meteorology on ground-based measurements of TCNO<sub>2</sub> from the Manhattan Pandora PSI#135, we used in situ measurements of wind speed and wind direction (measured at a resolution of 0.01ms<sup>-1</sup> and 1°, respectively) collected by a collocated ATMOS 41 All-In-One weather station on a 15 min timescale.

## 2.6 Calculation of change in NO<sub>2</sub> column amounts

Change in NO<sub>2</sub> column amounts was estimated by comparing post-lockdown TROPOMI and Pandora measurements to the same timeframe in 2018–2019 to account for seasonality and interannual variability (Goldberg et al., 2020; Bauwens et al., 2020). The impact of meteorology on these estimates was explicitly quantified using ERA5 and in situ

meteorological data. We estimated changes in NO<sub>2</sub> over the different phases of the pandemic in New York City (i) immediately following the initial lockdown in April–May 2020, (ii) as restrictions gradually eased in June–August 2020, (iii) during the re-opening phase in September–November 2020, (iv) as restriction became strict again in December 2020–February 2021 due to the second wave of the pandemic, and (v) in March–May 2021, 1 yr after the initial lockdown. Pandora data were first averaged in half-hour bins to eliminate bias towards times of day with more data, then averaged on weekly, monthly, and seasonal time scales. To examine weekly cycles from satellite observations, TROPOMI data were averaged over longer timescales (April–November), due to the lower temporal resolution and impacts of clouds on satellite retrievals. All computed means for seasonal and weekly cycles were calculated with 95% confidence intervals using a two-tailed single sample *t* test. While NO<sub>2</sub> data is non-normally distributed, all sample sizes are large ( $n > 100$ ), and statistics (e.g., *p* values) were also calculated using the nonparametric Mann–Whitney and Kruskal–Wallis tests which confirmed the validity of *t* test results.

## 2.7 Changes in mobility patterns

To examine changes in mobility patterns, we looked at sector-specific mobility indices provided by Apple (Forster et al., 2020; Apple COVID-19 Mobility Trends Reports, 2021) and traffic counts from the Metropolitan Transport Authority (MTA) day-by-day transit data, focusing on bridge and tunnel ridership to represent passenger vehicles (buses, motorcycles, cars, trucks) (NY MTA, 2021). Apple mobility data (accessed on 4 June 2021) tracked mobile phone movements and compared post-COVID-19 data with the average on 13 February 2020 (Forster et al., 2020). For MTA data (<https://new.mta.info/coronavirus/ridership>, last access: 4 June 2021), bridge and tunnel traffic was quantified from E-ZPass and cash toll collection, and percentage (%) changes in ridership were calculated through comparison to traffic on the pre-COVID equivalent day in the previous year.

## 3 Results and Discussion

### 3.1 Changes in NO<sub>2</sub> column amounts and spatiotemporal dynamics

Satellite imagery from TROPOMI captured significant post-shutdown NO<sub>2</sub> reductions in the New York metropolitan area, particularly during the first 3 months after the initial lockdowns (Fig. 2). As MTA bridge and tunnel traffic plummeted by up to 80% in April 2020 (Fig. S2), TCNO<sub>2</sub> over a 50 × 50 km area around Manhattan dropped by 32% in March–May 2020 compared to the same period in 2018–2019 (Fig. 2a, d). Smaller declines (< 30 %) were found in the surrounding areas of NJ, upstate NY, and CT. These results are consistent with Bauwens et al. (2020) reporting a decline in TROPOMI tropospheric NO<sub>2</sub> column by 28 (± 11) % within a 100 km radius around New York City during the 3 weeks following the onset of the pandemic compared to the same period in 2019. By June–August 2020, total NO<sub>2</sub> columns – lower during summer due to increased photochemical loss – rose closer to pre-pandemic levels, with approx. 15% decline over New York City and even smaller changes (< 10 %) in western NJ, CT, and eastern Long Island (Fig. 2b, e). This recovery in NO<sub>2</sub> coincided with the city of New York commencing the first phase of its reopening plan in June 2020 and gradually relaxing lockdown measures, including the opening of restaurants (outdoor dining) and some workplaces. Daily traffic on New York

City bridges and tunnels increased to 22% lower than baseline in summer 2020 (Fig. S2). This trend continued in fall 2020, with TCNO<sub>2</sub> showing 13% drop over New York City and smaller declines over more rural areas in northern NJ and eastern Long Island (Fig. 2c, f).

These values can be compared to long-term NO<sub>2</sub> trends from OMI (Fig. S3), which shows a  $\sim 3.8\% \text{yr}^{-1}$  drop between 2005 and 2019. The abrupt TCNO<sub>2</sub> changes during the initial phase of the COVID lockdowns, occurring within a matter of days, were approximately equivalent to the drop seen over the prior 10-year period between 2009 and 2019.

These abrupt spatiotemporal changes in TCNO<sub>2</sub> detected by TROPOMI were remarkably consistent with the higher resolution TCNO<sub>2</sub> measurements from the ground-based Pandora network. Prior to lockdown, TCNO<sub>2</sub> in Manhattan and Queens, NY, was characterized by high variability, often surpassing 2DU (Fig. 3). NO<sub>2</sub> total columns in New Brunswick, NJ, and New Haven, CT, were overall considerably lower than measurements in New York City, in agreement with pre-pandemic TROPOMI retrievals (Table 1, Figs. 1, 3). Across all sites, pre-pandemic TCNO<sub>2</sub> showed a clear seasonal cycle typical of Northern Hemisphere mid-latitude locations, with maxima occurring during the winter (Figs. 3, 4) due largely to increased fossil fuels for domestic heating, the longer tropospheric NO<sub>2</sub> lifetime at colder temperatures, less light availability, and a shallower and more stable planetary boundary layer (van der A et al., 2008; Roberts-Semple et al., 2012). Post-shutdown, all Pandora sensors measured a significant drop in TCNO<sub>2</sub>. In the 2 months following the initial lockdown, TCNO<sub>2</sub> in Manhattan decreased by 36% compared to pre-pandemic levels, with smaller declines, 21%, 19% and 29% respectively, in Queens, New Brunswick, and New Haven (Table 1).

Variability in TCNO<sub>2</sub> (Table 1) also decreased at most locations, indicating a reduction in the magnitude of high NO<sub>2</sub> pollution episodes. As social distancing restrictions gradually started to ease in June, TCNO<sub>2</sub> in Manhattan started to slowly recover, reaching 25% lower than the pre-pandemic seasonal mean in summer and 22% lower in fall 2020. NO<sub>2</sub> rose even closer to pre-pandemic levels in Queens, New Brunswick, and New Haven, showing less than 15% decline in summer and fall 2020 (Table 1), consistent with TROPOMI (Fig. 2). TCNO<sub>2</sub> in Manhattan, however, dropped again significantly below pre-pandemic levels during the second wave of the pandemic in late 2020 (Table 1, Fig. 4). The decline in TCNO<sub>2</sub> reached 39% in January 2021, consistent with both a decline in mobility (i.e., re-closing of businesses and transition from in-person to online learning in many schools in the area; Fig. S1), as well as favorable meteorological conditions for low NO<sub>2</sub> (discussed in Sect. 3.4). As restrictions eased again, NO<sub>2</sub> levels rebounded to 11% and 21% below pre-pandemic levels in April and May 2021, respectively, more than a year after the COVID-19 outbreak in the United States (Table 1, Fig. 4).

These changes resulted in a departure from typical seasonal NO<sub>2</sub> behavior, maximum in winter and minimum in summer, with instead a maximum in monthly mean TCNO<sub>2</sub> in July 2020 and two minima tightly linked to the two pandemic waves in May 2020 and January 2021 (Fig. 4). In agreement with Gkatzelis et al. (2021), the NO<sub>2</sub> decrease closely followed changes in the stringency of lockdown measures and particularly decreases in traffic, further confirming the importance of the transportation sector as a source of NO<sub>x</sub> pollution in

Manhattan. Still, as discussed in the next section, other emission sectors also contributed significantly to the observed spatiotemporal changes in NO<sub>2</sub> pollution over the New York metropolitan area during the multiple waves of the pandemic.

### 3.2 Impacts of COVID-19 measures on NO<sub>x</sub> emissions

While meteorology plays a significant role in air pollution levels, our estimates of top-down NO<sub>x</sub> emissions from TROPOMI indicate that sudden reductions in NO<sub>x</sub> emissions due to COVID-19 measures were the dominant factor driving the observed NO<sub>2</sub> decline in New York City during the first wave of the pandemic (Fig. 5).

Five-month (May to September) averaged top-down NO<sub>x</sub> emissions suggest a 34.5% drop between 2019 and 2020 (Fig. 5). This reduction in NO<sub>x</sub> emissions is significantly larger than the long-term decline of approx. 4%yr<sup>-1</sup> captured by OMI (Fig. S3) and reported in previous studies for the eastern United States and New York City (Krotkov et al., 2016; Goldberg et al., 2019a), and suggests that COVID-19 measures during the first pandemic wave led to ~30% reduction in NO<sub>x</sub> emissions in New York City, on top of the long-term trend resulting from air-quality regulations and technological improvements. The reason TROPOMI TCNO<sub>2</sub> changes (Fig. 2) are smaller than NO<sub>x</sub> changes during the coincident timeframe ( TCNO<sub>2</sub> :~24% vs. NO<sub>x</sub> :~35%) is because there is a background component to NO<sub>2</sub>.

The EPA National Emissions Inventory (NEI) provides context for expected changes in NO<sub>x</sub> emissions due to the COVID-19 pandemic. According to 2017 NEI data, mobile sources account for about 59% of annual NO<sub>x</sub> emissions in New York City (25% on-road, and 34% non-road transportation including non-road equipment (15 %) and locomotives/aircrafts/marine vessels (19 %)). The next largest contributing sector is energy (41 %), which includes electric generation, and residential, commercial, and industrial fuel combustion. Wildfires, biogenic sources, and waste disposal contribute a negligible amount (< 1 %; NEI 2017). New York City NO<sub>x</sub> emissions are more heavily weighted in the energy sector than other major US cities such as Los Angeles (13 %) and Chicago (26 %) (NEI, 2017). During spring 2020, MTA bridge and tunnel traffic decreased on average by 55 %, nationwide commercial passenger airline and business aviation travel decreased by approx. 75% and 70% (Transportation Research Board, 2020; FlightAware, 2020; Bureau of Transportation Statistics (BTS), 2020), while operation of commercial marine vessels, non-road equipment, and locomotives dropped by an estimated ~6%, ~52%, and ~15–20%, respectively (United Nations Conference on Trade and Development 2021; Procore, 2020; Bureau of Transportation Statistics, 2020). Applying these reported changes in activity to corresponding estimated NO<sub>x</sub> contributions from different components of the mobility sector in New York City (EPA) results in an approx. 26% decrease in NO<sub>x</sub> emissions. Declines in power generation demand/usage in New York City, however, were considerably smaller, on average 15% in spring 2020 (New York Independent Systems Operator, 2020). These changes in emissions from the transportation and power generation sector suggest approximately 32% decrease in NO<sub>x</sub> emissions in New York City during the first wave of the pandemic, which is consistent with our estimated reduction in top-down NO<sub>x</sub> emissions from TROPOMI.

The overall less dramatic declines in TCNO<sub>2</sub> observed at locations outside Manhattan (e.g., CT and NJ) during the first 2 months following the initial lockdowns agree with reported changes in population, with many city residents across the United States relocating (temporally and long term) to their suburban areas, more so from wealthier than lower-income neighborhoods (Quealy et al., 2020). They are also consistent with mobility trends across our study region, with the strongest mobility declines occurring in New York City. According to Apple mobility data, transportation associated with driving and transit during March–May 2020 were 36% and 72% lower than baseline, respectively, in New York City, compared to 32% and 54% in Middlesex County, NJ, and 19% and 49% in New Haven, CT (Fig. S4). Moreover, the mobile sector constitutes a larger portion of total NO<sub>x</sub> emissions in Middlesex County, NJ, (72%) and New Haven, CT, (71%) than in New York City, with significantly larger contributions from diesel at 36% of Middlesex total emissions (22% in CT, 25% in New York City). National US diesel sales experienced a relatively smaller decrease from 2019–2020 than gasoline sales did, with a maximum decrease of ~20% in spring (vs. a mean –40% for gas) (U.S. Energy Information Administration, 2021), so the relatively larger contribution from diesel in NJ could also partially explain the smaller decreases in NO<sub>2</sub> at these locations compared to those observed in NY.

### 3.3 Changes in NO<sub>2</sub> weekly cycles during the pandemic

Anthropogenic NO<sub>x</sub> emissions often display a clear weekly cycle in major cities around the world, with minima on rest days (e.g., Beirle et al., 2003; Kaynak et al., 2009; Tzortziou et al., 2013). The amplitude of this weekly cycle has been shown in OMI data (2015–2017) to be strengthening in regions undergoing rapid emission growth, while it has been weakening over European and US cities due to the long-term decline in anthropogenic emissions (Stavrakou et al., 2020). Yet, recent data from TROPOMI (2018–2019) show that significant NO<sub>2</sub> decreases on Sunday are still prevalent in cities of North America, Europe, Australia, Korea, and Japan (Stavrakou et al., 2020). In New York City, TROPOMI captured 30% lower tropospheric column NO<sub>2</sub> on Sundays compared to a typical weekday in 2018–2019 (Goldberg et al., 2021), in agreement with pre-pandemic MTA and Apple data showing lower traffic into and around the city on Sundays. Similarly, Pandora measurements in Manhattan showed a clear weekly NO<sub>2</sub> dependence before the pandemic, with minima consistently observed on Sunday on average 33% lower than weekday values (Figs. 6, 7). A strong diurnal variability in NO<sub>2</sub> was also found (e.g., Fig. 8), although diurnal patterns were highly variable spatially and temporally, consistent with previous studies (Tzortziou et al., 2013). The Sunday-to-weekday TCNO<sub>2</sub> ratio varied seasonally from 0.64 and 0.63 in spring and summer, to 0.75 and 0.88, respectively, in fall and winter (Figs. 6, 7b), most likely due to the longer tropospheric NO<sub>2</sub> lifetime and an increase in relative contribution of NO<sub>x</sub> sources that have no weekly cycle (e.g., heating) in winter (Beirle et al., 2003).

The COVID-19 measures significantly impacted this weekly NO<sub>2</sub> behavior. Over the 9 months following the lockdown in New York (April–November 2020), TROPOMI captured a clear increase in the Sunday-to-weekday TCNO<sub>2</sub> ratio from 0.76 to 0.92 (Fig. 7a). Higher frequency Pandora measurements enabled comparison on seasonal timescales, revealing a disproportionate drop in weekday TCNO<sub>2</sub> immediately after the initial lockdown (Figs. 6, 7). Weekday NO<sub>2</sub> decreased by as much as 36% and 29% in spring and summer 2020,

respectively, while Sunday  $\text{NO}_2$ , decreased only by 26% and 15% (Fig. 6). The Sunday-to-weekday  $\text{TCNO}_2$  ratio, thus, increased by 16% in the post-pandemic spring months with a similar trend into the summer (Fig. 7b). By fall, although  $\text{TCNO}_2$  was still significantly lower than pre-pandemic levels (−22% on weekdays and −19% on Sundays, Fig. 6), the typical weekly cycle re-emerged with a post-pandemic ratio of 0.78. Surprisingly, the weekly cycle in  $\text{TCNO}_2$  increased during the winter (Fig. 7b), as a result of a larger decrease in Sunday  $\text{NO}_2$  (49%) compared to weekday  $\text{NO}_2$  (27%, Fig. 6). A large departure from typical weekend travel patterns during the second wave of the pandemic, with MTA bridge and tunnel traffic data showing a relatively larger decrease in traffic on Sundays during winter 2021 (Fig. S4), could partly explain these results while the adoption of socially distanced protocols by 2021 may have resulted in relatively fewer reductions of weekday activities such as construction or shipping. By the reopening phase in March–May 2021, the weekly  $\text{NO}_2$  cycle strengthened significantly (Fig. 7b). With the exception of two Sundays in March and April that showed high peaks in  $\text{TCNO}_2$  due to strong influence of low-speed ( $< 5 \text{ ms}^{-1}$ ) south and westerly winds, the Sunday-to-weekday ratio approached pre-pandemic levels in spring 2021, likely reflecting a gradual return to “normal” as the city-wide COVID infection rate dropped (Fig. S1).

Long-term declines in anthropogenic  $\text{NO}_x$  emissions and the resulting growing importance of background  $\text{NO}_2$  had already led to a significant dampening of the weekly  $\text{NO}_2$  cycle in pre-pandemic New York City over the past 15 years, as shown by an increase in the OMI retrieved Sunday-to-week column ratio by 17% from 2005 to 2017 (Qu et al., 2021; Stavrakou et al., 2020). Interestingly, the early stringent COVID-19 lockdown measures and related abrupt changes in human behavior resulted in an additional 16% weakening of the  $\text{TCNO}_2$  weekly cycle, in just 3 months. Including these changes (both weakening and recovery) in weekly cycles of emissions and pollutant concentrations in chemistry-transport models is important in efforts to quantify and simulate the impacts of the COVID-19 pandemic on regional air quality, human health, and ecosystems.

### 3.4 Meteorology as a driver of $\text{NO}_2$ decline and high pollution episodes during the pandemic

Despite the significant reduction in  $\text{NO}_2$  emissions during and following the COVID-19 lockdown, both ground-based and satellite sensors captured cases of high pollution in the New York metropolitan region with  $\text{TCNO}_2$  often exceeding three times the pre-pandemic levels (Table 1, Figs. 4, 8). 23 and 25 April 2020, during the initial lockdown, are such instances of  $\text{TCNO}_2$  exceeding 1.8DU (three times the pre-pandemic seasonal  $\text{TCNO}_2$  mean) and showing remarkably similar diurnal behavior at the Manhattan and Queens locations (Fig. 8c, d).

TROPOMI data was not available, but OMI captured  $\text{TCNO}_2$  of 1.12DU over New York city on 25 April (Fig. 8d). At the early stage of the second wave of the pandemic,  $\text{TCNO}_2$  also exceeded 1.8 DU on 9 October in both Manhattan and Queens with a time lag of approximately 2 h between the maximum observed by the two instruments (Fig. 8e). On the same day, TROPOMI  $\text{TCNO}_2$  reached 0.9DU, more than two times higher than the pre-pandemic satellite monthly  $\text{NO}_2$  mean (Fig. 8e). Overall, there were 12d when ground-

based measured TCNO<sub>2</sub> exceeded 1.8DU in post COVID-19 New York City, despite a 34.5% drop in top-down NO<sub>x</sub> emissions (Fig. 5). Considering the significant decline in transportation emissions, the post-lockdown high NO<sub>2</sub> pollution episodes are most likely associated with power plant emissions and specific meteorological conditions. Indeed, the EDGAR v5.0 inventory shows that spatial patterns in NO<sub>x</sub> emissions over the New York metropolitan area are primarily driven by the power generation sector, while contributions from road traffic, buildings, and manufacturing show more even distribution with slight peaks of approximately 0.05kg NO<sub>x</sub> m<sup>-2</sup> yr<sup>-1</sup> in Brooklyn, Queens, and Manhattan (Fig. 9). Of the many power plants in the area, the Astoria Energy LLC and Astoria and Ravenswood Generating Stations in Queens were among the largest greenhouse gas polluters in the state of New York in 2018 and 2019, with total reported greenhouse emissions > 3500 000 metric ton CO<sub>2</sub> e (EPA FLIGHT GHG Inventories) (Fig. 1). In NJ, the PSEG Bergen Generating Station in Ridgefield (NW of Manhattan/NW of Harlem) and the Linden Cogeneration Facility (SW of Manhattan) are major power plants located West of Manhattan with total reported emissions > 7000 000 metric ton CO<sub>2</sub> e both in 2018 and 2019.

Consistent with the location of these power plants, we found that meteorological conditions on days when high TCNO<sub>2</sub> was measured in Manhattan were characterized by low-speed southerly and westerly winds. STILT footprints showed that on 23 April air masses from the high-emitting power sector in New Jersey and along the East River persisted over Upper West Manhattan from 16:00 to 21:00UTC (Fig. 9a) when TCNO<sub>2</sub> peaked in PSI #135 observations (Fig. 8c). A strong increase in wind speed and change in direction, effectively mixing in clean ocean air, after 21:00UTC coincided with a rapid decline in measured TCNO<sub>2</sub>. A similar pattern was observed on 25 April (Figs. 8d, 9b, e), when air intercepted by the Manhattan and Queens Pandoras shifts from the northwest to southeast, slowing while passing over New Jersey and the East River power plants around 18:00 UTC to produce the observed TCNO<sub>2</sub> peak at these sites. On 9 October, westerly airflow from New Jersey shifted to accumulate NO<sub>x</sub> emissions over the Manhattan Pandora location from 17:00 to 19:00 UTC when observed TCNO<sub>2</sub> peaked at 1.95 DU. Wind accelerated and shifted southwest in the evening, coinciding with a TCNO<sub>2</sub> decrease to < 0.5 DU (Figs. 8e, 9f, g). Low-speed westerly winds brought Manhattan and East River power plant emissions to the Queens location approximately 2 h earlier that day, in agreement with the earlier peak in TCNO<sub>2</sub> measured by the Pandora (Fig. 8e). Strong winds, persisting in a single direction for several hours, consistently dispersed pollution resulting in low NO<sub>2</sub> column amounts over Manhattan and Queens. An example is 16 April (Fig. 9c), when high-speed NW winds persisted throughout the day dispersing local and regional pollution and transporting NO<sub>2</sub> out to the ocean.

Combining the STILT footprints, which account for the meteorology described above, with the sector-specific EDGAR NO<sub>x</sub> emission maps allows us to approximate the fraction of expected NO<sub>x</sub> concentration enhancements from each emission sector observed at each Pandora station. For 25 April, we find that the largest contribution of NO<sub>x</sub> at the Manhattan site is from power generation (42%), with manufacturing dominating at the Queens site (30%). Road transportation (using pre-pandemic estimates) contributes only 13% and 18% at the Manhattan and Queens sites, respectively. Despite the constant NO<sub>x</sub> emissions rate for each month in EDGAR (i.e., no diurnal cycle), the diurnal pattern of the meteorology-

driven simulated power-sector near-surface  $\text{NO}_x$  concentration enhancement was consistent with the  $\text{TCNO}_2$  observed by the Pandoras on both 23 and 25 April 2020 (Fig. 8c–e). This result supports the large role played by meteorology in causing  $\text{NO}_2$  accumulation and demonstrates a clear connection between the near-surface and total column  $\text{NO}_x$  concentrations on these days.

Our measurements showed that the observed correlation between particularly high post-pandemic  $\text{NO}_2$  pollution episodes and low-speed winds is typical of  $\text{NO}_2$  dynamics in Manhattan. In large cities with relatively flat topography, including New York City, increasing wind speeds from nearly stagnant to  $> 8 \text{ ms}^{-1}$  were previously shown to decrease  $\text{NO}_2$  by 40%–85% (Goldberg et al., 2020). Indeed, coincident measurements of wind conditions and  $\text{NO}_2$  at the Manhattan Pandora location before the pandemic showed that  $\text{TCNO}_2$  rarely rose above 1DU at wind speeds faster than  $8 \text{ ms}^{-1}$  (Fig. 10b). The highest  $\text{TCNO}_2$  amounts occurred when surface winds were in the range  $1\text{--}5 \text{ ms}^{-1}$ . Under such conditions, winds are strong enough to transport pollution from local sources as well as major pollutant emitters in the tri-state area but can still lead to accumulation of pollution in Manhattan.

Moreover, the frequency of high  $\text{NO}_2$  pollution events varies by wind direction, which correlate with sources of  $\text{NO}_x$  pollution. Most events with  $\text{TCNO}_2 > 1 \text{ DU}$ , and all cases with  $\text{TCNO}_2 > 2 \text{ DU}$ , occurred with SE–SW winds ( $90\text{--}270^\circ$  in Fig. 10a). These air mass origins encompass influences from Queens and Brooklyn (SE), lower Manhattan, and northern New Jersey (SW–W) where most of the major power plants and economic activity are located (Fig. 1). Mean  $\text{TCNO}_2$  for SE–SW winds was 0.6 DU, compared to 0.4 DU for NE–NW winds. Pre-pandemic TROPOMI retrievals (2018–2019) also showed that SE–SW winds yield the highest  $\text{NO}_2$  levels in New York City, on average twice as high compared to winds from the NW and NE (Fig. 11), where there are fewer upwind sources. Satellite imagery over the 2018–2019 period was evenly distributed across SE–SW (high  $\text{NO}_2$ ) and NW–NE (low  $\text{NO}_2$ ) wind directions. TROPOMI retrievals also demonstrate a strong negative relationship between satellite  $\text{NO}_2$  columns and wind speed (Fig. 12), with the highest  $\text{NO}_2$  occurring at wind speed  $< 4 \text{ ms}^{-1}$  and the lowest at wind speed  $> 6 \text{ ms}^{-1}$  over the New York metropolitan area before the pandemic.

These meteorological factors, in addition to explaining the particularly high  $\text{TCNO}_2$  values measured even under strict social distancing restrictions during the COVID-19 lockdowns in the tri-state area, were also found to contribute to the significantly reduced  $\text{NO}_2$  values in winter 2021. January and February 2021 showed a drop in  $\text{NO}_2$  by 39% and 30%, respectively, similar to the  $\text{NO}_2$  decline observed immediately after the initial strict lockdowns (Fig. 4). Although traffic (based on both MTA data and Apple mobility trends) showed a noticeable decrease during the second wave of the pandemic, mobility was not nearly as restricted as in April–May 2020 (Figs. S1, S2). Bridge and tunnel traffic was approximately 30% lower in winter 2021 compared to 55% lower in spring 2020. Interestingly, in winter 2021 wind in Upper West Manhattan was mostly (72% in January and 65% in February) from NW–NE directions, which yields the cleanest conditions and favors low  $\text{NO}_2$  columns (Fig. 10a). For comparison, wind at the same location in January and February 2020 was 49% and 50% from NW–NE direction. In contrast to winter

2021, in spring, summer, and fall 2020, wind was 54%, 33% and 42% from NW-NE directions (compared to 49% in pre-COVID conditions, Fig. 10) and mean wind speed was in the range  $3.8\text{--}5.5\text{ms}^{-1}$ , suggesting that wind conditions were not favorable for lower  $\text{NO}_2$  in Manhattan in 2020. Hence, our estimates of  $\text{NO}_2$  decline in April–December 2020 primarily reflect the impact of changes in anthropogenic emissions, particularly reductions in emissions from the transportation sector. These findings corroborate results from Goldberg et al. (2020), who concluded that varying meteorological conditions (wind speed and direction) in New York City, while different between years, did not have a strong biasing effect in their estimates of the effects of COVID-19 physical distancing on  $\text{NO}_2$  in the month directly following the initial lockdowns. The prevalence of northerly winds in winter 2021, however, minimized the relative contribution of emissions from the energy sector to New York City, favoring low  $\text{NO}_2$  conditions. This led to stronger  $\text{NO}_2$  declines compared to pre-pandemic levels than would be expected based on just changes in emissions from the transportation sector during the second wave of the pandemic.

#### 4 Summary and conclusions

Stringent lockdown measures following the COVID-19 outbreak resulted in an abrupt and significant decline in TROPOMI top-down  $\text{NO}_x$  emissions in New York City, by  $\sim 30\%$  on top of long-term trends. A sudden drop in total column  $\text{NO}_2$  (by up to 36% in Manhattan), along with a weakening of the weekly  $\text{NO}_2$  cycle and a disruption of typical seasonal patterns were observed by the ground-based Pandora network in the New York metropolitan area. Yet, during the same timeframe, traffic in New York City bridges and tunnels plummeted by 55%, on average, compared to pre-pandemic levels, reaching as much as 80% reduction in early April 2020. These results highlight that although on-road transportation is an important source of emissions in New York City, emissions from non-road transportation and the power generation sector (not as strongly affected by the lockdown measures) critically affect  $\text{NO}_2$  pollution levels in New York. Accounting for each sector's contribution to total emissions, resulted in a change in  $\text{NO}_x$  emissions by approx. 32%, which was consistent with satellite top-down estimates.

Disentangling the impacts of meteorology and  $\text{NO}_x$  emission changes on urban air quality is key for designing and implementing improved emission-control strategies. Meteorology had different impacts across the different pandemic waves in New York City. Although it was not found to have a strong biasing effect after the first pandemic wave in spring to fall 2020, meteorology strongly favored clean air conditions over Manhattan after the second pandemic wave in winter 2021, lowering  $\text{NO}_2$  levels beyond what would be expected based on lockdown measures alone. The key role that meteorology plays in shaping the relative contributions from different emission sectors to  $\text{NO}_2$  pollution in New York City was further demonstrated by the occurrence of several high  $\text{NO}_2$  pollution events even during – and despite – the extreme reductions in transportation emissions during the stringent early lockdowns. High total  $\text{NO}_2$  columns, often exceeding three times the pre-pandemic levels, were consistently characterized by low-speed ( $< 5\text{ms}^{-1}$ ) SW-SE winds that enhanced contributions from the high-emitting power-generation sector and accumulation of pollution over New York City. A subsequent increase in wind speed and change in wind direction typically coincided with a decrease in  $\text{NO}_2$  over the city, indicating dispersion of pollutants

across the coastal environment with potentially negative effects on downwind communities as well as terrestrial and aquatic ecosystems (Loughner et al., 2016).

The COVID-19 pandemic resulted in immediate and multifaceted impacts on human behavior that affected various pollutant sectors and their relative contributions to urban  $\text{NO}_x$  emissions differently. During this extreme natural experiment, long-term and high-temporal resolution retrievals from the Pandora network were essential in capturing the response of total column  $\text{NO}_2$  – declines and high pollution episodes – during the multiple pandemic waves and reopening phases in the New York metropolitan area. Incorporating observed  $\text{NO}_x$  emissions changes across time scales is important for improving air quality modeling and forecasting, especially in the context of sub-daily stagnation events that produce  $\text{NO}_x$  exceedances despite low emissions. Such high-resolution observations from ground-based networks, and soon from geostationary satellite sensors such as TEMPO (Chance et al., 2013), enable the characterization of fine-scale features in  $\text{NO}_2$  behavior as well as assessment of the possible effects of rapid meteorological changes on air quality conditions. In New York, a city transitioning to a  $\text{NO}_x$  limited ozone production environment during summer (Jin et al., 2017),  $\text{NO}_x$  plays an important role in the oxidation of VOC's (volatile organic compounds) ozone production as well as secondary aerosol formation. Integration of high-resolution  $\text{NO}_2$  measurements from ground-based networks and geostationary satellite platforms is, thus, critical in further assessing changes in  $\text{NO}_2$ , aerosol, and ozone pollution as the world re-opens, and in evaluating the effectiveness of future sector-specific  $\text{NO}_x$  emission control strategies and their impacts on air quality, human health, and urban ecosystems.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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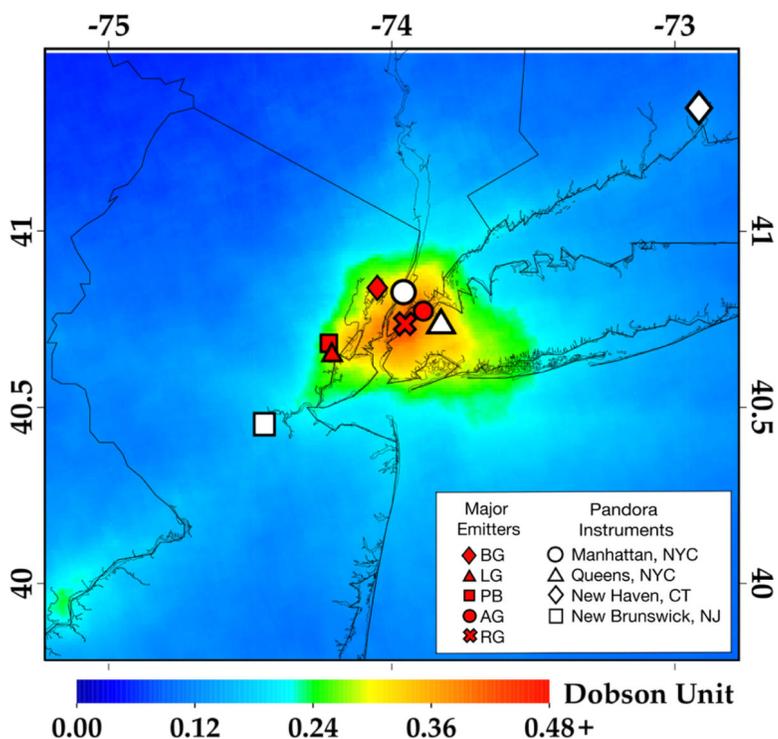
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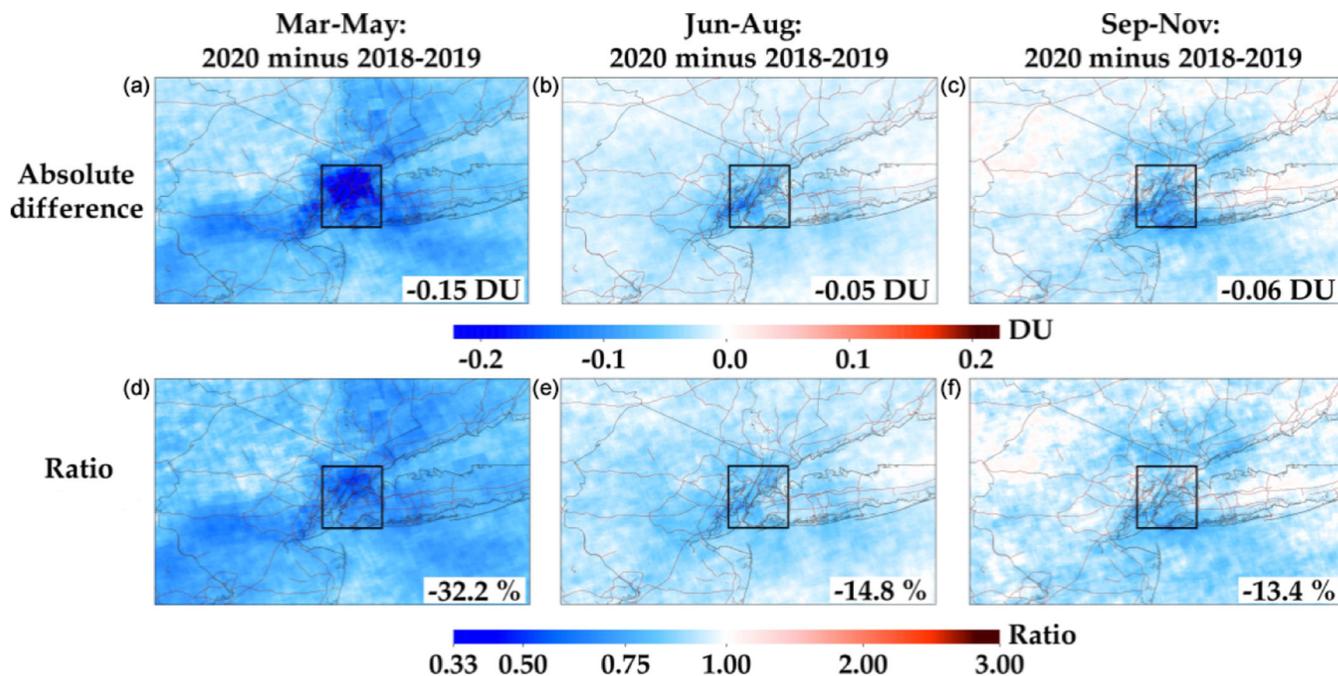
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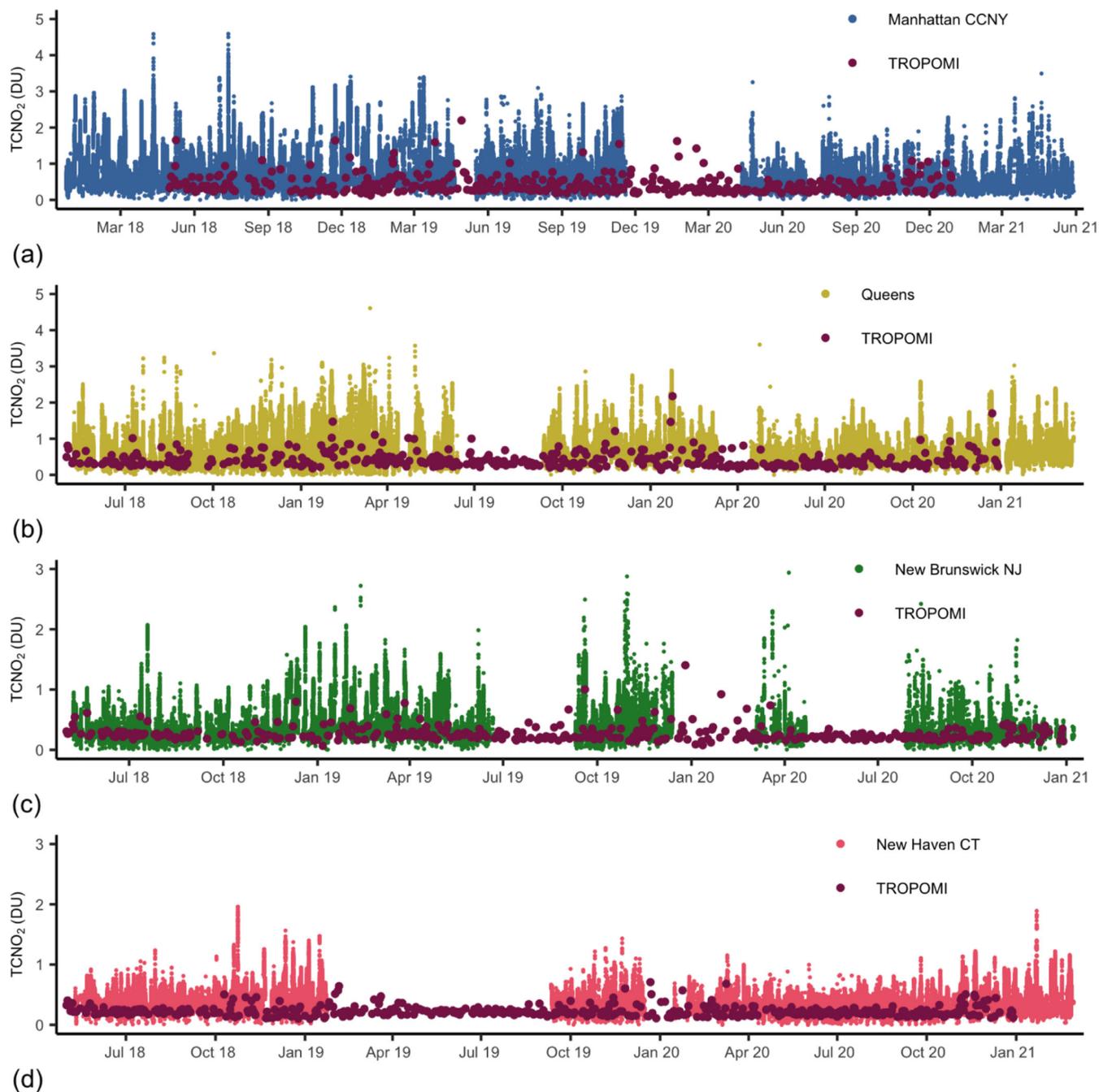
**Figure 1.**

Map of study area, indicating location of Pandora sensors (white symbols) in: Manhattan, NY; Queens, NY; New Brunswick, NJ; and New Haven, CT; overlaid with mean 2019 annual total column NO<sub>2</sub> from TROPOMI (in DU). Major pollutant emitters (red symbols) in the area are included, specifically the PSEG Bergen Generating Station in Ridgefield (BG), the Linden Generating Station (LG), and the Phillips 66 Bayway (PB) Refinery in Linden (major emission sources in NJ), and the Astoria (AG) and Ravenswood Generating (RG) Stations in Queens, NY (among the largest greenhouse gas polluters in the state of NY in 2018 and 2019).

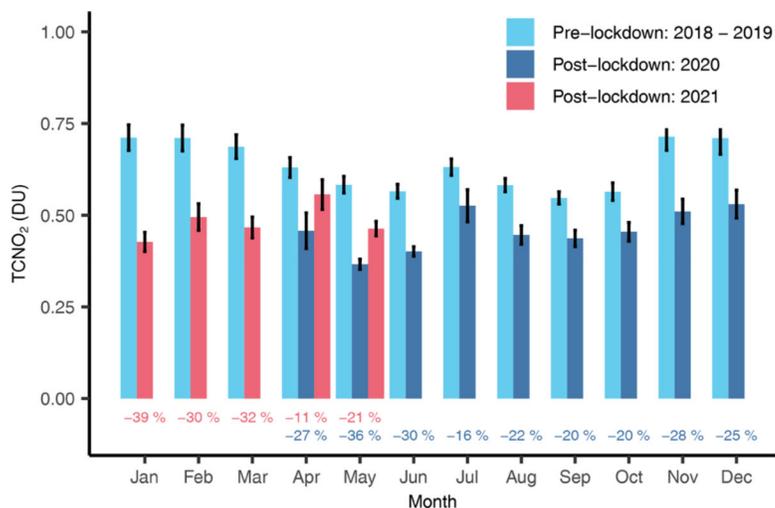


**Figure 2.**

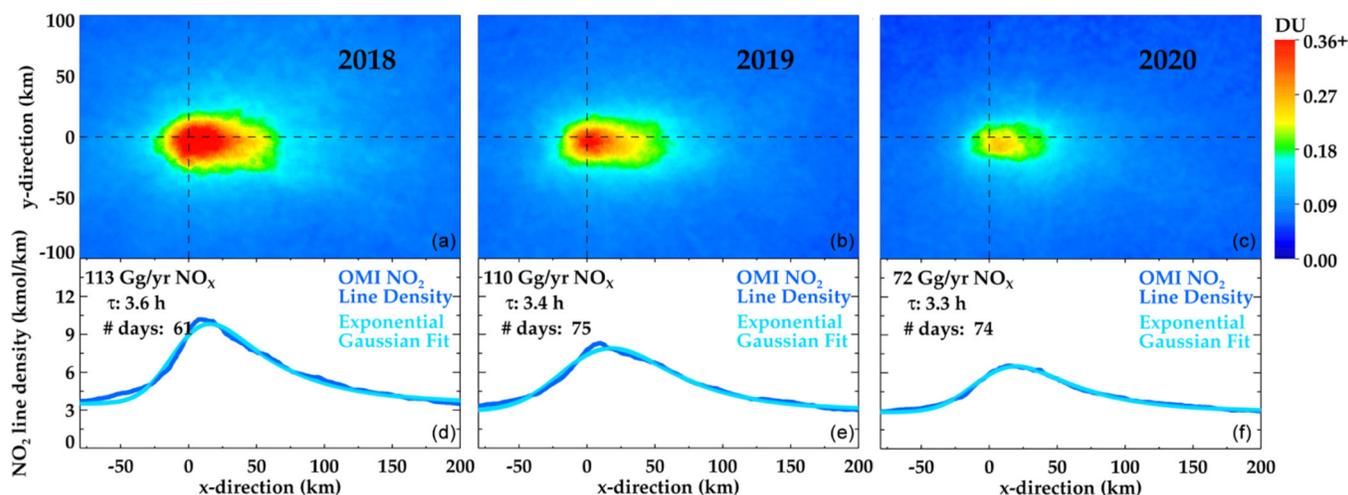
TROPOMI total vertical column  $\text{NO}_2$  differences between 2018–2019 and 2020, over the New York metropolitan area. Results are shown for 13 March through May (**a**, **d**), June through August (**b**, **e**), and September through November (**c**, **f**). (**a**), (**b**), and (**c**) show the absolute difference between the 3-month period in 2018–2019 and 2020 in Dobson units. (**d**), (**e**), and (**f**) show the ratio between the 3-month period in 2018–2019 and 2020. Values denoted in bottom right of each panel are area-averaged difference within a  $50 \times 50$  km area around Manhattan (black box). 13 March–29 April 2019 data are double counted in the March through May 2018–2019 period due to unavailable data in the 13 March–29 April 2018 timeframe.



**Figure 3.** Long term (December 2017–February 2021, May 2021 in Manhattan only) data record of TCNO<sub>2</sub> (in DU) measured by Pandoras in (a) Upper West Side Manhattan (blue circles), (b) Queens (yellow circles), (c) New Brunswick NJ (green circles) and (d) New Haven CT (pink circles). Total column TROPOMI overpass data at locations of the Pandora instruments is also shown (red circles). No data averaging was performed on Pandora or TROPOMI values.

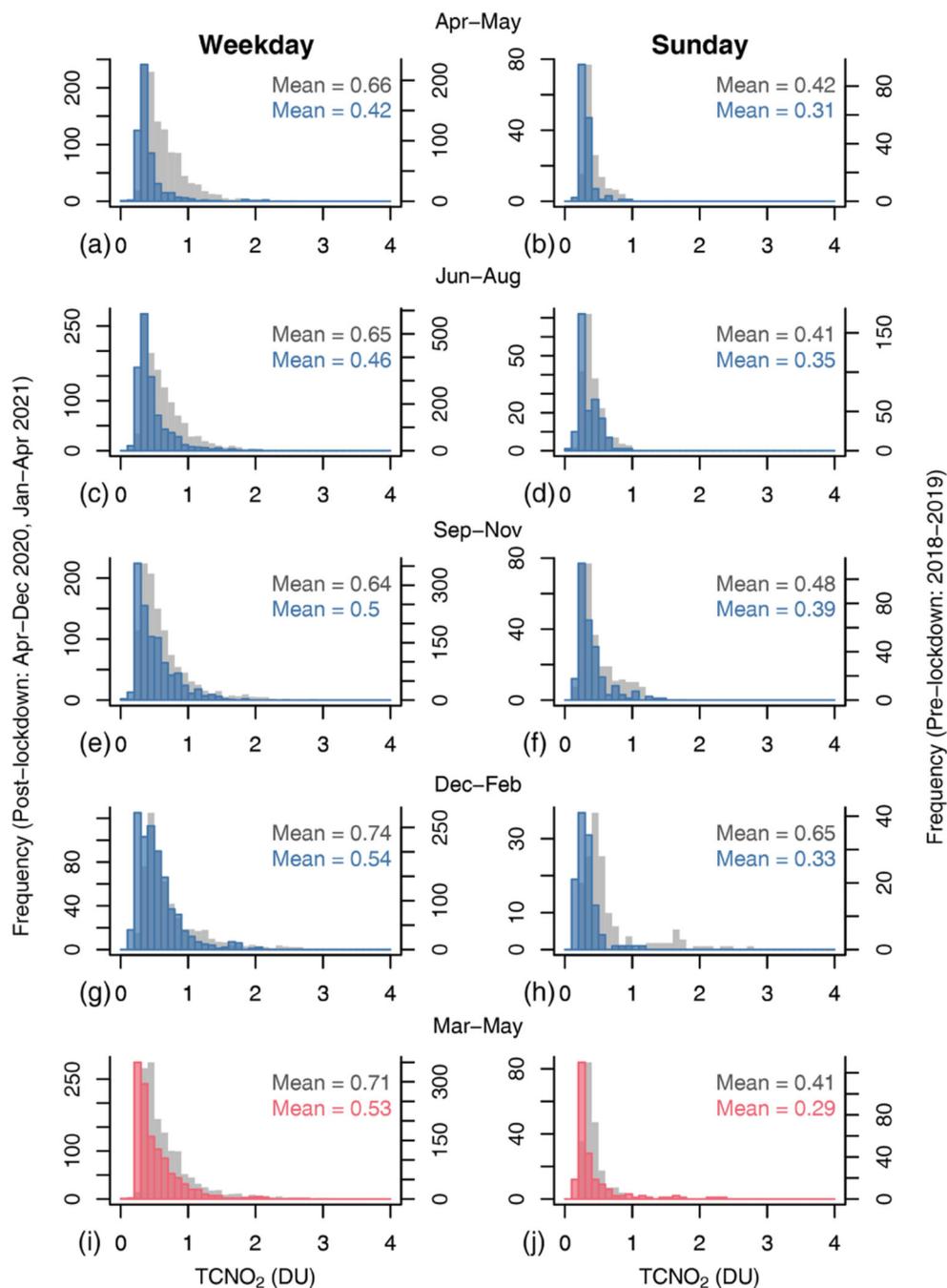


**Figure 4.** Monthly mean seasonal cycle of TCNO<sub>2</sub> in Upper West Manhattan pre-lockdown (December 2017–December 2019, cyan) and post-lockdown (April 2020–December 2020, blue, and January–May 2021, red), as measured by PSI #135 (30min averaged data; 95% confidence intervals indicated by error bars; data not available during January–March 2020). The percentage (%) change is also shown below each bar.

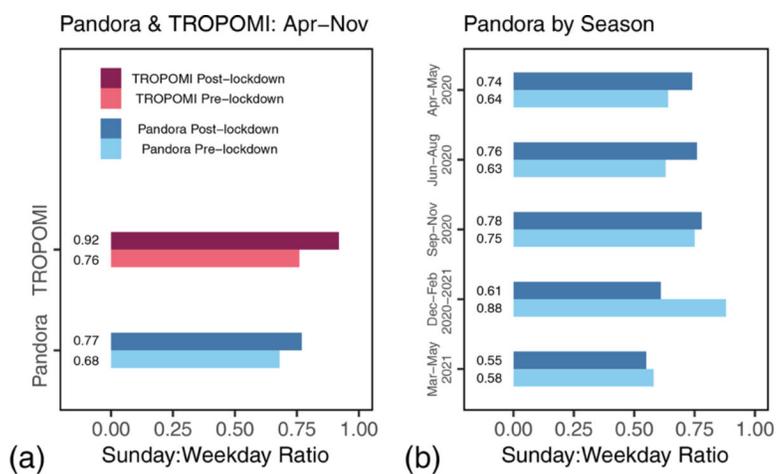


**Figure 5.**

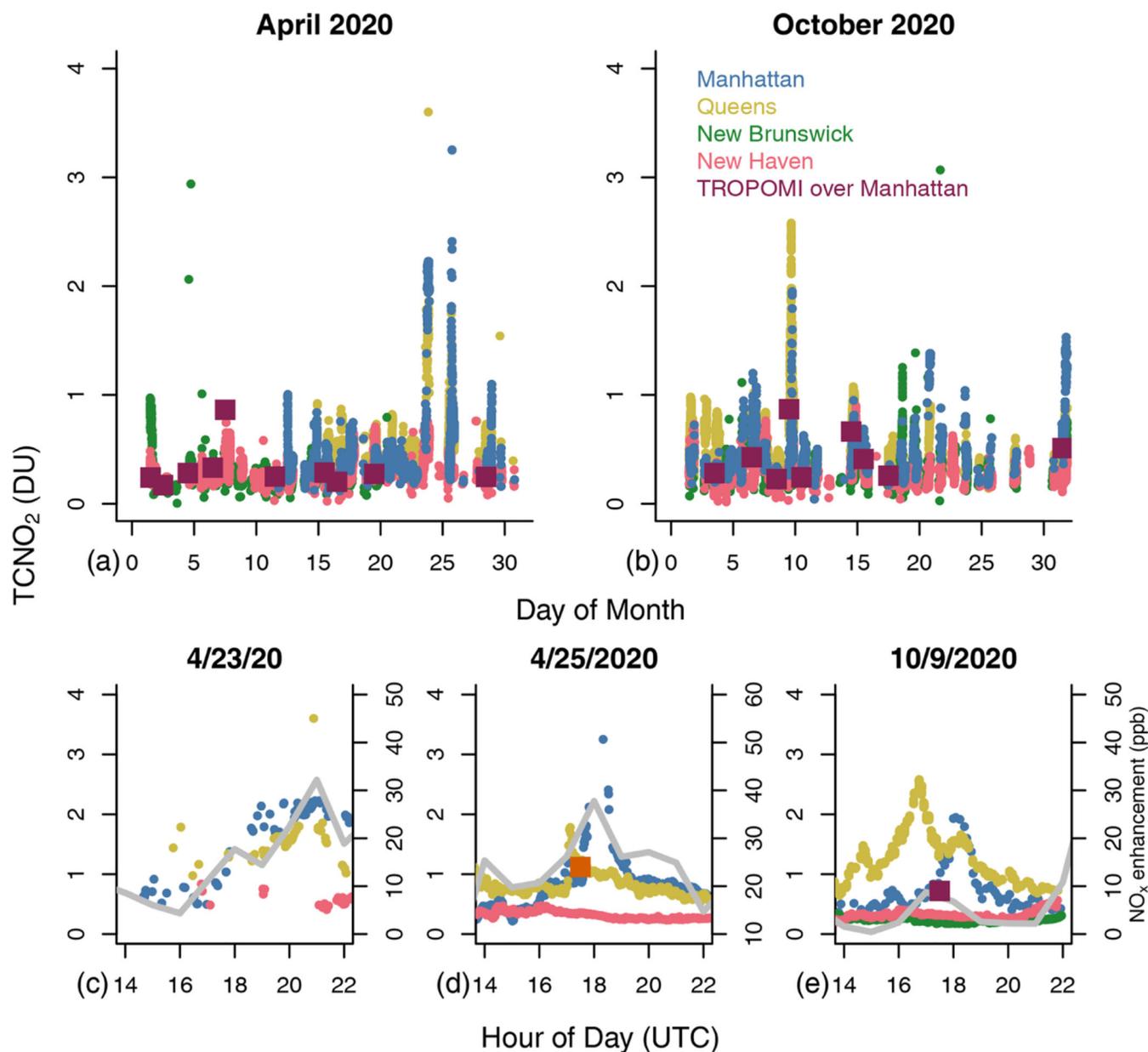
Five-month averaged (May–September) top-down  $\text{NO}_x$  emission estimates for the New York metropolitan area, for 2018 (**a**, **d**), 2019 (**b**, **e**) and 2020 (**c**, **f**). TROPOMI  $\text{NO}_2$  data is rotated based on daily wind direction. (**d**), (**e**), and (**f**) show the TROPOMI  $\text{NO}_2$  line densities, which are integrals along the  $y$  axis  $\pm 50$  km about the  $x$  axis. The statistical EMG fit to the top-down line densities is shown in light blue.



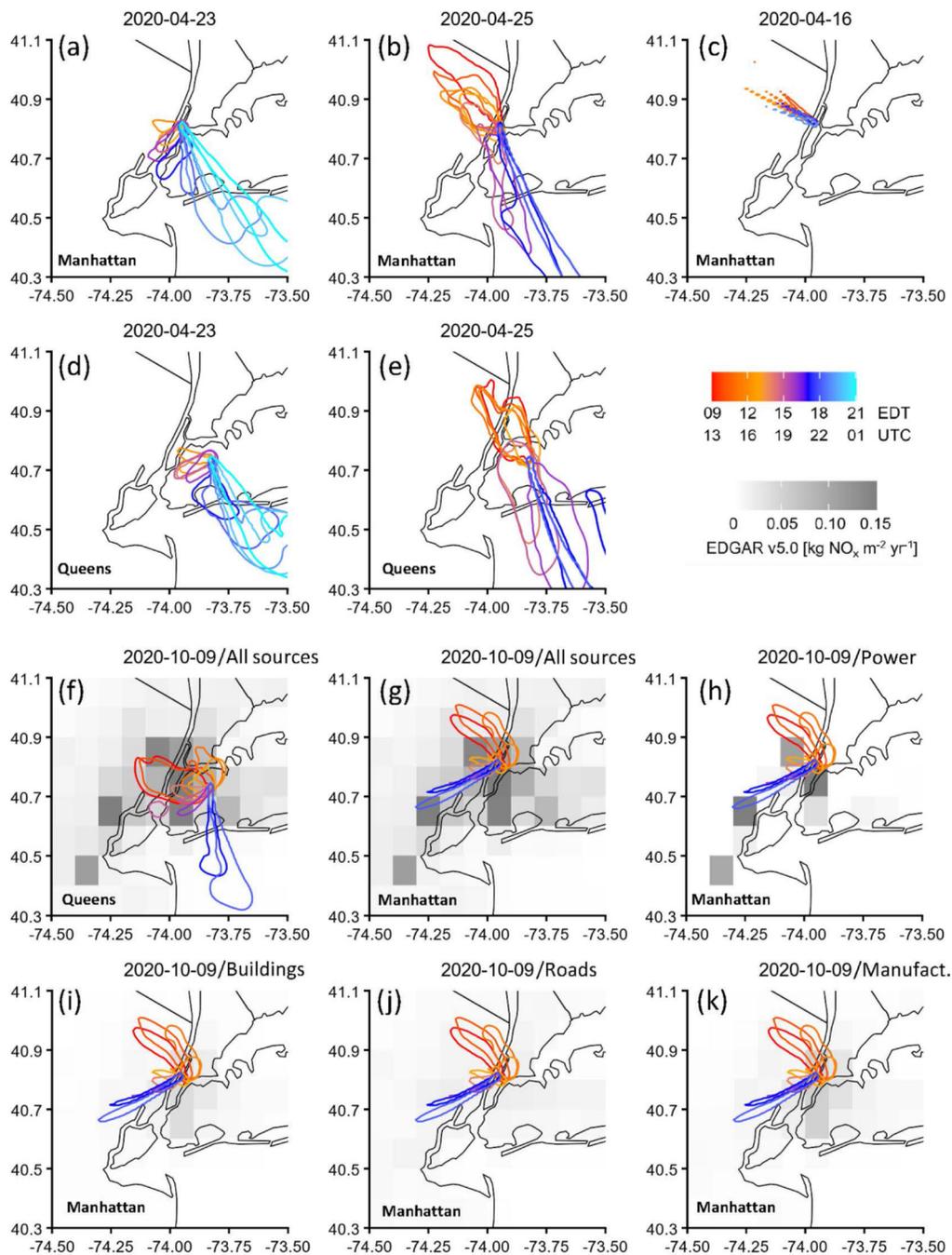
**Figure 6.** Histogram of TCNO<sub>2</sub> measured in Upper West Manhattan by PSI#135 for pre-lockdown (gray, 2018–2019) and post-lockdown winter (blue) and post-lockdown spring (pink) conditions. Results are shown for weekdays (left column) and Sunday (right column) across seasons from April 2020–May 2021. The mean NO<sub>2</sub> pre- and post-lockdown is also shown.



**Figure 7.** (a) Sunday-to-weekday TCNO<sub>2</sub> ratios averaged over April–November 2018–2019 (pre-lockdown) and 2020 (post-lockdown) from TROPOMI and Pandora (PSI#135); (b) seasonal change in Sunday-to-weekday column ratios pre- and post-lockdown from Pandora (PSI#135).

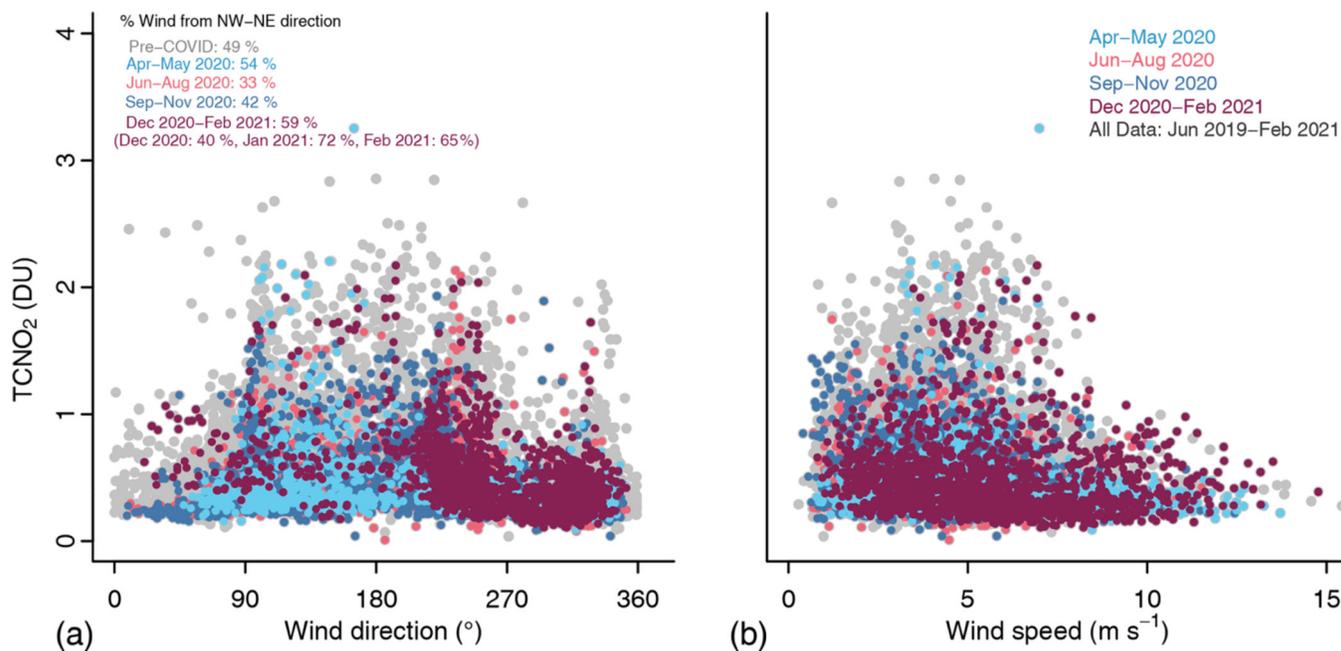
**Figure 8.**

Despite the decline in traffic and physical distancing restrictions, cases of high NO<sub>2</sub> pollution (TCNO<sub>2</sub> > 1.8 DU) were observed in the New York metropolitan area during and post the COVID-19 lockdown. TCNO<sub>2</sub> measurements are shown here for (a) April 2020 and (b) October 2020, from Pandora systems in Manhattan, Queens, New Brunswick, and New Haven, and TROPOMI over Manhattan. Diurnal dynamics in TCNO<sub>2</sub> during specific days of exceedances are shown for (c) 23 April, (d) 25 April (square indicates OMI TCNO<sub>2</sub> over Manhattan), and (e) 9 October 2020. The EDGAR power-sector near-surface NO<sub>x</sub> concentration enhancements in Manhattan are shown by the gray line in (c)–(e).

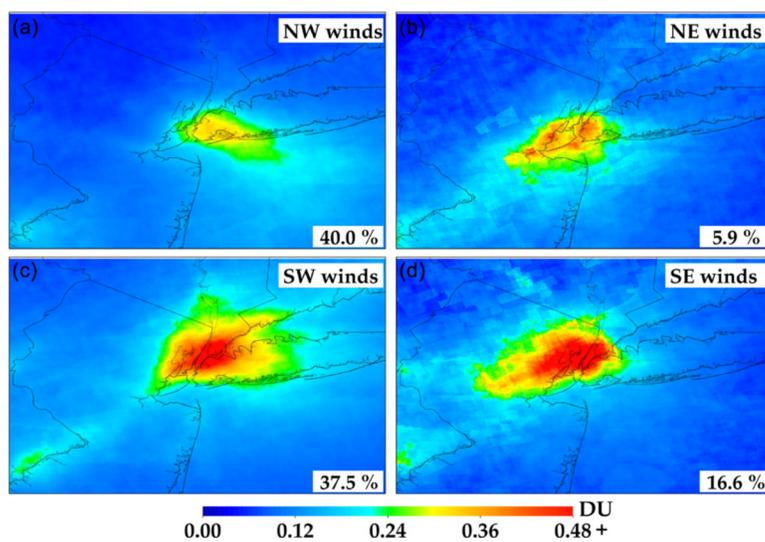


**Figure 9.**

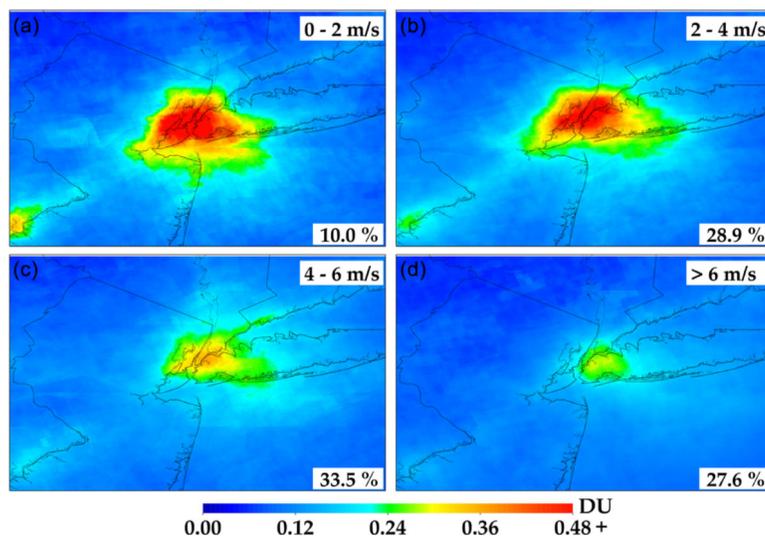
Twenty-four hour total STILT surface influence contours for total column  $\text{NO}_2$  exceedances on 23 April (a, d), 25 April (b, e), and 9 October (f–k) and a low  $\text{NO}_2$  case on 16 April 2020 (c), for comparison. Contour lines represent surface influence of  $1\text{ppb} (\mu\text{molm}^{-2}\text{s}^{-1})^{-1}$  and are colored by hour-of-day of the receptor. October 9 is overlaid with EDGAR inventories of  $\text{NO}_x$  for 2015 ( $\text{kgNO}_x\text{m}^{-2}\text{yr}^{-1}$ ). The area encircled by each contour indicates the region of emissions that reaches the Manhattan and Queens observation sites for a given time and day.



**Figure 10.** Relationship between column NO<sub>2</sub> amounts (DU, PSI #135 data, 15min averaged) and (a) wind direction (in degrees from north) and (b) wind speed (in ms<sup>-1</sup>; ATMOS 41 data) organized by post-lockdown season, measured from June 2019 to February 2021 in Upper West Manhattan.



**Figure 11.** TROPOMI NO<sub>2</sub> plumes over New York City (May 2018–December 2019) segregated into 100m wind direction quadrants northwest (a), northeast (b), southwest (c), and southeast (d). The percentages of each direction are shown at the bottom right corner of each panel.



**Figure 12.** TROPOMI NO<sub>2</sub> (May 2018–December 2019) segregated by 100m wind speed in 2ms<sup>-1</sup> intervals from ERA5 daily meteorology. The percentages of each wind-speed interval are shown at the bottom right corner of each panel.

Table 1.

Pandora sites (including names of local principal investigator (PI)), and mean, standard deviation (SD), and maximum (max) total column NO<sub>2</sub> (TCNO<sub>2</sub>) amounts (based on half-hour averages) measured before (pre-) and after (post-) the COVID-19 lockdown in New York.

Pandora name, #, location (principal investigator)	Temporal range of data (mm/yyyy)	TCNO <sub>2</sub> (in DU)													
		Apr–May		Jun–Aug		Sept–Nov		Dec–Feb		Pre-		Post-			
		Pre-	Post-	Pre-	Post-	Pre-	Post-	Pre-	Post-	Pre-	Post-				
Manhattan, NY	12/2017–05/2021	mean	0.61	0.39	0.59	0.44	0.59	0.46	0.71	0.48	change	–36 %	–25 %	–22 %	–32 %
PSI#135		SD	0.34	0.25	0.35	0.24	0.38	0.27	0.45	0.30					
40.8153°, –73.9505°		max	3.11	3.25	3.77	2.09	2.94	1.89	3.13	2.05					
(Maria Tzortziou)															
Queens, NY	05/2018–05/2021	mean	0.61	0.48	0.54	0.51	0.57	0.51	0.73	0.70	change	–21 %	–6 %	–11 %	–4 %
PSI #140, #55		SD	0.35	0.21	0.28	0.19	0.33	0.22	0.40	0.38					
40.7361°, –73.8215°		max	3.42	3.60	2.74	1.54	3.36	2.34	3.04	2.81					
(James J. Szykman)															
New Brunswick, NJ*	05/2018–01/2021	mean	0.32	0.26	0.29	0.28	0.34	0.30	0.42	0.26	change	–19 %	–3 %	–12 %	–38 %
PSI #56, #69		SD	0.15	0.18	0.15	0.20	0.24	0.21	0.31	0.10					
40.4622°, –74.4294°		max	1.46	2.06	1.98	2.42	2.55	4.59	2.72	0.53					
(James J. Szykman)															
New Haven, CT	05/2018–05/2021	mean	0.38	0.27	0.34	0.29	0.34	0.29	0.36	0.33	change	–29 %	–15 %	–15 %	–8 %
PSI #20, #64		SD	0.11	0.08	0.09	0.08	0.15	0.13	0.17	0.18					
41.3014°, –72.9029°		max	0.75	0.78	0.77	0.83	1.71	1.13	1.37	1.83					
(James J. Szykman)															

The December–February period for New Brunswick contains 16 d of data in December 2020, 1 d of data in January 2021, and no data in February 2021.